Mucilage produced by sorghum (*Sorghum bicolor*) aerial roots supports a

nitrogen-fixing community

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Total Word Count for the main body of the text 6,051 words (Introduction: 668; Materials and

Methods: 1,365; Results: 2,452; Discussion: 1,566)

Number of color figures: 5

Number of tables: 0

Number of supplementary figures: 3

Number of supplementary tables: 6

Key Words: Biological Nitrogen Fixation, Sorghum, Mucilage, Diazotrophs, Microbiome, Aerial

Roots.

Summary

- Sorghum (*Sorghum bicolor*) is gaining popularity as a sustainable energy crop due to its high biomass and potential for biofuel production. Some rare sorghum accessions develop many aerial roots that produce viscous carbohydrate-rich mucilage after rain.

- This aerial root mucilage is strikingly similar to that observed in specific landraces of maize (*Zea mays*) from southern Mexico, which have been previously shown to host nitrogen-fixing bacteria (diazotroph). The landraces displaying these traits can reduce nitrogen-based fertilizer input, mitigating their negative environmental impacts.

- In this study, we characterized the aerial root development of several sorghum accessions and successfully isolated more than 103 distinct diazotrophs from the sorghum mucilage.

- Using acetylene reduction and ^{15}N gas enrichment assays, we confirmed that sorghum plants acquire nitrogen from the atmosphere through the diazotrophic associations in the mucilage.

- This sorghum symbiotic relationships with diazotrophs offer a promising avenue for nitrogen fixation, potentially diminishing reliance on synthetic fertilizers and promoting sustainable agricultural practices.

Introduction

Nitrogen (N) is essential for plant growth and development. However, atmospheric N_2 is relatively inert and cannot be directly utilized by most plants (Burt, 2013). Biological nitrogen fixation is the conversion of atmospheric N_2 into a usable form, primarily facilitated by specialized bacteria known as diazotrophs (Wagner, 2011). These bacteria synthesize nitrogenase, an enzyme that converts dinitrogen (N_2) into ammonium (NH_4^+), a form that plants can assimilate (Zehr *et al.*, 2003). Biological nitrogen fixation is a vital process in agriculture, enabling certain plants to obtain nitrogen directly from the atmosphere. This process is crucial in enhancing soil fertility and reducing the need for synthetic fertilizers, which are significant problems for sustainable agriculture (Saikia & Jain, 2007; Werner & Newton, 2010; Kumar & Verma, 2019).

Legumes are among the plants that can form associations with diazotrophs via a specialized organ on their roots called nodules, which host bacteria that fix nitrogen in exchange for photosynthetic products (Oldroyd, 2013). Inside the nodule, the oxygen concentration is low, ensuring nitrogenase can operate efficiently. Nitrogenase also requires a significant amount of energy to catalyze the conversion of N_2 to NH_4^+ (Peters *et al.*, 1995). Different approaches are underway to enable nitrogen fixation in cereals, including engineering to integrate genes required to perceive rhizobia or introducing the bacterial nitrogenase into plant cells (Oldroyd & Dixon, 2014; Pankievicz *et al.*, 2019). While the complexity of these strategies makes scientific advancement challenging, the objective is worth pursuing as a long-term solution to global food security and sustainability.

Sierra Mixe maize (*Z. mays* L.) landraces cultivated by Sierra Mixe farmers in Oaxaca, Mexico, secretes carbohydrate-rich mucilage from their aerial roots. This mucilage supports the communities of diazotrophs that provide 29-82% of the plant's nitrogen (Van Deynze *et al.*, 2018; Amicucci *et al.*, 2019; Bennett *et al.*, 2020; Pankievicz *et al.*, 2022). These landraces could serve as the foundation for nitrogen fixation in cereals without genetic engineering. The production of aerial roots is not unique to maize. Aerial roots or brace roots are present in the Andropogoneae and Paniceae tribes that include maize, sorghum (*Sorghum bicolor* (L.) Moench), sugarcane (*Saccharum* spp.), foxtail millet (*Setaria italica* L.*)*, and pearl millet (*Pennisetum glaucum*). They serve multiple functions, including anchorage, water, and nutrient uptake (Hostetler *et al.*, 2021; Sparks, 2023). Sorghum (*S. bicolor*), a versatile and resilient crop, has gained significant attention as a promising bioenergy crop. Its ability to thrive in diverse climatic conditions, including

drought-prone regions, makes it an attractive option for sustainable energy production (Regassa &

Wortmann, 2014; Rao *et al.*, 2019). Sorghum's high biomass yield and rapid growth rate contribute

to its potential as a bioenergetic feedstock (Rooney *et al.*, 2007; Mullet *et al.*, 2014). Efficient

water usage and lower input requirements than other energy crops add to its potential as a

sustainable crop (Moore *et al.*, 2021). In addition, sorghum increases its biomass when inoculated

with specific plant growth-promoting bacteria (PGPB), predominantly those belonging to the

genera *Azospirillum*, *Paraburkholderia,* and *Herbaspirillum* (Smith *et al.*, 1984; Pereira *et al.*,

1989; Pacovsky, 1990; dos Santos *et al.*, 2017; dos Reis Antunes *et al.*, 2019; Kuramae *et al.*,

2020).

Despite the similarity of sorghum's mucilage-producing aerial roots to those in maize

known to host nitrogen-fixing diazotrophs, evidence of N-fixation in sorghum has not previously

been reported. Images of aerial roots of sorghum covered with mucilage, as well as a micrograph

depicting *Azospirillum brasilense,* were featured in the abstract book of a conference on N-fixation

in cereals hosted by the International Crops Research Institute for the Semi-Arid Tropics, India

(ICRISAT) in 1984 but no data were published. The similarity between these sorghum aerial roots

and those from the Sierra Mixe maize prompted us to explore N-fixation in sorghum aerial roots.

To this end, we explore the diversity of aerial roots in accessions sorghum and the production of

mucilage and their microbiome. We demonstrated that, like the maize landraces from the Sierra

Mixe, specific sorghum accessions also acquired nitrogen from their aerial roots by the

microbiome established in their mucilage.

