Complex Polyploids: Origins, Genomic Composition, and Role of Introgressed Alleles

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ABSTRACT

Introgression allows polyploid species to acquire new genomic content from diploid progenitors or from other unrelated diploid or polyploid lineages, contributing to genetic diversity and facilitating adaptive allele discovery. In some cases, high levels of introgression elicit the replacement of large numbers of alleles inherited from the polyploid's ancestral species, profoundly reshaping the polyploid's genomic composition. In such complex polyploids it is often difficult to determine which taxa were the progenitor species and which taxa provided additional introgressive blocks through subsequent hybridization. Here, we use population-level genomic data to reconstruct the phylogenetic history of *Betula pubescens* (downy birch), a tetraploid species often assumed to be of allopolyploid origin and which is known to hybridize with at least four other birch species. This was achieved by modeling of polyploidization and introgression events under the multispecies coalescent and then using an approximate Bayesian computation (ABC) rejection algorithm to evaluate and compare competing polyploidization models. We provide evidence that *B. pubescens* is the outcome of an autoploid genome doubling event in the common ancestor of *B. pendula* and its extant sister species, *B. platyphylla*, that took place approximately 178,000-188,000 generations ago. Extensive hybridization with *B. pendula*, *B. nana*, and *B. humilis* followed in the aftermath of autopolyploidization, with the relative contribution of each of these species to the *B. pubescens* genome varying markedly across the species' range. Functional analysis of *B. pubescens* loci containing alleles introgressed from *B. nana* identified multiple genes involved in climate adaptation, while loci containing alleles derived from *B. humilis* revealed several genes involved in the regulation of meiotic stability and pollen viability in plant species.

Keywords: allopolyploidy; autopolyploidy; Betula; gene flow; genomic polarization; homoeologs; interploidal; introgressive hybridization; polyploid phylogenetics; polyploidization simulation; reticulate evolution.

INTRODUCTION

Hybridization and whole genome duplication (WGD) shape evolution in many different ways, facilitating gene flow across distinct lineages (Albertin and Marullo 2012; Dasmahapatra et al. 2012; Ellstrand 2014; Mallet et al. 2016; Schmickl and Yant 2021), giving rise to new species (Dowling and Secor 1997; Ramsey and Schemske 1998; Mallet 2007; Rieseberg and Willis 2007; Soltis and Soltis 2009; Baack et al. 2015; Van de Peer et al. 2017), and fueling adaptive radiations (Seehausen 2006; Keller et al. 2013; Fontaine et al. 2015; Lamichhaney et al. 2015; Meier et al. 2017; Robertson et al. 2017). Homoploid hybridization, the formation of interspecific hybrids without a change in ploidy level, is widespread across many eukaryotic groups (Grant and Grant 1992; Mallet 2005; Meier et al. 2017), having been estimated to affect 25% of all vascular plants and 10% of animal species (Mallet 2005). Polyploids, species whose genome contains more than two complete sets of chromosomes, can arise via whole genome duplication within a single ancestral species (autopolyploids), or from hybridization between two different species concomitant with genome doubling (allopolyploids). Polyploidy is particularly prevalent in plants (Bowers et al. 2003; De Bodt et al. 2005; Wood et al. 2009; Amborella Genome Project 2013; Barker et al. 2016; Van de Peer et al. 2017) but evidence of ancient polyploidization events has been found in many other eukaryotic lineages, including fungi (Wolfe and Shields 1997; Kellis et al. 2004; Ma et al. 2009), vertebrates (Dehal and Boore 2005; Braasch and Postlethwait 2012; Berthelot et al. 2014; Macqueen and Johnston 2014), arthropods (Nossa et al. 2014; Schwager 2017; Li et al. 2018a; Cerca et al. 2021), and ciliates (Aury et al. 2006).

While introgression and polyploidization are generally addressed in the literature as separate biological phenomena, the two processes are not mutually exclusive and often coexist in nature. The occurrence of gene flow from a diploid species to its polyploid descendant, mediated by viable triploid hybrids, was first hypothesized more than sixty years ago by Stebbins (1956), who further noted that interploidal gene flow was expected to be largely unidirectional (Stebbins 1971) with the polyploid species acting as an "allelic sponge" (Schmickl and Yant 2021). A growing body of evidence has emerged suggesting that polyploids can accumulate new alleles not only through introgression with diploid progenitors but also from other, more distant, diploid and polyploid lineages (Marhold and Lihová 2006; Kim et al. 2008; Arnold et al. 2016; Spoelhof et al. 2017; Baduel et al. 2018; Cheng et al. 2019; Marburger et al. 2019; Nieto Feliner et al. 2020; Seear et al. 2020; Schmickl and Yant 2021; Nibau et al. 2022). Introgression via

triploid hybrids allows incipient outcrossing polyploids to alleviate the dearth of intraspecific

sexual partners (Felber 1991; Ramsey and Schemske 1998; Husband 2004), and contributes to

genetic diversity and adaptation (Kim et al. 2008; Slotte et al. 2008; Arnold et al. 2016; Hardigan

et al. 2017; Schmickl et al. 2017; Spoelhof et al. 2017; Schmickl and Yant 2021). Recent studies

show that introgression can also play an important role in the establishment of meiotic stability

in nascent polyploids (Marburger et al. 2019; Seear et al. 2020; Nibau et al. 2022). Introgression

involving non-parental species in the aftermath of polyploidization is well-documented in the

Arabidopsis genus, where it mediates bidirectional gene flow between tetraploid *A. arenosa* and

tetraploid *A. lyrata* (Jørgensen et al. 2011; Arnold et al. 2016; Hohmann and Koch 2017; Lafon-

Placette et al. 2017; Marburger et al. 2019; Monnahan et al. 2019; Seear et al. 2020). Interploidal

gene flow from (non-parental) diploids into tetraploid species has been reported to occur, for

example, in bread wheat (*Triticum aestivum*; Cheng et al. 2019), in the *Neobatrachus* genus of

Australian burrowing frogs (Novikova et al. 2020), among *Miscanthus* perennial grasses (Clark

et al. 2015), and in the *Rorippa* genus of flowering plants (Bleeker and Hurka 2001; Bleeker

2003). Gene flow across species of different ploidy levels has also been observed in the *Betula*

(Ashburner and McAllister 2013, pp. 65-75), *Senecio* (Kim et al. 2008), and *Cardamine* (Lihová

et al. 2007) genera of flowering plants, but as their reticulate phylogenies remain partially

unresolved it is currently not clear whether observed interploidal patterns of gene flow involve

any diploid species other than the polyploid's parental species. This situation in turn calls

attention to one unintended consequence of the coexistence of polyploidization and introgression

in a single species: although levels of introgression are frequently too low to mask the

evolutionary origin of a polyploid, there are instances where its presence may severely interfere

with attempts at clarifying its reticulate history.

Few taxa illustrate this predicament better than the birch genus (*Betula* spp.), a group

containing several polyploids, high levels of interspecific hybridization, and whose phylogeny

has long been marred by uncertainty (Järvinen et al. 2004; Li et al. 2005; Li et al. 2007; Schenk

et al. 2008; Wang et al. 2016; Wang et al. 2021). One tetraploid in particular, *B. pubescens*, a

monoecious, self-incompatible tree that is widespread across northern Eurasia has been a subject

of considerable interest. The high levels of genetic diversity and allelic richness observed in *B.*

pubescens are often presented as indirect evidence of allopolyploidization (Howland et al. 1995;

Tsuda et al. 2017) since species originating via WGD are generally expected to have levels of

genetic diversity in line with values observed in their diploid progenitors (Howland et al. 1995;

Palmé et al. 2004; Truong et al. 2007; Tsuda et al. 2017, but see Truong et al. (2007) for

arguments for an autopolyploid origin). While most authors tend to agree that *B. pendula* is most
likely one of the ancestral parents (Walters 1968; Howland et al. 1995; Järvinen et al. 2004;
Tsuda et al. 2017; Wang et al. 2021), the identity of the putative second parent has remained
elusive, with different studies providing support for disparate hypotheses. These include *B. nana*
(Järvinen et al. 2004; Tsuda et al. 2017), *B. humilis* or a closely related, possibly extinct, species
(Walters 1968; Järvinen et al. 2004; Salojärvi et al. 2017; Tsuda et al. 2017), and *B. platyphylla*
(Wang et al. 2021). As *B. pubescens* also hybridizes with most of these same species
(Anamthawat-Jónsson and Tomasson 1990; Atkinson 1992; Thórsson et al. 2001; Palmé et al.
2004; Jadwiszczak et al. 2012; Wang et al. 2014; Eidesen et al. 2015; Zohren et al. 2016; Tsuda
et al. 2017), this combination of polyploidization and extensive introgression makes *B.*
pubescens a particularly difficult species to characterize phylogenetically.

