

1         **A Quantitative Study of Inappropriate Image Duplication in the**  
2   **Journal *Toxicology Reports***

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5         **Author Note**

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10         **Disclosures**

11         Free use of ImageTwin.ai software was provided by ImageTwin AI GmbH to Sholto David for  
12         the purpose of this study, and for other research integrity related activities. The company did  
13         not participate in the conduct or review of this study.

14         **Data Availability**

15         The data collected and analysed in support of this study will be made available in a  
16         spreadsheet format titled "supplementary data set".

17

## 18 **Abstract**

19 Inappropriate image duplication is a type of scientific error that can be detected by  
20 examining published literature. Few estimates of the frequency of this problem have been  
21 published. This study aimed to quantify the rate of image duplication in the journal  
22 *Toxicology Reports*. In total 1540 unique articles (identified by DOI) were checked for the  
23 presence of research related images (microscopy, photography, western blot scans, etc).  
24 Each research paper containing at least one such image was scrutinized for the presence of  
25 inappropriate duplications, first by manual review only, and subsequently with the assistance  
26 of an AI tool (ImageTwin.ai). Overall, *Toxicology Reports* published 715 papers containing  
27 relevant images, and 115 of these papers contained inappropriate duplications (16%).  
28 Screening papers with the use of ImageTwin.ai increased the number of inappropriate  
29 duplications detected, with 41 of the 115 being missed during the manual screen and  
30 subsequently detected with the aid of the software. In summary, the rate of inappropriate  
31 image duplication in this journal has been quantified at 16%, most of these errors could have  
32 been detected at peer review by careful reading of the paper and related literature. The use  
33 of ImageTwin.ai was able to increase the number of detected problematic duplications.

## 34 **Introduction**

35 Scientific papers often include images to communicate the results of experiments or illustrate  
36 case reports. Mislabelled images mislead the reader of a paper (intentionally or not), and  
37 when discovered, undermine the credibility of research. One way that incorrectly labelled  
38 images can be discovered is by identifying duplicated images – where one image is used to  
39 represent more than one experimental condition or subject, or parts of an image are cloned  
40 to produce composite images. The frequency of image duplication in biomedical literature  
41 has previously been estimated at ~5%<sup>1,2</sup>. Data duplication between papers, including charts  
42 as well as images, has been quantified as high as ~25%<sup>3</sup>. Greater awareness of the problem  
43 of image duplication has led to increased documentation of such errors, often on the post-  
44 publication peer review website PubPeer<sup>4</sup>. Recently, AI tools have become increasingly  
45 capable in detecting image similarities, with several tools being developed specifically for  
46 detecting image manipulation or duplication in the scientific literature. A recent study using  
47 the software ImageTwin.ai reported that 9 out of 69 rhinology related papers screened using  
48 the software contained inappropriate image duplication<sup>5</sup>. The purpose of this study was to  
49 quantify the rate of inappropriate image duplication in the open access journal *Toxicology*  
50 *Reports* and compare the results of manually screening papers to a review assisted by the  
51 ImageTwin.ai tool.

## 52 **Methods**

### 53 ***Paper Selection***

54 All research articles or case reports published in the journal *Toxicology Reports* (since the  
55 first article in 2014 to the most recent article at the time of writing in July 2023) were  
56 screened for the presence of any images used to illustrate or communicate the results of  
57 experiments, typically histology slides, microscopy images of cells, photographs of animals,  
58 western blots, and DNA gels. Articles containing only charts, spectra, maps, graphical  
59 abstracts, diagrams, or computer-generated images were excluded. Review articles,

60 retraction notes, letters, and editorials were excluded as they are not expected to contain  
61 original images.

## 62 ***Manual Screen of Papers for Inappropriate Duplication***

63 The PDF version of each included article was scrutinized by one reviewer (the author) for  
64 evidence of duplication within each paper. Supplementary data files were also occasionally  
65 analysed for inappropriate duplications, but not systematically (some sets of papers were  
66 bulk downloaded as PDFs without visiting the journal page for each article). In some cases,  
67 images were also compared between other papers by the same research group or author,  
68 by searching the author names in Google Scholar and downloading additional papers, the  
69 extent of cross-checking between papers for inappropriately duplicated images was naturally  
70 limited due to the large number of comparisons that could be made. No automated tools or  
71 software were used during this process.