Materials and methods

Plant material and phenotyping

Sorghum accessions were selected from the sorghum minicore (Upadhyaya et al., 2009) and

augmented with accessions kindly provided by Dr. Vetriventhan Mani and Dr. Vania C. R.

Azevedo from the International Crops Research Institute for the Semi-Arid Tropics (ICRISAT,

Hyderabad, Telangana, India) (**Supplementary Table 1**). The plants were cultivated in pots

containing Sungrow media in the Walnut Street Greenhouses at the University of Wisconsin-

Madison with temperatures at 25 ˚C during the day and 17 ˚C at night. The plants were started on

a long daylight regimen (14/10), and once the plants were 1-1.5 m tall, then were switched to short

days (12/12) due to their photoperiod sensitivity. Sorghum plants were watered with municipal

water or NPK fertilizer. At the flowering stage, when aerial roots were visible on many nodes, the

root diameter of 3 roots at the top node was measured with a digital caliper, as well as the number

of nodes forming aerial roots and the number of aerial roots formed on the top node. Overhead

water was applied, and 30 minutes after the water application, the mucilage volume of three young

and old aerial roots was quantified. The same accessions were grown in West Madison Agricultural

Research Station (43.0610329663, -89.5325872441) and University of Florida North Florida

Research and Education Center - Suwannee Valley near Live Oak, FL (30.313277, 82.902158) in

1.5 m rows, with 10 cm between plants, and 76 cm between rows. Only the number of nodes and

root diameter were phenotyped in the field experiments.

Bacterial isolation from sorghum

Mucilage samples were collected from a West Madison Agricultural Research Station field trial in

September 2022. The mucilage samples were inoculated in BMGM semisolid medium (Estrada de

Los Santos, 2001) and incubated at room temperature for one week. After that, the microaerophilic

pellicles formed were transferred to a new medium and incubated for the same period. This

procedure was repeated seven times. After the last incubation period, the bacteria were inoculated

in a solid BMGM medium supplemented with 0.5 g of yeast extract per liter. The bacteria were

purified in the same medium and stored in glycerol at -80 C. The total collection had 164 isolates

screened by clonal strains using the Box-PCR approach. Box-PCR was performed using the Box-

A1 primer (5'-CTACGGCAAGGCGACGCTGACG-3') (Versalovic *et al.*, 1994), and the PCR

products were subjected to horizontal electrophoresis with agarose gel (1.2% w v^{-1}). The identical

profiles were excluded, and 103 non-clonal strains. The bacterial strains were identified by 16S

rRNA sequencing. The 16S rRNA was amplified by PCR using the primers 27F (5'-

AGAGTTTGATCCTGGCTCAG-3') and 1492R (5'-GGTTACCTTGTTACGACTT-3') and

sequenced in an Applied Biosystems 3500 genetic analyzer at the Functional Biosciences facilities.

The bad-quality sequences were trimmed using Sequence Scanner v. 2.0, and the contigs of almost

complete 16S rRNA sequences were assembled in BioEdit v. 7.7 (Hall, 1999). The sequences were

compared against those deposited within the 16S rRNA reference database of GeneBank using the

BLASTn tool (https://blast.ncbi.nlm.nih.gov/Blast.cgi). The maximum likelihood phylogenetic

tree was constructed using MEGA 11 software (Kumar *et al.*, 2018).

Bacterial isolates and growth conditions

Ten strains were used for different experiments (**Supplementary Table 5**). *Azospirillum brasilense* FP2 and FP10 (*nifA*⁻) were kindly provided by Dr. Fábio Pedrosa and Emanuel M. de Souza from UFPR-Brazil (Pedrosa & Yates, 1984). The *Klebsiella variicola* Δ*nifH* mutant was developed by Dr. Maya Venkataraman. *Azospirillum baldaniorum* Sp 245^T, *Klebsiella variicola* A3, *Klebsiella michiganensis* A2, *Azorhizobium caulinodans* ORS571^T, *Stutzerimonas stutzeri* A1501, *Paraburkholderia silvatlantica* SRMrh-20^T, *Azotobacter vinelandii* DJ, *Herbaspirillum seropedicae* SmR1. The strains were grown in LB medium (Vervliet *et al.*, 1975), except for *A. vinelandii*, which was grown in Burk's sucrose medium (Toukdarian and Kennedy 1986). The cells were grown overnight at 30 ˚C while shaking at 130 rpm.

Mucilage glycosyl composition

Glycosyl composition analysis was performed by combined gas chromatography/mass spectrometry (GC/MS) of the per-O-trimethylsilyl (TMS) derivatives from the monosaccharide methyl glycosides produced by acidic methanolysis as described by (Van Deynze *et al.*, 2018). The analysis was performed at the Complex Carbohydrate Center Research Center (CCRC) at the University of Georgia, Athens, USA.

Free sugar quantification

Mucilage was collected at two time points from the sorghum accession IS23992 grown under greenhouse conditions. Benedict's reaction was used to quantify free sugars, as described in Hernandez-Lopez *et al*. (2020). Briefly, Benedict reagent (700 μl) was incubated with sorghum mucilage (30 μl) for 10 mins at 95 ºC. Subsequently, the reaction was centrifuged at 10,000 g for 5 mins. The supernatant was read in a spectrophotometer λ = 740 nm (Bio-Rad SmartSpect^{TM} Plus), and quantification was determined using a glucose standard curve.

Metabolomics

Mucilage samples for metabolome analysis were analyzed at West Coast Metabolomics Center - UC Davis for analysis. Data were normalized and processed with MetaboAnalyst (https://www.metaboanalyst.ca/). This algorithm offers several different methods. Sparse Partial

Least Squares - Discriminant Analysis and Significance Analysis of Microarray were used to
analyze the data.

