1   **Estimating the number of breeders from helminth larvae with genomic data.**
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20
21   **Abstract**

22   Effective control of helminth infections requires the application of mathematical models to
inform control efforts and policy, the development of product profiles for new drugs, and the
monitoring of existing drugs for resistance. Key to the success of these approaches is accurately
estimating the number of worms within a host, as well as distinguishing, in drug efficacy trials and
monitoring, between adults surviving treatment and adults who have reinfected a host following drug
treatment. In practice, observing adult worms is often extremely challenging in a patient, as many
adult helminths are embedded deep in host tissues. Genetic approaches to infer kinship between
larvae or eggs offer a solution to establish adult worm burdens, and to distinguish between treatment
failure or treatment success followed by reinfection. Here, we use low-coverage whole-genome, and
mitochondrial sequencing, of *Onchocerca volvulus* larvae to estimate the number of adults
contributing to pools of offspring of known and unknown parentage. lcWGS reconstructs full-sibling
relationships, resolving the number of unique adult worms contributing to a pool of offspring.
Mitochondrial genotyping reconstructs maternal sib-ship, thus estimating the minimum number of
adult females within a patient. Further development will improve these techniques for evaluating
adult worm burden and trial outcome.

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Intro
Human helminthiases exert a devastating worldwide public-health burden. Many are neglected
tropical diseases (NTDs), causing poor health, morbidity, and mortality, disproportionately for the
world's poorest people (1). Mass drug administration (MDA) with anthelmintic drugs remains the
cornerstone of treatment for many NTDs. Monitoring and evaluation of these programmes is vital to
support continued drug donation, to detect potential drug resistance, and to identify areas for
improved interventions. The complex life cycle of many indirectly transmitted macroparasites makes
elucidation of adult worm burden, the evaluation of control and drug trial success, and establishment
of baselines of infection levels in pre-clinical trials difficult, as adult worms of many species (e.g.

Schistosoma mansoni (2), *Wuchereria bancrofti* (3), *Onchocerca volvulus* (4), *Opisthorchis viverrini*
(5) or *Trichuris trichiura* (6)) are either very difficult or impossible to retrieve from a patient. As such,
inferring whether a trial macrofilaricide has successfully killed all adult worms, with any subsequent
infection being due to reinfection, or whether treatment failure has been followed by repopulation of
microfilariae (mf), or renewed reproduction and excretion of larvae from surviving adults, is
impossible using current diagnostics. Moreover, estimating adult worm burden, a key parameter for
assessing infection severity and individual contribution to community transmission, based on
sampled offspring is problematic, as helminth larval abundance does not necessarily linearly predict
adult worm burden, and instead often follows a density-dependent pattern (5,7,8), with adults only
sporadically contributing to the larval pool at any given time (9). This gap introduces a considerable
level of uncertainty for mathematical models – key tools for informing policy and control success.
58
Onchocerca volvulus is a filarial nematode parasite of humans, and is the agent of onchocerciasis,
also known as river blindness, a disease characterised by blindness and debilitating, disfiguring skin
disease. Onchocerciasis afflicts over 20 million people, with ~1 million affected by blindness (10).
The severe disease burden exerted by *O. volvulus* in Africa, South America and Yemen have led to
concerted efforts to eliminate onchocerciasis. Since 1997, Community Directed Treatment with the
microfilaricidal drug Ivermectin (Mectizan®) (CDTI) has been the mainstay of *O. volvulus* control and
is the current World Health Organization (WHO) recommended strategy, administered as yearly
MDA. Despite decades of CDTI, transmission and disease burden have remained stubbornly high
in many regions (11–14). This difficulty necessitates both improved monitoring of existing CDTI, and
the development and/or repurposing of anthelmintic drugs such as moxidectin (4), emodepside
(15,16), oxfendazole (17) or Corallopyronin A (18). Evaluation of anthelmintics for *O. volvulus* is
challenging. The adult worm is embedded in fibrous nodules, and whilst some of these nodules can
be detected if near the skin's surface, the adult worms themselves are accessible only through
surgical nodulectomy - an invasive procedure. Diagnostics therefore rely on the counting of larval
worms - microfilaria (mf) from skin biopsies, also an invasive procedure (albeit less so than a
nodulectomy).
75
Genetic approaches for evaluating sib-ship and relatedness enable the estimation of the number
of adults contributing to a sample of larvae (number of breeders, (*Nb*)), as well as establishing
whether the adults contributing to serially sampled larvae have changed, e.g. if treatment has cleared
the first set of parents, and a new set of parents have infected the patient. If full-siblings (FS) or
maternal half-siblings (HS) between pre- and post-treatment samples are identified, treatment failure
can be asserted due to the presence of surviving fecund females. Estimating the relationships and
parentage of helminth larvae in the absence of adult information has been performed with multilocus
genotyping methods such as microsatellite genotyping, with sib-ship and *Nb* reconstruction being
performed most commonly with likelihood-based methods such as COLONY (19) for *Schistosoma*
mansoni (20,21) and MASTERBAYES (22) for *Trichostrongylus tenuis*, methods which rely on well-
validated microsatellite, SNP or multilocus sequence typing (MLST) panels.
87
Estimation of pairwise relatedness with genome data makes it possible to infer close familial
relationships between individuals in the absence of validated multilocus data, and this is chiefly
performed by estimating the proportion of alleles between two individuals that share 0, 1 or 2 copies

