Associations of genetic and spectral diversity in European beech

Ewa A. Czyż[1,a,b*], Bernhard Schmid[1], Maarten B. Eppinga[1], Marylaure de La Harpe[1,c], Aboubakr Moradi[1,2,d], Cheng Li[1], Domitille Coq—Etchegaray[1], Michael E. Schaepman[1], Meredith C. Schuman[1,2]

[1] Department of Geography, University of Zürich, Winterthurerstrasse 190, 8057 Zürich, Switzerland
[2] Department of Chemistry, University of Zürich, Winterthurerstrasse 190, 8057 Zürich, Switzerland
[a] Current address: NASA Jet Propulsion Laboratory, California Institute of Technology, 4800 Oak Grove Dr, La Cañada Flintridge, CA 91011, USA
[b] Current address: Forest and Wildlife Ecology, University of Wisconsin-Madison, 1630 Linden Drive, Madison, WI 53706, USA
[c] Current address: Amt für Natur und Umwelt, Graubünden, Ringstrasse 10, 7000 Chur, Switzerland
[d] Current address: Syngenta Crop Protection AG, Thurgau, Schaffhauserstrasse, 4334 Münchwilen, Switzerland
*To whom correspondence should be addressed; E-mail: ewa.a.czyz@jpl.nasa.gov

Abstract

Biodiversity loss presents a growing threat to the global environment, and systematic and spatially contiguous monitoring is needed to inform mitigation strategies. Monitoring of genetic diversity within species, a key factor when assessing biodiversity loss, is laborious and could be supported by scalable phenotypic observations allowing inferences about genetic variation. We studied genetic and phenotypic variation in one of Europe's most prevalent forest-forming trees, the common beech *Fagus sylvatica* L., using whole-genome sequence data and spectral phenotypes from 219 individuals at 23 sites across the species' natural range. Spectral phenotypes were collected under standardized illumination and observation conditions from the same top-of-canopy leaves used for nuclear DNA extraction. We found that spectral and environmental information accounted for 77% of the variance along the first two principal coordinates representing genetic structure among sampled individuals, where spectral phenotypes contributed 12% to the prediction of genetic structure. Further, we identified 14 SNPs (single nucleotide polymorphisms), of which two were located within annotated genes, that showed significant associations with variation in leaf reflectance. Our study demonstrates how linking spectral and genomic variation in tree species may be upscaled to the remote observations to support monitoring, understanding and mitigating loss of genetic diversity within species.

1. Introduction

Biodiversity loss presents a large and growing risk for the global environment and its ability to

support life. Plant diversity loss can negatively affect ecosystem functioning (Purvis et al. 2000,

Hector et al. 2007, Isbell et al. 2011, Cardinale et al. 2012, Reich et al. 2012), impact ecosystem

stability (Tilman et al. 1994, Hector et al. 2010, Isbell et al. 2015, van Moorsel et al. 2021), and

reduce the potential of populations and communities to adapt to environmental change (Des

Roches et al. 2018). Biodiversity is under pressure from human activity (Johnson et al. 2009) and

global change (Exposito-Alonso et al. 2022). To reduce human impact on biodiversity decline,

targets have been set several times aiming to mitigate biodiversity loss worldwide (IPBES 2019),

but many further steps need to be taken (Mora et al. 2006, Jenkins and Joppa 2009). One important

step towards effective biodiversity maintenance is its continuous and standardized monitoring

(Scholes et al. 2008, Turner 2014). Monitoring indicators of biodiversity should help to set

conservation priorities (Scott et al. 1989), evaluate actions taken, and develop adaptive

management (Sutherland et al. 2004) and policy adjustments to preserve and restore biodiversity

(Perrings et al. 2011). Monitoring schemes should span levels of biological organization from

ecosystems to species and their genetic diversity. Changes in intraspecific genetic diversity

constitute a key component of biodiversity dynamics (Wilson 1988, Exposit-Alonso et al. 2022), as

genetic variation provides valuable information about a species' contribution to ecosystem

functioning (Fridley and Grime 2010, Tang et al. 2022) and its evolutionary potential. However,

intraspecific genetic diversity is especially challenging to monitor.

The assessment of genetic diversity in the field is based on sample collection, often restricting

spatial coverage to a small part of species' natural range and sampling intensity to a few individuals

within a site. Furthermore, genotyping is still commonly limited to small portions of whole

genomes, which may not account for all functionally important genetic variation (Lou et al. 2021).

Incomplete and biased data inhibits understanding of how species' phylogeographic history

constrains current patterns of genetic variation. Further, these scale-induced limitations result in

sparse and biased representations of genetic diversity, which makes it difficult to assess the total

adaptive potential of a species to environmental change (Hughes et al. 2008, Des Roches et al.

2018). Whole-genome sequencing to avoid the bias of more restricted sets of molecular markers,

linked with acquisitions of phenotypic variation not restricted by spatial extent, may contribute to

indicating and understanding species' genetic diversity under natural conditions.