16S rRNA amplicon sequencing

DNA was isolated from the mucilage samples from sorghum and maize using the FastDNA™
SPIN Kit for Soil (MP Biomedicals) following the manufacturer's instructions. DNA was
quantified using a Nanodrop One (ThemoScientific). The 16S rRNA region was amplified with
the standard bacterial primers 335F and 769R with GoTaq Mastermix (Promega) according to the
manufacturer's instructions. Amplification reaction volumes were $50\,\mu l$ containing $1\,\mu l$ of
$10\,\text{pmol}\,\mu l^{-1}$ primer and 4 ng of template DNA. Thermocycler conditions were as follows: $95°C$
for 1 min, then 35 cycles of $95°C$ for 10 s, $55°C$ for 30 s, and $72°C$ for 30 s, with a final step at
$72°C$ for 5 min. PCR products were separated on an agarose gel and extracted using the GeneJET
Gel Extraction Kit (Thermofisher Scientific). Novogene (Santa Clara, CA, USA) conducted library
preparation and sequencing. Amplicons were sequenced with an Illumina paired-end platform
generating 250 bp paired-end raw reads. OTUs abundance information was normalized using a
standard sequence number corresponding to the sample with the fewest sequences. Subsequent
PCoA and beta diversity analyses were performed based on these normalized data. These data
were processed with QIIME2 (Version 1.7.0) and displayed with R software (Version 2.15.3).

Acetylene reduction assay (ARA)

Nitrogenase activity was evaluated in ten bacterial strains (**Supplementary Table 6**) grown in
sorghum mucilage collected from the greenhouse. The bacteria were grown in three ml of LB
media overnight at 30 ºC and shaken at 180 rpm. Then 30 µl (OD$_{600nm}$ = 0.1) were inoculated in
sterile vials containing three ml of mucilage. Vials were capped and incubated for 24 hours at 30
ºC. The cap was replaced with a crimp cap, and 1 ml of air was replaced with acetylene, followed
by a 3-days incubation period at 30 ºC. Gas chromatography was performed in a GC-2010
(Shimazu) with an HS20 autosampler by injecting one ml of air sample into the equipment.
Controls included a vial with acetylene to check for traces of ethylene and *A. brasilense* FP2
without fixing activity. The indirect measure of the nitrogenase activity was expressed in nmol of
ethylene produced per hour per mL of mucilage.

 ^{15}N gas enrichment

Aerial roots from sorghum accession IS23992 were collected in sterile flasks. These roots were

obtained from a greenhouse with copious amounts of mucilage. *K. variicola A3* and *K. variicola*

ΔnifH mutant were grown in LB medium. Roots with mucilage were inoculated with bacteria (100

μl, $OD_{600nm} = 0.1$) in roots with mucilage. Flasks were injected with $^{15}N_2$ gas to reach a

concentration of 15% (v/v), and flasks were incubated for three days at room temperature (20 ºC).

To determine the ^{15}N enrichment, pheophytin was extracted from aerial roots as described by

(Kahn *et al.*, 2002; Van Deynze *et al.*, 2018). The samples were analyzed by isotope ratio mass

spectrometry (IRMS) at the soil science department at the University of Wisconsin-Madison.

Humidity experiments Greenhouse experiments

Sorghum accessions IS23992 and IS24453 were grown in the Walnut Street Greenhouses at the

University of Wisconsin-Madison in Pro-Mix LP15 medium with temperatures at 28 ˚C in the day

and 25 ˚C at night. Plants were grown under a light regime of 12-hour photoperiod from 6 am to

6 pm. Greenhouses were under high humidity (relative humidity 75%) and low humidity (relative

humidity 30%) controlled with a humidifier system (Smart Fog, Reno, Nevada). The phenotypes

evaluated included number of nodes with roots, the number of roots at the top node, and the root

diameter. The measurements followed the previously described procedure.

Statistical analyses

All the statistical analyses were conducted in R version 4.2.1 and 2.15.3 (Foundation for Statistical

Computing). ANOVA and Tukey's HSD were conducted with the package ggpubr (Version 0.6.0)

and agricolae (Version 1.3-5). Microbiome studies were performed with QUIIME2 (Version 1.7.0)

(Caporaso *et al.*, 2010).

Results

Specific sorghum accessions developed aerial roots.

A collection of specific accessions selected from the ICRISAT minicore (Upadhyaya *et al.*, 2009)

collection and the more extensive ICRISAT collection exhibited the development of aerial roots

at the stock node, similar to Sierra Mixe maize landrace (Van Deynze *et al.*, 2018). Fourteen

accessions (**Supplementary Table 1**) were grown in a greenhouse environment and assessed for

the number of nodes with aerial roots to explore the range of diversity in this trait. The number of

nodes varied among the different accessions, ranging from 0 to 8 (**Figure 1A and B**). Furthermore,

these accessions demonstrated significant mucilage secretion from the aerial roots upon exposure

to water and high humidity conditions (**Figure 1C**). The mucilage secretion was primarily

observed during the early stages of aerial root development, similar to what was documented for

maize landraces (Pankievicz *et al.*, 2022). The volume of mucilage produced also varied across

the sorghum accessions, ranging from 0 to approximately 700 µl per root. The Sierra Mixe maize

landraces have thicker aerial or brace roots than conventional corn varieties (Van Deynze *et al.*,

2018). Their diameters were measured to determine if the sorghum accessions also exhibited

thicker aerial roots similar to the maize Sierra Mixe landraces (**Figure 1D and E**). The diameter

of the aerial roots ranged from 0 (when absent) to 8 mm. Except for the sorghum accession

IS24453, which did not develop aerial roots, the remaining accessions displayed the presence of

aerial roots, a substantial amount of mucilage secretion, and thicker roots. Accessions IS10757,

IS11026, IS15170, and IS23992 produce the most mucilage and have thicker aerial roots. Our

results suggest that the number of aerial roots and mucilage content variation is also observed in

specific sorghum accessions.

A previous study identified a positive relationship between root diameter (thickness) and mucilage

volume in different maize accessions (Pankievicz *et al.*, 2022). A correlation analysis was

conducted to investigate whether a similar correlation exists in the sorghum accessions

(**Supplementary Figure 1A**). The results revealed a positive correlation between the number of

nodes forming aerial roots, root diameter, and mucilage production volume. The extent of the

correlation was higher between the diameter and mucilage volume, $r^2 = 0.4$, but low for the number

of nodes and volume, $r^2 = 0.2$ (**Supplementary Figure 1B**). These findings collectively indicate

that thicker roots are associated with higher mucilage production in the selected sorghum

accessions.