identical-by-descent (IBD) (23). Whole-genome-sequencing is becoming increasingly affordable,
especially with the advent of low-coverage WGS (lcWGS) approaches (24). lcWGS is particularly
valuable if achieving high sequencing depth is problematic due to low-input and degraded samples
- common for many helminth larvae (25). WGS is now a widely used tool for parasite molecular
epidemiology (26,27), is possible from single helminth larvae (25), and can be used to determine
relatedness (28,29). Another approach toward assigning parentage is mitochondrial genome
sequencing. Mitochondria are inherited maternally, meaning that two individuals sharing identical,
or near-identical mitochondrial genomes, can be assigned as probable maternal siblings. This is
particularly useful in non-monogamous systems, such as many helminths including *O. volvulus*
*(*which is thought to be polyandrous) (30), where a combination of relatedness estimation and
mitochondrial genotyping can be used to identify maternal half-sibs. Due to higher copy number of
the mitochondrial genome in the cell opposed to the nuclear genome, it is often easier to selectively
amplify mitochondrial genomes than whole nuclear genomes for sequencing and analysis (24).
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In this study, we perform a proof-of-concept with lcWGS, relatedness estimation, and
mitochondrial genotyping in a complex indirectly transmitted macroparasite, *O. volvulus.* Our aims
were to: 1) establish parentage and the *Nb* contributing to a sample of mf from which parentage is
known, dissected from the uterus of gravid adult females, and 2) identify parentage of a sample of
mf taken from a skin biopsy, of which parentage is unknown. Finally, we discuss the extent to which
the approaches taken here may be more widely used toward estimating larval relatedness, adult
worm burden, and treatment failure for any parasite where adults are inaccessible, but offspring,
(eggs or larvae) can be obtained.
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114 **Results**
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1. Parentage of intrauterine mf
We sequenced the genomes of 10 mf dissected from three adult females, from two separate
human patients (**Figure 1**). The mean number of reads ('X') covering each position was 10.1 over
the nuclear genome, and 47.6 over the mitochondrial genome. The exact number of per-sample
reads is given in **Supplementary Table 1**. To calculate relatedness between each mf, we used
genotype-likelihoods inferred from aligned reads as input for *NGSRelate,* which implements the
framework for estimating relatedness and relationship described by (28). The inferred R0, R1, and
KING kinship statistics from the intrauterine mf showed that mf collected from the same female
worms/broods all formed a discrete cluster within the kinship coefficient thresholds for full- or half-
sibling (28) , (**Supplementary Figures 1A & 1B**). One pair from the same female fell outside of this
(**Supplementary Figure 1A, 1B**). Based on these plots, we defined thresholds for full-sibling
relationships at R0 ≤ 0.1, R1 ≥ 0.5, and KING ≥ 0.25, and thresholds for half-sibling relationships as
R0 ≥ 0.1, R1 ≤ 0.5, and KING ≤ 0.25. These thresholds were then applied to our intrauterine mf data
(**Supplementary Figure 2**).
130
To further evaluate whether this method reconstructed sib-ship according to our expectations,
we drew networks from the relatedness data and plotted them (**Figure 2**). We found that individuals
dissected from the same female were at least full or half siblings. However, we found that the