To collect phenotypic data potentially informative about genetic diversity, imaging spectrometers

can provide repeated and spatially contiguous acquisitions of spectral reflectancance of

electromagnetic radiation from tree canopies representing integrated signatures of plant

biochemical and structural traits (Guyot et al. 1992, Jacquemont and Ustin 2009, Schaepman et al.

2009, Schaepman et al. 2015). These plant traits impact how leaves reflect, absorb, and transmit

electromagnetic radiation of different wavelength and thus can affect the spectral phenotypes of

individuals from leaf to canopy levels (Meireles et al. 2020). Leaf spectral phenotypes are

commonly estimated from spectroradiometer measurements under standardized illumination and

observation conditions, while the spectral phenotypes of entire canopies at landscape-scale are

derived from remote acquisitions of reflected solar electromagnetic radiation by airborne and

spaceborne imaging spectrometers (Schaepman et al. 2009). Because the plant traits shaping

spectral phenotypes result from the interaction of plant genes with the environment, spectral

acquisitions may facilitate monitoring of genetic diversity under natural conditions, if a link

between spectral and genetic variation can be established (Cavender-Bares et al. 2016, Yamasaki

et al. 2017). Previous studies associating genetic and spectroscopy data focused on experimental

settings (Matsuda et al. 2012, Cavender-Bares et al. 2016, Li et al. 2023) or single-site observational

studies under natural conditions, with few within-species assessments and not covering multiple

sites across a species' entire natural range (Madricht et al. 2014, Czyż et al. 2020, Czyż et al. 2023).

Furthermore, previous studies were mostly based on genetic markers only covering a small fraction

of the species' genome rather than on whole-genome sequence information.

Here, we address the potential of spectral phenotypes to contribute to assessing intraspecific

genetic diversity using short-read whole-genome sequences from 219 individual trees of *Fagus*

sylvatica L. (European beech) sampled from across the species range. We chose this species

because it is a dominant, ecologically and economically important tree species in Europe that has

gained interest as a model tree species for ecological genomics studies under global change

(Capblancq et al. 2020). We combined genomic and spectral sampling across 23 sites of *F. sylvatica*

using reference-based single nucleotide polymorphism (SNP)-calling to derive genetic diversity,

and top-of-canopy leaf reflectance acquired by a contact probe with standard illumination and a

consistent background to derive spectral phenotypes. We then use spectral phenotypes, in

combination with environmental variables, to predict the genetic structure of the species and to

find direct associations of spectra with genetic variation. We link genomics and spectroradiometric

approaches with the aim to (1) contribute to current knowledge about genetic diversity in *F.*

sylvatica across its natural range; and (2) indicate how spectral phenotypes acquired in natural

environments may contribute to prediction of the genetic variation on a continental scale. The

presented linkage of spectral with genomic variation from across the species' natural range

indicates how remote observations may be used to support monitoring of genetic diversity in

canopy tree species over contiguous areas and on a larger scale than typically attainable when

solely relying on field sampling.

2. Materials and Methods

2.1. Study sites and sample collection

We selected 23 sites dominated by *Fagus sylvatica* L. (common beech) across its natural range in

Europe (Fig.**1a**). We assigned three-letter site names with the first two letters referring to the

country that the site is located in, and the third letter referring to the particular location in the

country. Most of the sites are covered by protected, old-growth beech forests. The variation

among the sites accounts for climatic (mean 50% of the maximum span of environmental values

across the range and 95% of the distribution of environmental values across the range), edaphic,

and phylogeographic variation (Caudullo et al. 2017). Data were collected *in situ* between 8 August

and 25 September 2020, and on 31 July 2021 at the site ROS (Supporting information Tab. **S1**). At

each site we sampled 10 *F. sylvatica* trees (with four exceptions—see following text) that occupied

canopy space along two ca. 10 x 200 m transects separated by 50 m. Each transect comprised 5

canopy-forming dominant trees separated by at least 30 m. At some sites we sampled fewer than

10 trees due to a lack of *F. sylvatica* trees in the canopy within the transect (5 trees at RSB, 8 trees

at ESP, 7 trees at ROS) and in some cases the sampled material was of insufficient quality for the

DNA extraction (1 tree at PLM). When possible, we selected transects on south-west to south-east-

facing slopes to minimize variation in solar radiation exposure. From each tree, we collected a top-

of-canopy branch of 20 to 100 cm length with the help of tree climbers or, when tree height did

not exceed 15 m, with a telescoping carbon fiber pruner (Takeni Trading Co., Osaka, Japan). From

each branch, we randomly selected three undamaged leaves (visual inspection) separated by at

least 5 cm, from which we acquired spectral data after harvesting the branch (see Materials and

Methods, Spectral data) and which we subsequently stored on silica gel for later genetic analysis

(see Materials and Methods, Genetic data).