To assess the consistency of previous findings (**Figure 1**), the same set of fourteen sorghum

accessions was cultivated at two different locations: the University of Florida North Florida

Research and Education Center-Suwannee Valley in 2021 (Live Oak, Florida, USA) and the West

Madison Agricultural Research Station in 2022 (Madison, Wisconsin, USA). During the experiments, only the number of nodes with aerial roots and the root diameter were recorded (**Supplementary Figure 2**). It was evident that the environmental conditions played a significant role, as not all accessions developed aerial roots in both environments. The sorghum accessions generally produced more aerial roots in Florida than in Wisconsin. In Florida, approximately half of the accessions grew nodes with aerial roots; in Wisconsin, less than a quarter of the accessions did (**Supplementary Figures 2A and C**). Furthermore, greater aerial root diameters were recorded in Florida compared to Madison (**Supplementary Figures 2B and D**). These results emphasize the environment's substantial impact on the number of nodes and root diameter. Under controlled greenhouse conditions, most accessions produced thicker aerial roots, whereas plant development was more heterogeneous in the more variable field environment.

Humidity influences the number of nodes in sorghum.

The growth and development of field crops are influenced by many environmental factors, including humidity, light intensity, and temperature (Ford & Thorne, 1974). Our research focused on investigating the impact of moisture on the development of aerial roots, particularly how high humidity and water availability trigger mucilage production in the aerial roots of sorghum. Based on our previous findings in greenhouse and field conditions, we selected two sorghum accessions for evaluation: IS23992, known for producing many aerial roots, and IS24453, which lacks aerial roots under field and low humidity greenhouse conditions (**Figure 1 and Supplementary Figure 2**). We cultivated these accessions under high humidity (75%) and low humidity (30%) conditions. Significantly, we observed an increase in the number of nodes with aerial roots when grown under high humidity for both sorghum accessions, with a more pronounced effect observed in IS23992 (**Figure 2A**). However, the number of aerial roots at the top node was only significantly affected by humidity in IS24453 (**Figure 2B**). To determine whether the increase in the number of nodes with aerial roots in humid conditions was due to differences in root diameter, we measured the diameter of aerial roots at the top node under both humidity conditions. Interestingly, the aerial root diameter did not significantly differ between the two accessions (**Figure 2C**). These findings highlight the crucial role of humidity in the development of aerial roots and the control of node formation in sorghum accessions.

Carbohydrates are the primary molecules of sorghum mucilage.

The mucilage produced by the Sierra Mixe landraces consists of an intricate polysaccharide composed of several monomeric units, including arabinose, fucose, galactose, glucuronic acid, mannose, and xylose (Van Deynze *et al.*, 2018; Amicucci *et al.*, 2019). In the maize landraces, water triggered the expression of plant genes related to synthesizing carbohydrates (Pankievicz *et al.*, 2022). To determine the sorghum mucilage (**Figure 3A**) composition, gas chromatography/mass spectrometry (GC/MS) analysis was conducted. The chemical composition analysis revealed the same monosaccharides were present in sorghum mucilage as in maize mucilage (Van Deynze *et al.*, 2018). However, differences in the proportion of specific monosaccharides were observed (**Figure 3B**). Specifically, the monosaccharides arabinose, galactose, glucuronic acid, and mannose had higher ratios in sorghum mucilage than maize mucilage. Galactose accounts for more than 50 % of the total sugars in sorghum mucilage. The amount of fucose in sorghum mucilage was approximately half that found in maize mucilage. These results indicate that the mucilage in the two species consists of the same monosaccharides but that the structure likely differs based on the observation that the proportions in which the monosaccharides are present differ.

As shown in Pankievicz *et al.* (2022), the exposure of aerial root to water induced the expression of genes associated with carbohydrate synthesis/degradation. To investigate this degradation process, we assessed the levels of free sugars in sorghum mucilage at two time points: one and five hours after its production from accession IS23992, cultivated in the Walnut Street greenhouse. Using Benedict's reaction (Hernández-López et al. 2020), we observed that the free sugar concentration increased over time, rising from an average of 86 mM at one hour to 109 mM after five hours (Figure 3C). This finding suggests that sorghum aerial roots on these roots produce enzymes breaking the mucilage polysaccharide into simple sugars. We cannot exclude the contribution of microbes on these roots to this mucilage degradation process as the aerial roots were not germ-free, but very few microbes are isolated from sorghum mucilage when grown in this greenhouse.

To explore the differences in the soluble metabolites in the mucilage between maize and sorghum (**Supplementary Table 2**), we performed a metabolomics analysis using gas chromatography

coupled with time-of-flight mass spectrometry (GC/TOF-MS). We analyzed our data with the sparse Partial Least Squares - Discriminant Analysis (sPLS-DA) algorithm to reduce the number of variables (metabolites) and produce robust and easy-to-interpret results. The principal component analysis revealed two clusters where samples were grouped based on the genotype (**Figure 3C**). We use a Significance Analysis of Microarray (SAM) to identify differentially expressed proteins. The top ten most abundant metabolites include six unknown metabolites as well as octadecanoic, montanic acid (octacosanoic acid), pinitol (1D-*chiro*-inositol), and *N*-acetyl aspartate diethyl ester (**Supplementary Table 3**). These metabolomic differences may contribute to the functional differences observed in sorghum and maize mucilage.

Aerial root mucilage from sorghum contains a distinctive type of border cell.

Plant mucilage is also produced at the root cap of underground roots (Hawes & Lin, 1990; Brigham *et al.*, 1995; Knee *et al.*, 2001). Within the mucilage, a specific type of cell called border cells (BCs) are responsible for mucilage production (Hawes & Pueppke, 1986; Pankievicz *et al.*, 2022). To investigate the presence of border cells in sorghum mucilage, we examined mucilage derived from both underground and aerial sorghum roots (**Supplementary Figures 3A and C**). BCs derived from underground roots exhibited a distinct and elongated morphology similar to those observed in BCs from pea (*Pisum sativum* L.), maize, and alfalfa (*Medicago sativa* L.) (Hawes & Pueppke, 1987; Woo *et al.*, 2004; Zhang *et al.*, 2014). In contrast, border cells from aerial roots appeared much larger and elongated, with irregular shapes and sizes (**Supplementary Figure 3C**). The BCs in sorghum aerial roots resembled those found in mucilage from maize aerial roots (Pankievicz *et al.*, 2022). These larger BC may contribute to the abundant mucilage production by maize and sorghum aerial roots.

