A transcriptome-wide meta-analysis reveals lack of cancer-cell intrinsic determinants of

response to immune checkpoint blockade

Yu Amanda Guo[1], Tanmay Kulshrestha[1], Mei Mei Chang[1], Irfahan Kassam[1], Egor Revkov[1,2],

Simone Rizzetto[1], Aaron C. Tan[3], Daniel S.W. Tan[1,3], Iain Beehuat Tan[1,3] & Anders Jacobsen

Skanderup[1,2,3]

[1]Genome Institute of Singapore (GIS), Agency for Science, Technology and Research

(A*STAR), 60 Biopolis Street, Genome, Singapore 138672, Republic of Singapore

[2]School of Computing, National University of Singapore, Computing 1, 13 Computing Drive,

Singapore 117417, Republic of Singapore

[3]National Cancer Centre Singapore, Singapore 168583, Republic of Singapore.

Correspondence should be addressed to A.J.S. (skanderupamj@gis.a-star.edu.sg)

Declaration of interests

The authors declare no competing interests.

Abstract

Immune-checkpoint therapy (ICT) has conferred significant and durable clinical benefit to

some cancer patients. However, most patients do not respond to ICT, and reliable

biomarkers of ICT response are needed to improve patient stratification. Here, we

performed a transcriptome-wide meta-analysis across 1,486 tumors from ICT-treated

patients and tumors with expected ICT outcomes based on microsatellite status. Using a

transcriptome deconvolution approach, we inferred cancer and stroma-specific gene

expression differences and identified cell-type specific features of ICT response across

cancer types. Consistent with current knowledge, stromal expression of *CXCL9*, *CXCL13*,

IFNG, and *CD274* were among the top positive determinants of ICT response. Interestingly,

we also identified a group of potential immune-suppressive genes, including *FCER1A*,

associated with poor response to ICT. Surprisingly, the unbiased transcriptome-wide

analysis failed to identify cancer-cell intrinsic features of ICT response conserved across

tumor types. Overall, our results suggest that cancer cells lack tissue-agnostic molecular

determinants of ICT response, which has implications for the development of improved ICT

diagnostics and treatments.

Introduction

Immune checkpoint blockade therapy (ICT) is an established class of immunotherapy that induces significant tumor shrinkage and long-term disease control in many cancers. However, only a subset of patients benefit from ICT, with response rates ranging from 0-3% in pancreatic cancer[1] to about 40% in melanoma and microsatellite instable (MSI) tumors[2]. Presently, the Food and Drug Administration (FDA)-approved biomarkers for ICT are PD-L1 expression[3], microsatellite instability[4], and high tumor mutation burden (TMB) of >10mutations/Mb[5]. While PD-L1 expression is an obvious biomarker for PD-1/PD-L1 blockade therapies, it is only weakly predictive of treatment response in many solid cancer types[6]. TMB is a measure of tumor immunogenicity, where increased mutation load is associated with higher neo-antigen burden and anti-tumoral immune responses. However, the predictive power of TMB varies significantly by cancer type and it is difficult to define a single TMB threshold across cancer types[7,8]. Likewise, MSI tumors are more immunogenic, as defective mismatch repair results in large numbers of insertions and deletions in the cancer genomes. In practice, MSI status has limited applications as a biomarker of ICT response, since only a small subset of solid tumors are microsatellite instable[9,10].

Transcriptional signatures of tumor immune phenotypes have been proposed to predict for ICT response[11-13]. However, a recent analysis using large-scale ICT trial data found that existing transcriptional signatures often have limited predictive accuracy in new patient cohorts[6]. A recent meta-analysis evaluating existing biomarkers of ICT response, identified TMB and *CXCL9* expression as the most consistent predictors of ICT response. Despite these efforts, there is a lack of transcriptome-wide studies to identify potential biomarkers of ICT response conserved across cancer types.

Activation of effector immune cells such as T-cells and natural killer (NK) cells are

dependent on a balance of co-stimulatory and co-inhibitory interactions between these

effector cells, antigen-presenting immune cells such as dendritic cells and macrophages, as

well as cancer cells in the TME. Dysregulation of these ligand-receptor interactions, or

immune checkpoints, leads to immunosuppression in the tumor. However, it is challenging

to tease apart these complex interactions between cancer and immune cells using bulk

tumor transcriptomics. For example, although inhibitory immune checkpoint ligand PD-L1 is

a known biomarker of ICT response, it is unclear if cancer cells escape immune cell killing by

expressing PD-L1, or recruiting PD-L1[+] immune cells, with studies supporting both

scenarios[14]. Therefore, learning the cell type specificities of ICT biomarkers will shed insights

on the underlying mechanisms of immune evasion and ICT resistance. While single-cell

transcriptomics has been applied to explore immune cell types and molecular mechanisms

associated with ICT response, single cell transcriptomics studies are currently limited to

small patient cohorts[15,16]. The small sample size, coupled with high intra- and inter- patient

heterogeneity and intrinsic noise in single-cell data, limits the power of single-cell

transcriptomics for systematic biomarker discovery.

