Accurate prediction of RNA translation with a deep learning architecture

Xiaojuan Fan[1, 2, *, #], Tiangen Chang[4, *], Chuyun Chen[1, 3], Markus Hafner[2], Zefeng Wang[1, 3, #]

[1] Bio-med Big Data Center, CAS Key Laboratory of Computational Biology, CAS Center for Excellence in Molecular Cell Science, Shanghai Institute of Nutrition and Health,

[2] RNA Molecular Biology Laboratory, National Institute of Arthritis and Musculoskeletal and Skin Disease, Bethesda, MD, USA.

[3] University of Chinese Academy of Sciences, Chinese Academy of Sciences, Shanghai 200031, China

[4] Laboratory of Cancer Data Science, National Cancer Institute, Bethesda, MD, USA.

* These authors contributed equally to this work

[#] Corresponding to: wangzefeng@picb.an.cn, fanx3@nih.gov

Abstract

Accurate annotation of coding regions in RNAs is essential for understanding gene translation. We developed a deep neural network to directly predict and analyze translation initiation and termination sites from RNA sequences. Trained with human transcripts, our model learned hidden rules of translation control and achieved a near perfect prediction of translation sites across entire transcriptome. Our model revealed a surprising role of codon usage in regulating translation termination, which was experimentally validated. We also identified thousands of new open reading frames in mRNAs or annotated lncRNAs, some of which were confirmed experimentally. Remarkably, the model trained with human mRNAs achieved high prediction accuracy in all eukaryotes and good prediction in polycistronic transcripts from prokaryotes or RNA viruses, suggesting a high degree of conservation in translation control. Collectively, this study presents a general and efficient deep learning model for RNA translation, providing new insights into the complexity of translation regulation.

Introduction

Efforts to annotate protein-coding open reading frames (ORFs) have traditionally relied on empirical rules such as sequence conservation pattern (Frankish et al., 2019), the longest ORFs in one transcript (Mouilleron et al., 2016) and initiation from the first AUG (Hinnebusch, 2011). However, these rules are over-simplified without considering the complex regulation by other factors, such as RNA structure and various regulatory *cis*-elements.   In addition, RNA translation has recently been found in previously annotated non-coding RNAs (e.g., lncRNAs and circRNAs) (Jackson et al., 2018; Matsumoto et al., 2017; Yang et al., 2017), and can be initiated from alternative sites or non-canonical codons (Chen et al., 2020; Raj et al., 2016), resulting in non-annotated translation products that play critical and diverse cellular roles (Chen *et al.*, 2020; Matsumoto *et al.*, 2017).   Considering the complexities of translation, the prediction of translation landmarks, such as initiation and termination sites with high accuracy remains a challenging task, especially for poorly annotated genomes or in the context of complex regulatory networks. Rapid advances in artificial intelligence (AI) provide new hope for dissecting complex biological systems, such as alternative splicing (Jaganathan et al., 2019) and protein folding (Jumper et al., 2021), by uncovering hidden associations and unknown rules (Bogard et al., 2019; Eraslan et al., 2019; Vamathevan et al., 2019; Zhou et al., 2018).

The complete synthesis of a protein requires accurate initiation and termination of translation by ribosomes at specific positions. In eukaryotes, translation initiation is generally mediated by the 5′-cap, which recruits various translation initiation factors

and small ribosomal subunit to form preinitiation complex (Sonenberg and

Hinnebusch, 2009). This complex subsequently scans through the 5′-untranslated

region (5′-UTR) to recognize the optimal start codon, which is determined by both

codon identity and its immediate nucleotide context known as Kozak sequence

GCCRCCAUGG (R represents purine) (Hinnebusch, 2011). However, in certain

cases, the ribosome may skip some weak start codons, resulting in leaky scanning

(Hinnebusch, 2011).   In addition, many genes may contain several alternative

translation initiation sites (TISs), and it is unclear how the ribosomes select one of

these sites to initiate translation. Evaluating the strength of each TIS within one

transcript and the general rules of selecting the authentic ORFs from possible decoys

remain challenging.   Therefore the systematic identification of translation sites

(translation initiation and termination sites, or TIS/TTS) and the quantitative

evaluation of their strength become a prerequisite for exploring the complexity of

translation regulation.

New experimental techniques, including GTI-seq (global translation initiation

sequencing) (Lee et al., 2012) and QTI-seq (quantitative translation initiation

sequencing) (Gao et al., 2015), have been developed to identify TISs by directly

capturing the stalled initiating ribosomes at single nucleotide resolution across the

transcriptome.   However, these methods were typically conducted in specific cell

types, which limits the transcriptome-wide TIS identification. Several computational

methods have also been developed to predict translation initiation sites from mRNA

sequences. For example, a physicochemical-property based predictor using pseudo-

trinucleotide composition was constructed to identify TIS in human genes (Chen et

al., 2014), and a variety of machine learning methods have also been developed to

predict the TIS by analyzing a short sequence fragment (e.g. 200nt) around the start

codon of mRNA (Goel et al., 2020; Kalkatawi et al., 2019; Zhang et al., 2017).

However, such methods are biased because they are limited to the immediate

sequence context surrounding the TISs. Nevertheless, non-coding regions of mRNAs

(i.e. 5′-UTR and 3′-UTR), which are not captured in these approaches play an

important regulatory role in selecting the start codon (Leppek et al., 2018; Mayr,

2019), which in turn affects the accuracy of TIS predictions.

Here, we developed a deep learning method based on a multilevel dilated

convolution network, named TranslationAI, to independently predict TISs and TTSs

from full-length mRNA sequences alone. This method can accurately learn the

triplicity in the genetic code, and achieve >99% accuracy in predicting known TISs

and TTSs in human transcriptome.   Our model uncovered regulatory sequences in

the UTRs that determine the strength of translation start and stop codons, and

identified thousands of new ORFs in mRNAs or annotated lncRNAs. In addition, this

model can be extended to other eukaryotes, prokaryote and several human viruses,

suggesting a strong conservation in defining coding sequences across different

domains of life.

Results

Modeling translation from full length mRNA with deep learning

We constructed a deep residual convolutional neural network, TranslationAI, using the full-length mRNA sequences as input to independently predict the TIS and TTS (Fig. 1A, see methods). Previous approaches only considered short sequence stretches around a potential start codon (Goel *et al.*, 2020; Kalkatawi *et al.*, 2019; Zhang *et al.*, 2017), or reported all potential ORFs in six frames with specified start codon (ATG), stop codon (TAG/TAA/TGA), and length cutoffs (ORFfinder in NCBI). In contrast, our deep learning model evaluates the potential of each position in a given mRNA to function as a TIS or TTS (or neither) without using any prior knowledge of translation (e.g., the triplet or identity of genetic code). Such *ab initio* prediction enables the neural network to learn the hidden rules of translation from sequence context alone.

The deep residual neural network was constructed using the one-hot encoding of mRNA sequences as input (Fig. 1A). We built a 32-layer dilated convolution neural network architecture that produces an output matrix of the probability for the given position being a TIS, TTS or neither (Fig. S1, see methods). To obtain a comprehensive and well-curated dataset, we utilized the RefSeq gene annotation and extracted 47,098 protein-coding transcripts (47,098 TIS-TTS pairs). We trained the model using the transcripts on chromosomes 2, 4, 6, 8, 10-22, X, and Y, and tested the model with the the transcripts from chromosomes 1, 3, 5, 7, and 9. The stringent top-1 accuracy was used for TIS/TTS prediction. Specifically, the score cutoff was

set at the point where the number of predicted sites is equal to the real number (i.e.,

the false positive rate equal to false negative rate, the score cutoff at this point is 0.5),

which ensured that only the high-confidence predicted sites were considered for

downstream analysis.

