NanoPDLIM2 enhanced efficacy of PD-1 blockade and chemotherapy in mouse lung cancers

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Abstract

Background: Immune checkpoint inhibitors (ICIs) and their combination with other therapies such as chemotherapy, fail in most cancer patients. We previously identified the PDZ-LIM domain-containing protein 2 (PDLIM2) as a *bona fide* tumor suppressor that is repressed in lung cancer to drive cancer and its chemo- and immunotherapy resistance, suggesting a new target for lung cancer therapy improvement.

Methods: Human clinical samples and data were used to investigate *PDLIM2* genetic and epigenetic changes in lung cancer. Using an endogenous mouse lung cancer model faithfully recapitulating refractory human lung cancer and a clinically feasible nano-delivery system, we investigated the therapeutic efficacy, action mechanism, and safety of systemically administrated *Pdlim2* expression plasmids encapsulated in nanoparticles (nanoPDLIM2) and its combination with PD-1 antibody and chemotherapeutic drugs.

Results: *PDLIM2* repression in human lung cancer involves both genetic deletion and promoter methylation. NanoPDLIM2 showed low toxicity, high tumor specificity, antitumor activity, and greatly improved the efficacy of anti-PD-1 and chemotherapeutic drugs, with complete tumor remission in 60% of mice and substantial tumor reduction in the remaining mice by the combination of three therapies. Mechanistically, nanoPDLIM2 increased major histocompatibility complex class I (MHC-I) expression, suppressed multi-drug resistance 1 (MDR1) induction, nuclear Rela and stat 3, and survival genes (Bcl-xl and cycline D1) in tumor cells; meanwhile it enhanced lymphocyte tumor infiltration and activation, thus turning the cold tumors hot and sensitive to ICIs and rendering them vulnerable to chemotherapeutic drugs.

Conclusions: These studies established a clinically applicable PDLIM2-based combination therapy with significantly improved efficacy for lung cancer and possibly other cold cancers.

Introduction

Lung cancer is the leading cause of cancer-related deaths in both men and women with a 5-year survival rate of only 22% [1]. Although these outcomes may be improved by immune checkpoint blockade therapy involving the disruption of the binding of programmed cell death 1 (PD-1, also known as CD279) on tumor-infiltrating lymphocytes (TILs) to programmed death receptor ligand 1 (PD-L1, also known as B7-H1 or CD274) on tumor and tumor-associated cells, most lung cancer patients still fail the therapy, with a response rate of only about 20% [2]. In general, this revolutionary immunotherapy works better against 'hot' tumors, which have abundant TILs in the tumor microenvironment, strong immunogenicity and sufficient PD-L1 expression on cancer cells. Unfortunately, most tumors are 'cold', with low T-cell infiltration, weak immunogenicity and minimal PD-L1 expression, and show weak response to immune checkpoint inhibitors (ICIs) [3, 4].

Cold lung tumors without targetable oncogenic drivers are treated with chemotherapy as the standard approach [5]. However, the response rate to this conventional cancer therapy is also low and resistance often occurs after an initial response, with an overall survival (OS) of about 12-17 months. Given its roles of inducing TILs and immunogenicity, in particular PD-L1 expression, chemotherapy can be an ideal candidate for combination with PD-1/PD-L1 blockade to improve therapeutic efficacy [6]. Indeed, combination treatment with ICIs and chemotherapeutic drugs shows synergy and better efficacy in both preclinical animal models and clinical trials of lung cancer and other cancers [6-8]. However, even with the combination of ICIs and chemotherapy, tumors in animals do not remit completely and the objective response rate (ORR) of lung cancer patients only reaches 33%-49.7%, with a median progression-free survival (PFS) of just 5.1-9 months and a median OS of 13-22 months [7, 8]. Thus, further improvement over the chemo-immunotherapy is direly need.

Our recent studies on human and animal lung cancers have shown that most lung tumors not only have

low TILs and decreased PD-L1, but also down-regulate major histocompatibility complex class I (MHC-I), thus evading recognition and attack by CD8[+] T cells, including those unleashed by ICIs and/or recruited by chemotherapy [6, 9, 10]. Following our initial studies [11-17], we have established the PDZ-LIM domain-containing protein *PDLIM2*, also known as SLIM or mystique [18-20], as a *bona fide* tumor suppressor, and its repression is a causative driver of lung cancer and resistance to ICIs and chemotherapeutic agents [6]. One most important function of PDLIM2 is to promote the ubiquitination and proteasomal degradation of nuclear signal transducer and activator of transcription 3 (STAT3) and nuclear factor-κB (NF-κB) RelA (also known as p65), two master transcription factors that function as proto-oncogenes in lung and many other cancers [6, 10-13, 21-27]. *Pdlim2* repression in tumor cells thus results in the persistent activation of Stat3 and Rela, leading to MHC-I downregulation and high expression of tumor growth-related genes [6]. In addition, *Pdlim2* deficiency also causes strong induction of multi-drug resistance 1 (MDR1) for acquired chemo-resistance [6]. Therefore, *PDLIM2* is a potential target to further enhance the efficacy of chemo-and immune-therapies for lung cancers.

In this study, we explored the mechanism of *PDLIM2* repression in human lung cancers and tested whether systemic administration of nanoparticle-encapsulated *Pdlim2*-expression plasmids (nanoPDLIM2) could enhance the efficacy of anti-PD-1 and/or chemotherapeutic drugs in a mouse lung cancer model. These studies indicate that besides epigenetic repression, loss of heterozygosity (LOH) with copy number losses contributes to *PDLIM2* downregulation in about 58% of human lung cancers, and that *Pdlim2* heterozygous deletion (*Pdlim2*[+/-]) mice develop spontaneous tumors in lung and other organs. Notably, systemic administration of nanoPDLIM2 reverses the phenotypes caused by *Pdlim2* repression in lung tumors, and its combination with PD-1 and chemotherapeutic drugs shows the strongest therapeutic efficacy compared to single or double therapies, inducing complete remission of lung tumors in 60% of mice. These findings provide a firm basis to target *PDLIM2* to enhance the efficacy of ICIs and chemotherapeutic drugs for lung cancer therapy.

