1	Title: African elephants address one another with individually specific calls
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13	SUMMARY
14	Personal names are a universal feature of human language, yet few analogs exist in other species.
15	While dolphins and parrots address conspecifics by imitating the calls of the addressee <sup>1,2</sup> , human
16	names are not imitations of the sounds typically made by the name's owner <sup>3</sup> . Labeling objects or
17	individuals without relying on imitation of the sounds made by that object or individual is key to
18	the expressive power of language. Thus, if non-imitative name analogs were found in other
19	species, this could have important implications for our understanding of language evolution.
20	Here, we show that wild African elephants address one another with individually specific calls
21	without any evidence of imitating the receiver's vocalizations. A random forest model correctly
22	predicted receiver identity from call structure better than expected by chance, regardless of
23	whether the calls were more or less similar to the receiver's calls than typical for that caller.

Moreover, elephants differentially responded to playbacks of calls originally addressed to them relative to calls addressed to a different individual, indicating that they can determine from a call's structure if it was addressed to them. Our findings offer the first evidence for a non-human species individually addressing conspecifics without imitating the receiver.

### 28 MAIN TEXT

29 One of the hallmarks of spoken human language is the use of vocal labels, in which a learned sound refers to an object or individual (the "referent")<sup>4</sup>. Many species produce 30 functionally referential calls for food and predators <sup>5,6</sup>, but the production of these calls is 31 32 typically innate <sup>7</sup>. Learned vocal labels allow for much more flexible communication than innate calls by making it possible to develop new labels for new referents. Thus, they are central to 33 humans' ability to articulate symbolic thought and coordinate unusually sophisticated levels of 34 cooperation<sup>8</sup>. However, few examples of learned vocal labeling are known in other species. 35 Personal names are a type of vocal label that refer to another individual. Names must involve 36 37 vocal learning, as an individual cannot be born knowing the names for all its future social affiliates. Thus, potential nonhuman analogs of personal names are highly relevant to 38 39 understanding the evolution of language, and by extension, complex cognition and social 40 behavior.

Most human words, including personal names, are arbitrary in structure; that is, they are not imitations of sounds typically made by the referent or tied to the physical properties of the referent <sup>3</sup>. Arbitrariness is crucial to language because it enables communication about objects and ideas that do not make any imitable sound. However, clear evidence for arbitrary analogs of names in other species is lacking. Bottlenose dolphins (*Tursiops truncatus*) and some parrots (Psittacidae) address individual conspecifics by imitating the receiver's "signature" call, a sound

that is most commonly produced by the receiver to signal individual identity <sup>1,2,9</sup>. When 47 functioning as self-identification signals, these signature calls are indeed arbitrary <sup>10</sup>. However, 48 when other individuals copy a conspecific's signature call to address them, it may be argued that 49 the copied signature call is an iconic (non-arbitrary) label, since it is an imitation of a sound 50 typically produced by the individual to whom the call refers. Non-imitative learned vocal 51 52 labeling could allow communication about a wider range of referents than imitative labeling, but it may be more cognitively demanding, as it requires individuals to make an abstract connection 53 between a sound and referent. Thus, if any non-human species were found to address individual 54 55 conspecifics using labels that are not imitative of the receiver's own calls, this would indicate a novel and perhaps uniquely complex form of communication with important implications for our 56 understanding of language evolution and cognition. 57

Elephants are among the few mammals capable of mimicking novel sounds, although the 58 function of this vocal learning ability is unknown  $^{11,12}$ . The most common call type produced by 59 elephants is the rumble, a harmonically rich, low-frequency sound which is individually distinct 60 <sup>13,14</sup>, distinguishable, <sup>15</sup> and produced across most behavioral contexts <sup>16</sup>. Contact rumbles 61 62 (Supplementary Audio File S1) are long-distance calls produced when the caller is visually 63 separated from one or more social affiliates and attempting to reinitiate contact, and greeting 64 rumbles (Supplementary Audio File S2) are close-distance calls produced when one individual approaches another after a period of separation <sup>16</sup>. 65

We analyzed contact and greeting rumbles from female-offspring groups of wild African savannah elephants to assess whether they contain individual vocal labels. We only used calls for which we were able to identify the caller and apparent intended receiver (527 calls from the greater Samburu ecosystem, northern Kenya, 98 from Amboseli National Park, southern Kenya).

Receivers were identified as the individual who responded to the call by vocalizing or 70 approaching the caller, the only adult member of the family group separated (>50m) from the 71 72 caller when the caller produced a contact call, or the individual who approached/was approached by the caller when the caller produced a greeting call. We were able to determine which 73 individuals were separated from the group at a given time by knowing the composition of each 74 75 family group and by following the elephants for several hours each day and observing short-term fission and fusion events where some individuals split off from, lagged behind, and/or rejoined 76 the rest of the group. Calls for which the receiver could not be identified or that appeared to be 77 78 directed to multiple receivers (e.g., caller produced a contact call while separated from the whole family group) were excluded from analysis. We investigated (1) if elephants address conspecifics 79 using receiver-specific vocal labels, (2) if the labels are imitative of the receiver's calls or 80 arbitrary, and (3) if different callers share the same label for the same receiver (Extended Data 81 Table 1). 82

83 Our dataset consisted of 114 unique callers and 119 unique receivers, with 1-46 (median=2) calls per caller, 1-48 (median=2) calls per receiver, 1-9 (median=2) receivers per 84 85 caller, and 1-10 (median=2) callers per receiver (Extended Data Fig. 1). For 597 of 625 calls, the 86 caller and receiver belonged to the same family group. We measured two sets of acoustic features for each call (spectral and cepstral, see Supplementary Information; Extended Data Fig. 87 88 2) and ran all statistical models separately for each set of features. Results reported in the text 89 and figures are for the spectral features only (see tables for results with cepstral features, which 90 were similar).