In part, this problem stems from a lack of adequate phylogenetic inference tools. The
inference of phylogenetic relationships among lineages that contain species of hybrid origin can
be performed using specialized tools that explicitly take into consideration the reticulate nature
of their phylogenies. However, most currently available tools have been developed *either* to
quantify levels of interspecific hybridization (Bryant and Moulton 2004, Huson and Bryant
2006; Than et al. 2008; Pickrell and Pritchard 2012; Solís-Lemus et al. 2017; Wen et al. 2018;
Zhang et al. 2018) *or* to identify the parental species of a polyploid (Jones et al. 2013; Bertrand
et al. 2015; Oberprieler et al. 2017; Oxelman et al. 2017; Lautenschlager et al. 2020; Rothfels
2021; Yan et al. 2022; Freyman et al. 2023; Leal et al. 2023) – but not both simultaneously. There
are also methods that can be used to determine whether a polyploid originated via
autopolyploidization or allopolyploidization (Chenuil et al. 1999; Roux and Pannell 2015;
Winkler et al. 2017; Muñoz-Rodríguez et al. 2018), but again these assume relatively low levels
of gene flow from additional species. Despite their success in unveiling the evolutionary history
of many polyploids and homoploid hybrids, the methods listed above would struggle to
disentangle polyploidization from introgression if faced with highly hybridized polyploids. In
fact, to our knowledge, no method has yet been specifically developed to identify and quantify
the genomic components of species that are the outcome of complex polyploidization: auto- or
allopolyploids that were subsequently subjected to extensive introgression with one or more
additional species (that is, with species other than the polyploid's parental species).

Here, we use a novel approach to disentangle introgression from polyploidization in
order to determine the mode of origin and reconstruct the reticulate phylogeny of the highly

hybridized polyploid *B. pubescens*. This is achieved by employing genomic polarization, a

phasing algorithm that sorts gene copies in polyploids according to their evolutionary history

(Leal et al. 2023), and by modeling polyploidization and introgression events under the

multispecies coalescent. An approximate Bayesian computation (ABC) rejection algorithm is

then used to evaluate and compare competing polyploidization models. We carried out an

analysis of 1,603 exonic sequences in 269 *B. pubescens* specimens collected from twenty-three

locations along the species' range. Our results provide new insights into the evolutionary origin

of *B. pubescens*, its genomic composition, and the probable role of alleles introgressed from *B.*

nana and *B. humilis*.

MATERIALS AND METHODS

Conceptual Framework

Inference of the evolutionary history of the tetraploid *B. pubescens* was based on the

phylogenetic analysis of multiple sequence alignments (MSAs), one for each locus, where the

nucleotide sequence associated to *B. pubescens* has been polarized prior to tree inference.

Genomic polarization is a recently developed iterative subgenome phasing algorithm for

tetraploid species (Leal et al. 2023) that captures allelic variants in a polyploid species *not*

present in a reference sequence, usually one of the other sequences present in the MSA. If the

reference sequence coincides with one of the polyploid's parental species, the polarized

polyploid sequence shows high sequence identity with the second parental species, pairing with

it during subsequent phylogenetic analysis.

Of particular interest to us, cumulative polyploid pairing patterns measured across

hundreds of loci are expected to vary not only according to the reference sequence selected, but

also to depend on the mode of polyploidization and levels of introgression (Fig. 1). For example,

in the absence of introgression, a PPHH allopolyploidization model (where *B. pendula* and *B.*

humilis are assumed to be the parental species) predicts pairing patterns where the tetraploid

overwhelmingly pairs with *B. humilis* when *B. pendula* is used as the reference sequence, and

vice-versa (model 1 in Figure 1). Occasional pairing with non-parental species (peaks shown in

light blue in Figure 1) may also occur as a consequence of incomplete lineage sorting (ILS).

Figure 1 | **Schematic representation of polyploid pairing patterns for different polyploidization and hybridization models –** Barplots show the frequency with which *B. pubescens* is expected to pair with other birch species, across multiple loci, for different evolutionary models and polarization settings. PP and HH indicate the hypothetical evolutionary origin of each subgenome component in the tetraploid (*B. pendula* and *B. humilis*, respectively, in the examples provided). Levels of introgressive hybridization are indicated by *h*.

Instead, the PPPP autopolyploid model (*B. pendula* as the sole parental species; no hybridization)
predicts pairing patterns with a single peak observed for *B. pendula*, a pattern which remains
invariant irrespective of the species used during polarization (model 2 in Figure 1). The presence
of introgression is expected to affect pairing patterns in both allo- and autopolyploids (models 3
and 4 in Figure 1). The location of the extra peaks and their intensity provide clues as to the
identity of the species with which the polyploid hybridizes, and the degree of introgression. By
comparing observed polyploid pairing patterns with those obtained through polyploidization and
introgression modeling, it should therefore become possible to not only source the different
components of a polyploid's genome but also to determine its evolutionary origin, that is, to
establish which taxa were the original progenitor species, and which taxa provided additional
introgressed genome blocks through subsequent hybridization.

Plant Material, DNA Isolation, Library Preparation and Sequencing

Bud and leaf tissues were collected from natural populations for 300 birch individuals,
269 of which were subsequently identified as *B. pubescens,* 25 as *B. pendula* autotetraploids
(4X), and 2 as triploid hybrids of different origin (see *Classification of Polyploid Specimens*
below). Species and ploidy could not be conclusively determined for four samples. Some of
these samples (44) were collected and sequenced as part of a previous study (Opgenoorth et al.
2021; Milesi et al. 2023). *B. pubescens* specimens were sampled from twenty-three locations
across the species range, with a particular focus on Scandinavia (Supplementary Fig. S1). Leaf
material was also obtained from *B. albosinensis*, *B. humilis*, *B. nana*, and *B. platyphylla*
specimens. See Supplementary Table S1 and *Supplementary Materials 2* for further details.

Total DNA was extracted from bud and leaf tissues using a DNeasy Plant Mini Kit
(Qiagen AG, Düsseldorf, Germany). Individual libraries were generated using the SeqCap EZ
HyperCapWorkflow (Roche AG, Basel, Switzerland) using custom probes designed for targeted
exome capture (TEC) and sequenced on paired-end mode (150 bp) on an Illumina NovaSeq 6000
platform (Illumina, San Diego, CA). Target resequencing was based on a custom probe design
covering 1,609 nuclear genes with a 3.2M bp cumulative target size and reduced sequence
redundancy (Milesi et al. 2023). See *Supplementary Materials 1* for further details. Additional
accessions   were   obtained   from   The   European   Nucleotide   Archive   (ENA,
https://www.ebi.ac.uk/ena) for *B. lenta, B. nana, B. occidentalis, B. pendula, B. platyphylla, B.*
populifolia, B. pubescens, Alnus glutinosa, Alnus incana, and a further twenty *B. pendula*

specimens, which were part of previous studies (Salojärvi et al., 2017; Milesi et al. 2023). Further

details about each accession can be found in Supplementary Table S1 and *Supplementary*

Materials 1 & 2.

Processing of Sequencing Libraries and Generation of Multiple Sequence Alignments

(MSAs)

Read mapping and variant calling. – Sequenced reads were mapped to the *B. pendula*

genome assembly, v Bpev01 (Salojärvi et al. 2017), using BWA-MEM v 0.7.17 (Li and Durbin

2009). Chromosomal assignment of loci was performed on the basis of the *B. pendula*

chromosomal map, v 1.4c (https://genomevolution.org/CoGe/GenomeInfo.pl?gid=35080,

accessed 2023-01-26). Genotyping was performed with GATK 4.2.0.0 (McKenna et al. 2010).

For all birch and alder accessions, including those obtained through WGS, only sites located

within the 1,603 nuclear loci covered by the exome probes were considered (six of the targeted

1,609 loci had very low coverage and were excluded). Sites located outside the targeted regions

were excluded during downstream analysis. See *Supplementary Materials 1 & 3* for further

details.

Classification of polyploid specimens. – *B. pubescens* and *B. pendula* specimens

collected in the wild are often difficult to tell apart based solely on morphology (Ashburner and

McAllister 2013, p. 232). Autotriploid and autotetraploid *B. pendula* variants have also been

reported to occur under natural conditions (Johnsson 1944; Zohren et al. 2016). We classified

each sample according to its ploidy level (2X, 3X, or 4X) by computing the ratio between the

number of reads supporting the reference and alternate alleles at biallelic variant sites

(distribution of read counts), as described previously in Yoshida et al. (2013) and Zohren et al.

(2016); see *Supplementary Materials 1* for further details. Results are shown in Supplementary

Figure S2 and *Supplementary Materials 3*.

Generation of consensus sequences and MSAs. – Consensus sequences were generated

for each accession using bcftools consensus v 1.12 (Danecek et al. 2021) by applying single-

nucleotide polymorphisms (SNPs) specific to each individual to the *B. pendula* reference

assembly. Heterozygous sites were coded following the IUPAC nomenclature (Cornish-Bowden

1985). As only invariant sites and point mutations were used when producing each consensus

sequence, consensus sequences associated to different samples are aligned by default: they all

have the same length and retain identical start positions for each gene, and therefore require no

further alignment (Leal et al. 2023). Separate MSAs were produced for each of the 1,603 loci

included in the analysis by splitting each consensus sequence using getfasta from the bedtools

suite, based on the gff3 annotation file associated to the *B. pendula* reference assembly (Salojärvi

et al. 2017). Each MSA contains eight birch species (*B. albosinensis, B. humilis, B. lenta, B.*

nana, B. occidentalis, B. pendula, B. platyphylla, and *B. populifolia*) and two alder species (*A.*

glutinosa and *A. incana*). Additionally, each MSA contains a nucleotide sequence associated to

one of the 269 *B. pubescens* samples included in this study, with each *pubescens* specimen and

locus therefore being the subject of a separate phylogenetic analysis. See *Supplementary*

Materials 1 for further details.