2.2. Genetic data

The dried leaves were taken back to the laboratory where we sampled 50 mg of undamaged leaf

lamina and stored this in tubes at −20 °C until grinding and DNA extraction. The DNA was purified

using a Norgen Plant and Fungi genomic DNA extraction kit (Norgen Biotek, Thorold, ON, Canada),

modified to reduce RNA contamination and to improve lysis, yield, and purity. Specifically, samples

were flash-frozen in liquid nitrogen immediately prior to grinding and ground using 3 mm glass

beads at 30 Hz for 3 min in a TissueLyser II (Qiagen) to a fine powder. The powder was briefly

centrifuged before adding lysis buffer and vortexed to mix with the buffer. One µl of RNAse A

(DNAse-free, 100,000 units/ml in 50% glycerol, 10 mM Tris-HCl, pH 8.0) and 3 µl Proteinase K (>600

µl ~ 20 mg/ml in 10 mM Tris-HCl pH 7.5, containing calcium acetate and 50% v/v glycerol) were

added to the lysis buffer prior to 10 min incubation at 56°C with 1000 rpm agitation. An additional

1 µl of RNAse A was subsequently added to the reaction, followed by a 10 min incubation at 65°C

with 2000 rpm agitation. The samples were then processed according to the manufacturer's

protocol with the modification that 70% ethanol was mixed with lysate by pipetting ca. 10x/sample

instead of vortexing. The DNA from clarified lysate was captured on spin columns (centrifugation

until all lysate passed) and columns were washed three times with Solution A prior to elution with

Elution Buffer B (100 µl, 5 min RT incubation, spinning until all elution buffer was recovered).

The purified DNA was used for sequencing library preparation (Novogene, Cambridge, UK): genomic DNA was randomly sheared into short fragments, which were end repaired, A-tailed, and ligated with Illumina adapters. The fragments with adapters were PCR amplified, size selected, and purified. Libraries were sequenced by Illumina NovaSeq PE150 (Novogene, Cambridge, UK) to produce ca. 11 Gbp/sample, resulting in a mean ca. 20x coverage of the 541 Mbp haploid nuclear genome (Mishra et al. 2022).

146   Adapters from Illumina were removed using *cutadapt v1.18*. The Illumina reads were trimmed and reads with quality (Q) scores below 30 were removed using *sickle v1.33*. We aligned reads against the chromosome-level reference genome (Mishra et al. 2022) using *bwa-mem2 v2.2.1*. Duplicated reads were marked using *GATK v4.2.4.0* and overlapping read pairs were clipped using *BamUtils v1.0.15*. Mpileup files of all individuals were created using *samtools v1.9* and converted into pro files using *sam2pro v0.8*. From the pro files, nucleotide read quartets at each position of the reference genome were created. Bi-allelic SNPs were detected using a genotype-frequency estimator (Maruki & Lynch 2015) and genotypes were called using the Bayesian genotype caller (Maruki & Lynch 2017) for 32,552,787 SNPs. SNPs with a minor allele frequency below 0.05 and more than 10 missing genotypes across the samples were removed, resulting in 5,252,715 SNPs across all sampled individuals for further analyses. SNPs were pruned for linkage disequilibrium by removing those with a pairwise correlation coefficient (r^2) > 0.2 within a sliding window of 2 kb using *plink 1.9*, resulting in 1,380,310 SNPs mapped to all 12 beech chromosomes.

2.3. Spectral data

Spectral data comprised leaf reflectance spectra collected in the wavelength range from 350–2500 nm using an ASD FieldSpec 4 spectroradiometer (serial nr 18739, ASD Inc., Boulder, CO, USA),

coupled to a plant probe with leaf clip attachment, and equipped with a standardized halogen light

source and a set of standard black and white reference backgrounds (serial nr 445, ASD Inc.,

Boulder, CO, USA). Measurements of three leaves from one harvested branch per tree were

acquired within minutes to up to 3 h (for ITE, ITM, 5 trees at ITV, and 5 trees at PLB) from the time

of branch harvest using the contact probe positioned in the middle of the leaf and to the right of

the midrib as viewed from the adaxial side. Measurements comprised five successive readings per

sample for four types of acquisitions: a white reference R_w, a target leaf with white reference as

background T_w, a black reference R_b, and the target leaf with black reference as background T_b (eq.

1). For each reading, five scans, each with an integration time of 8.5 ms, were recorded and

averaged per leaf. The averaged reflectance spectra per tree was calculated from the mean of leaf

reflectance R using a formula for background-differentiated measurements (Miller et al. 1992):

$R = \frac{T_b * R_w - T_w * R_b}{R_w - R_b} * 100\ [\%]$ [eq. 1]

The ASD spectroradiometer provides sampling intervals of 1 nm spectral sampling, although the

spectral resolution of the instrument ranges from 3–10 nm. To minimize collinearity effects of

neighboring spectral bands and improve the efficiency of analysis, we resampled the spectral signal

from the 2151 spectral bands provided by the ASD instrument to 216 spectral bands by linearly

interpolating the original signal using the *interp1* function in *Matlab* (v. R2017b) followed by

multiplication with a spectral response function (SRF) of Gaussian shape with full width at half

maximum (FWHM) of 10 nm, which is the largest nominal FWHM provided in the ASD specifications.