91 Calls were specific to individual receivers

We ran a random forest <sup>17</sup> with 6-fold cross-validation to predict the receiver of each of 92 the 625 rumbles as a function of the acoustic features and compared the classification accuracy 93 94 to a null distribution generated from 10,000 iterations of the same model with the acoustic features randomly permuted. We expected vocal labeling to only occur in contextually relevant 95 calls, as humans and dolphins only use names or copied signature whistles in a minority of 96 utterances <sup>18</sup>. However, we used all 625 rumbles for analysis as there was no way to determine a97 *priori* which calls (or what proportion of calls) might contain a vocal label. Call structure varied 98 clearly with the identity of the targeted receiver (Extended Data Fig. 3) as would be expected if 99 elephants use vocal labels for other individuals. Our model correctly identified the receiver for 100 20.3% of calls analyzed, a significantly greater proportion than that of null models (permutation 101 test, null models mean accuracy =  $7.6 \pm 0.75\%$  correct, *P*<0.0001) (Fig. 1, Table 1), indicating 102 receivers of calls could be correctly identified from call structure statistically significantly better 103 than chance. 104

105 To determine if this could be an artifact of the correlation between caller ID and receiver ID in our dataset, we controlled for caller ID by comparing the mean similarity of pairs of calls 106 107 with the same caller and receiver to the mean similarity of pairs of calls with the same caller and 108 different receivers, using proximity scores derived from the random forest as a metric of call similarity <sup>19</sup>. To control for the possibility that calls were specific to the type of relationship 109 110 between the caller and receiver rather than to the individual receiver per se, we categorized social 111 relationship based on relatedness and age (a proxy for dominance) (Extended Data Table 3), and 112 only considered pairs of calls with the same type of relationship between caller and receiver. Calls with the same caller and same receiver were significantly more similar than calls with the 113 114 same caller and different receivers, even after controlling for social relationship, behavioral

115 context, and recording date, further supporting the hypothesis that rumbles are specific to 116 individual receivers (ANOVA,  $F_1$ =94.61, P<0.0001, Cohen's D=0.412) (Fig. 1, Extended Data 117 Table 4). As calls in our dataset were predominantly between individuals in the same family 118 group, our results only provide evidence for vocal labeling within family groups.

### 119 Vocal labelling likely does not rely on imitation of receiver

120 If calls are imitative of the receiver's calls, then callers should sound more like a given receiver when addressing her than when addressing other individuals. Pairs of calls in which the 121 receiver of one call was the caller of the other call were slightly but significantly more similar on 122 average than pairs in which this was not the case, suggesting possible imitation of the receiver's 123 calls (ANOVA,  $F_1=11.70$ , P=0.0006, Cohen's D=0.0037) (Extended Data Table 5). However, 124 given the exceedingly small effect size (0.78% of SD) and large sample size of call pairs 125 (n=11,309), this significant difference may not be biologically meaningful. Moreover, among the 126 calls for which we had recordings of the receiver and recordings of the caller addressing other 127 128 individuals (n=494), 60.5% were divergent from the receiver's calls; that is, less similar to the 129 receiver's calls than typical for that caller (see Supplementary Information). The classificatory 130 model performed significantly better than the null model for both convergent and divergent calls 131 (convergent calls: 17.2% correct, null models mean accuracy =  $4.9 \pm 1.1\%$ , *P*<0.0001; divergent calls: 32.4% correct, null models mean accuracy =  $13.1 \pm 1.4\%$ , *P*<0.0001) (Fig. 2, Table 1). 132 133 Finally, among both convergent and divergent calls, calls with the same caller and same receiver 134 were more similar than calls with the same caller and different receivers (ANOVA; convergent 135 calls:  $F_1$ =15.30, P=0.0001, Cohen's D=0.411; divergent calls:  $F_1$ =8.67, P=0.0033, Cohen's D=0.262) (Fig. 2, Extended Data Table 4). This suggests that vocal labeling in elephants likely 136 137 does not rely on imitation of the receiver's calls. While we cannot rule out the possibility that

elephants imitated calls made by the receiver that were not included in our dataset, elephants are
not known to produce discrete "signature" calls like dolphins and parrots; instead, the callerspecificity of elephant rumbles is likely a product of voice characteristics that are present across
calls <sup>13,14</sup>.

## 142 Mixed evidence for convergence among callers addressing same receiver

143 In humans and bottlenose dolphins, different callers generally use the same label for a given receiver. To determine if different callers use similar labels to address the same receiver in 144 elephants, we ran a random forest structured to predict receiver ID from different callers than the 145 model was trained on. This model correctly classified 1.4% of calls, no better than the 146 corresponding null models (permutation test, mean accuracy of null models= $1.4 \pm 0.40\%$  correct, 147 P=0.453) (Fig. 3, Table 1). However, calls from different callers to the same receiver were 148 significantly more similar on average than calls from different callers to different receivers 149 (ANOVA, P<0.0001, Cohen's D=0.134) (Fig. 3, Extended Data Table 6). These mixed results 150 may be due to the fact that rumbles simultaneously encode multiple messages <sup>13,16,20,21</sup>. If vocal 151 labels account for only a small portion of the variation in rumbles, the random forest may have 152 153 been influenced by context or caller-specific features, thus reducing its ability to predict receiver 154 ID across callers, even if different callers address the same receiver with the same label. Further work to identify how vocal labels are encoded in elephant calls will be necessary to definitively 155 156 determine if different callers use the same label for the same receiver.

### 157 Elephants responded more strongly to playback of calls originally addressed to them

To determine if elephants perceive and respond to the vocal labels in calls addressed to them, we compared reactions of 17 wild elephants to playback of a call that was originally addressed to them (test) relative to playback of a call from the same caller that was originally

addressed to a different individual (control). By using test and control stimuli from the same 161 caller, we controlled for the possibility of the caller's relationship to the subject influencing the 162 163 results. To control for the possibility that calls are specific to the type of relationship between the caller and receiver rather than to the individual receiver per se, we included the type of 164 relationship between the caller and the original receiver of the call as a factor in the analysis. 165 166 Further supporting the existence of vocal labels, subjects approached the speaker more quickly (Cox regression,  $\chi^2$ =6.8, P=0.009) and vocalized more quickly (Cox regression,  $\chi^2$ =7.9, 167 P=0.005) in response to test playbacks than control playbacks (Fig. 4, Table 2). They also 168 produced more vocalizations in response to test playbacks, although this model failed to 169 converge (Poisson regression,  $\chi^2 = 6.2$ , P = 0.013) (Fig. 4, Table 2). There was no significant 170 difference between test and control trials in latency to vigilance (Cox regression,  $\chi^2=3.1$ , 171 P=0.08) or in the change in vigilance duration before and after the playback (linear regression, 172  $\chi^2$ =0.06, P=0.81), although there was a nonsignificant trend toward faster onset of vigilance in 173 test trials (Table 2). 174