To explore the effect of different input windows on prediction accuracy, we

considered four input windows that include 60, 200, 600, and 2,000 (2k) nucleotides

on both sides of a given position.   Interestingly, the prediction accuracy improved

dramatically with the increasing input window size, reaching >99% accuracy with a

2k nt input window (Fig. 1B). Considering that >70% of transcripts are longer that 2k

nt (Fig. S2A), such high accuracy of TranslationAI prediction is particularly

remarkable, suggesting that the identity of TIS/TTS could be influenced by the

sequences at thousands of nucleotides away, potentially through long-range

interactions such as RNA structure or RNA binding proteins. Our findings further

suggest that TranslationAI has learned to capture these interactions using sequence

information alone.

To assess the impact of sequence context on the accuracy of TIS/TTS prediction,

we compared the performance of the models trained on 200 and 2k nt sequence

context. The 2k model achieved high prediction accuracy (>0.95) in TIS/TTS

prediction across a wide range of transcript lengths, however the accuracy of the 200

nt model decreases dramatically with increase of transcript length (Fig. 1C).   This

observation is in agreement with earlier report that distal regions far away from the

TIS/TTS can affect the translation decision (Leppek *et al.*, 2018).   With the 2k

model, the score distribution showed a clear separation between the positive and

negative predictions (Fig. 1D), suggesting that the model is robust under different

cutoff selections.   To further examine the false discovery rate (FDR), we used the 2k

model to score potential TISs/TTSs in the shuffled mRNA sequences.   At the

probability score cutoff of 0.5 for both TIS and TTS, less than 5% of the ORFs in

shuffled dataset passed the cutoff, yielding an empirical FDR < 0.05 (Fig. 1E).

Key features learned by the AI model for accurate prediction

Encouraged by the near-perfect performance of our deep learning network in

predicting translation sites, we sought to examine the features learned by this "black

box" model.   We performed systematic *in silico* perturbations on different regions of

mRNAs to measure their effects on reducing the probability scores of the authentic

TISs/TTSs.   Such perturbations can reflect how the AI model make the accurate

translation prediction in a computational sense, however the a different molecular

mechanism may be used by cells to define translation initiation and termination sites.

Nevertheless, it would be very interesting to compare how a biological process like

mRNA translation is perceived differently by the AI model and empirical tests.

We found that the replacement of annotated TISs or TTSs with random codons

dramatically reduced the predicted scores of cognate sites (Fig. 1F, Table S1),

suggesting this model had successfully learned the identity of start and stop codons

from the sequence alone. Consistently, introducing a known stop codon into the

coding sequences (CDS) led to a drastic reduction in predicted scores of both TISs

and TTSs.   In addition, the shuffling of 5′-UTRs significantly affected corresponding

TIS prediction but not TTS, whereas the shuffling of 3′-UTR had little effect on

TIS/TTS prediction (Fig. 1G, Table S1), suggesting that the sequence of 5′-UTR (but

not 3′-UTR) play a critical role in ORF selection. Surprisingly, the deletions of 5′- or

3′-UTRs had little effect on TISs/TTSs prediction, with near perfect prediction for

transcripts with only 50 nt left in the UTRs (Fig. 1G, Table S1), however the

underlying reason is unclear. Finally, random deletions of one or two nucleotides

within CDS dramatically reduced the prediction scores, whereas random deletion of

three nucleotides in CDS had little effect (Fig. 1H, Table S1), suggesting that the

model was capable of learning the triplet rule of the genetic code by itself.

To further examine if our prediction was affected by codon usage, we introduced

a series of perturbations to the genetic codons of CDS. We found that synonymous

substitutions or the in-frame triplet shuffling (maintaining the same amino acid

compositions) had little effect on the prediction, whereas nonsynonymous

substitutions caused a small but statistically significant reduction in prediction scores

(Fig. 1I, Table S1). These results suggest that, while the choice of codons has little

effect on TranslationAI, the amino acid compositions of proteins might be used by the

AI model to make prediction although such information was hidden behind the input

of RNA sequences.   Collectively, these results suggest that the TranslationAI

network has learned the rules of RNA translation from a subset of the transcriptome

without any prior knowledge.   However this model did not use all the available

information, as shuffling of 3′-UTR had little effect on the prediction, despite reports

that the sequences in 3′-UTR play key roles in translation regulation (Mayr, 2019;

Vislovukh et al., 2014).

In the RefSeq dataset, ~100% of the annotated ORFs follow the triplet codon rule

(length=3N), 98% are the longest ORFs in the transcripts, and ~40% use the first

AUG triplet as their start codon, raising the possibility that only using these simple

rules may be sufficient to make prediction.   To determine the extent to which

TranslationAI relies on these simple rules, we generated *in silico* permutations in the

test dataset and measured their effects on prediction accuracy. The introduction of

frameshift permutations significantly reduced the prediction accuracy (Fig. S2B),

however >50% of transcripts were still predicted to use original TIS/TTS despite

breaking the 3N rule (i.e. ORF length ≠ 3N), suggesting that the rule of triplet codon

is important but not unconditional for TIS/TTS prediction by TranslationAI.   In

addition, when ORF length was reduced by introducing premature stop codons or

frameshifts, TranslationAI predicted the longest ORF in ~60% cases (Fig. S2C), again

suggesting that such a simple rule was partially followed by this model. Finally, the

preference for the first AUG was also affected by several permutations throughout the

transcript, including changes in TIS and the introduction of early stop or frameshift

(Fig. S2D).   Interestingly, shortening the length of the 5′-UTR increased the ratio of

first AUG usage, whereas shuffling of the 5′-UTR reduced it, suggesting that the 5′-

UTR sequence plays a critical role in TIS selection (Leppek *et al.*, 2018; Mayr, 2019).

Collectively, these results indicate that TranslationAI has learned additional features

beyond simple traditional rules (i.e., the longest ORF, triplet code, and preference of

the first AUG) for accurate prediction, implying that the adjacent sequences near

TIS/TTS also play crucial roles in selecting translation start and stop sites.

We found no correlation (Spearman's correlation coefficient close to zero)

between the predicted score of TIS/TTS and the number of transcript isoforms

containing the same TIS/TTS sequences (Fig. S2E), suggesting that the scores of this

model were not biased by the training data. Additionally, the TIS and TTS scores in

the same ORF had a weak but statistically significant positive correlation (Spearman's

correlation coefficient $r = 0.2$, $p < 1*10^{-16}$) (Fig. S2F), although they were predicted

independently.   Interestingly, the TIS/TTS scores by TranslationAI showed a positive

correlation with the translation efficiency estimated by the ratio of read density from

Ribosome profiling (Ribo-seq) normalized by mRNA abundance (Chen *et al.*, 2020)

(Fig. S2G and S2H), suggesting that these predicted scores partially reflect their

endogenous translational activities.

Examine the sequences surrounding the TISs/TTSs of different strengths

We next sought to compare the key features between the strong and weak

TIS/TTS sites defined by the TranslationAI scores (the top *vs.* the bottom 5th

percentile). Interestingly, the sequences surrounding strong TISs/TTSs are more

conserved than those around weak TISs/TTSs (Fig. 2A), implying additional selective

pressures for translation.   Additionally, we examined the ribosome occupancy on the

mRNAs with different TIS/TTS scores using Ribo-seq data from iPSC and iPSC-

derived cardiomyocytes (Chen *et al.*, 2020; Fields et al., 2015).   Our analysis

revealed that the transcripts with strong TISs or TTSs exhibit a higher ribosome peak

at the start or stop positions (Fig. 2B-2C, Fig. S3A-S3B), which is consistent with

their higher activity in mediating translation initiation or termination. These results

suggest that the predicted scores of TISs/TTSs reflect their activities in regulating

translational initiation/termination.　In addition, the transcripts with weak TIS/TTS

generally have a longer 5′-UTR than those with strong TIS/TTS (Fig. S3C), but such

length difference was observed in the 3′-UTRs only for TTS with different strengths.

However the implication behind such length difference is unclear.

We further examined the enriched sequence motifs around the strong *vs.* weak

TISs/TTSs (from -30 nt to +33 nt) (Fig. 2D).　As expected, we found an enriched

motif RCC<u>ATG</u>GC (R = A or G, with start codon underlined) at the strong TISs,

resembling the Kozak sequence that typically enhances translation initiation (Kozak,

1986; 1987).　We also discovered a novel motif S<u>TGA</u>G at the strong TTSs but not

the weak TTSs (S = C or G, with stop codon underlined, Fig. 2D).　Surprisingly, the

fragments surrounding the strong TTS are generally biased towards CG-rich

sequences, implying that the C/G-rich region may mediate translation termination.