Border cells detached from the root cap can remain active for several weeks (Hawes & Pueppke, 1986; Vicré *et al.*, 2005). To determine the viability of sorghum border cells, we used a live-dead staining protocol on both types of sorghum mucilage collected after 72 hours. We used a combination of SYTO^{TM} 13 and propidium iodine, which are permeant and non-permeant cell dyes, respectively (Boulos *et al.*, 1999; Ullal *et al.*, 2010). Most cells were viable, as indicated by only SYTO^{TM} 13 in the nuclei. Additionally, BCs from underground roots displayed regular and well-defined nuclei, while BCs from aerial roots exhibited irregular nuclei (**Supplementary**

Figures 3B and D). To quantitatively assess the morphological differences between the two types
of BCs, we measured their length from one cellular pole to another and their cell area. Significant
differences were observed in both measurements. BCs from aerial roots generally are twice the
size compared to BCs from underground roots. In maize, BC length in aerial roots varied
depending on the growth stage, with younger aerial roots having longer BCs and older aerial roots
having smaller BCs (Pankievicz *et al.*, 2022). The BCs from sorghum aerial roots in our study
were collected at a young growth stage, which explains the twofold size difference compared to
underground BCs. Although both types of BCs maintain the function of mucilage production, the
observed morphological differences could account for the variation in the amount of mucilage
secreted by these cells.
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Sorghum mucilage hosts a wide range of diazotrophs.
A diverse community of diazotrophs can become established in the mucilage of the Sierra Mixe
maize landraces (Van Deynze *et al.*, 2018). To explore the microbial diversity within sorghum
mucilage, we conducted a study where we isolated and sequenced bacteria using metabarcoding
bacterial 16S rRNA. We focused on mucilage samples from two experimental environments: the
West Madison Agricultural Research Station University of Wisconsin and the University of
Florida North Research & Education Center - Suwannee Valley. Additionally, we included
mucilage samples from Sierra Mixe landraces as references (**Supplementary Table 4**). To analyze
the bacterial composition, we processed the variable region of the 16S rRNA and organized it into
Operational Taxonomic Units (OTUs). The OTUs provided information on the samples' relative
abundance of different taxa. This approach allowed us to identify any outliers that significantly
differed from the rest of the samples and visually compare the differences among them (He *et al.*,
2015). We performed principal coordinate analysis to examine the beta diversity, representing the
samples' diversity. Our findings indicated a distinct separation among samples based on the
location and plant origin of the mucilage. The most significant difference was observed between
the sorghum and maize samples (**Figure 4A**). Furthermore, we investigated the bacterial phylum
in sorghum and maize mucilage. Our analysis revealed that the phylum *Pseudomonadota* was
dominant in the mucilage, followed by *Cyanobacteriota* and *Bacillota* (**Figure 4B**). The profiles
of samples from Wisconsin were relatively similar regardless of the mucilage's origin, while
samples from Florida exhibited enrichment in *Cyanobacteria*, with a similar abundance as

Pseudomonadota (**Figure 4B**). The isolation of bacterial diazotrophs using the N-free semisolid BMGM medium revealed a high diversity of potential diazotrophs. We obtained 103 non-clonal strains that were identified within 34 different species (**Supplementary Table 5)** with the prevalence of *Pseudomonadota* (*Gammaproteobacteria* - 32, *Alphaproteobacteria* - 30, *Betaproteobacteria* - 5), *Actinomycetota* (28), *Bacteroidota* (3), and *Bacillota* (1) (**Figure 4C**). The nitrogenase activity of one representant strain of each one of the 34 species was evaluated by the acetylene reduction assay and the results indicated the *Agrobacterium pusense* BM_20.4, *Bacillus amyloliquenfaciens* BM_7.6, *Pseudomonas turukhanskensis* BM_14.7, *Microbacterium aerolatum* BM_14.4*, Agrobacterium fabacearum BM_6.8, Microbacterium neimengense* BM_10.2*, Enterobacter cancerogenus* BM_14.3*, Epilithonimonas hungarica* BM_19.2*, Pseudomonas lutea* BM_5.2*, and Pseudacidovorax intermedius* BM_2.1 showed higher nitrogenase activity than the reference diazotrophs *Klebsiella variicola* A3 and *Azospirillum brasilense* FP2 (**Figure 4D**)*.* Only eight bacterial strains showed no nitrogenase activity at all.

Mucilage enables biological nitrogen fixation in sorghum.

In the Sierra Mixe maize landraces, the mucilage creates a suitable environment for diazotrophs, contributing to nitrogen acquisition for the plant. This environment provides low oxygen levels and carbohydrates (Van Deynze *et al.*, 2018). We tested whether the mucilage could serve as a proper medium for nitrogen fixation by an acetylene reduction assay (ARA). Nine diazotrophs were isolated from sorghum mucilage collected from Wisconsin and Florida fields (**Supplementary Table 6**). As a negative control, we included *A. brasiliense* FP10, a strain that lacks nitrogenase activity but does not impede growth compared to the wild type (Pedrosa & Yates, 1984). To prevent endogenous diazotrophic bacteria in the sorghum mucilage, we collected mucilage from sorghum plants grown in the greenhouse. We specifically selected the sorghum accession IS23992 because it produced numerous nodes with aerial roots in the greenhouse and the field (**Supplementary Figure 2**). Additionally, this accession consistently had an average of 250 µl of mucilage (**Figure 1**). The acetylene reduction assay (ARA) results indicated significant nitrogenase activity in mucilage inoculated with the strains *Azotobacter vinelandii* and *Klebsiella variicola* (**Figure 5A**). However, the remaining seven strains exhibited almost no nitrogenase activity, with only *Klebsiella michiganensis* showing some ARA activity. The negative control also displayed low activity, possibly due to a small fraction of diazotrophs in the mucilage. This

result supports the notion that mucilage is a medium to facilitate the nitrogen fixation activity of
specific diazotrophs in the aerial roots of sorghum.