### 175 Discussion

To our knowledge, this study presents the first evidence for vocal addressing of 176 177 conspecifics without imitation of the receiver's calls in nonhuman animals. Very few species are known to address conspecifics with vocal labels of any kind. Where evidence for vocal labels has 178 been found, they are either clearly imitative <sup>1,2,9</sup> or of unknown structure <sup>22,23</sup>. Our data suggest 179 180 that elephants label conspecifics without relying on imitation of the receiver's calls, a 181 phenomenon previously known to occur only in human language. The social behavior and ecology of elephants create an environment in which individual 182 183 vocal labeling may be particularly advantageous. Due to their fission-fusion social dynamics,

elephants are often out of sight of their closely bonded social partners and produce contact 184 rumbles to communicate over long distances <sup>16,24</sup>. Characteristic fission-fusion dynamics in 185 elephants include coordinated movement to and from resources while proximately diffusing to 186 avoid foraging competition <sup>25,26</sup>. Vocal labels could enhance coordinating ability when out of 187 sight of one another. In contact calling scenarios, vocal labeling could allow callers to attract the 188 189 attention of a specific intended receiver. While greeting rumbles are produced in close proximity when the caller and receiver typically have visual contact <sup>16</sup>, vocal labeling in greeting rumbles 190 could possibly strengthen social bonds with specific individuals. Humans experience a positive 191 192 affective response and increased willingness to comply with requests when someone remembers their name  $^{27}$ . 193

Nonetheless, the fact that our random forest model correctly predicted receiver ID for 194 only around a fifth of calls (albeit significantly better than random) suggests that vocal labels 195 only occur in a minority of rumbles and thus are likely not necessary in all or even most 196 197 contexts. For example, contact and greeting calls may occur in vocal sequences where labeling the receiver in each call would be redundant <sup>16</sup>, and in the dry season, when elephant families 198 199 fission into smaller groups, there may be less ambiguity about the intended receiver in many scenarios <sup>26</sup>. Indeed, both humans and bottlenose dolphins only use individual vocal labels (i.e., 200 names or imitated signature whistles) in a small percentage of utterances <sup>18</sup>. 201

When vocal labels do occur, they are likely only one component among many in the call. Rumbles are recognized to simultaneously encode multiple messages, including but not limited to caller identity, age, sex, emotional state, and behavioral context <sup>13,16,20,21</sup>. Moreover, the top acoustic features for predicting receiver ID were not those that explained the most variation in the calls (see Supplementary Information), suggesting that vocal labels account for only a small

fraction of the total variation in rumbles. Rather than comprising a whole stand-alone call, 207 208 elephant vocal labels may be embedded within a call that simultaneously conveys multiple 209 additional messages. The richness in the information content of elephant vocalizations makes it difficult to identify the specific acoustic parameters that encode receiver ID. Unlike dolphin 210 signature whistles <sup>18</sup>, elephant vocal labels cannot be discerned by visual inspection of the 211 212 spectrogram and are likely encoded by a complex and subtle interaction among many acoustic parameters. As a result, we employed machine learning in this analysis, but innovative 213 approaches in signal processing may be necessary to isolate the vocal labels within rumbles. 214 Both African and Asian elephants have a demonstrated capacity for vocal mimicry in 215 captivity, but no prior study has documented a function of this ability in the wild <sup>11,12</sup>. We 216 speculate that vocal labeling may be one, if not the primary, function of vocal production 217 218 learning in wild elephants. Dolphins and parrots, which show evidence for individual vocal labeling via imitation of the receiver, are adept vocal learners. Another vocal learner, the 219 220 Egyptian fruit bat (*Rousettus aegyptiacus*), produces calls that are specific to individual receivers 221 and may be vocal labels as well, although it is currently unknown if the bats perceive this information<sup>23</sup>. Taken together, this raises the possibility that social selection pressures creating a 222 223 need to address individual conspecifics may have led to multiple independent origins of vocal 224 production learning.

The use of learned arbitrary labels is part of what gives human language its uniquely broad range of expression <sup>3</sup>. Our results suggesting that wild elephants also use arbitrary vocal labels for individual conspecifics provide an opportunity to investigate the selection pressures that may have led to the evolution of this rare ability in two divergent lineages. Moreover, these

229	findi	ngs raise intriguing questions about the complexity of elephant social cognition, considering
230	the p	otential relevance of symbolic communication to their social decision making.
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- 295 METHODS
- 296 Field recording

297	We collected audio recordings of wild female-calf groups in Amboseli National Park,
298	Kenya in 1986-1990 and 1997-2006 and Samburu and Buffalo Springs National Reserves
299	(hereafter, Samburu), Kenya in Nov 2019-Mar 2020 and Jun 2021-Apr 2022. Both populations
300	have been continuously monitored for decades and all individuals can be individually identified
301	by external ear morphology <sup>26,28</sup> . We recorded calls from a vehicle during daylight hours with
302	all-occurrence sampling $^{29}$ using an Earthworks QTC1 microphone (4 Hz-40 kHz ± 1 dB) with a
303	Nagra IV-SJ reel-to-reel tape recorder or an HHB PDR 1000 DAT recorder in Amboseli, and an
304	Earthworks QTC40 microphone (3 Hz-40kHz $\pm$ 1 dB) with a Sound Devices MixPre3 or
305	MixPre3-II digital recorder in Samburu. Recordings were recorded at a 48 kHz sampling rate
306	with 16 bits of amplitude resolution and stored at 2 kHz in Amboseli and recorded and stored at
307	44.1 kHz with 24 or 32 bits of amplitude resolution in Samburu.
308	When possible, we recorded for each call the identity of the caller, the behavioral context,
309	and the identity of the receiver (criteria for identifying receiver defined in Main Text). The caller
310	was identified using behavioral and contextual cues, such as an open mouth, flapping ears, or
311	being the only individual of the right age class in the immediate vicinity <sup>16</sup> . We scored behavioral
312	context according to a published methodology <sup>16</sup> . For each call, we recorded the certainty with
313	which we knew the caller ID, behavioral context, and receiver ID as a number between 0 and 1
314	(see Supplementary Information). In all statistical analyses, we weighted each call by the
315	certainty of receiver ID, so calls with greater certainty about the identity of the receiver would
316	have a proportionally greater impact on the model.