Moreover, the C/G enrichment showed a triplet periodicity before the strong TTSs

(Fig. 2D), with the third position of a codon being most C/G biased.　Such codon

bias probably reflects the evolutionary selection under the constrain of protein

sequences, suggesting an unappreciated role of codon usage in translation termination.

To confirm this codon bias, we analyzed the 30-nt region immediately upstream

of the stop codon of human mRNA (equivalent of 10 codons).　Consistent with the

motif enrichment, the synonymous codons with C/G at the third position were

preferably used before the strong TTSs (Fig. 2E).   Remarkably, such codon bias was

statistically significant for all 18 amino acids with multiple codons (Fig. 2E),

particularly for the amino acids with 4-6 codons (e.g., Thr, Val and Ser etc, Fig. S3D).

Together, these results reveal a complicated new role of TTS in translational

regulation, and indicate that the TranslationAI has learned this codon bias to make a

TTS prediction.

To experimentally validate the unexpected role of codon bias in translation

termination, we designed a series of translation readthrough reporters with different

TTSs (Fig. 2F).   This reporter contains two independent transcription units, one

encoding the firefly luciferase (Fluc) as a transfection control, and the other encoding

a fusion protein of GFP and Nano-luciferase (Nluc) separated by a set of short

variable sequences (45 nt) containing candidate TTSs of different strengths (Fig. 2F).

As a control, we inserted the sequence around the terminal codon of the human

vitamin D receptor (VDR) gene, which is known to undergo translation readthrough

(Loughran et al., 2018).   We further introduced different synonymous mutations

before the termination codon of VDR to either strengthen or weaken the TTS, and

assayed the levels of mRNA and translation products from these reporters (Fig. 2F

and S3E).   The results showed that introducing the C/G-rich synonymous mutations

in VDR (i.e., generating a strong TTS) eliminated the product from translation

readthrough (indicated by arrows, Fig. 2F).   Conversely, a weak TTS mutation with

A/U-rich synonymous codons before the stop codon increased the translation

readthrough.   This experiment directly supported our finding on the role of codon

selection in translation termination.   As an independent validation, we re-analyzed

the Ribo-seq data from two distinct cell lines to examine potential translation read

through (Chen *et al.*, 2020).   As expected, the ribosomal density in the 3′-UTRs

following weak TTSs was significantly higher than that following strong TTSs (Fig.

2G), suggesting a translation "leakage" following the weak termination sites.

Alternative TIS predicted by TranslationAI

Certain mRNAs may use alternative TIS to produce additional protein isoforms

with extended N terminals (de Klerk and t Hoen, 2015), therefore we further examined

if the transaltionAI can predict alternative TISs that may compete with the annotated

sites (see methods).   Based on the distribution of translationsAI scores (Fig. 1D), we

used the score cutoff at 0.1 to increase the sensitivity of the alternative TISs prediction.

In total, 5336 alternative TISs have been identified. Interestingly, the numbers of

alternative TIS identified by TranslationAI is negatively correlated with the strength of

the annotated TISs (Fig. S3F), suggesting that alternative translation initiation may

happen in the mRNA without dominant TIS.   Specifically, ~55% of mRNAs with

weak annotated TISs contain an alternative TIS (1340 out of 2416 mRNAs), whereas

the alternative TISs were found in less than 3% of mRNAs with strong annotated TISs

(68 out of 2352 mRNAs). In addition, the score difference between the annotated TISs

and the alternative TIS was much smaller in the mRNAs containing weak TISs (Fig.

S3G, left), whereas the mRNAs with strong annotated TISs generally lack alternative

TISs with a competitive score (Fig. S3G, right).   A consistent result was also found

when we only considered the alternative TISs in the same reading frame with the

annotated TISs (Fig. S3G). These results collectively suggested that many mRNAs

with weak TISs may also be translated from an alternative start site.

Further analysis suggested that the sequences at predicted alternative TISs are less

conserved than the annotated TISs (Fig. S3H), suggesting that these new TISs may be

emerged more recently during evolution. The predictions of alternative TISs were

further supported by the Ribo-seq data obtained in two different cell lines (Chen *et al.*,

2020), where the ribosome density in the 5′-UTRs could reflect the noncanonical

translation initiation driven by alternative TIS. Consistent with our prediction, the

transcripts with a predicted alternative TIS showed significantly higher ribosomal read

density in their 5′-UTRs compared to the mRNAs without alternative TIS (Fig. S3I),

suggesting a leaky translation in the UTRs due to alternative translation initiation.

Identify non-canonical ORFs in human transcriptome

It was recently reported that non-coding RNAs in the human transcriptome or the

non-coding regions of mRNAs may contain unannotated ORFs that are translated into

new proteins or small peptides (Chen *et al.*, 2020; Jackson *et al.*, 2018). Therefore,

we next used TranslationAI to examine the noncanonical ORFs in human

transcriptome. Using the stringent cutoff for positive TISs/TTSs (i.e., same cutoff in

mRNAs), we were able to identify 4618 noncanonical ORFs defined by new TIS/TTS

pairs (Fig. 3A). These newly predicted ORFs included 501 upstream ORFs (uORFs,

exemplified in Fig. 3B), 115 downstream ORFs (dORFs, exemplified in Fig. 3B), 208

overlapping ORFs with different reading frames from the annotated ORFs (Table S3).

In addition, the model also identified 3794 new ORFs from annotated noncoding

RNAs (Fig. 3A, Table S4). These results demonstrate the potential for deep learning

approaches to uncover previously unrecognized translation events and shed light on

new regulatory mechanisms in gene expression.

We next validated the prediction by comparing the predicted TISs in new ORFs

with all TISs experimentally identified *via* ribosome profiling (Chen *et al.*, 2020).

We found that TranslationAI accurately predicted 99.6% of the annotated ORFs,

however a smaller fraction of the noncanonical ORFs supported by Ribo-seq were

successfully predicted by TranslationAI (39% of uORFs, 37% of dORFs, 24% of dual

reading frame, and 43% new ORFs in lncRNAs were identified, Fig. 3C), suggesting

that this model is biased towards canonical TISs/TTSs.　Since the training set only

contain canonical TIS/TTS information, the TranslationAI model may have learned

limited features of non-canonical TISs/TTSs (see discussion).

We further focused on the thousands of predicted new ORFs from non-coding

RNAs (ncRNAs), which are annotated in ENSEMBL as lncRNA, antisense RNA,

miRNA precursors, processed transcripts, etc. (Fig. 3D).　The shuffled sequences of

all lncRNAs were analyzed using the same deep learning model as a background

control.　We found that the "non-coding" RNAs indeed contains significantly more

ORFs than their shuffled counterparts (Fig. 3E), suggesting that some of the predicted

ORFs are authentic.　While previous reports suggested that some lncRNAs can be

translated into small peptides (Chen *et al.*, 2020; Jackson *et al.*, 2018; Matsumoto *et*

al., 2017; Yang *et al.*, 2017), detailed analysis of these noncanonical ORFs was

inadequate. Our results showed that the newly predicted ORFs in lncRNA are

significantly longer (Fig. S4A) and more conserved in 46 vertebrates (Fig. S4B)

compared to the control ORFs defined by the longest fragments between ATG and

TAA/TAG/TGA in all lncRNAs, suggesting a potential functionality.   However, the

TISs/TTSs from translatable lncRNAs have lower scores and are less conserved

compared to canonical TISs/TTSs from mRNAs (Fig. S4C), implying that the

translation efficiency of these newly identified ORFs may be lower than those from

annotated mRNAs.   This could partially explain why these 'lncRNAs' were

originally defined as non-coding RNAs.