Here, we performed a meta-analysis on the complete transcriptomes of 534 tumors

from four ICT treated patient cohorts, as well as 952 ICT responder-enriched (MSI) and non-

responder-enriched [microsatellite stable (MSS)] tumors (**Figure 1A**). We applied a novel

transcriptome deconvolution technique to estimate stroma- and cancer-cell-specific

differential expression of individual genes from the bulk tumor transcriptomes[17]. Based on

the hypothesis that ICT responsive tumors share molecular features regardless of tumor

type, we searched for stroma and cancer-cell gene expression signatures associated with ICT

response across distinct cohorts and tumor types. Strikingly, our analysis revealed a lack of

cancer-cell intrinsic gene expression signatures associated with ICT response across tumor

types. In contrast, in stromal cells, we identified multiple immunomodulators and

checkpoint genes recurrently associated with ICT response across cohorts and tumor types.

While many of these genes have previously been linked with ICT response, we also

identified a subset of novel and potentially immune-suppressive genes negatively correlated

with response. Using these conserved stromal-specific gene signatures, we developed a

predictive model of ICT response that demonstrated improved accuracy over existing

biomarkers in unseen patient cohorts and tumor types.

Results

Patient cohorts used for transcriptome-wide analysis of ICT response

To systematically explore transcriptome-wide biomarkers of ICT response, we obtained transcriptome data for 534 tumors, comprising 4 ICT-treated patient cohorts from 3 tumor types (gastric cancer[18], urothelial cancer[19] and melanoma[20,21]; **Figure 1** and **Methods**). Since about half of MSI tumors are expected to respond to ICT, as compared to <10% in microsatellite stable (MSS) tumors[2,22,23], we further supplemented the discovery cohort using large cohorts of treatment-naïve MSI/MSS tumors. We obtained the transcriptomic profiles of 952 tumors from 3 tumor types in TCGA with the highest frequency of MSI tumors (colorectal cancer, gastric cancer and endometrial cancer, **Figure 1**). As Epstein–Barr virus (EBV)-positive gastric tumors are also enriched for ICT responders[18,23], we grouped MSI and EBV tumors together as the responder-enriched group for gastric cancer. In total, the discovery cohort comprised of 1,486 tumors from 5 cancer types.

Tumor transcriptome deconvolution to identify features of ICT response in cancer and stromal cells

We performed tumor transcriptome deconvolution to estimate the stromal- and cancer-cell expression of each gene in tumors from responders (MSI) and non-responders (MSS) (**Figure 1**). Briefly, we first used a consensus-approach to infer tumor purities based on transcriptomic and genomic data (where available, Methods). For each ICT response group, we estimated gene expression levels in stromal and cancer cells using a non-negative least squares regression approach[17,24]. Finally, we identified cancer/stromal-cell differentially expressed genes (DEGs) between ICT responders and non-responders using a permutation-based statistic (**Figure 1, Supplementary Figure 1**).

Top stromal genes associated with ICT response are enriched in immune pathways

From this unbiased transcriptome-wide analysis, we identified 59 genes with differential expression in stromal cells across all cohorts and tumor types (**Figure 2A-B, Supplementary Figure 2A & C, Methods**). Among these DEGs, 51 (86%) had immune-related functions as defined by the Gene Ontology (GO; see Methods), significantly higher than random expectation (p < 2.2e-16, Fisher's Exact test). Stromal DEGs include genes involved in: immune checkpoint signaling (e.g. *CD274, LAG3, CTLA4*), interferon gamma signaling (e.g. *IFNG, IRF1, STAT1*), T-cell effector function (e.g. *PRF1, GZMA,* GZMB), and NK-cell mediated cytotoxicity (*KLRD1, KLRC2*). Next, we performed pathway analysis to quantify the enrichment of specific biological functions among the stromal DEGs associated with ICT response. We identified 31 enriched GO biological process terms, all of which were immune related (**Figure 2C**). These 31 terms could be further grouped into 4 clusters based on similarity (see Methods): T cell activation, chemokine signaling, interferon-gamma response, and natural killer cell mediated cytotoxicity (**Figure 2D**).

The top-2 DEGs with the greatest overexpression in ICT responders were chemokines *CXCL9* and *CXCL13*. *CXCL9* is responsible for T-cell trafficking through binding the *CXCR3* receptor on T-cells and has been associated with increased T-cell infiltration in tumors[25]. *CXCL13* is involved in both B-cell and T-cell migration via binding to the *CXCR5* receptor. Production of *CXCL13* by T follicular helper cells (T$_{FH}$) is essential for tertiary lymphoid structure formation at tumor sites as well as germinal center B cell activation[26], which has been linked with anti-tumoral response to ICT[27]. Consistent with our meta-analysis, recent studies have also proposed *CXCL9* and *CXCL13* as key predictors of improved ICT response[28,29]. Remarkably, these two chemokines were also highlighted by a recent meta-

analysis that evaluated existing biomarkers of ICT response[30], suggesting that *CXCL9* and

CXCL13 are indeed the strongest individual transcriptomic predictors of ICT response

independent of tumor type.