Material and Methods

Animals and lung carcinogenesis

We have complied with all relevant ethical regulations for animal testing and research. The animal

experiments were performed in accordance with the US National Institutes of Health (NIH) Guidelines on

the Use of Laboratory Animals. All animals were maintained under pathogen-free conditions and used

according to protocols approved by the Institutional Animal Care and Use Committee (IACAUC) of the

University of Pittsburgh. *Pdlim2*$^{flx/flx}$/*Spc*-rtTA$^{tg/-}$/(tetO)7CMV-Cre$^{tg/tg}$ (ΔSPC) mice under a pure FVB/N

background and *Pdlim2*$^{-/-}$ mice under a pure BALB/c background have been described before [6, 19, 21,

22, 28]. For lung carcinogenesis, six- to eight-week-old ΔSPC and wild type FVB/N mice were

intraperitoneally (i.p.) injected with urethane (1 mg/g body weight, Sigma-Aldrich, St. Louis, MO, USA)

once a week for six consecutive weeks [6], followed by different treatments as shown in the figures. Mice

were sacrificed at six weeks post urethane treatment for examination of lung tumors, inflammation, and

treatment-induced toxicity. Surface tumors in mouse lungs were counted blinded under a dissecting

microscope, and tumor diameters were measured by microcalipers.

Preparation of *Pdlim2*-expression plasmid or empty vector plasmid nanoparticles

The polyethylenimine (PEI)-based nanoparticles (*in vivo*-jetPEI) (Polyplus Transfection, New York, NY,

USA) and plasmid DNA complexes at a nitrogen-to-phosphate ratio of 8 (N/P=8) were prepared according

to the manufacturer's instructions. Briefly, 25 μg of pCMV-myc-*Pdlim2* [21] or empty vector plasmids

in 100 μl of a 5% glucose solution were mixed with the *in vivo*-jetPEI reagent (4 μl) diluted into 100 μl of

a 5% glucose solution. After 15 minutes of incubation at room temperature, the mixed solution (200

μl/mouse) was injected intravenously (i.v.) via the tail vein. Presence of nanoPDLIM2 in tissues were

measured by PCR assays targeting Amp-R and Lyz2 in the genome of empty vector and *Pdlim2* plasmids.

Histology and immunohistochemistry (IHC) analysis

Lung, liver, kidney, and spleen tissues were excised, fixed in formalin, embedded in paraffin, and cut into 4-μm-thick sections. Sections were stained with H&E, or subjected to sequential incubations with the indicated primary antibodies, biotinylated secondary antibodies and streptavidin-HRP [6]. Antibodies were listed in Supplementary Table S1.

In vivo **BrdU labeling**

Mice were i.p. injected with 50 mg/kg BrdU (Sigma-Aldrich, St. Louis, MO, USA) 24 h prior to sacrifice. Mouse lung tissue sections were stained with anti-BrdU (Sigma-Aldrich, St. Louis, MO, USA). BrdU labeling index was calculated as the percentage of labeled cells per total cells counted (> 500 cells in each counted tumor-containing area).

Flow cytometry (FACS) analysis

The cells were isolated from mouse lungs using dispase digestion followed filtration with 70 μm Nylon cell strainer; then cells were incubated with the antibodies against cell surface antigens after blocked with αCD16/CD32. The cells were then fixed with paraformaldehyde (2%), permeabilized and incubated with antibodies against intracellular antigens if needed. For interferon-γ (IFNγ) staining, cells were treated with phorbol 12-myristate 13-acetate (PMA, 50 ng/ml), ionomycin (1 μM), brefeldin A (BFA, 3 μg/ml) and monensin (2 μM) for 4 h before they were stained for FACS analysis. Data were acquired and analyzed by Accuri C6 or LSRFortessa I (BD Biosciences) and FlowJo software [29].

Quantitative polymerase chain reaction (qPCR) analysis

The indicated tissues or cells were subjected to DNA or RNA extraction, RNA reverse transcription and real-time PCR using trizol, reverse transcriptase, and Power SYBR Green PCR Master Mix (Thermo

Fisher Scientific, Waltham, MA USA) according to manufacturer's protocol. Primer pairs used for qPCR

were listed in Supplementary Table S2.

Microsatellite and gene-specific PCR-based LOH analysis of *PDLIM2*

Genomic DNAs were isolated from human lung tumors and their matched normal tissues using the

PureLink Genomic DNA Purification Kit (Invitrogen, Carlsbad, CA, USA), and subjected to semi-

quantitative PCR using the primers specific for the microsatellite markers D8S1786 and D8S1752 that

straddle the *PDLIM2* genetic locus or the *PDLIM2* genetic locus itself. Primer pairs used for the assays

were listed in Supplementary Table S2.

Statistical analysis

One-way ANOVA power analysis was used to determine the minimum sample size. Animals were

randomly assigned to different treatment groups. Measurements were taken from distinct samples.

Student's t test (two tailed) and one-way ANOVA/Tukey's or two-way ANOVA/Sidak's test were used

to assess significance of differences between two groups and multiple comparisons, respectively.

Pearson's correlation test was used to assess association between *PDLIM2* expression with its promoter

methylation or genetic deletion and the overlap between *PDLIM2* promoter methylation and genetic

deletion. All bars in figures represent means $\pm$ standard error of the mean (SEM). The *p* values are

indicated as $*p < 0.05$, $**p < 0.01$, ns, not statistically significant, except for those shown in figures.