To validate the prediction of these new ORFs, we re-analyzed the Ribo-seq data

from iPSC and cardiomyocytes (Chen *et al.*, 2020).   In total 64 newly predicted

ORFs by TranslationAI were supported by Ribo-seq signals in either cell line (Table

S4).   The ribosome density of translatable lncRNAs closely resembled the footprints

from control mRNAs, with strong trinucleotide periodicity that is indictive of active

translation (Fig. 3F and Fig. S4D).   In addition, mass spectrometry (MS)-based

proteomics confirmed the stable expression of 191 non-canonical peptides (Table S4,

with two examples shown in Fig. 3G).   Among them, the ORFs in 10 lncRNAs were

supported by both Ribo-seq and MS.   The low number of experimentally validated

ORFs may be contributed by several factors, including the cell line specific

expression of most lncRNAs, the generally low expression level of lncRNAs, and the

limited recover rate in both Ribo-seq and MS experiments.

Notably, we have correctly predicted ten out of the thirteen translated lncRNAs

validated by independent functional studies, including the HOXB-AS3 that was

reported to encode a 53aa peptide (Huang et al., 2017), the LINC00961 that encodes a

90aa peptide involved in the mTOR activation (Matsumoto *et al.*, 2017), and the

RP11-132A1.4 that encodes a functional peptide of 124aa (Chen *et al.*, 2020). All of

the three lncRNAs were mis-annotated in RefSeq, probably because their expression

and translation are limited to specific cell types. It is also worth noted that the

predicted ORFs in annotated lncRNAs generally have low scores in our model (Table

S4), which could be attributed to the short ORF length or the presence of a long 5′-

UTR. Nevertheless, these results highlight the potential application of AI model in the

future study of translatable lncRNA.

TranslationAI accurately predicts TISs of other eukaryotes and viruses

An "universal genetic code" is used from bacteria to mammals, suggesting that

translation machinery is highly conserved across different organisms.   Therefore, we

sought to examine if the model trained on human transcriptome can also predict

translation sites in other organisms. The accuracies of translation predictions for all

eukaryotes tested (Human, Mouse, Zebrafish, Drosophila, Arabidopsis and budding

yeast *S. cerevisiae*) were remarkably high (> 90% for all predictions, except that the

yeast TIS prediction accuracy is 89%, Fig. 4A), suggesting that our model has learned

the essential features generally required for translation initiation and termination in all

eukaryotes.

We further assess the performance of TranslationAI model trained with human

transcripts on the polycistronic transcription units of E. *coli*, which may reflect how

much of the coding sequence might be conserved between eukaryotes and

prokaryotes.   Surprisingly, we obtained a 65% accuracy in *E. coli* with the deep

learning model using all parameters trained on the human transcriptome, even though

most *E. coli* genes are transcribed as polycistronic units with very short UTRs and

different set of genetic codons.   Considering the lack of transcriptomic and

proteomic information for most bacteria, this model could be useful in predicting their

ORFs based solely on their genome.   Furthermore, we extended this model on the

transcriptomes of *Arabidopsis* chloroplast and human mitochondrion, and the

resulting prediction accuracies were ~30% and <1%, respectively (Fig. S5).   Given

that the translation mechanism and the genetic codon in chloroplast and

mitochondrion are drastically different from the human cells, such drop of prediction

accuracy is not unreasonable.

Finally, we tested whether this model can predict the TISs/TTSs of human viruses

that rely on the host cell machinery for protein synthesis, albeit through different

translational mechanisms (Stern-Ginossar et al., 2019).   Taking two RNA viruses

(Ebola and SARS-CoV-2) as examples, we found that the model trained with human

data can accurately predict all TISs and TTSs of Ebola virus even when we used the

entire genomic RNA sequence as the input (Fig. 4B and Fig. S6A).   In addition to

the annotated TISs and TTSs, we also predicted a new internal translation start site in

the GP gene in Ebola (Fig. 4B), suggesting an additional translation isoform for GP

with truncated N-terminus.

Compared to Ebola virus, TranslationAI achieved a lower prediction accuracy for

SARS-CoV-2, with 8 out of 12 annotated TISs and 10 out of 12 annotated TTSs being

predicted with high confidence by sub-genomic RNAs (Wang et al., 2021) (Fig. 4C

and Fig. S6B). In addition, our model also made several false positive predictions of

TISs/TTSs in SARS-CoV-2 genome (Fig. S6B).   Similar performance was observed

with either genomic or sub-genomic RNAs as input.   A notable difference between

these two viruses is that the Ebola genome is transcribed into monocistronic mRNAs

with both UTRs, whereas the SARS-CoV-2 mRNAs are mainly polycistronic genomic

or subgenomic RNAs with short spacer sequences between each ORF (Banerjee et al.,

2020; Wang *et al.*, 2021).   This may partially explain the different performances of

TranslationAI for different viruses.

Taken together, our results suggest that the model containing parameters trained

on human transcriptome can accurately predict ORFs in a wide range of organisms.

However, in certain cases where the translation regulation is drastically different (e.g.,

short UTRs or different codon system), the application of this model should be carried

out with caution.

Discussion

As one of the most effective and powerful machine learning methods, the deep learning network has been widely used to study complex biological questions ranging from population genetics to precision medicine (Bogard *et al.*, 2019; Eraslan *et al.*, 2019; Vamathevan *et al.*, 2019; Zhou *et al.*, 2018).   Here we developed a deep learning architecture, TranslationAI, for *ab initio* prediction and systematic analysis of translation initiation and termination in entire transcriptome.   Remarkably, the model trained with ~70% of human transcripts achieved a near-perfect prediction accuracy in not only human, but also a wide range of eukaryotic organisms.   The model can also identify new ORFs in annotated noncoding RNAs, some of which were confirmed by independent Ribo-seq and mass spectrometry experiments.   Further analysis of this predictive model also identified a new regulation rule for translation termination, providing mechanistic insights into the complex regulation of RNA translation.

The remarkable accuracy of TranslationAI suggests that this "black box" model have learned useful information with biological relevance.   We found that the model has successfully learned the identity of canonical start and stop codons (Fig. 1F), which account for the vast majority (99.4% start codon and 99.6% stop codon) of the training set.   The model did not identify any TIS/TTS with noncanonical start or stop (i.e, non-AUG start or non-UAG/UAA/UGA stop), probably because they are extremely rare in the training data.   Additional features, including triplet reading frameshift, 5′-UTR sequence, and amino acid composition, also contribute to the prediction accuracy. However, all these features contributed partially to the model in terms of TIS/TTS prediction, as deleting these features did not completely invalidate

the prediction (Fig. 1G-I).

Surprisingly, several known features associated with translation regulation,

including the 3′-UTR sequence, synonymous mutation, and sequences of translation

product, showed small effect on the accuracy of TIS/TTS prediction, implying that

they may not play a dominant role in selection of translation sites.   More

importantly, we found several new features can influence the prediction of TIS/TTS,

such as the sequence motif around the strong TTS, suggesting additional determinants

for translation termination.   These features may open up new avenues for

investigating the molecular mechanisms underlying translation control. Future

exploration of the predictive features learned by the AI model may provide

mechanistic insights into the functional interactions between the mRNA and

translation machine.

We also used this model to assess the single nucleotide variants (SNVs) that may

alter the TISs/TTSs and cause functional consequences.   Surprisingly, we did not

identify any disease-associated SNVs in the ORF that can alter the TIS/TTS

prediction, unless the mutation directly changed the start or stop codon.   This is

consistent with the finding that the synonymous/non-synonymous substitutions of

amino acids had little effect on TIS/TTS prediction (Fig. 1I).   These results suggest

that small changes in coding sequence do not significantly impact ORF selection by

the translation machinery, *i.e.,* the translation initiation and termination appear to be

unaffected by the elongation steps.   Alternatively, it is also possible that the model

does not rely on the nucleotide composition within ORFs for its prediction.

This model also allowed us to identify novel regulatory motifs associated with

TIS and TTS.   We discovered, for the first time, that the strong stop codons are

surrounded by novel motifs that are generally CG-rich, especially in the third position

of the codons immediately before the stop codon.   Consistently, there is a codon bias

associated with the strong termination site (Fig. 2E), and the synonymous mutations

with low CG-contents will introduce translation readthrough in a reporter assay (Fig.

