Phased assembly of neo-sex chromosomes reveals extensive Y degeneration

and rapid genome evolution in *Rumex hastatulus*

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Abstract

Y chromosomes are thought to undergo progressive degeneration due to stepwise loss of recombination and subsequent reduction in selection efficiency. However, the timescales and evolutionary forces driving degeneration remain unclear. To investigate the evolution of sex chromosomes on multiple timescales, we generated a high-quality phased genome assembly of the massive older (<10MYA) and neo (<200,000 years) sex chromosomes in the XYY cytotype of the dioecious plant *Rumex hastatulus* and a hermaphroditic outgroup *R. salicifolius*. Our assemblies, supported by fluorescence in situ hybridization, confirmed the neo-sex chromosomes were formed by two key events: an X-autosome fusion and a reciprocal translocation between the homologous autosome and the Y chromosome. The enormous sex-linked regions of the X (296 MB) and two Y chromosomes (503 MB) both evolved from large repeat-rich genomic regions with low recombination; however, the complete loss of recombination on the Y still led to over 30% gene loss and major rearrangements. In the older sex-linked region, there has been a significant increase in transposable element abundance, even into and near genes. In the neo sex-linked regions, we observed evidence of extensive rearrangements without gene degeneration and loss. Overall, we inferred significant degeneration during the first 10 million years of Y chromosome evolution but not on very short timescales. Our results indicate that even when sex chromosomes emerge from repetitive regions of already-low recombination, the complete loss of recombination on the Y chromosome still leads to a substantial increase in repetitive element content and gene degeneration.

Introduction

One of the most striking and parallel patterns in genome evolution is the degeneration of the non-recombining chromosomes of the heterogametic sex (Y and W chromosomes, hereafter 'Y'). Sex chromosomes have originated repeatedly across eukaryotes, and while far from universal, signatures of large-scale accumulation of deleterious mutations, the accumulation of repetitive elements and the loss of gene function represent parallel evolutionary outcomes on the non-recombining Y chromosome (Bachtrog 2013; Abbott et al. 2017). Although the extent of degeneration varies greatly among species, many ancient Y chromosomes have lost nearly all their ancestral genes, with evidence of gene retention and sometimes expansion for genes important in reproductive function (Peichel et al. 2020; Subrini and Turner 2021) and meiotic drive (Bachtrog 2020). Despite the widespread recurrent nature of degeneration, our understanding of the timescales over which this occurs, and the evolutionary forces driving Y degeneration remains incomplete.

Several non-mutually exclusive evolutionary processes are thought to contribute to Y degeneration. First, the cessation of recombination causes widespread Hill-Robertson interference between selected sites, weakening the efficacy of natural selection and driving the accumulation of slightly deleterious mutations (Rice 1987; Charlesworth et al. 2005). The loss of recombination can also cause a weakening of selection against transposable elements, both due to Hill-Robertson interference and a reduction in rates of ectopic recombination (Kent et al. 2017). Second, cis-regulatory divergence between the X and Y chromosome can drive loss of gene expression on the Y, enabling a positive feedback loop of expression loss and deleterious mutation accumulation on the non-recombining sex chromosome that can occur even when Hill-Robertson interference effects are weak or absent (Lenormand et al.

2020). Positive selection for gene silencing or loss may also occur on the Y chromosome due

to faster rates of adaptation on the X chromosome (Orr and Kim 1998; Crowson et al. 2017)

and/or the toxic effects of transposable element activity near genes on the Y (Wei et al. 2020,

Muyle et al. 2022). Distinguishing the relative importance of these forces can be challenging,

but an improved understanding of the earliest stages of Y degeneration can provide important

insights.