The *p* values < 0.05 and 0.01 are considered statistically significant and highly statistically significant,

respectively. Grubbs' and ROUT outlier tests were established a priori. There were no exclusions in the

analyses.

Results

Both epigenetic repression and genetic deletion of *PDLIM2* in lung cancer

Using 40% of the expression level of matched normal lung tissues as the cut-off, *PDLIM2* was repressed

in over 75% of human lung cancers in a prior study [6]. Analysis of the Cancer Genome Atlas (TCGA)

database found that if using 50% as the cut-off, *PDLIM2* was repressed in about 93% of human lung

cancers (Figure 1*A*). Further analysis of the TCGA dataset showed that over 70% of human lung cancers

with decreased *PDLIM2* expression had hypermethylation in *PDLIM2* promoter, when using 125% of the

methylation level of the *PDLIM2* promoter in normal lung tissues as the cut-off (Figure 1*A*, 1*B*), validating

our previous finding that epigenetic silencing was the main mechanism underlying *PDLIM2* repression in

lung cancer [6].

In line with *PDLIM2*'s location on chromosome 8p21.3, a frequent LOH region in lung and other tumors

[30-34], analysis of the TCGA database revealed that *PDLIM2* expression was positively associated with

its gene copy numbers, and that over 58% of human lung cancers with decreased *PDLIM2* expression had

genetic deletion of the *PDLIM2* gene if copy number variation (CNV) of -0.1 was used as the cut-off for

the gene deletion (Figure 1*C*). Further analysis indicated that about 44% of human lung cancers with

decreased *PDLIM2* expression simultaneously harbored the promoter hypermethylation and LOH with

copy number losses of the *PDLIM2* gene, and approximately 26% and 14% of them only having the

promoter hypermethylation and LOH, respectively (Figure 1*D*). The gene deletion of *PDLIM2* was

confirmed by microsatellite and gene-specific PCR-based LOH analysis of human primary lung cancer

tissues and cell lines (Figure 1*E*, 1*F*; Supplementary Table S3). These data suggested that *PDLIM2*

repression in lung cancer involves both epigenetic silencing and genetic deletion.

To determine the significance of *PDLIM2* LOH in lung tumor, we examined whether *Pdlim2* heterozygous

deletion leads to decreased *Pdlim2* expression and spontaneous tumors in mice. Unlike its absolute

absence in *Pdlim2* homozygous deletion (*Pdlim2*$^{-/-}$) mice, Pdlim2 was detected in the lung of *Pdlim2*$^{+/-}$

mice, but at a much lower level compared to wild type (WT) mice (about 50% of WT, Supplementary

Figure S1*A*). Importantly, *Pdlim2*$^{+/-}$ mice, like *Pdlim2*$^{-/-}$ mice, also developed spontaneous tumors (Figure

1*G*). Of note, over 50% of tumors developed in *Pdlim2*$^{-/-}$ and *Pdlim2*$^{+/-}$ mice were lung tumors (Figure

1*H*; Supplementary Figure S1*B*). These data are also highly consistent with the fact that *PDLIM2* is

ubiquitously expressed under physiological conditions, with the highest level in the lung and lung

epithelial cells in particular [6, 18-20]. Thus, *PDLIM2* is a haploinsufficient tumor suppressor that is

particularly important for lung tumor suppression**.**

Efficacy of systemic administration of nanoPDLIM2 in refractory lung cancer

Although reversal of *PDLIM2* epigenetic repression by epigenetic drugs to restore *PDLIM2* expression in

cancer cells may be used to treat lung cancers [6], this approach cannot be applied to lung cancers

involving *PDLIM2* LOH with copy number losses, as occurred in 58% of all lung cancer cases. To

overcome this limitation and expand *PDLIM2*-targeted therapy to all lung cancers with *PDLIM2*

repression, we tested the therapeutic efficacy of systemic administration of *PDLIM2*-expression plasmids

encapsulated by the clinically feasible *in vivo*-jetPEI [35-39]. To this end, we employed mouse lung

cancers induced by urethane, a faithful model of human lung cancer and adenocarcinoma (AC) in

particular [6, 23, 24, 40-43]. Urethane is a chemical carcinogen present in fermented food, alcoholic

beverage and also cigarette smoke, the predominant risk factor accounting for about 90% of human lung

cancers [44]. Like its human counterpart, the murine lung cancer induced by urethane also shares *Pdlim2*

repression, in addition to their similarities in histology, genetics, molecular biology and immunology [6,

9, 23, 24, 40-43]. WT mice with lung tumors induced by urethane were i.v. injected with nanoparticle-

encapsulated *Pdlim2* plasmids or empty vector plasmids. Six weeks post the initial treatment of

nanoPDLIM2, mice were sacrificed and lung tissues were collected for assays (Figure 2*A*). Compared to

the empty vector plasmid mock-treated group (Vec), nanoPDLIM2-treated mice had a significantly

reduced tumor burden (-31%) in their lungs, though the response of tumor numbers was weak (Figure 2*B*).

In line with the reduction in tumor burden, nanoPDLIM2 administration decreased nuclear Rela and Stat3,

a hallmark of NF-κB and STAT3 activation, accordingly reduced the expression of their downstream cell

survival gene *Bcl2l1*(Bcl-xl, -49%) and cell proliferation gene Ccnd1 (Cyclin D1, -61%), increased

cleaved caspase 3 (+230%), a marker of apoptosis, and decreased proliferation (-47%) of lung cancer cells

(Figure 2*C*-2*E*). These data indicated that intravenous administration of nanoPDLIM2 reduced lung tumor

burden, suppressed oncogenic Rela and Stat3 activation and increased apoptosis of lung tumors.