2F).   Because the GC-rich codons were found to be associated with slow translation

(Tunney et al., 2018), we speculate that the strength of the stop codon is associated

with the decreased translation elongation rate prior to reaching the stop codon.   This

regulation may be attributed to the changes in the strength of ribosome's interaction

with the mRNA before the ribosomes reach the termination site, which "decelerate"

the translation before an efficient termination. Alternatively, the motif could alter the

ribosome's conformation, thereby influencing translation termination. This finding

suggests that the translation regulation is more intricate than previously thought, and

further exploration on this phenomenon may shed light on the mechanism of

translation termination and readthrough.

The deep learning network trained with human transcripts achieved a surprisingly

high accuracy for the prediction of ORFs in bacteria, yeast, plants and certain viruses

using only their genome sequences as input (Fig. 4). This result is particularly

interesting given that some of these organisms have polycistronic transcription units

or genomes, suggesting that the TranslationAI can predict not only uORFs and dORFs

in human, but also ORFs in polycistronic sequences from other organisms.   However

this model failed to predict mitochondrial ORFs and showed mediocre performance

for SARS-CoV-2, probably due to the presence of frameshift regions, overlapping

ORFs, and a lack of sufficient intergenic sequences to separate different ORFs.

These results suggest that additional training may be required to improve the model's

performance on more complex or noncanonical genomes.

Despite its high accuracy in predicting canonical TISs, TranslationAI has some

limitations.   First, our model currently struggles in predicting non-canonical TISs,

especially for uTISs with non-AUG start codons.   This is probably due to the bias in

the training dataset, which mainly consists of mono-cistronic translation units with

AUG start codon. We attempted to train this model using a subset of mRNAs with

multiple annotated ORFs, however the accuracy (~75%) was not as high as that of

canonical transcripts, possibly due to the small size of the training data. Furthermore,

although this model can predict the strengths of different TISs in the presence of

multiple ORFs, it is still challenging to predict which TIS will be used in specific cell

lines or tissues.   Expanding the ribosome profiling data in different cell types may

help refine the tissue-specific translation prediction model.   Finally, the model uses a

stringent threshold under the assumption that there is a dominant ORF in each

transcript.   While this assumption holds well for most transcripts, it may be incorrect

in some cases.   With more non-canonical ORFs being discovered, the score

threshold may need to be modified to better fit different type of transcripts.   In

summary, with increasing translation datasets and more refined parameters, we

believe that the TranslationAI framework will improve ORF prediction and deepen

our understanding of the complexity in translation control.

Methods

Architecture of the TranslationAI deep learning model

We constructed a deep residual neural network using one-hot encoding to represent mRNA sequences as input (Fig. 1A). Our approach employed a 32-layer dilated convolutional neural network architecture (Fig. S1) to generate an output matrix representing the probabilities of a given position being a TIS, TTS, or neither (NS).

Four models were developed with distinct architectures, incorporating 60, 200, 600, or 2,000 nucleotides on both sides of a position of interest within the full-length mRNA sequence as input (Fig. S1). Each model produces probability scores for TIS, TTS, and NS, with the sum of these probabilities equaling one.

The TranslationAI consists of several stacked residual blocks that connect the input layer to the penultimate layer, a framework previously developed for image recognition (He et al., 2016). A convolutional unit with softmax activation links the penultimate layer to the output layer. To enhance convergence speed during training, the output of every fourth residual block is added to the input of the penultimate layer (Fig. S1).

The fundamental unit of the TranslationAI model is a residual block, which contains two batch-normalization layers, two rectified linear units (ReLU), and two convolutional units arranged in a specific sequence (Fig. S1). Each residual block includes three hyper-parameters: N, W, and D. N represents the number of convolutional kernels, W signifies the window size, and D indicates the dilation rate

of each convolutional kernel. Given that a convolutional kernel with window size W

and dilation rate D processes features across (W-1)×D neighboring positions, a

residual block with two convolutional units can handle features across 2(W-1)×D

neighboring positions. As a result, a TranslationAI model with K stacked residual

blocks is capable of extracting features spanning $\sum_{i=1}^{K} 2(W_i - 1)D_i$ neighboring

positions, where N_i, W_i, and D_i correspond to the hyper-parameters of the i-th residual

block.

Model training and testing

To obtain a comprehensive, well-curated, and non-redundant set of sequences, we

utilized the RefSeq gene annotation and extracted 47,098 protein-coding transcripts

with 47,098 TIS-TTS pairs. Different splicing isoforms of the same gene are treated

as different transcripts. For model training, we selected transcripts located on

chromosomes 2, 4, 6, 8, 10-22, X, and Y, which contain 13,707 genes with 34,292

TIS-TTS pairs.   For model evaluation, the transcripts from chromosomes 1, 3, 5, 7,

and 9, containing 5,524 genes with 12,806 TIS-TTS pairs, were used. To mitigate

overfitting, 10% of the training set were randomly chosen for early-stopping

determination during training, while the remainder were used for model training.

We employed a sequence-to-sequence approach with a chunk size of 6,000 for

model training and testing. The full-length mature mRNA sequences were one-hot

encoded, with A, C, G, and U mapped to [1, 0, 0, 0], [0, 1, 0, 0], [0, 0, 1, 0], and [0, 0,

0, 1], respectively. We then zero-padded the one-hot encoded nucleotide sequences

until their lengths were multiples of 6,000 and further padded them with flanking

sequences of length S/2 at the beginning and end, where S corresponds to 60, 200,

600, or 2,000 for the TranslationAI-60, TranslationAI-200, TranslationAI-600, and

TranslationAI-2k models, respectively. The padded nucleotide sequences were

divided into blocks of length S/2 + 6,000 + S/2, with the i-th block consisting of

nucleotide positions from 6,000(i-1) – S/2 + 1 to 6,000i + S/2. In parallel, the output

label sequences were one-hot encoded as TIS ([0, 1, 0]), TTS ([0, 0, 1]), or neither ([1,

0, 0]). The one-hot encoded TIS/TTS output label sequences were also zero-padded

until their lengths reached multiples of 6,000, and then split into 6,000-length blocks,

with the i-th block containing positions from 6,000(i-1) + 1 to 6,000i. These one-hot

encoded nucleotide sequences and their corresponding label sequences served as the

inputs and outputs for the models, respectively.

The models were trained for 10 epochs using a batch size of 18 on two NVIDIA

Tesla K80 GPUs. During training, the categorical cross-entropy loss between target

and predicted outputs was minimized using the Adam optimizer. The optimizer's

learning rate was set to 0.001 for the first six epochs and halved in each subsequent

epoch. For each model, the training procedure was repeated 10 times, and the top five

models based on performance were selected. During testing, each input was assessed

using all five trained models, and the average of their outputs was utilized as the

predicted output. This rigorous training and testing process aimed to ensure optimal

performance and reliability in the resulting models.

The performance of the TIS/TTS prediction models was measured with top-k

accuracy. This metric measures the fraction of correctly predicted positions among the

top-k predicted sites, where k represents the number of true TIS/TTS sites in the

dataset. For example, if the dataset contains k1 TISs and k2 TTSs, we set the score

cutoff to predict exactly the top-k1 and top-k2 positions as TIS and TTS sites,

respectively. It is worth noting that, unless specifically mentioned otherwise, only the

top-k predicted sites were used for downstream analysis in our study.

Role of transcript lengths in mRNA translation

To study the effect of transcript length on translation sites prediction, we sorted

the mRNAs in order of increasing length. Using the TranslationAI-200 and

TranslationAI-2k models, we calculated the positive rate of TIS/TTS for each

transcript by comparing the number of positive TISs to the total number of transcripts

with lengths shorter than the given transcript. We plotted these positive rates against

the transcript length, as shown in Fig. 1C. Additionally, we included a histogram of

transcript lengths from the 47,098 transcripts analyzed in the background of the

figure.