These 216 spectral variables were used in all subsequent statistical analyses. For the genome-wide

association study (GWAS; see Materials and Methods, Genome-wide association study), we

additionally calculated reflectance spectra principal components (PCs) using the *pca* function of

Matlab (v. R2017b).

2.4. Climatic data

Climatic data comprised 19 bioclimatic variables from WorldClim Version2 (Fick et al. 2017) at a

resolution of 1 km with global coverage. The correlated variables represent climatic conditions and

their annual trends that affect ecological processes across large gradients within the range of *F.*

sylvatica and are from the years 1970-2000, thus representing conditions at the time in which the

study trees may have been establishing or recently established, rather than the most recent

growing seasons. We extracted the value of each environmental variable per site based on the

geographic locations of sampled sites and applied min-max normalization of extracted values for

each variable. Note that all trees at a given site were thus assigned the same values for the climatic

covariates.

2.5. Statistical analysis

2.5.1. Analysis of genetic structure

First, we used the filtered SNPs (see Materials and Methods, Genetic data) to estimate genetic

structure and relatedness of individuals. Genetic structure was calculated in *ADMIXTURE* v. 1.3

(Alexander et al. 2015) and the number of genetic clusters was set to 4 based on the smallest cross-

validation error (Supporting information Fig. **S1**). To derive the relationships among trees, we

calculated the SNPs-based pairwise distance between individuals using *plink* v. 1.9, and applied the

cmdscale function of *Matlab* (v. R2017b) to perform principal coordinate analysis (PCoA) and to

derive the genetic principal coordinates (PCOs). Based on pairwise distances between individuals,

we additionally calculated within-site standard deviations of genetic relatedness.

2.5.2. Redundancy analysis

Furthermore, we estimated independent and common contributions of climatic and spectral information to model genetic structure among sampled *F. sylvatica* sites. We used redundancy analysis (RDA) as a multivariate ordination method to simultaneously account for multiple (genetic) response variables (Capblancq and Forester 2021). We used Akaike Information Criterion (AIC) values for forward selection of (climatic or spectral) explanatory variables and a threshold of 2.5 for Variance Inflation Factor (VIF) scores to reduce the impact of collinearity of these variables (Johnston et al. 2018). We limited the (genetic) response variables to the first and the second genetic PCO. With n = 23 sites to analyze the correlation structure between climatic and genetic variables we aimed to keep the total number of explanatory and response variables low to avoid overfitting (with 22 variables perfect fits would be obtained).

Firstly, we constructed RDA models from climatic and spectral information separately. For the RDA model with climatic data, we retained four climatic explanatory variables with low correlation (out of 19 total), while for the RDA model with spectral data we retained four spectral explanatory variables (out of 216 total) as mutually uncorrelated. We then combined three climatic and four spectral explanatory variables with low correlation (one of the climatic variable was excluded due to the correlation with the spectral variables) with the two genetic PCOs as response variables for a combined RDA model. We partitioned the contributions of each climatic and spectral variable to the total genetic variation (as variance along genetic PCO1 and PCO2) using analysis of variance (ANOVA). We used the *rda* function of the *vegan* package (v. 2.6-4) in *R* (v. 4.1.3) to construct the models and to find the model fits.

2.5.3. Genome-wide association study

In addition to assessing the contribution of climatic and spectral variation to the total variation in

the first to genetic PCOs, we also associated the 1,380,310 filtered SNPs across the genome with

spectral variation while accounting for climatic differences between sites. For that, we conducted

a genome-wide association study (GWAS) using the Bayesian-information and Linkage-

disequilibrium Iteratively Nested Keyway (BLINK) model (Huang et al. 2019) implemented in *GAPIT*

(v. 3) (Wang and Zhang, 2021) in *R* (v. 4.1.3). We used the first four genetic principal components

(as calculated in *GAPIT* and each accounting for more than 1% of total genetic variation) to account

on the species' genetic structure and environmental variables as covariates. The *BLINK* model

controls for false negatives by accounting for the linkage disequilibrium of SNPs across the genome

and the covariates in the associations. We consecutively derived the proportion of variance in

spectral phenotypes explained by genotypic variance for associations that were significant after

applying a Bonferroni correction that accounts on the multiple statistical tests to reduce false

positives. This task was performend in *GAPIT* software. We further compared the locations of the

significantly associated SNPs to the annotated genome of *F. sylvatica* to determine which SNPs

were located within annotated genes. For these SNPs, we indicate the corresponding gene

ontology (GO) terms as aligned with the annotated reference genome Bhaga v.2 (Mishra et al.

2022).