The flowering plant *Rumex hastatulus* (Polygonaceae) represents an excellent model system

for investigating the timescales and processes driving recombination suppression and Y

degeneration. The species has two distinct heteromorphic sex chromosome cytotypes across

its geographic range; males to the west of the Mississippi river have one X and one Y

chromosome (XY cytotype). Based on our most recent phylogenomic analysis, this sex

chromosome system is estimated to have arisen approximately 5-10MYA (Hibbins et al.

unpublished data). In contrast, males to the east of the Mississippi have an additional Y

chromosome (XYY cytotype), the result of at least one reciprocal translocation event

involving the X chromosome and one of the ancestral autosomes (Smith 1964; Kasjaniuk et

al. 2019; Rifkin et al. 2021) approximately 180,000 years ago (Beaudry et al. 2020). Our

previous work has suggested that the sex-linked regions in this species arose from large tracts

of low recombination, particularly in male meiosis, which may have facilitated the evolution

of large heteromorphic sex chromosomes (Rifkin et al. 2021, 2022). This includes the neo

sex-linked region, which arose from a region of reduced recombination on an ancestral

autosome (Rifkin et al. 2021). This system creates an interesting opportunity to study the

evolution of sex chromosome regions arising at different (but both young) timescales within

the same genetic background.

To better understand the early stages of sex chromosome evolution and Y degeneration, we

present a high quality, fully phased assembly of the male genome of the XYY cytotype of

Rumex hastatulus with highly contiguous assemblies of both Y chromosomes and the fused X

chromosome. We characterise the patterns of chromosomal rearrangements, gene loss, and

the repetitive DNA accumulation associated with sex chromosome evolution over multiple

timescales in this genome. We also sequence and assemble a hermaphroditic species in the

genus, *R. salicifolius*, to infer changes in gene order and gene presence/absence evolution on

the X and Y chromosomes.

Results and Discussion

Genome assemblies

Our phased male genome assembly of the *R. hastatulus* XYY cytotype produced two sets of

highly contiguous chromosome-level scaffolds. The 'maternal' haplotype had an assembly

size of approximately 1,510 MB, with 95% of the genome assembled into four main scaffolds

(Figure 1; Tables S1 and S2), which corresponds with the expected chromosome number for

the X-bearing haplotype of three autosomes and one sex chromosome (Smith 1964; Rifkin et

al. 2021). The BUSCO (Manni et al. 2021) completeness score was 99.3 % (Eukaryota

database) and 96.2% (Embryophyta database). Similarly, 97% of the 'paternal' assembly was

placed into the expected 5 main scaffolds (three autosomes and two Y chromosomes), and an

assembly size of 1719 MB, 209 MB larger than the maternal assembly (Figure 1). The

BUSCO completeness score was 99.6% (Eukaryota database) and 95.0% (Embryophyta

database). The difference in assembly size between the two haplotypes is consistent with

previous flow cytometry data, which indicated that the male genome is approximately 10%

larger than the female genome (Grabowska-Joachimiak et al. 2015). Cytological

measurements suggest the two Y chromosomes combined are approximately 50% larger than

the X/NeoX. These findings indicate substantial genome expansion has occurred on the Y

chromosomes since they began diverging from the X (see below).

Our assembly of the hermaphroditic species *R. salicifolius* had a much more compact size of

approximately 586MB, with 99.0% of the assembly found in the expected 10 scaffolds, based

on chromosome counts of x=10 (Löve 1986). The BUSCO completeness score was 99.6%

(Eukaryota database) and 97.1% (Embryophyta database).

Using previously published transcriptome sequences from population samples of both males

and females from the XYY cytotype (Hough et al. 2014), we were able to confirm the

identification of the sex chromosomes in *R. hastatulus* and validate the high accuracy of the

sex-chromosome phasing (Figure S1). In particular, we identified fixed male-specific SNPs

and insertion-deletion polymorphisms (indels) from a broad population sample. We found

that 7311 out of 7333 fixed sex-specific SNPs and indels mapped to the largest scaffold

(hereafter the X chromosome, approx. 483MB) of the maternal haplotype, 7281 (99.3%) of

which had the female reference base. Similarly, 99.8% of fixed sex-specific SNPs and indels

(6808/6823) mapped to two large scaffolds on the paternal haplotype (hereafter Y1, 343 MB

and Y2, 348 MB), and 99.9% of these fixed SNPs and indels contained the male-specific Y

base as the reference. These results highlight the high level of completeness and phasing

accuracy of the assembled sex chromosomes.

