Novel automaticity index reveals a cognitive ability-related decline in gait automaticity during dual-task walking.

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Abstract

Gait automaticity refers to the ability to walk with minimal recruitment of attentional networks typically mediated through the prefrontal cortex (PFC). Reduced gait automaticity is common with aging, contributing to an increased risk of falls and reduced quality of life. A common assessment of gait automaticity involves examining PFC activation using near-infrared spectroscopy (fNIRS) during dual-task (DT) paradigms, such as walking while performing a cognitive task. However, neither PFC activity nor task performance in isolation measures automaticity accurately. For example, greater PFC activation could be interpreted as worse gait automaticity when accompanied by poorer DT performance, but when accompanied by better DT performance, it could be seen as successful compensation. Thus, there is a need to incorporate behavioral performance and PFC measurements for a more comprehensive evaluation of gait automaticity. To address this need, we propose a novel automaticity index as an analytical approach that combines changes in PFC activity with changes in DT performance to quantify gait automaticity. We validated the index in 173 participants ($\geq$65 y/o) who completed DTs with two levels of difficulty while PFC activation was recorded with fNIRS. The two DTs consisted of reciting every other letter of the alphabet while walking over either an even or uneven surface. We found that as DT difficulty increases, more participants showed the anticipated decrease in automaticity as measured by the novel index compared to PFC activation. Furthermore, when comparing across individuals, lower cognitive function related to worse automaticity index, but not PFC activation or DT performance. In sum, the proposed index better quantified the differences in automaticity between tasks and individuals by providing a unified measure of gait automaticity that includes both brain activation and performance. This new approach opens exciting possibilities to assess participant-specific deficits and compare rehabilitation outcomes from gait automaticity interventions.

1 Introduction

The ability to move around in the community is essential for independent living (Patla and Shumway-Cook, 1999; Rosso et al., 2013; Sheppard et al., 2013; Rantakokko et al., 2016). Successful community mobility requires gait automaticity (Clark et al., 2014; Van Swearingen and Studenski, 2014; Brustio et al., 2018), which refers to the automatic control of walking with minimal recruitment of attentional networks primarily residing in the prefrontal cortex (PFC) (Van Swearingen and Studenski, 2014; Clark, 2015). Gait automaticity declines with age (Gschwind et al., 2010; Montero-Odasso et al., 2011; Van Swearingen and Studenski, 2014; Clark, 2015) and may contribute to an increased risk of falls (Gschwind et al., 2010; Montero-Odasso et al., 2011). Therefore, it's important to have a standardized way to quantify gait automaticity to help evaluate fall risks and promote mobility in older adults.

Gait automaticity can be evaluated by behavioral or neurophysiological assessments. The behavioral assessment uses dual-task walking (DT, i.e., walking and performing a cognitive task simultaneously) and compares the performance in DT relative to single-task (ST, i.e., either walking or performing a cognitive task) (Paul et al., 2005). High automaticity is reflected by similar walking and cognitive performance in DT and ST (Paul et al., 2005; Brauner et al., 2021; Longhurst et al., 2022). When walking is automatic, it is assumed to require minimal attentional resources resulting in no impact on the participant's performance on the cognitive task (Clark, 2015). The neurophysiological approach more directly measures the attentional resources that are required to complete the task. The attentional resources are usually quantified by the cortical activation of the PFC (Holtzer et al., 2011; Clark, 2015; Herold et al., 2017; Menant et al., 2020) using noninvasive brain imaging technologies such as functional near-infrared spectroscopy (fNIRS).

DT paradigms integrated with fNIRS-based PFC measurements provide a useful avenue to assess gait automaticity. However, it is challenging to interpret PFC measurements and task performance independently during automaticity assessments. Specifically, a small change in PFC activation from ST to DT has opposing interpretations depending on task performance. Namely, a small increase in PFC activation alongside good task performance may indicate high automaticity (Clark, 2015). In contrast, when a small increase in PFC activation is coupled with poor task performance, it could indicate inefficiency in recruiting neural resources or the task is beyond capacity, as suggested by the CRUNCH model (Reuter-Lorenz & Cappell, 2008). Similarly, a large increase in PFC activation from ST to DT yields different interpretations contingent on task performance. A larger increase in PFC activation paired with maintained task performance could imply successful compensation from PFC for other brain regions whose structures and integrities have declined with aging, as suggested by several theories such as the HAROLD and STAC models (Cabeza, 2002; Reuter-Lorenz and Cappell, 2008; Park and Reuter-Lorenz, 2009; Maillet and Rajah, 2013; Fettrow et al., 2021). Conversely, when a large increase in PFC activation is paired with poor task performance, it could represent unsuccessful compensation or dedifferentiation, i.e., non-task-specific overactivation (Cabeza, 2002; Cabeza and Dennis, 2012; Festini et al., 2018; Fettrow et al., 2021). Therefore, there is a need to consider PFC activation and task performance simultaneously to characterize gait automaticity more accurately.

