Title：

Stimulus representation in human frontal cortex supports flexible control in

working memory

Authors:

Zhujun Shao[1,2,3], Mengya Zhang[1,3], Qing Yu[1,*]

Affiliations:

[1]Institute of Neuroscience, State Key Laboratory of Brain Cognition and Brain-inspired

Intelligence Technology, Center for Excellence in Brain Science and Intelligence Technology,

Chinese Academy of Sciences, Shanghai 200031, China

[2]University of Chinese Academy of Sciences, Beijing 100049, China

[3]These authors contributed equally

[*]**Correspondence should be addressed to:**

Qing Yu

Institute of Neuroscience, State Key Laboratory of Brain Cognition and Brain-inspired

Intelligence Technology, Center for Excellence in Brain Science and Intelligence Technology,

Chinese Academy of Sciences, Shanghai 200031, China

Email: qingyu@ion.ac.cn

Keywords: visual working memory, cognitive flexibility, frontal cortex, visual cortex, fMRI,

recurrent neural network

Abstract

When holding visual information temporarily in working memory (WM), the neural representation of the memorandum is distributed across various cortical regions, including visual and frontal cortices. However, the role of stimulus representation in visual and frontal cortices during WM has been controversial. Here we tested the hypothesis that stimulus representation persists in the frontal cortex to facilitate flexible control demands in WM. During functional MRI, participants flexibly switched between simple WM maintenance of visual stimulus or more complex rule-based categorization of maintained stimulus on a trial-by-trial basis. Our results demonstrated enhanced stimulus representation in the frontal cortex that tracked demands for active WM control and enhanced stimulus representation in the visual cortex that tracked demands for precise WM maintenance. This differential frontal stimulus representation traded off with the newly-generated category representation with varying control demands. Simulation using multi-module recurrent neural networks replicated human neural patterns when stimulus information was preserved for network readout. Altogether, these findings help reconcile the long-standing debate in WM research, and provide empirical and computational evidence that flexible stimulus representation in the frontal cortex during WM serves as a potential neural coding scheme to accommodate the ever-changing environment.

Introduction

Real-world flexible behavior relies largely on working memory (WM), which allows the maintenance and manipulation of information in the brain in order to serve diverse behavioral goals (Baddeley, 2003). One central problem in the field of WM is to understand how stimulus information is represented and maintained in WM. Over the past decade, mounting evidence has demonstrated stimulus-specific representation during WM maintenance in a distributed cortical network, including sensory, parietal, and frontal cortices (Christophel et al., 2012; Ester et al., 2015; Gosseries et al., 2018; Harrison & Tong, 2009; Riggall & Postle, 2012; Serences et al., 2009; Sprague & Serences, 2013; Yu & Shim, 2017, 2019). However, the exact nature and functions of stimulus representation in different cortical regions remain controversial. Specifically, while neurophysiological studies in non-human primates have mostly emphasized stimulus representation in the frontal cortex (Funahashi et al., 1989; Fuster & Alexander, 1971; Leavitt et al., 2017), neuroimaging work in humans has reported disparate findings. During maintenance of simple visual features, stimulus representation is robustly encoded in the early visual cortex (EVC), which has been taken as the evidence in support of the sensorimotor recruitment hypothesis of WM (Harrison & Tong, 2009; Riggall & Postle, 2012; Serences et al., 2009). Meanwhile, those in the higher-order frontoparietal cortex are typically weaker and less stable (Emrich et al., 2013; Gosseries et al., 2018; Riggall & Postle, 2012; Yu & Shim, 2019). However, in dynamic environments such as those involving distraction, stimulus representation in EVC could be greatly interrupted or biased (Bettencourt & Xu, 2016;

Hallenbeck et al., 2021; Lorenc et al., 2018). In contrast, stimulus representation in

the frontal cortex could be robust under certain circumstances including attentional

prioritization (Christophel et al., 2018), categorization (Lee et al., 2013) and after

extensive training (Miller et al., 2022). To summarize, stimulus representation could

vary markedly depending on specific brain regions and memory tasks, complicating

the interpretation of potential functions of stimulus representation in WM.

In this study, we consider these apparent discrepancies from the perspective of

cognitive flexibility (Badre et al., 2021; Fusi et al., 2016; Musslick & Cohen, 2021).

We propose that changes in stimulus representation in different cortical regions might

reflect a global reconfiguration in coding strategy and resource allocation in response

to varied WM functions (Henderson et al., 2022; Lee et al., 2013). To elaborate,

beyond the passive maintenance of incoming sensory information, WM provides an

online mental workspace for active manipulation and control of stimulus contents

(Baddeley, 2003; Miller & Cohen, 2001). As control functions often result in the

generation and maintenance of new information, the brain needs to manage not only

the original stimulus information but also the newly generated information in WM.

Due to the limited cognitive resources available, it is likely that original stimulus

representation in WM could adapt flexibly to various task goals beyond simple

maintenance of WM contents, which might also co-vary with changes in the

representation of the newly-generated information, leading to a systematic

reconfiguration in representations of all levels across various cortices. We make two

specific predictions from this account. First, in accordance with the findings of

elevated neural activity in the frontal cortex with increasing demand for memory

manipulation (D'Esposito et al., 1999; D'Esposito et al., 2000) and cognitive control

(Badre, 2008; Badre et al., 2010; Miller & Cohen, 2001), stimulus representation in

frontal cortex should be enhanced for active-control-related functions in WM. By

contrast, due to the precise nature of stimulus representation in visual cortex, stimulus

representation in this region should be enhanced for precise-maintenance-related

functions in WM (Henderson et al., 2022; Lee et al., 2013). Second, within the brain

regions that encode the newly generated information, a dynamic tradeoff between

representations of original and new information should be observed to achieve

flexible allocation of limited cognitive resources (Badre et al., 2021; Flesch et al.,

2022).

Using functional magnetic resonance imaging (fMRI), we directly tested this

account by systematically investigating stimulus representation in visual, parietal, and

frontal cortices during WM tasks with varying demands for active control. In

particular, we surmised that stimulus representation in the frontal cortex would

increase to accommodate complex control demands such as rule-based categorization.

To this end, we employed a visual WM paradigm that required flexible switching

between maintenance and categorization tasks. Specifically, in the maintenance task,

participants maintained one visual orientation throughout a delay period, whereas in

the categorization task participants were required to categorize the remembered

orientation into one of two categories in accordance with previously learned rules,

which could be either switched randomly between two rules on a block-by-block basis

(Experiment 1) or fixed with one rule (Experiment 2). Thus, compared with the

maintenance task, the categorization task imposed additional control demand of WM

information at two different levels: the first level of control being stimulus

categorization, because participants needed to adapt the stimulus based on the

categorization rule in working memory for subsequent category judgements; the

second level of control being flexibility of rules, because with two categorization

rules, the flexibility in control increased.

In line with our prediction, our results showed that stimulus information was

more prominently represented in the frontal cortex during categorization than

maintenance task, and this differential representation was enhanced with increasing

demands for control. Importantly, the strength of stimulus representation in the frontal

cortex was predictive of WM behavioral performance in the categorization but not in

the maintenance task, implicating a selective involvement of the frontal cortex in

control functions. By contrast, stimulus representation in the visual cortex was found

to exhibit an opposite pattern, with higher strength for maintenance than for

categorization task. Moreover, varying control demands across experiments revealed a

dynamic tradeoff between stimulus and the newly-generated category representations.

To further examine whether the enhanced stimulus representation in the frontal cortex

during categorization task could be explained by global coding strategy, we simulated

our flexible WM tasks with multi-module recurrent neural networks (RNNs). The

results of this computational modeling well replicated our human data when precise

stimulus information was preserved at the output during network training. Taken

together, our results indicate the importance of the frontal cortex for flexible control

in WM and highlight the relative changes of stimulus representation in different

cortical regions for varying task demands of WM.

Results

Behavioral learning and performance of WM tasks

In the fMRI session of Experiment 1, human participants (n = 24) completed two

tasks, maintenance and categorization, inside an MRI scanner. The maintenance task

was a delayed match-to-sample working memory task of orientations. Participants

only needed to maintain the cued orientation throughout a memory delay. In

categorization task, participants also started with maintaining an orientation. After the

task cue, they needed to categorize the remembered orientation into one of two

categories using the cued categorization rule. Within an experimental block of nine

trials, participants randomly switched between the two tasks. Across blocks,

participants randomly switched between two categorization rules acquired during a

preceding learning session. We randomized response mapping across trials to avoid

potential influence by motor-planning signals (see Figure 1A for probe design). Prior

to the main session, participants first completed a behavioral learning session to learn

two categorization rules (Rule A and Rule B, see Figure 1B) that were orthogonal to

each other. Participants acquired the two rules with equal familiarity ($t(23) = 0.24$, $p =$

0.813; for Rule A, $M = 0.85$, $SD = 0.050$; for Rule B, $M = 0.85$, $SD = 0.05$; Figure 1C)

and comparable precision (averaged error in reported boundaries for Rule A were 8.80°

± 6.29° and that for Rule B was 9.02° ± 5.69°; Figure 1D).

156
Figure 1. Experimental design and behavioral performance.
(A) Main task procedure. Each block started with a rule cue indicating the
categorization rule for this block. On each trial, participants saw two orientations
consecutively and were then cued to remember one of the orientations. In
maintenance task (cued by letter "P"), participants needed to maintain the
remembered orientation as precisely as possible. In categorization task (cued by letter
"C"), participants needed to categorize the remembered orientation following the
categorization rule of the current block. Maintenance and categorization trials were
interleaved within an experimental block of nine trials. Categorization rule (Rule A or
Rule B) switched randomly on a block-by-block basis. Response keys ("F" and "J")
for categorization task were randomly assigned to the two categories. Each pair of
keys displayed at random locations within the category to eliminate information on
rule boundaries. (B) Illustration of the two orthogonal categorization rules (Rule A

and Rule B). (C) Rule learning performance during learning session for Rule A (purple) and Rule B (pink). (D) Errors in participants' self-reported rule boundaries. Errors were calculated as the average distance from reported boundaries to ground truth boundaries. (E) Accuracy compared between tasks. Boxplots show the median and the 25th and 75th percentiles. Whiskers extend to 1.5 Inter quartile range (IQR) from the quartiles. Asterisks denote significant results, n.s.: not significant; **: $p <$ 0.01. (F) Reaction time compared between tasks. Same conventions as (E). (G) Upper panel: accuracy in relation to distance from categorization boundaries. Lower panel: reaction time in relation to distance from categorization boundaries. Shaded areas represent ± SEM.

Overall, participants performed equally well on both tasks in the fMRI session. Accuracy for the maintenance task ($M \pm SD$: 0.81 ± 0.07) and that for the categorization task (0.82 ± 0.05) did not significantly differ ($t(23) = 1.51$, $p = 0.144$; Figure 1E), suggesting that the two tasks were matched in terms of task difficulty. In line with previous categorization studies demonstrating a boundary effect (Ester et al., 2020; Freedman & Assad, 2006), only in the categorization task but not in the maintenance task did participants perform better for trials distant from category boundaries in terms of both accuracy and reaction time (see Figure 1G). These results demonstrated the effect of categorization and confirmed that participants faithfully followed task instructions.

Enhanced stimulus representation in frontal cortex during categorization task

The primary goal of this study was to determine the role of stimulus representation in various cortices in WM. Using conventional multivariate encoding and decoding methods, we tracked stimulus (i.e., orientation) representation in three brain regions of interest (ROIs) that have been implicated in representing WM

information, including early visual cortex (EVC), intraparietal sulcus (IPS), and

superior precentral sulcus (sPCS) (Christophel et al., 2018; Ester et al., 2015;

Hallenbeck et al., 2021; Yu & Shim, 2017), see Appendix1 – figure 1 for a

visualization of the anatomical locations of the ROIs.

