Toxicogenomic analysis of the carcinogenic potential of plastic additives

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Abstract

Plastics are the most prevalent human-made substance in the world and are ubiquitous throughout all ecosystems. Microscopic plastic particles are routinely ingested and inhaled by humans and other organisms. Despite the frequency of plastic exposures, little is known about their health consequences. Of particular concern are plastic additives - chemical compounds that are mixed into plastics to improve functionality or are unintentionally acquired during plastic production and use. Additives are loosely bound to the plastic polymer and may be released during plastic exposures. These compounds may pose health concerns, such as cancer, but little is known about the potential impact of these chemicals on health. To better understand the health effects of plastic additives, we performed an integrated toxicogenomic analysis on 2,712 additives, focusing on cancer as a well-studied toxicological endpoint. Screening these substances across three chemical databases revealed two key observations: 1) over 150 plastic additives have known carcinogenicity and 2) the majority (~90%) of plastic additives lack data on carcinogenic endpoints. Analyses of additive usage patterns pinpointed specific polymers, functions, and products in which carcinogenic additives reside. Based on published chemical-gene interactions, both carcinogenic additives and additives with unknown carcinogenicity impacted similar biological pathways. The predominant pathways involved DNA damage, apoptosis, immune response, viral diseases, and cancer. This study underscores the urgent need for systematic and comprehensive carcinogenicity assessment of plastic additives and regulatory responses to mitigate the potential health risks of plastic exposure.

Introduction

Plastics, over the last half-century, have established a worldwide presence in nearly all societies and are widely detectable as pollutants in the environment. Demand for plastics has skyrocketed since the 1950s due to their inexpensive, strong, durable, and lightweight properties [1]. Between 1950 and 2015, 8.3 billion metric tons of plastic products were created worldwide, 75% of which became waste [2]. Global plastic production is expected to double by 2040 [3–5]. Despite benefits to societal health and safety, as well as a global market value of USD 569.9 billion in 2019 [4,6], there is growing concern about plastic pollution and its impact on human and organismal health [7–13].

Humans regularly interact with plastics through food packaging, clothing, toiletries, household items, furniture, automotives, medical equipment, electronics, toys, and office supplies [14]. All plastics experience weathering, leading to the release of microplastics (1μm-5mm) and nanoplastics (<1μm) [15–17]. While initial interactions with plastics are typically by choice, the ubiquitous persistence of plastics in the environment means that many subsequent exposures are involuntary. For instance, humans are routinely exposed to plastic particles through respiratory, oral, and dermal routes [18–20]. As a result, plastic has been detected in human tissue and secretions, such as the lungs, colon, breast milk, and placentas [21–24]. Given the widespread presence of plastics and microplastics in the environment and human bodies, there is an urgent need to determine the health impacts of plastics. At present, there is far more information regarding exposure to individual polymers or specific plastics than there is about the lifetime exposure to all plastics [25].

The risks of plastic exposure cannot be assessed without first acknowledging that plastics are not pure substances, but rather complex mixtures of polymers along with dozens to thousands of chemical compounds broadly categorized as additives [26,27]. Common additives used for performance enhancement include plasticizers, flame retardants, heat and light stabilizers, antioxidants, lubricants, pigments, antistatic agents, slip agents, biocides, and thermal stabilizers [28]. Plastics also contain non-intentionally added substances from manufacturing, such as residual monomers, byproducts, and contaminants [14]. During and after plastic usage, additional substances are adsorbed from the environment [29], such as polycyclic aromatic hydrocarbons or alkylphenols [30].

Whether intentionally incorporated or not, plastic additives have the potential to leach from plastics and contaminate soil, air, water, food, and human bodies [31]. Additives can comprise a sizable mass fraction of a plastic polymer [32], such as plasticizers which are incorporated up to 70% weight by weight in some polymers [31]. Plastic additives have been detected in biota and throughout the environment, including in the tissues of shellfish [33], fish [34,35], seabirds [36], and marine mammals [37], underscoring the need to elucidate the impacts of these chemicals on organismal health. For instance, plastic particles and their additives have been linked to cancer and carcinogenic processes [18,28,29,38,39]; however, a comprehensive analysis of the carcinogenic potential of plastic additives has not been performed.