3. Results

3.1. Genetic structure of *F. sylvatica* across the species natural range

Fig. 1. Genetic structure among 23 F. sylvatica *sites presented as kinship probabilities of belonging to K=4 genetic clusters with the smallest cross-validation error in ADMIXTURE inferred from 1,380,310 SNPs across the nuclear genome (see Materials and Methods, Genetic data). (**a**) Averaged kinship probability and the standard deviation (indicated by the circle size) of genetic variation among the individuals from each sampled site (N=10 except for RSB, N=5, ESP, N=8, PLM, N = 9, and ROS, N=7). (**b**) Relationship between* F. sylvatica *individuals plotted along the first and second Principal Coordinates (PCOs) derived from genetic distances among individuals. The horseshoe-like distribution of the individuals along the first and second PCOs does not affect the conclusions of the presented analysis (Podani and Miklós 2002) and is consistent with a strongly geographically correlated horseshoe pattern identified in a recent independent analysis of genetic diversity in* F. sylvatica *(Lazic et al. 2024). Individuals are colored by combined kinship probabilities*

*to four genetic clusters. (**c**) The membership probability of the 219 sampled* F. sylvatica *individuals for the*

derived genetic clusters.

We present an assessment of genetic structure of a broad-leaved tree species across its natural

range derived from whole-genome sequences of nuclear DNA. Using ADMIXTURE cross-validation,

we identified four genetic clusters across the current geographic distribution range of *Fagus*

sylvatica L. (Fig. **1**). We identified a high abundance of one genetic cluster in southern Italy (ITE,

ITM, ITP, ITV; cluster indicated in yellow), one genetic cluster in the southern Pyrenees (ESP, ESM,

FRM; cluster indicated in maroon), and one genetic cluster centered in Corsica (FRV, FRC; cluster

indicated in green). At the other sites individuals could not be clearly assigned to a single cluster,

or were collectively assigned to a fourth cluster (indicated in blue), suggesting that except for the

above sites located at the extremes of the distribution range beech has weaker genetic structure

and rather seems to represent a large panmictic population (Fig. **1a**), with an exception of the

population at SEB, the closest site to the northern extreme of the species distribution, dominated

by the blue cluster.

Using the first two genetic PCOs to represent the genetic distances between individuals and sites

supports the finding of a continuous genetic distribution with geographically more extreme

populations representing enpoints along a continuum of genetic relatedness (Fig. **1b**). The pairwise

genetic distances demonstrate that trees within sites are genetically more similar than between

sites, while the standard deviations of genetic distances within populations (represented by circle

size in Fig. **1a**). The sampled sites in central and northern Europe are characterized by a relatively

small standard deviation of genetic distances within sites (Fig. **1a**). In contrast, per-individual

kinship probabilities to different genetic clusters, and pairwise genetic dissimilarities based on

allele frequencies between individuals, demonstrate relatively higher within and between-

population variation in southern as compared to northern samples (Fig. **1a, b**).

3.2. Genetic variation explained by climatic and spectral variation

Together, environmental climatic variation between sites and spectral information variation within

and between sites explained 77.2% of the total genetic variation represented by the first and

second genetic PCO of sampled *F. sylvatica* (Fig. **2,** Supporting information Fig. **S2**). Environmental

climatic variables explained 42.6% of the total genetic variation independently of spectral

information, *i.e.*, after fitting spectral information first in the model. The environmental climatic

variables contributing most were Mean Temperature of Driest Quarter (BIO 09), Isothermality (BIO

03), Precipitation Seasonality (BIO 15) and Precipitation of Driest Quarter (BIO 17). In contrast, the

first four PCs obtained from a PCA of the 216 spectral variables explained 12.1% of the total genetic

variation among trees additionally to environmental climatic variables, *i.e.*, after fitting climatic

information first in the model. This lower percentage must be viewed in the context that climatic

variables did not vary within sites, while spectral variables did. Portions of spectra which were most

informative about genetic variation corresponded to variation of spectral phenotypes were

centered at 350 nm (ultraviolet, UV), 430 nm and 530 nm (visible, VIS), and 1500 nm (shortwave

infrared, SWIR). 22.5% of the total genetic variation explained by environmental climatic or spectral

variation could not be separated, *i.e.*, was shared by the two (Fig. **2**).

Fig. 2. RDA models for prediction of F. sylvatica *genetic variation as captured by the first (PCO1) and second*

(PCO2) genetic Principal Coordinates across the species range derived from environmental climatic and

spectral information separately and environmental climatic and spectral information combined. The

explanatory variables were chosen based on AIC and VIF <2.5. Out of 19 environmental climatic variables,

four were identified to be indicative of the genetic variation between sites and out of 216 spectral bands,

four were indicative of the genetic variation between and within sites. The full model combined three out of

the four selected environmental climatic variables for the climate RDA with the four spectral variables

selected for the spectral RDA. The incorporation of spectral information added 12.1% explanatory power to

a model based on environmental climatic information only (42.6% + 22.5% = 65.1%), yielding a total of 77.2%

explanation of variation along genetic PCO1 and PCO2 (mean R^2 for PCOs 1 and 2) among sampled F.

sylvatica *individuals explained by climatic and spectral information. The* F. sylvatica *individuals in RDA space*

are colored by combined kinship probabilities to four genetic clusters.