317 Acoustic analysis

We only included in analysis contact and greeting rumbles with certainty of caller ID, receiver ID, and behavioral context greater than 0, with no significant overlap with other calls or

other loud sounds in the same frequency range, and that were recorded close enough to the 320 321 microphone for the first two formants to be clearly visible in the spectrogram (98 rumbles from 322 Amboseli, 527 from Samburu). We performed all acoustic and statistical analyses in R version 4.1.3<sup>30</sup>. We automatically detected the onset and offset of each call from the amplitude envelope 323 using the function segment() in the package soundgen <sup>31</sup>, manually adjusting the detected times 324 325 when necessary. We then measured two alternative sets of features: spectral and cepstral (see Supplementary Information). The spectral features consisted of the smoothed Hilbert amplitude 326 327 envelope (350 ms moving average window, 90% overlap), the vectors of energy values in 26 328 mel-frequency bands between 0-500 Hz (measured at 35 ms intervals), and the vectors of delta 329 and delta-delta coefficients for the mel-frequency bands (79 vectors total) (Extended Data Fig. 2). The cepstral features consisted of the amplitude envelope, the vectors of the first 12 mel-330 331 frequency cepstral coefficients measured at 35 ms intervals, and the vectors of delta and deltadelta coefficients for the cepstral coefficients. 332

333 As the raw acoustic vectors (mel spectral bands, MFCCs, and their delta and delta-delta values) represented a matrix of values for each call, it was necessary to calculate lower-334 335 dimensional derived features from these matrices as input variables for statistical models. We 336 calculated derived features separately for the spectral and cepstral features. In brief, we scaled 337 the acoustic vectors and decorrelated them with a robust principal components analysis using the 338 rpca package in R, which decomposes the data into a robust matrix and a sparse matrix containing the outlier values ( $\lambda$ =0.00996)<sup>32</sup>. The final derived features we calculated were the 339 340 median, robust skewness, minimum extent, and equivalent statistical extent of the sparse matrix, the means of the first *n* low-rank principal components required to explain 99.9% of the variation 341 342 (74 for spectral features, 12 for cepstral features), and 8 measures of the spectral properties of the

- 343 low-rank principal components, calculated by treating each principal component as if it were a
- 344 waveform (see Supplementary Information) (Extended Data Table 2).
- 345 Statistical analysis of acoustic data
- 346 Are calls specific to individual receivers (hypothesis 1)?

We ran a 6-fold cross-validated random forest model in the R package ranger <sup>33</sup> to predict 347 348 the identity of the receiver of each call (receiver ID) as a function of the acoustic features. We stratified the cross-validation folds by caller ID and receiver ID to ensure as even a distribution 349 as possible of all caller-receiver dyads across all folds. Thus, if calls contain acoustic cues to 350 351 receiver ID, this model was expected to predict receiver ID better than chance regardless of whether the label for a given receiver is shared across callers (Extended Data Table 1, hypothesis 352 1, prediction 1). The model used 625 observations, 500 trees, 6 variables per node, 60% of 353 354 observations per tree, a minimum node size of 1, and no maximum tree depth, and observations were weighted by certainty of receiver ID. To increase the stability of the model's classification 355 356 accuracy, we ran the model 2000 times and used the mean classification accuracy across the 357 2000 runs. To determine if the model predicted receiver ID better than expected by chance, we 358 ran the model 10,000 times with the acoustic features randomly permuted and compared the 359 classification accuracy of the original model (averaged across 2000 runs) to the null distribution 360 of classification accuracies generated by the 10,000 models with randomized acoustic features. 361 As caller ID and receiver ID were partially aliased in our dataset (Extended Data Fig. 1), the random forest could theoretically use acoustic cues to caller ID <sup>16</sup> to predict receiver ID, even 362

if the calls did not contain any vocal label identifying the intended receiver. To disentangle the
effects of caller ID and receiver ID on call structure, we compared the mean pairwise similarities
between pairs of calls with the same caller and receiver and pairs with the same caller and

different receivers (Same Caller Pair Type). As a metric of call similarity, we extracted a 366 proximity score for each pairwise combination of calls from a random forest trained to predict 367 368 receiver ID as a function of the acoustic features on the full dataset (625 training observations, 8000 trees, other hyperparameters and weighting same as above). The proximity score for a 369 given pair of calls was the proportion of trees in which both calls were classified in the same 370 371 terminal node, corrected for the size of each node, and represented the degree of similarity between the two calls in terms of the acoustic features most relevant to predicting receiver ID<sup>19</sup>. 372 If calls are specific to individual receivers within a given caller, then pairs of calls with the same 373 374 caller and same receiver should be more similar (have higher proximity scores) than pairs of 375 calls with the same caller and different receivers (Extended Data Table 1, hypothesis 1, prediction 2). 376

Previous work has shown that elephants vary the structure of their rumbles when 377 interacting with more dominant vs. more subordinate conspecifics <sup>13</sup>. To rule out the possibility 378 379 that calls were specific to the type of relationship between caller and receiver rather than to individual receivers *per se*, we restricted the analysis of Same Caller Pair Type to pairs of calls 380 381 that had the same type of relationship between caller and receiver. We defined caller-receiver 382 relationship using 12 categories based on sex, family group membership, relative age, and mother-offspring relationship, reflecting the fact that dominance in elephants is primarily 383 determined by age <sup>34,35</sup> and that mother-calf bonds are the strongest social bonds in elephants <sup>26,36</sup> 384 385 (Extended Data Table 3). We also excluded pairs of calls that were recorded on the same date, as 386 preliminary analyses indicated that calls recorded on the same day were more similar than calls 387 recorded on different days, likely due to similarities in ambient conditions and/or autocorrelation 388 within a calling bout (final sample size = 2391 call pairs). As calls from different behavioral

contexts differ in acoustic structure <sup>16</sup>, we categorized each pair of calls according to whether the
two calls had the same or different behavioral contexts ("Same Context") and included this
variable as a factor in the analysis.