Data Analysis

Genomic polarization. – Genomic polarization (Leal et al. 2023) of the focal *B.*

pubescens nucleotide sequence was performed directly on each MSA using the PolyAncestor

pipeline (https://github.com/LLN273/PolyAncestor) before carrying out phylogenetic analysis

(Supplementary Figure S3). We performed independent polarizations based on four reference

species: *B. pendula, B. platyphylla, B. humilis*, and *B. nana*. During polarization, the nucleotide

sequence associated to the focal *B. pubescens* sample is scanned nucleotide by nucleotide, and

variants at polymorphic sites found to also be present in the reference sequence are filtered out.

This does not apply to sites in the focal *B. pubescens* sequence that are fixed, masked, or whose

allelic composition exactly matches that observed in the reference sequence (in all three cases,

the polarized sequence remains identical to the unpolarized *B. pubescens* sequence). Sites in the

polarized sequence were recoded using the IUPAC nomenclature. Note that the terms *reference*

sequence and *reference genome* have distinct meanings in the context of this paper. The former

refers to the sequence in the MSA used as a reference during genomic polarization, while the

latter refers to the *B. pendula* reference assembly used during read mapping, variant calling, and

generation of consensus sequences.

Phylogenetic analysis. – Phylogenetic reconstruction of individual gene families was

performed using maximum-likelihood as implemented in IQ-TREE2 v 2.0-rc2-omp-mpi (Minh

et al. 2020). Polyploid pairing profiles were produced by counting the number of times the

polarized polyploid species pairs with any of the other birch species included in the phylogeny,

based on the analysis of individual gene trees (IQ-TREE2 output). This analysis was carried out

independently for each of the 269 *B. pubescens* samples collected. Next, polyploid pairing
profiles were generated for each population/location by averaging across all individuals in the
population (Supplementary Figure S3). See *Supplementary Materials 1* for further details.

Population structure analysis. – Bayesian statistical inference of admixture levels
between *B. pubescens* populations and different birch species was performed with STRUCTURE
v 2.3.4 (Pritchard et al. 2000), based on the analysis of 10,000 variant loci in 126 birch
accessions. The presence of population genetic structure was also investigated using
discriminant analysis of principal components (DAPC; Jombart et al. 2010) with adegenet v
2.1.7, based on 50,000 variant loci belonging to 49 birch accessions. See Supplementary
Materials 1 for further details.

Model Testing

In order to evaluate competing polyploidization models, we generated synthetic MSAs
based on different polyploidization modes and levels of hybridization, and compared their
associated pairing profiles to those observed experimentally using an approximate Bayesian
computation (ABC) rejection algorithm.

Polyploidization and hybridization simulations. – We produced synthetic MSAs where
the focal polyploid, representing *B. pubescens*, was generated based on different
polyploidization and hybridization models (Supplementary Figure S3). We considered the
following base polyploidization scenarios: PPPP, AAAA, PPNN, PPHH, PPNH, PPP_yP_y,
AANN, AAHH, and AANH, where character pairs indicate the origin of each of the tetraploid's
subgenomes (PP: *B. pendula*; AA: the common ancestor of *B. pendula* and *B. platyphylla*; NN:
B. nana; HH: *B. humilis*; P_yP_y: *B. platyphylla*; NH: *B. nana* x *B. humilis* hybrid). The first two
cases (PPPP and AAAA) indicate an autopolyploid origin, while all other cases assume *B.*
pubescens to be an allopolyploid. For each of these nine models, we then simulate different
scenarios where the polyploid gains new alleles through introgressive hybridization with one or
more third species.

We used SimPhy v 1.0.2 (Mallo et al. 2016), a phylogeny simulator based on the
multispecies coalescent model, to generate gene family trees evolving under ILS (based on a
species tree provided by the user). Initial simulation conditions were similar to those used by

Zhang et al. (2018) and Leal et al. (2023) to simulate a moderate ILS scenario. A homemade

script was used to further adjust (reduce) genus-wide levels of ILS. When running SimPhy, the

initial species-wide phylogeny was kept fixed and mimicked the observed *Betulaceae* tree for

the species of interest, to which five extra branches were added: the allopolyploid's putative

ancestral species, and the species with which the allopolyploid can potentially hybridize

(Supplementary Fig. S5). Two haploid individuals were created per species. Twenty-five

independent replicates were simulated for each of the five polyploidization scenarios, each

containing fifty gene families, producing a grand total of 1,250 gene families per model.

We generated synthetic MSAs for each gene family using INDELible v 1.03 (Fletcher

and Yang 2009) based on the gene trees created with SimPhy. Mean nucleotide sequence length

and standard deviation were set to 1,500 and 100 bp, respectively. For exact simulations

conditions, see Leal et al. (2023).

Once the MSAs were generated, the two haploid individuals belonging to the same

species were used to create diploid individuals, and the allopolyploid was created using the

nucleotide sequences associated to the two hypothetical parental species (e.g., 'ANCESTRAL N'

and 'ANCESTRAL PEN' in Supplementary Figure S5). For each gene in the tetraploid assumed

to have undergone introgressive hybridization (such loci were selected randomly), one of the

putative ancestral species was replaced by the sequence associated to the species with which the

tetraploid hybridizes (e.g. ' ANCESTRAL H'). Diverse evolutionary scenarios can therefore be

simulated by selecting different parental pairs, the identity of one or more hybridizing species,

and hybridization levels. All putative ancestral sequences (*H*, *N*, *PLA*, *PEN*, and *ANC*, see

Supplementary Figure S5) were dropped from the MSA prior to phylogenetic inference.

Genomic polarization of the synthetic *B. pubescens* sequence and the subsequent phylogenetic

analysis of individual gene families with IQ-TREE2 were performed as described above. All

genes from all replicates (1,250 genes) were used during the analysis of polyploid pairing

patterns.

When modeling the allopolyploidization process, it was assumed that alleles obtained

through introgression can be shared across subgenomes (as opposed to being confined to a

particular subgenome). While bivalent pairing is predominant during meiotic prophase I in *B.*

pubescens (Brown and Al-Dawoody 1979), observation of segregation patterns revealed that this

species exhibits polysomic inheritance (Stern 1965), suggesting that genetic recombination can
occur between homoeologous chromosomes associated to different subgenomes.

Model evaluation. – Evaluation of each of the nine base polyploidization models was
done using an approximate Bayesian computation (ABC) rejection algorithm (Tavaré et al. 1997;
Beaumont et al. 2002; Beaumont 2019). Polyploid pairing profiles observed experimentally for
four polarization geometries (polarization performed using *B. pendula*, *B. platyphylla*, *B. nana*,
and *B. humilis* as reference sequences) and across different sampling locations were compared
with those produced using simulated data, with the aim of identifying the model that best
reproduces the experimental observations across the species range (Supplementary Figure S3).
Polyploid pairing frequencies obtained for the four polarizing geometries used therefore
constitute our summary statistics. The number of model parameters varied between seven and
eleven, depending on the polyploidization model (Supplementary Fig. S6). Most model
parameters (H_i) represent fractions of the genome associated to a specific evolutionary history.
Two extra parameters were used to model genus-wide levels of ILS and the level of secondary
gene flow and/or ancestral shared polymorphisms between *B. pendula* and *B. platyphylla*.
Allopolyploid systems (models 3 to 9) were simulated under two different conditions, either
precluding or allowing for the possibility of homoeologous exchange. Initial optimization of
model parameters, performed separately for each of the nine polyploidization models and for
different populations, was carried out using a simulated annealing algorithm with four
independent chains and randomized start model parameters (Supplementary Figure S3). Final
optimization was performed using ABC. Batches of 1,000 simulation runs were generated for
each model/location with priors sampled from a truncated normal distribution centered on the
best model parameters determined using simulated annealing. The weighted *L2* norm distance
was used to evaluate the degree of agreement between simulated (y_{sim}) and observed (y_{obs})
polyploid pairing frequencies:

$$L2(y_{sim}, y_{obs}) = \left(\sum_i |\omega_i \cdot (y_{sim,i} - y_{obs,i})|^2 \right)^{1/2} \qquad (1)$$

with weights ω_i set to 5 for the highly variable genomic components (pairing with *B. pendula*,
B. platyphylla, the *B. pendula*/*B. platyphylla* clade*, B. nana*, and *B. humilis*), and to 1 in all other
cases. Weights were chosen in order to increase L2 sensibility to the highly variable genomic
components while accounting as well for background polyploid-pairing values associated to
other birch species. The best polyploidization model was selected by identifying the model that

consistently best reproduces observed pairing patterns across the *B. pubescens* species range by
incurring only plausible local adjustments on the level of hybridization with one or more third
species. For each model and location, posterior distributions for each model parameter were
estimated based on the top 5% (50) simulation runs with lowest L2 distance. Finally, estimates
for the levels of introgression (h_{nana}, $h_{humilis}$) were produced for each model on the basis of the
optimized H_i model parameters. Link to scripts used to perform simulated annealing and ABC
is provided at the end of the article.