To validate the plant's ability to take up and assimilate nitrogen, we conducted a $^{15}N_2$ gas
enrichment experiment. Aerial roots with mucilage were collected from the greenhouse-grown
plants of the IS23992 accession. Based on the acetylene reduction assay results, we inoculated the
roots with *Klebsiella variicola* A3 (wild type) and used *Klebsiella variicola* A3 Δ*nifH* as a negative
control. Isotope-ratio mass spectrometry (IRMS) was used to measure the ^{15}N content in
pheophytin derived from chlorophyll isolated from the aerial roots of sorghum. Roots inoculated
with *Klebsiella variicola* exhibited significant incorporation of $^{15}N_2$ gas compared to the negative
control (**Figure 5B**). These results confirmed that mucilage from the aerial roots of sorghum
supports diazotrophs capable of providing nitrogen to the plant.

Discussion
Nitrogen availability limits the performance of economically important cereal crops, including
sorghum and maize. Both species belong to the Andropogoneae tribe and share a common ancestor
dating 12-16 million years ago (Swigonová *et al.*, 2004). Among them, sorghum is a more versatile
and drought-resistant crop, yielding higher biomass, making it a promising candidate for biofuel
production (Mathur *et al.*, 2017). Biological nitrogen fixation is a suitable method of overcoming
nitrogen deficiencies to minimize the requirement for synthetic fertilizer applications for
production. While these symbiotic relationships are well studied in legumes, cereal crops lacked a
suitable model until the recent discovery of biological nitrogen fixation in aerial root mucilage in
maize landraces, specifically Sierra Mixe (Van Deynze *et al.*, 2018). Here, we determined that
specific sorghum accessions harbor the ability to host diazotrophs enabling the fixation of
atmospheric nitrogen via their mucilage-producing aerial roots. We also elucidated the similarities
and differences in aerial root development, mucilage production, composition, and microbial
diversity between sorghum landraces.

Some sorghum accessions produce abundant and thick aerial roots under humid conditions
Variations in the number of nodes with aerial roots among different genotypes of sorghum was
studied earlier. For example, the Chinese landrace Sansui produces 6-8 aerial roots, while the elite

cultivar Jiliand only has a single node (Li *et al.*, 2014). Our study further demonstrated that specific

sorghum accessions displayed varying numbers of nodes with aerial roots (**Figure 1A**).

Additionally, we observed differences in diameter and mucilage production in these accessions,

and a positive correlation among these three traits confirmed a similar development as the Sierra

Mixe (Van Deynze *et al.*, 2018). Recently, this finding was reinforced by a study involving 59

sorghum accessions that also produce mucilage from aerial roots (Xu *et al.*, 2023). The presence

of aerial roots in other members of the Poaceae family, such as sugarcane and foxtail millet,

suggests a common evolutionary origin (Hostetler *et al.*, 2021).

High relative humidity levels significantly impact plant growth and the production of various

phytohormones, including abscisic acid and ethylene (Arve & Torre, 2015). In the case of rice,

elevated humidity has been observed to stimulate the formation of lateral roots (Chhun et al. 2007).

This effect is attributed to the increased presence of ethylene, a plant hormone that promotes lateral

root development (McDonald & Visser, 2003; Visser & Voesenek, 2005). By providing high

humidity, we observed more nodes with aerial roots independently of the sorghum genotype

(**Figure 2**). We speculate that this response is due to an ethylene-driven mechanism triggered by

the elevated humidity, leading to an increased number of nodes with aerial roots. Although the

exact mechanism of how water influences hormone regulation remains unclear, it is evident that

humidity plays a crucial role in determining the number of nodes with aerial roots, possibly through

a water-sensing mechanism that regulates phytohormone production.

Some sorghum accessions produce abundant mucilage from aerial roots.

Plants secrete mucilage from various tissues to adapt to abiotic and biotic stresses. Mucilage is

commonly found in diverse plant parts, including roots, seeds, leaves, and stems. Notably, in

underground roots, the task of producing and releasing mucilage and other components is carried

out by specialized cells known as border cells, which utilize large vesicles. In the case of the maize

landraces, the mucilage from their aerial roots resembles the mucilage commonly found at the tips

of underground roots in maize. Packievicz *et al*. (2022) demonstrated the presence of border cells

in the mucilage from the aerial roots of Sierra Mixe, and they noted differences in size compared

to border cells derived from underground roots. Our data corroborated these findings, showing a

significant distinction between border cells in underground and aerial roots (**Supplementary**
Figure 3).

Carbohydrates constitute the main components of both underground and aerial root mucilage
(Osborn *et al.*, 1999; Van Deynze *et al.*, 2018; Amicucci *et al.*, 2019; Nazari *et al.*, 2020). In our
research, we investigated the carbohydrate composition of sorghum mucilage, and the results show
the presence of similar monosaccharides, albeit in slightly different proportions (**Figure 3**). These
findings are consistent with a prior study on maize genotypes, which revealed changes in the
carbohydrate profile based on their geographic locations and agroecological systems (Nazari *et*
al., 2020). Interestingly, our analysis did not identify the presence of glucose or fructose as
reported in the sorghum mucilage from the aerial root in accessions 59 and SL36 (Xu *et al.*, 2023).
Instead, the monosaccharide profile closely resembled the Sierra Mixe landraces (Van Deynze *et*
al., 2018). This discrepancy suggests that environmental factors significantly influence maize and
sorghum mucilage's carbohydrate and metabolite composition. However, the genetic aspect cannot
be disregarded entirely, as evidenced by our principal component analysis, which displayed a clear
separation based on species (**Figure 3**).

