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Title: Developmental trajectories of EEG aperiodic and periodic power: Implications for understanding

the timing of thalamocortical development during infancy.

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Abstract

The development of neural circuits over the first years of life has long-lasting effects on brain function, yet our understanding of early circuit development in humans remains limited. Here, aperiodic and periodic EEG power features were examined from longitudinal EEGs collected from 592 healthy 2–44 month-old infants, revealing age-dependent nonlinear changes suggestive of distinct milestones in early brain maturation. Consistent with the transient developmental progression of thalamocortical circuitry, we observe the presence and then absence of periodic alpha and high beta peaks across the three-year period, as well as the emergence of a low beta peak (12-20Hz) after six months of age. We present preliminary evidence that the emergence of the low beta peak is associated with thalamocortical connectivity sufficient for anesthesia-induced alpha coherence. Together, these findings suggest that early age-dependent changes in alpha and beta periodic peaks may reflect the state of thalamocortical network development.

Introduction

The infant brain undergoes dramatic structural and physiological change in the first year after birth. Rapid

increases in brain volume coincide with expansive synaptogenesis[1–3], as well as interneuron migration,

maturation and network integration[4]. In particular, during this early period thalamocortical connections

are established through an intricately choreographed sequence that plays a critical role in the

development of sensory cortical networks[5]. However, the detailed timing of interneuron and

thalamocortical maturation in human development is largely unknown. In rodent models, the

development of thalamocortical circuitry is notable for transient inhibitory connections that drive

subsequent circuit formation and coincide with critical periods of plasticity present during the first 2-3

postnatal weeks[6]. In humans, longitudinal resting-state fMRI data suggest that while thalamus-

sensorimotor connectivity networks are present at birth, other networks (e.g. thalamus-medial-visual,

thalamus-default-mode) do not emerge until 1 year of age[7]. However, MRI studies thus far have been

limited to measuring annual changes in structural or functional connectivity, preventing a detailed

understanding of rapid developmental change during this period. In contrast, electroencephalography

(EEG) can provide frequent and non-invasive repeated measurement of brain oscillations that directly

result from transient developmental changes in inhibitory networks and maturation of thalamocortical

circuitry[5,8,9].

The EEG power spectrum is comprised of two physiologically distinct components reflecting underlying

neuronal activity: aperiodic and periodic power. The aperiodic component defines the slope of the power

spectrum, following a 1/f power law distribution (Fig 1A) and reflects non-oscillatory neuronal spiking

activity. In addition, the aperiodic slope has been linked to the excitatory-inhibitory (E/I) balance of the

underlying neuronal network, where a flattened, reduced slope is associated with increased excitation

over inhibition, and a steeply more accelerated slope with increased inhibition over excitation[10].

Longitudinal studies of child- to adulthood have observed decreases in aperiodic slope with age,

suggestive of increases in E/I balance with age[11–14]. Changes in the aperiodic component in early infancy

are less well described, and we hypothesize they may be substantially different from those in childhood,

as the first year after birth includes rapid increases in neuronal activity, synaptogenesis, and inhibitory

neuron integration.

The periodic component of the power spectrum is defined as the portion of the absolute power spectrum

rising above the aperiodic slope (Fig 1B). Periodic power reflects oscillatory activity occurring in narrow

frequency bands that are highly correlated with various cognitive processes and behavioral states[15,16],

and provide the foundation for both local and long-range communication within the brain. The majority of

neural oscillations observed in the power spectrum are the direct result of inhibitory and thalamocortical network responses to sensory input. Thus, as a measure, the EEG power spectrum is well positioned to shed light on the developmental timing of inhibitory and thalamocortical network maturation.

Thus far, developmental EEG studies have largely focused on theta/alpha oscillations which are modulated by thalamocortical interactions and are associated with cognitive functions of attention and memory[17–19]. Multiple studies of the first two years of life have observed a shift in alpha peak frequency from 5 Hz at 5 months to 8 Hz at 2 years, coinciding with increases in alpha power across this period[20–23]. This dominant peak frequency continues to increase into the mature 10Hz posterior alpha rhythm by adolescence[9,24]. It is hypothesized that the gradual shift in dominant peak frequency is modulated by maturation of thalamocortical circuitry in concert with developmental gains in cognitive functions[9], however, the precise mechanisms remain unknown.

Unlike theta/alpha power, little is known about the early developmental changes in periodic beta power. In adults, beta oscillations are strongly associated with sensorimotor processing in addition to higher-order cognitive tasks such as working memory[25]. Similar to alpha oscillations, the generation of beta oscillations relies on GABAergic interneuron networks and thalamocortical connectivity. In adults, low-dose GABA-modulating anesthetics induce a sedative state with 13-25Hz beta oscillations, whereas higher doses used to maintain unconsciousness progressively slow these beta oscillations into coherent, frontal specific, alpha oscillations[26–28]. However, GABA-dependent anesthesia-induced frontal alpha coherence does not emerge in infants until *after* 10 months of age and is not consistently present until 15-20 months of age [29–31]. Anesthesia-induced alpha coherence is hypothesized to involve GABA-dependent thalamocortical loops leading to hypersynchronization between thalamic and prefrontal cortices [27,32,33]. Therefore, potential covariation of developing beta oscillations and anesthesia-induced counterparts may lend insight into the role and time course of developing inhibition in human thalamocortical circuit development.

Using longitudinal EEG data collected from 592 healthy infants (yielding a total of 1335 EEGs) from 2 to 44 months after birth, we characterize early developmental trajectories of EEG aperiodic and periodic power from 2-50Hz and to identify potential ages relevant to sequential steps in inhibitory network and thalamocortical circuit development. Consistent with the transient and stepwise developmental progression of thalamocortical circuitry, we observe transient periodic peaks in alpha power at 2-3 months and high beta power at 4-18 months. A low beta peak (12-20Hz) also begins to emerge in infants starting as early as 6 months of age. We hypothesized that emergence of this low beta peak reflects maturation of early connections between the thalamus and cortex. To test this hypothesis, we leveraged a smaller dataset consisting of a cohort of infants with EEG recordings before and during clinical

anesthesia. Consistent with our hypothesis, we find infants with an identifiable low beta peak have higher anesthesia-induced alpha coherence than those that do not, suggesting that the emergence of this peak is associated with thalamocortical loop maturation.