First, we used multivariate inverted encoding models (IEMs) (Brouwer & Heeger,

2009, 2011; Ester et al., 2015; Rademaker et al., 2019; Yu & Shim, 2017) to

reconstruct orientation representation at the population level in each ROI. Figure 2A

shows example orientation reconstructions from representative time points. To

quantify the strength of orientation reconstructions, we calculated the reconstruction

fidelity by first projecting the channel response at each orientation onto a vector at the

cued orientation and then averaging the projected vectors to obtain the

representational fidelity (Rademaker et al., 2019). A larger fidelity value indicates a

stronger positive representation of orientation (See Figure 2B for illustration). Figure

2C demonstrates the time course of orientation reconstruction as quantified by

representational fidelity. Critically, by comparing the representational fidelity values

within the same ROI across conditions (maintenance vs. categorization), we

minimized the impact of effect size on our comparisons between ROIs, as all the

comparisons were primarily performed within the same ROI with a comparable effect

size. In EVC, we found significant orientation representation in both maintenance and

categorization tasks starting from the sample period (Figure 2C left panel; see

Appendix 1 – table 1 for full statistics), even when the categorization task did not

require explicit memory of stimulus information. Additionally, the strength of

orientation representation in the maintenance task became significantly higher than

that in the categorization task after the task cue during the delay, suggesting the

strength of orientation representations in EVC reflected the degrees of task demands

for maintaining visual details. In IPS, orientation representation was significant in

both tasks, but did not differ from each other at most time points (Figure 2C middle

panel). In sPCS, a reversed pattern was observed. In the maintenance task, orientation

information was maintained during early delay period and then dropped to baseline

level during late delay period. By contrast, in the categorization task, orientation

representation was persistent throughout the delay and response periods. The strength

of orientation representation in the categorization task became statistically higher than

that in the maintenance task in late delay period (Figure 2C right panel), suggesting

that this differential representations of visual stimulus in the frontal cortex reflected

the demand for active control of memory contents. To facilitate comparison of the

differential stimulus representation across ROIs, we averaged the difference in

representational strength across a late task epoch (11 – 16 s), and the difference in

stimulus representation between ROIs remained (Figure 2D).

Figure 2. Orientation reconstructions at the population level using IEMs.
(A) Reconstructed population-level orientation representations from selected time points at EVC, IPS, and sPCS for maintenance (blue) and categorization (orange) tasks, respectively. X axis represents distance from the cued orientation (at 0º), and y axis represents reconstructed channel responses in arbitrary units. Significant orientation representation was observed at 6 s and 12 s but not at 0 s. Shaded areas represent ± SEM. (B) To quantify the strength of orientation reconstructions, we calculated the reconstruction fidelity by first projecting the channel response at each orientation onto a vector at the cued orientation and then averaging the projected vectors. (C) Time course of representational strength of orientations at EVC, IPS and sPCS. In this and all subsequent figures with time course data, the time axis reflects raw time points without accounting for the hemodynamic response delay. Gray shaded areas indicate the entire memory delay following task cue. Blue and orange dots at the bottom indicate the FDR-corrected significance of representational fidelity at each time point of the corresponding task at $p < 0.05$ (small), $p < 0.01$ (medium), and $p < 0.001$ (large). The bottom black dots indicate significant difference in representational fidelity between tasks (uncorrected). Horizontal dashed lines represent a baseline of 0. Shaded areas represent ± SEM. (D) Average difference of representational strength

254 across 11 – 16 s in each ROI (from EVC to sPCS: $p < 0.00001$, $p = 0.063$, $p = 0.007$,
255 respectively). Positive difference indicates higher representational strength for
256 categorization, and vice versa for negative difference. Black asterisks denote
257 FDR-corrected significance, *: $p < 0.05$; **: $p < 0.01$; ***: $p < 0.001$.
258

We validated the difference in stimulus representations through a series of control

analyses. First, we demonstrated that these results cannot be explained by the specific

model used to train the data (Liu et al., 2018; Sprague et al., 2018) nor the specific

analytical approach used, because similar patterns were observed when we trained the

IEM separately for each condition (Appendix 1 – figure 2A) or adopted a Support

Vector Machine (SVM) decoding approach (Appendix 1 – figure 2B) (Henderson et

al., 2022; Rademaker et al., 2019). Mean activation differences between tasks cannot

account for the results either, because when we removed the mean differences in

BOLD activity between tasks, the difference in representational strength remained

(Appendix 1 – figure 2C). Furthermore, to remove the potential impact of voxel

number on IEM, we selected the top 500 of most sample- or delay-selective voxels

from each ROI and trained IEM using the selected voxels. Again, this analysis yielded

similar findings (Appendix 1 – figure 2D). Lastly, when repeating the IEM analyses in

primary motor cortex (M1), no similar patterns were observed, suggesting that our

results cannot be explained by motor- or response-related activity (Appendix 1 –

figure 3).

Together, these results demonstrated enhanced stimulus representation in the

frontal cortex with increased demand for active control, as well as those in the visual

cortex with increased demand for precise WM maintenance.

Prediction of categorization behavior by frontal stimulus representation

Previous WM studies have shown that the strength of stimulus representation in

EVC positively correlated with memory performance (Emrich et al., 2013; Ester et al.,

2013; Gosseries et al., 2018), suggesting that EVC plays an important role in precise

WM maintenance. However, stimulus representation in the frontal cortex rarely

predicted behavioral performance in maintenance task (Hallenbeck et al., 2021),

although univariate activation in the same brain region can predict memory-guided

saccade performance (Curtis et al., 2004). Nevertheless, if frontal stimulus

representation is involved in WM control, its behavioral relevance should be subject

to observation with increased control demands. Therefore, we assessed the behavioral

predictability of stimulus representation during the delay period in EVC, IPS, and

sPCS. Figure 3A, 3B, and 3C illustrate the time course of correlation results, results

from representative time points, and results collapsed across the late epoch,

respectively. Consistent with previous findings, we found the strength of stimulus

representation in EVC during the early delay period predicted behavioral accuracies

in both maintenance and categorization tasks (see Appendix 1 – table 2 for full

statistics). Similar predictability was found in IPS, with stimulus representation

predicted behavior in the maintenance task during early delay and in the

categorization task throughout the entire memory delay. Interestingly, we found that,

throughout the entire memory delay, the strength of stimulus representation in sPCS

predicted behavioral accuracies only in the categorization task but not in the

maintenance task. These results highlighted the functional significance of stimulus

representation in sPCS exclusively for the categorization task.

Figure 3. Behavioral correlation of stimulus representation for maintenance (blue)
and categorization (orange) tasks.
(A) Time course of correlation coefficients between behavioral performance and
orientation representational fidelity in EVC, IPS, and sPCS. Gray shaded areas
indicate the entire memory delay following task cue. Blue and orange dots at the top
indicate significance of correlation (uncorrected) at each time point at $p < 0.05$ (small),
$p < 0.01$ (medium), and $p < 0.001$ (large). (B) Correlation scatter plots at
representative time points (7 s and at 12 s) in EVC, IPS, and sPCS. R denotes Pearson
correlation coefficients. (C) Correlation between behavioral performance and
orientation representational fidelity collapsed across the late epoch (11 – 16 s).
Asterisks denote significant results, *: $p < 0.05$; **: $p < 0.01$.

Reduced frontal stimulus representation with lower control demand

In Experiment 1, participants flexibly switched between two categorization rules

to prompt the manipulation of WM content on a trial-by-trial basis. The rule switching

increased control demand but also complicated the interpretation of our results. To

exclude potential impact of rule switching, we conducted Experiment 2, in which

participants performed maintenance and categorization tasks with only one fixed rule.

Behavioral results of Experiment 2 again demonstrated a classic boundary effect and

were comparable to Experiment 1, with no significant difference between experiments

in terms of either accuracy or reaction time (Fs < 1.28, ps > 0.26; Appendix 1 – figure

4). When using IEMs to reconstruct stimulus representation, we found EVC and IPS

both showed patterns similar to those in Experiment 1 (Figure 4A left and middle

panels), with stimulus representation decreased in EVC in categorization task and

remained at the same level in IPS between the two tasks (see Appendix 1 – table 3 for

full statistics). The frontal region, sPCS, also showed a differential enhancement of

stimulus representation in categorization task as in Experiment 1, but in an earlier

period (Figure 4A right panel). To validate such a temporal difference, we defined an

additional early task epoch (5 – 10 s), and confirmed a significant difference in

stimulus representation in sPCS during the early ($p = 0.015$; Figure 4B) but not during

the late epoch ($p = 0.372$; Figure 4C). In addition, we performed a mixed ANOVA on

experiments (Experiment 1 vs. 2) and epochs (early vs. late epoch) and observed a

significant interaction effect between the two, $F(1, 46) = 7.43$, $p = 0.009$, suggesting

that the two experiments differed in terms of the temporal emergence of the

differential stimulus representation in the frontal cortex. Taken together, these results

are consistent with our expectation that, with reduced control demand, the differential

enhancement of stimulus representation in frontal cortex was still present but

decreased during late memory delay. Nevertheless, stimulus representation in

Experiment 2 still predicted behavioral performance as in Experiment 1, although the

difference between task was reduced (Appendix 1 – figure 5).

Figure 4. Orientation reconstructions in Experiment 2 at the population level using IEMs.

(A) Time course of representational strength of orientations at EVC, IPS and sPCS. Gray shaded areas indicate the entire memory delay following task cue. Blue and orange dots at the bottom indicate the FDR-corrected significance of representational fidelity at each time point of the corresponding task at $p < 0.05$ (small), $p < 0.01$ (medium), and $p < 0.001$ (large). The bottom black dots indicate significant difference in representational fidelity between tasks (uncorrected). Horizontal dashed lines represent a baseline of 0. Shaded areas represent ± SEM. (B) Average difference of representational strength across an early (top, 5 – 10 s) and a late (bottom, 11 – 16 s) task epoch in each ROI. Positive difference indicates higher representational strength for categorization, and vice versa for negative difference (FDR-corrected). *: $p < 0.05$; ***: $p < 0.001$.

Category representation in WM in various cortices

Having observed a differential representation of stimulus in the frontal cortex, we next asked how newly generated information in WM during the categorization task emerged and sustained in the distributed WM network and how representations of the original stimulus and new information interacted. The categorization task could demand additional generation of category information in WM. We therefore trained SVMs to decode category information during the categorization task. For each rule, the SVM decoder was trained to discriminate between the two categories. In both experiments, we found that during the late epoch, category information could be well

decoded across ROIs ($ps < 0.044$, Figure 5A; also see Appendix 1 – figure 6A for full

decoding time course), with a marginal difference between experiments in sPCS ($p = $

0.055).

One might argue that the category decoding results could at least be partially

attributed to stimulus similarity. To minimize the impact of stimulus similarity on

category decoding, we additionally trained another decoder using the opposite rule

(i.e., using category labels from the orthogonal rule; see Figure 5B for results). We

then calculated an abstract category index by subtracting decoding accuracy under the

opposite rule from that under the true rule (Mok & Love, 2020) (Figure 5C). The

rationale was that the amount of stimulus similarity would be comparable for the

opposite rule, but additional category information, if existed, should result in higher

decoding accuracy for the true rule. In other words, positive abstract category index

indicates evidence for stimulus-independent category representation. After removing

stimulus-related signals, average decoding performance of abstract category was only

evident in Experiment 2 ($ps < 0.017$) but not in Experiment 1 ($ps > 0.14$) for all ROIs.

Moreover, decoding performance of abstract category was significantly higher in

Experiment 2 than Experiment 1 in sPCS ($p = 0.034$; Figure 5D). These results

together suggest a potential tradeoff between stimulus difference and category

representation in the frontal cortex. This tradeoff was further depicted using linear

mixed-effects modeling (LMEM) on representational dissimilarity matrices (RDMs)

of neural activities to decouple the contributions of stimulus and category to neural

representation (Appendix 1 – figure 7A). Overall, the LMEM results (Appendix 1 –

figure 7B-D) replicated the above findings, with significant stimulus but not category

representation in sPCS in Experiment 1, and a decreased contribution of stimulus but

emerging category representation in the same brain region in Experiment 2.