## 72 ***Screening of Papers with ImageTwin.ai***

73 Imagetwin.ai is a commercially available AI-based software which has been developed for  
74 detecting integrity issues in scientific papers. This software can detect similar areas within  
75 images (cloned sections), between images in the same paper, and includes a database of  
76 figures sourced from open-access papers and can therefore check for images duplicated  
77 between some publications. ImageTwin.ai identifies areas of similarity, but whether the  
78 duplication is appropriate or not must be determined by the user. In this study ImageTwin.ai  
79 was used to scan the PDF files of papers that were identified as containing relevant images.  
80 Duplications highlighted by ImageTwin.ai were evaluated as appropriate or inappropriate by  
81 one reviewer (the author)

## 82 **Categories of Inappropriate Duplication**

83 Inappropriate image duplications were assigned to three levels; approximately  
84 corresponding to previously published categories and expanded to include duplications  
85 between papers<sup>2</sup>:

- 86 • Category I: Simple duplication. An image is entirely reproduced without modification  
87 within the same paper, or in another paper, and labelled as representing a different  
88 experimental condition or subject.
- 89 • Category II: Duplication with repositioning. An image overlaps with another image  
90 found elsewhere in the same paper, or in another paper. The overlap is evidence that  
91 both images are smaller parts of a larger image or separate images of the same  
92 feature. The images are labelled as showing different experimental conditions or  
93 subjects.
- 94 • Category III: Duplication with alteration. Images are published with significant  
95 alteration, for example the copying or cloning of parts of an image, moving or rotating  
96 elements of a gel.

97 Examples of category I, II, and III duplications as identified in *Toxicology Reports* are shown  
98 in Figure 1 A, B, and C, respectively. Where multiple categories of duplication were present  
99 in one paper, the highest category was assigned to that paper. Newly Identified duplications  
100 were posted on the website PubPeer, with a short explanation and a request for the authors  
101 to respond, the corresponding author's email was submitted to the website (which is  
102 designed to generate a system email informing the author of the comment). When a  
103 duplication had already been identified and posted on PubPeer, this was added to the  
104 dataset and classified as above.

## 105 ***Comparison between Manual and ImageTwin.ai Assisted Screening***

106 Each paper that was found to contain inappropriate duplications by the manual or  
107 ImageTwin.ai assisted screen was assigned to one of three categories:

- 108 • At least one inappropriate duplication was identified during the manual review, and  
109 none were highlighted by the ImageTwin.ai software.
- 110 • At least one inappropriate duplication was identified by ImageTwin.ai, and none were  
111 found during the manual screen.
- 112 • At least one inappropriate duplication was identified during the manual screen, and at  
113 least one during the ImageTwin.ai assisted screen. The detected duplications may be  
114 in the same images or figures, or separate.

115 Image duplications that had already been submitted to PubPeer by other users were  
116 excluded from the comparison between manual and ImageTwin.ai assisted screen since the  
117 method used to detect these duplications is unknown.

## 118 **Results**

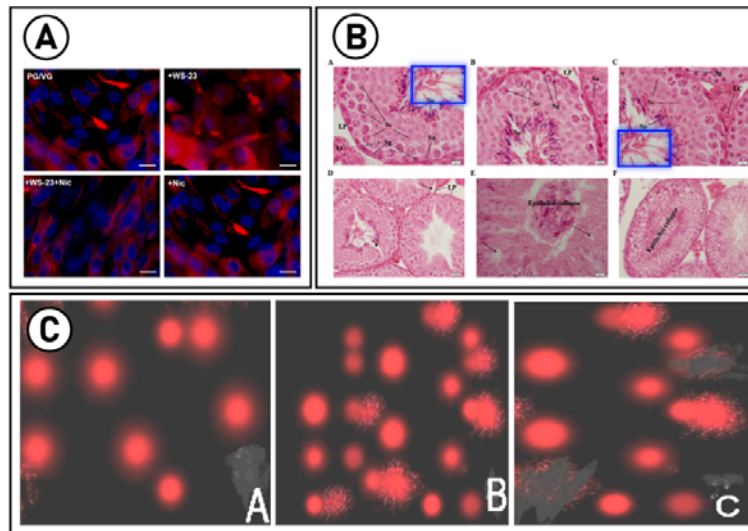
### 119 ***Number of Inappropriate Duplications and Categories***

120 From 2014 to the time of writing, *Toxicology Reports* published 1540 unique articles  
121 (identified by DOI), 1418 were classified as research papers or case reports during the initial  
122 screen, with 122 being reviews, retraction notices, letters, commentaries, etc. Of the  
123 research articles, around half (715) used images to illustrate the results or subject of the  
124 paper, with the other 703 papers using only charts, tables, and diagrams. Of those papers  
125 that included at least one relevant image, 115 included at least one inappropriate duplication  
126 (16%). Out of these inappropriate duplications 34 were classified as category I, 57 as  
127 category II, and 24 as category III. A Sankey diagram showing the flow of papers through  
128 this study, and the categorisation of inappropriate duplications is shown in Figure 2.