RESULTS

Resting-state EEG were collected longitudinally from 592 healthy infants, aged 2-44 months, across 4 studies occurring in the same laboratory (Fig 1C, Table 1). Whole brain power spectra for each individual were calculated by averaging across electrodes (Supplemental Figure 1. Individual spectra shown in Supplemental Figure 2). Spectra were then averaged across individuals within 8 age bins (Fig 1D). Notable nonlinear changes in aperiodic and periodic power spectra were observed between age bins, including transient peaks in the periodic spectrum across both alpha and beta frequency ranges (Fig 1E-G). To further characterize these developmental changes in the spectra, we used generalized additive mixed models (GAMMs) to model non-linear trajectories of power parameters. For each model an age-by-sex interaction was tested for significance. If not significant, the interaction term was removed and the model was refit using sex as an additive covariate. All models also included study as a covariate factor.

Aperiodic power increases most during first year of life

First, we assessed age-dependent changes in the aperiodic component and observed the largest developmental increases in aperiodic power between 2 and 8 months after birth (Fig 1E). Aperiodic offset, but not slope, significantly increased with age (FDR-adjusted q value <0.01), and age-by-sex interactions were present for both aperiodic offset (F = 4.59, q = 0.01) and slope (F = 3.18, q = 0.02). Modeled developmental trajectories of the aperiodic offset showed a sharp linear increase over the first year after birth for both males and females (Fig 1H). Modeled developmental trajectories of the aperiodic slope showed a gradual increase over the first year. These findings contrast with consistent reports of *decreasing* offset and slope across child and adulthood[11–14], and likely reflect the known increases in brain volume and synaptogenesis occurring across the first year of life. Differences in developmental trajectories between 4 regions of interest (ROI) (frontal, central, temporal, and posterior) were also assessed (Supplemental Figure 3). The posterior ROI had higher aperiodic offset than all other ROIs, with the greatest increase in offset occurring in the first year (Frontal F = -26.32, q <0.0001, Central F = -40.63, q < 0.0001, Temporal -31.85 , q < 0.0001; Supplemental Fig 3B).

Figure 1. Developmental Trajectories of Aperiodic and Periodic Power Spectra
(A) Example aperiodic power spectra. Offset is defined as power at 2.5Hz.**(B)** Example periodic power spectra. Peaks defined as maxima within a defined frequency range. Band power defined as the integral of the periodic power spectra between defined frequencies. **(C)** Longitudinal study enrollment. **(D-F)** Absolute, Aperiodic, and Periodic power spectra averaged across individuals within 8 age bins between 2 and 44 months. **(G)** Age-related changes in periodic power. **(H-I)** GAMMs modeled trajectories of aperiodic offset and slope for males (orange) and females (blue). Relative inflection points are shown with circular markers. Below, heatmaps show the standardized change in offset or slope per day, defined as [change per day]/ [standard deviation of measures across full age range]

Transient 9.5Hz alpha peak observed in 2-4 month-old infants

At the youngest age bin (2–4 months) *two* peaks with similar amplitude are observed across the theta/alpha (4-12Hz) range in the majority of infants (69%; Fig 2A,C). A lower frequency peak is observed in the theta (4-6Hz) range at 5.5 ± 0.3Hz, and higher frequency peak is observed in the alpha (6-12Hz) range at 9.5 ± 0.45Hz. However, by 6-months only 15% of infants have two peaks in this range, and for most infants it is the higher 9.5Hz peak that is no longer observed. At 6-months fewer than 40% of infants exhibit a dominant peak in the "alpha" (6.5-12Hz) range (Fig 1D) and the average peak frequency in the theta/alpha range is 6.3±1Hz. This disappearance of the higher peak after 4-months of age may reflect a transient step in thalamocortical circuitry development. Previous research has observed a gradual shift in peak frequency from 5 to 8Hz from infancy to early childhood, however these studies started no earlier than 5 months of age[20–23]. In order to assess whether an increase in peak frequency beginning at 5 months is present in our data set we modeled developmental trajectories of peak amplitude and frequency between 4-12 Hz starting at 170 days, when the vast majority of EEGs exhibited a single dominant peak. No age-by-sex interactions were observed in GAMMs modeled trajectories, and consistent with previous studies peak frequency and peak amplitude significantly increased with age (frequency: F = 12.9, q <0.0001 Fig 2E; amplitude: F = 16.78, q < 0.0001, Fig 2F). Figure 2G-I show modeled trajectories for EEG power calculated over defined frequency bands commonly used in infant EEG research: theta (4-6Hz), low alpha (6-9Hz), and high alpha (9-12Hz). An age-by-sex interaction was present for theta power, although qualitatively the shapes of trajectories were similar (Fig G; F = 4.17, q < 0.01).

Figure 2. Transient and nonlinear changes in periodic power between 4 and 12 Hz.
(A,B) Individual periodic power spectra for 2-4 months, and 6-8 months old. Red markers show peaks between 4 and 12 Hz. **(C)** Proportion of infants with two peaks identified between 4 and 12 Hz at each age bin. **(D)** Proportion of infants with an identified peak between 6.5 and 12Hz at each age bin. **(E-I)** GAMMs modeled trajectories for males (orange) and females (blue). Relative inflection points are shown with circular markers. Below, heatmaps show the standardized change in offset or slope per day, defined as [change per day]/[standard deviation of measures across full age range]. Both male and female heatmaps shown for models with significant age x sex interaction.