Stromal genes negatively associated with ICT response

Although high immune infiltration is generally associated with better ICT response[11,31,32],

our analysis uncovered a group of immune-related genes consistently associated with poor

ICT outcomes across tumor types. Among these genes, *FCER1A* showed the strongest and

most consistent overexpression in ICT non-responders. *FCER1A* encodes a subunit of the IgE

receptor. While *FCER1A* is an established marker of basophils, mast cells and dendritic cells,

it is also expressed on immunosuppressive M2 macrophages[33] and tumor associated

macrophages (TAMs)[34]. *CH25H*, a gene encoding enzyme cholesterol 25-hydroxylase that

catalyzes the formation of 25-hydroxycholesterol (25-HC), was also up-regulated in tumors

of ICT non-responders. Interestingly, 25-HC is produced by macrophages in response to

type-I interferon signalling and has numerous immunological effects including B-cell

chemotaxis, macrophage differentiation, and regulation of inflammatory response[35]. We

also observed two natriuretic peptides, NPR1 and NPR3, among genes up-regulated in ICT

non-responders. Although natriuretic peptides function primarily to regulate electrolytes,

they are expressed in immune cells and there is increasing evidence of their role in

inflammation and immunity[36].

Immune cell subtype analysis identifies M1 macrophages as strong correlate of ICT

response

To identify immune cell populations associated with ICT response, we estimated the

immune cell composition of each tumor using Cibersortx[37] and compared the abundance of

immune cell subsets between ICT responders and non-responders. M1 macrophages, T-

follicular helper (Tfh), and activated CD4 memory T-cells had higher abundance in ICT

responders as compared to non-responders (**Figure 3A, Supplementary Figure 3**). M1

macrophages are known to be pro-inflammatory and anti-tumoral[38]. A recent study found

M1 macrophage infiltration to be predictive to ICT response in urothelial cancer[39]. Our

results further demonstrate that M1 macrophage infiltration could be a general feature of

ICT response across multiple cancer types. Tfh cells are specialized CD4+ T cells that aid the

formation of germinal centers in tertiary lymphoid structures (TLS)[40], interacting with B-cells

to activate antibody responses[41] and enhancing CD8+ T-cell effector functions[42]. While the

presence of Tfh cells has been associated with favorable survival in multiple cancer

types[27,41-43], their putative role in ICT response has not been studied. Our analysis also

demonstrated that while resting CD4 memory T-cells were enriched in non-responders,

activated CD4 memory T-cells were enriched in ICT responders. This suggests that pre-

existing CD4+ T-cell immunity is important for effective anti-tumoral response upon ICT

treatment.

Next, we examined associations between the top DEGs and infiltration of specific

immune cell subsets. We found that the top gene expression markers of good ICT response

were generally associated with abundance of M1 macrophages, CD4+/CD8+ T-lymphocytes,

and activated NK cells (**Figure 3B, Supplementary Figure 4**). Using orthogonal expression

data of immune cells subtypes from flow cytometry and single-cell RNA-seq experiments,

we confirmed that *CXCL9* expression was strongly associated with M1 macrophage

infiltration, while *CXCL13* and *IFNG* expression were strongly associated with T-cell

infiltration (**Supplementary Figure 5**). Interestingly, the DEGs associated with poor ICT

response, including FCER1A, were correlated with resting state of mast cells, CD4+ memory

T cells, and dendritic cells, suggesting that these genes are likely markers of a quiescent or

immune-suppressive microenvironment (**Figure 3B, Supplementary Figure 4**).

Cancer intrinsic features of ICT response tend to be tumor type specific

Previous studies have proposed multiple mechanisms by which cancer cells may directly

suppress tumor immunity and impede ICT therapy[14,44]. Surprisingly, our meta-analysis

across tumor types revealed a paucity of DEGs associated with ICT response in cancer cells.

In cancer cells, we identified 9 genes differentially expressed between ICT responders and

ICT non-responders across tumor types (**Figure 4A, Supplementary Figure 2B & D**). In

addition, we observed that the differential expression signal for these 9 genes was mostly

driven by the MSI ICT-responder-enriched cohorts. As compared to stromal DEGs (**Figure**

2A), the signal for the cancer DEGs was less consistent across the distinct ICT cohorts (**Figure**

4A). Therefore, we hypothesize that the cancer DEGs identified by the meta-analysis are

likely related to biology of MSI tumors, rather than general mechanisms of ICT response. We

also confirmed that the paucity of cancer cell DEGs, as compared to stroma cell DEGs,

persisted regardless of the significance cutoff in our meta-analysis (**Figure 4B**), and we were

not able to identify functional enrichment or relationships among cancer DEGs.