Synteny analysis

Whole genome alignments integrated with syntenic gene anchors (Song et al. 2022) confirm a high level of synteny across the main autosomes (named according to the naming conventions from the XY cytotype) between the two phased haplotypes of *R. hastatulus* (Figure 1). However, several heterozygous large and small putative inversion differences are apparent across the three main autosomes, indicating a significant degree of inversion heterozygosity. Overall, eight putative heterozygous inversions could be identified on the autosomes, ranging in size from 189 kb to 39 MB in length. These heterozygous inversions collectively span approximately 10% of the autosomes. Strikingly, three of these inversions, including two nested inversions on the second autosome (A2) show highly elevated levels of between-haplotype heterozygosity as measured by Ks in gene copies between the haplotypes (Figure 1). Two of these inversions (the nested ones on A2) were independently identified in comparative genetic mapping between the two cytotypes (Rifkin et al. 2021), and these regions as well as the inverted region on A1 were identified as contributing divergent genotype clusters across populations within the XY cytotype (Beaudry et al. 2022). Taken together, these patterns suggest that a subset of these inversion polymorphisms have a deep coalescent time, are shared between the cytotypes and may be subject to balancing selection, potentially due to spatially varying selection, as predicted by theory (Kirkpatrick and Barton 2006) and as observed in several other taxa (Lowry and Willis 2010; Fuller et al. 2019; Todesco et al. 2020; Bieker et al. 2022).

In contrast with the autosomes, a large section of the sex chromosome shows almost no remaining large-scale synteny between the X and Y, highlighting that extensive chromosome rearrangements have occurred since the loss(es) of recombination (Figure 1). Comparisons of the paternal assembly with the previously assembled XY cytotype genome (Figure 2) and patterns of male-specific SNPs from the XY cytotype mapped to the new assembly (Figure

S1) reveal that both Y chromosomes contain segments of both the ancestral sex chromosome

('old sex-linked region', Figure 1) and much more syntenic segments of the neo-sex

chromosomes recently derived from Autosome 3 ('new sex-linked region', Figure 1), which

recently formed the neo-X and neo-Y chromosome regions. RepeatExplorer (Novák et al.

2013, 2020) analysis and cytogenetic mapping of seven sex-specific satellites (including

Cl134 5S together with Cl12 that are located originally on autosome 3 in XY cytotype), in

both cytotypes provided further support for the presence of both ancestrally autosomal

regions and old sex-linked regions on both Y chromosomes, consistent with our scaffolding

results (Figure 3, Figure S3 – S6). Further, the Cl12 and its distribution on the neo-X

chromosome suggest that the whole autosome 3 was fused together with the old-X (Figure S3

– S6).

The patterns of fixed sex-linked SNPs from both cytotypes (Figure S1) confirms the presence

of a massive sex-linked region (Figure 1), spanning approximately 297 MB on the X

chromosome and 503 MB on the Y chromosomes. The absence of sex-limited SNPs at the

tips combined with previous comparative genetic mapping results (Rifkin et al. 2021) and

early cytogenetic work (Smith 1964) suggest that the sex chromosomes have two

pseudoautosomal regions, one on either side of the large, fused X (Figures 1 and 2), where

Y1 retains the pseudoautosomal region from the ancestral Y (PAR1), and Y2 contains a

pseudoautosomal region derived from the ancestral autosome (PAR2). Altogether these

results indicate that, in addition to the X-autosome fusion event, a secondary reciprocal

translocation occurred between the homologous autosome and the ancestral Y chromosome.