Previous studies have identified many commonly used plastic additives, including those often used in food-contact products as well as those that should be further studied for their potential impacts on organismal health [28,31]. Other studies have begun to identify the additives used in

90   particular sectors of the plastic industry (*e.g.*, packaging), but thousands of additives remain
91   uncharacterized [14,28,31]. Thus, no comprehensive list of plastic additives exists, nor are there
92   established standards for additive hazard classifications or regulations [14].
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Here we investigate the carcinogenic risk of plastic additives and identify gaps in the current
knowledge. To do this we curated a list of over 2,700 additives through a literature search of
three databases. By querying three public chemical registries, we identified those additives with
known and unknown carcinogenic potential. Using a toxicogenomics approach, we assessed the
potential mechanisms of carcinogenicity and identified enriched pathways for all additives. The
majority of our additives (~90%) were unclassified as to their carcinogenicity in two major
registries, either due to a lack of toxicological data or no public concern over the danger of the
chemical. However, of the 229 unclassified additives with enough published gene expression
data for analysis, a substantial portion (80.3%) induced genetic pathways related to cancer and
cancer-like phenotypes. Together, these analyses demonstrate a dearth of public knowledge
regarding plastic additive carcinogenicity and pinpoint the need for a comprehensive
experimental framework to determine the toxicological effects of plastic additives.
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107 **<u>Results</u>**
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This study resulted in a methodological workflow (**Fig. 1**) to compile a list of plastic additives,
investigate the carcinogenicity classifications of the additives, determine known impacts on gene
expression, predict additives' interference with human biological pathways, and group additives
according to their predicted pathway effects. An abbreviated parallel analysis was conducted on
281 reported polymer backbones, such as polyvinyl chloride and latex (**Table S2**). All collected
data regarding additives can be found in Table S1.
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117 **Figure 1:** Resulting methodological workflow to analyze the carcinogenicity and gene expression patterns of **a**)
118 plastic additives and **b**) polymers.
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Plastic additives include multiple known carcinogens and many with unknown cancer-causing
potential
We first examined the presence and classifications of additives within the International Agency
for Research on Cancer (IARC), which contained 1,101 chemicals at the time of our analysis
(**Fig. 2a**). A total of 2,421 additives (89.27%) were absent from IARC (**Fig. 2a**). Among the 291
additives in the database, 12 (4.12%) had no classification, 112 (38.5%) had inadequate evidence
for carcinogenicity and require more research (Group 3), 108 (37.1%) were possibly
carcinogenic (Group 2B), 36 (12.4%) were probably carcinogenic (Group 2A), and 23 (7.9%)
were carcinogenic (Group 1).

Cross-referencing all 2,712 additives in the IRIS database revealed 2 ,543 (93.77%) with no
cancer-related data, 122 (4.50%) listed as noncancerous, and 47 (1.73%) listed as cancerous (**Fig.**
2b). The IRIS database contained 651 chemicals at the time of our analysis. Of the 169 additives
present in IRIS, 9 (5.33%) were carcinogens, 11 (6.51%) were likely carcinogens, 22 (13.02%)
were probable carcinogens, 3 (1.78%) were possible carcinogens, and 2 (1.18%) had suggestive
evidence of carcinogenicity (**Fig. 2c**). Ninety-four additives were present in both the IARC and
IRIS databases (**Table S1**), 26 of which had inconsistent cancer classifications between the two
databases (**Fig. 2d**).

In the ECOTOX database, 1,486 (54.79%) were not present, 1,081 (39.68%) were present in the
database without cancer-relevant endpoints, and 145 (5.35%) were present in the database with
cancer-relevant endpoints (**Fig. 2e**). Each of those 145 additives contained one or more cancer-
relevant endpoints. In total, 68 additives were associated with genetic damage endpoints, 54 with
proliferation, 47 with micronuclei, 36 with lesions, 14 with mitotic abnormalities, and 3 with
micronucleated polychromatic cells (**Fig. 2f**).

Figure 2: The majority of plastic additives are undocumented in toxicological databases. a) Classification of the 2,712 plastic additives in the IARC database (Group 1: carcinogenic, Group 2A: probably carcinogenic, Group 2B: possibly carcinogenic, Group 3: not classifiable as to its carcinogenicity in humans). **b)** Classification of plastic additives in the IRIS database (Cancer = evidence of carcinogenicity or known carcinogen according to IRIS. Noncancer = chemical in the IRIS database, but no evidence suggesting carcinogenicity). **c)** Detailed classifications within the 'cancer' and 'noncancer' categories in the IRIS database. **d)** Consistency of the classified additives in IRIS and IARC. **e)** Classification of plastic additives in the ECOTOX database. **f)** Cancerous endpoints among the 5.35% of plastic additives with data in the ECOTOX system.