Given the interrelation of PFC activation and behavioral performance, analyzing them together may provide a more comprehensive measure of an individual's gait automaticity than examining

either alone. To address this need, we propose a novel automaticity index that combines PFC
activity and DT performance to quantify gait automaticity. The objective of the study was to test
the ability of the index to differentiate the change in automaticity 1) between tasks within the same
participants, and 2) between participants. For objective 1, to compare between tasks, we computed
the automaticity index in older participants ($\geq$65 years of age, n=173) who completed two
difficulty levels of DT. We expected worse automaticity index at greater levels of task difficulty.
For objective 2, to compare between participants, we tested if individual's cognitive abilities,
measured by Mini-Mental State Exam scores, were related to automaticity. We anticipated that
better cognitive ability would be correlated with better automaticity and that associations would
be stronger for the automaticity index compared to either behavioral or neurophysiological
measures alone.

2   Materials and methods

2.1   Participants

The data included participants from three previously published studies: Program to Improve
Mobility in Aging, n = 42 (Brach et al., 2020); Neural Mechanisms of Community Mobility, n =
29 (Aizenstein et al., 2008); and Move Monongahela-Youghiogheny Healthy Aging Team, n =102
(Ganguli et al., 2010). The 3 datasets included similar experimental protocols but were collected
for different purposes across two different lab spaces with two different fNIRS systems (same
model) and with different experimenters.

All study participants were at least 65 years old, able to walk unassisted, and had no major
neurological conditions. More details of the medical conditions and inclusion criteria were
reported in the published studies. The Institutional Review Board at the University of Pittsburgh
approved the studies, and all participants gave written informed consent.

2.1.1 Participant Characteristics

In all studies, age, sex, race, and highest level of education were self-reported. General cognitive
ability was assessed using the Mini-Mental State Exam (MMSE), a commonly used screening
instrument for cognitive impairments (Folstein et al., 1975). For participants from the Program to
Improve Mobility in Aging study, the Modified Mini-Mental State (3MS) Test was collected and
the MMSE scores were derived from the 3MS scores by extracting only items equivalent to the
MMSE and recalculating a score.

2.2   Experimental Paradigm

Participants performed single or dual tasks on an oval track with a 15-meter straight walkway on
each side. One side had a standard surface (even) and the other side had wood prisms underneath
carpets (uneven; Hoppes et al., 2020; Thies et al., 2005). All participants performed four
experimental trials and each trial included a pseudo-randomized sequence of four conditions
outlined here: two single tasks (ST) and two dual tasks (DT) (Fig. 1). The order was pseudo-
randomized such that walking continued along the oval track. Single tasks included a motor single-
task, walking on the even surface (walk), and a cognitive single-task, standing while reciting every
other letter of the alphabet starting from B (standABC; Brandler et al., 2012; Holtzer et al., 2011;

Verghese et al., 2012). The single tasks were used as a reference to compute the change in
performance during DT to account for the different baseline abilities of each participant. The DT
conditions required performing the cognitive task while walking on the even (evenABC) or uneven
surfaces (unevenABC). The unevenABC condition was considered a harder DT condition than the
evenABC because of the increased challenge of balancing and walking on the uneven floor surface.
138
The task duration was 20 seconds for standABC. The duration for the motor ST (walk) and both
DTs (evenABC and unevenABC) varied depending on the time the participant took to walk over
the 15m straightway. Every condition was preceded by a quiet standing for 20 seconds, which was
used as the baseline for fNIRS recordings. The quiet standing allowed the hemodynamic response
to return to rest level such that relative changes in oxygenation of the blood could be computed for
each task condition compared to quiet standing.

2.3   Data Collection

146 Motor performance was quantified by gait speed (m/s). Gait speed is computed as the distance
147 (15m) divided by the time it took to walk the 15-meter walkway where time was measured by a
148 stopwatch. Cognitive performance was quantified by the rate of correct letters of the alphabet
149 generated per trial duration (correct letters/s). Average motor and cognitive performance for each
150 condition across the four trials is reported.
151
PFC activation was measured using fNIRS which measures changes in blood oxygenation based
on the distinct light absorption properties of oxygenated (Hbo) and deoxygenated (Hbr)
hemoglobin (Miyai et al., 2001; Perrey, 2014; Hoppes et al., 2020). Participants wore an eight-
channel continuous wave fNIRS headband (Octamon, Artinis Medical Systems, Netherlands) over
their forehand during the entire experiment. The headband contained two detectors and eight
sources covering both the left and right PFC regions. Near-infrared light transmitted at 840 nm
and 760 nm was used to detect changes in Hbo and Hbr. Data was sampled at 10Hz and collected
with the OxySoft software (Artinis Medical Systems, Netherlands).

2.4   fNIRS Data Analysis

fNIRS data was processed using the NIRS Brain AnalyzIR toolbox (Santosa et al., 2018) in
MATLAB (Mathworks, Natick, Massachusetts). Light intensity was first converted to Hbo and
Hbr measurements using the modified Beer-Lambert law with a partial path length factor of 0.1.
A canonical model with the condition timing and duration was applied. The model was solved
with an iteratively autoregressive pre-whitening least square approach to minimize motion artifacts.
A student's t-test was then performed on the regression coefficients and the t-score represents the
changes in Hbo or Hbr in each task compared to baseline quiet standing. The results across four
trials for the eight channels covering the whole PFC were combined using the toolbox to generate
one ΔHbo and one ΔHbr for each participant for each task relative to quiet standing. Typically, an
increase in PFC activity will be represented by an increase in oxygenated hemoglobin (i.e., a
positive ΔHbo value) and a decrease in deoxygenated hemoglobin (i.e., a negative ΔHbr value).
172
173 ΔHbo often has a stronger signal-to-noise ratio (Menant et al., 2020) and therefore was used as the
174 main measure of PFC activation for the remainder of the article. However, the same approach
175 applies to both ΔHbo and ΔHbr measurements.