Demographic Modeling

The speciation time for *B. pubescens* was estimated using coalescent simulations with
fastsimcoal2, v 2.7.0.9 (Excoffier et al. 2013), based on *B. pendula*, *B. platyphylla*, and *B.*
pubescens SNP data. Only *B. pubescens* loci containing four gene copies of either *B. pendula* or
B. platyphylla ancestry were included in the analysis (any locus containing one or more gene
copies of *B. nana* or *B. humilis* ancestry in any of the *B. pubescens* samples included was filtered
out). This ensured that *B. pubescens* loci included in the coalescent analysis all derived from *B.*
pendula, *B. platyphylla*, or their common ancestor. In order to minimize data loss due to locus
filtering, we sampled only four *B. pubescens* tetraploids and eight diploids for each of *B. pendula*
and *B. platyphylla*. The unfolded multidimensional site frequency spectrum (SFS) contained
both intronic and four-fold degenerate biallelic sites with a minimum sequencing depth of 8X
observed in all samples. Four-fold degenerate sites were identified using SnpEff, v 5.0
(Cingolani et al. 2012). Maximum-likelihood inference of the ancestral allele used when building
the SFS was performed with est-sfs, v 2.04 (Keightley and Jackson 2018), using *B. pendula* as
the focal species and *B. populifolia*, *B. nana*, and *B. occidentalis* as outgroups. Separate
multidimensional SFS were created based on different *B. pubescens* populations (Central
Europe, Southern Sweden, Central Asia, and Spain). The speciation time for *B. pubescens* was
estimated separately for each *B. pubescens* population. See *Supplementary Materials 1* for
further details on model selection and optimization.

Geographic Distribution and Functional Analysis of Alleles of B. nana and B. humilis
Ancestry

The fraction of *B. pubescens* individuals in a population containing alleles of *B. nana* or
B. humilis origin was computed separately for each of the 1,603 genes included in the analysis.

Such allelic variants were identified using the genomic polarization protocol (IQ-TREE2
output), as detailed above. Only MSAs containing at least 100 parsimony-informative sites were
included in the analysis (see "Phylogenetic Analysis: Detailed Description" in *Supplementary*
Materials I). For this particular analysis, and in order to minimize local genetic bias (family
effects), each (meta-)population includes all individuals from two or more sampling sites within
a certain geographic area but located hundreds of kilometers apart. The only exception is the
Southwestern European population (ES), which includes samples from a single location. K-
means cluster analysis was used to partition *B. pubescens* loci containing alleles of *B. nana* or
B. humilis ancestry in groups with a similar abundance profile across geographic locations. See
Supplementary Materials 1 for further details.

As it is common practice when working with non-model plant species, functional
annotation of *B. pubescens*/*B. pendula* genes was based on the annotation of homologous genes
in the *Arabidopsis thaliana* model species. We used the association file between *A. thaliana* and
B. pendula genes (based on sequence similarity) released with the *B. pendula* reference assembly
(Salojärvi et al. 2017). Functional annotation for *A. thaliana* genes was obtained from the Swiss-
Prot collection of manually curated (reviewed) genes listed in the Uniprot database
(https://www.uniprot.org/uniprotkb?facets=reviewed%3Atrue&query=%28taxonomy_id%3A3
701%29, downloaded October 27, 2022).

RESULTS

The Proportion of the Humilis and Nana Genomic Components in B. pubescens Varies
Across the Species Range

Analysis of the genomic composition of tetraploid *B. pubescens* specimens was
performed using the genomic polarization framework (see *Materials and Methods* and Leal et
al. (2023)). Observed pairing patterns for the polarized polyploid, evaluated across hundreds of
loci, suggest that at least three species have contributed to the *B. pubescens* genome either as
parental species during polyploidization or as a consequence of subsequent introgression: *B.*
pendula, *B. nana*, and *B. humilis* (Fig. 2a). The contribution of each of these species varies
widely across the *B. pubescens* distribution range (Figs. 2a, 2b and Supplementary Fig. S7).

Figure 2 | ***B. pubescens* genomic composition and population structure** – **(a)** Barplots show the frequency with which the polarized *B. pubescens* sequence pairs with other birch species during phylogenetic inference at four different locations across the *B. pubescens* species range, based on phylogenetic analysis of individual gene families using IQ-TREE2. *B. platyphylla* was used as the reference sequence during genomic polarization. Red dots indicate *B. pubescens* sampling locations. **(b)** Variation in polyploid pairing frequencies between polarized *B. pubescens* and *B. humilis* (light green) or *B. nana* (dark green) measured across sampling locations, compared to the baseline value, the average pairing frequency across all locations. *B. platyphylla* was used as the reference sequence during genomic polarization. **(c)** Estimated genetic admixture of 126 individuals belonging to five *Betula* species according to STRUCTURE analysis based on 10,000 variant loci and K=6. Each individual is represented by a vertical column. Data for diploid (2X) and tetraploid samples (4X) is shown separately to facilitate interpretation. Data for tetraploid samples is sorted by latitude from left (south) to right (north). Population identifiers (based on Supplementary Figure S1): ES (site 1), PL (2), UA (3), CA-west (22), LT (4), CA-east (23), SV-south (7), NO (5), FI (21), Arctic (20). The number of optimal clusters, as determined using the ln Pr(X|K) method, is 6 (Supplementary Figure S10). **(d)** Discriminant analysis of principal components (DAPC) plot for 49 birch accessions based on 50,000 variant loci. *B. pendula* and *B. pubescens* are represented by both whole genome sequencing (WGS) and targeted exome capture (TEC) accessions. *B. pubescens* groups are as follows: Southwestern Europe (site 1.), Central Europe (2, 3, 4), Central Scandinavia (17), Arctic (20), Central Asia (22, 23).

The nana genomic component is highest in Arctic regions, decreasing progressively as we move

towards lower latitudes (Fig. 2b and Supplementary Fig. S7). The humilis genomic component

is more uniform across the *B. pubescens* range, with a maximum value in Central Asia, and

below average values in Southern Europe (Fig. 2b and Supplementary Fig. S7). While the nana

and humilis components are both reduced in specimens collected in Southern Europe (Fig. 2b

and Supplementary Fig. S7d), they are nonetheless still clearly observed, unlike in tetraploid *B.*

pendula samples where their presence is vestigial and mostly in line with background ILS levels

(Supplementary Fig. S8). This suggests that the Southern European population, while unique

among the populations included in this study, retains genomic characteristics generally found in

other *B. pubescens* populations. Using the *B. pendula* chromosome map as a reference, we also

checked whether *B. pubescens* loci containing only alleles of *B. pendula* origin varied across

samples and whether they were concentrated in specific genomic regions. The location of *B.*

pubescens loci fixed for the pendula allele varied from sample to sample and were generally

distributed across the whole genome (*Supplementary Materials 4*).

Complementing the results presented above, we also performed the analysis of a subset

of *B. pubescens* specimens using STRUCTURE, an approach used in previous studies to evaluate

the level of admixture between this polyploid and other birch species (Wang et al. 2014; Eidesen

et al. 2015; Zohren et al. 2016; Tsuda et al. 2017). The STRUCTURE analysis differentiates

different *B. pubescens* populations (Fig. 2c and Supplementary Figs. S9 and S10) but, unlike the

phylogenetic analysis based on polarized genomic sequences (Figure 2a and Supplementary Fig.

S7), it provides no hint that all *B. pubescens* specimens across the species range contain large

genomic components of *B. nana* and *B. humilis* origin. Population structure was also investigated

using DAPC. In the resulting plot, individual *B. pubescens* populations are distributed along a

tri-axis (Figs. 2d and Supplementary Fig. S11), with the Scandinavian and Arctic populations

showing a heightened nana contribution, the Central Asia population being shifted towards *B.*

humilis, and the Southwestern European samples being closer to the *B. pendula* cluster.

Polyploidization and Hybridization Modeling Gives Support to an Autopolyploid Origin for B.

Pubescens in the Absence of Homoeologous Exchange

We appraised the ability of nine hypothetical *B. pubescens* polyploidization models

(Supplementary Fig. S6) to explain observed polyploid pairing patterns across the species' range

(shown in Figure 2a and Supplementary Figure S7) using an approximate Bayesian computation

rejection algorithm (Supplementary Fig. S3). We proceeded in two steps. First, we excluded the

possibility of full homoeologous replacement in any of the allopolyploid models, namely that

gene copies initially found in one of the subgenomes could attain fixation. We then run a second

set of simulations where we allowed for homoeologous replacement.