assignments also predicted half-sibling relationships to mf dissected from different females. As it is
difficult to resolve relationships accurately below FS without nonsensical results (28,31), we
discarded our HS estimations and retained only FS relationships (**Figure 2**). The network showed
four FS groups, implying three female worms, and four male worms, contributed to the mf sample.
138
We extracted mitochondrial genome *data in silico* from the intrauterine mf WGS data and used
them to build a minimum-spanning network (**Figure 3**). The network showed a high-level of within-
brood sequence similarity, with members of the same brood (having been dissected out of the same
female uterus) being a maximum of three SNPs different to one another. This method reconstructed
mitochondrial group membership with all offspring corresponding to the mother that they were
dissected from (**Figure 3**). When the number of mitochondrial groups was considered alongside the
number of full-sibling groups inferred from the intrauterine mf sample, we estimated that seven
unique parents (three females and four males) had contributed to this sample of 10 mf.
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148 *2. Maternal   assignment   of   skin-snip   microfilariae   from   the   same   patient*
149
To infer the number of breeders contributing to a sample of mf *for which the parentage was unknown*,
we attempted to sequence the genomes of 39 mf from two skin snips taken from the left and right
trochanter of a single patient. (**Figure 4**). Due to uneven and low nuclear genome coverage
(**Supplementary Table 2**) (mean 4.1), we were unable to obtain reliable relatedness data for these
samples. However, mitochondrial genome coverage across all samples was sufficient to genotype
whole mitochondria genomes (mean depth-of-coverage 216.1X) (**Supplementary Table 2**). The
medium-spanning network disclosed five major mitochondrial groups (**Figure 5**) indicating at least
five adult females contributing to the 39 microfilariae for which we successfully obtained sufficient
mitochondrial genome data from this patient. There was no structuring in mf haplotype by location
(left or right-hand trochanter) **(Figure 5).**
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Discussion
For many helminth parasites, adult worms are not readily available for diagnosis, either at all from
living patients (e.g. *Schistosoma spp.)*, or only through invasive surgery (e.g. *O. volvulus)*, or
inefficient adult worm excretion methods (e.g. *Ascaris*, *Opisthorchis*). Despite many transmission
models and drug efficacy measurements being based on estimated adult numbers (32,33),
diagnostics often only focus on the offspring. Here, we use intrauterine- collected mf from known *O.*
volvulus adult females, as well as mf of unknown parentage collected from two skin-snips from one
patient, to test methods to predict sib-ship relationships, maternal parentage and number of breeders
(*Nb)*. We report the outcome of estimating helminth parentage using low-coverage WGS and
relatedness estimation, as well as mitochondrial genotyping. For the intrauterine mf, we were
successfully able to infer full-sibling relationships (including possible contribution of multiple fathers
to the mf pool (30)), and, in the absence of successful half-sibling inference, we combined
relatedness data with mitochondrial haplotypes to infer the *Nb* contributing to the offspring sample.
For the skin-snip mf, we were hampered by degraded, low-input DNA leading to uneven genome
coverage and unsuccessful relatedness estimation. However, good coverage over the mitochondrial
genome enabled us to infer a minimum of five females contributing to the offspring samples.
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Identification of shared parentage and *Nb* in parasitic helminths is a vital tool for discerning adult
worm burdens, as well as for distinguishing between treatment failure followed by repopulation and
treatment success followed by reinfection. This has the potential to inform the outcome of
macrofilaricide clinical trials (e.g. (16,34)), as well as the establishment of key parasite infection
dynamic parameters, such as the minimum adult worm burden and density-dependence, with the
assistance of statistical models (e.g. (35,36)). Both relatedness estimation and mitochondrial
genotyping have the potential to help address these questions in *O. volvulus* and other indirectly
transmitted parasites. For *O. volvulus*, a chromosome level genome assembly has been generated
(37), but no microsatellite, SNP or MLST panels exist for parentage analysis. Generating these
resources typically require laboratory systems (20), as well as time, money, and access to samples.
For pathogens for which laboratory life cycles are problematic to achieve, such as *O. volvulus,* and
for which samples are in limited supply – for a variety of different reasons, including lack of funding,
or difficult-to-obtain samples - generating panels of markers is difficult. Thus, methods such as ours,
that rely on low-coverage WGS to infer relatedness, may be particularly suitable for studying infection
dynamics and drug trial outcomes. Furthermore, once siblings have been excluded, unrelated
samples can be used for parasite population genomic analysis without introducing biases from