This additional translocation was previously hypothesised from cytological data (Smith 1964)

and may have been important to stabilize meiotic pairing, as shown for *Rumex acetosa*

Y1XY2 trivalent structure during pachytene synapsis (Cuñado et al. 2007). The difference in

outcomes of the reciprocal translocations on the X and Y likely stem from an inversion on the

ancestral autosome before or after the fusion, with the X or the translocation with the Ys, as

there is no evidence of loss of gene segments on either the Neo-X or the neo-Y segments

(Figure 2). This is further supported by FISH results, which show that all main repeat

clusters from the ancestral autosome are found on the Neo-X, with evidence of several

paracentric and at least one pericentric inversion event on both neo-Ys, further supporting our

synteny analysis (Figure 1). Multiple inversion events even between the cytotypes in the old

Y-linked regions are evident from the new localisation of satellite clusters Cl86, Cl133,

Cl135, Cl162 and Cl168 (Figure 3, S6). It is possible for such large chromosomal

rearrangements to occur in a single catastrophic event, as hypothesized in single chromosome

shattering in the *Camelina* genome (Mandakova et al. 2019). On the other hand, the satellite

enrichment in the XY cytotype could allow for such reorganization, given the new satellite

and genome order on the neo-Ys.

In the neo sex-linked regions, synteny is much more retained on this young sex chromosome

pair (Figure 1). However, four inversions are apparent within this stretch of approximately

102 MB of new sex-linked sequence, capturing 31% of the region in heterozygous inversions,

considerably higher than observed on the autosomes (8 inversions capturing 10% of the

sequence in approx. 1GB of the genome). This finding suggests that the recent formation of

the neo-sex chromosomes and loss of recombination is accompanied by an elevated

maintenance and/or high rate of spread of inversions following the chromosomal fusions.

Comparisons of syntenic gene order in hermaphroditic *R. salicifolius* indicates that, while

there have been massive rearrangements genome-wide (Figure 2A), synteny breakdown has

been much more extensive on the Y chromosome compared with the X in the sex-linked

region (Figure 2B). Specifically, we identify 155 orthologous genes where *R. salicifolius* and

the old X chromosome retain syntenic positions whereas the Y position is non-syntenic, and

only 13 cases where the old Y and *R. salicifolius* have retained their positions to the

exclusion of the X (Table S3). This excess is much greater than the relative difference in non-

syntenic orthologues on the autosomes of the two haplotypes (contingency test $X^2 = 26.183$,

df = 1, $p<0.001$). Interestingly, the pseudoautosomal regions appear to be derived mostly

from different ancestral chromosomal origins than the sex-linked regions (Figure 2B). This

inference is in line with other chromosomes, where central regions of the chromosome that

are associated with large regions of very low recombination (Rifkin et al. 2022) appear to

often have been derived ancestrally from different chromosomal regions than the arms,

assuming *R. salicifolius* is closer to the ancestral state. The old sex-linked region derives

primarily from two *R. salicifolius* chromosomes, scaffolds 7 and 8. To explore whether these

two distinct segments represent evolutionary strata that were added to the sex-linked region at

distinct times since the formation of the sex-linked region, we estimated *Ks*, the per

nucleotide synonymous substitution rate for each sex-linked gene. We found no evidence for

a significant difference in the number of 'young' (*Ks*<0.03) relative to 'old' (*Ks*>0.03) sex-

linked genes derived from the two *R. salicifolius* chromosomes (Chi-square contingency test,

$X^2= 3.0634$, df = 1, $p = 0.08$). Furthermore, while there is heterogeneity across the X

chromosome in median X-Y divergence, there is no clear evidence of discrete 'evolutionary

strata' involving distinct chromosomal segments in the old sex-linked region (Figure 1). This

finding may be due to the extensive chromosomal rearrangements that have occurred since

the origins of the sex-linked region, the origins of the sex-linked region from a pre-existing

region of reduced recombination without strata and/or an ongoing history of gene conversion

between some sex-linked genes.