Transient beta peaks between 4-18 months

Several age-dependent transient changes are observed in the low beta (13–20Hz) and high beta (20-

35Hz) range. First, the shape of the periodic power spectra in the low beta range is notable for a

prominent trough prior to 1 year of age (Fig 3A), with only 10% of infants (24/222) exhibiting a low beta

peak between 6-8 months of age (Fig 3A-C). After 8 months, a low beta peak begins to emerge in some

of infants, with 48% (52/107) showing a peak at 18-20 months, and 70% (199/285) by 36 months (Fig

2C). As a low beta peak was not identified in many children across the age range, peak amplitude and

frequency was not modeled. In contrast, virtually all (99.5%) of the infants had an identifiable high beta

peak *prior* to 12 months of age (Fig 3A). However, notable nonlinear shifts in frequency and amplitude of

the high beta peak were observed (Fig 1F, 3D and E). During the first year after birth, the high beta peak

amplitude rapidly increases, peaking at 229 days (7.5 months), and then substantially decreases until

802 days(2.2 years). High beta peak frequency trajectories are also nonlinear, with peak frequency at its

highest at 473 days (male 29.0Hz, female 29.4Hz), followed by a steady decline in frequency. Modeled

trajectories of periodic power for commonly used frequency bands are shown in Fig 3F-H: low beta (12-

20Hz), high beta (20-30Hz), and gamma (30-45Hz).

The observed nonlinear changes across the beta range are striking. While many EEG infant studies

group beta oscillations into a singular frequency range, the data presented here supports that low and

high beta have distinct developmental origins. Specifically, between 6-24 months we observe the gradual

emergence of a low beta peak, and simultaneously the rise and fall of a prominent high beta peak,

ultimately resolving into a broader beta peak by 36 months.

Traditionally, beta oscillations measured in children and adults are associated with sensory and motor

processing, where reductions in beta power are observed during the preparation or execution of motor

tasks[25]. However, beta activity has also been shown to be modulated during a wide range of nonmotor

cognitive tasks[25,34]. The developmental emergence of low beta oscillations may represent sensorimotor

skills (e.g., crawling, walking) gained during this period, but may also represent the developmental

maturation of neurobiological circuitry. For example, GABAeric interneuron networks and thalamocortical

connectivity are highly associated with the generation of cortical beta oscillations, as well as anesthesia-

induced frontal alpha coherence, but neither are not fully established at birth[29].

Figure 3. Transient and nonlinear changes in periodic power between 12 and 35Hz. (A,B) Individual periodic power spectra 6-8 months, and 18-20 months old. Red markers show peaks between 12-20 Hz. Green markers show peaks between 20-35Hz. **(C)** Proportion of infants with peak identified between 12-20 Hz at each age bin. **(D-H)** GAMMS modeled trajectories for males (orange) and females (blue). Relative inflection points are shown with circular markers. Below, heatmaps show the standardized change in offset or slope per day, defined as [change per day]/[standard deviation of measures across full age range].

Low beta peak associated with developmental emergence of anesthesia-induced frontal alpha

coherence in infants

We hypothesized that developmental changes in infant beta power measured in a resting state may

represent concurrent maturation of GABAergic interneuron networks and thalamocortical connectivity. To

explore this possibility, we assessed EEG recordings of healthy infants before and during exposure to

GABA-modulating sevoflurane anesthesia[35]. All infants were undergoing elective procedures (eg.

circumcision) and infants were excluded for prematurity, neurologic injury, epilepsy, or planned

intracranial surgery. Here we hypothesized that the emergence of low beta oscillations (as measured by

the presence of a low beta peak) before anesthesia would be associated with GABA-dependent

anesthesia-induced frontal alpha coherence. EEG data from 36 infants (6-15 months old), collected

during the awake and anesthetized state were analyzed. Developmental changes in the aperiodic-

adjusted power spectra in this smaller dataset were qualitatively similar to those described above (Figure

4A), with a low beta peak beginning to emerge after 7 months and present in roughly half the infants

between 7-12 months of age (11/21). As hypothesized, alpha coherence was significantly increased in

those with a low beta peak compared to those without (*ANCOVA, with sevoflurane level as covariate;* p

<0.05; Figure 4B).

Figure 4. Increased anesthesia-induced alpha coherence in infants with identified low beta peak in baseline EEG. (A) Periodic power spectra of infants between 6 and 15 months old prior to receiving anesthesia **(B)** Mean alpha coherence during anesthesia in infants 7-12 months old, with (light blue) or without (white) an identified low beta peak. Ancova with sevoflurane levels as covariate: p < 0.05.

Discussion

Here we present the largest-to-date longitudinal analysis of EEG data collected between 2 – 44 months

of age. Findings provide insight into the developmental timing of inhibitory network and thalamocortical

circuit maturation during human infancy. Several age-dependent findings in our study contrast to

previous longitudinal studies of child and adulthood. First, we observe *increases* in both aperiodic offset

and slope, especially during the first year, whereas decreases in both measures are observed starting as

early as 4 years of age and continue to decrease with adulthood[11–14]. Second, while expected shifts in

the dominant peak from the theta to alpha range were observed between 5 to 44 months, in the 2-4

months age bin, a 9.5Hz peak was also transiently observed. Third, striking changes within the beta (12-

30Hz) range were observed, including the emergence of a low beta peak starting after 6-months of age,

and age-dependent shifts in high beta peak frequency and amplitude - first increasing and then

decreasing with age. Below we discuss how the above age-related changes may represent sequential

developmental maturation in the inhibitory system and thalamocortical network connections.