Upon comparison with the observed data for a *B. pubescens* population in Southern

Sweden (Figs. 3 and Supplementary Fig. S12), the best fit (weighted *L2* norm distance = 0.49 ±

0.01) was obtained when *B. pubescens* was assumed to be an autopolyploid which originated via

WGD from the common ancestor of *B. pendula* and its sister species, *B. platyphyll*a (Model 2:

AAAA). Optimized levels of introgression for Model 2 were h_{nana} = 0.41 ± 0.03 and $h_{humilis}$ =

0.34 ± 0.02, suggesting that, post-polyploidization, gene flow from *B. nana* and *B. humilis* have

replaced at least one gene copy in 41% and 34% of all loci, respectively, in *B. pubescens*

specimens in Southern Sweden. Levels of introgression are predicted to be even higher for *B.*

pendula, whose alleles are present on average in 79% of all loci in the *B. pubescens* genome.

The pendula autopolyploid model (Model 1: PPPP) provided the second-best overall fit

(weighted *L2* norm distance = 0.74 ± 0.01). The deterioration in the *L2* error observed when

using this model was due to its inability to properly predict the pendula genomic contribution,

which was inflated for one of the polarization geometries (peak highlighted in red in Figures 3).

All the allopolyploid models provided much worse fits, with predicted polyploid-pairing profiles

for the three key species (*B. pendula*, *B. nana*, and *B. humilis*) showing major discrepancies

when contrasted against the observed data (Figs. 3, 4a, and 4b). Unlike the autopolyploidization

models, where the nana and humilis genomic contributions can be adjusted independently, in the

B. pendula/*B. nana* and *B. pendula*/*B. humilis* allopolyploid models the phylogenetic signals

from nana and humilis genomic components show an inversely proportional dependency: a

progressive reduction in the *B. nana* peak was observed as $h_{humilis}$ values are increased, and vice-

versa (dashed line in Fig. 4a). A similar dynamic was observed in the remaining allopolyploid

models.

The AAAA autopolyploid model outperforms all other models not only when applied to

the Southern Sweden *B. pubescens* population, but also in all other populations across the species

range (Fig. 4c). Polyploid pairing predictions based on the AAAA autopolyploid model for seven

geographic locations covering the *B. pubescens* range reveal a high degree of accuracy when

compared with observed values (Supplementary Fig. S13). The *B. pubescens* genomic

Figure 3 | **Comparison of *B. pubescens* evolutionary models –** Barplots shows the frequency

with which the polarized *B. pubescens* sequence pairs with other birch species, for different

evolutionary models and polarization settings, based on phylogenetic analysis of individual gene

families using IQ-TREE2. P, N, H, P_y, and A indicate the hypothetical evolutionary origin of

each subgenome component in the tetraploid (*B. pendula*, *B. nana*, *B. humilis*, *B. platyphylla*,

and the common ancestor of *B. pendula* and *B. platyphylla*, respectively). Modeling data

summarizes optimized results [top 5% simulation runs (50 out of 1000 runs)], obtained using

approximate Bayesian computation using the observed values in a population from Southern

Sweden as a reference (top row). Each simulation run averages results over 25 independent

replicates. Cyan bars indicate level of polyploid-pairing for the three key species (see text for

details). Bars in red indicate discrepancies between predicted and experimental results higher

than 5 percentage points. The weighted *L2* norm distance measures the goodness of fit and

includes data for all four polarizations (lower *L2* values denote a better fit). *L2* values are based

on extended pairing spectra shown in Supplementary Figure S12. *pend_platy* indicates instances

when the polarized polyploid sequence is an outgroup to the pendula-platyphylla clade. See

Supplementary Figure S12 for modeling results for Models 6-9.

Figure 4 | ***B. pubescens* evolutionary models' predictive power** – Frequency with which the

polarized *B. pubescens* sequence pairs with **(a)** *B. nana* and *B. humilis* and **(b)** *B. pendula* and

B. platyphylla, as predicted by different evolutionary models, based on phylogenetic analysis of

individual gene families using IQ-TREE2. Values observed experimentally for a population in

Southern Sweden are shown in red. For each model, data is shown for the top 5% simulation

runs with lowest L2 distance. Values are based on phylogenies obtained when *B. platyphylla* (a)

or *B. pendula* (b) was used as the reference sequence during polarization. Each simulated data

point summarizes runs for 25 independent replicates. The dashed line is a guide to the eye. **(c)**

Weighted *L2* norm distance, averaged over the top 5% simulation run, for different models and

sampling locations. Lower *L2* values denote a better fit. *B. pubescens* population groups are as

follows (based on Supplementary Figure S1): ES (site 1), UA (3), LT (4), Central Asia (23), SV

south (7), SV North (17), and Arctic (20). **(d)** *B. pubescens* genomic composition as a function

of sampling location estimated using the AAAA autopolyploid model. "1+ copies": percentage

of loci with at least one gene copy originating from the common ancestor of *B. pendula* and *B.*

platyphylla (top), *B. pendula* (center), *B. humilis* or *B. nana* (bottom). "4 copies": percentage of

loci where all four gene copies originate from the common ancestor of *B. pendula* and *B.*

platyphylla (top), or *B. pendula* (center).

composition estimated using the AAAA model suggests that, depending on geographic location,
63 to 90% of all loci contain at least one gene copy of *B. pendula* origin, and that in 9 to 23% of
the genome all four gene copies are of *B. pendula* ancestry (Fig. 4d). Rampant gene flow from
parental diploids has been previously observed in other diploid-autotetraploid complexes such
as in *A. arenosa* (Arnold et al. 2015, Monnahan et al. 2019). The simulation results also
confirmed that the nana genomic component follows a latitude cline (Fig. 4d), while the
percentage of loci in *B. pubescens* harboring alleles of *B. humilis* origin is relatively stable along
the species range and reaches its highest value in Central Asia (Fig. 4d). In spite of the high
levels of gene flow from multiple birch species, modeling results suggest that the *B. pubescens*
genome retains a large fraction of ancestral alleles, with 76 to 86% of all loci containing alleles
inherited from the common ancestor of *B. pendula* and *B. platyphylla* (Fig. 4d).

Allotetraploid Models that Include Homoeologous Exchange All Converge Towards the
Autopolyploid Hypothesis

To establish whether the presence of homoeologous replacement could allow any of the
allopolyploid models to match or surpass the autopolyploid models in their ability to fit the data,
we ran a new set of simulations where homoeologous replacement was permitted. When
homoeologous replacement was allowed, all seven allopolyploid models converged towards
genomic configurations similar to the ones obtained for the autopolyploid models
(Supplementary Table S2). In Models 3-6, the non-pendula subgenome was greatly abridged,
first due to homoeologous replacement by the pendula subgenome and, in Models 3-5, by further
introgression with a third species. A similar pattern was observed in allopolyploid models where
one of the two subgenomes originated from the common ancestor of *B. pendula* and *B.*
platyphylla (Models 7-9). Under this hypothetical scenario, the extent of homoeologous
replacement was estimated to affect between 29% (PPPyPy model) and 39% (PPNH model) of
the *B. pubescens* genome. Predicted levels of homoeologous replacement by the nana, humilis,
or platyphylla subgenomes in allopolyploid models were only vestigial and never exceed 3%
(Supplementary Table S2).

Dating of B. pubescens origin

To date the origin of *B. pubescens*, we used fastsimcoal2 to fit demographic models to
SNP data for {*B. pubescens*, *B. pendula*, *B. platyphylla*} population trios. The analysis was

carried out separately for four different *B. pubescens* populations in Central Europe, Southern

Sweden, Central Asia, and Southwestern Europe. We started by testing simple evolutionary

models based on all possible phylogenetic relationships among the three species included. Model

comparison based on Akaike weights unanimously identified the ((*B. pendula*, *B. platyphylla*),

B. pubescens) model as the most likely phylogeny (Supplementary Table S3), providing further

evidence that *B. pubescens* first emerged before *B. pendula* and *B. platyphylla* diverged and

evolved as distinct species. Next, we optimized the best phylogenetic topology by testing all

possible migration combinations (129 models). As before, this analysis was done independently

for different *B. pubescens* populations and Akaike weights were used to identify the model that

best fits the data. The same exact migration model was deemed to best fit the data independently

of the dataset used (*Supplementary Materials 5*), with modeling based on the Central European

B. pubescens population providing the best overall fit (highest estimated maximum likelihood).

Values estimated based on this dataset indicate that *B. pendula* and *B. platyphylla* diverged about

100,000 generations ago (Fig. 5), that is, about 2.5 Ma based on a 25-year generation time, in

agreement with previous estimates (2.6 Ma; Chen et al. 2021). Gene flow from *B. pendula* into

B. pubescens was estimated to be at least one order of magnitude higher than gene flow from *B.*

platyphylla (Fig. 5). *B. pubescens* was estimated to have diverged from the common ancestor of

B. pendula and *B. platyphylla* about 180,000 generations ago (Fig. 5), with parametric

bootstrapping indicating a 95% confidence interval between 178,659 and 188,529 generations

(Supplementary Table S4).