High tumor specificity and low toxicity of systemic administration of nanoPDLIM2

To characterize the efficiency of systemically administrated nanoPDLIM2, we examined *Pdlim2*

expression in lung tumors and several organs, including the liver, kidney and spleen. A high level of

Pdlim2 was detected in the lung tumors from mice treated with nanoPDLIM2 one week post the injection,

whereas no obvious Pdlim2 was detected in the lung tumors from mice of Vec group (Figure 3*A*, 3*B*). It

should be pointed out that the tumor delivery efficiency of *Pdlim2*-expression or empty vector plasmid

nanoparticles did not differ (Figure 3*B*). However, either *Pdlim2*-expression or empty vector plasmids

were hardly detected in other organs/tissues of the same mice, including liver, kidney and spleen (Figure

3*B*). Of note, in the first two days after injection, both empty vector and *Pdlim2* plasmids were also

detected at high levels in those tissues but quickly declined afterward (data not shown), consistent with

the well-documented tumor-specific enrichment of nanoparticles [37, 45-47]. Consistently, ectopic

Pdlim2 was not detected, and the levels of *Pdlim2* protein were comparable in these tissues (Figure 3*B*,

3*C*).

The i.v. injection of either Pdlim2-expression or control plasmid nanoparticles showed no obvious toxicity

to animals, as evidenced by no significant changes in body weight and histology of all organs/tissues

examined, including the spleen, kidney and liver (Figure 3*D*, *E*). Mouse appearance and behaviors, such

as eating, drinking, defecating, urinating, sniffing, grooming and digging, were not different between

control and nanoparticle groups (data not shown). Taken together, these data suggest nanoPDLIM2 shows

therapeutic efficacy in the mouse lung tumor model while causes low toxicity.

Synergy of nanoPDLIM2 with chemotherapy in lung cancer treatment

Given the role of *Pdlim2* in inhibiting the expression of cell survival and proliferation genes in tumor

cells, which contribute to chemoresistance, we tested whether nanoPDLIM2 increases the efficacy of

chemotherapy in the mouse model of lung cancer. Treatment with carboplatin and paclitaxel, two first-

line chemotherapeutic drugs for lung and many other cancers, led to a significant decrease in tumor

number (-35%) and tumor burden (-87%) (Figure 4*A*). Importantly, combination with nanoPDLIM2

further decreased both tumor number (-78%) and tumor burden (-94%), suggesting a promising synergy

between nanoPDLIM2 and chemotherapy in lung cancer treatment. Consistently, significantly higher

tumor cell apoptosis was detected in mice treated with the combination therapy (+650%), in comparison

to those with nanoPDLIM2 (+200%) or chemotherapy (+230%) alone (Figure 4*B*).

Chemotherapy induced strong Rela activation/nuclear expression and *Mdr1* expression (Figure 4*C*, 4*D*).

NanoPDLIM2 not only repressed the constitutive activation of Rela in cancer cells but also prevented the

strong induction of Rela activation and Mdr1 expression by the chemotherapy. Thus, nanoPDLIM2

improves the therapeutic efficacy of chemotherapy through blocking both intrinsic and acquired

chemoresistance of lung cancer cells.

NanoPDLIM2 enhanced the efficacy of ICIs in lung cancer treatment

High expression of cell survival genes renders tumor cells resistant to the tumoricidal activity of cytotoxic

T lymphocytes (CTLs), including those unleashed by ICIs. Given nanoPDLIM2 administration

suppressed survival genes in lung tumors (Figure 2*E*), we hypothesize it enhances the efficacy of

immunotherapy. NanoPDLIM2 increased the number of TILs and the expression of MHC-I, the most

important and core components of immunotherapies including PD-1 immune checkpoint blockade therapy

(Figure 5*A*, 5*B*). In line with our previous studies [6], PD-1 blocking antibody reduced tumor burden (-

25%) and tumor number (-13%) (Figure 5*C*). Combining with PDLIM2 nanotherapy further decreased

tumor burden (-64%), and caused a trend toward decrease for tumor number. Consistently, higher

increases in both CD4$^+$ and CD8$^+$ TILs, stronger CD8$^+$ CTL activation and lung tumor cell death were

detected in combination therapies of nanoPDLIM2 and PD-1 antibody (Figure 5*D*-5*F*). These data

together suggest that nanoPDLIM2 enhanced the therapeutic efficacy of PD-1 blockade.

Complete remission of all lung cancers in most mice by the combination treatment of nanoPDLIM2

and anti-PD-1 and chemotherapeutic drugs

Like most human lung cancers, lung cancers in our animal model exhibit a decreased expression of PD-

L1 on cell surface, in additional to the low expression of MHC-I and low number of TILs [6, 9]. In line

with our previous finding that PD-L1 expression is largely independent of *Pdlim2* [6], nanoPDLIM2 failed

to induce PD-L1 expression in lung tumors; in contrast, chemotherapy induced PD-L1 (Figure 6*A*). This

may explain why the enhancing effect of nanoPDLIM2 on PD-1 blockade therapy is only moderate.

Induction of PD-L1 could protect cancer cells from immune attack and thereby restricts further efficacy

improvement from its combination with PDLIM2 nanotherapy, which increased TILs (Figure 4*A*); on the

other hand, upregulated PD-L1 may increase the sensitivity of cancer cells to PD-1 blockade therapy.

Indeed, combination of chemotherapeutic drugs and PD-1 blockade showed a promising synergy in

reducing both tumor number and tumor burdens in comparison to the individual treatment of

chemotherapy or PD-1 blockade therapy [6]. The synergy was largely blocked when *Pdlim2* was

genetically deleted from lung cancer cells (ΔSPC) (Figure 6*B*, 6*C*), suggesting an important role of *Pdlim2*

in the combination therapy of chemotherapeutic drugs and anti-PD-1. Whereas *PDLIM2 nanotherapy*

increased MHC-I expression on tumor cells (Figure 5*B*), which is critical for better recognition and killing

of tumor cells by CD8$^+$ CTLs, chemotherapy alone or its combination with anti-PD-1 failed to do so

(Figure 6*D*). Accordingly, combination of anti-PD-1 and chemotherapeutic drugs, like the combination

therapies of nanoPDLIM2 and anti-PD-1 or chemotherapeutic drugs, also failed to induce a complete

remission of lung cancers in any mice.