siblings. This method can easily be generalised to several parasite systems, if a reference genome
assembly has been developed. Reference genomes are becoming increasingly common for even
the most neglected of human helminthiases. However, methods for SNP discovery such as RAD-
Seq also offer a potential stopgap for relatedness estimation in the absence of a reference genome,
using relatedness methods such as SEQOUIA (38).
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The sequencing effort reported here aimed for 5X coverage per-sample, which cost £30 per-
sample (around $36 US at the time of writing). Options for improving the cost-effectiveness include
performing downsampling experiments to establish the minimum depth-of-coverage required to
identify kinships. Simulations suggest that *NGSRelate* may be robust to 1X coverage (39). Moreover,
library preparation costs can be greatly reduced by adapting commercial library preparation kits (e.g.
dilution) (24,40).
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Another possibility toward reducing cost and increasing ease of access is to restrict parentage
analysis in this form to the resequencing of mitochondrial genomes alone, which could be performed
by designing long-range PCR primers to conserved mitochondrial regions (26). However, in the
absence of population mitochondrial haplotype frequencies, it is impossible to determine whether
two individuals with the same mitochondrial haplotype are truly maternal siblings, or whether their
mothers are siblings, or if they have unrelated mothers with the same mitochondrial lineage. Here,
our data indicate either there is homogeneous distribution of mf across an infected person despite
adult worm location, or that there are multiple females with the same mitochondrial haplotype on
either side of the body. Given extensive *O. volvulus* mitochondrial diversity and large population
sizes (26), mitochondrial genotyping can at least establish the minimum number of females
contributing to a pool of larvae, a valuable piece of information and a key parameter in models of
helminth transmission (8,32,36), but it cannot determine the maximum number of females
contributing to the offspring being assessed.
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Despite technical improvements in single-larva DNA extraction and low-input library preparation
(25), single-mf WGS is still technically challenging, with often unreliable results. The skin-snip mf,
for example, sequenced very poorly, with highly heterogeneous coverage across the nuclear
genome, perhaps indicative of degraded genomic DNA. Further work is needed to improve single-
mf WGS sample storage and preparation both for the employment of this approach for use in
epidemiological investigations and clinical trials, but also for improving *O. volvulus* population
genomic analyses which hitherto has only been possible with adult worms (41,42). Population
genomics based on mf would make evolutionary analysis of *O. volvulus* much easier and more
ethical, as it removes the need for surgical nodulectomies to access adult worms, although the
invasiveness of skin-snips remains a problem.
231
Ultimately, development of this method to the point where it can be reliably used in clinical trials
will require optimisation of sample storage, DNA extraction and library preparation techniques, as
well as more extensive testing against samples of mf for which parentage is known, so that the
variation in the range of kinship coefficients corresponding to a given relationship and the error rates
can be quantified. This is possible by performing further sequencing studies where adult worms are
available.
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239 **Conclusion**
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The decreasing cost of WGS, combined with technical and theoretical advances that make
it possible to infer relationships between helminth larvae from low-coverage WGS data, are
facilitating the development of techniques that enable interrogation of fine scale helminth infection
dynamics, as well as identifying treatment failure, versus repopulation from surviving adult worms.
This is important because many adult helminths, such as *O. volvulus* are, often inaccessible, with
their offspring being comparatively easy to sample. Here, we employed lcWGS, relatedness
estimation, and mitochondrial genotyping to identify parentage, maternal parentage and *Nb*, showing
that, when sample data quality is sufficient, we are able to identify the number of males and females
contributing to a sample of offspring and, even when nuclear genome data quality is poor, the
minimum number of females may still be estimated. This method, when developed further has
potential use in investigating the outcome of macrofilaricide trials for *O. volvulus*, as well as for further
probing multiple parasite infection dynamics, in systems for which validated marker panels have not
yet been developed.
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255 **Materials and Methods**
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257 Data (sequencing metadata, relatedness data, mitochondrial group data) were parsed and
258 analysed in *R* version *4.0.2*, (43), particularly using the *dplyr, gpplot2,* (44), *RColorbrewer* (45)and
259 *cowplot* (46) packages**.**
260