2.5 Automaticity index

The goal of the automaticity index is to create a single monotonic axis that combines performance and PFC activation to estimate automaticity where a larger index will always represent worse automaticity. Worse automaticity is reflected by more interference between dual tasks where they compete for the same attentional resources (Paul et al., 2005), leading to decreased task performance and increased recruitment of attentional resources (i.e., higher PFC activation).

The automaticity index combining cortical activation and performance is defined as the following:

$$AutomaticityIndex = Gain * PFC_{Activation} \qquad (Eq. 1)$$

$$where\ Gain = f(\Delta Performance) = e^{-\alpha * \Delta Performance}$$

$$\alpha = hyperparameter\ regulating\ the\ impact\ of\ \Delta Performance\ on\ the\ AutomaticityIndex$$

$$\Delta Performance = \frac{\Delta Motor}{Motor_{ST}} + \frac{\Delta Cognitive}{Cognitive_{ST}} = \frac{Speed_{DT} - Speed_{ST}}{Speed_{ST}} + \frac{Rate_{DT} - Rate_{ST}}{Rate_{ST}}$$

$$ST = single\text{-}task\ conditions\ (walk\ or\ standABC)$$

$$DT = dual\text{-}task\ conditions\ (evenABC\ or\ unevenABC)$$

$$Speed = gait\ speed\ (m/s),\ measures\ motor\ performance$$

$$Rate = rate\ of\ correct\ letters\ of\ alphabet\ generated\ (letters\ /s),\ measures\ cognitive\ performance$$

The automaticity index is generated by scaling PFC$_{Activation}$ with a gain that is a function of the hyperparameter, α, and performance change, $\Delta Performance$. Figure 2 demonstrates the process to compute the automaticity index using one of the datasets with three example participants highlighted to visualize the transformation of the data.

The performance change, denoted as $\Delta Performance$ (Fig. 2A), is computed as the combined changes in cognitive and motor performances from ST to DT, normalized to performance in domain-specific ST (standABC and walk, respectively). The normalization accounts for individual differences in walking speed and alphabet performance at baseline. Cognitive and motor performances are weighted equally in $\Delta Performance$ to account for the different strategies employed by the participants during dual tasking since no specific prioritization instruction was given (Verghese et al., 2007; Yogev-Seligmann et al., 2010; Laguë-Beauvais et al., 2015). The definition of $\Delta Performance$ is similar to dual-task cost in existing literature (Brauner et al., 2021; Longhurst et al., 2022). A more negative $\Delta Performance$ value indicates performance was worse (i.e., participants walked slower and/or generated fewer correct alphabet letters) during DT compared to ST. Conversely, a positive $\Delta Performance$ represents better performance in DT compared to ST.

A negative sign was added before $\Delta Performance$ because we expected performance to decrease during DT (i.e., $\Delta Performance \leq 0$; Fig. 2A, majority of data points < 0). The negative

221    $\Delta Performance$ reflects cognitive-motor interference during DT, which is expected in
222   populations where gait automaticity is reduced, such as older adults.
223
The exponential weighting in the gain is chosen such that 1) performance decreases
($\Delta Performance < 0$) scale the automaticity index up, with a large decrease weighted much more
than a small decrease (Fig. 2B), 2) performance improvements ($\Delta Performance > 0$, less
common occurrences; Fig. 2B) scale the automaticity index down, but to a much smaller extent,
and 3) unchanged performance will result in an index that is equal to the PFC activation. We
weighted the gain in this way so that the index would more sensitively discriminate between
participants with decreased rather than increased DT performance, as decreased performance with
increasing task challenge is expected and is particularly relevant in the participant populations
where gait automaticity is most often studied.
233
234   The hyperparameter α in the gain equation regulates the impact of $\Delta Performance$ on the
235   automaticity index. α was optimized to minimize outliers while maximizing the sensitivity to
236   reductions in gait automaticity as the task difficulty increased from the evenABC to unevenABC
237   task. Specifically, the objective function is defined as:

238   Objective function $= \%(\text{AutomaticityIndex}_{\text{unevenABC}} > \text{AutomaticityIndex}_{\text{evenABC}}) - \%\text{outliers}$
239
Outliers were defined as values more than three scaled median absolute deviations from the median,
which is a robust measure of dispersion and outliers (Leys et al., 2013). The objective function
value was evaluated for $\alpha \in [0, 5]$ with 0.1 increments. The optimal α was chosen as the smallest
α that maximizes the objective function. At the start of the search range ($\alpha = 0$), the gain from
$\Delta Performance$ is equal to 1 and the automaticity index is equal to the PFC activation, which is
the current standard in the field. The upper bound of the search range $\alpha = 5$ was chosen
heuristically for this dataset.
247
248   To maintain the monotonic property of the automaticity index and the relative differences across
249   people and conditions, we turned all $\text{PFC}_{\text{Activation}}$ values positive by shifting all PFC activity data,
250   i.e., ΔHbo at DT compared to ST, by an offset (Fig. 2C, D), specifically:
251
252    $$PFC_{activation} = f(Raw\ PFC\ Activities) \qquad\qquad \text{(Eq. 2)}$$
253
254    $$= f(\Delta \text{Hbo})$$