Figure 5. Decoding performance for category and abstract category information.
(A) Average category decoding accuracy using category labels under true rule across
the late task epoch (11 – 16 s) in each ROI of both experiments. (B) Average category
decoding accuracy using category labels under orthogonal rule across the late task
epoch (11 – 16 s) in each ROI of both experiments. (C) Schematic illustration of
abstract category decoding. In categorization task, category information can be
decoded using category labels according to the true categorization rule. On the other
hand, category can also be decoded due to stimulus similarity. Thus, to remove
stimulus-dependent categorical information, we calculated an abstract category index
by removing decoding accuracy using orthogonal category boundaries (assuming
comparable stimulus-dependent effect) from that using true rule boundaries. (D)
Average abstract category decoding index across the late task epoch (11 – 16 s) in
each ROI of both experiments. Black asterisks denote FDR-corrected significance, *:
$p < 0.05$; **: $p < 0.01$; ***: $p < 0.001$.

Lastly, for completeness, we repeated the IEM and category decoding analyses on

additional ROIs in the frontal cortex, to investigate whether the observed results were

specific to sPCS. Specifically, we defined three additional ROIs based on the HCP

atlas (Glasser et al., 2016), including the inferior precentral sulcus (iPCS), inferior

frontal sulcus (IFS), and middle frontal gyrus (MFG) (Ester et al., 2015; Mackey et al.,

2017; Yu & Shim, 2017). Overall, results in the three ROIs were comparably weaker

than sPCS (Appendix 1 – figure 8). There was some indication that the MFG might

share some results for orientation representation and category decoding, although this

pattern was weaker and was only observed in some analyses in Experiment 2.

Differential stimulus representation in frontal cortex replicated by RNN

modeling

Finally, we tested how stimulus representation could emerge in frontal cortex at

the mechanistic level using recurrent neural network (RNN) models. Our hypothesis

is that precise stimulus representation during WM might emerge in frontal cortex in

response to complex task demands such as rule-based categorization. In other words,

instead of relying (solely) on category representations, the cortical network might

have adopted a different strategy to accommodate the flexible task requirements in the

current study, for instance, by preserving stimulus information until a later stage of

information processing. This different strategy can be implemented by altering the

RNN's output structure. Therefore, the logic of this modeling analysis was to examine

whether explicitly placing a demand for the model to preserve stimulus representation

would recapitulate our fMRI findings in frontal cortex, in comparison to a model that

did not specify such a demand.

Two types of modular RNNs were trained on the maintenance and categorization

tasks simultaneously (Masse et al., 2019; Zhou et al., 2021). The networks shared

common input and hidden layer structures (i.e., orientation-tuned and retro/task

cue-related units as the input layer, recurrent units with short-term synaptic plasticity

in the hidden layer [80% excitatory + 20% inhibitory units, equally distributed in

three separate modules]). The only difference was in the structure of the output layer.

The first type of RNN (RNN1; n = 20) had only two units in the output layer to

indicate networks' choice (Figure 6A), whereas the second type of RNN (RNN2; n =

20) had additional units in the output layer corresponding to the original stimulus

information. In other words, the second RNN was designed to maintain stimulus

information throughout the network modules. For the common hidden layer, we

included three hierarchically organized (posterior, middle, and anterior) modules of

recurrent units generated according to neurobiological principles of neuronal

connections (e.g., denser connectivity within than between modules) to simulate the

interconnected brain areas in our ROI-based fMRI analyses above: the posterior

module (Module 1, simulating EVC) was directly connected with the input layer, the

middle module (Module 2, simulating IPS) received projections from the posterior

module and relaying information to the anterior module, and the anterior module

(Module 3, simulating sPCS) projected to the output layer. Task events were

simulated as numerical inputs to the model, matching the procedures of Experiment 1

(see Methods for details).

After successful training, defined as reaching at least 90% accuracies in all tasks

in the same training batch, we applied an SVM decoding approach to investigate

population-level stimulus representations in neuronal spiking activities of the RNNs.

We found that in RNN1, both the middle and anterior modules showed stronger

stimulus representation in the maintenance task than the categorization task during the

delay period ($p_{posterior} = 0.09, p_{middle} = 0.011, p_{anterior} = 0.007$; Figure 6B upper panel),

opposite to our fMRI observation in IPS and sPCS (Figure 6B inset). In comparison,

decoding performance in RNN2, which was explicitly required to maintain stimulus

information for the output, yielded results consistent with our human findings, with

increased stimulus decoding performance during categorization only in the anterior

module ($p_{posterior} = 0.436, p_{middle} = 0.212, p_{anterior} = 0.026$; Figure 6B lower panel).

Besides the difference in stimulus representation, we further tested whether

RNN2 could also replicate the human results on category representation. For this

analysis we focused on abstract category representation to fully remove the impact of

stimulus on category decoding. To examine the influence of control demand on

category decoding, following our fMRI experiment we trained 20 additional RNNs

with the same output structure as RNN2 (preserving stimulus information) to perform

the tasks with a fixed categorization rule, mimicking the task structure of Experiment

2. Consistent with our human findings, we observed increased abstract category

decoding performance in the fixed-rule models compared to the flexible-rule RNNs,

throughout the modules ($p_{posterior} = 0.045, p_{middle} = 0.003, p_{anterior} < 0.001$; Figure 6C

lower panel). Similar differences between fixed-rule and flexible-rule models were

also observed in RNN1 (all ps < 0.001; Figure 6C upper panel).

Figure 6. Architecture of RNNs and simulation results.
(A) All networks consist of 3 layers of artificial units: the input, hidden and output layers. For both RNN1 and RNN2, the input layer contains 20 units including 15 orientation-tuned, red) units and 5 cue units (retro-cue and task cue, orange and yellow). The hidden layer consists of three modules of 200 recurrent units with short-term synaptic plasticity (STSP), further divided into 80% excitatory (black) and 20% inhibitory (white). Connectivity within each module (black arrow) is denser compared to between modules (red and green arrows), which only occur between excitatory units. Only excitatory units in module 1 receive projections from the input layer and only excitatory units in module 3 project to the output units. For RNN1, networks output (0,1) or (1,0) through the 2 units in the output layer to indicate responses. For RNN2, the network output (0,1) or (1,0) to report the category to which the cued orientation belonged in the categorization task, or (0,0) in the maintenance task (blue units). Importantly, the models also output the orientation

itself through 15 additional orientation-tuned units (red). (B) Difference in stimulus decoding between tasks in RNN1 (upper panel) and RNN2 (lower panel). Results were averaged across the delay period. Positive difference indicates higher decoding accuracy for categorization, and negative difference indicates higher decoding accuracy for maintenance. The inset above illustrates stimulus difference in human fMRI results during late epoch in Experiment 1 to provide a reference for expected patterns in RNNs. (C) Average abstract category information across the delay period for RNN1 (upper panel) and RNN2 (lower panel). The inset above illustrates abstract category representation in human fMRI. Error bars represent ± SEM. Black asterisks denote FDR-corrected significance, *: $p < 0.05$; **: $p < 0.01$; ***: $p < 0.001$.

To quantify the similarity between human and RNN in terms of stimulus and category representations, we performed representation similarity analysis (RSA) comparing human and RNN data across tasks. Specifically, we aligned the RDMs by either stimulus (Figure 7A) or category (Figure 7C) to prioritize the comparison of stimulus or category representations, respectively. Overall, across experiments, RNN2 consistently demonstrated higher similarity to human data than RNN1, particularly in Module 3 that corresponds to the frontal cortex (Figure 7B and 7D). In terms of the RDM patterns, consistent with previous results, RNN2 exhibited stronger stimulus representation than RNN1. Moreover, fixed-rule RNNs were found to be more categorical than flexible-rule RNNs regardless of the output structure. Altogether, these findings demonstrated that our fMRI results could be simulated by RNN models when stimulus information for readout was preserved, suggesting that the requirement for flexible control of WM content could demand high-fidelity stimulus representation at the output stage of the model. Notably, we found that RNN2 generally took fewer iterations for training and had fewer failures in learning the task (with a defined maximal number of iterations).

Figure 7. Representational similarity between RNN and human data.

(A) Averaged RDMs for RNN1 and RNN2 under flexible rule (upper panel) and fixed rule (lower panel), with data aligned to stimulus. Individual RDM was constructed by calculating the Pearson correlation distance between activation across trials and averaging across the delay period for each orientation and task. Before averaging, each RDM was aligned to stimulus, with orientations sorted from 1° to 180° and ordered by tasks (maintenance [M], categorization-Rule A [CA], and categorization-Rule B [CB] for flexible rule; maintenance and categorization [C] for fixed rule). (B) To quantify the similarity between RNN and human data, Kendall's Tau correlation coefficients were computed between the RDMs of RNN and human data. Comparisons were performed between flexible-rule RNN and human data from Experiment 1 (top row), and between fixed-rule RNN and human data from early and late epochs of Experiment 2 (middle and bottom rows), for each module and corresponding ROI. Significance of correlation was evaluated using one-sided signed-rank tests. Difference between RNN1 and RNN2 was calculated using Z-transformed correlation coefficient and permutation tests. Black asterisks denote uncorrected significance, *: $p < 0.05$; **: $p < 0.01$; ***: $p < 0.001$. (C) Same as (A) but with data aligned to category. RDMs for each task were aligned to category and rules, with the first half rows and columns corresponding to one category and the other half to the other category. RDMs for the two categorization rules were further averaged, resulting in two tasks (M and C). (D) Same conventions as (B).

Discussion

In this study, we investigated the emergence and maintenance of stimulus representation with varied control demands of WM. In a distributed human cortical network encompassing visual, parietal, and frontal cortex, we found enhanced stimulus representations in the frontal cortex that tracked increasing demands on active WM control, as well as enhanced stimulus representations in the visual cortex that tracked the demand for the precise maintenance of WM content. The enhanced stimulus representation in frontal cortex was well predicted by RNNs that preserved stimulus information for readout at the output stage. Together, these results highlight the unique and critical contributions of stimulus representations in different cortical regions for distinct aspects of WM, and help to resolve the current controversy in the roles of various cortices in WM (see Figure 8 for a schematic summary of the fMRI findings).

Figure 8. Schematic summary of the involvement of different cortical regions in the current study.
Schematic summary of stimulus representation and corresponding behavioral correlation, as well as category representation in EVC, IPS, and sPCS for both Experiment 1 and Experiment 2. √ denotes the presence of the corresponding finding, and ✕ denotes the absence of the corresponding finding in maintenance (blue) and categorization (orange) tasks.

Role of visual cortex in WM maintenance

The visual cortex has been considered a critical site for maintaining visual WM in the context of sensorimotor recruitment hypothesis (D'Esposito & Postle, 2015; Harrison & Tong, 2009). This idea, however, has been challenged in recent years due to some seemingly contradictory findings from the human neuroimaging studies. For example, compared to the frontoparietal cortex, mnemonic representations in EVC were found to be more vulnerable to distractors (Bettencourt & Xu, 2016; Hallenbeck et al., 2021; Lorenc et al., 2018). The decodability of memory contents in visual cortex also depends on the specific task type. A previous study showed that in nonvisual tasks that required judgements on object category instead of visual details, memory contents were no longer decodable in the visual cortex (Lee et al., 2013). In this study, we found that, although the strength of stimulus representation in EVC differed between WM maintenance and categorization tasks, a copy of stimulus representation remained in EVC during the categorization task. Moreover, stimulus representations in both tasks were equally predictive of subsequent memory performance, suggesting the functional significance of EVC representations in WM.

The discrepancy between our results and that of the previous work (Lee et al.,

2013) could be attributed to the fact that our categorization task required participants

to manipulate remembered information according to arbitrary yet flexible

categorization rules, rather than simply paying selective attention to different aspects

(visual details vs. category membership) of everyday objects. In our case, maintaining

visual details of the memoranda was critical for accurate behavioral responses. Our

finding is consistent with the prediction of sensorimotor recruitment hypothesis that

representation of memory contents in the visual cortex is necessary for the precise

maintenance of visual information. The observation of robust category representation

in EVC during the response period further indicated the recruitment of EVC in

categorization, possibly for boundary comparison and rule implementation. In fact,

our results are consistent with a recent study demonstrating significant stimulus

representation in EVC even when memoranda had been transformed into a motor

format (Henderson et al., 2022). In addition, electrophysiological research in

non-human primates has also shown robust feature selectivity in the visual cortex

during a categorization task (Brincat et al., 2018), and recent computational modeling

work has suggested intact maintenance of sensory information during categorical

judgements (Luu & Stocker, 2021).