Sorghum aerial roots mucilage hosts specific microbial communities containing diazotrophs
Numerous studies have documented the predictable variation in plant microbiome composition
based on host and environmental factors (Lundberg *et al.*, 2012; Edwards *et al.*, 2015; Hartman *et*
al., 2017; Crosbie *et al.*, 2022). Our microbiome investigation revealed that location and host
influence microbial composition in the aerial root mucilage (**Figure 4**). Certain plant enzymes
capable of breaking down mucilage into simple sugars are expressed in the Sierra Mixe landraces
and may play a role in nurturing their microbiomes. Simultaneously, the microbiome contributes
to mucilage degradation through specialized enzymes (Pankievicz *et al.*, 2022). *Pseudomonadota*,
Acidobacteriota, *Cyanobacteriota*, and *Bacillota* were the most prevalent phyla in sorghum,
aligning with findings from other sorghum microbiome studies (Xu *et al.*, 2018). Moreover,
specific phyla have been proposed as potential biomarkers for certain biological processes (Bhagat
et al., 2021). Our study sheds light on the microbial profiles of sorghum and maize for nitrogen
fixation and offers valuable resources for engineering these microbes to enhance nitrogen fixation.
These resources will serve as a foundation for investigating microbial community interactions and

their roles in facilitating nitrogen fixation. Such insights could pave the way for possible

inoculation strategies in the future.

Sorghum benefits triggered by the inoculation of diazotrophic bacteria were reported several years

ago (Wong & Stenberg, 1979; Pal & Malik, 1981; Morgenstern & Okon, 1987), and the delivering

of nitrogen from the air to the host plants by biological fixation was confirmed in the last few years

in inoculated plants (dos Santos *et al.*, 2017) and in plants associated with the native communities

(Barros *et al.*, 2020). However, those reports have no information about the plants' aerial roots or

mucilage production. Our results indicated production of aerial roots and mucilage is a crucial

aspect of nitrogen fixation in sorghum, indicating the ability of the plants reported by Santos *et al.*

(2017) and Barros *et al.* (2020) to produce aerial roots and mucilage.

Microbial communities can be well established in plant mucilages due to the abundance of carbon,

and the diazotrophs could benefit from the microaerophilic conditions. The mucilage viscosity is

very close to that observed in nitrogen-free semisolid media, which is a classical approach to

isolating diazotrophs from non-legumes (Baldani *et al.*, 2014), indicating the abundance of

diazotrophs in sorghum mucilage. The existence of efficient diazotrophs colonizing sorghum aerial

root mucilage was confirmed in the present study with the application of a nitrogen-free semisolid

medium approach, indicating a wide diversity of diazotrophs and corroborating the 16S rRNA

amplicon sequence data. The highlights of unusual bacteria with the highest nitrogenase activity,

such as *Agrobacterium* spp. and *Epilithonimonas hungarica*, for example, indicate that, under the

conditions assessed, the diazotrophic community of sorghum mucilage is different from those

communities from sorghum underground roots since other studies using the same medium and

approach could not identify these diazotrophs (Silva *et al.*, 2018; dos Reis Antunes *et al.*, 2019).

Nitrogen fixation occurs in sorghum aerial root mucilage

Nitrogen fixation in cereals can reduce part of our dependence on synthetic nitrogen-based

fertilizers. Our research has shown that mucilage is crucial in creating a favorable environment for

diazotrophs to thrive (**Figure 5A**), enabling plants to acquire nitrogen (**Figure 5B**). To achieve

nitrogen fixation in cereals, synthetic biology offers promising approaches through transferring

nitrogenase genes to plants, engineering a root nodule symbiosis, or remodeling associative

diazotrophs. Some progress has been made in expressing *nif* genes responsible for encoding nitrogenase components in plant cells (Allen *et al.*, 2017; Xiang *et al.*, 2020). However, challenges remain, particularly related to the stability of this enzyme in plants, which is sensitive to oxygen and requires high energy levels (Rutten & Poole, 2019; Lindström & Mousavi, 2020). Another approach involves the formation of nodule-like structures to host bacteria, necessitating the transfer of several genes involved in nodule organogenesis and infection mechanisms, but this is a very long-term perspective (Oldroyd & Dixon, 2014; Pankievicz *et al.*, 2019). The genetic remodeling of associative diazotrophs to generate ammonium excreting bacteria is a short-term promising approach, but using genetically engineered bacteria in the field is currently allowed in only a handful of countries. While exploring these approaches is valuable, we should recognize the huge potential within plant natural diversity to find and breed for better hosts for diazotrophs using non-transgenic approaches. Some maize landraces of Sierra Mixe have demonstrated their ability to acquire nitrogen via aerial roots. Similar traits in sorghum accessions indicate that exploring natural diversity in other cereals for nitrogen fixation is a promising avenue. Doing so can expedite our efforts to introduce nitrogen fixation into cereals for developed and developing countries worldwide. Established sorghum breeding programs could breed for aerial development and mucilage secretion. These natural traits hold considerable value to reduce our dependence on nitrogen fertilizers for cereal crops, reducing costs for growers, the contamination of streams and groundwater, the degradation of coastal zones, and greenhouse gas emissions.

Acknowledgments

We thank Dr. Sanhita Chakraborty and Dr. Kimberly Gibson for their valuable input and engaging discussions. Additionally, we thank Alyssa Davis and Julio Quiñones for their technical support and Ben Broughton and Mike Boyette at the UF North Florida Research & Education Center-Suwannee Valley for managing the field plots. We thank Maya Venkataraman and Dr. Brian F. Pfleger for providing the K. variicola Δ*nifH* strain. Funding for this project was provided to J.M.A. by the United States Department of Energy (DOE) grant #DE-SC0021052 and the United States Department of Agriculture (USDA) #2020-67013-32675.

Competing interests

The authors declare that they have no conflict of interest.

Author contributions

JMA, REV, JW, VP, VI, AM, PIF, and WV designed and performed experiments. JW, VP, VI, SV, EW, and FR performed field experiments. JMA and WV provided reagents and material. REV, JMA, PIF, WV wrote the manuscript. All authors reviewed the manuscript and approved it.

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Data Availability

Not applicable

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Figure 1. Sorghum screening of selected accessions. Different sorghum accessions display a diversity in traits associated with aerial roots and mucilage production. A) Number of nodes in different sorghum accessions. B) Representative image of selected sorghum accessions. Scale bar = 28 cm. C) Volume of mucilage (μl) produced by different sorghum accessions. D) Diameter (mm) of aerial roots in different sorghum accessions. E) Aerial roots of the sorghum accessions in D. Scale bar = 28 cm

Figure 2. Effect of humidity in sorghum accession IS23992 and IS24453. Boxplots of different phenotypes measured in the sorghum accessions. A) Number of nodes with aerial roots. B) Number of roots on the top node C) Average root diameter on the top node. A Wilcoxon test was performed in R (Ver 4.2.1) with the package ggpubr (Ver 0.6.0). Significant levels * p-value ≤ 0.05, ** p-value ≤ 0.01 and n.s. no significant (n = 8).