Additive exposure data are sparse, particularly when carcinogenicity is uncertain
We next collected exposure information for all plastic additives with available data (2508, 94.28%) from 18 review papers (**Fig. 1**). In our analysis, *classified* additives are those assigned to Group 1, 2A, 2B, or 3 in IARC, *unclassified* additives are those absent from or unassigned in IARC, and *carcinogenic* additives are the subset of classified additives in Group 1, 2A, or 2B.

Analysis of exposure data indicated 1,477 total additives (184 classified, 1,293 unclassified) associated with at least one polymer, 2,315 additives (248 classified, 2,067 unclassified) with at least one functional annotation, and 892 additives (104 classified, 788 unclassified) associated with at least one industrial or consumer product (**Fig. 3a**). In total, 546 additives (84 classified, 462 unclassified) have exposure data in all three categories (product, function, and polymer). Fewer than one-third of all carcinogenic additives are linked to industrial or consumer products (**Fig. 3b-d**). Nine of the top ten polymers by additive association have traceable Chemical Abstracts Service Registry Numbers (CASRNs, or CAS numbers), and each is connected to hundreds of additives (**Fig. 3e**). Nearly 400 additives are listed as components of "thermoplastics," which encompass all plastics that become moldable at high temperatures and

solidify upon cooling, including acrylic, polypropylene (PP), and polystyrene (PS). The top ten
functions out of 167 unique function strings are reported in **Fig. 3f**, with the top four (colorant,
processing aid, filter, lubricant) mapped to over 600 additives each. Sixteen product categories of
interest were extracted from the product data by querying our database with specific search
strings (**Table S3**). Food, packaging, and clothing-related products are associated with the most
additives; medicine, babies, and pets are associated with the fewest (**Fig. 3g**).

Regarding the polymer data, we found that each additive is associated with 2.4 ± 3.9 polymers
on average **(Fig. 3h)**, and >200 additives are associated with over 10 polymers each. Diethylhexl
phthalate, a type 2B carcinogen (CAS = 117-81-7) has the maximum polymer associations (34)
and 18 documented functions (**Table S1**). This additive was linked to diverse products including
food packaging, plastic bags, medical equipment (*e.g.,* syringes, dialysis equipment, catheters,
intravenous tubing, blood/dialysis bags, gaskets, implants, gloves), baby products (*e.g.,*
pacifiers), plastic toys (*e.g.,* soft squeeze toys, balls, light sticks), bathroom products (*e.g.,*
shower curtains, sanitary products), leisure products (*e.g.,* colored fishing floats, sports
equipment), clothing (*e.g.,* raincoats), furniture (*e.g.,* floor tiles, furniture upholstery, car seats,
tablecloths, flooring, wall coverings, wood coatings), and articles intended for pets.

The majority of additives are associated with up to five functions and products (**Fig. i-j**), but
several additives have dozens of matches in at least one exposure category. Formaldehyde, a
Type 1 carcinogen (CAS = 50-00-0), is the most function-heavy, with 40 documented functions.
This chemical also features 17 polymer associations and nine product associations, including
food contact products, manufacturing container metals, and car seat stuffing. Similarly, butylated
hydroxytulouene (CAS = 128-37-0; a class 3 chemical in IARC, and bisphenol A (80-05-7; a
chemical unclassified in IARC) have very high numbers of both function and polymer
associations (**Table S1).**

Plastic additives impact diverse gene expression pathways
We used the up- and downregulated genes associated with all plastic additives in the
Comparative Toxicogenomics Database (CTD) (18782832) as inputs for over-representation
analyses in WebGestalt (WGA) (31114916). The most commonly upregulated genes by plastic
additives include the tumor suppressor TP53, the pro–inflammatory cytokines C-X-C Motif
Chemokine Ligand 8 (CXCL8, IL-8) and CXCL6 (IL-6), genes responsible for detoxification
and metabolism of toxins, such as CYP1A1, and the cell cycle regulator, CDKN1A. The genes
downregulated by the greatest number of additives included the apoptosis regulators, BCL2,
BCL2L1, and BAX, and the cell adhesion molecule and epithelial lineage marker, E-cadherin
(CDH1). Whether additives are classified or unclassified in regard to carcinogenicity, the
reported effects on the gene expression are similar (**Table S4)**.