Role of frontal cortex in active WM control

Compared to the prominent role of EVC in memory maintenance, sPCS in the

frontal cortex played a dominant role in WM tasks that require active control of

memory contents such as categorization. Although stimulus representations in sPCS

have been observed during WM in previous studies, the nature of these

representations remained debatable. In WM tasks that required mere maintenance of

memoranda, stimulus was not always decodable in the frontal cortex (Emrich et al.,

2013; Gosseries et al., 2018; Riggall & Postle, 2012), raising the issue of functional

significance of stimulus representation in the frontal cortex. On the other hand,

stimulus representation in the frontal cortex could become robust in the face of tasks

that require attentional prioritization and extensive training (Bettencourt & Xu, 2016;

Christophel et al., 2018; Hallenbeck et al., 2021; Lorenc et al., 2018; Miller et al.,

2022). Our current study contributes to the resolution of this issue by demonstrating

that stimulus representation in sPCS increased with increasing demands for WM

control. This finding is in line with recent computational studies proposing that active

WM functions may involve neuronal mechanisms different from that for passive

maintenance. For example, passive maintenance could rely mainly on synaptic

plasticity mechanisms, whereas active control functions such as distractor resistance

and information manipulation involve more neuronal spiking activity (Masse et al.,

2019; Wang, 2021). In this study, we provided the first empirical evidence that the

frontal cortex exhibits enhanced stimulus representation in categorization task

requiring active WM control and this representation is predictive of WM performance.

In contrast, stimulus representation of WM maintenance failed to predict WM

performance at high control demand. Moreover, by examining additional ROIs in the

frontal cortex (i.e., iPCS, IFS, and MFG), we found that results in these brain regions

were overall weaker, with the MFG demonstrating the most comparable, albeit much

weaker, results to sPCS. The specific involvement of sPCS in our experiment could be

due to the type of stimulus (i.e., orientation) we used, as previous work has

highlighted a prominent role of sPCS in encoding stimulus-specific information in

spatial (Hallenbeck et al., 2021; Sprague & Serences, 2013) and orientation working

memory (Ester et al., 2015; Yu & Shim, 2017, 2019), but to a lesser extent in other

working memory tasks such as color (Yu & Shim, 2017, 2019). It would be of interest

to further investigate whether this active control in the sPCS could be generalized to

other frontal regions and tasks that require other types of WM control such as mental

rotation (Shi & Yu, 2024), and how other types of control task may adapt to changing

flexible control demands.

WM representations in frontal cortex support cognitive flexibility

Our results in the frontal cortex are also in line with recent theoretical proposals

in the field of cognitive flexibility. To behave flexibly in complex environments with

limited cognitive resources, two mechanisms have been proposed: low-dimensional

abstraction of stimulus representation for generalization and efficient learning, and

high-dimensional stimulus representation for separability and flexible readout (Badre

et al., 2021; Flesch et al., 2022; Fusi et al., 2016). Within this framework,

high-dimensional stimulus representations during WM might emerge in the frontal

cortex in response to complex control demands such as rule-based categorization. The

results of the two fMRI experiments in the current study jointly demonstrate a

dynamic tradeoff between high-dimensional stimulus and low-dimensional category

representations depending on the control demand. Specifically, when control demand

was reduced with a single categorization rule in Experiment 2 compared to

Experiment 1, the differential stimulus representation in the frontal cortex was also

reduced during the late delay period, accompanied by an increase in category

decoding performance especially in the frontal cortex. This result is consistent with

neurophysiological findings in non-human primates: while robust category selectivity

was observed in frontoparietal cortex during the delay period of categorization tasks

when the animal was trained on the categorization task only (Brincat et al., 2018;

Freedman & Assad, 2006; Freedman et al., 2001; McKee et al., 2014), category

selectivity in the parietal cortex was significantly reduced when the animal had been

exposed to a maintenance task prior to categorization training (Latimer & Freedman,

2023). Our RNN simulation further confirmed that this dynamic reconfiguration in

information coding at the network level can be well explained by a change in the

coding strategy for the network readout. In other words, in flexible environments, and

with rich prior experience, the brain might adopt an entirely different strategy for

processing information in WM. High-dimensional stimulus information might be

preserved in its original identity in the higher-order cortex, potentially reducing

processing demands in dealing with each task and thereby facilitating efficiency and

flexibility (Badre et al., 2021; Flesch et al., 2022; Fusi et al., 2016). One important

future direction would be to further address the meta-control mechanisms that

determine the flexible selection of coding strategies for WM (Eppinger et al., 2021).

Differentiating between frontal and parietal cortex in WM functions

While many previous WM studies have focused on the functional distinction between sensory and frontoparietal cortex, it has remained less clear how frontal and parietal cortex might differ in terms of WM functions. Some studies have reported stimulus representations with similar functionality in frontal and parietal cortex (Christophel et al., 2018; Yu & Shim, 2019), while others have observed differential patterns (Hu & Yu, 2023; Lee et al., 2013; Li et al., 2023). We interpret the differential patterns as reflecting a difference in the potential origin of the corresponding cognitive functions. For example, in our study, sPCS demonstrated the most prominent effect for enhanced stimulus representation during categorization as well as the tradeoff between stimulus difference and category representation, suggesting that sPCS might serve as the source region for such effects. On the other hand, IPS did show visually similar patterns to sPCS in some analyses. For instance, stimulus representation in IPS was visually but not statistically higher in the categorization task. Additionally, stimulus representation in IPS also predicted behavioral performance in the categorization task. These results together support the view that our findings in sPCS do not occur in isolation, but rather reflect a dynamic reconfiguration of functional gradients along the cortical hierarchy from early visual to parietal and then to frontal cortex.

The alignment between RNN and fMRI results

Although the current RNNs effectively captured our key fMRI findings,

including increased stimulus representation in the frontal cortex as well as the tradeoff

in category representation with varying control demands, we acknowledge that

differences remain between the two modalities. For instance, all three RNN modules

demonstrated significant abstract category decoding as well as difference in category

decoding between experiments, which differed from our fMRI results. This

discrepancy could partially be due to the higher signal sensitivity in RNNs. In

addition, RNN2 did not show decreased stimulus decoding for categorization in the

EVC module. However, we found that applying IEMs to the RNN data revealed a

similar negative trend in the EVC module (Appendix 1 – figure 9), though it was not

statistically significant. This result suggests that any negative difference between

categorization and maintenance in EVC module was weaker compared to fMRI, if

existed. We speculate that enhancing the negative difference in the EVC module

might require additional modules or inputs to strengthen fine-grained stimulus

representation in EVC, a mechanism that could be of interest for future research.

706　Conclusion

In conclusion, we observed a distributed cortical network, including early visual,

parietal, and frontal cortex, in representing stimulus-specific information in WM.

These stimulus representations in visual and frontal cortex played distinct functional

roles, with those in EVC contributing primarily to precise maintenance and those in

frontal cortex contributing primarily to active control in WM. RNN simulations

indicated that the stimulus representation in the frontal cortex might have emerged as

a result of output selection to facilitate cognitive flexibility. Collectively, these results

help to reconcile current debates on the functional roles of different cortical regions in

WM, and provide new insights into how a unified WM framework could support

varied control demands.

Methods

Participants

A total of 54 participants were recruited at Chinese Academy of Sciences,

Shanghai Branch. Twenty-six healthy participants (21 female, all right-handed, mean

age = 24.0 ± 1.4 years) were recruited for Experiment 1. Two were excluded due to

failure in completing the experiment or low conformity to task instructions, remaining

24 participants who completed the main experiment (19 female, mean age = 23.92 ±

1.41 years). Twenty-eight (22 female, mean age = 24.14 ± 1.51 years) participants

were recruited for Experiment 2. Two quitted after behavioral training and two did not

finish scanning due to technical problems with the scanner, resulting in 24 participants

(20 female, mean age = 24.13 ± 1.60 years) in the final analyses. All participants were

neurologically healthy and eligible for MRI, had normal or corrected-to-normal vision,

provided written informed consent approved by the Ethics Committee of Institute of

Neuroscience, Center for Excellence in Brain Science and Intelligence Technology,

Chinese Academy of Sciences, and were monetarily compensated for their

participation. Sample sizes were not estimated a priori but were comparable and even

superior to those in previous studies.

Stimuli and Procedure

737     All stimuli were generated and presented using MATLAB (The MathWorks) and

Psychtoolbox 3 extensions (Brainard, 1997; Pelli, 1997). During behavioral training,

stimuli were presented on a ThinkVision monitor at a viewing distance of 45 cm.

Behavioral responses were acquired with a keyboard. During scanning, stimuli were

projected onto a SinoRad monitor (1280 x 1024 pixels, refreshing at 60 Hz) viewed

through a coil-mounted mirror in the scanner at a viewing distance of 90.5 cm.

Participants' behavioral responses were acquired with a Sinorad MRI-compatible

button box.

Behavioral Training

747     In Experiment 1, prior to scanning, participants were trained to learn two novel

rules, Rule A and Rule B, for categorizing orientations. Thirty oriented bars were used

as sample stimuli, ranging from 5° to 179° (in increments of 6°; two participants used

another set of thirty orientations ranging from 4° to 178°). Each abstract rule was

constructed by two orthogonal boundaries that divided the thirty orientations into two

categories with fifteen orientations each. Rule A and Rule B were orthogonal to each

other. Corresponding boundaries were 20°/110° and 65°/155° (15°/105° and 60°/150°

for the two participants using different stimuli sets).

755     Participants learned new rules through a rule learning task. Each run of learning

started with a rule disk informing the target rule. To avoid any potential verbal coding,

rule specifics were visually illustrated as rule disks containing two distinct colors

(colors randomly assigned to categories every time; see Figure 1A for an example).

Rule disk was presented on the screen for 2 s followed by 1 s of fixation. On each trial,

an oriented bar (radius=7°) was presented for 1 s followed by a delay of 1 s.

Participants were instructed to report the category of the orientation by pressing a

response key ("F" or "J"). To avoid category-response mapping, we randomized the

relationship between categories and key buttons across trials. Moreover, to avoid

presenting rule boundaries explicitly, we presented key names at random positions

within the range defining each category. In other words, participants had to memorize

the exact rule boundaries as accurately as possible in order to find the correct key

buttons for each trial. Feedback was given at the end of each trial to assist learning.

Participants completed 30 learning trials in each run. They reviewed rule disks

after every 10 trials for memory reconsolidation. Each participant completed at least

two runs for each rule. After achieving an average accuracy above 86% (26 out of 30

trials) for the first rule, they proceeded to learn the other rule and then to practice the

main task for scanning (see next section). Learning order of rules was

counterbalanced across subjects. Upon completion of practicing, participants needed

to report the boundaries of learned rules as a qualification of behavioral training. If

the total error in reported boundaries had exceeded 20°, participants had one more

chance to learn by repeating the learning task. Two participants completed one

additional behavioral training to recap rule knowledge prior to scanning.

Behavioral training for Experiment 2 followed the same procedure and used the

identical sample set (30 orientations ranging from 4° to 178°, in increments of 6°) as

Experiment 1, except that only one rule was trained. Half of the participants in

Experiment 2 learned rule boundaries of 19°/109°; the other half learned rule

boundaries of 67°/157°.

Flexible WM Task (fMRI Task)

In Experiment 1, during scanning, participants completed a flexible WM task

which implemented levels of control demand with different rules. To be specific,

participants randomly switched between a maintenance task and a categorization task.