The proximity scores were highly skewed to the right, so we rank-transformed them and ran a Type III ANOVA with rank-transformed proximity score as the response variable and Same Caller Pair Type and Same Context as the factors. We weighted each observation (pair of calls) in the model by the minimum value of the certainty of caller ID and certainty of receiver ID for the two calls in the pair.

397 *Are vocal labels based on imitation of the receiver's calls (hypothesis 2)?* 

If elephants imitate the calls of the receiver that they are addressing, then callers should 398 sound more like a given conspecific when they are addressing her than when they are addressing 399 someone else (Extended Data Table 1, hypothesis 2, prediction 1). To assess whether this was 400 the case, we classified each pair of calls into one of two types (hereafter, "Imitation Pair Type"): 401 402 pairs in which the receiver of one call was the caller of the other call, and pairs in which this was not the case. We separately classified each call pair according to whether the two calls had the 403 same relationship between caller and receiver (hereafter, "Same Relationship"). We also created 404 405 a categorical variable Caller Dyad ID, which was an identifier for each unique combination of callers that comprised a call pair. We ran a Type III ANOVA with rank-transformed proximity 406 407 score as the response variable and Imitation Pair Type, Same Relationship, Same Context, and 408 Caller Dyad ID as factors. We weighted each observation (pair of calls) in the model by the 409 minimum value of the certainty of caller ID and certainty of receiver ID for the two calls in the pair. By controlling for Caller Dyad ID in the model we assessed the effect of Imitation Pair 410 Type within a given pair of callers; that is, whether calls from caller A to receiver B were more 411

similar to the receiver B's calls than calls from the caller A addressed to other receivers were to 412 receiver B's calls. Pairs of calls that had the same caller or receiver, were recorded on the same 413 414 day, were recorded from different family groups, or for which Caller Dyad ID did not occur with both levels of Imitation Pair Type were excluded from analysis (final sample size = 11,309 call 415 pairs). Pairs of calls from different family groups were excluded because they comprised a small 416 417 percentage of pairs where the receiver of one call was the caller of the other, and because it is possible that different families have different "dialects" which would influence call similarity. 418 If vocal imitation of the receiver occurs, it might or might not be the mechanism behind 419 individual vocal labeling. To assess whether imitation of the receiver's calls was necessary for 420 vocal labeling, we examined the calls in the dataset for which we had at least one recording of 421 the receiver's calls and at least one recording of the caller addressing someone other than the 422 receiver (n=494). For each of these calls, we calculated the mean proximity score between the 423 focal call and all the calls made by the receiver (Mean Proximity to Focal Receiver When 424 425 Targeting Focal Receiver) as well as the mean proximity score between each of the calls made by the focal caller to an individual other than the focal receiver and each of the calls made by the 426 427 focal receiver (Mean Proximity to Focal Receiver When Targeting Others). Calls in which the 428 Mean Proximity to Focal Receiver When Targeting Focal Receiver was greater than the Mean Proximity to Focal Receiver When Targeting Others were classified as "convergent" (n=195) 429 430 and divergent otherwise (n=299). We then examined the proportion of convergent and divergent 431 calls that were classified correctly by the random forest model with receiver ID and the acoustic 432 features as input variables, and cross-validation folds stratified by caller ID and receiver ID. If vocal labeling relies on imitation of the receiver's calls, we expected only the convergent calls to 433 434 be classified correctly more often than by the null model, but if imitation is not necessary for

vocal labeling, we expected both convergent and divergent calls to be classified correctly more 435 often than by the null model (Extended Data Table 1, hypothesis 2, prediction 2). We also ran 436 437 separate ANOVAs for the convergent calls and divergent calls, with rank-transformed proximity score as the response and Same Caller Pair Type and Same Context as the factors (excluding 438 pairs of calls recorded on the same day). If vocal labeling relies on imitation of the receiver, we 439 440 expected that there would only be an effect of Same Caller Pair Type among the convergent calls, but if imitation is not necessary for vocal labeling, we expected to observe an effect of 441 Same Caller Pair Type among both sets of calls (Extended Data Table 1, hypothesis 2, prediction 442 3). 443

444 Do different callers use the same label for the same receiver (hypothesis 3)?

To determine if different callers use the same label for the same receiver, we ran another 445 6-fold cross-validated random forest model to predict receiver ID as a function of the acoustic 446 features but partitioned the cross-validation folds such that all calls with the same caller and 447 448 receiver were always allocated to the same fold (hyperparameters and weighting same as first model). This model tested whether receiver ID could be predicted independently of caller ID, 449 450 which should only be possible if different callers use similar labels for a given receiver 451 (Extended Data Table 1, hypothesis 3, prediction 1). We averaged the classification accuracy of 452 the model across 2000 runs and compared this value to the distribution of classification 453 accuracies generated by 10,000 iterations of the same model with the acoustic features randomly 454 permuted.

If different callers use similar labels for the same receiver, then pairs of calls with
different callers and the same receivers should be more similar than pairs of calls with different
callers and different receivers (Extended Data Table 1, hypothesis 3, prediction 2). To test

whether this was the case, we ran another Type III ANOVA with rank-transformed proximity
score as the response variable and Different Caller Pair Type (different callers/same receiver or
different callers/same receiver), Same Relationship, and Same Context as the factors. As before,
we excluded pairs of calls recorded on the same date or from different family groups (final
sample size = 20,235 call pairs).

463 *How are labels encoded in calls?* 

To investigate which acoustic features encode receiver ID and caller ID we extracted 464 variable importance scores (Supplementary Table S1) from a conditional inference random forest 465 model in the R package "party" <sup>37</sup> trained on the full dataset to predict the response variable in 466 question (receiver ID or caller ID) as a function of the acoustic features and weighted by the 467 certainty of the response variable (625 training observations, 8000 trees, all other 468 hyperparameters same as other random forests). We used a conditional inference forest because 469 unlike traditional random forest, it is not biased towards correlated variables <sup>37</sup>. We only 470 471 calculated variable importance scores for the spectral features, as cepstral coefficients are difficult to interpret intuitively. To assess the relative importance of the original acoustic 472 473 contours, we weighted the loadings of the acoustic contours on each principal component by the 474 variable importance score of the mean of the principal component in question, and then calculated the sum of the absolute values of these weighted loadings for each acoustic contour 475 476 (Supplementary Table S2). Acoustic contours with a higher sum of the absolute values of the 477 weighted loadings were deemed more important. This weighting process only considered the 478 means of low-rank principal components, as it was not clear how to relate the other features back 479 to the original acoustic contours. However, means of low-rank principal components accounted 480 for the top 19 variables for the receiver ID model and top 33 variables for the caller ID model.