Figure 1. Syneny and divergence between the two assembled haplotypes of XYY male of *R. hastatulus*. Top panel: syntenic genomic position comparison based on whole genome alignment for the autosomes and the sex chromosomes. Bottom panel: median *Ks* between syntenic genes (*Ks*<0.2) along each chromosome, in 100 gene windows with a step size of one gene. Autosomal terminology is used to remain consistent with genome assemblies from the XY cytotype — Autosome 3 (A3) from the XY cytotype is a component of the sex chromosomes in the XYY cytotype. The Old sex-linked-region ('Old SLR') is shared with the XY cytotype; PAR1, a pseudoautosomal region, is shared with XY cytotype; the new sex-linked region ('New SLR') was formed from sex chromosome fusions; and PAR2, a recombining region, formed from the neo-sex chromosome region.

Figure 2. GENESPACE riparian plots between assembled *Rumex* genomes. **A**: Syntenic gene

blocks between the *R. hastatulus* XYY paternal and maternal haplotype assemblies, *R.*

hastatulus XY male, and *R. salicifolius*. **B**: Close-up view of synteny between the X and Y

chromosomes of *R. hastatulus* XYY clade, and orthologous regions in outgroup *R.*

salicifolius. The pseudoautosomal regions are coloured in grey, old sex-linked region in blue

and new sex-linked region in orange.

Figure 3. Chromosomal location of seven tandem repeats on the metaphase chromosomes of XY and XYY cytotypes of *R. hastatulus*. The schematic depiction of the structure of the old Y, neo-Y_1 and neo-Y_2 chromosomes displays positions of all seven tandem repeats between Y chromosomes in both cytotypes. The position of Cl12 and Cl134 5S changes rapidly in the XYY cytotype, directly confirming translocation and fusion events between autosome 3 (in the XY cytotype) and the X-Y chromosomes. The orange circles represent the parts that originated from the autosome 3 and the green circles represent the old Y-chromosomal regions. Chromosome scale refers to Figure S 3 – 5.

 Genomic distribution of repeats

Previous work indicated that all *R. hastatulus* chromosomes have large, repeat-rich regions of

low recombination, including the sex-linked regions (Rifkin et al. 2021, 2022). A resulting

question is whether further loss of recombination on the sex-linked regions of the Y

chromosomes drives additional and distinct repeat accumulation. Despite the high levels of

repetitive content genome-wide (84% and 86% on the maternal and paternal haplotype,

respectively), repeat annotation of our phased assemblies reveals that the Y chromosomes

have considerably more TEs than the X or autosomes (Figure 4). Mutator-like DNA elements

show a major localised accumulation on Y1 and a minor accumulation on Y2, copia-like

elements show additional accumulation on Y2, and Ty3 elements have accumulated in

localised positions on both Y1 and Y2 (Figure 4, S8). The older sex-linked regions of both

Y1 and Y2 have higher repeat content than the older sex-linked regions of the X (Figure 4B).

Overall copy number is significantly elevated by almost three-fold on the old sex-linked Y

region compared with the old sex-linked X (Table S4, Figure S7B; Chi squared test,

$p<<0.001$). In contrast, TE copy number is marginally elevated (1.09-fold) on the newly sex-

linked region of the X compared to the Y, only slightly higher than the baseline difference

between the sex chromosomes in the PAR (1.02-fold) (Table S4). This likely reflects

stochastic differences between haplotypes and/or minor technical differences in TE

annotations.

Transposon families are a useful unit of comparison for understanding TE abundance in the

two haplotypes. Wicker et al. (2007) proposed an 80-80-80 rule of similarity to group

transposons into families. The procedure requires that the TEs be at least 80bp in length and

have 80% similarity over 80% of the aligned sequences. PanEDTA uses this definition to

group the annotated TEs into families across the two haplotypes, which allows for a more

direct comparison of TE complement. Many individual TE families occupy more space and

are more numerous on the older sex-linked regions of the Y chromosomes relative to the X

(Figure 4D). This pattern is especially true for harbinger, mutator-like, and LTR elements

(Figure S7). Some of this accumulation has led to extreme clusters of very high copy

numbers on the old Y, suggestive of local targeted transposition and/or expansion via tandem

arrays (Figure S8).