In the maintenance task, participants needed to memorize stimulus information (i.e.,

orientations). In the categorization task, participants needed to categorize orientations

following the rule that was randomly assigned and cued on a block basis. Procedure

of the main task was visualized in Figure 1A. At the beginning of each block,

participants were presented with a rule disk for 3 s, followed by a 2-s interval,

instructing the categorization rule of the current block. For each trial, participants saw

two oriented bars presented successively. Each bar was presented for 0.75 s, with an

inter-stimulus-interval of 0.5 s. Sample sets were the same as those used in behavioral

training. After a 0.5-s interval, a retro-cue occurred for 0.5 s, indicating the orientation

of which participants should remember. After a 1.5-s delay, a task cue was displayed

at fixation for 0.5 s, following by an 8-s memory delay. The task cue was either a

letter "P" on maintenance trials, instructing participants to maintain the cued

orientation during memory delay as precisely as possible; or the task cue was a letter

"C" on categorization trials, asking participants to categorize the cued orientation

using the block rule during the delay. Then, participants were probed to respond

within 2 s. On maintenance trials, participants needed to select the memorized

orientation from two probe orientations; while on categorization trials, participants

needed to report the category of the cued orientation. Response mapping followed the

same operation as in the learning tasks. Inter-trial-intervals were randomly selected

from 3, 5, and 7 s with an equal trial number, resulting in an average trial length of 20

s. Participants switched to the next block after every six categorization trials and three

maintenance trials. Each run contained two blocks (i.e., 18 trials).

In Experiment 2, to isolate potential effect of rule switching, the categorization

rule stayed the same throughout the experiment. In Experiment 2, participants

randomly switched between the maintenance task and the categorization task. Each

trial followed the same procedure as Experiment 1.

In Experiment 1, orientations, tasks, and cued target order (1st or 2nd) were

counterbalanced across trials, resulting in an equal trial number of 90 across all three

conditions (categorization-Rule A, categorization-Rule B, and maintenance). Nineteen

out of the twenty-four participants completed 15 runs of the main task. One

participant completed 13 runs due to technical difficulties with the scanner. Another

four participants completed 30 runs across two scan sessions. The same

counterbalancing procedure was conducted for Experiment 2 (90 trials for

maintenance task and 180 trials for categorization task). In Experiment 2, six

participants completed 15 runs of 18 trials; the other seven completed 18 runs of 15

trials each due to scanner limitations. At the end of scanning, participants reported the

rule boundaries three times as a final check of their rule memory.

Data Acquisition

MRI data of Experiment 1 were collected using a 3 Tesla Siemens MRI scanner

(Tim Trio; Siemens Healthineers) with a 32-channel head coil at the Functional Brain

Imaging Platform at Institute of Neuroscience, Center for Excellence in Brain Science

and Intelligence Technology, Chinese Academy of Sciences. Functional scanning was

performed using a gradient-echo echo-planar sequence with the following parameters:

repetition time (TR) = 1000 ms; echo time (TE) = 30 ms; flip angle (FA) = 40°; voxel

size = 3 x 3 x 3 mm; multi-band accelerate factor = 4; matrix size = 74 x 74; slice

number = 60. A high-resolution anatomical T1 image was collected before functional

scanning (TR = 2300 ms; TE = 2.98 ms; FOV = 256 x 240 x 192 mm; voxel size = 1 x

1 x 1 mm). During scanning, participants' head positions were restricted with

surrounding paddings to prevent head movements. MRI data of Experiment 2 were

collected using identical procedure and settings except that the last eleven participants

were scanned using a newly installed 3 Tesla Siemens MRI scanner (Prisma; Siemens

Healthineers) at the Functional Brain Imaging Platform.

Preprocessing

All preprocessing of individual MRI data was performed using AFNI

(afni.nimh.nih.gov) (Cox, 1996; Cox & Hyde, 1997). Functional data of all runs were

registered to the last volume of the final run with the first eight volumes of each run

removed. Then, individuals' aligned functional data were registered to their

corresponding T1 volume. Alignment of registration was manually checked for each

subject to ensure quality. The registered data were further motion corrected and

detrended.

ROI Definition

Our primary ROI-based analyses focused on three most commonly-studied,

WM-related brain areas: early visual cortex (EVC), intraparietal sulcus (IPS) in

parietal cortex, and superior precentral sulcus (sPCS) in frontal cortex (Ester et al.,

2015; Hallenbeck et al., 2021; Yu & Shim, 2017). We created anatomical ROI masks

based on the probabilistic atlas by Wang and colleagues (Wang et al., 2015). EVC

(merging bilateral V1, V2, and V3), IPS (merging bilateral IPS0-5), and sPCS

(merging bilateral FEF) masks were generated by warping masks from the

probabilistic atlas to individuals' anatomical image in their native space. In order to

generate functional ROI masks, we then performed general linear models (GLMs) to

quantify task-related univariate activity changes in each voxel. Task events were

modeled using boxcar functions convolved with a canonical hemodynamic response

function (durations of event epochs for sample, post retro-cue delay, memory delay,

and response were 2.5 s, 2 s, 8.5 s, and 2 s, respectively). Six nuisance regressors

were also included to account for head motion artifacts in the six dimensions of rigid

body motion. Functional EVC mask was defined by the 500 most active voxels during

sample display. Functional IPS and sPCS masks were defined by the 500 most active

voxels during memory delay. Additional ROIs in the frontal cortex were defined using

the HCP atlas (Glasser et al., 2016), including the inferior precentral sulcus (iPCS,

generated by merging 6v, 6r, and PEF), inferior frontal sulcus (IFS, generated by

merging IFJp, IFJa, IFSp, IFSa, and p47r), middle frontal gyrus (MFG, generated by

merging 9-46d, 46, a9-46v, and p9-46v), and primary motor cortex (M1).

MRI Data Analyses

Multivariate Inverted Encoding Modeling (IEM)

Neural representations of orientations were reconstructed using inverted

encoding modeling (IEM) (Brouwer & Heeger, 2009, 2011; Ester et al., 2015;

Rademaker et al., 2019; Yu & Shim, 2017) with custom MATLAB scripts on

individuals' BOLD activation patterns in the ROIs. IEM provides an estimate of

population-level reconstructions of stimulus-specific information. The general

procedure for IEM includes using training data to train model weights and then

applying weights to testing data to obtain reconstructed channel responses. For the

main analyses, we used trials from all conditions to train and to test IEM in order to

avoid potential biases from a specific task condition. Results for categorization task

were averaged across rules for Experiment 1. Training and testing were performed for

each TR separately. As a control, IEMs were also estimated for each condition

separately (within-condition IEM). Training and testing underwent a

leave-one-run-out cross-validation procedure, in which each run was taken out as the

testing run, and the rest of the data served as the training run. This procedure was

iterated until all runs had served as training and testing runs. Results from all

cross-validated folds were averaged. Detailed computations for each fold were

elucidated below:

We first modeled responses of voxels into nine equidistant orientation channels

(initial channels were 1°, 21°, 41°, 61°, 81°, 101°, 121°, 141°, 161°), characterizing

voxel selectivity for orientations. At each channel, the modeled orientation tuning

curve was a half-wave-rectified and squared sinusoid raised to eighth power, defined

as the function below (c was the center of the channel):

$$\int (\theta) = \cos (\theta - c)^8$$

898   Population-level tuning responses of voxels was described using the function:

$$B_1 = W C_1$$

899   B_1 was the training dataset from our fMRI data (v voxels $\times n$ trials). C_1

900   represented the hypothesized channel responses (k channels $\times n$ trials) which were

901   modulated by W, a weight matrix (v voxels $\times k$ channels).

902   The least-squared estimates of the weight matrix ($\widehat{W}$) was computed using linear

903   regression:

$$\widehat{W} = B_1 C_1^T (C_1 C_1^T)^{-1}$$

904   The weight matrix was then applied on the test dataset to reconstruct estimated

905   channel responses ($\hat{C}_2$):

$$\hat{C}_2 = (\widehat{W}^T \widehat{W})^{-1} \widehat{W}^T B_2$$

The analysis above were repeated for 20 times in step of 1° using

leave-one-run-out cross-validation so that the nine channel centers covered all 180

orientations (Rademaker et al., 2019; Yu & Postle, 2021). All channel responses were

combined to create responses for all 180 orientation channels. For statistical

comparisons and for visualization, all channel responses were shifted to a common

center of 0° (true orientation of trials). The responses from all trials were averaged to

obtain reconstructed orientation representations for the test datasets.

To quantify the strength of each IEM reconstruction, we calculated

reconstruction fidelity of channel responses (Rademaker et al., 2019). First, channel

responses of each trial were shifted so that the orientation of each trial was centered in

stimulus space. Thus, for each shifted channel response with a vector length of r at

orientation θ, the orientation was wrapped onto a 2π circular space, and r was

projected to the vector at the true orientation (center of stimulus space, 0°) using the

absolute angle between the channel and the center following the equation:

$d = r\cos(\text{abs}(0°\text{-}2\theta))$

The reconstruction fidelity was then calculated as the mean of all projected vectors d.

A larger fidelity value indicates a stronger positive representation of orientation.

Multivariate Pattern Analysis (MVPA)

Besides IEM, we tracked neural representations of stimulus and of category

using linear Support Vector Machine (SVM) decoders. All decoding analyses were

performed using the templateSVM and fitcecoc functions in MATLAB.

Decoding of stimulus was performed for every TR. We divided the thirty

orientations into four bins of 45° each, two cardinal bins centered at 90° or 180° and

two oblique bins centered at 45° or 135°. We then performed two two-way

classifications, one trained and tested on cardinal bins, and the other trained and tested

on oblique bins. We trained and tested decoders separately for each condition using

the same leave-one-run-out cross-validation procedure as in IEM analyses. To avoid

biases in model training, we randomly balanced the trial numbers for each bin in the

training set. Decoding accuracies were then computed by averaging performance of

cardinal and oblique classifiers. For the categorization task, we averaged accuracies

across rules.

Decoding of category information for Experiment 1 was performed under each

rule (90 trials for each rule) using a leave-one-trial-out cross-validation procedure (see

next paragraph for details), and the decoding accuracies were then averaged across

rules. Since Experiment 2 adopted a fixed rule with 180 trials in the categorization

task, we randomly divided categorization trials into two halves with 90 trials each,

and decoded category information for Experiment 2 using identical procedures as for

Experiment 1.

Because closer orientations are more similar to each other inherently,

orientations per se could contain categorical information by visual similarity. Thus, to

isolate the influence of stimulus on category, in addition to the decoder using true

category labels, we trained an opposite category decoder using category labels based

on the opposite rule. If the two-way classification on categories only captured

stimulus information, then true category and opposite category decoding should have

had comparable performance. If abstract category information existed beyond

stimulus information, then true category decoder should have outperformed the

opposite category decoder. Thus, an abstract category index was calculated by

subtracting opposite category decoding accuracy from true category decoding

accuracy (i.e., chance level = 0). Since the opposite category decoding used

re-assigned labels, to eliminate imbalance in trial number between true and opposite

categories, we used a leave-one-trial-out cross-validation procedure for true category

and opposite category decoders. Decoding for Experiment 1 was performed separately

for each rule and were then averaged. Decoding for Experiment 2 was performed

separately for randomly divided halves and averaged.

Representational Similarity Analysis and Linear Mixed-Effects Modeling

We combined Representational similarity analysis (RSA) with linear

mixed-effects modeling (LMEM) to resolve contributions of stimulus and of category

to the mixed neural representation. First, we constructed three hypothesized

representational dissimilarity matrix (RDM) models (Appendix 1 – figure 7A): a

graded stimulus model (increasing distance between orientations as they move farther

apart, corresponding to graded feature tuning responses), a discrete stimulus model

(indicating equidistant orientation representations), and an abstract category model (0

for all orientations within the same category and 1 for different categories). Secondly,

population-level neural RDMs were computed by calculating Pearson correlation

distance (1 - correlation) on BOLD data between trials; distances were then averaged

across time points within each of the 30 orientations and task, resulting in a 30 × 30

matrix for every task. Since there were two rules within individuals in Experiment 1

and across individuals in Experiment 2, to use orientation RDMs with the category

RDMs simultaneously, we aligned the category RDMs between different

categorization rules. In other words, we shifted the RDMs so that the first 15 rows and

columns belonged to one category and the last 15 rows columns belonged to the other

category. For instance, in Experiment 1, boundaries of Rule A were 20°/110°. The first

15 orientations in Rule A RDMs would be 22° - 106° and the other 15 orientations

being 112° - 16°. With RDMs aligned, we then fitted neural RDMs in each ROI with

the three model RDMs using LMEM to test the contribution of each model (graded

stimulus, discrete stimulus or category). We used subject as the random effect.