The aperiodic offset is hypothesized to represent broad band neuronal firing[36,37], and thus early

increases in aperiodic offset are consistent with established increases in neuronal number, gray matter

volume, and synaptic number during the first year. Stabilization of aperiodic offset after 1-year of age is also consistent with MRI findings that gray matter volume doubles during the first postnatal year and then slows to 20% in its second year[38–40]. Regionally, we also observe differences between posterior and frontal aperiodic offset trajectories, which either plateau after 1 year (posterior), or have a slow continued increase (frontal) beyond 1 year of age (Supplemental Fig 3). Consistent with this pattern, synaptogenesis differs across cortical regions, with the posterior visual cortex exhibiting a burst in synapse formation between 3-4 months of age, whereas the prefrontal cortex shows peak synaptogenesis around 8 months of age and continued gains during the second year of life[1].

Our observed age-dependent *increases* in aperiodic slope in infancy also contrast with multiple studies covering child to adulthood, where decreases in slope have consistently been reported. Schaworonkov et. al.[41] also reported decreased slope with age in infants from 1 to 7-months-old, however the parameterization of the spectra in that study was limited to 1-10Hz due to excessive muscle noise in the data, and it is unclear how the shifts in 4-12Hz periodic power described below may affect modeling of the underlying aperiodic component in this range. We hypothesize that observed increases in aperiodic slope reflect changes in inhibitory networks that are unique to early development. Growing evidence suggests that aperiodic slope is modulated by the balance between excitation and inhibition, with increased slope associated with a reduction in E/I ratio[10,12,42]. An age-dependent reduction in E/I ratio during the first postnatal year is consistent with the prolonged developmental timing of inhibitory network maturation in humans. Unlike excitatory neurons which are well established by birth, during the first postnatal year GABAergic inhibitory neurons continue to migrate from ventral subregions of the brain to the cortices where they ultimately mature and integrate into neuronal networks[43]. In addition, during this first year GABAergic responses switch from being excitatory to inhibitory due to changes in the concentration of chloride channels on cell membranes[44–46]. Overall, inhibitory neuron network integration and the excitatory-to-inhibitory GABA switch are unique to this developmental period and likely lead to increased inhibitory tone during the first year.

Observed changes in the periodic spectra may reflect sequential steps in inhibitory network and thalamocortical circuit development. Transient neural circuits are common in postnatal development and play critical steps in normal development of thalamocortical circuitry[6]. For example, transient circuits between sublate neurons (SPN) and thalamo-recipient layer 4 spiny stellate neurons help establish thalamocortical connections prior to the maturation of primary sensory cortices[47]. Studies of postmortem fetal monkey and human brains suggests that the SPN in primates and humans slowly begins to disappear in the 3rd trimester but may persist until 6 months, with an overlapping period in which the thalamus makes connections with both the SPN and cortical layer IV neurons[6,48,49]. We hypothesize that the 9.5Hz peak observed at 2-4 months, but not at 6 months, reflects this transient period when mature

excitatory subplate neurons are still receiving and relaying thalamic input to cortices, resulting in higher frequency alpha oscillations. Additionally, newly established connections between the thalamus and layer IV produce lower frequency theta rhythms that will later become the dominant alpha rhythm.

The thalamus is thought to play a central role in the generation of the mature posterior alpha rhythm. A shift in dominant oscillatory frequency in the theta/alpha range (4-12Hz) across early childhood has been observed now in many studies[21,24,50]. Here, we both confirm and extend those findings over the first 3 years after birth, with peak frequency increasing most between 4 and 18 months. What factors are potential contributors to this shift in peak frequency? The dynamic circuit motif model (DCM) proposes that cortical network rhythms result from a combination of the intrinsic resonant frequency of a neuronal population and the time course properties of the inhibitory inputs on the neuronal population[51]. Under the DMC model, prior to the maturation of both local inhibitory circuitry and thalamocortical feedback loops, peak frequency oscillations as measured by scalp EEG are more likely to represent the intrinsic properties of cortical networks, with thalamic inputs beginning to play a larger role with age. For example, lower frequency 4-7Hz oscillations are intrinsically generated by *isolated* layer 5 cortical neurons, and the range of oscillations increases to 5-12Hz when connections to other cortical layers remain intact[52]. Thalamic neurons in the lateral geniculate nucleus also fire across the theta and alpha range. In vitro slice experiments from cats suggest that cortical input to thalamus modulates whether theta versus alpha oscillations are dominant[53,54]. Thus, the developmental shift in peak frequency from the canonical theta to alpha range over the first three years after birth, may represent the integration and maturation of cortical inhibitory neurons, as well as the establishment and maturation of thalamocortical connections.

Finally, our study identified early age-dependent changes in periodic beta power that we hypothesize are associated with thalamocortical loop maturation. We observe the emergence of a low beta peak in infants older than 6-months of age and find that the presence of a low beta peak is associated with higher anesthesia-induced frontal alpha coherence. Biophysical models demonstrate that this frontal anesthesia-induced alpha coherence requires inputs from both the thalamus and cortex[27]. Together these findings suggest that low beta oscillations may directly reflect thalamocortical loop maturation. Beta rhythms are thought to be both generated locally in the cortex through pyramidal-interneuron loops, as well as through thalamus to cortical connections that also rely on inhibitory inputs[25]. The emergence of the low beta peak in awake infants may reflect the combination of newly established network connections between thalamic nuclei and cortical layers, as well as the maturation of interneurons within the thalamocortical pathways.

It is also possible that developmental changes in beta power are related to infant movement. During EEG acquisition, infants are held in their parent's lap and behavioral supports are in place to keep the infants

calm. However, it is not possible to control the infants' movement, and movements both small (hand movements) and large (head turns, leg and arm movements) ubiquitously occur across recordings - likely increasing over the first year as infants become more mobile. Our preprocessing artifact removal pipelines (see Methods) includes several steps for removing high frequency noise from muscle artifact. However this would not remove EEG signal in response to sensorimotor processing. Infant jaw and upper limb movements have been shown to increase power between 9–20Hz along frontal and occipital sites, while hand and lower limb movements do not have significant effects[55]. In our dataset, increases in low beta power were most prominent in central (not frontal or occipital) ROIs (Supplemental Fig 3K), suggesting that age-dependent changes in beta power more likely represent underlying brain maturation than sensorimotor processing or movement artifact during data acquisition. This is further supported by the consistency in age-dependent shifts of both low and high beta across individuals (see Supplemental Fig 2 for individual power spectra plots), and our observation that low beta is correlated with anesthesia-induced alpha coherence.