At the pathway level, carcinogenic and unclassified additives have similar impacts. Pathways
altered by both carcinogens and unclassified additives include apoptosis, pathways in cancer, and
signaling by interleukins. However, unclassified additives, but not carcinogens, alter cytokine
signaling in immune system, lung fibrosis, and the AGE-RAGE signaling pathway in diabetic
complications (**Table S4**).
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Of the 2,712 additives, only 428 (15.78%, 139 classified, 289 unclassified) modulated human
gene expression according to the CTD, and 349 additives (12.87%, 120 classified, 229
unclassified) contained enough gene interactions for over-representation analysis (**Fig. 3a**). As
IARC predictions intensified, from unclassified to Group 1, the number of papers documenting
chemical-gene interactions increased (**Fig. 3a-d, Table S5).** Group 1 carcinogens were found to
have significantly more gene interaction data (p < 0.05) than any other group (**Table S5**).
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Figure 3: Data on usage, exposure, and health effects for 2,712 plastic additives. **a)** Product associations, polymer
associations, effects on human gene expression, and possible disturbances to human gene networks for plastic
additives. Dark-colored lines indicate the proportion of additives (out of 2,712) for which information is available.
Rings marked or colored black represent all additives; red represents unclassified additives; blue represents
classified additives. **b-d)** Knowledge of additive carcinogenicity is associated with more knowledge of biological
properties (**Table S5**). **e-g)** The ten polymers, functions, and product categories with the most additive associations.
Polymer associations were determined by Reference Name (**Table S2**). *LDPE and HDPE share the same CAS*
number. **h-i)** Distributions of polymers/additive, functions/additive, and products/additive. Additives with no
associations to polymers, functions, or products are not included in the histogram.
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Based on pathway enrichment ratios, classified and unclassified additives cluster into three
unique groups
We next used *K*-means and hierarchical clustering to visualize the relationships between
additives at the pathway level (**Fig. 4**). Pathway over-representation enrichment ratios (ERs)
were used as input for the clustering. Clustering on all additives and all ERs produced silhouette
scores indicating that *k=3* clusters were optimal (**Table S5**). This clustering was largely
unchanged when we analyzed subsets of data by similar pathway names (*e.g.*, containing
substrings of cancer keywords ['cancer', 'carcin', 'metasta', 'tumor'] (**Fig. 4b)** or oxidative
stress keywords (**Fig. S2**)), indicating that the clusters are well-separated. Notably, although each
of the clusters are of different sizes, all clusters contain similarly-proportioned mixtures of
carcinogens (~25%) and unclassified additives (~75%) (**Fig. 4c-e**), suggesting that carcinogenic