A

B

Monosaccharide	Amount *S. bicolor* (%)[1]	Amount *Z. mays* (%)[2]
Arabinose (Ara)	17.47	12.27
Fucose (Fuc)	13.31	40.68
Xylose (Xyl)	2.26	2.92
Glucuronic acid (GlcA)	8.06	3.09
Mannose (Man)	7.23	2.92
Galactose (Gal)	51.68	38.12
Total	100	100

[1] Data obtained from 1.64 mg of sample
[2] Data from Van Deynze *et al.* 2018

C

D

Figure 3. Sorghum aerial root mucilage composition. Sorghum release mucilage from their aerial roots A) Production of mucilage is triggered by water and under high humidity environments. Scale bar (5 cm) B) Monosaccharides composition of sorghum and maize mucilage. Both mucilages have the same monosaccharides but in different proportions. C) Reducing sugar in sorghum mucilage from the accession IS23992. Wilcoxon test was performed with significant level *** p-value ≤ 0.001 (n = 8). D) Principal component analysis using Sparse Partial Least Squares. Sorghum and maize samples cluster based on similarities.

Figure 4. Microbiome profile of sorghum mucilage. Community profile showing the relative abundance present in sorghum and maize. Samples S1 to S4 and M1 to M6 were collected from the field experiments at the University of Wisconsin (UW-Madison) and samples S5 to S7 were collected at University of Florida (UF) A) Principal coordinate analysis plot of sorghum and maize samples based on 16S amplicons. B) Relative abundance of sorghum and maize samples based on 16S amplicons and OTU. C) Unrooted maximum-likelihood phylogenetic tree of 103 bacteria isolated from sorghum aerial roots mucilage in BMGM N-free semisolid medium. The evolutionary distances were computed using the Jukes-Cantor method and are in the units of the number of base substitutions per site. This analysis involved 103 nucleotide sequences. All positions containing gaps and missing data were eliminated (complete deletion). There were 908 positions in the final dataset. Numbers at nodes are bootstrap values (1000 replications, values < 50% are not shown). Colors: Red: *Gammaproteobacteria*, Purple: *Betaproteobacteria*, Blue: *Alphaproteobacteria*, Brown: *Bacillota*, Green: *Actinomycetota*, Yellow: *Bacteroidota*. D) Nitrogenase activity of 34 isolated from sorghum aerial roots mucilage in BMGM N-free semisolid medium (n=3). Bars are the error mean deviation. *Klebsiella variicola* A3 ΔnifH. Species codes (alphabetic order): *Agrobacterium divergens, Agrobacterium fabaceae, Agrobacterium larrymoorei, Agrobacterium pusense, Azospirillum brasilense, Azospirillum humicireducens, Azospirillum palustre, Bacillus amyloliquefaciens, Enterobacter cancerogenus, Epilithonimonas hungarica, Herbaspirillum seropedicae, Klebsiella michiganensis, Klebsiella oxitoca, Klebsiella variicola, Microbacterium aerolatum, Microbacterium binotii, Microbacterium hominis, Microbacterium neimengense, Microbacterium oleivorans, Microbacterium testaceum, Novosphingobium kaempferiae, Phytobacter diazotrophicus, Pseudoacidovorax intermedius, Pseudomonas bharatica, Pseudomonas campi, Pseudomonas lutea, Pseudomonas sediminis, Pseudomonas turukhanskensis, Pseudoxanthomonas winnipegensis, Rhizobium cellulosilyticum, Siphonobacter intestinalis, Stenotrophomonas lactitubi, Stenotrophomonas nematodicola, Stenotrophomonas rhizophila, Stenotrophomonas terrae.*

Figure 5. Biological nitrogen fixation in aerial roots from sorghum. A) Acetylene reduction assay in mucilage generated in the greenhouse. Mucilage was used as media to grow ten strains *Azospirillum brasilense* FP2, *Azospirillum baldaniorum*, *Azorhizobium caulinodans*, *Azotobacter vinelandii*, *Herbaspirillum seropedicae*, *Klebsiella michiganensis*, *Klepsiella variicola*, *Paraburkholderia silvatlantica*, *Pseudomonas stutzeri* (fixing strains) and *Azospirillum brasilense* FP10 (non-fixing strains). Tukey's honestly significant difference (HSD) test was performed in R and significant groups are displayed as lower case (n = 6). B) Analysis of $^{15}N_2$ enrichment in aerial roots of sorghum accession IS23992. Aerial roots were inoculated with *K. variicola* ΔnifH (negative control) and *K. variicola* (positive control). Wilcoxon test was performed with significant level *** p-value ≤ 0.001 (n = 8).

A

B

Supplementary Figure 1. Trait correlations. A) Scatter plot shows the correlation between the number of nodes, mucilage volume and diameter. A low positive correlation is observed among all traits. B) Correlation matrix for number of nodes, mucilage volume and diameter.

Supplementary Figure 2. Sorghum screening of selected genotypes in two locations. A) Number of nodes in different sorghum accessions grown at University of Florida during 2021. B) Diameter (mm) of aerial roots in different sorghum accessions grown at University of Florida. C) Number of nodes in different sorghum accessions grown at University of Wisconsin during 2022. D) Diameter (mm) of aerial roots in different sorghum accessions grown at University of Wisconsin during 2022. * Data from these accessions were obtained under low nitrogen regimen.

Supplementary Figure 3. Border cells in sorghum mucilage. A) Border cells from sorghum underground roots (S=scale bar 100 μm) . B) Border cells from sorghum aerial roots (scale bar 100 μm).   C)   Live-Dead viability staining in border cells from sorghum underground roots (scale bar 100 μm). D) Live-Dead viability staining in border cells from sorghum aerial roots (scale bar 100 μm). E) Length comparison between border cells in μm. F) Area comparison between border cells in μm^2. AR (aerial roots) and UR (underground roots). The p-values were ** < 0.01 and *** < 0.001 based on a Wilcoxon test (n = 20)