255    $$= \Delta\text{Hbo} + \min(\Delta\text{Hbo}) + \varepsilon, \text{ where } \varepsilon > 0$$
256
We shifted all PFC activation values by the minimum value across participants and tasks and then
included an offset ($\varepsilon = 1$) such that the minimum PFC activation would be different than zero (Eq.
2). This was done to keep the automaticity index (the scaled PFC activation) monotonic while
maintaining the relative difference in PFC activation across participants. Notice that Eq. 2 is a
linear operation. Thus, the specific value of ε doesn't impact the results. In this case, we chose ε
= 1 for simplicity (Fig. 2D). Since the shift eliminates the sign of Hbo changes, our measure cannot
determine how automaticity changes with respect to rest. However, it maintains the relative
differences between tasks within a participant and across participants. In other words, the linear

operation does not impact the goal of the automaticity index, which is to compare gait automaticity
across tasks and individuals. A higher value before or after the shifting will always represent more
PFC activation during the task.
268
269 If we were to use Hbr to represent the PFC activation, Hbr is first sign flipped to maintain the
270 convention where higher $PFC_{activation}$ always represents more PFC activity.
271
Figure 2 demonstrates the flowchart for computing the automaticity index using one of three
included datasets. The blue participant is a typical example that behaves as we expected from the
task design. As task difficulty increases, the blue participant decreased performance (Fig. 2A,
negative slope) and increased PFC activation (Fig. 2C, D, positive slope), suggesting the harder
task had more interference between walking and the alphabet tasks and required more attentional
resources. In other words, the harder task was performed with less automaticity. As a result, the
harder task had a larger automaticity index (Fig. 2E, positive slope). In contrast, the yellow
participant increased performance (Fig. 2A) and decreased PFC activation (Fig. 2C, D) as the task
became harder, suggesting the harder task was performed with improved automaticity (Fig. 2E,
lower value in automaticity index for the harder task). This could result from the participant was
not fully engaged in the easy task or the overall task design not being challenging enough.
283
The automaticity index is also effective in differentiating between participants. The red and blue
participants had similar patterns of performance change (Fig. 2A, negative slopes for both) and
PFC activation (Fig. 2C, D, positive slopes), but the red participant achieved better performance
than blue ($\Delta Performance$ red > blue in both tasks). This observation suggests that with similar
PFC resources, the red participant utilized the resources more effectively to achieve better
performance. Consequently, the red participant should be considered to have better automaticity
than the blue participant, i.e., lower automaticity index values (Fig. 2E, red much smaller than
blue).

2.6 Statistical Analysis

293 For objective 1, to assess how each metric captured the anticipated task-difficulty changes in gait
294 automaticity, we descriptively compared the automaticity index, PFC activation, and
295 ΔPerformance by reporting the percentage of participants following the expected trend for each
296 metric as task difficulty increases.
297
For objective 2, linear regressions were performed to test if the independent variable, MMSE
scores, is related to the dependent variables, automaticity index, PFC activation, and performance
respectively, while adjusting for demographics: age, sex, race, and highest level of education.
Since the variables are on different scales, all variables were first standardized as Z-scores before
the model fitting. Variance inflation factor (VIF) was computed to assess the multicollinearity
among the independent variables in the adjusted models. Variables with VIF < 10 would be kept
in the multiple regression models. Model significance was determined by F-test comparing the
regression model with a constant model. To compare the impact of MMSE across the models, the
standardized β is reported. Briefly, the standardized β represents in standard deviation unit how
much a unit increase in MMSE will impact the dependent variable. We reported the ordinary R^2
values, the p-values for the models, and standardized coefficient estimates with their respective

standard deviations and p-values. One-sample Kolmogorov-Smirnov test was performed to check
the normality of the standardized residuals.
311
Two participants did not follow the instruction to recite every other letter of the alphabet. A
sensitivity analysis was included to test the impact of removing these two participants on the fitting
of the models adjusted for demographics as well as on our conclusions. All analyses were
performed in MATLAB and a statistical significance of $\alpha = 0.05$ was used.

3   Results

Demographics, cognitive test results, cognitive and motor task performances, and fNIRS
measurements of PFC activation in dual tasks are shown for the full sample in Table 1. Among the
22 non-white participants, one participant identified as Asian, one identified as American Indian
or Alaskan Native, 19 identified as Black, and one identified as mixed or other race. Among the
participants with education less than or equal to high school, only one participant's highest level
of education was less than high school.