and unclassified additives impact gene expression in similar ways (Cluster 1: 143 unclassified,
48 carcinogenic; Cluster 2: 51 unclassified,17 carcinogenic; Cluster 3: 35 unclassified, 12
carcinogenic). Additives within each cluster also exhibit diverse exposure data. A mixture of
Group 1, 2A, 2B, 3, and unclassified additives are present in PVC (the most common polymer),
used as colorant (the most common function), and/or found in food products (the most common
product category), but the specific proportions vary by cluster (**Fig. 4c-e**).
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To demonstrate how deeper connections between additives and cancer can be extracted from our
dataset, we sorted the additives within each cluster into subgroups with similar cancer effects.
This was done through hierarchical clustering on the additives' ERs for Wikipathways Cancer
gene sets (**Fig. 4f-h**). Each *k*-means cluster exhibits a unique profile. Much of Cluster 1 (**Fig. 4f**)
leans towards pathways impacting cell death/survival and DNA damage, with subgroups strongly
impacting metabolic pathway WP143 (fatty acid beta-oxidation), immune pathway WP530
(cytokines and inflammatory response), and several cancer type pathways, specifically WP3859
(TGF-beta signaling in thyroid cells for epithelial-mesenchymal transition). Cluster 2 (**Fig. 4g**)
appears to be broken into three main segments: the first subgroup strongly enriching cell
cycle/proliferation pathway WP4357 (NRF2-ARE regulation) and DNA damage pathway WP3
(Transcriptional activation by NRF2 in response to phytochemicals); the second subgroup
strongly enriching cell death/survival pathway WP3617 (Photodynamic therapy-induced NF-kB
survival signaling) and slightly enriching cancer type pathway WP3859; and the third subgroup
enriching cell death/survival pathway WP3617, immune pathway WP530, and cancer type
pathway WP4337 (ncRNAs involved in STAT3 signaling in hepatocellular carcinoma). Cluster 3
(**Fig. 4h**) enriches cell death/survival, DNA damage, and cancer type pathways nearly across the
board, with subgroups displaying particular enrichment for cell cycle/proliferation pathway
WP4357, cell death/survival pathways WP3672 (lncRNA-mediated mechanisms of therapeutic
resistance) and WP3617, DNA damage pathways WP1742 (TP53 network) and WP3, and
immune pathway WP530. Even after hierarchical clustering by cancer-related effects,
carcinogens and unclassified additives are interspersed (**Fig. 4f-h**).
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272 Together, the affected pathways cover vast territory, including DNA damage, apoptosis, immune
273 response, viral diseases, and cancer. Many pathways are affected by chemicals in all three
274 clusters. However, distinguishing features of each individual cluster can be found through their
275 unique and/or highly enriched pathways (**Fig. 4i**).
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Figure 4: Carcinogens (probable, possible, or confirmed) and unclassified additives share similarities in their impacts on gene expression. When additives are clustered on their ERs for KEGG, Reactome, Wikipathways, and PANTHER gene sets, they form three distinct groups containing near-identical distributions of carcinogens, Group 3 additives (inadequate evidence for carcinogenicity in humans), and unclassified additives. **a)** Three clusters (silhouette score = 0.86) encompass all additives, based on ERs for all 2,246 pathways. **b)** Three clusters (silhouette score = 0.87) encompass additives enriching pathways with cancer keywords, based on ERs for those pathways only. **c-e)** Clusters 1, 2, and 3 from **a,** respectively. Additives are sorted by IARC classification and display diverse usage patterns based on associations with the top polymer (PVC), function (colorant), and product category (food products). **f-h)** Clusters 1, 2, and 3 from **a** respectively. Each heatmap includes pathways from Wikipathways Cancer that are differentially enriched across the cluster. Pathways are divided by behavior and hierarchically clustered. Additives are hierarchically clustered by scaled ER and form subgroupings based on predicted cancer effects. **i)** Sankey diagrams characterize each cluster from **a**, highlighting their uniquely and highly enriched gene sets. Pie charts show that Clusters 1, 2, and 3 have near-identical distributions of carcinogenic, Group 3, and unclassified additives (although Cluster 3 lacks any Type 1 carcinogens).

Discussion

The pervasive nature of plastic and our frequent exposure to plastics has prompted increased attention to the potential harmful impacts of plastic on organismal health [9]; however, many studies have focused on the influence of plastic polymers [40] or particularly well-studied

additives, such as bisphenol A [41]. Far less is known about the comprehensive landscape of plastic
additives and mixtures of additives, including their environmental fates, transport, and their
consequences for health and wellbeing. What little we know about additives is from studies on
the additives in isolation, but these additives exist as complex mixtures of tens to hundreds of
additives in a single plastic product (**Fig. 3e, 3g, Table S2, S7**), many of which exert widespread
effects on gene expression (**Fig. 4f-i**). Here, we comprehensively characterize plastic additives
for their potential carcinogenicity and impacts on gene expression. A striking observation from
these analyses is the severe shortage of data on carcinogenic potential for hundreds of plastic
additives (**Fig. 2a-c, 2e**). The apparent lack of documentation and cross-verification among
databases raises questions about the efficacy of current legislation and safety measures for plastic
and plastic additives (**Fig. 2d**).
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Prior investigations have suggested that plastic ingestion may induce carcinogenesis. Studies in
fish have shown that ingestion of microplastics induces hepatic inflammation [42] and hepatic
neoplasia [43]. Plastic contains multiple known carcinogens, the most well-studied of which is
bisphenol A [44]. At the cellular level, plastic exposure impacts numerous gene expression
pathways linked to inflammatory signaling and cancer, including NF-kB [45], IL-6 [46], TNF alpha
[46], and IL-8 [47]. Consistent with these observations, our analyses revealed numerous impacts of
plastic additives on gene expression pathways, many of which are relevant to cancer, including
pro-inflammatory signaling and oxidative stress pathways (**Fig. 4f-i, Table S1, S4**). These
effects on gene expression were exerted by both known carcinogens and additives for which
carcinogenic potential is unknown, and clustering by gene expression pathways revealed
substantial overlap between carcinogenic additives and additives with unknown carcinogenic
potential (**Fig. 4**). Further, clusters of additives enriched many converging phenotypes, such as
proliferation and anti-apoptotic pathways, which may indicate greater carcinogenic risk (**Fig.
4h**).
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Our analyses provide a platform to pinpoint plastic products that harbor mixtures of additives
with known consequences on gene expression that may impact human health. The substantial
clustering of gene expression pathways (**Fig. 4a-b**) produced by carcinogenic and unclassified
additives suggests that unclassified additives may be carcinogenic, though further testing and
verification is required. These analyses may allow researchers and policymakers to identify and
prioritize the populations and products that contain mixtures of additives with the greatest
potential for harm. For example, we found 24 additives (including six known carcinogens and 12
unclassified additives) associated with plastics in construction materials that activated colorectal
and gastric cancer pathways. Consistent with this, construction workers are at an enhanced risk
for multiple cancer types, including esophageal, colorectal, gastric, and testicular cancer [48].
Whether these risks are associated with plastic additives requires further prospective
interventional studies; however, our analysis provides a framework for identifying potential
susceptible populations and associated products for follow-up study.
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Our analyses have several limitations. There is an overall lack of transparency in the industrial
literature regarding the presence of additives in common plastic polymers. Over 4,000 chemicals
are estimated to be used in plastic food packaging, but our literature review only documented
2,712 additives – many of which lacked polymer and product data – across all applications [28,49].
The key limitations in synthesizing exposure data were (1) misspelled, miscategorized, and