Transient high beta peaks were also observed across this early period of development. Specifically, we observed early increases in high beta peak amplitude, which reached a maximum at 7.5 months, followed by decreases in both high beta peak amplitude and frequency, such that by 36 months the low beta peak is the dominant peak across the 12-30Hz range. The neurobiological mechanism of this high beta peak is unclear. As discussed above, administration of GABA agonists induces beta activity. In addition, several neurodevelopmental disorders associated with GABA receptor dysfunction show prominent beta peaks on EEG; individuals with Duplication 15q have a prominent beta peak at 23Hz[56,57], and we have observed that children with Fragile X Syndrome (FXS), aged 3-7 years, have a prominent 30Hz peak[58]. This 30hz peak observed in FXS children is qualitatively similar to the 30Hz peak observed in the present dataset at a much younger age. Further analysis of data previously published from FXS children shows that the observed high beta peak decreases with age (Figure 5), suggesting delayed brain development. Such observations highlight the value of the longitudinal EEG trajectories presented in this paper in placing findings from neurodevelopmental disorders in the broader context of developmental brain maturation.

Figure 5. FXS children have increased high beta peak that decreases with age. (A)
Periodic power spectra of 35-48 month-old children with and without FXS. **(B)** Individual
periodic power spectra of FXS children, with line hue corresponding to age in months.

In summary, our work highlights the dynamic developmental changes in neural activity occurring during

the first three years after birth and provides insights in potential ways these age-dependent and

sometimes transient changes may coincide with sequences in thalamocortical and inhibitory network

maturation. Our findings help to ground cross-sectional work occurring at these early ages and provide a

foundation to compare developmental trajectories of various neurodevelopmental disorders including

autism, ADHD, and rare genetic disorders. Future studies examining early trajectories of functional

connectivity and phase amplitude coupling across this age range will provide additional insight into the

timing of critical periods in brain maturation.

METHODS

Studies and Participants: Lab based EEGs for this paper were collected as part of four different studies

occurring over 15 years conducted at our lab at Boston Children's Hospital (Figure 1A). Sample numbers

for each age are shown in Table 1. Study 1, the Healthy Baby Study (IRB-P00019083), was a

longitudinal study, enrolling infants starting at 2 months of age, from the Boston Children's Hospital

Primary Care Center, which predominantly services families from low-income backgrounds. EEG was

collected and developmental assessment using the Mullen Scales of Early Learning (MSEL) was

performed at 2, 6, 9, 12, 24, and 36 months.

Study 2, The Infant Sibling Study (IRB-X06-08-0374), and Study 4, the Infant Screening Project (IRB-

P00018377), were both prospective, longitudinal studies, enrolling infants with and without first degree

family history of ASD starting as early as 3-months of age. For this analysis only infants *without* family

history of ASD were included. Study 4 also included a group of infants with elevated social communication concerns at 12 months of age, and they were also excluded from this analysis. EEGs and MSEL were performed at 3, 12, 18, 24, and 36 months for both studies, as well as 6 and 9 months for Study 2. Infants were specifically assessed for ASD using the Autism Diagnostic Observation Schedule (ADOS) in conjunction with clinical best estimate at 24– and 36–month visits.

Study 3, the Emotion Project (IRB-P00002876), was a cohort/longitudinal study. Infants were enrolled at *either* 5, 7, or 12 months, and then followed through 7 years of age. In addition to the first time point, EEG data was again collected at 3 years of age. There were no developmental assessments performed for this study, however parent questionnaires regarding child development, diagnoses (e.g., ASD), and therapies were collected.

All infants had a minimal gestational age of 36 weeks, no history of prenatal or postnatal medical or neurological problems, and no known genetic disorders. Infants who were later diagnosed with ASD (either by assessment during the study, or by community diagnosis disclosed by parents prior to age of 5) were not included in this study.

Sample characteristics across and within studies are shown in Table 1. The analysis included 1335 EEGs collected from 592 participants. While all studies took place in the same laboratory, participant demographics vary between studies as was expected given differences in recruitment and research aims of each study. In addition, studies differed in the age of enrollment and when subsequent visits were completed (Figure 1C). Combined, the sample remained predominantly white (74%).

Table 1: Sample Characteristics

	Combined Studies N =592	Study 1 N = 49	Study 2 N = 72	Study 3 N = 363	Study 4 N =108
Sex, *% Female (n)*	46.3 (274)	51.0 (25)	45.8 (33)	46.3 (168)	44.4 (48)
Ethnicity, *% (n)*					
Hispanic	8.6 (51)	16.3 (8)	1.4 (1)	10.5 (38)	3.7 (4)
Non-Hispanic	90.2 (534)	81.6 (40)	97.2 (70)	88.4 (321)	95.4 (103)
Not Answered	1.2 (7)	2.0 (1)	1.4 (1)	1.1 (4)	0.9 (1)
Race, % (n)					
White	74.0 (438)	10.2 (5)	86.1 (62)	79.1 (287)	77.8 (84)
Black or African-American	6.4 (38)	53.1 (26)	1.4 (1)	2.2 (8)	2.8 (3)
Asian	3.9 (23)	4.1 (2)	2.8 (2)	4.1 (15)	3.7 (4)
Mixed Race	12.8 (76)	14.3 (7)	8.3 (6)	12.9 (47)	14.8 (16)
Other	1.4 (8)	12.2 (6)	0	0.6 (2)	0
Not Answered	1.5 (9)	6.1 (3)	1.4 (1)	1.1 (4)	0.9 (1)
Income, % (n)*					
<$35,000	5.4 (32)	32.7 (16)	4.2 (3)	2.5 (9)	3.7 (4)
$35,000 - $75,000	11.1 (66)	18.4 (9)	9.7 (7)	13.2 (48)	1.9 (2)
>$75,000	73.8 (437)	18.4 (9)	70.8 (51)	76.3 (277)	92.6 (100)
Not Answered or Don't Know	9.6 (57)	30.6 (15)	15.3 (11)	8.0 (29)	1.9 (2)
Participant EEG Data Included in Analysis, *n*					
2-4 m	97	46	10	-	41
4-6 m	119	-	2	113	4
6-8 m	223	43	50	130	-
8-11 m	95	37	58	-	
11-15 m	291	40	62	102	87
18-20m	107	-	40	-	67
23-30m	118	22	44	-	52
35-44m	285	18	48	174	45