3.3. Associations between genetic and spectral variation

We identified 14 SNPs across the whole genome of which variation was significantly associated

with variation of leaf reflectance spectra or their PCs (Fig. **3**). Out of 14 recognized SNPs, four were

associated with three distinct wavelength ranges across the reflectance spectra, and ten SNPs were

associated with eleven unique reflectance spectra PCs. The spectral ranges across which

reflectance varied significantly with genetic variation were 360 nm (significantly associated with

two SNPs on the 1st and 8th chromosome), 1910–1960 nm (significantly associated with two SNPs

on the 2nd and 5th chromosome), and above 2450 nm (significantly associated with the same SNP

on the 5th associated with 1910-1960 nm and with an additional SNP on the 2nd chromosome). The

proportion of variation in reflectance spectra explained by genetic variation reached 77.89 % for

the association of SNP on the 2nd chromosome (position 51570204) with a wavelength at 1950 nm

(p-value 2.15×10^{-8}) and 39.55 % in variation of reflectance spectra PCs for the association of an SNP

on the 9th chromosome (position 3954556) with the 108th reflectance spectra PC (p-value: 4.68×10^{-12}). The variation of the 169th reflectance spectra PC (being the highest PC that significantly

associated with genetic variationexplaining less than 2.8×10^{-8} in the variation of reflectance spectra)

was explained 7.36 % and 10 % by variation of two SNPs located on the 9th chromosome (position

11662665, p-value: 2.61×10^{-8} and position 11661800, p-value: 2.19×10^{-8}, respectively).

Fig. 3. *Variation in reflectance spectra (phenotype) and associations of variation in 216 spectral variables*

and their PCs with variation in genotype as SNPs derived from whole-genome sequences of F. sylvatica. *The*

top panel represents the mean, standard deviation, and coefficient of variation of leaf reflectance spectra

from leaves collected from 219 trees. The middle panel represents significant associations between variation

across particular wavelengths and four SNPs along the genome. We note that the measurement uncertainty

is higher in regions where the coefficient of spectral variation is higher, particularly for wavelengths below

400 nm (Petibon et al. 2021). The bottom panel represents eight spectral principal components significantly

associated with variation at ten SNPs across the genome; it should be noted that these are high-dimensional

PCs that thus represent a small proportion of the total spectral variation (less than 1%). The shading of the

associations indicates the variance explained in a particular phenotype (wavelength or spectral PC) by all

associated SNPs. The two labeled loci across the genome correspond to the annotated genes.

Out of 14 SNPs that significantly associated with variation in reflectance spectra or their PCs, we

identified two SNPs within annotated genes of *F. sylvatica* (Supporting information Tab. **S1**). The

associated GO terms included plasma membrane, integral component of membrane, magnesium

ion binding, ATP binding, hydrolase activity, ATPase-coupled intramembrane lipid transporter

activity (for SNP at the position 11453091 on the chromosome 10th), and phospholipid and serine-

type endopeptidase (for SNP at the position 9478749 on the chromosome 1st). The first SNP was

associated with a spectral wavelength of 360 nm, and the second was associated with the 51st PC

derived from the 216 spectral variables. It is important to note that wavelength 360 nm is in the

spectral range of high measurement uncertainty (Petibon et al. 2021) and that the 51st PC describes

9.2×10^{-6} % of the total spectral variation.

4. Discussion

By merging genomic with spectral approaches we present the potential of spectral phenotypes to contribute to the predictions of intraspecific genetic variation of a forest tree species across its natural range. We note that the contribution of spectral variaton is, in our dataset, the only factor that distinguishes within-site variation, whereas the climatic variables we use are appropriate to distinguish among, but not between sites. Correspondingly, the climatic variables predict a larger proportion of the total genetic distance measured across the species range. The spectral information we collected contributed to the prediction of neutral genetic structure across the sampled populations. We also identified a few genomic variants that are significantly associated with specific components of spectral variation, although some of the associations are within spectral ranges of high measurement uncertainty or with PCs explaining less than 1% of spectral variance. Predictions of spatial patterns in genetic variation may be further improved by incorporation of the species' phylogeographic history and functional genomics resources, and hold the potential to be further guided by spectral information remotely accessed a landscape scale and repeatedly over time.