unclear terms in the original review papers and (2) ambiguity when creating hierarchies of terms
(*e.g.*, "food-contact plastics"). Spelling corrections and grouping were either performed or
checked manually because programmatic strategies like regex strings were prone to errors in
prior research [14]. However, manual database curation will not be scalable as research on plastic
increases. A standardized and transparent way of disclosing, tracking, and reporting additives'
functions, polymers, and products will be necessary for the longevity of a comprehensive
database.

Our toxicogenomics analysis revealed the presence of multiple carcinogenic additives in
numerous plastic products. Perhaps more striking, however, is the severe lack of information
about carcinogenic potential for the overwhelming majority of plastic additives. At the gene
expression level, these unclassified additives impact many of the same pathways as known
carcinogens. Collectively, these data underscore the critical need for a systematic study of plastic
additives, with a focus on additives that overlap in their gene expression patterns with known
carcinogens. We propose a transdisciplinary approach in which researchers, legislators, and
manufacturers collaborate to address the following key gaps in our knowledge: 1) developing
comprehensive toxicological profiles for individual plastic additives and common additive
mixtures in plastic products, 2) mapping all additives to their functions and endpoints, 3)
determining the fate and transport for individual additives and mixtures of additives that are
leached from the same products in standardized settings, 4) determining toxicological synergies
between groups of additives, and 5) identifying high-priority additives that should be removed or
replaced to preserve plastic functionality. Our analyses seek to narrow the search space for
carcinogenic additive combinations, which may accelerate reformulation strategies. Our work in
documenting plastic additives can help guide future steps to enable a world where the health
risks of plastics are both publicly known and effectively reduced.
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Methods

Analytical workflow
We developed an analytical workflow consisting of the following steps: (1) literature-based
review and identification of plastic additives, (2) characterization of additive coverage in public
cancer databases, (3) integrated analysis of gene expression and exposure data, and cross-group
comparisons. An *additive* is defined herein as any substance known to be added during the
manufacturing process and/or detectable in the final polymer. Unexpected additives in the final
polymer may be non-intentionally added substances during manufacturing or substances that
adsorbed from the environment during and after use. Any chemical in a polymer could
theoretically leach out and cause harmful health effects. Therefore, we deem it critical to include
any chemical to which a human might be exposed when ingesting or contacting plastic. A
parallel analysis was also conducted on polymers (*e.g.*, polyethylene, polystyrene, polyurethane)
to compare the extent of knowledge on additives vs. polymers. **Figure 1** provides an outline of
the bioinformatics workflow for the project.

Literature-based review and identification of plastic additives
To assemble a comprehensive list of plastic additives, we performed a literature review in
Google Scholar, Clarivate Web of Science, and PubMed. In each database, targeted search
strings (**Table S9**) were used to select peer-reviewed review articles containing lists of plastic
additives (**Table S10**). Additives from each article were collected by their CAS numbers,
assuming a one-to-one mapping of CAS number to substance. Several articles provided measures
of confidence regarding the usage/presence of an additive; in these cases, only high-confidence
additives were extracted. After seven articles were searched, the unique additive contribution per
article began to diminish, plateauing at zero by the fourteenth paper (**Fig. S1**). In total, 18 articles
produced 2,712 unique additives.