*2 infants reported to have income of $30-39,000 were placed in <35,000 category. 1 infant with reported income of $70-79,000 placed in >75,000 category.

Lab-based EEG data collection: Baseline, non-task-related EEG data was collected using similar methods and rooms for all four studies. The infant was held by their seated caregiver in a dimly lit, sound attenuated room with a low-electrical-signal background. For Study 2, a research assistant ensured that

the infant remained calm by blowing bubbles and/or showing toys. For Studies 1 and 3, a video of infant

toys was shown for 2–5 minutes and 2 minutes, respectively. For Study 4, a video of abstract moving

objects was shown for 2–5 minutes. Continuous scalp EEG for Studies 1, 3, and 4 was recorded using a

128-channel Hydrocel Geodesic Sensor Nets (Electrical Geodesics, Inc., Eugene, OR) connected to a

NetAmps 300 amplifier (Electrical Geodesic Inc.) and sampled at 500Hz. Study 2 included recordings

using 64-channel Geodesic Sensor (<10% of data) or a 128-channel Hydrocel Geodesic Sensor Nets

(Electrical Geodesics, Inc., Eugene, OR), connected to either a NetAmps 200 or 300 amplifier (Electrical

Geodesic Inc.) and sampled at either 250 or 500Hz. Additional statistical analysis related to differences

in net and amps is described below in EEG Power analysis. For all studies, data was referenced online

to a single vertex electrode (Cz) and impedances were kept below 100kΩ in accordance with the

impedance capabilities of the high-impedance amplifiers inside the electrically shielded room.

Electrooculographic electrodes were removed to improve the child's comfort.

EEG pre-processing: Raw Netstation (Electrical Geodesics, Inc) files were exported to MATLAB

(version R2017a) for preprocessing and absolute power calculations using the Batch Automated

Processing Platform (BEAPP[59]) with integrated Harvard Automated Preprocessing Pipeline for EEG

(HAPPE[60]). For each EEG, a 1Hz high-pass and 100Hz low-pass filter were applied, data sampled at

500Hz were resampled to 250Hz, and then run through the HAPPE module consisting of 60Hz line noise

removal, bad channel rejection, and artifact removal using combined wavelet-enhanced independent

component analysis (ICA) and Multiple Artifact Rejection Algorithm (MARA[5,6]). The following channels, in

addition to the 10-20 electrodes, were used for MARA: 64-channel net – 16, 9, 8, 3, 58, 57, 21, 25, 18,

30, 43, 50, 53, 32, 33, 38, 41, 45; and 128-channel net - 28, 19, 4, 117, 13, 112, 41, 47, 37, 55, 87, 103,

98, 65, 67, 77, 90, 75. These electrodes were chosen as they evenly cover all brain regions of interest

(Supplemental Figure 1). After artifact removal, channels removed during bad channel rejection were

then interpolated, data were referenced to the average reference, detrended to the signal mean, and

segmented into 2-second segments. Any segments with retained artifact were rejected using HAPPE's

amplitude and joint probability criteria.

EEG rejection criteria: EEG recordings were rejected using the following HAPPE data quality

measures: Fewer than 20 segments (40 seconds of total EEG), percent good channels < 80%, percent

independent components rejected >80%, mean artifact probability of components kept > 0.3, and

percent variance retained < 25%. Expected differences between studies in number of segments

remaining post-segment rejection were observed, with Study 3 with the shortest resting state recording

period having fewer segments. All other quality metrics were similar across studies (Table 2).

Table 2: EEG data quality metrics

	Combined Studies N = 1335	Study 1 N = 206	Study 2 N = 314	Study 3 N = 519	Study 4 N = 296
EEG quality metrics, Mean±SD					
Number of Segments	81±39.5	126±27.5	85.8±39.9	48.8±7.1	105.2±30.3
Percent Good Channels	92.2±4.5	92.2±4.8	92.6±4.4	92.0±4.6	93.5±4.3
Percent ICs Rejected	35.7±10.3	35.7±10.6	35.4±10.1	34.1±14.0	35.0±10.5
Percent Variance Kept of Post Waveleted Data	67.2±17.0	63.1±16.1	66.5±15.7	68.8±18.3	68.1±16.1
Mean Artifact Probability of Kept ICs.	0.12±0.05	0.12±0.04	0.11±0.04	0.12±0.05	0.12±0.05