129 **Comparison of Manual Screen to ImageTwin.ai Assisted Screen**

130 A total of 63 papers containing inappropriate duplications were detected during the manual  
131 screen, and subsequently the ImageTwin.ai assisted screen identified a further 41, Prior to  
132 the start of this study 11 papers containing inappropriate duplications had been posted to  
133 PubPeer by other users, for a total of 115 papers, Figure 3 shows a Venn diagram of these  
134 results.

135



136

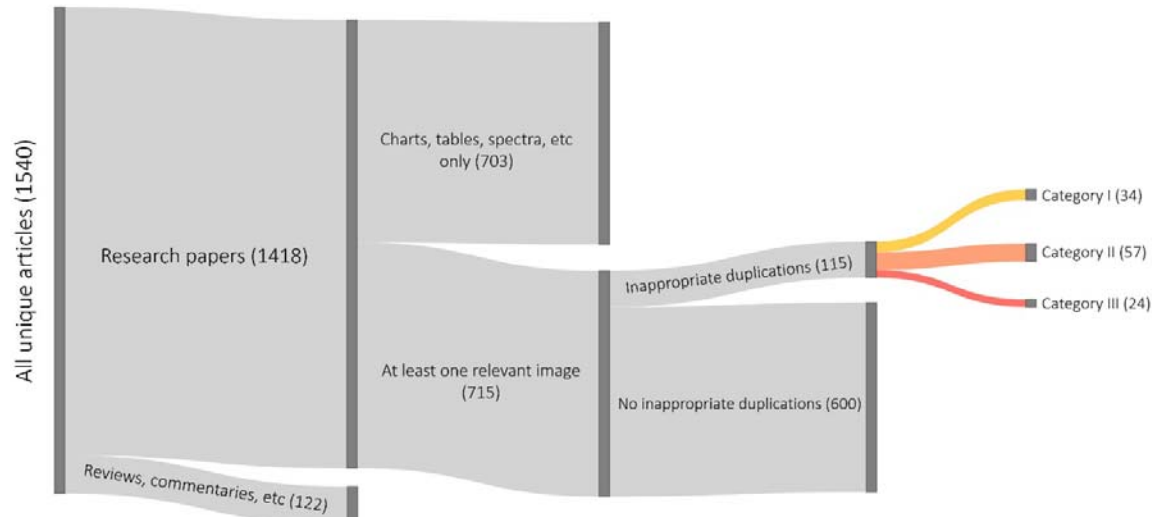
137 *Figure 1: Selected examples of category I, II, and III duplications identified in Toxicology*  
138 *Reports. The figures have been reproduced without removing the original labels, for clarity*  
139 *the letters in black circles are used to identify each part of the figure. (A) Is a category I*  
140 *duplication, the images of cells labelled as “PG/VG” and “+Nic” in the top left and bottom*  
141 *right are identical. (B) Is a category II duplication, these histology images were labelled as*  
142 *different experimental conditions, but share a common area (blue rectangles). (C) Is a*  
143 *category III duplication, the central image and right-hand image share a common source*  
144 *(right-hand image is stretched and magnified in comparison). Parts of each image have been*

145 *digitally edited with a paintbrush type tool, the brightness and contrast of (C) was increased*