Next, we conducted the same DEG analysis within individual tumor types to explore

the potential for tissue-dependent processes involved in ICT response. For melanoma, we

only identified 22 cancer DEGs shared between the 2 melanoma cohorts, and these genes

were not enriched in specific pathways. In gastric cancer, we identified 190 cancer ICT

response DEGs across the gastric ICT cohort and TCGA cohort. Interestingly, genes

associated with poor ICT response were enriched for angiogenesis-related functions (**Figure**

4C), with pro-angiogenic genes ENG, F2R and PDGFRB overexpressed in ICT non-responders

(MSI/EBV) compared to responders (MSS) (**Figure 4D**). These data are consistent with the

understanding that angiogenesis can be associated with immune suppression[45], suggesting

that a pro-angiogenic environment may impede ICT response in gastric cancer. In urothelial

cancer we found that cancer DEGs were enriched for neuron-related functional terms

(**Figure 4E**), with neuronal genes overexpressed in ICT responders compared to ICT non-

responders (**Figure 4F**). This observation is concordant with a previous study linking the

neuronal expression subtype[46] of urothelial cancer with poor overall survival but high

response rate to ICT[47].

Overall, while these results indicate an overall paucity of tissue-agnostic cancer-cell-

intrinsic features of ICT response, they also highlight the potential for tissue and tumor-type

specific expression signatures in cancer cells that may predict ICT response.

Lack of cancer intrinsic immune checkpoint regulation across cancer types

To further investigate if specific ligand-receptor interactions between cancer and immune

cells may promote primary ICT resistance, we systematically investigated the expression of

30 known immune checkpoint ligand-receptor pairs in both the cancer and stromal

components of the TME (**Figure 5A**). We found stromal overexpression of 13 checkpoint

receptors and 2 checkpoint ligands to be associated with ICT response across cancer types.

Checkpoint ligands PD-L1 and PD-L2 and their receptor PD-1 were coordinately upregulated

in the stroma of ICT responders compared to ICT non-responders. PD-L1 (*CD274*) and PD-1

were significantly upregulated in the stroma of ICT responders in 6 out of 7 cohorts studied

(p-value<0.05, permutation test), while PD-L2 was upregulated in 5 out of the 7 cohorts

(**Supplementary Figure 6).** Interestingly, no checkpoint ligands were consistently

differentially expressed and associated with ICT response in cancer cells across tumor types.

In addition to immune checkpoints, cytokines also play important roles in tumor

immunity[48-50]. We examined the expression of 13 pairs of cytokines and cytokine receptors

known to be involved in tumor immunity[48,50], aiming to identify cytokine signals associated

with ICT response (**Figure 5B**). Similar to our analysis for immune checkpoints, we found

that cancer-intrinsic cytokine expression was not associated with ICT response across tumor

types. However, six cytokines (*IFNG*, *FASLG*, *CXCL13*, *CCL5*, *CXCL10* and *CXCL9*), and the

corresponding receptors for three of the cytokines (*CCR5* for *CCL5*, *CXCR3* for *CXCL9* and

CXCL10) were significantly upregulated in the stroma of ICT responders, consistent with

previous observations[11,21]. Overall, our analysis finds no evidence for a universal mechanism

by which cancer cells inhibit immune cells via checkpoints interactions or

chemokine/cytokine signaling.

A 3-feature classifier robustly predicts ICT response across cancer types

Next, we explored if we could build an accurate predictor of ICT response based on the

consensus DEGs identified earlier (**Figure 6A**). We focused on the 59 stroma-specific DEGs

(**Figure 2A**) as they were more consistent across tumor types, in comparison to cancer DEGs,

and therefore more likely to be generalize to unseen cancer types. While a stroma

transcriptomic signature would likely reflect the state and favorability of the tumor

microenvironment for ICT response, TMB is likely a proxy of the tumor immunogenicity.

Since the stroma DEGs and TMB likely represent different facets of tumor immunity, we

hypothesized that these could be orthogonal predictors of ICT response and therefore

considered both factors for the predictive model. To identify the best predictive features of

ICT response, we used LASSO logistic regression with 10-fold cross-validation to select

robust features from the set of 59 stromal DEGs and TMB (**Figure 6A**). The final model was

built using the top-3 features: TMB, *IFNG* expression, and *FCER1A* expression (**Figure 6B**).

The model was trained on 461 samples from the ICT discovery cohorts with both

transcriptomic and genomic data. Next, we evaluated the model performance on 2

independent test cohorts. Test cohort 1 consisted of 49 tumors from melanoma patients

treated with the PD-L1 inhibitor nivolumab[51]. Test cohort 2 consisted of 87 tumors from 20

solid cancer types treated with a mix of ICT agents[52]. We calculated the areas under the

receiver operating curve (AUC) of our model, and benchmarked it against published

biomarkers of ICT response, namely CXCL9+TMB[30], T-cell GEP[11], TIDE[13,53], TMB alone[2,8], and

PD-L1 expression[3]. Intriguingly, our 3-feature predictor outperformed all existing biomarkers.