Codon distribution at upstream of stop codon

To examine the codon distribution upstream of stop codon, we quantified the

frequency of each codon at the -30nt position relative to both strong and weak stop

codons. Specifically, we calculated the frequency of each codon as the number of

occurrences of that codon at the -30nt position divided by the total number of RNAs

analyzed at that position.

Conservation analysis

To evaluate the conservation levels of different RNAs, we obtained the

PhastCons46 scores from the PhastCons46 or PhyloP46 track in the UCSC Genome

Browser. These scores were generated from genome alignments of 46 vertebrates,

including human (hg19), and provide a measure of evolutionary conservation across

species. Each box plot displays the median as the central line, while the upper and

lower edges of the box represent the first and third quartiles, respectively.

Non-canonical TISs/TTSs identification

To systematically identify non-canonical TISs and TTSs in transcriptomes, we

input human transcriptome into TranslationAI model, and retained only those RNAs

that met the threshold criteria (prediction score > 0.5) for both TIS and TTS as

translatable RNAs. The ncRNA dataset were retrieved from Ensembl build 75, which

was previously used in the published study (Chen *et al.*, 2020) that identified

hundreds of noncanonical ORFs using ribosome profiling and mass-spectrometry.

To compare the TISs predicted by TranslationAI with TISs identified by ribosome

profiling, we expanded our predicted TIS library by retaining the top 10 TISs for each

gene predicted by the TranslationAI. This approach was necessary as ribosome

profiling often identifies multiple ORFs from one gene.

The alternative TISs were defined as the TIS with the highest score (>0.1),

excluding the annotated TIS. In addition, in-frame alternative TISs were defined as

TISs where the distance between the annotated TIS and alternative TIS is a multiple

of three.

Ribosome footprint data analysis

The ribosome profiling data analysis was performed on a previously published

ribosome footprint data that were retrieved from no drug treatment iPSC and iPSC-

induced iPSC-derived cardiomyocytes cells being deposited in GEO database under

accession number GSE131650. The genome assembly used throughout this

manuscript is hg19/GRCh37 annotated by GENCODE v27. We first filtered out reads

aligning to rRNAs using Bowtie v1.0.0 and aligned the remaining reads to the

annotated transcriptome with STAR v2.7.5a, using the --outSJfilterReads Unique --

outFilterMultimapNmax 1 --outFilterMismatchNmax 999 --

outFilterMismatchNoverReadLmax 0.04 to filter multiple aligned and redundant

reads. These alignments were assigned a specific P-site nucleotide using a 12-nt offset

from the 3′ end of reads. Metagene plots were generated by normalizing read density

around the start and stop codons of each gene (i.e, read depth at each position divided

by the average reads of each position in one transcript and the number of the covered

transcripts).

To estimate the correlation between predicted TIS/TTS scores and mRNA

translation efficiency, we ranked and divided the scores into percentiles (n~1580

transcripts/percentile, Fig. S2G-S2H). We calculated the translation efficiency of

transcripts from ribosome profiling data in two human cell lines as the ratio of Ribo-

seq read density to RNA-seq read density.

Motif identification of strong and weak TISs/TTSs

Motif discovery and analysis were performed using the Meme suite (v5.0.5). The

strong and weak TIS/TTS containing sequences (-30nt : + 33nt) were collected as

primary sequences for motif prediction. Additionally, all TIS/TTS containing

sequences (-30nt : +33nt) were used as control sequences. Meme was employed to

identify enriched motifs, with a maximum length of 63nt, that occur any number of

times within the given sequence.

MS Proteomics and HLA Peptidomics data analysis

MS-based proteomics data are deposited to the ProteomeXchange Consortium via

the Proteomics Identifications Database (PRIDE) partner repository with the dataset

identifier PXD014031 and PXD000394. Using an open search engine pFind (v3.1.3),

we searched the two previously published human proteome datasets against a combined

database (25692 proteins) containing all UniProt human proteins and the potential non-

canonical ORFs-coded peptides (uORFs, dORFs, dual frame ORFs, and new ORFs).

We selected positive mass spectra using following thresholds: q < 0.01, peptides length

$\geqslant$ 8, missed cleavage sites $\leqslant$ 3, allowing only common modifications (cysteine

carbamidomethylation, oxidation of methionine, protein N-terminal acetylation, pyro-

glutamate formation from glutamine, and phosphorylation of serine, threonine, and

tyrosine residues).

The resulting peptides were searched against non-redundant human protein

database using blastp-short and the peptides with less than two mismatches from known

proteins were removed.

Transcriptomes of other species

The genome sequence and gene annotations of *Mus musculus* (mm10), *Danio*

rerio (danRer11), *Drosophila melanogaster* (dm6), Ebola virus (eboVir3), SARS-

CoV-2 (wuhCor1), and human mitochondrion are obtained from UCSC database. The

sub-genomic sequences of SARS-CoV2 were obtained from a published study (Wang

et al., 2021). The genome sequence and gene annotation of Arabidopsis thaliana

(TAIR10) are obtained from TAIR database. Regarding E. coli (Escherichia coli K-12

substr. MG1655), its transcription unit is retrieved from EcoCyc. The mRNA

information (5′-UTR, CDS, and 3′-UTR) for yeast was obtained from *Saccharomyces*

Genome Database (SGD). The genome sequence and gene annotation of Chloroplast

(Arabidopsis thaliana) was obtained from NCBI database. The genome sequence of

human mitochondria was obtained from NCBI database and its gene annotation file

was obtained from GENCODE database.

Plasmid construction and Transfection

To construct the reporter (pcDNA5-HA-GFP-stop_motif-Nluc-Flag) for testing

the translation readthrough products, the Fluc-Nluc luciferase sequence (a gift from

prof. Rachel Green) was cloned into pcDNA5 backbone digested by SpeI and XbaI

(Wangen and Green, 2020). The GFP sequence was amplified (fused with two BsmBI

restriction fragments) and inserted before Nluc. The modified VDR sequences were

synthesized (GENEWIZ) and cloned into BsmBI digested vector.

HEK293T cell line was cultured in DMEM (high glucose) medium containing

10% fetal bovine serum (FBS, Hyclone). To transient transfect plasmids into cells,

2 µg of reporters were transfected into cells in 6 well plate using lipofectamine 3000

(Invitrogen) according to the manufacturer's instruction. After 48 h, cells were

collected for further analysis of protein level.

Western blot

Cells were lysed in laemmli buffer, and the total cell lysates were resolved with 4-

20% ExpressPlus™ PAGE Gel (GeneScript). The following antibodies were used:

HA-Tag antibody (CST: 3724S) was diluted by 1:2000, V5 antibody (CST: 13202S)

was diluted by 1:2000, Flag antibody (Sigma: F1804-1MG) was diluted by 1:2000.

The HRP-linked secondary antibodies (CST: 7076S) were used by 1:4000 dilution

and the blots were visualized with the ECL reagents (Bio-Rad).

Data and software availability

Training and testing data, prediction scores for all possible single nucleotide

substitutions in the reference genome and source code are publicly hosted at GitHub

(https://github.com/rnasys/TranslationAI). Prediction scores and source code are

publicly released under GPL v3 and are free for use for academic and non-commercial

applications. Raw data from Figures 2G and S3E were deposited on Mendeley at

https://data.mendeley.com/v1/datasets/rsssc3hnc4/draft?preview=1.

Acknowledgments

We thank Dr. Rachel Green for kindly sharing the plasmids of translation read-through. This work is supported by the Strategic Priority Research Program of Chinese Academy of Sciences (XDB38040100 to Z.W.), the National Key Research and Development Program of China (2021YFA1300503 and 2018YFA0107602 to Z.W.), the National Natural Science Foundation of China (32030064, 32250013, 91940303 and 31730110 to Z.W.; 32100430 to X.F.), the Starry Night Science Fund at Shanghai Institute for Advanced Study of Zhejiang University (SN-ZJU-SIAS-009) and the NIH Basic Research in Cancer Health Disparities Award to S.R.P. and J.A.F. (R01CA220314). Z.W. is also supported by CAS Interdisciplinary Innovation Team and the type A CAS Pioneer 100-Talent program.