4.1. Species phylogeographic history

While spectra carry information about current genetic composition, further incorporation of information about the species' phylogeographic history explaining the development of genetic patterns could help constrain genomic predictions to the available gene pool of particular areas. The genetic clusters indicated in yellow and maroon in Fig. **1** may correspond to previously reported glacial refugia (Magri et al. 2006), while further genetic and phylogeographic studies would be valuable in Corsica to determine whether the cluster indicated in green may represent

intermixing between refugia or an additional refugium (Petit et al. 2003). The origin of the most

widespread genetic cluster (indicated in blue) in central and northern Europe remains uncertain

based on our sampling and might be assigned to the eastern range of the Alps (Gomory et al. 2003)

or southern Moravia (Magri et al. 2006). When the most northern site within the species range

dominated by the blue cluster may have been a result of a bottle-neck. The phylogenetic structure

across the range of *F. sylvatica* is thought to result from post-glacial expansion: from the west

across the central European plains (cluster indicated in maroon), from the south across the

Carpathian mountain range (cluster indicated in yellow), and from Corsica and nearby sites at the

French Mediterranean basin (FRB, FRM) across the Appenninan Peninsula (ITB, ITV, ITF, ITP),

Balkans (HRP, RSB, RSF, SIK) and the Carpathian mountain range (PLB, PLW), with the last among

these likely permitted by terrestrial connections between the Adriatic and Mediterranean coasts

during the last glaciation (cluster indicated in green) (Hazler et al. 1997). These genetic patterns

correlate with environmental niches defined by water availability (BIO 09, BIO 15, BIO 17) and

isothermality (BIO 03), which may determine adaptive patterns in European beech (Fang and

Lechowicz 2006, Bolte et al. 2007), blurred by gene flow. In contrast to lower mountain ranges, the

Alps likely act as a barrier for gene flow between southern and northern populations (Paule 1995).

Additionally, the continuous distribution of the species in the northern part of its range likely allows

greater gene flow across the landscape, while the patchier distribution in the south, with a more

restrictive topography an thus climatic variation, may limit gene flow and maintain genetic distance

between populations. This may result in greater genetic differences between southern sites, which

may have further been enhanced by a longer history and post-glacial climatic changes causing

migration to higher altitudes (Thiébaut et al. 1982). Merging the current genetic variation and the

phylogeographic history of the species with regularly monitored spectral (phenotypic) variation

could allow more accurate assessment of genetic diversity within tree species across its range.

4.2. Associations of spectral variation with genetic structure and genetic variants

We report spectral-genetic associations based on spectral phenotyping of leaves sampled at

selected sites across the natural range of *F. sylvatica*. These associations showed that the spectral

variation that contributed most to the prediction of genetic structure in this study corresponds to

pigment absorption features related to electronic transitions induced by light at 410 nm and 530

nm (Gitelson et al. 2006), leaf water content related to vibrational absorption of photons at 1500

nm, and potentially leaf surface morphological properties affecting the reflection of UV light at 350

nm, with the caveat that this last signal is characterized by higher uncertainty (Petibon et al. 2021).

This suggests that the species' genetic diversity may be predicted by these spectral variables as

proxies of the underlying leaf traits. This additionally requires that variation in these leaf traits is

substantially genetically based and less strongly influenced by environmental variation.

Additonally, we identified loci across the genome significantly associated with reflectance in UV

radiation around 360 nm and in SWIR above 1800 nm and for the eleven distinc PCs of reflectance

spectra. Among the 14 SNPs showing significant associations with spectral features two were

located within annotated genes. One gene (on the 1st chromosome) is annotated as having

homology to genes in other organisms that are known or predicted to encode a P-type ATPase

associated with magnesium ion transport and ATPase-coupled intramembrane lipid

translocation—functions central to maintaining chloroplast structure and ion homeostasis (Dukic

et al. 2023; Østerberg et al. 2018). Given magnesium's essential role as the central atom in

chlorophyll, variation in its regulation may influence pigment composition and thereby modulate

spectral reflectance in the UV-visible range. Notably, this association showed an exceptionally small p-value (2.59×10^{-27}), standing out from the rest of the significant associations, but was not supported by nearby SNPs in linkage disequilibrium. This raises the strong possibility that this is a false positive (Hinney et al. 2007) or the result of unaccounted confounding factors (Grinde et al. 2024) potentially impacted by high measurement uncertainty in this spectral range (Petibon et al. 2021). The second gene (on the chromosome 10th) is annotated as a putative serine-type endopeptidase, a class of enzymes involved in proteolytic processing during development and stress responses (Zhang et al. 2006). Serine proteases have also been implicated in membrane remodeling and lipid-protein interactions (Higashi et al. 2019), processes that can affect leaf surface properties and structural organization that could be relevant to SWIR reflectance. Further studies identifying genetic pathways in which the localized genes are involved would potentially help to understand the mechanistic foundation of genetic drivers of particular plant traits that influence these spectral variations in our model species. In addition, polygenic, structural genomic, and epigenic impacts on spectral variation could further facilitate research on selection processes that drive adaptations in functional genes across broad spatial and temporal scales. Finally, genetic manipulation studies would be required to test causal links in these associations. The use of experimental setups manipulation genotype-by-environment interactions would allow to test associations and potentially to quantify heritability. Studies conducted across networks of common gardens as well as studies manipulating environmental and genetic factors would be required to separate genetic and environmental influences on spectral variation. Common garden networks are increasingly available for *F. sylvatica* and should be used to test spectral–genetic associations.