On test cohort 1, the 3-feature model achieved an AUC of 0.66, compared to 0.62 for

CXCL9+TMB, 0.64 for T-cell GEP, 0.44 for TIDE, 0.55 for PD-L1 expression, and 0.57 for the

TMB-only model. On test cohort 2, the model achieved an AUC of 0.72, compared to 0.67

for CXCL9+TMB, 0.63 for T-cell GEP, 0.32 for TIDE, 0.61 for PD-L1 expression, and 0.55 for

the TMB-only model (**Figure 6C**). This demonstrates that *FCER1A,* a novel negative

biomarker of ICT response identified from our transcriptome-wide analysis, contribute

additional predictive power of ICT response over existing biomarkers.

Discussion

In this study, we performed a transcriptome-wide meta-analysis of ICT response in 1486

tumors. These tumor samples included 534 tumors with annotated clinical response to ICT

treatment, and 952 tumors from cancer types enriched for MSI and ICT-responding tumors.

Using *in silico* tumor transcriptome deconvolution, we analyzed gene expression signatures

of cancer and stromal cells and identified differentially expressed genes (DEGs) associated

with ICT response across tumor types. Strikingly, this meta-analysis revealed a paucity of

ICT-response DEGs in cancer cells as compared to stromal cells. The few cancer DEGs that

we identified by the meta-analysis were more likely related to the biology of MSI tumors

and their DNA mismatch repair deficiency rather than being general determinants of ICT

response. In contrast, our analysis revealed 59 stroma-specific ICT-response DEGs, which

were strongly enriched in immune-related pathways and processes (**Figure 7**).

The two chemokine ligands, *CXCL9* and *CXCL13*, constituted the top-2 stromal genes

overexpressed in ICT responders. Underlining the validity of our approach, these two genes

have been highlighted for their roles in anti-tumor immunity and association with favorable

ICT response in multiple recent studies[28-30]. Previous studies suggest CXCL13 may play dual

roles in ICT response. First, CXCL13 is produced by Tfh cells and involved in B-cell

organization in TLS [26], and the presence of TLS can be a positive predictor of ICT

response[27,42]. Second, *CXCL13* has been reported to be highly expressed by neo-antigen

reactive CD8+ T-cells directly involved in cancer cell killing [54,55]. In line with these findings,

we found enrichment of both Tfh and CD8+ T-cells in tumors of ICT responders compared to

non-responders across cancer types (**Figure 3,7**). Additionally, the abundance of these two

cell types correlates with *CXCL13* expression. In agreement with recent work, we found that

CXCL9 expression is strongly correlated with M1 macrophage infiltration[28,56], and M1

macrophages were one of the most differentially enriched immune cell types in ICT

responders (**Figure 3a**). Although myeloid infiltration has traditionally been associated with

immune suppression[38], our data is consistent with the hypothesis that M1 macrophage

infiltration, and macrophage polarization, could be an important determinant of tumor

sensitivity to ICT.

Intriguingly, we also identified a set of immune-related genes that were negatively

associated with ICT response. Among these genes, *FCER1A* and *CH25H* have previously been

reported to be over-expressed in anti-inflammatory M2 macrophages[33,57], and FCER1A+

TAMs have been reported to promote tumor progression by engaging in a positive feedback

loop with tumor initiating cells[34].

Interestingly, we observed an enrichment of the resting states of CD4 memory T-

cells, dendritic cells and mast cells in ICT non-responders. In contrast, the activated states of

these cell types are enriched in the tumors of ICT responders (**Figure 3B**). Furthermore,

while *FCER1A* is expressed in antigen presenting cells like dendritic cells and macrophages,

we noted that its expression is 25 times higher in resting dendritic cells compared to

activated dendritic cells, and 14 times higher in M2 macrophages compared to M1

macrophages[37]. These results suggest that a pre-existing immunosuppressive

microenvironment, associated with resting immune cells and high *FCER1A* expression, may

prevent effective anti-tumor immune responses in ICT non-responders.

Analyses of immune checkpoint and cytokine ligand-receptor interactions revealed

coordinated upregulation of several ligand-receptor pairs in the stroma of tumors from ICT

responders, including genes encoding PD-L1/PD-L2 and their receptor PD-1, CXCL9/CXCL10

and their receptor CXCR3, and CCL5 and its receptor CCR5 (**Figure 5, Figure 7**). In contrast,

we did not find consistent expression changes of checkpoint proteins and cytokines in

cancer cells of ICT responders. Currently, PD-L1 expression on both cancer cells and

infiltrating immune cells are used as biomarkers to stratify patients for ICT[14]. Our study

provides evidence that PD-L1 expression on immune cells could be a more consistent

determinant of ICT response across tumor types. Among chemokines upregulated in ICT

responders, CXCL9, CXCL10, and CXCL13 promote lymphocyte recruitment, while CCL5 is a

chemoattractant of myeloid cells. CCL5 recruits CCR5+ blood monocytes and neutrophils

that can differentiate into immunosuppressive tumor associated macrophages and

neutrophils[48,49]. In addition, CCL5 also has direct pro-tumoral effects on cancer cells,

promoting cancer proliferation and metastasis[48,49]. Preclinical and clinical studies suggested

that targeting the CCL5-CCR5 axis could enhance anti-tumoral response[58,59]. As *CCL5* and

CCR5 are both overexpressed in ICT responders, our result suggests that targeting CCL5-

CCR5 signaling in conjunction with ICT might further potentiate anti-tumoral immune

response.