Significance of fixed effects was evaluated using p-values of the fixed effect

coefficients.

Recurrent Neural Network Simulations

RNN architecture

The network model was built following the details in previous work (Masse et al.,

2019), and implemented in TensorFlow (version: Nvidia-tensorflow 1.15.0) (Abadi et

al., 2016). The general network architecture consisted of three layers of artificial units:

the input, hidden, and output layers. The input layer contains units served to present

various task-related signals corresponding to those in the fMRI paradigm, including

orientations, retro-cues, task cues. In order to simulate neural activity patterns in

hierarchically connected brain regions (EVC, IPS and sPCS), we separated the hidden

layer into three modules, each containing 200 recurrent units with short-term synaptic

plasticity (STSP). Within each module, units were further divided into 80% excitatory

and 20% inhibitory following Dale's principle. Similar to previous work (Zhou et al.,

2021), modularity was achieved by constraining the recurrent connectivity in the

hidden layer. Specifically, only posterior module's (module 1) excitatory units

received inputs from the input layer and only anterior module's (module 3) excitatory

units projected to the output units. Between-module connections were culled so that

only 50% of a module's excitatory units were randomly connected to their

counterparts in the neighboring module(s), and vice versa (feedforward and feedback

connections). Connections among inhibitory units remained strictly within-module in

accordance with the observation that inhibitory connections in cortex are largely local.

Thus, posterior, middle and anterior modules were intended to simulate the three

interconnected ROIs we used in the fMRI analyses that posited differently at the

processing hierarchy. We specifically manipulated the output demand to investigate

whether it would alter similarity of the results to the fMRI observations. To this end,

one type of network architecture (RNN1) implemented a two-unit output layer with

each unit corresponding to one of two possible response options, presented through

the input units before the test period; In contrast, the other type of RNN architecture

(RNN2) had additional units in the output layer, creating a demand for preserving the

original stimulus information alongside categorical representations.

1016

Task simulation

Orientations were simulated as Gaussian signals from 15 orientation-tuned units

in the input layer distributed equally across 0-180 degrees, forming a ring of receptive

field. The magnitude of an orientation-tuned input unit represented the closeness of its

preferred orientation to the input angle. Stimulus values were selected from an array

of 20 orientations evenly spanned from [0 to 180) degrees. The sequentially-presented

stimuli were presented through the same receptive field, followed by retro-cue and

task cue indicated through the separate input units. For RNN1, before the test period

when the network was required to make a choice, two response options were

presented sequentially through the same input receptive field. The selection of the

options varied slightly between the maintenance and categorization tasks: in

maintenance, one orientation was always the cued sample while the other was

randomly chosen from all other possible angles. In categorization, one option was

taken from the same category as the cued sample but not necessarily the exact angle,

while the other option was randomly chosen from orientations belonging to the other

category. The network output (0,1) or (1,0) in the output units to report its choice. In

comparison, RNN2 output (0,1) or (1,0) to report the category to which the cued

orientation belonged in the categorization task, or (0,0) in the maintenance task.

Importantly, the model also needed to report the cued orientation itself through a

receptive field consisting of 15 orientation -tuned units in the output layer.

1037

Training parameters and procedure

1038

The hyperparameters and procedure for training the models were consistent with

those detailed in previous work (Masse et al., 2019), with the following exceptions:

standard deviations of input and recurrent noise were set to 0.01 as our tasks were

much harder to train compared to those used in the reference study (especially

networks were trained on both tasks simultaneously). Lowering the noise level may

provide an edge for the models to successfully learn to perform the tasks. In a similar

vein, we also expanded the number of hidden units to 600 and number of training

iteration to a maximum of 10000. Additionally, spike penalty was set to 0 for both

RNN models to remove constraints on neuronal activity.

We trained 20 models for each type of RNN and results were obtained by

averaging over all of them (for single-rule RNN, 10 models for each categorization

rule). The goal of the training process was to minimize the mean square error between

the model outputs and correct outputs during the test period via back propagation

(with a 50 ms grace period at onset when model output was not taken into account in

calculating error). Training was conducted in a block-interleaved fashion in which

each gradient batch consisted of 300 maintenance, 300 categorization Rule A and 300

categorization Rule B trials, with the task block order randomized (for single rule

RNN, each batch consisted of 300 maintenance and 300 categorization trials).

Training would automatically stop if the model achieved 90% accuracy in the last

training batch on all tasks. For RNN2, the accuracies for category and stimulus

outputs were calculated separately to ensure precision of the stimulus outputs.

1060

Population decoding

We measured the strength of stimulus and category representations through

training SVMs on time-resolved neuronal activity. Activities were obtained by feeding

new batches of tasks into the already-successfully trained networks after freezing all

connection weights to prevent further changes to the models' behaviors. The intrinsic

noise for the recurrent layer was also set to 0 for decoding analyses. To ensure

accurate decoding results, we sampled large number of trials (900 trials for each

condition) and implemented a 5-fold cross-validation procedure in which 80% of

trials were used as training set and the remaining 20% as testing set in each fold.

Decoders were trained separately for each module and time point.

1071

Representational Similarity Analysis between RNN and Human Data

To quantify the similarity between RNN and human data, we conducted

representational similarity analysis (RSA) between human and RNNs. First, for each

RNN, we constructed RDMs for each module by calculating the Pearson correlation

distance between the activation of each time point across trials and then averaging the

distance across the delay period within each orientation and task. Human RDMs for

early and late epochs were constructed using methods described in the previous

section. Only orientations used in both human tasks and simulations were included for

RSA. To prioritize the comparison of stimulus or category representation, we aligned

the RDMs either by stimulus or category. For align-by-stimulus RDMs, RDMs were

aligned to orientations, sorted from 1° to 180° and in the order of maintenance and

categorization tasks. For align-by-category RDMs, RDMs were aligned to categories,

with the first half rows and columns under each task corresponding to one category

and the other half corresponding to the other category. RDMs for different

categorization rules were further averaged.

Next, for each module in each type of RNN (RNN1 vs. RNN2, flexible vs. fixed

rule), we obtained a single model RDM by averaging the RDMs of all the 20

networks. Likewise, we obtained a single RDM for each ROI in each experiment for

human data of individual participant. We then calculated Kendall's Tau correlation

coefficients between human and model RDMs for each ROI and the corresponding

module, and averaged the coefficient across participants. Significance of the

correlation was determined using one-sided signed-rank test. Finally, to compared

whether RNN1 or RNN2 was more similar to human patterns, we calculated the

difference in Z-transformed coefficients between RNNs. Significance of difference

was determined using sign-flip permutation with 1000 iterations (described below).

1097

Statistical Testing

Participants' behavioral performance for the main task was assessed using

accuracy and reaction time. Paired t-test was conducted for the two task types

(maintenance & categorization) to evaluate differences between conditions.

Statistical significance was evaluated via a sign-flip permutation procedure for

all other analyses. For example, to characterize the significance of IEM fidelity, we

computed the p-value by comparing the true mean fidelity of our sample with a null

distribution reflecting no IEM fidelity. The null distribution was created by randomly

assigning 1 or -1 to fidelity scores of our sample and then averaging the sign-flipped

samples for 10,000 times, resulting in a null distribution of 10,000 fidelity scores. To

characterize the difference of IEM fidelity between tasks, we sign-flipped the fidelity

sample for each condition and then averaged the difference for 10,000 times. The

p-value was calculated by comparing the true mean difference with the generated null

distribution of difference. P-values were corrected using FDR across ROIs, time

(TRs), and tasks for all analyses unless specified. An early (5-10 s; 6th-11th TR) and

late (11-16 s; 12th-17th TR) task epoch was also defined to facilitate comparisons

between ROIs and experiments when needed.

For RNN decoding results, we adopted a cluster-based permutation approach

(using MNE-Python (Gramfort et al., 2013) function *permutation_cluster_1samp_test*

to accommodate the large number of time points to be corrected for) to determine

statistical significance of the time course, for stimulus decoding accuracies in

maintenance/categorization, difference between stimulus decoding accuracies

between tasks, and abstract category decoding accuracy in categorization task.

Moreover, we also pooled decoding accuracies across a critical task period (50-75

time points during delay) to produce summary statistics aligning with what was

reported in the fMRI results. Average decoding results were corrected using the FDR

method.

1125

Acknowledgements

We would like to thank Dr. Mu-ming Poo for valuable comments on an earlier version of the manuscript, and Dr. Tianming Yang for helpful discussions. This work was supported by the Strategic Priority Research Program of the Chinese Academy of Sciences (Grant No. XDB1010202), the Ministry of Science and Technology of China (STI2030-Major Projects 2021ZD0203701, 2021ZD0204202), the National Natural Science Foundation of China (32271089), CAS Project for Young Scientists in Basic Research (YSBR-071), and Shanghai Pujiang Program (22PJ1414400) to Q.Y..

Reference

Abadi, M., Barham, P., Chen, J., Chen, Z., Davis, A., Dean, J., . . . Zheng, X. (2016). TensorFlow: A System for Large-Scale Machine Learning. *12th USENIX Symposium on Operating Systems Design and Implementation*, 265–283.

Baddeley, A. (2003). Working memory: looking back and looking forward. *Nat Rev Neurosci, 4*(10), 829-839. doi:10.1038/nrn1201

Badre, D. (2008). Cognitive control, hierarchy, and the rostro-caudal organization of the frontal lobes. *Trends Cogn Sci, 12*(5), 193-200. doi:10.1016/j.tics.2008.02.004

Badre, D., Bhandari, A., Keglovits, H., & Kikumoto, A. (2021). The dimensionality of neural representations for control. *Curr Opin Behav Sci, 38*, 20-28. doi:10.1016/j.cobeha.2020.07.002

Badre, D., Kayser, A. S., & D'Esposito, M. (2010). Frontal cortex and the discovery of abstract action rules. *Neuron, 66*(2), 315-326. doi:10.1016/j.neuron.2010.03.025

Bettencourt, K. C., & Xu, Y. (2016). Decoding the content of visual short-term memory under distraction in occipital and parietal areas. *Nat Neurosci, 19*(1), 150-157. doi:10.1038/nn.4174

Brainard, D. H. (1997). The Psychophysics Toolbox. *Spat Vis, 10*(4), 433-436. Retrieved from https://www.ncbi.nlm.nih.gov/pubmed/9176952

Brincat, S. L., Siegel, M., von Nicolai, C., & Miller, E. K. (2018). Gradual progression from sensory to task-related processing in cerebral cortex. *Proc Natl Acad Sci U S A, 115*(30), E7202-E7211. doi:10.1073/pnas.1717075115

Brouwer, G. J., & Heeger, D. J. (2009). Decoding and reconstructing color from responses in human visual cortex. *J Neurosci, 29*(44), 13992-14003. doi:10.1523/JNEUROSCI.3577-09.2009

Brouwer, G. J., & Heeger, D. J. (2011). Cross-orientation suppression in human visual cortex. *J Neurophysiol, 106*(5), 2108-2119. doi:10.1152/jn.00540.2011

Christophel, T. B., Hebart, M. N., & Haynes, J. D. (2012). Decoding the contents of visual short-term memory from human visual and parietal cortex. *J Neurosci, 32*(38), 12983-12989.

doi:10.1523/JNEUROSCI.0184-12.2012

Christophel, T. B., Iamshchinina, P., Yan, C., Allefeld, C., & Haynes, J. D. (2018). Cortical specialization for attended versus unattended working memory. *Nat Neurosci, 21*(4), 494-496. doi:10.1038/s41593-018-0094-4

Cox, R. W. (1996). AFNI: software for analysis and visualization of functional magnetic resonance neuroimages. *Comput Biomed Res, 29*(3), 162-173. Retrieved from https://www.ncbi.nlm.nih.gov/pubmed/8812068

Cox, R. W., & Hyde, J. S. (1997). Software tools for analysis and visualization of FMRI Data. *NMR in Biomedicine, 10*, 171-178.