3.1   Automaticity index increases as task difficulty increases.

By experimental design, a harder task should require more attentional resources, i.e., increased
PFC activation (Fig. 3A top left panel) and have poorer performance (Fig. 3B top left panel),
resulting in worse automaticity, i.e., increased automaticity index (Fig. 3A and 3B bottom left
panels).
328
Only 57% of participants increased PFC activation as the task became harder (Fig. 3A top middle
panel), showing no clear evidence of increased attentional control as task difficulty increased by
this measure alone. However, 73% of participants increased automaticity index (i.e., had worse
automaticity) as task difficulty increased (Fig. 3A bottom middle panel), matching our anticipated
result that more challenging tasks would be performed with lower automaticity. Notice that the
increase from 57 to 73% of participants following our expectation was primarily due to the grey
participants whose PFC activation unexpectedly decreased with increased task difficulty (Fig. 3A
top right). These grey participants recruited less PFC as the task became harder, but their
performance declined, suggesting that PFC resources were not being used as effectively as needed
by the task demand, which indicates reduced automaticity in the harder task.
339
In comparison, a larger percentage of participants (71%) decreased their performance (combined
cognitive and motor performance) as the task became harder (Fig. 3B top middle panel), which
was expected. A smaller percentage had better task performance during the more difficult task
(29%, Fig. 3B top right panel). Some of these participants with improved task performance moved
to the expected direction when using the automaticity index (grey in Fig. 3B bottom middle panel),
perhaps identifying individuals who improved their performance at a cost of greater PFC
recruitment. The increased PFC recruitment could represent a compensatory strategy to cope with
the task demand, which correspond to reduced automaticity.
348
349   In summary, as task difficulty increases, more participants showed the expected decrease in
350   automaticity as measured by the automaticity index and Δ Performance compared to PFC

351 activations, suggesting that automaticity index and ΔPerformance are more sensitive to the
352 between task differences within the participants.

3.2 Greater automaticity index is related to worse cognitive function.

354 All independent variables (MMSE score, age, sex, race, and highest level of education) had VIF
355 less than 10 and therefore were all kept in the multiple regression models.
356
As expected, higher MMSE was associated with lower automaticity index at both tasks (Table2;
evenABC: R^2=0.06, p = 0.002; unevenABC: R^2=0.05, p = 0.003) and this association at the easier
task was robust to adjustment by age, sex, race, and highest level of education (Table 3; Figure 4;
evenABC: R^2=0.09, p = 0.02). The association between MMSE and automaticity index was
slightly weaker in the adjusted model at the harder task(Table 3; Figure 4; unevenABC: R^2=0.07,
p = 0.06). Higher MMSE was also associated with lower PFC activation at both tasks (Table 2;
evenABC: R^2=0.03, p = 0.02, $\beta = -0.18 \pm 0.08$; unevenABC: R^2=0.02, p = 0.02, $\beta = -0.13 \pm$
0.08), but these relations were either weaker (Table 3; evenABC: R^2=0.07, p = 0.06, $\beta = -0.13 \pm$
0.08) or not statistically significant (Table 3; unevenABC: R^2=0.04, p = 0.40, $\beta = -0.10 \pm 0.08$)
after adjusting for covariates.
367
368 The association between MMSE and gait automaticity had the largest variance accounted for and
369 largest standardized β magnitude across both tasks in the unadjusted (Table 2; evenABC: $\beta =$
370 -0.23 ± 0.07, $p = 0.002$; unevenABC: $\beta = -0.22 \pm 0.07$, $p = 0.003$) and adjusted (Table 3;
371 evenABC: $\beta = -0.20 \pm 0.08$, $p = 0.01$; unevenABC: $\beta = -0.19 \pm 0.08$, $p = 0.02$) models.
372
The result remains robust after removing the two participants who did not follow instructions to
recite every other letter of the alphabet. The models between MMSE and automaticity index still
had the largest variance accounted for and largest standardized β magnitude across both tasks
(Task evenABC: AutomaticityIndex $R^2 = 0.10$, $\beta = -0.21$, PFCActivation: $R^2 = 0.07$, $\beta =$
-0.13, ΔPerformance: $R^2 = 0.03$, $\beta = 0.13$. Task unevenABC: AutomaticityIndex $R^2 = 0.08$, $\beta =$
-0.20, PFCActivation: $R^2 = 0.04$, $\beta = -0.10$, ΔPerformance: $R^2 = 0.05$, $\beta = 0.13$).
379
380 In sum, MMSE score was more strongly correlated with automaticity index than with PFC
381 activation or performance. The association between MMSE scores and automaticity index had the
382 largest standardized β magnitude and variance explained across both DT difficulties. The result is
383 robust to adjustments for covariates and not sensitive to the removal of participants who did not
384 follow instructions.

4 Discussion

386 We combined measures of PFC activation and DT performance to create a monotonic index to
387 quantify gait automaticity. We showed that the automaticity index better captured the task
388 difficulty related change in automaticity and was more strongly related to general cognitive
389 function than either PFC activation or DT performance alone. In summary, the proposed index
390 was effective at differentiating 1) between tasks, and 2) between participants.