341   Using the stromal consensus DEGs identified from the meta-analysis, we developed

a model to predict ICT response from gene expression data and TMB. This 3-feature model

comprised of a single positive marker of immune infiltration (*IFNG*), a single negative marker

of immune suppression (*FCER1A*), in combination with TMB. The model robustly predicted

ICT response across both the discovery cohort and 2 independent test cohorts,

outperforming existing gene expression signatures for ICT response. While ideal pan-cancer

biomarkers of ICT response should be robust across patient cohorts, we noticed that the Liu

et al. melanoma cohort did not share many DEGs with the other cohorts, including other

melanoma cohorts. This result highlights significant heterogeneity between ICT cohorts,

even among cohorts of the same tumor type. It is unclear to what extent this heterogeneity

arise from patient selection, differences in ICT regime, differences in prior treatments,

different sample collection protocols, or differences in gene expression assays. This

highlights the need for large, consistently selected patient cohorts, with standardized gene

expression profiling to further improve biomarker discovery.

355   As cancer cells are under negative selective pressure from the immune system,

several mechanisms of immune escape by cancer cells have been proposed: (i) cancer cells

down-regulate antigen presentation by deleting HLA alleles[44], (ii) cancer cells deplete

neoantigens to avoid detection[60], (iii) oncogenic signaling may up-regulate PD-L1 expression

and suppress T-cell recruitment[61]. However, the prevalence of these immune evasion

mechanisms are still unclear. A recent meta-analysis found no association between loss of

heterozygosity of HLA alleles and ICT response across ICT cohorts[30]. Furthermore, a pan-

cancer analysis failed to detect neo-antigen depletion signals in most tumor types[62].

Similarly, we found a lack of conserved cancer-intrinsic mechanisms of immune evasion on

the transcriptomic level. These results suggest that cancer cells adopt diverse mechanisms

of immune evasion, with no universal strategy adopted by cancer cells to avoid immune-

mediated killing. The growing number of molecularly characterized ICT cohorts will enable

further exploration of the importance and prevalence of the different cancer-intrinsic

immune evasion strategies in determining ICT response.

Methods

Clinical cohorts treated with ICT

Data from the following studies were used for discovery:

1. Kim et al.[18] cohort comprises of 45 metastatic gastric cancer patients treated with PD-1 inhibitor pembrolizumab

2. Mariathasan et al.[19] cohort comprises of 298 metastatic urothelial cancer patients treated with PD-L1 inhibitor atezolizumab

3. Liu et al. [21] comprises of 118 metastatic melanoma patients treated with PD-1 inhibitors nivolumab or pembrolizumab

4. Gide et al. [20] comprises of 73 metastatic melanoma patients treated with anti-PD-1 alone (nivolumab or pembrolizumab, or with anti-CTLA-4 (nivolumab or pembrolizumab with ipilimumab)

Data from the following studies were used for validation:

1. Riaz et al. [51] comprises 49 advanced melanoma patients treated with PD-L1 inhibitor nivolumab.

2. Pender et al.[52] comprises of 87 metastatic tumors from 20 solid cancer types treated with a mix of ICT agents.

Gene expression data collection and normalization

Expression data of TCGA colorectal (COAD, READ), gastric (STAD) and endometrial (UCEC) tumors were downloaded from the UCSC Xena repository. Raw genomic and transcriptomic data of Kim et al.[18] gastric ICT cohort were downloaded from the European Nucleotide Archive, and processed using the bcbio nextgen pipeline. Briefly, RNAseq reads were aligned to the human transcriptome using STAR[63], and TPM counts were quantified using SALMON[64].

Processed transcriptomic data of Mariathasan et al. (urothelial)[19], Liu et al. (melanoma)[21],

Gide et al. (melanoma)[20], Riaz et al. (melanoma)[51], and Pender et al. (mixed)[52] ICT cohorts

were obtained from the original publications. Genes with 0 expression in more than 50% of

the samples were removed. All gene expression data were log2 transformed and upper

quantile normalized across all cohorts.