Given their overlapping roles in increasing TILs and in particular their complement roles in inducing

MHC-I and PD-L1 expression on tumor cells, which turn tumors hot and more sensitive to PD-1 blockade,

better efficacy is expected for combination of nanoPDLIM2, chemotherapeutic drugs, and anti-PD-1

compared to single therapy or combination of double therapies. Indeed, combination of the three showed

the strongest effect on reducing tumor burden and numbers, and caused complete remission of lung

cancers in 60% of mice (Figure 6*E*) and much more shrinkage of lung tumors in remaining mice in

comparison to those treated with two combined therapies (data not shown). In line with the increase in

therapeutic efficacy, the triple combination therapy significantly increased the numbers and/or activation

of CD4$^+$ and CD8$^+$ T cells in the lung, compared to the combination of chemotherapeutic drugs and anti-

PD-1 (Figure 6*F*, 6G).

Of note, consistent with the undetectable toxicity of *PDLIM2 nanotherapy*, its co-treatment did not further

increase the toxicity of anti-PD-1 and chemotherapeutic drugs, as evidenced by no obvious histological

difference of major organs, including the liver, lung, kidney, and spleen (Supplementary Figure S2), and

no significant differences in animal body weights, in comparison to the mice received the combinational

treatment of anti-PD-1 and chemotherapeutic drugs in the presence or absence of empty vector plasmid

nanoparticles (Figure 6*H*).

Discussion

PD-1/PD-L1 blockade immunotherapy has recently joined chemotherapy as a standard treatment for lung

and several other cancers [2-4]. While some patients have shown dramatic responses, most patients do

not benefit from this novel treatment. Currently, various combinations of ICIs with other therapies, in

particular with chemotherapeutic drugs, are being extensively tested in both preclinical and clinical trial

studies, aiming to expand the benefit of this innovative therapy [6-8]. Although a promising synergy and

better efficacy have been observed in some of these studies [6-8], further improvement is direly needed.

Using a mouse model of lung cancer, we show, for the first time, that PDLIM2 *nanotherapy* restored

Pdlim2 expression in lung tumors and reduced tumor burden; more importantly, co-treatment of

nanoPDLIM2 enhanced the efficacy of anti-PD-1 and chemotherapeutic drugs and induced complete

remission of all lung cancers in most animals.

Most human lung cancers as well as lung cancers in our animal model have low numbers of TILs and

decreased expression of PD-L1 and MHC-I on the cell surface [6, 9], all of which contribute to the

resistance to PD-1 blockade therapy. Through inducing immunogenic cell death (ICD) of cancer cells [8],

chemotherapy can increase TILs in tumor microenvironment and PD-L1 expression on tumor cells, and

thereby synergize with anti-PD-1. However, chemotherapy cannot induce MHC-I expression, this limits

further improvement of its synergy with immune therapy for complete cancer remission. Also, tumor

cells usually express high levels of survival genes against the tumorcidal effects of chemotherapeutic

drugs and of CTLs, including those activated by chemotherapy and unleashed by ICIs.

On the other hand, nanoPDLIM2 induced MHC-I expression and lymphocyte tumor infiltration but did

not up-regulate PD-L1. Moreover, nanoPDLIM2 prevented the induction of *Mdr1* and the expression of

tumor survival genes, this would further sensitize tumor cells to the cytotoxicity of chemotherapeutic

drugs and immune cells. Consistent with these, nanoPDLIM2 improved the efficacy of chemotherapy and

PD-1 blockade therapy, and the combination of these three therapies resulted in complete cancer remission

in 60% of the animals and dramatic tumor reduction in the remaining mice.

Another important clinical characteristic of *PDLIM2 nanotherapy* is its tumor-specificity and high safety

profile. It delivered *Pdlim2*-expression plasmids specifically to lung tumor tissues and increased *Pdlim2*

expression. Of note, nanoPDLIM2 showed undetectable toxicity in the animal model, and did not further

increase the toxicity of anti-PD-1 and chemotherapeutic drugs. In contrast, although epigenetic drugs (5-

aza-dC and MS-275) could restore *PDLIM2* expression in cancer cells with *PDLIM2* epigenetic repression

[6, 10-15] and showed better efficacy as a monotherapy or combined with chemotherapeutic drugs or anti-

PD-1 than nanoPDLIM2 (Supplementary Figure S4), the epi-drugs caused body weight loss and much

worse toxicity when combined with chemotherapy (Supplementary Figure S3). In addition, the

therapeutic efficiency of epigenetic drugs depends on *PDLIM2* expression [6]. Although epigenetic

therapy can be applicable to 26% lung cancers with *PDLIM2* epigenetic repression, it is not suitable in

about 58% of lung cancers harboring *PDLIM2* LOH with copy number losses. NanoPDLIM2, on the other

hand, provides a strategy to restore *PDLIM2* expression in all lung cancers with *PDLIM2* repression,

regardless of whether it is resulted from promoter hypermethylation or gene deletion.

Conclusions

In summary, the presented data identify genetic deletions as a major mechanism for *PDLIM2* repression

in human lung cancers, and *PDLIM2* as a haploinsufficient tumor suppressor particularly important for

suppressing lung cancer and therapy resistance. More importantly, these preclinical data demonstrate that

combination treatment of nanoPDLIM2, anti-PD-1 and chemotherapeutic drugs induced complete

remission of all lung cancers in most animals with high safety profile, suggesting nanoPDLIM2 as a

potential strategy to enhance the efficacy of current therapies.