Sixteen papers compiled from the literature review included information about the function or
purpose as a plastic additive (*e.g.*, plasticizer, flame retardant), polymer usage (*e.g.*, PET, PVA,
PVC), and/or product usage (industry or consumer product, *e.g.*, construction material,
electronics, toys, textiles) of each additive. These data were manually collected in Excel and
compiled using the pandas Python package (**Table S1**) [50,51]. Ambiguous terms were included in
all potential categories (*e.g.*, when "adhesive" was found in a combined column of functions and
products, it was recorded in both the Function and Product columns of our database). All
function, polymer, and product strings can be found in **Table S11.**

Polymer names and acronyms were collected from the 18 literature review papers, other peer
reviewed publications, and public listings of common polymers. They were mapped to CAS
identifiers using PubChem. Alternate CAS numbers (if applicable) were retained and stored in a
separate list from the primary number. There was no direct 1:1 mapping between any of the
following variables: full chemical name, polymer acronym, and CAS number **(Table S2)**. To
conduct the gene expression analysis, all chemicals under the same CAS number were grouped
together.

Characterization of additive coverage in public cancer databases
To evaluate the extent of accessible documentation on plastic additive carcinogenicity, three
publicly available databases were selected: IRIS (Integrated Risk Information System from the
U.S. EPA), IARC (International Agency for Research on Cancer), and ECOTOX (Ecotoxicology
database from the U.S. EPA). Databases were queried using R Statistical Software (v4.2.1)[52] and
the tidyverse package [53]. The IRIS database contains 651 chemicals, some of which are
duplicated and have different carcinogenicity classifications depending on exposure route. If a
single chemical was listed as carcinogenic and non-carcinogenic for different exposure routes, it
was listed as carcinogenic for the analysis. As of September 2022, the IARC Database contained
1,101 total chemicals: 161 in Group 1 (carcinogenic to humans), 107 in Group 2A (probably
carcinogenic to humans), 327 in Group 2B (possibly carcinogenic to humans), and 506 in Group
3 (inadequate evidence for carcinogenicity in humans). The IARC database was selected as the
standard for categorizing chemicals prior to further downstream bioinformatics analyses. We
considered chemicals in Groups 1, 2A, and 2B *carcinogens* in our analysis. The grouping of
carcinogens and Group 3 chemicals were referred to as *classified* because all of these chemicals
are classified in IARC and have had their carcinogenic potential evaluated. Any chemical lacking
an IARC category is considered *unclassified* and has not been annotated with respect to its
carcinogenic potential in IARC.
575
576 *Integrated analysis of gene expression and exposure data*
577 Gene expression and pathway enrichment data were collected from Comparative
578 Toxicogenomics Database (CTD), Mouse Genome Informatics (MGI), HUGO Gene
579 Nomenclature Committee (HGNC) Comparison of Orthology Predictions (HCOP), and
580 WebGestalt (WGA).
581
CTD is a public database sponsored by the National Institute of Environmental Health Sciences
(NIEHS) which stores 50,048,577 toxicogenomic relationships. In this study, CTD was used to
compile lists of human genes up- and down-regulated by each chemical additive. The database
provided relationships for 289 unclassified chemicals and 139 classified chemicals. When
polymer CAS numbers were separately screened through CTD, 29 substances (15 unique CAS
numbers) were found to up- or down-regulate human genes according to published studies. On
occasion, CTD labeled the interacting organism as human but erroneously provided a non-human
GeneID. In these instances, the Mouse Genome Informatics Vertebrate Homology and HUGO
Gene Nomenclature Committee Comparison of Orthology Predictions (HCOP) databases were
queried to identify the corresponding human Entrez ID. If multiple human Entrez IDs were
associated with one non-human homolog from CTD, all human matches were substituted for the
homolog.
594
Using the gene lists from CTD, overrepresentation analysis (ORA) was performed in WebGestalt
to predict pathway interactions for each additive (and polymer). For each substance, the ORA
input was a single list combining all up- and down-regulated genes. The WebGestaltR library
was used to conduct batch ORA for all 428 additives and 15 polymers (polymers under the same
CAS were grouped together). The PANTHER, Reactome, KEGG, Wikipathway, and
Wikipathway Cancer pathway databases were queried with an FDR threshold of 25% and a
maximum of 2,000 genes (default). Results were generated for seven polymers (46.67%), 120
classified chemicals (86.33%, 65% of which were carcinogens), and 229 unclassified chemicals

(79.24%) (**Tables S1, S2**). Even after homology correction, a small percentage of genes from
CTD (<1%) remained unmappable in WebGestalt, but the majority of gene identifiers were
recognized.