These results suggest extensive accumulation of transposable elements has occurred on the

older sex-linked regions of the Y chromosome, but it is unclear whether this accumulation

may be affecting genes. To understand whether this TE accumulation is primarily occurring

in already repeat-dense areas, the overlap between the TE annotation and gene annotation

was examined. To make comparisons as equivalent as possible for this analysis, we used a

gene liftover (see Methods) of the paternal genome annotation to the maternal genome

annotation and only retained genes with at least one open reading frame in both the original

and lifted over annotation.

We observed significantly elevated numbers of TEs inside and near genes on the Y

chromosomes, particularly in the old sex-linked region (Figure 4C, Table S4). In contrast,

genes in neo sex-linked regions showed no signs of rapid TE accumulation on the Y, as

differences between X and Y are similar to baseline differences between the PARs (Figure

4C, Table S4). Overall, we found signs of considerable accumulation of TEs in our older sex-

linked region of the Y chromosomes, including into and near genes, although to a lesser

extent than TE accumulation further from genes.

Figure 4: TE distribution across the genome of *Rumex hastatulus* indicates increased TE

content on the Y chromosomes relative to the X chromosome or autosomes. **A**: Proportion of

1 MB non-overlapping windows occupied by genes and TE sequences. Values for autosomes

and Y chromosomes are from the paternal haplotype, except for the values shown on the X,

which are from the maternal haplotype. Black lines are the fraction of the window that is

genic sequence and coloured lines are fractions of the window that is TE sequence. The

dotted vertical lines and labels on the sex chromosomes divide the chromosomes into newly

sex-linked (New), old sex-linked (Old), and pseudo-autosomal regions (PAR). **B**: The

summed length of annotated repeats on the newly sex-linked, old sex-linked, and pseudo-

autosomal regions of the sex chromosomes divided by the size (total bp) of the region.

Considerable nesting and overlap of repeats have led to ratios larger than one. **C**: For each

gene on the sex chromosomes, the average proportion of nearby TEs within the newly sex-

linked, old sex-linked, and pseudo-autosomal regions. The genic regions were separated into,

in order from top to bottom: 1kb upstream, within exons, within introns, and 1kb

downstream. The X genes are LiftOff matches from the paternal haplotype and the Y genes

were filtered for those that LiftOff found a match for in the maternal haplotype. **D**: Each

point represents a family of TEs. The size of the point reflects the number of Mb occupied by

members of the family (includes all sex chromosomes) and the X and Y axis values reflect

the number of family members on the X and Y chromosomes, respectively. The black line

has a slope of 1, to visually indicate where equal quantities would fall. The three-letter

transposon codes in the legend are from Wicker et al. 2007. DHH: Helitron, DTA: hAT,

DTC: CACTA, DTH: Harbinger, DTM: Mutator, DTT: Tc1-Mariner, RIX: unknown LINE

(long interspersed nuclear element), RLC: Copia, RLG: Ty3, RLX: unknown LTR (long

terminal repeat).

Gene retention and loss

Previous studies of gene loss using transcriptome and short-read genome information on plant

sex chromosomes have focused on the pairwise comparison of X and Y chromosomes

(Hough et al. 2014; Bergero et al. 2015; Papadopulos et al. 2015; Beaudry et al. 2017;

Crowson et al. 2017). This approach cannot distinguish between gene loss and gene

movement or duplication among sex chromosomes and autosomes. The genome of a

hermaphroditic outgroup, in this case our *R. salicifolius* assembly, allows for the specific

identification of genes not present on one of the *R. hastatulus* sex chromosomes that were

'ancestrally' present in the same syntenic block. This in turn enables quantification of the

extent of *bona fide* gene loss on the sex chromosomes by identifying syntenic orthologs in the

outgroup.

Compared to all autosomes and the neo-sex chromosome, there is a high proportion (~34%)

of genes in the old sex-linked region that show evidence of loss on the Y chromosome despite

their syntenic presence in both *R. salicifolius* and the X chromosome (Figure 5A, Table S5).