Tumor purity estimation

Purity estimates of TCGA tumors were obtained from a previous publication[17], where

consensus purity was estimated from mutation allele frequencies, copy number variations

and RNA profiles of each tumor. Tumor purity of samples from ICT cohorts were estimated

using PUREE[65], a machine learning method for tumor purity estimation from bulk RNA

transcriptomics. For the Kim et al. gastric ICT cohort[47] where genomic data is also available,

we calculated consensus purity estimates based on both genomic and transcriptomic data.

We first computed purity estimates using 2 RNA-based methods (PUREE[65] and Estimate[66]),

and 2 DNA-based methods (Sequenza[67], PurBayes[68]). Then, we performed quantile-quantile

normalization on the purity estimates of individual methods, and took the mean normalized

purity estimate as the consensus purity.

MSI status and ICT response classification

MSI status of TCGA tumors were obtained from two previous pan-cancer studies of

microsatellite instability[9,10]. EBV status of the TCGA STAD tumors was obtained from a

previous TCGA study on gastrointestinal cancers[69].Clinical annotations of ICT response

based on the RECIST criteria were obtained from the original studies. Consistent with recent

literature[19,30], we classified "CR/PR" as responders and "SD/PD" as non-responders.

Expression deconvolution

We modelled bulk tumor expression as the sum of cancer and stroma expression, weighted

by cancer purity[17]. This can be written as,

$$y_j = c_j p + s_j (1 - p) + \varepsilon_j$$

where y_j is a n x 1 vector of bulk tumor expression for gene j in n samples, c_j and s_j are the

average cancer- and stroma-specific expression for a gene j, p is n x 1 vector of cancer purity,

and ε_j is the residual. Given bulk expression (y_j) and tumor purity (p), non-negative least

squares (NNLS) regression can be used to estimate cancer- (c_j) and stroma-specific (s_j)

expression for each gene. c_j and s_j represent estimates of mean cancer- and stroma-specific

expression for a gene j for $p_c = 1$ and $p_s = 0$, respectively. The standard error of these

predicted values for gene j is calculated as,

$$SE_{j,h} = \sqrt{MSE + \left(1 + \frac{1}{n} + \frac{(p_h - \bar{p})^2}{\sum(p_i - \bar{p})^2} \right)}$$

where $p_h = p_c = 1$ for cancer-specific expression and $p_h = p_s = 0$ for stroma-specific

expression; MSE is the mean square error.

Permutation statistic to identify differentially expressed genes

To test differences in cancer (or stroma) expression between two response groups (e.g.

$c_{Rj} - c_{NRj}$ for gene j), the above approach is applied to each group separately, and a test

statistic is calculated as,

$$Z^2_{obs,j} = \left(\frac{c_{Rj} - c_{NRj}}{\sqrt{SE^2_{Rj} + SE^2_{NRj}}} \right)^2$$

442   To assess significance under the null hypothesis of no difference in cancer (stroma)

443   expression between the 2 response groups, a null distribution is generated by permutation,

444   where group labels are first randomly shuffled 10,000 times and the above procedure is

445   applied to each permuted dataset, generating a null distribution of Z^2_{perm} statistics. A p-

446   value is calculated as,

$$p = P\left(Z^2_{perm} \geq Z^2_{obs}\right)$$

That is, the probability of observing Z^2_{obs} or larger, given the null hypothesis is true.

Identification of consensus DEGs across cancer types

For each gene and each tumor compartment (caner or stroma), a meta p-value is computed

by combining the permutation p-values of all cancer cohorts using Fisher's method. We

used the Bonferroni correction to adjust for multiple testing to maintain the overall α at

0.01. Genes that are differentially expressed in different directions in at least 2 cohorts were

removed. Finally, to eliminate DEGs that are involved in MSI biology but are not directly

associated with ICT response, we require the final list of consensus DEGs to be differentially

expressed in at least 1 ICT cohort (nominal p-value<0.1).

Pathway enrichment analysis

To find out if the DEGs identified are enriched in specific biological processes, pathway enrichment analysis was performed using ClueGo[70] with default parameters. Briefly, two-sided-hypergeometric statistic was used to compute the enrichment of a given list of DEGs in GO biological processes terms, and the resulting p-values were corrected for multiple testing using the Bonferroni step down approach. To aid interpretation, significant biological terms with high overlap as measured by the kappa coefficient were merged into functional groups. To calculate the enrichment of stroma DEGs in immune-related functions, we defined immune-related genes as genes in the "immune system process" term and its child terms in the GO biological processes dataset. Additionally, we manually curated stroma DEGs not labeled as immune genes by GO, and further labeled *FCER1A*, *GZMH*, *FCRL6*, *SIRPG*, *JAKMIP1*, and *WARS* as immune-related genes based on literature search.