Abbreviations

AC: adenocarcinoma; BFA: brefeldin A; CNV: copy number variation; CTL: cytotoxic T lymphocyte; FACS: fluorescent activated cell sorting; FDA: Food and Drug Administration; IFNγ: interferon-γ; ICD: immunogenic cell death; ICI: immune checkpoint inhibitor; IHC: immunohistochemistry; i.p.: intraperitoneal; i.v.: intravenous; LOH: loss of heterozygosity; Mdr1: multi-drug resistance 1; MHC-I: major histocompatibility complex class I; nanoPDLIM2: nanoparticle-encapsulated *Pdlim2*-expression plasmids; NF-κB: nuclear factor-κB; NIH: National Institutes of Health; ORR: objective response rate; OS: overall survival; PD-1: programmed cell death 1; PD-L1: programmed death ligand 1; PD-L2: programmed death ligand 2; PDLIM2: PDZ-LIM domain-containing protein 2; PEI: polyethylenimine; PFS: progression-free survival; PMA: phorbol 12-myristate 13-acetate; qPCR: quantitative polymerase chain reaction; SEM: standard error of the mean; STAT3: signal transducer and activator of transcription 3; TCGA: The Cancer Genome Atlas; TIL: tumor-infiltrating lymphocyte; WT: wild type.

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Availability of data and materials

TCGA lung adenocarcinoma, lung squamous cell carcinoma, and lung cancer data we analyzed were obtained from https://portal.gdc.cancer.gov/projects. All data generated or analyzed during this study are included in this article and its additional files. The Extra data that support the findings of this study are available from the corresponding authors upon reasonable request.

Author contributions

Z.Q. and G.X. conceived and designed the study, led and contributed to all aspects of the analysis, and wrote the manuscript. S.D.S. contributed to the overall experimental design and data analysis and interpretation, provided advice and constructive feedback, and edited the manuscript. F.S. performed and analyzed all the experimental assays. H.Z. contributed to data analysis and edited the manuscript. P.Y. originally identified PDLIM2 LOH in human lung cancers by gene-specific PCR-based LOH analysis. Y.X. contributed to mouse clone maintenance and IHC staining.

Ethics approval and consent to participate

All animal studies were approved by the Institutional Animal Care and Use Committee of the University of Pittsburgh and carried out in accordance with NIH guidelines on animal care.

Consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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 Figure legends

 Fig 1. *PDLIM2* repression in human lung cancers involves both epigenetic alteration and genetic deletion,

 and *PDLIM2* genetic deletion in mice leads to development of spontaneous tumors, majorly lung tumors.

 (A) TCGA data showing *PDLIM2* repression in over 90% of lung cancers if using 50% of the expression

 level in normal lung tissues as the cut-off (NL, n = 110; Tumor, n = 1019). (B) TCGA data showing

 PDLIM2 promoter hypermethylation and expression repression (dashed box) in over 70% of lung cancers

 when using 125% of the methylation level in normal lung tissues as the cut-off (n = 827). (C) TCGA data

 showing positive associations between *PDLIM2* expression and its gene copy numbers as well as PDLIM2

 genetic deletion and expression repression (dashed box) in about 58% of lung cancers using the copy

 number variation of -0.1 as the cut-off (n = 1010). (D) TCGA data showing simultaneous promoter

 hypermethylation and genomic deletion of *PDLIM2* (dashed box) in about 44% of lung cancers (n = 816).

 (E) Microsatellite-PCR showing *pdlim2* loss in human lung cancers (n = 21). (F) qPCR showing *PDLIM2*

 loss in human lung cancer cell lines with known copy number of the *PDLIM2* gene (n = 25). (G) Kaplan-

 Meier tumor-free survival curve showing increased spontaneous tumors in *Pdlim2$^{-/-}$* and *Pdlim2$^{+/-}$* mice

 compared to WT mice. Gehan-Breslow-Wilcoxon test was performed. *p < 0.05. (H) Percentage of

 tumor types spontaneously developed in *Pdlim2$^{+/-}$* mice, and 51% of tumors occurred in the lung.

 Figure 2. Systemic administration of PDLIM2 plasmid nanoparticles shows efficacy in mouse model of

 refractory lung cancer. (A) Schedule of lung cancer induction and treatment. (B) Intravenous

 administration of PDLIM2 plasmid nanoparticles reduced lung tumors in mouse urethane lung tumor

 model (n ≥ 6). Nanoparticles carrying an empty vector plasmid (Vec) that was employed to express

 PDLIM2 were used as a control. (C) IHC staining showing decreased nuclear expression of Stat 3 and

 Rela in lung tumors by PDLIM2 nanotherapy (n = 6). (D) IHC staining showing decreased Bcl-xl and

 increased cleaved caspase -3 in lung tumors by PDLIM2 nanotherapy (n = 6). (E) IHC staining showing

 decreased Cyclin D1 and proliferation (Brdu) in lung tumors by PDLIM2 nanotherapy (n = 6). Scale bar

in (C-E), 20 μm. Student's *t* test was performed (two tailed, unpaired) and data represent means ± SEM

in (B-E). **$p < 0.01$; ns, not statistically significant.

Figure 3 PDLIM2 nanotherapy shows high tumor specificity and low toxicity. (A) IHC staining showing

high Pdlim2 re-expression in lung tumors after PDLIM2 nanotherapy. (B) PCR and IB assays showing

lung tumor-specific plasmids delivery and PDLIM2 expression by PDLIM2 nanotherapy (n = 3). (C) IHC

staining showing comparable expression of PDLIM2 in the indicated tissues of mice treated with PDLIM2

expression plasmid or empty vector plasmid nanoparticles. (D) No significant changes in animal body

weight by PDLIM2nanoparticles (n = 5). (E) H&E staining showing no noticeable changes in major

organs by PDLIM2nanoparticles nanotherapy. Scale bar: (A and C) 20 μm; (E) 50 μm.