### 481 Playback experimental design

To determine if elephants respond more strongly to calls addressed to them (Extended 482 483 Data Table 1, hypothesis 1, prediction 3), we played back rumbles with known adult female callers and known receivers to 17 elephants (15 adult females, one 9yo female, one 9-10yo male) 484 in the Samburu study area. Fourteen subjects received one "test" playback of a call that was 485 486 originally addressed to them and one "control" playback of a call from the same caller that was originally addressed to another individual. One subject received two sets of test and control 487 playbacks from two different callers, one received only a test playback, and one received only a 488 control playback (Extended Data Table 7). Most stimuli functioned as the test stimulus for one 489 subject and the control stimulus for another, but no stimulus was used as the same experimental 490 condition for more than one subject. Order of presentation was balanced across subjects, and we 491 waited at least 7 days (mean =  $29.5 \pm 27.1$  days) between successive playbacks to the same 492 subject. 493

### 494 Playback stimuli

Playback stimuli were recorded in Samburu and Buffalo Springs between January 2020 495 496 and March 2022 from adult female callers. In all but two cases, the playback stimuli were contact 497 calls. In one case we used a loud greeting call because we were unable to record a contact call from the caller in question, and in one case we used a call that was produced in a similar context 498 499 to contact calls (caller and receiver >100 m apart and out of sight of each other), but was lower in 500 amplitude than a typical contact call and was part of a lengthy antiphonal exchange between two individuals, and therefore was likely a "cadenced rumble" <sup>16</sup>. Three playback stimuli were 501 502 elicited by another playback, and we assumed that the individual whose call was broadcast from 503 the speaker was the intended receiver of the call that was produced in response to that playback.

We identified the receiver of natural calls as the only adult member of the family group who was 504 separated from the caller during the call or the only individual who responded to the call. In one 505 506 case, there were two adult females separated from the caller, and we assumed the receiver was the older of the two females who was in the lead and who rejoined the caller first (see Table 507 S10). We prepared all playback stimuli in Audacity 3.0.2. Each stimulus consisted of a single 508 509 rumble preceded by one second of background noise with a fade-in and followed by one second of background noise with a fade-out. In three cases, we applied a high-pass (5 Hz cutoff, 6 dB 510 roll-off) or low-pass filter (1000 Hz cutoff, 6 dB roll-off) to remove excessive noise. 511 512 **Playback trial protocol** The stimuli were played back as .way files (uncompressed audio) from an iPhone SE 513 (Apple Inc., Cupertino, CA) attached to QLXD1 wireless bodypack transmitter (Shure, Niles, IL) 514 515 transmitting to a custom-built loudspeaker (Bag End Loudspeakers, Algonguin, IL) (see Supplementary Information). We placed the speaker 40.2-59.0 m from the subject (mean 49.1  $\pm$ 516 517 4.2 m), either on the ground in front of a tree or shrub and covered by camouflage netting or on 518 the edge of the rear seat of a Toyota double cab Landcruiser facing the door with all four doors 519 and windows and both roof hatches open. Re-recordings at 50 m revealed no obvious difference 520 between sounds played with the speaker on the ground or inside the vehicle. We only conducted playbacks when the original caller and "alternate receiver" (the other subject receiving playbacks 521 522 from the same caller) were >180 m from and out of sight of the subject (>270 m from the 523 alternate receiver if she had not yet received all her playbacks). When the original caller's

524 location was known (19/34 trials) the speaker was placed in approximately the same direction

relative to the subject as the original caller. In the remaining trials the caller could not be located

after searching a ~300 m radius around the subject. Trials were redone after at least 7 days if the

speaker malfunctioned, the subject moved her head out of sight right before the playback started, or we discovered after the playback that the speaker was not in the correct location relative to the subject and the original caller. During each trial we filmed the subject from inside the vehicle for at least 1 min, then played the stimulus once, and continued filming for at least another 10 min. We also recorded audio with an Earthworks QTC40 microphone and Sound Devices MixPre3-II recorder. The observers were blind to the playback condition (test or control) until all trials were complete and all videos and audio recordings were scored.

### 534 Statistical analysis of playback data

From the video and audio recordings of each playback trial we measured the subject's 535 Latency to Approach the speaker, Latency to Vocalize, Number of Vocalizations produced 536 within 10 min following the playback, Latency to Vigilance, and Change in Vigilance Duration 537 538 in the minute following the playback compared to the minute preceding the playback. Latencies were defined as the time from the start of the playback until the behavior of interest occurred and 539 540 were censored when the subject moved out of sight or at 10 min, whichever came first. Vigilance was defined as lifting head above shoulder level, moving head from side to side, holding ears 541 542 away from body without flapping, or lifting trunk while sniffing toward speaker. We ran a 543 separate model for each response variable with Subject ID as a random effect and Treatment and 544 the following covariates/factors as fixed effects: Caller-Original Receiver Relationship 545 (relationship between the caller and the original receiver of the call; Extended Data Table 3), 546 Distance (distance in meters between the speaker and the subject), dBC (amplitude of the 547 playback stimulus in dBC at 1 m), Other Adults (whether other adults were within 50 m of subject during playback), Speaker Location (whether speaker was on ground or in vehicle), and 548 549 Cumulative Playback Exposure (cumulative number of playbacks to which subject was exposed

550	at distance of 300 m or less.	including trials that were redone and	playbacks to other subjects)
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- 551 We used Cox proportional hazards regression in the coxme package  $^{38}$  for the latency variables, a
- generalized linear model with a Poisson error distribution in the lme4 package <sup>39</sup> for Number of
- 553 Vocalizations, and a linear model for Change in Vigilance Duration.
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### 592 AUTHOR CONTRIBUTIONS