4.1 Task performance contributes valuable information to the automaticity index when
comparing task difficulties.

When comparing task difficulties, we expected the harder task to show worse automaticity; specifically, the more challenging task will require increased attentional demand from the PFC and result in decreased performance due to greater interference between the motor and cognitive tasks. This expected change would be reflected as an increase in automaticity index, an increase in PFC activation, and a decrease in performance. We observed that 73% of participants increased automaticity index and 71% decreased performance as expected, but only 57% of participants increased PFC activation from evenABC to unevenABC. The result confirms the unevenABC task was more challenging to the participants, given the majority of the participants decreased performance. However, this increased challenge was not always reflected in PFC activation alone.

Multiple reasons could explain why participants did not increase PFC activation with increasing task demands: inefficient recruitment of necessary neural resources, the task exceeding capacity (Reuter-Lorenz and Cappell, 2008), or disengagement from the task such that the participant didn't even try to cope with the difficulty. In addition to individual differences, the inconsistency in the PFC activity could also be attributed to measurement noise from fNIRS such as physiological changes and skin properties (Vitorio et al., 2017; Menant et al., 2020). This observation emphasizes the heterogeneity of PFC response despite consistent behavioral performance and the importance of incorporating behavioral performance when interpreting PFC activity.

Our finding that DT performance contains crucial information when assessing automaticity aligns with previous studies showing that DT performance is related to older adults' mobility (Montero-Odasso et al., 2012; Verghese et al., 2012; Rosso et al., 2019a) and cognitive abilities (Holtzer et al., 2006; Rosso et al., 2019b; Brauner et al., 2021). Nevertheless, performance alone does not quantify automaticity since automaticity is defined by proficient performance alongside minimal neural inputs from the attentional and executive control center (Clark, 2015). In other words, the engagement of attentional resources during a typically automatic task such as walking is a signature of reduced automaticity (Clark, 2015; Van Swearingen & Studenski, 2014). Moreover, most theoretical models emphasize the importance of examining the activation patterns of PFC to understand cognitive aging (Reuter-Lorenz and Cappell, 2008; Park and Reuter-Lorenz, 2009; Festini et al., 2018). Considering fNIRS is a relatively new technology with fast-evolving instrument design and analysis techniques (Perrey, 2014), future studies should keep considering performance and PFC activity together using the automaticity index to improve quantification of gait automaticity.

4.2   Poorer automaticity index was related to lower general cognitive function.

When comparing across participants, we showed that lower cognitive function, measured by MMSE score, is related to lower automaticity index in both DT difficulties. The results suggest that the automaticity index was more sensitive to individual characteristics that could impact automaticity than PFC activation or performance, which are existing metrics to quantify automaticity.

However, we noticed that the variance explained by the models (R^2) is relatively low. The fit remains roughly the same with or without adjusting for age, sex, and highest level of education, suggesting that most of the variance in the automaticity index and PFC activity was not explained by the demographics and cognitive abilities. The low R^2 is not unexpected as gait automaticity depends on the subcortical circuits, which were not measured with fNIRS during walking (Wu and

438 Hallett, 2005; Rosano et al., 2007; Clark, 2015). Thus, complementary imaging data about the
439 integrity of the subcortical circuits, including volume or circuitry connectivity, might account for
440 the unexplained variance in the model.
441
In our study, MMSE was not related to ΔPerformance. In comparison, a prior study (Brauner et
al., 2021) has found that MMSE score is associated with ΔPerformance. Of note, ΔPerformance is
a component in calculating the automaticity index, but we were not able to observe a direct
association between MMSE score and ΔPerformance in our study. Several factors could contribute
to this difference, including 1) a different dual-task paradigm was used and 2) the population
recruited might be different. Specifically, the prior study had an older cohort than we did ($\geq$ 85
years old vs. mean 73.93 ± 5.65 in our sample).

4.3 Automaticity index provides a unified measure that combines behavioral performance and PFC activation.

Performance in DT walking is a commonly used metric to assess gait automaticity, but
performance alone doesn't reflect the cortical input required. For instance, the same performance
can be achieved with little effort, i.e., automaticity, or with significant effort from the attentional
resource center. Neurophysiological measures, such as PFC activation, provide more insights into
the cortical inputs supporting the observed performance. However, prior research on PFC signals
during walking has shown inconsistent results (Yeung and Chan, 2021). Both increased (Holtzer
et al., 2011; Mirelman et al., 2017) and decreased (Beurskens et al., 2014) PFC activity during DT
walking have been reported. These findings are hard to reconcile without considering task
performance.
460
The automaticity index provides a solution by establishing a unified axis that combines
performance and PFC activation. The increased PFC activity observed by Holtzer et al., 2011 and
Mirelman et al., 2017 could indicate either increased reliance on the attentional center to
compensate for the loss of automaticity, resulting in maintained task performance, or
dedifferentiation in the neural signals, leading to poor task performance. In the automaticity index,
the increased PFC activity would be scaled down for maintained performance to reflect successful
compensation and scaled up for poor task performance to reflect unsuccessful compensation or de-
differentiation. The decreased PFC activity reported by Beurskens et al. (2014) could represent
either inefficiency of resource utilization, leading to poor task performance, or maintained
automaticity, resulting in good performance. These differences would be reflected in the
automaticity index where the same PFC activity would be scaled up for the reduced performance
scenario to reflect the inability to recruit necessary resources.