Figure 4 PDLIM2 nanotherapy renders lung cancers more vulnerable to chemotherapy. (A) Synergy of

PDLIM2 nanotherapy and chemotherapeutic drugs (carboplatin and paclitaxel) to reduce lung tumors in

lung tumor models induced by urethane (n ≥ 5). (B) IHC staining showing effects on lung tumor cell

apoptosis by PDLIM2nanoparticle, chemo-drugs, and their combination (n = 6). (C) IHC staining

showing increase in nuclear Rela by chemotherapy and its inhibition by PDLIM2nanoparticles (n = 6).

(D) IHC staining showing MDR1 induction by chemotherapy and its blockage by PDLIM2 nanotherapy

(n = 6). Scale bar in (B-D), 20 μm. Student's *t* test was performed (two tailed, unpaired) and data represent

means ± SEM. *$p < 0.05$; **$p < 0.01$; ns, not statistically significant.

Figure 5 PDLIM2 nanotherapy increases the efficacy of PD-1 blockade immunotherapy. (A) IHC

staining showing increased TILs in lung tumors by PDLIM2nanoparticles (n = 6). (B) FACS showing

increased MHC-I expression in lung tumor cells by PDLIM2 nanotherapy (n = 4). (C) Enhancing effects

of PDLIM2nanoparticles on PD-1 immunotherapy in lung tumors induced by urethane (n ≥ 5). (D) IHC

staining showing increased TILs by PDLIM2 nanotherapy in the context of immunotherapy (n = 6). (E)

FACS showing increased activation of CD8$^+$ T cells by PDLIM2 nanotherapy in the context of

immunotherapy (n = 3). (F) IHC staining showing increased lung tumor cell apoptosis by PDLIM2

nanotherapy, immunotherapy, and further increase by their combination (n = 6). Scale bar in (A, D, F),

20 μm. Student's t test was performed (two tailed, unpaired) and data represent means ± SEM. **$p <$

0.01; ns, not statistically significant.

Figure 6 Combination of PDLIM2 nanotherapy, chemotherapy and immunotherapy shows enhanced

efficacy in lung cancer treatment. (A) IHC staining showing PD-L1 induction by chemotherapy but not

by PDLIM2 nanotherapy. (B) Schedule of lung cancer induction and treatment. (C) Urethane-induced

lung tumors were more resistant to chemo and αPD-1 combination therapy in mice with Pdlim2

specifically deleted in lung epithelial cells (ΔSPC) (n ≥ 4). (D) IHC staining showing no MHC-I induction

by chemotherapy, PD-1 immunotherapy or their combination (n = 6). (E) Tumor examination showing

complete remission of all lung tumors in 60% of mice by combination of the three therapies (n ≥ 5). (F)

IHC staining showing increased TILs by PDLIM2 nanotherapy in mice treated with anti-PD-1 and

chemotherapeutic drugs (n = 6). (G) FACS analysis showing increased lung CD8$^+$ T-cell activation by

PDLIM2 nanotherapy in mice treated with anti-PD-1 and chemotherapeutic drugs (n = 5). (H) No

significant effect of PDLIM2 nanotherapy on the body weight of mice treated with anti-PD-1 and

chemotherapeutic drugs (n = 5). Scale bar in (A and F), 20 μm. Student's t test was performed (two tailed,

unpaired) and data represent means ± SEM in (**c-g**). *$p < 0.05$; **$p < 0.01$; ns, not statistically significant.

Figure 1

630   Figure 2

635   Figure 3

A

B

D

C

E

640   Figure 4

644 Figure 5

648   Figure 6

Supplemental Table 1.   Antibodies Used

Antibody	Company	Cat. No.	Dose	Usage
Anti-PDLIM2	Everest Biotech, Ramona, CA, USA	EB11878	1:400	IHC
Anti-Cleaved Caspase 3	Cell Signaling Technology, Danvers, MA, USA	9661	1:200	IHC
Anti-Bcl-xL	Cell Signaling Technology, Danvers, MA, USA	2764	1:2400	IHC
Anti-Cyclin D1	Santa Cruz Biotechnology, Dallas, TX, USA	sc-450	1:200	IHC
Anti-BrdU	Sigma-Aldrich, St. Louis, MO, USA	B2531	1:500	IHC
Anti-RelA	Cell Signaling Technology, Danvers, MA, USA	8242	1:500	IHC
Anti-STAT3	Cell Signaling Technology, Danvers, MA, USA	4904	1:1000	IHC
Anti-MDR1	Cell Signaling Technology, Danvers, MA, USA	13978	1:800	IHC
Anti-CD4	Abcam, Cambridge, MA, USA	ab183685	1:1000	IHC
Anti-CD8	Abcam, Cambridge, MA, USA	ab209775	1:1000	IHC
Anti-PD-L1	Cell Signaling Technology, Danvers, MA, USA	64988	1:200	IHC
Anti-MHC-I	Abcam, Cambridge, MA, USA	ab15681	1:200	IHC
Anti-mouse IgG Biotinylated	Vector Laboratories, Burlingame, CA, USA	BMK-2202	1:200	IHC
Goat anti-rat IgG Biotinylated	Vector Laboratories, Burlingame, CA, USA	BA9401	1:100	IHC
Rabbit anti-goat IgG Biotinylated	Santa Cruz Biotechnology, Dallas, TX, USA	sc-2774	1:200	IHC
Goat anti-rabbit IgG Biotinylated	Dako, Carpinteria, CA, USA	E0432	1:200	IHC
Anti-Hsp90	Santa Cruz Biotechnology, Dallas, TX, USA	sc-13119	1:1000	WB
Anti-c-Myc	Santa Cruz Biotechnology, Dallas, TX, USA	sc-40	1:1000	WB
Anti-CD16/CD32	eBioscience, San Diego, CA, USA	14-0161-85	1.0 µl per sample	FACS
Anti-CD45 FITC	Biolegend, San Diego, CA, USA	103107	0.5 µl per sample	FACS
Anti-EpCAM PE	eBioscience, San Diego, CA, USA	12-5791-82	0.625 µl per sample	FACS
Anti-MHC-I APC	eBioscience, San Diego, CA, USA	17-5998-82	1.25 µl per sample	FACS
Anti-CD3 PE	eBioscience, San Diego, CA, USA	12-0031-83	2.5 µl per sample	FACS
Anti-CD4 PE-Cy7	eBioscience, San Diego, CA, USA	25-0042-82	1.25 µl per sample	FACS
Anti-CD8a APC	eBioscience, San Diego, CA, USA	17-0081-83	0.625 µl per sample	FACS
Anti-IFNγ FITC	eBioscience, San Diego, CA, USA	11-7311-82	1 µl per sample	FACS
Anti-PD-1	BioXcell, West Lebanon, NH, USA	BE0273	200 µg/mouse/time	*in vivo* blockade