MP conceived the study. MP and DL collected the data in Samburu and JP and PG collected thedata in Amboseli. MP and KF performed the statistical analysis and MP created the figures. MP

- drafted the manuscript and KF, JP, and GW edited it. CM, IDH, and GW provided resources and
- access to long-term datasets and GW supervised the study.
- 597 The authors declare no competing interests.
- 598 Supplementary Information is available for this paper.
- 599 Correspondence and requests for materials should be addressed to MP.
- 600 Reprints and permissions information is available at www.nature.com/reprints.
- 601 Data are available at doi:10.5061/dryad.hmgqnk9nj
- 602 Code is available at doi:10.5061/dryad.hmgqnk9nj











625 Figure 3. Mixed evidence that different callers use similar labels for the same receiver. 626 Left: Classification accuracy (red line) of random forest designed to predict receiver ID from 627 acoustic features independently of caller ID (all calls with the same caller and receiver allocated to the same cross-validation fold) was not significantly different from classification accuracies of 628 null models with randomized acoustic features (gray histogram). Right: Pairs of calls with 629 different callers and the same receiver were significantly more similar (higher proximity score) 630 631 than pairs of calls with different callers and different receivers (ANOVA on ranks). Error bars represent standard errors of the mean. 632

633





Figure 4. Response to playbacks of test stimuli (calls originally addressed to the subject) vs.
control stimuli (calls from the same caller originally addressed to a different individual).
Subjects approached the speaker more quickly (left; Cox regression), vocalized more quickly
(center; Cox regression), and produced more vocalizations (right; Poisson GLM) in response to
test playbacks than controls (note the model for number of vocalizations failed to converge).
Error bars in rightmost panel represent standard errors of the mean.

# Table 1. Results of random forest models predicting receiver ID as a function of the acoustic features

Hypothesis tested	Observations used	Data partitioning	Classification accuracy	Mean ± SD accuracy for null models	Permutation test <i>P</i> -value
Spectral acous	tic features				
H1: calls are receiver specific	All (625)	Stratified by caller and receiver ID	20.3%	$7.6\pm0.75\%$	<0.0001
H2: labels are arbitrary	Convergent calls (195)	Stratified by caller and receiver ID	17.2%	4.9 ± 1.1%	<0.0001
H2: labels are arbitrary	Divergent calls (299)	Stratified by caller and receiver ID	32.4%	$13.1 \pm 1.4\%$	<0.0001
H3: labels shared across callers	All (625)	All calls with same caller and receiver in same fold	1.4%	$1.4\pm0.40\%$	0.453
<b>C</b> ( <b>1</b>	· · ·				
H1: calls are receiver specific	All (625)	Stratified by caller and receiver ID	14.9%	$6.3 \pm 0.96\%$	<0.0001
H2: labels are arbitrary	Convergent calls (195)	Stratified by caller and receiver ID	13.4%	$4.5 \pm 1.4\%$	<0.0001
H2: labels are arbitrary	Divergent calls (299)	Stratified by caller and receiver ID	22.0%	$10.0\pm1.7\%$	<0.0001
H3: labels shared across callers	All (625)	All calls with same caller and receiver in same fold	1.4%	$1.4\pm0.48\%$	0.433

All random forests had 500 trees, 6 variables per node, 60% of observations per tree, minimum
node size = 1, and no maximum tree depth, and 6-fold for cross-validation. Observations were
weighted by the certainty of receiver ID. Classification accuracies were averaged across 2000

- runs of the model to improve stability. To determine if the classification accuracy was higher
- than expected by chance, the model was run 10,000 times with randomly permuted acoustic
- 649 variables, and the original classification accuracy was compared to the distribution of
- classification accuracies for these 10,000 null models.
- 651

Response variable	Model type	Trtmnt	Reltnshp Caller to	Dist.	dBC	Other adults	Speaker location	Cumul. playback
			Org. Rcv.					exposure
Latency to approach	Cox	$\chi^2 = 6.8,$ P=0.009	$\chi^2 = 1.7,$ P=0.80	$\chi^2 = 2.4, P = 0.12$	$\chi^2 = 0.65,$ P = 0.42	$\chi^2 = 0.41,$ P=0.52	$\chi^2 = 0.59,$ P = 0.44	$\chi^2 = 0.11,$ P=0.73
Latency to vocalize	Cox	$\chi^2 = 7.9,$ P=0.005	$\chi^2 = 6.4,$ P=0.17	$\chi^2 = 0.97,$ P = 0.32	$\chi^2 = 0.02,$ P=0.90	$\chi^2 = 0.64, P = 0.42$	$\chi^2 = 0.20,$ P = 0.66	$\chi^2 = 0.10,$ P=0.75
Number of calls	Poisson	$\chi^2 = 6.2,$ <i>P</i> =0.013	$\chi^2 = 19.9,$ P=0.0005	$\chi^2 = 0.32,$ P = 0.57	$\chi^2 = 0.48,$ P = 0.49	$\chi^2 = 0.72,$ P=0.40	$\chi^2 = 0.13,$ P = 0.72	$\chi^2 = 0.01,$ P=0.91
Latency to vigilance	Cox	$\chi^2 = 3.1, P = 0.08$	$\chi^2 = 10.1,$ P=0.038	$\chi^2 = 1.8,$ P = 0.18	χ <sup>2</sup> =1.9, <i>P</i> =0.16	$\chi^2 = 5.5,$ P = 0.019	$\chi^2 = 0.55,$ P = 0.46	$\chi^2 = 0.02,$ P = 0.88
Vigilance duration after - before	Linear	$\chi^2 = 0.06,$ P = 0.81	$\chi^2 = 2.1,$ P = 0.72	$\chi^2 = 4.0,$ P=0.045	$\chi^2 = 0.02,$ P=0.89	$\chi^2 = 0.43, P = 0.51$	$\chi^2 = 0.33, P = 0.56$	$\chi^2 = 0.83,$ P = 0.36

**Table 2. Results for Type III Analyses of Deviance on playback experiment models** 

653 Subject ID (not shown) was also included as a random effect in each model. The Poisson

regression for Number of vocalizations failed to converge.