5 Limitations

One main limitation is that the automaticity index can only be computed for study designs with at
least two distinct task difficulties because parameter optimization α requires at least two
difficulties. Additionally, even though the proposed method to compute the automaticity index can
be applied to any dual-task paradigm with at least two levels of task difficulties, the datasets used
here to test the index all performed the same dual-task walking paradigm (i.e., walking and reciting
alternating letters of the alphabet with or without an uneven surface). It has been shown that dual-
task modality can impact performance and assessment results differently (Beurskens et al., 2014;

Tsang et al., 2022). Therefore, it is important to verify that the metric is robust to varying task
designs in future studies. Lastly, the participants recruited are relatively healthy in their cognitive
abilities (MMSE mean $\pm$ SD: 28.42 $\pm$ 1.83, min = 21), which may not reflect the full population
of community-dwelling older adults (Jacqmin-Gadda et al., 1997). Future studies can assess if the
findings are generalizable to populations with a wider range of cognitive abilities.

6   Conclusions

Gait automaticity is crucial for safe community mobility and automaticity is an important
rehabilitation target to restore walking function and independence. However, a standard and robust
assessment for gait automaticity is lacking. We addressed the need to better quantify gait
automaticity using a novel approach to combine both DT performance and PFC activation into an
automaticity index. We demonstrated the efficacy of the index by achieving two objectives;
specifically, better differentiating differences in automaticity 1) between tasks within participants,
and 2) between participants based on overall cognitive function. The index revealed a decrease in
automaticity as task difficulty increased which was not evidenced by PFC activation. Furthermore,
the index captured the cognitive ability-related differences in automaticity better than PFC
activation or DT performance alone. In summary, the automaticity index provided a standard
metric to characterize gait automaticity in a dual-task walking paradigm. The standardized metric
will allow better quantification of the effectiveness of interventions aimed at improving
automaticity and facilitate future studies to investigate the connections between automaticity and
other participant-specific characteristics.

Competing interests

502   The authors have no conflicts of interest to report.
503

Author Contributions

SL was involved with study conception, design of the index equations, data analysis and
interpretation, and drafting of the manuscript. AR was involved with study conception, data
interpretation, and revision of the manuscripts, and provided feedback on the rational of the
index. EB collaborated in study conception, data curation, data interpretation, and manuscript
revision, and provided feedback on the design and rationale of the index. AW collaborated in the
data interpretation and manuscript revision and provided feedback on the rationale of the index.
CR reviewed and revised the manuscript. GT was involved with the study conception, design of
the index, data interpretation, finding supervision, and manuscript revisions. All authors
reviewed and approved the final version of the manuscript.

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Data Availability Statement

The raw data supporting the conclusions of the article will be made available by the authors upon
request. The source code supporting the analysis and conclusion of the article is available at
https://github.com/PittSMLlab/AutomaticityIndex.git.

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A. Experimental Paradigm

Dual-Task (DT) Walking Assessments (x4 Trials)

B. Task conditions performed in randomized order in each trial

Motor single-task (ST) (**walk**)

functional near-infrared spectroscopy (fNIRS)

Speed (m/s)

Even Surface

Cognitive ST (**standABC**)

B, D, F, ...

Rate (correct # of alphabet generated/s)

Easy DT (**evenABC**)

B, D, F, ...

Even Surface

Hard DT (**unevenABC**)

B, D, F, ...

Uneven Surface

Figure 1. Experiment Protocol. (A) Overall protocol. Participants performed four trials of dual-task (DT) assessments. **(B)** Each trial includes four task conditions presented in pseudo-random order. The four tasks are: a motor single-task (ST): walking on an even surface, a cognitive ST: standing and reciting every other letter of the alphabet (standABC), and two DTs: reciting every other letter of the alphabet while walking on an even (evenABC) or uneven (unevenABC) surface. Motor performance is measured by gait speed (m/s) and cognitive performance is measured by the rate of correct letters generated. Prefrontal cortex activation was measured by functional near-infrared spectroscopy throughout the trial.

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Figure 2. Schematics and flowchart illustrating the process to compute the automaticity index. The
displayed example is one of the included datasets (n = 29) with 3 example participants highlighted (yellow,
red, and blue). The evenABC (easier dual task, DT) task is represented by hollow circles and the
unevenABC task is marked with filled circles. **(A)** Normalized performance change from single-task (ST)
baseline to each DT. The performance combines both motor and cognitive performances. A positive value
indicates performance is better in DT than ST. **(B)** Calculation of the gain from ΔPerformance. A large
decrease in performance is mapped to a large gain (yellow empty circle). **(C)** The raw measure of PFC
activity, which are t-scores representing changes in oxygenated hemoglobin (ΔHbo) concentration from
rest to each DT. A positive value indicates increased Hbo concentration in DT compared to rest standing.
A negative value indicates a decrease in Hbo concentrations in DT from rest. **(D)** The second term in the
automaticity index equation, PFC$_{Activation}$, simply shifts raw prefrontal cortex (PFC) activity values to be
above or equal to 1. **(E)** The computed automaticity index, which is the multiplication of the gain **(B)** and
the PFC Activation **(D)**.