Supplemental Table 2. Primers Used

Gene	Species	Accession number	Forward (5' to 3')	Reverse (5' to 3')	Usage
D8S1786	human		CGAAAGATTGAGACCCCAT	GTTTCCACACCGAAGCC	Microsatellite PCR
D8S1752	human		TCCTGGATCAGGCAGAAA	TCAGAGTTGGGTGAGCGA	Microsatellite PCR
line-1	human	M80343.1	AAAGCCGCTCAACTACATGG	TGCTTTGAATGCGTCCCAGAG	RT-PCR
pdlim2	human	NG_030435.1	CAAAGCCAAGGACGCTGACC	GCTCTGGCGGATCTTGCTCT	RT-PCR
pdlim2	mouse	Mutant primer	GCTACCCGTGATATTGCTGAAGAG		Genotyping PCR
		WT primer	GTCTTCTCTTCCTCAGGCATGGCG		
		Common primer	GCCAACATTGCCCCAGAAGACTCC		
Amp-R	Plasmid		AACTTTATCCGCCTCCAT	GAAGCCATACCAAACGAC	Plasmid detection
Lyz2	mouse	NC_000076.6	AGCAGGCATGCTTTCTCTAGTC	GCGATTAGCTGGAGCCATCA	

Supplemental Table 3.   PDLIM2 RNA level and copy number in human lung cancer cell

lines

	PDLIM2 gene	
	Relative RNA level	Copy number
NL-20	1.000	2.000
CALU-6	0.010	1.021
H727	0.020	1.590
H460	0.001	1.341
H2122	0.042	2.038
H23	0.128	0.376
H358	7.260	1.244
SKLU-1	0.390	1.685
SW1573	0.010	0.842
CALU-1	1.950	1.293
128-88T	0.049	1.767
H1299	0.003	0.243
273T	0.815	2.172
H827	1.017	1.237
H1650	2.168	0.679
H3255	5.118	0.587
343T	1.365	0.919
CALU-3	0.377	1.290
H1435	1.198	0.915
H1793	6.833	1.637
H596	0.195	0.782
H838	0.080	1.740
H1838	2.360	1.603
A549	0.010	5.265
H1975	1.086	2.507

660   Supplement Figure S1

661
662
663   Figure S1. Mice with PDLIM2 deletion develop spontaneous tumors, majorly lung tumors. A, IHC assays
664   showing decreased and complete loss of PDLIM2 protein expression in the lungs of PDLIM2+/- and
665   PDLIM2-/- mice, respectively.   Scale bar, 20 µm.   B, H&E staining of the lung tissues showing
666   spontaneous lung tumors in PDLIM2+/- and PDLIM2-/- mice. Scale bar, 20 µm.
667

668   Supplement Figure S2

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670
671   Figure S2. PDLIM2 nanotherapy causes no obvious pathological changes in major organs. H&E staining
672   showing comparable patterns in lung, liver, kidney and spleen between Vec and PDLIM2 group in the
673   context of combinational chemotherapy and PD-1 blockade immunotherapy (n = 5). Scale bar: 50 µm.
674

675   Supplement Figure S3

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677
678   Figure S3. Epigenetic drugs cause body weight loss in mice with lung cancer. Mice were i.p. injected
679   with urethane (1 g/kg) for 6 weeks to induce lung tumors, and then treated for 6 weeks with 5-aza-dC and
680   MS-275 (Epi, i.p., 1 mg/kg each, twice per week), carboplatin and paclitaxel (Chemo, i.p., 30 mg/kg and
681   15 mg/kg, respectively, once per week), or their combinations. A, Body weight change between prior to
682   the first treatment and the sacrifice endpoint. B, Percentage of mice with peritoneal effusion (n $\geq$ 4).
683

684   Supplement Figure S4

685
Figure S4. Epigenetic drugs show better efficacy than PDLIM2nanoparticle in lung cancer treatment.
Mice were i.p. injected with urethane (1 g/kg) for 6 weeks to induce lung tumors, and then treated with 5-
aza-dC and MS-275 (Epi, i.p., 1 mg/kg each, twice per week), carboplatin and paclitaxel (Chemo, i.p., 30
mg/kg and 15 mg/kg, respectively, once per week), anti-PD-1 antibody (aPD-1, i.p., 200 µg/mouse, three
times per week), PDLIM2-expression plasmids containing nanoparticles (i.v., 25 µg plasmid/mouse, once
per week), or their combinations for 6 weeks before they were sacrificed for tumor examination (n ≥ 4).
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