Identification and Functional Analysis of introgressed alleles of B. Nana and B. Humilis

Ancestry

The geographic distribution and potential biological role of alleles of *B. nana* and *B.*

humilis origin was examined separately for each gene. Of the 524 loci included in this study

whose associated MSAs contain at least 100 parsimony-informative sites, 425 different genes

were tagged as having an allele of *B. nana* ancestry in at least one *B. pubescens* individual (457

and 470 genes were tagged as containing alleles of *B. humilis* and *B. pendula* origin,

respectively). For many of these genes, the fraction of individuals within a population possessing

introgressed alleles remained in the low single digits across the *B. pubescens* geographic range

(Fig. 6 and *Supplementary Materials 6*). On the other hand, there were 105 genes where the

fraction of individuals with an allele of *B. nana* parentage reached 65% or more in at least one

population ('highly retained alleles' hereafter). There were 61 and 290 genes with highly retained

Figure 5 | **Phylogenetic topology and maximum likelihood estimates (MLE) of model parameters obtained using fastsimcoal2**. Mean divergence times (in generations) and number of introgression events (per generation) estimated for migration model with highest Akaike weight. Only *B. pubescens* loci containing four gene copies of *B. pendula* and/or *B. platyphylla* ancestry were included in the analysis (loci containing one or more gene copies originating from *B. nana* or *B. humilis* were excluded).

Figure 6 | **Abundance profiles for *B. pubescens* allelic variants of *B. nana* and *B. humilis***

origin – Heatmaps show fraction of *B. pubescens* individuals in different populations (columns)

containing an allele of **(a)** *B. nana* or **(b)** *B. humilis* origin, for different genes (rows). K-means

cluster analysis was used to partition genes in groups with similar abundance profiles across

sampling locations. The analysis identified six clusters (N1 to N6) for alleles of *B. nana* origin,

and six clusters (H1 to H6) for alleles of *B. humilis* origin.

alleles of *B. humilis* and *B. pendula* ancestry, respectively. Among the loci studied only one

contained solely alleles inherited from the common ancestor of *B. pendula* and *B. platyphylla* in

all the individuals sampled, and only two loci contained exclusively alleles of *B. pendula* origin

(Supplementary Table S5). Many other loci contain alleles originating from *B. pendula*

(*Supplementary Materials 6*), which have progressively replaced those of ancestral origin, but it

is unclear whether their pervasiveness is due to selective pressure or simply a consequence of

incessant gene flow between two species with largely overlapping geographic ranges.

Gene flow from *B. nana* and *B. humilis* into *B. pubescen*s is generally more

geographically localized. Alleles of *B. nana* or *B. humilis* origin preserved across the *B.*

pubescens geographic range are therefore of particular interest as they are likely candidates for

gene variants that provide a fitness advantage. As expected, geographic distributions of

introgressed *B. nana* and *B. humilis* alleles vary depending on the species of origin. Many highly-

retained alleles of *B. nana* ancestry show a clinal or quasi-clinal dependency with the highest

prevalence being observed in Arctic regions, but there is a second group of genes where nana

alleles are found in most individuals in most populations (Figs. 6a, 7a and 7c). As for alleles of

B. humilis origin, while the largest group consists of alleles mostly found in Central Asia, one

can find a sizable number of alleles highly retained across all populations, as well as some

showing a clinal dependency (Figs. 6b, 7b and 7c).

A more striking difference between alleles of *B. nana* and *B. humilis* ancestry concerns

their purported functional role. Many of the loci containing highly preserved alleles derived from

B. nana are believed to code for genes involved in response to cold and/or light stimulus in

plants, including homologs of *PWR, PRR7, MAX2, CAMT2, DIN10, GWD1*, and *KCS11* (Fig. 7a

and Table 1). Additionally, an allele of *B. nana* origin in *Phytochrome B* (*PhyB*), the primary

red-light photoreceptor for circadian control in *Arabidopsis* and which also functions as a

temperature sensor (Somers et al. 1998; Jung et al. 2016; Legris et al. 2016), shows a marked

clinal distribution, with its prevalence varying from 20% in Southern Europe to 88% in the Arctic

(Fig. 7c). Among highly-retained alleles of *B. humilis* ancestry, we found a gene known to be

involved in gametophytic fertility in plants, *CALS12* (Fig. 7b and Table 1). A humilis *CALS12*

allele was detected in 97.0% of all *B. pubescens* specimens sampled (Fig. 7c), and no other allele

either of *B. pendula* or *B. nana* origin was detected for this locus in any of the samples studied,

suggesting that this gene is under strong selective pressure. Highly preserved alleles obtained

Figure 7 | **Functional analysis of *B. pubescens* allelic variants of *B. nana* and *B. humilis***

origin – Gene ontology (GO) annotation of genes associated to retained allelic variants of **(a)** *B.*

nana and **(b)** *B. humilis* origin. Symbol colors represent broad GO categories (e.g. biotic defense

responses are shown in red). The fraction of individuals in a population carrying an introgressed

allele are also shown for each gene and geographic location. Data are shown only for genes with

a nana and/or humilis allelic fraction ≥ 0.65 observed in at least one population and for which a

GO annotation is available. **(c)** Fraction of individuals with introgressed alleles observed across

different populations for homologs of the following *Arabidopsis* genes: *POWERDRESS* (*PWR*),

CALLOSE SYNTHASE 12 (*CALS12*), *Phytochrome B* (*PhyB*), and *REGULATORY PROTEIN*

NPR1 (*NPR1*). *B. pubescens* population identifiers (based on Supplementary Figure S1): Arctic

(sites18, 19, 20); SV north (14, 15, 16, 17); SV center (9, 10, 11, 12, 13); SV south (6, 7, 8);

Central Asia (22, 23); Central Europe (2, 3, 4), ES (1).

Gene / protein (*A. thaliana* nomenclature)	Overall prevalence (% of all *B. pubescens* specimens sampled)	Function
Selected alleles of *B. nana* origin		
CYP86A8 (Cytochrome P450)	99.3	Involved in synthesis of lipid-polyester used in the seed coat to prevent embryo aging and ensure seed longevity in *A. thaliana* (Renard et al. 2020)
PWR (Powerdress)	98.5	Co-regulates both flowering time and leaf senescence in *A. thaliana* (Chen et al. 2016; Kim et al. 2016); part of a histone-modifying complex that epigenetically controls freezing tolerance (Lim et al. 2020).
CAMTA2 (Calmodulin-binding transcription activator 2)	92.9	Regulation of cellular response to cold in Arabidopsis (Kim et al. 2013).
KCS11 (3-ketoacyl-CoA synthase 11)	85.5	Regulation of cellular response to cold in Arabidopsis (Joubès et al. 2008).
APRR7 (Two-component response regulator-like APRR7)	91.4	Involved in phasing of the circadian clock and control of seedling deetiolation (Kaczorowski et al. 2003).
MAX2 (F-box protein MAX2)	83.3	Positive regulator of photomorphogenesis (Shen et al. 2007).
DIN10 (Dark inducible 10)	93.7	Regulation of cellular response to cold in Arabidopsis (Maruyama et al. 2009).
GWD1 (Starch excess 1)	78.1	Regulation of cellular response to cold in Arabidopsis (Yano et al. 2005).
PhyB (Phytochrome B)	Clinal dependency; highly retained in Arctic population (88%)	Primary red-light photoreceptor for circadian control in Arabidopsis (Somers et al. 1998), functions as a temperature sensor (Kim et al. 2002, Jung et al. 2016; Legris et al. 2016), and regulates flowering time and seed germination (Seo et al. 2006; Hajdu et al. 2015).
Selected alleles of *B. humilis* origin		
CALS12 (Callose synthase 12)	97.0	Plays role in pollen development and fertility in Arabidopsis, being involved in the synthesis of the callose wall separating microspores after meiosis (Enns et al. 2005).
XRN4 (Exoribonuclease4)	94.4	Part of a mRNA decay pathway involved in plant acclimation to heat stress (Merret et al. 2013)
RECQL4A (ATP-dependent DNA helicase Q-like 4A)	74.5 (100% in Central Asia and Central Europe)	Contributes to the maintenance of genomic stability in *A. thaliana* by modulating the response to DNA damage, suppressing spontaneous somatic crossovers, and limiting the number of crossovers during meiotic recombination (Bagherieh-Najjar et al. 2005; Hartung et al. 2008; Séguéla-Arnaud et al. 2015). Is also required for dissolving telomeric bridges between non-homologous chromosomes during meiosis (Higgins et al. 2011).
RAD17 (Cell cycle checkpoint protein)	95.5	Encodes a checkpoint protein that is part of a DNA damage response pathway induced by genotoxic stress in yeast, mammals, and Arabidopsis (Zhou and Elledge 2000; Bao et al. 2001; Heitzeberg et al. 2004).
UVH3 (DNA repair protein)	81.7 (100% in Central and Southwestern Europe)	Encodes an endonuclease involved in the repair of UV-damaged DNA in Arabidopsis (Liu et a. 2001).
LHY (Late elongated hypocotyl)	95.2	Circadian clock gene involved in the regulation of flowering time and freezing tolerance in Arabidopsis (LHY; Fujiwara et al. 2008; Dong et al. 2011). In *Picea abies* specimens sampled in Sweden, there is a strong clinal preference for different LHY alleles which matches changes in environmental conditions (Li et al. 2022).
NPR1 (Nonexpresser of PR genes 1)	Clinal dependency; highly retained in Southern European population (88%)	Salicylic acid (SA) transducer and a key regulatory gene of the systemic acquired resistance (SAR) pathway activated in plants upon local infection by microbial pathogens (Cao et al. 1994). There is evidence of balancing selection acting on NPR1 to maintain two allelic classes in *A. thaliana* (Caldwell and Michelmore 2009) and in *Capsella grandiflora* (Sicard et al. 2015), likely due to functional differences between alleles.