Author Contributions

Conceptualization, Z.W., X.F. and T.C.; Methodology, X.F., T.C., and Z.W.; Software, X.F. and T.C.; Experiments, C.C.; Writing and discussion, X.F., Z.W., T.C., and M.H.; Funding acquisition, X.F., and Z.W.

Declaration of Interests

The authors declare no competing financial interests.

References

References

Banerjee, A.K., Blanco, M.R., Bruce, E.A., Honson, D.D., Chen, L.M., Chow, A., Bhat, P., Ollikainen, N.,

Quinodoz, S.A., Loney, C., et al. (2020). SARS-CoV-2 Disrupts Splicing, Translation, and Protein Trafficking

to Suppress Host Defenses. Cell *183*, 1325-1339 e1321. 10.1016/j.cell.2020.10.004.

Bogard, N., Linder, J., Rosenberg, A.B., and Seelig, G. (2019). A Deep Neural Network for Predicting and

Engineering Alternative Polyadenylation. Cell *178*, 91-106 e123. 10.1016/j.cell.2019.04.046.

Chen, J., Brunner, A.D., Cogan, J.Z., Nunez, J.K., Fields, A.P., Adamson, B., Itzhak, D.N., Li, J.Y., Mann, M.,

Leonetti, M.D., and Weissman, J.S. (2020). Pervasive functional translation of noncanonical human open

reading frames. Science *367*, 1140-1146. 10.1126/science.aay0262.

Chen, W., Feng, P.M., Deng, E.Z., Lin, H., and Chou, K.C. (2014). iTIS-PseTNC: a sequence-based predictor

for identifying translation initiation site in human genes using pseudo trinucleotide composition. Anal

Biochem *462*, 76-83. 10.1016/j.ab.2014.06.022.

de Klerk, E., and t Hoen, P.A. (2015). Alternative mRNA transcription, processing, and translation:

insights from RNA sequencing. Trends Genet *31*, 128-139. 10.1016/j.tig.2015.01.001.

Eraslan, G., Avsec, Z., Gagneur, J., and Theis, F.J. (2019). Deep learning: new computational modelling

techniques for genomics. Nat Rev Genet *20*, 389-403. 10.1038/s41576-019-0122-6.

Fields, A.P., Rodriguez, E.H., Jovanovic, M., Stern-Ginossar, N., Haas, B.J., Mertins, P., Raychowdhury, R.,

Hacohen, N., Carr, S.A., Ingolia, N.T., et al. (2015). A Regression-Based Analysis of Ribosome-Profiling

Data Reveals a Conserved Complexity to Mammalian Translation. Mol Cell *60*, 816-827.

10.1016/j.molcel.2015.11.013.

Frankish, A., Diekhans, M., Ferreira, A.M., Johnson, R., Jungreis, I., Loveland, J., Mudge, J.M., Sisu, C.,

Wright, J., Armstrong, J., et al. (2019). GENCODE reference annotation for the human and mouse

genomes. Nucleic Acids Res *47*, D766-D773. 10.1093/nar/gky955.

Gao, X., Wan, J., Liu, B., Ma, M., Shen, B., and Qian, S.B. (2015). Quantitative profiling of initiating

ribosomes in vivo. Nat Methods *12*, 147-153. 10.1038/nmeth.3208.

Goel, N., Singh, S., and Aseri, T.C. (2020). Global sequence features based translation initiation site

prediction in human genomic sequences. Heliyon *6*, e04825. 10.1016/j.heliyon.2020.e04825.

He, K., Zhang, X., Ren, S., and Sun, J. (2016). Deep Residual Learning for Image Recognition. Proceedings

of the IEEE Conference on Computer Vision and Pattern Recognition, 770-778.

https://doi.org/10.1109/CVPR.2016.90.

Hinnebusch, A.G. (2011). Molecular mechanism of scanning and start codon selection in eukaryotes.

Microbiol Mol Biol Rev *75*, 434-467, first page of table of contents. 10.1128/MMBR.00008-11.

Huang, J.Z., Chen, M., Chen, D., Gao, X.C., Zhu, S., Huang, H., Hu, M., Zhu, H., and Yan, G.R. (2017). A

Peptide Encoded by a Putative lncRNA HOXB-AS3 Suppresses Colon Cancer Growth. Mol Cell *68*, 171-

184 e176. 10.1016/j.molcel.2017.09.015.

Jackson, R., Kroehling, L., Khitun, A., Bailis, W., Jarret, A., York, A.G., Khan, O.M., Brewer, J.R., Skadow,

M.H., Duizer, C., et al. (2018). The translation of non-canonical open reading frames controls mucosal

immunity. Nature *564*, 434-438. 10.1038/s41586-018-0794-7.

Jaganathan, K., Kyriazopoulou Panagiotopoulou, S., McRae, J.F., Darbandi, S.F., Knowles, D., Li, Y.I.,

Kosmicki, J.A., Arbelaez, J., Cui, W., Schwartz, G.B., et al. (2019). Predicting Splicing from Primary

Sequence with Deep Learning. Cell *176*, 535-548 e524. 10.1016/j.cell.2018.12.015.

Jumper, J., Evans, R., Pritzel, A., Green, T., Figurnov, M., Ronneberger, O., Tunyasuvunakool, K., Bates, R.,

Zidek, A., Potapenko, A., et al. (2021). Highly accurate protein structure prediction with AlphaFold.

Nature *596*, 583-589. 10.1038/s41586-021-03819-2.

Kalkatawi, M., Magana-Mora, A., Jankovic, B., and Bajic, V.B. (2019). DeepGSR: an optimized deep-
learning structure for the recognition of genomic signals and regions. Bioinformatics *35*, 1125-1132.
10.1093/bioinformatics/bty752.

Kozak, M. (1986). Point mutations define a sequence flanking the AUG initiator codon that modulates
translation by eukaryotic ribosomes. Cell *44*, 283-292. 10.1016/0092-8674(86)90762-2.

Kozak, M. (1987). An analysis of 5'-noncoding sequences from 699 vertebrate messenger RNAs. Nucleic
Acids Res *15*, 8125-8148. 10.1093/nar/15.20.8125.

Lee, S., Liu, B., Lee, S., Huang, S.X., Shen, B., and Qian, S.B. (2012). Global mapping of translation
initiation sites in mammalian cells at single-nucleotide resolution. Proc Natl Acad Sci U S A *109*, E2424-
2432. 10.1073/pnas.1207846109.

Leppek, K., Das, R., and Barna, M. (2018). Functional 5' UTR mRNA structures in eukaryotic translation
regulation and how to find them. Nat Rev Mol Cell Biol *19*, 158-174. 10.1038/nrm.2017.103.

Loughran, G., Jungreis, I., Tzani, I., Power, M., Dmitriev, R.I., Ivanov, I.P., Kellis, M., and Atkins, J.F. (2018).
Stop codon readthrough generates a C-terminally extended variant of the human vitamin D receptor
with reduced calcitriol response. J Biol Chem *293*, 4434-4444. 10.1074/jbc.M117.818526.

Matsumoto, A., Pasut, A., Matsumoto, M., Yamashita, R., Fung, J., Monteleone, E., Saghatelian, A.,
Nakayama, K.I., Clohessy, J.G., and Pandolfi, P.P. (2017). mTORC1 and muscle regeneration are regulated
by the LINC00961-encoded SPAR polypeptide. Nature *541*, 228-232. 10.1038/nature21034.

Mayr, C. (2019). What Are 3' UTRs Doing? Cold Spring Harb Perspect Biol *11*.
10.1101/cshperspect.a034728.

Mouilleron, H., Delcourt, V., and Roucou, X. (2016). Death of a dogma: eukaryotic mRNAs can code for
more than one protein. Nucleic Acids Res *44*, 14-23. 10.1093/nar/gkv1218.

Raj, A., Wang, S.H., Shim, H., Harpak, A., Li, Y.I., Engelmann, B., Stephens, M., Gilad, Y., and Pritchard,
J.K. (2016). Thousands of novel translated open reading frames in humans inferred by ribosome
footprint profiling. Elife *5*. 10.7554/eLife.13328.