EEG Power analysis: The power spectral density at each electrode, for each 2-second segment, was calculated in the BEAPP Power Spectral Density (PSD) module using a multitaper spectral analysis[61] and three orthogonal tapers. For each electrode, the PSD was averaged across segments, and then further averaged across all available electrodes, or frontal, temporal, central, and posterior regions of interest (Supplemental Figure 1C,D). The PSD was then further analyzed using a modified version of FOOOF v1.0.0[8](https://github.com/fooof-tools/fooof; in Python v3.6.8) in order to model periodic and aperiodic components of the power spectra. FOOOF required modification for use in this age range, as power spectrum models for 2-7 month ages showed poor model fit (increased mean squared error) for frequencies between 10-20Hz. Specifically, the FOOOF modeled curves did not accurately capture the "trough" in the power spectra visually observed in this frequency range at younger ages (Supplemental Figure 4). To improve model fit, the robust_ap_fit function, which initially defines the aperiodic component, was modified so that the initial estimate of the flattened power spectra (flatspec) has a baseline elevated such that the lowest point is ≥ 0, to avoid omitting data important across the 2-7 month age range. This is in contrast to the original method of setting all negative points of the initial flattened power spectra equal to 0. In the original and modified scripts, this initial fit is combined with thresholding to render a more robust second round of aperiodic parameters. After these second aperiodic parameters have been defined, the fit function re-estimates the flattened spectra (spectrum_flat). At this point, prior to fitting spectra peaks, the modified code sets negative data in the flattened spectra equal to 0, similar to the approach of the original code during the initial aperiodic fit. In both versions, aperiodic parameters are fit a third and final time to the spectra with peaks removed (spectrum_peak_rm). The FOOOF model was used in the fixed mode (no spectral knee) with *peak_width_limits* set to [0.5, 18.0], *max_n_peaks* = 7, and *peak_threshold* = 2. Code is available (osf.io/u3gp4) which runs both the original and modified versions of FOOOF, and graphs the RMSE across frequencies for both versions, separate by age. Comparisons are presented in Supplemental Figure 4. Further analyses were subsequently restricted to

2.5-50Hz given elevated error between 2-2.5, and 50-55Hz. Mean R^2 for the full sample using this modified version of FOOOF was 0.997 (STD 0.008; range 0.890-0.999). Mean estimated error for the sample was 0.01 (STD 0.01, range 0.002-0.09).

FOOOF provides two parameters to describe the aperiodic 1/f signal: offset and slope. As the FOOOF-determined offset is extrapolated to the estimated aperiodic power at 0Hz, where there are high amounts of error, we instead calculated the aperiodic offset based on aperiodic power at 2.5hz (Figure 1B). The periodic power spectrum (Figure 1B, F) was determined by subtracting the FOOOF estimated aperiodic spectrum (Figure 1E) from the absolute power spectrum (Figure 1D). To further characterize peaks and troughs within the power spectra across development, the periodic spectrum was then smoothed using a savgol filter (scipy.signal.savgoal_filter, window length = 101, polyorder = 8). Individual periodic power spectrum plots before and after savgol filter are shown in Supplemental Figure 2. We decided to use this method instead of using the FOOOF estimated peak_fit as the high beta peak appeared to have a non-gaussian shape at some ages, and thus peak_fit estimates did not accurately identify the high beta peak frequency. Using the smoothed periodic spectra, maxima were identified within the following frequency ranges: 4-6.5 (theta), 4-12Hz (theta/alpha), 12-20Hz (low beta) and 20-35Hz (high beta). A low beta trough was also identified based on the minima between 10-20Hz. Aperiodic and periodic power across the following canonical frequency bands was calculated taking the integral of each parametrized spectra between the following frequency ranges: theta (4-6Hz), low alpha (6-9Hz), high alpha (9-12Hz), low beta (12-20Hz), high beta (20-30Hz), and gamma (30-45Hz).

As Study 2 collected data with 2 net types and 2 amplifiers, data from 6-, 9-, and 12-month age bins were assessed for spectra differences in total (2-50hz) aperiodic and periodic power as well as aperiodic slope and intercept measure from central electrodes between either 64 and 128 channel nets, or NetAmps 200 or 300 amplifiers. Of the 24 analyses performed, 3 showed significant differences. Net-type differences were observed for 9- and 12-month central aperiodic slope (p=0.04 for both) and an amplifier difference was observed for 12-month central offset (p=0.04). None of these were significant after correcting for multiple comparisons.

Anesthesia cohort: EEG data was also collected from infants undergoing anesthesia as part of a prospective observational study approved by the institutional review board at Montefiore Medical Center, Albert Einstein College of Medicine[35,62]. Infants scheduled for elective surgical procedures (e.g., circumcision, hernia repair) were recruited. Infants were excluded for prematurity, known neurologic injury, epilepsy, or planned intracranial surgery. Infants less than 6 months of age, or those documented to be asleep or crying during baseline (pre-anesthesia) recordings were excluded from further analysis. All subjects received general anesthesia with sevoflurane.

EEG recordings were obtained using a Food and Drug Administration (FDA) approved 26 channel device

recording from scalp locations designated by the International 10-20 System, reference midline occipital

channel (Oz) (microEEG System, Biosignal, Acton, MA)[63]. Data was collected from 21 electrodes (FpZ,

Fp1, Fp2, Fz, F3, F4, F7, F8, Cz, C3, C4, T3, T4, Pz, P3, P4, T5, T6, Oz, O1, O2) both prior to (baseline)

and during sevoflurane induction and maintenance. End tidal sevoflurane concentration was recorded,

locked in time with EEG recording. Data were sampled at a frequency of 250 Hz.

Baseline EEGs (n = 45) were visually inspected and approximately 2 minutes of continuous EEG with

minimal artifact was segmented and then processed using BEAPP/HAPPE[59,60]. 9 EEGs were excluded

due to excessive artifact during visual inspection. No additional EEGs were excluded based on HAPPE

data quality criteria. Final sample (n = 36) was an average age of 9.1 months (range 6-15 months), and

predominantly male (n=25). Central periodic power using Pz and Fz electrodes was calculated using

using multitaper spectral analysis using three orthogonal tapers. PSD was then further analyzed using a

modified version of FOOOF v1.0.0 as described above, in order to model periodic and aperiodic

components of the power spectra.