Table 1 | Selected *B. pubescens* loci containing highly retained alleles of *B. nana* or *B. humilis* origin.

from *B. humilis* are also found within three genes involved in DNA repair and maintenance of

meiotic stability: *RECQL4A*, *UVH3*, and *RAD17* (Fig. 7b and Table 1).

DISCUSSION

Evidence for an Autopolyploid Origin for B. pubescens

Here, we present a Bayesian framework that can be used to establish the mode of origin

of a complex polyploid by disentangling the two key evolutionary mechanisms at play, clarifying

whether alleles of diverse origin have been acquired through polyploidization, introgression, or

both. Our results suggest that *B. pubescens* is most likely the offspring of a single parental

species, the common ancestor of *B. pendula* and *B. platyphylla*, which gave rise to it via WGD,

with the newly formed autopolyploid having subsequently undergone extensive introgressive

hybridization with *B. pendula*, *B. nana* and *B. humilis*. This assertion is supported by

comparisons of real and simulated polyploid frequency pattern data, with the

autopolyploidization model providing the best overall goodness-of-fit and closest match to the

observed polyploid-paring patterns across all populations. Demographic modeling using

fastsimcoal2 also provided strong support for a phylogeny where *B. pubescens* speciation

occurred prior to *B. pendula* and *B. platyphylla* evolved as separate species. Pervasive

introgression from *B. pendula*, *B. nana* and *B. humilis* would provide a plausible explanation for

the high levels of allelic richness and genetic diversity observed in *B. pubescens*, which until

now had been interpreted as indirect evidence of allopolyploidization.

Attempts to model the origin of *B. pubescens* based on a bi-parental allopolyploidization

model produced contrasting results depending on whether homoeologous replacement was

allowed to occur or not. In the absence of homoeologous replacement, allopolyploid models

invariably produced scenarios that failed to replicate the polyploid-pairing patterns observed

experimentally, leading to a degradation in *L2* values, as they all struggled to accurately predict

the genomic contribution of a hypothetical second parental species. When homoeologous

replacement was allowed to occur between subgenomes, the performance of allopolyploid

models was in line with their autoploid counterparts, but the level of structural dominance

required (affecting up to ~39% of the *B. pubescens* genome) is unheard-of in established

allopolyploids. This is discussed in more detail in the next section.

A Hypothetical Allopolyploid Origin for B. pubescens Would Require Massive Levels of
Homoeologous Replacement

Modeling results shown in this paper suggest that a hypothetical allopolyploid origin for
B. pubescens would be possible – though unlikely – if it had been followed by subsequent
homoeologous exchanges resulting in *B. pubescens* (or the ancestral *B. pubescens*/*B. platyphylla*
allele) dominating both subgenomes in about 35% of all protein coding regions (with all gene
copies from the second subgenome having been lost). While frequently observed in
allopolyploid species, homoeologous replacement usually affects a relatively small fraction of
genes in naturally formed polyploids. In the *Gossypium hirsutum* 'TM-1' cotton cultivar, an
allotetraploid estimated to first have emerged 1.5 Ma, Li and colleagues (2015) identified 1,790
out of 35,056 genes (~5%) present in the At subgenome that were transferred from the Dt
subgenome. In the allotriploid 'FenJiao' banana cultivar (*Musa* ssp.), homoeologous
exchanges from the A to the B subgenome were identified in 39 genomic segments
containing 2,579 genes (out of 35,148 ~ 7%; Wang et al. 2019). In *B. napus*, a recent (7,500-
12,500 years BP; Chalhoub et al. 2014) allotetraploid and model organism for the study of
genome restructuring and homoeologous exchange in neo-polyploids (Gaeta and Pires 2010;
Chalhoub et al. 2014; Sun et al. 2017; Lloyd et al. 2018; Xiong et al. 2021), expression analysis
of the 'Yudal' cultivar showed that 1,076 (2%) out of the ~49,000 coding genes present in
the C_n subgenome have been replaced by their homoeologous A_n subgenome duplicates
(Lloyd et al. 2018). Similar levels of C_n to A_n homoeologous replacement (1,032 genes ~ 2%)
have been observed in the 'ZS11' *B. napus* cultivar (Sun et al. 2017). In the allotetraploid *Coffee*
arabica, homoeologous loss was detected in less than 2% of 9,047 genes analyzed (Lashermes
et al. 2016). Levels of homoeologous replacement in the neo-allotetraploid *A. suecica*
(~16,000 years BP; Novikova et al. 2017) are also rather subdued, involving at most a few
hundred genes (Burns et al. 2021; Jiang et al. 2021), likely because the two parental species,
A. thaliana and *A. arenosa*, are divergent enough (~6 MYA) to prevent homoeologous
exchange.

1016

To our knowledge, rampant homoeologous replacement has only been observed in newly
resynthesized allopolyploids (Chalhoub et al. 2014; Wu et al. 2021; Xiong et al. 2021) and in
(very) recently formed natural allopolyploids such as the *Tragopogon miscellus* salsify, a
biennial plant whose origin dates to the early 1900s after both diploid progenitors were
introduced in North America from Europe (Chester et al. 2012). Here, we estimated that *B.*

pubescens first emerged about 180,000 generation ago (4.5 Ma, assuming a 25-year generation

time), therefore ruling out the possibility of it being a polyploid of recent origin. In short, while

we cannot fully rule out an allopolyploid origin for *B. pubescens*, such a possibility seems very

unlikely as it would require levels of homoeologous replacement that far exceed values so far

observed in natural allotetraploid populations.

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1028   ***Ecogeographical Variation in B. nana and B. humilis Introgression Levels***

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There is evidence that introgression into *B. pubescens* is both an ancestral and on-going

phenomenon, occurring whenever the species involved coexist in the same geographic area.

Evidence gathered from genetic, morphological and compatibility studies point to the presence

of on-going gene flow from *B. humilis*, *B. nana* and *B. pendula* into *B. pubescens* in Western

Eurasia and from *B. ermanii* in East Asia (Jeffers 1971; Anamthawat-Jónsson and Tomasson

1990; Atkinson 1992; Thórsson et al. 2001; Anamthawat-Jónsson and Thórsson 2003; Palmé et

al. 2004; Jadwiszczak et al. 2012; Ashburner and McAllister 2013, pp. 68; Wang et al. 2014;

Eidesen et al. 2015; Zohren et al. 2016; Tsuda et al. 2017). This is supported by our study

showing that, although average introgression levels are very high across the species' range, the

identity of most introgressed alleles varies across individuals, even within the same local

population, implying that many of them are likely being acquired stochastically via *de novo*

introgression. Concurrently, we identified a set of alleles of *B. nana* and *B. humilis* origin whose

presence is widespread across one or more *B. pubescens* populations. These highly retained

introgressed alleles are located on different *B. pubescens* chromosomes, and may have been

acquired during or soon after speciation, either because they themselves provided a selective

advantage and hence were driven to high frequencies by selective sweeps, or alternatively

because they are in linkage with other genes under selective pressure, or due to genetic drift

acting in a small budding population.

1048

Our results further suggest that, at the present time, *B. pubescens* populations located in

two distinct zones, the Arctic and Central Asia, serve as the species' major reservoirs for alleles

of *B. nana* and *B. humilis* origin, respectively, arguably because these are locations where the

species involved are abundant and live in sympatry (Ashburner and McAllister 2013, pp. 27-28).