A. Automaticity index vs Prefrontal Cortex (PFC) activation

Increase PFC activation — Decrease PFC activation

B. Automaticity index vs ΔPerformance in Dual-Task (DT) compared to Single-Task (ST)

Decrease ΔPerformance — Increase ΔPerformance

Figure 3. Descriptive comparison between automaticity index, PFC activation, and ΔPerformance as task difficulty increases. (A) Comparison between automaticity index and PFC activation at each dual-task (DT) relative to rest. Blue always represents participants who showed an expected increase in PFC activation (top middle panel) and grey always represents participants who decreased PFC activation (top right) as the task became more difficult. Colors in the bottom panel represent how participants from different groups at the top panel moved into different categories (increase or decrease) in automaticity index. Notice that some participants with an unexpected decrease in PFC activation will now have an expected increase in automaticity index after considering performance (grey in the top right moved to the bottom middle panel). **(B)** Comparison between automaticity index and ΔPerformance. Blue always represents participants who showed an expected decrease in ΔPerformance as the task becomes harder (top middle panel) and grey always represents the ones who increased ΔPerformance (top right). Colors in the bottom panel represent how participants from different ΔPerformance at the top panel moved into different categories (increase or decrease) in automaticity index (bottom panel).

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Figure 4. Model fitting between MMSE scores and automaticity index, PFC$_{Activation}$, and
ΔPerformance after adjusting for age, sex, race, and highest level of education. Actual vs.
fitted value for each model in the evenABC task **(A)** and unevenABC task **(B)** are shown.
Significant models are shown with a red line for $y = \hat{y}$. Trending models are shown in yellow text.
A perfect-fitting model would have actual and fitted values following the $y = \hat{y}$ line. Only the
associations between MMSE and automaticity index in the easy task difficulty was significant.
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759 **Table 1. Demographic, clinical assessment results, task performances, and PFC activation**
760 **(Hbo) of the study participants.** All data are shown as mean±SD except for Sex, which is binary,
761 Race, and Highest level of education, which are categorical.

Variables	Mean (SD) or n (%)
Sample size	173
Age (years)	73.9 ± 5.6
Female, n (%)	108 (62.4%)
Race, n(%)	
White	151 (87.3%)
Non-white	22 (12.7%)
Highest level of education, n (%)	
Less than or equal to high school/equivalent	43 (24.9%)
College	87 (50.3%)
Postgraduate	43 (24.9%)
Mini-Mental State Exam (MMSE) score (max 30)	28.4 ± 1.8
Gait speed at walk (motor single-task, m/s)	0.97 ± 0.14
Gait speed at evenABC (m/s)	0.83 ± 0.17
Gait speed at unevenABC (m/s)	0.78 ± 0.16
Rate of correct alphabet letters at standABC (cognitive single-task, letters/s)	0.58 ± 0.14
Rate of correct alphabet letters at evenABC (letters/s)	0.62 ± 0.15
Rate of correct alphabet letters at unevenABC (letters/s)	0.59 ± 0.13
Change in oxygenated hemoglobin (ΔHbo) from rest to evenABC (t-stats)	1.88 ± 2.75
ΔHbo from rest to unevenABC (t-stats)	2.43 ± 3.22

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Table 2. Unadjusted regression models of different gait automaticity measures with MMSE (n=173). Significant models are highlighted in red.

Model	R^2	Model p-value	Standardized β_{MMSE} (Estimates $\pm$ SE)	β_{MMSE} p-value
evenABC Task				
AutomaticityIndex$_{evenABC}$	0.06	0.002	-0.23 $\pm$ 0.07	0.002
PFCActivation$_{evenABC}$	0.03	0.02	-0.18 $\pm$ 0.08	0.02
ΔPerformance$_{evenABC}$	0.005	0.34	0.07 $\pm$ 0.08	0.34
unevenABC Task				
AutomaticityIndex$_{unevenABC}$	0.05	0.003	-0.22 $\pm$ 0.07	0.003
PFCActivation$_{unevenABC}$	0.02	0.02	-0.13 $\pm$ 0.08	0.02
ΔPerformance$_{unevenABC}$	0.005	0.36	0.07 $\pm$ 0.07	0.36

Table 3. Multivariable regression models of different metrics to quantify gait automaticity with MMSE (n=171) adjusted for age, sex, race, and highest level of education. Significant models are highlighted in red, and trending (p<0.1) models are highlighted in yellow.

Model	R^2	Model p-value	Standardized β_{MMSE} (Estimates $\pm$ SE)	β_{MMSE} p-value
evenABC Task				
AutomaticityIndex$_{evenABC}$	0.09	0.02	-0.20 $\pm$ 0.08	0.01
PFCActivation$_{evenABC}$	0.07	0.06	-0.13 $\pm$ 0.08	0.10
ΔPerformance$_{evenABC}$	0.02	0.77	0.08 $\pm$ 0.08	0.30
unevenABC Task				
AutomaticityIndex$_{unevenABC}$	0.07	0.06	-0.19 $\pm$ 0.08	0.02
PFCActivation$_{unevenABC}$	0.04	0.40	-0.10 $\pm$ 0.08	0.21
ΔPerformance$_{unevenABC}$	0.01	0.90	0.07 $\pm$ 0.08	0.41