Alpha coherence analysis

Preprocessing of Anesthesia EEG data: We used a bipolar montage to analyze the data (F7-Fp1 and F8-

Fp2). We developed an automatized method to exclude epochs with high-amplitude noise based on the

standard deviation of the time series signal. Three members of the team (CW, JC, and RG) visually

inspected the remaining epochs to select 30-second artifact-free segments that were used for the

analysis. We selected epochs with a stable sevoflurane concentration defined as two consecutive

minutes of end-tidal sevoflurane levels within 0.2% preceding the selection of an epoch of data. EEG

data were band-pass filtered from 0.1 to 30 Hz.

For each subject, corresponding EEG data collected during anesthesia were inspected to identify 30

second segments under stable sevoflurane concentration and that were "artifact free" (eg. no motion or

electrocautery artifacts). Epochs with high-amplitude noise in frontal electrodes (F7-Fp1; F8-Fp2) based

on standard deviation of the time series were automatically excluded. Blinded visual inspection by

members of the team (CW, JC, and RG) identified remaining epochs with stable sevoflurane

concentration without other anesthesia (e.g. propofol bolus) interference. Stable sevoflurane

concentration was defined as two consecutive minutes of end-tidal sevoflurane levels within 0.2%

preceding the selection of an epoch of data.

Coherence Analysis: EEG data were band-pass filtered from 0.1 to 30 Hz. Custom EEG analysis scripts were written using MATLAB (version R2021a, MathWorks, Natick, MA), employing functions in the Chronux toolbox[64]. Coherence analysis was performed using the multitaper method with the following parameters: window length T = 6s with no overlap, time- bandwidth product TW = 3, number of tapers K = 5, and spectral resolution of 2W = 1 Hz. The median coherence within the alpha band was used for analyses.

Statistical Analyses:

GAMMs: To assess developmental trajectories of power spectral measures, we used generalized additive mixed models (GAMM). GAMMs are similar to generalized linear mixed models, with the advantage that predicts can be modeled linearly and nonlinearly. In GAMMs, smooth linear or nonlinear functions of the relationship between predictors and the outcome are simultaneously estimated, and then summed. GAMM is therefore an advantageous framework for exploring the relationship between power spectral measures and age, for which the underlying form of the relationship is not yet known. Models were fit using *mgcv* package[65] (version 1.8-38) and R (version 4.1.2).

A separate GAMM was fit to predict each power spectral measure, for each region of interest (e.g., whole brain theta power, frontal aperiodic offset). First, to determine whether to include an age-by-sex interaction, two models were fit with the following forms:

    (1) Power Measure ~ oSex + Study+ s(age_days, k = 4, fx = T) +s(age_days, New_ID, bs = 'fs')

    (2) Power Measure ~ oSex + Study +s(age_days, k = 4, fx = T) + s(age_days, by = oSex, k = 4, fx = T) + s(age_days, New_ID, bs = 'fs')

where oSex represents sex stored as an ordered factor, Study represents study stored as a factor, s(age_days, k = 4, fx = T) is a smoothed age term, and s(age_days, New_ID, bs = 'fs') accounts for repeated observations. These models were compared by ANOVA, and model 2 (including the interaction term) was chosen if the difference was significant ($p<0.05$). Effects were corrected for multiple comparisons across measure types (e.g., theta power, beta power, aperiodic offset) within region of interest using FDR correction[66].

To further understand the nonlinear trajectories of change, inflection points were calculated using the argrelextrema function from scipy in python with order = 100. A standardized rate of change per day was

calculated to visualize developmental changes within features. The modeled value of a feature at a given age (in days) was subtracted from the modeled value from the subsequent day, and this was divided by the standard deviation of the modeled values of that feature across the age range.

To assess the differences between regions of interest, GAMM models were fit with the following form:

$$(3) \text{ Power Measure} \sim s(age\_days) + oSex + Study + ROI + s(age\_days, New\_ID, bs = 'fs')$$

where the terms have the same meanings as above, and ROI is a factor representing the four regions (frontal, central, temporal, posterior). Because prior literature and preliminary visual inspection of the data indicated that the posterior ROI is most unique in the time course of development, the posterior ROI was set as the reference factor. Thus, the effect and significance associated with each of the other ROIs is a measure of the difference between that ROI and the posterior ROI.

Anesthesia Statistical Analysis: ANCOVA, with sevoflurane levels as a covariate, was used to determine effects of presence of low beta peak on anesthesia induced alpha coherence.

Figures were created using Python v3.6.8 and python data visualization libraries (*matplotlib*(60) and *Seaborn (https://seaborn.pydata.org/index.html*) or in R (version 4.1.2).

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Author Contributions:
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Supplemental Figure 1 - Electrode layout: (A) 128-channel Hydrocel Geodesic Sensor Net. (B) 64-channel Geodesic Sensor Net. Pink circles denote 10-20 electrodes, and blue circles denote the additional electrodes included in ICA and MARA steps of pre-processing. (C), (D) Electrodes averaged for frontal (yellow), central (blue), temporal (orange), and posterior (green) regions of interest.

Supplemental Figure 2: Individual plots of the periodic spectrum averaged across the whole ROI for each age bin.

Supplemental Figure 3: GAMMs modeled trajectories of 8 power measures, with ROI, study, smoothed age, and sex as predictor terms.

Supplemental Figure 4: For each age bin the average FOOOF modeled spectra (green) across participants in each age bin is graphed along with the averaged original power spectrum (red), and averaged FOOOF estimated aperiodic spectrum (blue). (A) Unedited FOOOF estimates. (B) Modified FOOOF estimates. (C) Comparison of squared error across frequencies of unedited (orange) and modified (blue) FOOOF.

A. Unedited FOOOF model estimates - Original Spectrum   - FOOOF modeled Spectrum   - Aperiodic Fit

B. Modified FOOOF model estimates   - Original Spectrum   - FOOOF modeled Spectrum   - Aperiodic Fit

C. Original vs Edited FOOOF error