Clustering and cross-group comparisons
Dimensionality reduction and clustering were performed for each plastic additive in the Python
programming language using sklearn.cluster.KMeans, sklearn.decomposition.PCA, and
umap.umap_. A pairwise matrix of Enrichment Ratio (ER) for each plastic additive and pathway
was constructed to facilitate weighted clustering on pathway enrichment. Principal Component
Analysis (PCA) was performed to achieve 95% explained variance with minimal dimensionality.
The resulting matrix was further reduced using Uniform Manifold Approximation and Projection
(UMAP) to improve clustering results.

Cluster quality was heavily dependent on the two nondeterministic algorithms in this workflow:
UMAP and k-means. Running k-means after applying default UMAP parameters (n_neighbors =
40, n_components = 2, min_dist = 0.3) was not sufficient for any k, producing silhouette scores
with low and sometimes negative values. Silhouette scores below zero indicate that elements
have been assigned to the wrong clusters; scores near zero indicate that clusters overlap; scores
near 1 indicate that most elements cluster more closely within their assigned cluster than other
clusters. To ensure high-quality clusters, a minimum silhouette score of 0.70 was selected.
UMAP's n_neighbors parameter was tested at all integer values between 2 and 20 inclusive,
while min_dist was tested at values 0.0, 0.1, 0.25, 0.5, 0.8, and 0.99. We carried out k-means for
all k between 3 and 10, and the number of clusters producing the optimal silhouette score was
selected. The random states for both UMAP and k-means were modulated between five different
values to capture a broader range of possible results. This procedure was repeated for (1) all
additives and all ERs, (2) only additives enriching at least one pathway with a cancer keyword
substring ['cancer', 'carcin', 'metasta', 'tumor'] and the ERs for those pathways, and (3) only
additives enriching at least one pathway with an oxidative stress keyword ['oxidative stress',
'oxidative damage', 'reactive oxygen species', 'ros'] and the ERs for those pathways (**Table S1**).
In all three cases, the vast majority of top-performing cluster runs produced three to four clusters
(**Table S6**). The consistent clustering results for much lower-dimensionality clustering (cancer
keyword and oxidative-stress keyword pathways only) validated the three-cluster result for all
additives and all ERs.

All subsequent analyses were performed on the three clusters made from the full dataset. To
determine subgroupings with similar cancer effects, Wikipathways Cancer pathways
differentially enriched across a cluster above a certain standard deviation threshold (10, 10, 20
for clusters 1, 2, and 3 respectively). Only additives enriching at least one of those pathways
were retained. Enrichment ratios were scaled using the scale() function in R, and both additives
and pathways were hierarchically clustered using ComplexHeatmap. Each pathway was
manually assigned to one cancer-relation category (cancer type, cell cycle/proliferation, cell
death/survival, DNA damage, immune, metabolism) based on its most prominent effects
according to Wikipathways and published literature.

To distinguish the most salient pathways for each cluster, a binary matrix was constructed to
indicate whether each additive in the cluster enriched or did not enrich a particular pathway. The

50 pathways with the most additive associations were considered the central pathways for that
cluster. "Uniquely enriched" pathways for a cluster do not appear in any other cluster's top 50.
"Highly enriched" pathways for a cluster have at least one ER >=100.

Data and Code Availability

All code will be made available upon request. See Supplementary File 1 and 2 for all data.

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Author Contributions

JAS supervised the study. ZD and JAS conceived the study. SV, JS, BS, and MM collected
additive and polymer CAS numbers and finalized the study design. BS identified and queried
chemicals through three chemical databases. SV collected exposure and gene expression data,
performed enrichment analysis and clustering, and assembled supplementary tables. JS analyzed
and compared additive clusters. SV, BS, and JS wrote the first draft of the manuscript. All
authors collaborated to write, edit, and approve the final version of the manuscript.

Competing Interest Declaration

All authors have no competing interests.

Additional Information

Additional information can be found within the Supplementary Materials.

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