Building a predictive model of ICT response

We used 461 patients from 3 discover cohorts (Mariathasan et al[19], Liu et al[21], Kim et al.[18]) with both transcriptomic data and TMB as training data. TMB was obtained from the original publications and standardized into Z-scores (mean=0, standard deviation=1). To identify the most predictive features of ICT response, we used the least absolute shrinkage and selection operator (LASSO) to select features from the 59 stroma DEGs identified earlier and TMB. LASSO penalizes the sum of the absolute values of the regression coefficients, shrinking some coefficients to zero, and thereby identifies a simpler and more interpretable model. The regularization parameter λ was chosen by 10-fold cross-validation such that the error of the selected model was within 1 standard deviation from the minimum error. LASSO regression and cross validation were performed using the 'glmnet' package in R. To

select for the most robust features, we bootstrapped 100 samples with 90% of the data in

each bootstrap, and selected features that are found by at least 75% of the bootstraps for

the final model. The final logistic regression model is built on all 461 training samples using

the features selected by LASSO (TMB+IFNG+FCER1A).

Benchmarking ICT prediction model against existing biomarkers

T-cell GEP scores were calculated from normalized RNAseq data using the weights provided

by Ayers et al. 2018[11]. TIDE scores were calculated from normalized RNAseq data using the

TIDE web platform[53]. The CXCL-9+TMB model was trained on our training cohort using

logistic regression.

Immune cell enrichment analysis

Immune deconvolution was performed using CibersortX (with the LM22 signature matrix)[37]

to estimate the absolute abundance of immune cell subsets for each tumor. Immune cell

subsets that are differentially infiltrated between responders and non-responders were

identified using the Wilcoxon rank-sum test.

Data and code availability

The code used to generate figures in the manuscript is provided in **Supplementary Data 1**.

Acknowledgements

This study was supported by the Singapore Ministry of Health's National Medical Research

Council under its OF-IRG program (OFIRG18may-0075) and Singapore Agency for Science,

Technology and Research (A*STAR) under its Biomedical Engineering Programme

(C221118001 and C221318004)

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Figure 1. Data summary and schematic of workflow for the analysis of determinants of immunotherapy response.

Figure 2. **Differentially expressed genes and pathways between responders and non-responders in the stroma.** **(A)** Heatmap of genes consistently differentially expressed across discovery cohorts in the stroma. Heatmaps are colored by the log2 fold change of gene expression. *P*-values of individual cohorts are combined using the Fisher's method, and the -log10(meta p-value) is presented in the bar plot. Genes with q-value<0.01 and *p*-value<0.1 at least 1 ICT cohort are shown. **(B)** Bar plots of deconvoluted stromal expression of the top differentially expressed genes. Error bars represent estimated standard error. **(C)** Pathway enrichment of differentially expressed genes in the stroma. Bar plot shows the -log10(p-value) of significantly enriched pathways. Similar pathways are grouped by color. (D)

Network representation of enriched pathways. Large nodes represent enriched pathways. Pathways with high similarity are fused and shown in the same color. Small nodes represent genes associated with each pathway.

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715 **Figure 3**. **Immune cell type enrichment analysis.** Abundance of immune cell types of each
716 tumor is estimated using Cibersortx. **(A)** Heatmap of cell types analyzed. Heatmap is colored
717 by the signed -log10(p-value) of the difference in Cibersortx scores between responders
718 (MSI) and non-responders (MSS). **(B)** Correlations between the abundance of immune cell
719 types with the gene expression of top stromal biomarkers.
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Figure 4. **Differentially expressed genes between responders and non-responders in the**
cancer compartment. **(A)** Heatmap of genes consistently differentially expressed across
discovery cohorts in the cancer cells. Heatmaps are colored by the log2 fold change of gene
expression. *P*-values of individual cohorts are combined using the Fisher's method, and the -
log10(meta p-value) is presented in the bar plot. Genes with q-value<0.01 and *p*-value<0.1
at least 1 ICT cohort are shown. **(B)** Bar plot shows the number of significant genes found in
the stroma and cancer compartment at different q-value cutoffs. Pathway analysis of genes
with cancer-specific expression differences in **(C)** gastric cancer and **(E)** urothelial cancer.
Bar plots of deconvoluted cancer expression of differentially expressed genes in the top
enriched pathway in **(C)** gastric cancer and **(E)** urothelial cancer. Error bars represent
estimated standard error.
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740 **Figure 5**. **Analysis of ligand and receptor expression of immune modulators.** Heatmaps of
741 the inferred ligand and receptor expression in the cancer and stroma compartments for **(A)**
742 immune checkpoint proteins and **(B)** cytokines. Heatmaps are colored by the signed -
743 log10(*p*-value) of differential expression.
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Figure 6. **Predictive modeling of response to immune checkpoint inhibition. (A)** Schematic of data and methods used in model training and testing. **(B)** Top ranked features of ICT response selected by LASSO logistic regression. **(C)** Comparisons of the performance of the TMB+*IFNG*+*FCER1A* model and existing biomarkers in 2 independent test cohorts.

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756 **Figure 7. Schematic summarizing the key components associated with ICT response.** Red
757 arrows indicate gene positively correlated with ICT response. Green arrow indicates gene
758 negatively correlated with ICT response.
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