261 *Sample Collection*
262 **Part 1:** Onchocercomata containing adult female worms were surgically removed from patients as
263 part of the field study "Comparison of doxycycline alone vs doxycycline plus rifampicin in their
264 efficacy against onchocerciasis' in Ghana in 2009.

Part 2: Skin-snips were collected in Oct 2019. All infected patients presented at least one palpable nodule. For Mf analysis, two skin biopsies of 1±3 mg were taken from the buttocks using a corneoscleral (Holth) punch. Each biopsy was immersed in 100 ml of 0.9% NaCl solution in a well of a microtiter plate. The skin biopsies were incubated overnight at room temperature to enable mf to emerge. The solution was then transferred onto a slide for microscopic examination. The biopsies were weighed using an electronic balance and mf load was calculated per mg skin. The skin biopsies and the solution containing the free mf were then stored at -20 degrees C for subsequent processing and analyses.

Sample Processing, DNA Extraction and Sequencing Library Preparation
Part 1: Intrauterine mf: 10 mf were dissected from three gravid *O. volvulus* adult females, obtained by surgical excision from two nodules. (**Figure 1**) Adult females were washed once in 70% ethanol and three times in PBS. Insulin needles (29G) were used to dissect mf from the adult female uterus. Individual mf were pipetted into a 96 well-plate, and DNA extracted using the Beckman Coulter Agencourt DNAdvance gDNA purification kit following the manufacturer's instructions. DNA was eluted into 35μl. The presence of *O. volvulus* was determined using O-150 primers (47). 26 μl of DNA were fragmented and made into libraries using the New England Biolabs NEBNext Ultra FS II kit with unique dual barcodes. Libraries were pooled, and each pool size selected using a Sage Science Blue Pippin with a range of 150-500bp. Further QC was performed on an Agilent Bioanalyzer 2100 High-Sensitivity Chip.

Part 2: Skin-snip mf: 39 mf were dissected into PBS with insulin needles from two skin-snips, one of the left and one of the right trochanter of a single patient. (**Figure 5**). The remaining processing followed the methods used for the intrauterine mf.

The intrauterine mf and skin-snip mf were then sequenced in two separate runs on an Illumina NextSeq Nano and an Illumina NovaSeq 6000, aiming for 13^e6 paired-end reads (10X mean nuclear genome coverage of 2x75 paired-end reads) and 3.3^e6 (5X coverage of 2x150 reads) respectively.

Mapping and Genotype-Likelihood Calling
Demultiplexed reads were trimmed using *Trimmomatic* v0.39 (48)**,** and aligned to the *O. volvulus* reference genome vWBPS15 (37) using bwa-mem (49). PCR duplicates were identified and removed with *GATK* (50). Samtools was used for SAM/BAM file manipulation, and calculation of mapping statistics (51). BAM files were used as input for ANGSD (52), which was used to calculate genotype likelihoods with the following filters: depth > 3, mapQ > 20, max-missingness <20% of individuals, Hardy Weinberg Equilibrium (HWE) p-value 1e-6. See **Supplementary Tables 1 and 2** for per-sample information, and [https://github.com/tristanpwdennis/onchogenome] for code.

Relatedness Estimation
The final genotype likelihood file was used as input for the *NGSRelate* software (39), which infers, amongst others, the measures of relatedness: *R0, R1* and *KING-Robust-kinship*, as previously described (28,29,39). The relationship between pairs of full siblings was based on previously defined thresholds . All analyses downstream of *NGSRelate* were performed in R *4.0.2* (28,29) (see

https://github.com/tristanpwdennis/onchogenome). R0/R1 and R1/KING-Robust-kinships were
plotted with *ggplot2*. Graphs of related individuals were plotted in *iGraph* (53).