Curtis, C. E., Rao, V. Y., & D'Esposito, M. (2004). Maintenance of spatial and motor codes during oculomotor delayed response tasks. *J Neurosci, 24*(16), 3944-3952. doi:10.1523/JNEUROSCI.5640-03.2004

D'Esposito, M., & Postle, B. R. (2015). The cognitive neuroscience of working memory. *Annu Rev Psychol, 66*, 115-142. doi:10.1146/annurev-psych-010814-015031

D'Esposito, M., Postle, B. R., Ballard, D., & Lease, J. (1999). Maintenance versus manipulation of information held in working memory: an event-related fMRI study. *Brain Cogn, 41*(1), 66-86. doi:10.1006/brcg.1999.1096

D'Esposito, M., Postle, B. R., & Rypma, B. (2000). Prefrontal cortical contributions to working memory: evidence from event-related fMRI studies. *Exp Brain Res, 133*(1), 3-11. doi:10.1007/s002210000395

Emrich, S. M., Riggall, A. C., Larocque, J. J., & Postle, B. R. (2013). Distributed patterns of activity in sensory cortex reflect the precision of multiple items maintained in visual short-term memory. *J Neurosci, 33*(15), 6516-6523. doi:10.1523/JNEUROSCI.5732-12.2013

Eppinger, B., Goschke, T., & Musslick, S. (2021). Meta-control: From psychology to computational neuroscience. *Cogn Affect Behav Neurosci, 21*(3), 447-452. doi:10.3758/s13415-021-00919-4

Ester, E. F., Anderson, D. E., Serences, J. T., & Awh, E. (2013). A neural measure of precision in visual working memory. *J Cogn Neurosci, 25*(5), 754-761. doi:10.1162/jocn_a_00357

Ester, E. F., Sprague, T. C., & Serences, J. T. (2015). Parietal and Frontal Cortex Encode Stimulus-Specific Mnemonic Representations during Visual Working Memory. *Neuron, 87*(4), 893-905. doi:10.1016/j.neuron.2015.07.013

Ester, E. F., Sprague, T. C., & Serences, J. T. (2020). Categorical Biases in Human Occipitoparietal Cortex. *J Neurosci, 40*(4), 917-931. doi:10.1523/JNEUROSCI.2700-19.2019

Flesch, T., Juechems, K., Dumbalska, T., Saxe, A., & Summerfield, C. (2022). Orthogonal representations for robust context-dependent task performance in brains and neural networks. *Neuron, 110*(7), 1258-1270 e1211. doi:10.1016/j.neuron.2022.01.005

Freedman, D. J., & Assad, J. A. (2006). Experience-dependent representation of visual categories in parietal cortex. *Nature, 443*(7107), 85-88. doi:10.1038/nature05078

Freedman, D. J., Riesenhuber, M., Poggio, T., & Miller, E. K. (2001). Categorical representation of visual stimuli in the primate prefrontal cortex. *Science, 291*(5502), 312-316. doi:10.1126/science.291.5502.312

Funahashi, S., Bruce, C. J., & Goldman-Rakic, P. S. (1989). Mnemonic coding of visual space in the monkey's dorsolateral prefrontal cortex. *J Neurophysiol, 61*(2), 331-349. doi:10.1152/jn.1989.61.2.331

Fusi, S., Miller, E. K., & Rigotti, M. (2016). Why neurons mix: high dimensionality for higher

1205    cognition. *Curr Opin Neurobiol, 37*, 66-74. doi:10.1016/j.conb.2016.01.010

1206 Fuster, J. M., & Alexander, G. E. (1971). Neuron activity related to short-term memory. *Science,*
1207    *173*(3997), 652-654. Retrieved from https://www.ncbi.nlm.nih.gov/pubmed/4998337

1208 Glasser, M. F., Coalson, T. S., Robinson, E. C., Hacker, C. D., Harwell, J., Yacoub, E., . . . Van Essen,
1209    D. C. (2016). A multi-modal parcellation of human cerebral cortex. *Nature, 536*(7615),
1210    171-178. doi:10.1038/nature18933

1211 Gosseries, O., Yu, Q., LaRocque, J. J., Starrett, M. J., Rose, N. S., Cowan, N., & Postle, B. R. (2018).
1212    Parietal-Occipital Interactions Underlying Control- and Representation-Related Processes in
1213    Working Memory for Nonspatial Visual Features. *J Neurosci, 38*(18), 4357-4366.
1214    doi:10.1523/JNEUROSCI.2747-17.2018

1215 Gramfort, A., Luessi, M., Larson, E., Engemann, D. A., Strohmeier, D., Brodbeck, C., . . . Hamalainen,
1216    M. (2013). MEG and EEG data analysis with MNE-Python. *Front Neurosci, 7*, 267.
1217    doi:10.3389/fnins.2013.00267

1218 Hallenbeck, G. E., Sprague, T. C., Rahmati, M., Sreenivasan, K. K., & Curtis, C. E. (2021). Working
1219    memory representations in visual cortex mediate distraction effects. *Nat Commun, 12*(1), 4714.
1220    doi:10.1038/s41467-021-24973-1

1221 Harrison, S. A., & Tong, F. (2009). Decoding reveals the contents of visual working memory in early
1222    visual areas. *Nature, 458*(7238), 632-635. doi:10.1038/nature07832

1223 Henderson, M. M., Rademaker, R. L., & Serences, J. T. (2022). Flexible utilization of spatial- and
1224    motor-based codes for the storage of visuo-spatial information. *Elife, 11*.
1225    doi:10.7554/eLife.75688

1226 Hu, Y., & Yu, Q. (2023). Spatiotemporal dynamics of self-generated imagery reveal a reverse cortical
1227    hierarchy from cue-induced imagery. *Cell Rep, 42*(10), 113242.
1228    doi:10.1016/j.celrep.2023.113242

1229 Latimer, K. W., & Freedman, D. J. (2023). Low-dimensional encoding of decisions in parietal cortex
1230    reflects long-term training history. *Nat Commun, 14*(1), 1010.
1231    doi:10.1038/s41467-023-36554-5

1232 Leavitt, M. L., Mendoza-Halliday, D., & Martinez-Trujillo, J. C. (2017). Sustained Activity Encoding
1233    Working Memories: Not Fully Distributed. *Trends Neurosci, 40*(6), 328-346.
1234    doi:10.1016/j.tins.2017.04.004

1235 Lee, S. H., Kravitz, D. J., & Baker, C. I. (2013). Goal-dependent dissociation of visual and prefrontal
1236    cortices during working memory. *Nat Neurosci, 16*(8), 997-999. doi:10.1038/nn.3452

1237 Li, S., Zeng, X., Shao, Z., & Yu, Q. (2023). Neural Representations in Visual and Parietal Cortex
1238    Differentiate between Imagined, Perceived, and Illusory Experiences. *J Neurosci, 43*(38),
1239    6508-6524. doi:10.1523/JNEUROSCI.0592-23.2023

1240 Liu, T., Cable, D., & Gardner, J. L. (2018). Inverted Encoding Models of Human Population Response
1241    Conflate Noise and Neural Tuning Width. *J Neurosci, 38*(2), 398-408.
1242    doi:10.1523/JNEUROSCI.2453-17.2017

1243 Lorenc, E. S., Sreenivasan, K. K., Nee, D. E., Vandenbroucke, A. R. E., & D'Esposito, M. (2018).
1244    Flexible coding of visual working memory representations during distraction. *J Neurosci*.
1245    doi:10.1523/JNEUROSCI.3061-17.2018

1246 Luu, L., & Stocker, A. A. (2021). Categorical judgments do not modify sensory representations in
1247    working memory. *PLoS Comput Biol, 17*(6), e1008968. doi:10.1371/journal.pcbi.1008968

1248 Mackey, W. E., Winawer, J., & Curtis, C. E. (2017). Visual field map clusters in human frontoparietal

cortex. *Elife, 6*. doi:10.7554/eLife.22974

Masse, N. Y., Yang, G. R., Song, H. F., Wang, X. J., & Freedman, D. J. (2019). Circuit mechanisms for the maintenance and manipulation of information in working memory. *Nat Neurosci, 22*(7), 1159-1167. doi:10.1038/s41593-019-0414-3

McKee, J. L., Riesenhuber, M., Miller, E. K., & Freedman, D. J. (2014). Task dependence of visual and category representations in prefrontal and inferior temporal cortices. *J Neurosci, 34*(48), 16065-16075. doi:10.1523/JNEUROSCI.1660-14.2014

Miller, E. K., & Cohen, J. D. (2001). An integrative theory of prefrontal cortex function. *Annu Rev Neurosci, 24*, 167-202. doi:10.1146/annurev.neuro.24.1.167

Miller, J. A., Tambini, A., Kiyonaga, A., & D'Esposito, M. (2022). Long-term learning transforms prefrontal cortex representations during working memory. *Neuron, 110*(22), 3805-3819 e3806. doi:10.1016/j.neuron.2022.09.019

Mok, R. M., & Love, B. C. (2020). Abstract Neural Representations of Category Membership beyond Information Coding Stimulus or Response. *J Cogn Neurosci*, 1-17. doi:10.1162/jocn_a_01651

Musslick, S., & Cohen, J. D. (2021). Rationalizing constraints on the capacity for cognitive control. *Trends Cogn Sci, 25*(9), 757-775. doi:10.1016/j.tics.2021.06.001

Pelli, D. G. (1997). The VideoToolbox software for visual psychophysics: transforming numbers into movies. *Spat Vis, 10*(4), 437-442. Retrieved from https://www.ncbi.nlm.nih.gov/pubmed/9176953

Rademaker, R. L., Chunharas, C., & Serences, J. T. (2019). Coexisting representations of sensory and mnemonic information in human visual cortex. *Nat Neurosci, 22*(8), 1336-1344. doi:10.1038/s41593-019-0428-x

Riggall, A. C., & Postle, B. R. (2012). The Relationship between Working Memory Storage and Elevated Activity as Measured with Functional Magnetic Resonance Imaging. *Journal of Neuroscience, 32*(38), 12990-12998. doi:10.1523/Jneurosci.1892-12.2012

Serences, J. T., Ester, E. F., Vogel, E. K., & Awh, E. (2009). Stimulus-specific delay activity in human primary visual cortex. *Psychol Sci, 20*(2), 207-214. doi:10.1111/j.1467-9280.2009.02276.x

Shi, D., & Yu, Q. (2024). Distinct neural signatures underlying information maintenance and manipulation in working memory. *Cereb Cortex, 34*(3). doi:10.1093/cercor/bhae063

Sprague, T. C., Adam, K. C. S., Foster, J. J., Rahmati, M., Sutterer, D. W., & Vo, V. A. (2018). Inverted Encoding Models Assay Population-Level Stimulus Representations, Not Single-Unit Neural Tuning. *eNeuro, 5*(3). doi:10.1523/ENEURO.0098-18.2018

Sprague, T. C., & Serences, J. T. (2013). Attention modulates spatial priority maps in the human occipital, parietal and frontal cortices. *Nat Neurosci, 16*(12), 1879-1887. doi:10.1038/nn.3574

Wang, L., Mruczek, R. E., Arcaro, M. J., & Kastner, S. (2015). Probabilistic Maps of Visual Topography in Human Cortex. *Cereb Cortex, 25*(10), 3911-3931. doi:10.1093/cercor/bhu277

Wang, X. J. (2021). 50 years of mnemonic persistent activity: quo vadis? *Trends Neurosci, 44*(11), 888-902. doi:10.1016/j.tins.2021.09.001

Yu, Q., & Postle, B. R. (2021). The Neural Codes Underlying Internally Generated Representations in Visual Working Memory. *J Cogn Neurosci*, 1-16. doi:10.1162/jocn_a_01702

Yu, Q., & Shim, W. M. (2017). Occipital, parietal, and frontal cortices selectively maintain task-relevant features of multi-feature objects in visual working memory. *Neuroimage, 157*, 97-107. doi:10.1016/j.neuroimage.2017.05.055

Yu, Q., & Shim, W. M. (2019). Temporal-Order-Based Attentional Priority Modulates Mnemonic

1293    Representations in Parietal and Frontal Cortices. *Cereb Cortex, 29*(7), 3182-3192.
1294    doi:10.1093/cercor/bhy184
1295 Zhou, Y., Rosen, M. C., Swaminathan, S. K., Masse, N. Y., Zhu, O., & Freedman, D. J. (2021).
1296    Distributed functions of prefrontal and parietal cortices during sequential categorical decisions.
1297    *Elife, 10*. doi:10.7554/eLife.58782

1298

 Appendix 1

1300

1301 **Appendix 1 – figure 1.** Visualization of the anatomical locations of the ROIs on the
1302 MNI brain.