However, many of the birch species that hybridize with *B. pubescens* can also hybridize among

themselves, as well as with several other birch species (Jeffers 1971; Atkinson 1992; Palmé et

al. 2004; Jadwiszczak et al. 2012; Ashburner and McAllister 2013, pp. 62-71; Koropachinskii

2013; Tsuda et al. 2017), implying that each of them can act as a genetic bridge or conduit
(McDonald et al. 2008; Grant and Grant 2020) for many of the others. It is therefore possible
that some of the introgressed alleles of *B. nana* or *B. humilis* origin found today in *B. pubescens*
might have been obtained via a bridge species, and not directly from *B. nana* or *B. humilis*,
altogether sidestepping the sympatry requirement.
1061

B. pubescens Loci Containing Alleles of B. Nana Origin Are Involved In Climate Adaptation in Plants

1064

One of the benefits of introgression is that it expands the pool of alleles available in a
population upon which natural selection can act, often leading to phenotypic novelty and
potentially facilitating adaptation to new environments (Rieseberg et al. 1999; Whitney et al.
2006; Dasmahapatra et al. 2012; Pardo-Diaz et al. 2012; Rius and Darling 2014; Arnold et al.
2016; Schmickl et al. 2017). Introgression of biotic resistance traits from a congener is believed
to have enabled the southward range expansion of *Helianthus annuus*, a sunflower found in
North America (Whitney et al. 2006). Interspecific genetic admixture has also been associated
with a sudden increase in cold tolerance in the wasp spider *Argiope bruennichi* (Krehenwinkel
and Tautz 2013), with the spread of the autotetraploid *A. arenosa* into more extreme habitats
characterized by frequent drought and phytotoxic levels of metals (Arnold et al. 2016), and the
expansion of the poplar *Populus trichocarpa* into colder and drier climates (Suarez-Gonzalez et
al. 2018).
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Here, we identified several regulatory genes associated to abiotic stress in plants that
contained alleles of *B. nana* origin obtained via introgression. These include homologs of *PWR*,
CAMTA2, DIN10, GWD1, and *KCS11*. While we can only speculate whether such derived alleles
contribute to local adaptation and range expansion in *B. pubescens*, their retention by most
individuals sampled across the species range suggests that they could be located in genomic
regions under selective pressure, warranting further investigation. *PWR* is known to co-regulate
both flowering time and leaf senescence in *A. thaliana* (Chen et al. 2016; Kim et al. 2016) and
to be part of a histone-modifying complex that epigenetically controls freezing tolerance (Lim
et al. 2020), while *CAMTA2, DIN10, GWD1*, and *KCS11* are all involved in the regulation of
cellular response to cold in *Arabidopsis* (Yano et al. 2005; Joubès et al. 2008; Maruyama et al.
2009; Kim et al. 2013). In northern Eurasia, *B. pubescens* and *B. nana* have geographic ranges
that extend further north than that of *B. pendula* (Atkinson 1992; de Groot et al. 1997), and the

acquisition and retention of new alleles associated with cold acclimatization from *B. nana* could
be one of the factors contributing to the cold tolerance of northern populations of *B. pubescens*.
1092

We also found a cline in the frequency of the *PhyB* nana allele in *B. pubescens*, with the
allele decreasing in frequency from 88% in the Arctic to 20% in Southwestern Europe. This gene
is located in a region of low relative genomic diversity in *B. pendula*, which was associated with
a selective sweep (Salojärvi et al. 2017). *PhyB* is known to modulate flowering time in *A.*
thaliana (Hajdu et al. 2015) and has been shown to regulate bud set and seasonal growth in
Populus (Ingvarsson et al. 2008; Ding et al. 2021). Changes in the *PhyB* amino acid sequence
can lead to differential plant responses to light (Filiault et al. 2008), and it has been speculated
that intraspecific diversification among phytochromes might allow a species to adjust its life
cycle to different climates (Jung et al. 2016). The presence of introgressed allelic variants of *B.*
nana origin showing a clinal dependency, while congruent with an adaptive role, could also be
caused by the stochastic loss of neutral alleles diffusing away from the contact zone (Barton and
Hewitt 1989), or by changes in the spatial range for any of the species involved (Excoffier et al.
2009, Zohren et al. 2016). While the high prevalence of the *PhyB* nana allele in Arctic regions
is a strong indication that it is located in a genomic region under strong selective pressure, further
studies are required to elucidate whether changes in allelic frequency for this and other
introgressed alleles in birch are the outcome of selection, drift, or both.
1109

1110 ***B. pubescens Loci Containing Alleles of B. Humilis Origin Are Known to Regulate Meiotic***
1111 ***Stability and Pollen Viability in Plant Species***
1112

How new polyploids regulate meiotic chromosomal segregation is one of the key issues
hinging over their long-term genomic stability and gamete viability (Comai 2005; Cifuentes et
al. 2010; Bomblies et al. 2015; Lloyd and Bomblies 2016; Baduel et al. 2018; Morgan et al.
2021; Bomblies 2023). Recent results suggest that some plant species attain this competence by
co-opting adaptive alleles obtained via introgression from one or more third species. There is
evidence that bidirectional gene flow between the autotetraploids *A. arenosa* and *A. lyrata*
provided the latter with a set of pre-adapted alleles in genes that regulate the synaptonemal
complex between homologous chromosomes during meiosis, favoring a reduction in multivalent
formation, and which are located in loci that had previously been found to be under strong
selection in both species (Yant et al. 2013; Marburger et al. 2019; Seear et al. 2020). Likewise,
in the allotetraploid *A. suecica*, which has *A. thaliana* and the autotetraploid *A. arenosa* as

parental species, there is evidence that key meiotic genes harbor allelic variants acquired from

diploid *A. arenosa* (Nibau et al. 2022).

1126

In this paper, we identified two meiosis genes in the tetraploid *B. pubescens*, *RECQL4A*

and *RAD17*, that contain alleles acquired from *B. humilis*. Additionally, we identified another

locus associated to gametophyte development, *CALS12*, which appears to be under strong

selection in *B. pubescens* as specimens sampled across the species range are all homozygous for

the allele of *B. humilis* origin. In *Arabidopsis*, *CALS12* is part of a pathway that synthesizes the

tetrad callose wall separating the four microspores during cytokinesis (Enns et al. 2005) and

silencing or misregulation of this gene has been shown to negatively impact male fertility in both

Arabidopsis and rice (*Oryza sativa*) mutant lines (Enns et al. 2005, Shi et al. 2015, Li et al.

2018b). In rice and maize, loss of *RAD17* in pollen mother cells often causes sterility as it leads

to non-homologous chromosome entanglement and chromosomal fragmentation (Hu et al. 2018;

Zhang et al. 2021). In *A. thaliana*, *RECQL4A* is part of one of the three anti-crossover pathways

that strictly limits the number of crossovers during meiotic recombination (Séguéla-Arnaud et

al. 2015; Serra et al. 2018), and is required for resolving and dissolving telomeric bridges

between non-homologous chromosomes during meiosis (Higgins et al. 2011). Reduction in

crossover rates helps to limit multivalent prevalence during meiosis, which may have

implications for the long term viability of a species as high rates of multivalent formation are

often associated with low fertility and aneuploidy in neo-autopolyploids (Ramsey and Schemske

2002; Bomblies et al. 2015; Lloyd and Bomblies 2016). Bivalent formation with random partner

choice (tetrasomic inheritance) is prevalent in *B. pubescens* (Stern 1965; Brown and Al-

Dawoody 1979), with the rate of multivalent formation reported to be lower than that observed

in diploid *B. pendula* (Brown and Al-Dawoody 1979). While further research will be required to

fully elucidate the specific impact of introgressed alleles on development and fitness, the results

here presented raise the enticing possibility that the acquisition of new adaptive alleles from *B.*

humilis assisted *B. pubescens* in decreasing rates of multivalent formation, improving meiotic

stability and gametophytic fertility.

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1153 ***Solving the Origin-Introgression Riddle in Polyploid Species***

1154

In this article, we used genomic polarization and a Bayesian framework to solve an old

phylogenetics problem, namely, how to untangle polyploidization from introgression in order to

determine the mode of origin and genomic composition of a complex polyploid. The results here

presented also showcase the method's ability to unearth the identity and provenience of

introgressed alleles, which in conjugation with known information about their functional role,

can be used to chart future research directions. The methodology here described can be easily

extended to other polyploid species whose reticulate history remains debatable – often due to

the confluence of polyploidization and introgression – in the birch (Wang et al. 2021),

Asteraceae (Mandel et al. 2017), *Salix* (Wagner et al. 2020), *Senecio* (Kim et al. 2008), and

Cardamine (Lihová et al. 2007) genera of flowering plants, among other. At the present time,

the phasing approach here employed, genomic polarization, has only been used on tetraploids

(Leal et al. 2023), and further testing and modeling might be required before it can be applied to

higher ploidy species.

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SUPPLEMENTARY MATERIALS

Supplementary scripts and data available from the Dryad Digital Repository: https://doi.org/10.5061/dryad.5tb2rbp9f and the GitHub repository https://github.com/LLN273/Complex-Polyploids. The genomic data underlying this article are available in The European Nucleotide Archive (ENA) at www.ebi.ac.uk and can be accessed with the PRJEB64873 [data held private until publication] and PRJEB14544 project accession codes. See Supplementary Materials 2 for ENA run accession codes for each sample.

FUNDING

This work was supported by the Swedish Research Council for Sustainable Development (FORMAS) (grant numbers 2016-00780, 2020-01456) to M.L. and by the European Union's Horizon 2020 Research and Innovation Programme under grant agreement no. 676876 (Project GenTree).

ACKNOWLEDGEMENTS

The computations were performed on resources provided by the Swedish National Infrastructure for Computing (SNIC) at the Uppsala Multidisciplinary Center for Advanced Computational Science (UPPMAX) under Project # SNIC 2017/7-149 and at the High Performance Computing Center North (HPC2N) under Project # SNIC 2020/9-84.

CONFLICT OF INTEREST

The authors have no conflicts of interest to disclose.

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