Sonenberg, N., and Hinnebusch, A.G. (2009). Regulation of translation initiation in eukaryotes:
mechanisms and biological targets. Cell *136*, 731-745. 10.1016/j.cell.2009.01.042.

Stern-Ginossar, N., Thompson, S.R., Mathews, M.B., and Mohr, I. (2019). Translational Control in Virus-
Infected Cells. Cold Spring Harb Perspect Biol *11*. 10.1101/cshperspect.a033001.

Tunney, R., McGlincy, N.J., Graham, M.E., Naddaf, N., Pachter, L., and Lareau, L.F. (2018). Accurate design
of translational output by a neural network model of ribosome distribution. Nat Struct Mol Biol *25*, 577-
582. 10.1038/s41594-018-0080-2.

Vamathevan, J., Clark, D., Czodrowski, P., Dunham, I., Ferran, E., Lee, G., Li, B., Madabhushi, A., Shah, P.,
Spitzer, M., and Zhao, S. (2019). Applications of machine learning in drug discovery and development.
Nat Rev Drug Discov *18*, 463-477. 10.1038/s41573-019-0024-5.

Vislovukh, A., Vargas, T.R., Polesskaya, A., and Groisman, I. (2014). Role of 3'-untranslated region
translational control in cancer development, diagnostics and treatment. World J Biol Chem *5*, 40-57.
10.4331/wjbc.v5.i1.40.

Wang, D., Jiang, A., Feng, J., Li, G., Guo, D., Sajid, M., Wu, K., Zhang, Q., Ponty, Y., Will, S., et al. (2021).
The SARS-CoV-2 subgenome landscape and its novel regulatory features. Mol Cell *81*, 2135-2147 e2135.
10.1016/j.molcel.2021.02.036.

Wangen, J.R., and Green, R. (2020). Stop codon context influences genome-wide stimulation of
termination codon readthrough by aminoglycosides. Elife *9*. 10.7554/eLife.52611.

Yang, Y., Fan, X., Mao, M., Song, X., Wu, P., Zhang, Y., Jin, Y., Yang, Y., Chen, L.L., Wang, Y., et al. (2017).
Extensive translation of circular RNAs driven by N(6)-methyladenosine. Cell Res *27*, 626-641.
10.1038/cr.2017.31.
Zhang, S., Hu, H., Jiang, T., Zhang, L., and Zeng, J. (2017). TITER: predicting translation initiation sites by
deep learning. Bioinformatics *33*, i234-i242. 10.1093/bioinformatics/btx247.
Zhou, J., Theesfeld, C.L., Yao, K., Chen, K.M., Wong, A.K., and Troyanskaya, O.G. (2018). Deep learning
sequence-based ab initio prediction of variant effects on expression and disease risk. Nat Genet *50*,
1171-1179. 10.1038/s41588-018-0160-6.

Figures and legends

Figure 1. Construction of deep learning network for translation prediction. A. Flowchart of TranslationAI, a computational model for predicting translation initiation and termination sites with full length mRNA. For each position in the full length mRNA, TranslationAI-2k takes 60, 200, 600, and 2,000 nucleotides of flanking sequence as input, and predicts whether that position corresponds to a translation initiation site (TIS), translation termination site (TTS), or neither. **B.** Effect of input sequence context size on network accuracy. PR-AUC is the area under the precision-recall curve. The figure shows the performance of the network at four different input sequence context sizes. **C.**

Relationship between transcript length and the positive rate of TIS/TTS for each transcript by comparing the number of positive TISs from TranslationAI-200 (purple line) or TranslationAI-2k (red line) to the total number of transcripts with lengths shorter than the given transcript. The distribution of transcript length is shown in the background as a histogram. **D.** Accumulative distribution of TIS/TTS score among all mRNAs. **E.** The number of ORFs predicted by TranslationAI-2k using mRNA and shuffled mRNA as input and different cutoff of TIS scores. The average number of ORFs predicted from multiple shuffling, along with error bars representing three times the standard deviation calculated from shuffled sequences. **F-I.** The features learned by TranslationAI. Systematic *in silico* perturbations on different regions of the mRNA, measured as reductions in probability scores for the authentic TISs/TTSs. The perturbations include: **F**, replacement of TIS/TTS identity; **G**, changes in UTR length and sequence; **H**, frameshifts by deleting one, two, or three nucleotides; **I**, mutations in coding sequences. Blue box: ORF (open reading frame), black line: 5′-UTR and 3′-UTR, green line: TIS, pink line: TTS.

Figure 2. Evaluation of predictive features in TranslationAI. A. Conservation

analysis of all TIS/TTS, strong TIS/TTS, and weak TIS/TTS regions. P-values were

calculated using Mann–Whitney U test. **B.** Metagene analysis and **C.** boxplot of reads

density on transcripts containing strong (red) and weak (blue) TIS/TTS (-20nt : +20nt)

in iPSC cell line. P-values were calculated using Mann–Whitney U test. **D.** Motif

analysis of strong and weak TIS/TTS. **E.** The codon distribution at the -30nt position

of stop codon. The ratios of the same amino acid with C/G and A/T at the third position

of each codon before strong and weak stop codons was quantified. P-values were

calculated using standard T-test. **F.** Validation of motifs around strong TTS. Known readthrough stop codon from VDR and their mutations (without change of amino acids) were tested for translation readthrough. No_stop: stop codon of VDR (TGA) was mutated to CGA; strong TTS1: stop codon of VDR was mutated to a strong TTS by changing the upstream 27nt and downstream 12nt sequences; strong TTS2: stop codon of VDR was mutated to a strong TTS by changing the upstream 27nt sequence; weak TTS: stop codon of VDR was mutated to a weak TTS by changing the upstream 27nt. See supplementary information in Table S2. **G.** Boxplot analysis of reads density on 3′-UTRs from transcripts with strong/weak TTS in iPSC cell line and iPSC-induced Cardiomyocyte cell line, respectively.

Figure 3. Identification of non-canonical ORFs in human transcriptome. A.
Flowchart for identifying non-canonical ORFs, including upstream ORFs, downstream
ORFs, dual coding ORFs, and new ORFs from non-coding RNAs. **B.** Example
ribosome footprints of a uORF from ERVMER-1 and dORF from AAK1. **C.** The ratio
of various types of predicted TISs identified by another published dataset derived from
ribosome profiling assays. **D.** The number of newly identified translatable ncRNA in

annotated lncRNAs, processed transcripts and other transcripts without known ORFs.

E. The number of ORFs predicted by TranslationAI-2k using non-coding RNA and

shuffled non-coding RNA sequences as input. The average numbers of ORFs predicted

from lncRNAs and shuffled lncRNAs (as control) were shown, with error bars

representing 3×standard deviation calculated from shuffled sequences. **F.** Metagene

analysis of translatable lncRNAs (green line) and control (grey line, mRNAs with the

same ORF length distribution of predicted ORFs from lncRNAs). **G.** The MS/MS

spectra of peptides from two ncRNAs: lncRNA ENST00000609975 (APRSSGPRM)

and antisense RNA ENST00000413405 (FTDDTFDPELAATIGTNLR). The annotated

b- and y-ions are marked in red and green, respectively.

Figure 4. TranslationAI accurately predicts TIS/TTS of eukaryotes, prokaryotes,

and viruses. A. The prediction accuracy of TranslationAI-2k applied to eukaryotes

(Human, Mouse, Zebrafish, Drosophila, Arabidopsis and budding yeast *S. cerevisiae*)

and prokaryotes (*E.* coli). Prediction of TISs/TTSs via **B.** Ebola genomic or RNA

sequences and **C.** SARS-CoV-2 genomic or subgenomic sequences. The upper scales

represent the genomic sequence position, the blue boxes indicate the annotated ORFs,

and the white boxes indicate the newly predicted out-of-frame ORFs. The green

triangles and red lines indicate the predicted in-frame TISs and TTSs, respectively.

920