We acknowledge that the derived spectral–genomic associations are specific to the sampled

individuals across the environmental gradient covered by the 23 sites. Furthermore, we note that

our analyses did not correct for potential confounding effects of sampling conditions such as

weather, daytime, season or for environmental heterogeneity within sites. We generally sampled

from southern to northern sites over time in order to sample sites at similar Growing Degree days

(GDD) (Supporting information Tab. **T1**) and sampled transects were within a similar aspect such

that environmental variation would be primarily in microenvironmental factors such as localized

shading or differences in belowground conditions; sampling was furthermore limited to large

canopy-forming trees that should experience more similar microenvironments within a site.

Additionally, our analysis includes a widely adopted publicly available climate dataset that can be

interpreted as representing climatic conditions earlier in the establishment and growth of the

studied trees and is too coarse-grained to capture within-site differences. Thus in our case, it is

clear that leaf spectral data include complimentary information about the current state of trees as

well as within-site variation among sampled individuals. The inclusion of more current and more

spatially resolved environmental information would allow to estimate the genetic contribution to

leaf spectral variation as measured within and across these sites. Expansion of the sampling to

genetically or environmentally unique sites, and further randomization or standardization of

collection across weather, temporal, and microclimatic conditions would additionally expand the

scope to predict genetic variation from spatially broad and contiguous spectral information.

4.3. Potential of remote observations to contribute to monitoring genetic variation

We have used the largest sampling to date of genomic and spectral variation of *F. sylvatica* across

its natural range, combining genetic variation derived from short-read whole-genome sequencing

with variation in spectral phenotypes of leaves. We have shown a potential contribution of spectral

information to support predictions of genetic diversity. This represents an example how to move

on from previous studies carried out at single sites and with restricted genetic information (Czyż

et al. 2020, Czyż et al. 2023, Li et al. 2023, Cavender-Bares et al. 2016, Seeley et al. 2023, Meireles

et al. 2020) to large-scale remote assessments of genetic variation and presents the potential to

associate spectral variation within species with functional genes which may futher complement

the remote mapping of plant functional traits. As this study presents a proof of concept for

intraspecific genetic variation assessments under natural conditions, it relies on the spatially sparse

in situ leaf-level investigation of spectral phenotypes and is thus only a first step from which

upscaling to remote observations of spectral phenotypes at a landscape scale and beyond will have

to be developed.

Spectral phenotypes at the canopy level acquired from remote observations of airborne and

spaceborne imaging spectrometers will allow for spatially dense spectral sampling over large

geographical areas. Data from existing spaceborne imaging spectrometers, and the wealth of

planned and upcoming imaging spectrometer missions (including NASA's SBG (Cawse-Nicholson et

al. 2021) and ESA's CHIME (Celesti et al. 2022)), will bring spatially contiguous and temporally

dense information about spectral properties of the Earth surface resolved at spectral intervals

which are sufficiently narrow to be interpreted in terms of molecular absorption features. However,

the potential correlation between genomic and spectral variation acquired over large areas and at

coarser spatial resolution with pixels each representing a plant community rather than a single

individual (Zheng et al. 2022, Helfenstein et al. 2022) and with a lower Signal-to-Noise ratios of

spectral signal still need to be evaluated in terms of their potential contribution to monitoring

genetic diversity supported by remote observation.

Spectral support of predictions for genetic diversity across space and time may ultimately help to

target environmentally, phenotypically, and genetically underrepresented sites or hotspots for the

monitoring and conservation of genetic resources and contribute to calibration of predictive

models. Improved predictions of genetic variation supported by spectral phenotypes could be used

to develop novel indicators of change in genetic diversity and adaptation within tree species over

time when combined with repeated monitoring and could deliver information for the adjustment

of current efforts to safeguard biodiversity at the global scale, at unprecedented speed and spatial

completeness.

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511 Declaration of Competing Interest

512 The authors declare that they have no known competing financial interests or personal

513 relationships that could have appeared to influence the work reported in this paper.

515 Author contributions

Initials are listed in order of contribution. Conceptualization: EAC, MES, BS, MBE, MH, MCS.

Methodology: EAC, MES, MCS, BS, MBE, AM, MH. Software: EAC, BS, CL. Validation: EAC, BS, MBE.

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MBE, MH, MCS, CL, DLE, MES. Visualization: EAC. Supervision: MES, MCS, BS. Project

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523 Data availability

524 The raw short-read whole genome sequences genomic data that support the findings in this study

525 are deposited at NCBI Sequence Read Archive (SRA) under the project with accession number

526 PRJNA980459. The leaf spectroscopic data are archived in the Specchio Spectral Information

527 System (https://specchio.ch/). All data will be publicly available upon publication.

Code availability

530 The bash codes developed for deriving genetic structure, and *R* and *Matlab* codes developed for

531 the statistical analysis are deposited at GitHub (*to be established upon the publication*) and will be

532 publicly available upon publication.

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