146 *to help visualize the edited areas.*

147



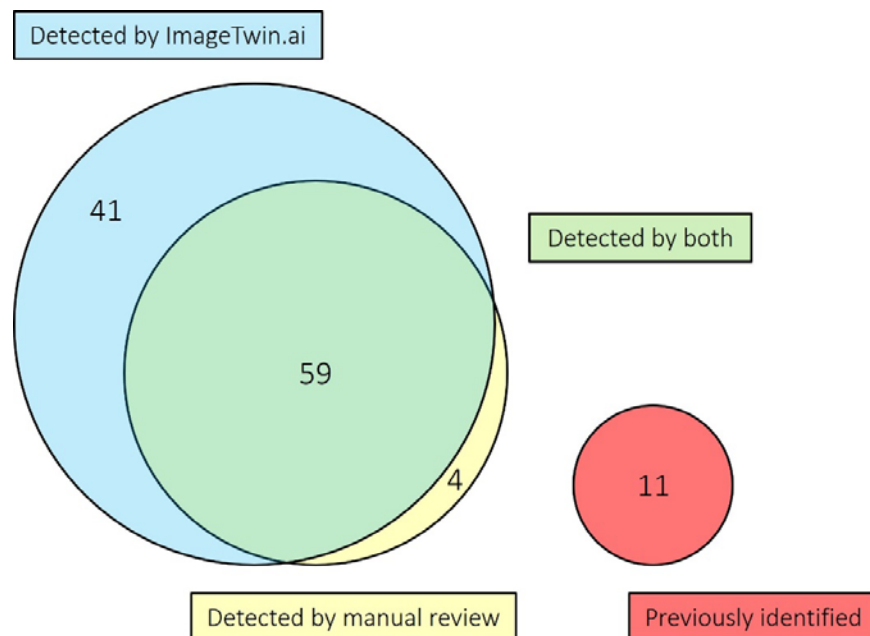


148

149 *Figure 2: Sankey diagram showing the flow of papers through the study and categorisation*

150 *of duplications. The width of each bar is proportional to the number of papers in each section.*

151



152 *Figure 3: Venn diagram showing how papers containing problematic duplications were*

153 *detected. Eleven papers were previously identified by unknown methods and posted to the*

154 *PubPeer website.*

## 155 **Discussion**

### 156 ***Number of Inappropriate Duplications and Categorisation***

157 When considering only those articles containing relevant images, the rate of inappropriate  
158 duplication in *Toxicology Reports* has been quantified at 16%, if all research papers are  
159 counted (regardless of whether the paper contained any images) the rate is 8%. These  
160 estimates align with previous work<sup>1,2,5</sup>. About 30% of problematic papers contained only  
161 category I duplications, this type of duplication may represent a simple mistake. Around 50%  
162 of papers contained at least one category II duplication, this type of duplication is seen as  
163 more likely to be indicative of research misconduct. About 20% of papers contained category  
164 III duplications, with significant alterations of an image, including cloning of areas.

### 165 ***Comparison Between Manual Review and Screening Assisted by ImageTwin.ai***

166 The use of ImageTwin.ai increased the detection of papers containing problematic  
167 duplications. This comparison is admittedly limited, as different reviewers may have detected  
168 more or less duplications at the manual review stage. Of the four images that were identified  
169 only by manual screening and missed by ImageTwin.ai, two were category II duplications  
170 and two were category III. More extensively manipulated images may not be identified by the  
171 software, but can still be spotted by eye, the example in Figure 1C was not identified by  
172 ImageTwin.ai. Even though ImageTwin.ai was able to increase the number of duplications  
173 identified it is worth noting that the majority were spotted by one reviewer and could have  
174 presumably been identified at the peer review stage.

### 175 ***Risk of False Positives and False Negatives***

176 The true number of inappropriately duplicated images published in *Toxicology Reports*  
177 remains unknown. False negatives (duplications exist but were not identified) are likely to  
178 exist in this dataset due to the failure of the reviewer and software to identify matching areas.  
179 False positives (an image was categorised as an inappropriate duplication when it is not)

180 may also exist within the dataset. One false positive was previously identified by an author  
181 responding on PubPeer, and this example was removed from the dataset prior to analysis.  
182 The risk of false positives and difficulties in determining the true number of duplications  
183 should not discourage research into scientific misconduct, encouragingly, three corrigenda  
184 have been published by *Toxicology Reports* which were initiated by the authors after  
185 corresponding comments were posted to PubPeer<sup>6-8</sup>. Alternative approaches, for example  
186 generating synthetic datasets containing manipulated images will likely prove useful to  
187 benchmark the performance of automated tools<sup>9</sup>.

## 188 ***Conclusion***

189 The rate of Inappropriate duplication of images in the journal *Toxicology Reports* is  
190 surprisingly high. This study adds a further quantitative result to research on scientific  
191 misconduct. Furthermore, a real-world comparison between manual review and AI assisted  
192 screening has been reported. Future research could benefit from reviewing a more diverse  
193 set of papers, expanding the types of image manipulation considered, the participation of  
194 second or third reviewers, and evaluation or comparison of different software.

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