### 656 TITLES AND LEGENDS FOR EXTENDED DATA

### 657 Extended Data Figure 1. Violin plots illustrating distribution of data with respect to callers

and receivers. The dataset consisted of 625 total calls, 114 unique callers, and 119 unique

receivers, but each caller only addressed a small number of the receivers in the dataset.

### 660 Extended Data Figure 2. Schematic illustrating how spectral acoustic features were

661 measured. First, a spectrogram was calculated by applying a Fast Fourier Transform to the

signal (Hamming window, 700 samples, 90% overlap). Then a mel filter bank with 26

overlapping triangular filters between 0-500 Hz was applied to each window of the spectrogram

to produce a mel spectrogram. The mel spectrogram was then normalized by dividing the energy

value in each cell by the total energy in that time window and these proportional energies were

logit-transformed so they would not be limited to between 0 and 1. As features for the robust

667 principal components analysis, we used the vector of energy in each of the 26 mel frequency

bands bands as well as the vectors of delta and delta-delta values for each frequency band (representing

the change and acceleration in energy over time, respectively). In the spectrogram and mel

670 spectrogram in this figure, warmer colors indicate higher amplitudes (greater energy).

671 Extended Figure 3. Scatterplots showing the separation in 3D space between calls from the

672 same caller to different receivers. Axes are the three most important variables for predicting

receiver ID (means of PCs 33, 23, and 48) as determined from the variable importance scores of
a conditional inference random forest using the spectral acoustic features. Each plot represents a
single caller, each point is a single call, and receiver IDs are coded by both color and shape. This

figure only includes calls where certainty of caller ID and receiver ID were at least 0.5 (no more

than 2 possible candidates) and the caller made at least 3 calls each to at least 2 different

678 receivers.

### 679 Extended Data Table 1. Hypotheses and predictions tested in this study

### 680 Extended Data Table 2. Acoustic features used in the random forest models

681 All acoustic features were derived from either the sparse matrix or low-rank matrix of a robust principal components analysis performed on multiple acoustic contours of equal length that were 682 measured directly from the signal. For the spectral acoustic features, the acoustic contours were 683 684 the Hilbert amplitude envelope, the vector of energies in each of the 26 bands of a mel spectrogram, and the delta and delta-delta values of the mel spectral bands. For the cepstral 685 acoustic features, the acoustic contours were the Hilbert amplitude envelope, first 12 mel-686 frequency cepstral coefficients, and the delta and delta-delta values of the first 12 cepstral 687 coefficients. The principal components analysis was performed on a matrix of all the contours 688 for each call stacked end-to-end. 689

Extended Data Table 3. Definitions of social relationship categories between caller and
 receiver

Categories were defined based on sex, age, and mother-offspring status, the most important factors influencing dominance and bond strength within an elephant family group. Females were defined as adults if  $\geq 10$  years old, and males were defined as adults if independent from their natal group. All non-adults under this definition were classified as juveniles. Six years was chosen as the cutoff for different age classes because it is between 1-2x the average inter-birth interval, so a female  $\geq 6$  years older than another individual could have been that individual's allomother.

# Extended Data Table 4. Results for ANOVAs to test if calls with the same caller and receiver were more similar than calls with the same caller and different receivers

Each observation was a pair of calls. ANOVA models were of the form Rank-transformed 701 702 Proximity Score ~ Same Caller Pair Type (whether the two calls in a pair had the same caller and 703 receiver or same caller and different receivers) + Same Context (whether the two calls in a pair had the same behavioral context). Pairs of calls recorded on the same date or where the two calls 704 had a different type of caller-receiver relationship were excluded. Three models were run for 705 706 each set of acoustic features (spectral and cepstral): all pairs of calls meeting above criteria (n=2391), pairs of calls in which both calls were convergent on the receiver's calls (n=252), and 707 pairs of calls in which both calls were divergent from the receiver's calls (n=798). Convergent 708 709 calls = calls from caller A to receiver B that were more similar to receiver B's calls than calls from caller A to other receivers were to receiver B's calls. Divergent calls = calls from caller A 710 to receiver B that were less similar to receiver B's calls than calls from caller A to other receivers 711 were to receiver B's calls. 712 Extended Data Table 5. Results for ANOVAs to test if calls addressed to a given receiver 713 714 were imitative of the receiver's calls 715 Each observation was a pair of calls. ANOVA models were of the form Rank-transformed 716 Proximity Score ~ Imitation Pair Type + Same Relationship + Same Context + Caller Dyad ID. 717 Model was run once for each set of acoustic features: spectral and cepstral. Imitation Pair Type = whether the caller of one call in a pair was the receiver of the other call. Same Relationship = 718 719 whether the callers of both calls in a pair had the same type of relationship to their respective 720 receivers. Same Context = whether the two calls in a pair were recorded in the same behavioral

- context (contact/greeting). Caller Dyad ID = identifier for the two callers in a pair. Pairs of calls
- recorded on the same date, from callers in different social groups, or with the same caller or

receiver were excluded. We also excluded pairs of calls for which Caller Dyad ID only occurred
with one level of Imitation Pair Type (final n=11,309).

# 725 Extended Data Table 6. Results for ANOVAs to test if different callers used similar labels

### 726 for the same receiver

727 Each observation was a pair of calls. ANOVAs were of the form Rank-transformed Proximity

728 Score ~ Different Caller Pair Type + Same Relationship + Same Context. Model was run

separately for each set of acoustic features: spectral and cepstral. Different Caller Pair Type =

whether the two calls in a pair had different callers and the same receiver or different callers and

different receivers. Same Relationship = whether the two calls in a pair had the same type of

relationship between caller and receiver. Same Context = whether the two calls in a pair were

recorded in the same behavioral context (contact/greeting) or not. Pairs of calls recorded on the

same date or from callers in different social groups were excluded (final n=20,235)

### 735 Extended Data Table 7. Summary of playback trials for each subject

All callers and subjects were adult females except M25.0012 (subadult male) and M9.9612

(subadult female). The letter in parentheses after each caller ID represents a unique call (e.g.,

R23 (a) and R23 (b) were different calls recorded from R23). Twelve trials were redone once or

twice because the playback system malfunctioned, the subject went out of sight just as the

playback began, or the speaker was accidentally placed >60 m away or in the wrong direction

relative to the subject and the original caller. Trials that were later redone are not included in this

742 table.