Appendix 1 – figure 2. Control analyses for stimulus representation results in Experiment 1.

(A) Time course of representational strength of orientations in EVC, IPS and sPCS using IEMs trained separately for each condition. Bar plot on the right shows corresponding averaged difference between tasks across the late task epoch (11 – 16 s) in each ROI. Positive difference indicates higher representational strength for categorization, and vice versa for negative difference. Gray shaded areas indicate the entire memory delay following task cue. Horizontal dashed lines represent a baseline of 0 or 0.5. Blue and orange dots at the bottom indicate the significance of representational fidelity at each time point of the corresponding task at $p < 0.05$ (small), $p < 0.01$ (medium), and $p < 0.001$ (large). The bottom black dots indicate significant difference in representational fidelity between tasks. Shaded areas represent ± SEM. black asterisks denote significance, *: $p < 0.05$; **: $p < 0.01$; ***: $p < 0.001$. Gray asterisk denotes marginal significance $p < 0.1$. (B) Time course of stimulus decoding accuracy. Same conventions as (A). (C) Time course of

representational strength of orientations after removing voxel-wise mean activation for each condition at each TR. Same conventions as (A). (D) Time course of representational strength of orientations in functional ROIs defined by top 500 most selective voxels during sample or delay period. Same conventions as (A).

1324

Appendix 1 – figure 3. Orientation reconstructions in primary motor cortex (M1) at
the population level using IEMs.

(A) Time course of representational strength of orientations at M1 in Experiment 1.
Gray shaded areas indicate the entire memory delay following task cue. The bottom
black dots indicate significant difference in representational fidelity between tasks
(uncorrected) at $p < 0.05$. Horizontal dashed lines represent a baseline of 0. Shaded
areas represent $\pm$ SEM. (B) Time course of representational strength of orientations at
M1 in Experiment 2. Same conventions as (A).

1335

Appendix 1 – figure 4. Behavioral performance of Experiment 2.
(A) Accuracy (upper) and reaction time (lower) of Maintenance (blue) and
Categorization (orange) tasks in Experiment 2. Asterisks denote significant results,
n.s.: not significant; **: $p < 0.01$. (B) Accuracy (upper) and reaction time (lower) for
orientations based on their distances from category center for Categorization task.
Shaded areas represent ± SEM. Vertical dashed line represents category center.

1342

1343

Appendix 1 – figure 5. Behavioral correlation of stimulus representation in Experiment 2.

Time course of correlation coefficients in EVC, IPS, and sPCS. Correlation was performed between strength of stimulus representations and behavioral performance (accuracy) for Maintenance (blue) and Categorization (orange) tasks. Gray shaded areas indicate the entire memory delay following task cue. Horizontal dashed lines represent a baseline of 0. Bottom dots indicate the significance of corresponding analyses at each time point of the corresponding task at $p < 0.05$ (small), $p < 0.01$ (medium), and $p < 0.001$ (large). Shaded areas represent ± SEM.

1353

1354

Appendix 1 – figure 6. Time course of category and abstract category decoding
performance in Experiment 1 and 2.

(A) Time course of category decoding strength in Experiment 1 with flexible rule
(orange) and in Experiment 2 with fixed rule (light orange). Horizontal dashed lines
represent the chance level of 0.5. Gray shaded areas indicate the entire memory delay
following task cue. Bottom dots indicate uncorrected significance of decoding
accuracy at each time point at $p < 0.05$ (small), $p < 0.01$ (medium), and $p < 0.001$
(large). Shaded areas represent $\pm$ SEM. (B) Time course of abstract category decoding
strength in Experiment 1 (dark blue) and in Experiment 2 (light blue). Horizontal
dashed lines represent a baseline of 0.

1365

1366

1367

Appendix 1 – figure 7. Delineating stimulus and category effects using linear
mixed-effects modeling.
(A) To dissociate the contribution of stimulus and abstract category representations to
neural activities, we fitted neural RDMs with three model RDMs. To construct
individual neural RDMs between orientations, we first averaged all trials for each
orientation within the same condition and then calculated the distance between each
pair of orientations. Separately calculated for maintenance and categorization tasks,
we then fitted neural RDMs with linear mixed-effects models to estimate the
contribution of each model (discrete stimulus model, graded stimulus model, and
category model) to the neural RDM over delay periods. (B) Fit of discrete stimulus
model (pink), graded stimulus model (yellow), and category model (green) in EVC,
IPS, and sPCS for the maintenance and categorization tasks in the late epoch of
Experiment 1. Darker bars indicate significant main effect of specific models ($p <$
0.05), lighter bars indicate marginally significance ($p < 0.1$), and hollow bars indicate
no significance. (C) Results for early epoch in Experiment 2. Same conventions as (B).
(D) Results for late epoch in Experiment 2. Same conventions as (B).
1384

1385

Appendix 1 – figure 8. Stimulus, category, and abstract category results in additional
frontal ROIs in Experiment 1 and 2.

(A) Time course of representational strength of orientations in iPCS, IFS, and MFG in
Experiment 1 (top panel) and Experiment 2 (bottom panel). Gray shaded areas
indicate the entire memory delay following task cue. Blue and orange dots at the
bottom indicate the FDR-corrected significance of representational fidelity at each
time point of the corresponding task at $p < 0.05$ (small), $p < 0.01$ (medium), and $p <$
0.001 (large). The bottom black dots indicate significant difference in representational
fidelity between tasks (uncorrected). Horizontal dashed lines represent a baseline of 0.
Shaded areas represent $\pm$ SEM. (B) Average category decoding accuracy across the
late task epoch (11 – 16 s) in iPCS, IFS, and MFG of both experiments. (C) Average
abstract category decoding index across the late task epoch (11 – 16 s) in the same
regions of both experiments, black asterisks denote FDR-corrected significance, n.s.:
not significant; *: $p < 0.05$.

1400

1401

Appendix 1 – figure 9. RNN results using IEMs.
Difference in the IEM fidelity of orientation representations between tasks in RNN2.
Results were averaged across the delay period. Uncorrected p-values from Module 1
to 3: 0.10, 0.48, 0.01. Positive difference indicates higher fidelity for categorization,
and negative difference indicates higher fidelity for maintenance. Error bars represent
± SEM.
1408

Time	Maintenance			Categorization			Maintenance vs. Categorization		
	EVC	IPS	sPCS	EVC	IPS	sPCS	EVC	IPS	sPCS
0	0.486	0.389	0.286	0.838	0.838	0.747	0.206	0.814	0.827
1	0.385	0.576	0.743	0.747	0.822	0.717	0.205	0.675	0.460
2	0.060	0.286	0.219	0.174	0.626	0.626	0.188	0.788	0.779
3	0.000	0.286	0.764	0.000	0.353	0.389	0.497	0.630	0.232
4	0.000	0.154	0.422	0.000	0.038	0.784	0.534	0.299	0.812
5	0.000	0.042	0.618	0.000	0.027	0.269	0.816	0.389	0.241
6	0.000	0.002	0.094	0.000	0.002	0.056	0.927	0.528	0.594
7	0.000	0.000	0.033	0.000	0.000	0.001	0.914	0.301	0.274
8	0.000	0.000	0.001	0.000	0.000	0.001	0.588	0.651	0.655
9	0.000	0.000	0.004	0.000	0.000	0.007	0.119	0.277	0.399
10	0.000	0.000	0.060	0.000	0.000	0.003	0.007	0.258	0.127
11	0.000	0.001	0.264	0.000	0.000	0.032	0.001	0.162	0.123
12	0.000	0.003	0.759	0.000	0.000	0.039	0.000	0.090	0.018
13	0.000	0.001	0.377	0.000	0.000	0.003	0.000	0.054	0.022
14	0.000	0.007	0.747	0.000	0.000	0.038	0.000	0.046	0.034
15	0.000	0.000	0.667	0.000	0.000	0.003	0.000	0.145	0.012
16	0.000	0.000	0.948	0.000	0.000	0.020	0.000	0.190	0.001
17	0.000	0.000	0.838	0.000	0.000	0.031	0.000	0.889	0.004

Appendix 1 – table 1. P-values for the time course of IEM results in Figure 2. Underline denotes significant results ($p < 0.05$).

Time	Maintenance			Categorization		
	EVC	IPS	sPCS	EVC	IPS	sPCS
0	0.569	0.202	0.603	0.674	0.347	0.368
1	0.866	0.549	0.871	0.913	0.459	0.291
2	0.757	0.808	0.846	0.921	0.651	0.224
3	0.246	0.264	0.576	0.438	0.708	0.075
4	0.232	0.147	0.749	0.092	0.052	0.093
5	0.310	0.062	0.537	0.136	_0.032_	_0.033_
6	0.114	_0.049_	0.911	_0.045_	0.003	0.009
7	_0.012_	_0.024_	0.125	_0.019_	0.002	0.012
8	_0.034_	0.076	0.140	_0.022_	0.001	0.004
9	0.050	0.069	0.143	_0.027_	0.000	0.001
10	0.160	0.699	0.377	0.101	_0.000_	_0.000_
11	0.320	0.944	0.612	0.140	_0.015_	_0.004_
12	0.494	0.983	0.339	0.271	_0.027_	_0.007_
13	0.344	0.546	0.140	0.435	0.062	_0.009_
14	0.173	0.768	0.538	0.275	0.082	0.068
15	0.127	0.809	0.811	0.493	0.181	0.080
16	0.083	0.145	0.516	0.284	0.097	_0.032_
17	0.396	0.085	_0.030_	0.122	_0.042_	0.118

1413   **Appendix 1 – table 2**. P-values for the time course of correlation results in Figure 3.

1414   Underline denotes significant results ($p < 0.05$).

1415

Time	Maintenance			Categorization			Maintenance vs. Categorization		
	EVC	IPS	sPCS	EVC	IPS	sPCS	EVC	IPS	sPCS
0	0.005	0.028	0.203	0.511	0.359	0.395	0.007	0.976	0.804
1	0.007	0.090	0.079	0.339	0.181	0.412	0.018	0.810	0.979
2	0.001	0.152	0.444	0.154	0.371	0.548	0.021	0.816	0.668
3	0.000	0.079	0.481	0.000	0.237	0.600	0.057	0.851	0.669
4	0.000	0.021	0.170	0.000	0.001	0.224	0.385	0.578	0.675
5	0.000	0.018	0.548	0.000	0.000	0.045	0.578	0.396	0.071
6	0.000	0.006	0.525	0.000	0.000	0.000	0.811	0.197	0.002
7	0.000	0.000	0.018	0.000	0.000	0.000	0.900	0.275	0.072
8	0.000	0.000	0.152	0.000	0.000	0.000	0.884	0.059	0.011
9	0.000	0.000	0.117	0.000	0.000	0.000	0.612	0.090	0.040
10	0.000	0.000	0.028	0.000	0.000	0.000	0.045	0.207	0.066
11	0.000	0.001	0.017	0.000	0.000	0.000	0.005	0.272	0.209
12	0.000	0.000	0.028	0.000	0.000	0.000	0.014	0.574	0.266
13	0.000	0.001	0.052	0.000	0.000	0.000	0.029	0.344	0.139
14	0.000	0.001	0.117	0.000	0.000	0.021	0.120	0.420	0.395
15	0.000	0.002	0.022	0.000	0.000	0.005	0.001	0.644	0.340
16	0.000	0.000	0.003	0.000	0.000	0.000	0.000	0.866	0.546
17	0.000	0.000	0.039	0.000	0.000	0.000	0.000	0.881	0.259

Appendix 1 – table 3. P-values for the time course of IEM results in Figure 4. Underline denotes significant results ($p < 0.05$).