Mitochondrial Genotyping
Reads mapping to the *O. volvulus* mitochondrial genome were extracted from the BAM alignments
with samtools. SNPs were called using *Freebayes* (54) with a ploidy setting of 1, and filtered in
bcftools (51), based on depth > 3 reads, maximum missingness < 0.25, QUAL > 30. The resulting
filtered, multisample VCF file was converted into a FASTA alignment with bcftools *bcf-consensus*.
The alignment was used as an input for a minimum-spanning network in PEGAS (55), in R. Clusters
were defined based on the maximum level of divergence within-brood < 3 SNP differences.

Ethics Statements
Onchocercomata containing adult female worms were collected as part of a field study "Comparison
of doxycycline alone vs doxycycline plus rifampicin in their efficacy against onchocerciasis" in Ghana
(ISRCTN68861628). Approval for the study was obtained from the Committee on Human Research,
Publications and Ethics of the School of Medicine and Dentistry of the Kwame Nkrumah University
of Science and Technology (Ref: CHRPE/KNUST/KATH/22-07-08), Kumasi, Ghana and the Ethics
Committee of the University of Bonn, Medical Faculty (Nr. 186/08). Skin-snip collection was
approved by the Committee on Human Research, Publications and Ethics of the School of Medicine
and Dentistry of the Kwame Nkrumah University of Science and Technology (Ref:
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Conflict of Interest Declaration

The authors declare no competing interests.

Data accessibility

All raw read data used in this study have been deposited in ENA under study accession PRJEB63468.
All the code (analysis code as an RMarkdown file, sequence alignment/processing wrapping as shell
wrappers), sequencing metadata, relatedness data, ANGSD output and mitochondrial genome
alignments are available at https://github.com/tristanpwdennis/onchogenome.

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Figures

Nodulectomy	Dissect adult females from nodules	Dissect mf from uterus	Sequence mf to low coverage

Figure 1: Schematic of *Onchocerca volvulus* microfilariae (mf) dissections from known parents. (Results Section 1) 10 mf were dissected from the uteri of 3 adult female worms, derived from 3 nodulectomies from 2 patients. mf were whole-genome-sequenced.

367
368 **Figure 2:** Network of full-sibling relationships between intrauterine *Onchocerca volvulus*
369 microfilariae. Nodes indicate intrauterine *O. volvulus* microfilariae and edges indicate full-sibling
370 relationships. Nodes are coloured by known maternal parentage.

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372
373   **Figure 4:** Medium-spanning network of intrauterine *Onchocerca volvulus* microfilariae
374   mitochondrial haplotypes. Colour indicates shared known maternal parentage. Dots on
375   connecting lines indicate the number of single nucleotide polymorphisms (SNPs) between
376   haplotype groups.

Skin Snip

Elute and sequence to low coverage

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378
379   **Figure 5:** Schema of identifying parents of mf for which parentage is unknown. (Results Section 2)
380   39 mf were eluted from two skin-snips, from the left and right trochanter of a single patient.

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Figure 5: Medium-spanning network of mitochondrial haplotypes of skin-snip collected *Onchocerca*
volvulus microfilariae from two locations on the body of a single patient. Pie colours indicate the
proportion of samples taken from the left or right trochanter of the patient. Dots on connecting lines
indicate the number of single nucleotide polymorphisms (SNPs) between mitochondrial haplotype
groups.

     Supplementary Figures

Supplementary Figure 1: Inferred kinship statistics for R0, R1 and KING-robust-kinship for pairs of
intrauterine *Onchocerca volvulus* microfilariae, with points coloured by whether individuals in a pair
were sampled from the same (within brood) or different (between brood) *O. volvulus* adult female
worms.

Supplementary Figure 2: Kinship statistics R0 and R1 for pairs of intrauterine *Onchocerca volvulus*
microfilariae, coloured by the inferred relationship using thresholds for full-sibling (Full-Sib)
relationships at R0 < 0.1, R1 > 0.5, and KING > 0.25 and thresholds for half-sibling (Half-Sib)
relationships as R0 > 0.1, R1 < 0.5, and KING < 0.25, informed from Figure 1.

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