Approximately 38% of the lost genes still showed fragments on the Y chromosome, and were

classified as partially lost, whereas the remainder are inferred to be fully deleted. These

estimates are much higher than on autosomes or the X chromosome, suggesting that the

extent of loss is much greater than expected simply from gene copy number variation and/or

bioinformatic errors. Overall, if we use the autosomal 'loss' values as a baseline for presence-

absence polymorphism and/or technical error, we see approximately 30% of genes have been

lost on the Y chromosome in the old sex-linked region. Patterns of gene loss along the Y

chromosome show evidence of regional variation in the extent of loss, particularly when

anchored to the *R. salicifolius* genome with a likely more ancestral gene order (Figures 4B

and C). This finding could reflect either the presence of large-scale regional deletions and/or

a dynamic history of recombination suppression (i.e. evolutionary strata).

In contrast, we see no sign of excess gene loss on the old X-linked region (Table S6, Figure

5A), providing no evidence of early gene loss on the X chromosome, as found recently in

other systems (Mrnjavac et al. 2023). Furthermore, there is no sign of excess gene loss in the

'new' sex-linked region (NeoY), suggesting a lack of rapid deletion of Y-linked genes since

the chromosomal fusion. Among the genes lost in the neo-X and autosomes, almost all are

classified as partially lost. In particular, the evidence for complete gene loss of syntenic

orthologs is nearly exclusively restricted to the old Y (159 genes fully lost on the Y,

compared with only 24 completely lost in the rest of the genome).

Figure 5. A: Proportion of genes lost within the sex-linked regions, pseudoautosomal regions

and autosomes in *Rumex hastatulus*. Left panel: comparison of genes which are absent from

the paternal (Y-bearing) haplotype while present on maternal (X-bearing) haplotype

assemblies, and vice versa. Right panel: proportion of partially lost vs. completely lost genes

across regions. **B**: Proportion of genes lost on Y calculated in 20 gene windows along the X

chromosome. **C**: Proportion of genes lost on Y calculated in 20 gene windows with respect to

the positions of their syntenic genes in *R. salicifolius* scaffolds.

Conclusions

Our results provide two timepoints early in the evolution of heteromorphic sex chromosomes, supported by a hermaphroditic outgroup. Our studies revealed that in the extremely young (<200,000 generations) neo-sex chromosome of *R. hastatulus*, chromosome rearrangements have accumulated rapidly without signs of gene loss or transposable element invasion. On the older (but relatively young, <10 MYA) regions of the sex chromosomes, extensive rearrangements have led to a near-complete breakdown of synteny, transposable element invasion, and extensive gene loss. The extent of rearrangement is striking for a relatively young sex chromosome system which retains low X-Y divergence for many of the genes that remain. This extent of Y degeneration and sex chromosome evolution is in line with recent results from an unrelated dioecious plant, *Silene latifolia,* which has an approximately 11MYA plant Y chromosome and includes strata as young as 5MYA (Moraga et al. 2023, Akagi et al. 2023). The emergence of sex-linked regions in large pericentromeric regions of low recombination may contribute to a highly dynamic genetic system that evolves heteromorphic sex chromosomes over a relatively short time period.

Methods

Long read genome sequencing

We grew a male and female plant from two independent maternal families of *R. hastatulus* from the XYY clade collected from Marion, South Carolina (Pickup and Barrett 2013) in the University of Toronto glasshouse. Following full-sib mating from this F_1 generation, 11g of leaf tissue from a single F_2 male was sampled to extract high-molecular weight DNA

conducted by Dovetail Genomics (Cantata Bio, LLC, Scotts Valley, CA, USA). 4,618,456

PAC Bio CCS reads (Pacific Biosciences Menlo Park, CA, USA) were sequenced by

Dovetail for a total of 87.7 GB (approximately 46x coverage, based on a male genome size

estimate of 1.89 GB (Grabowska-Joachimiak et al. 2015). Similarly, we ordered a single *R.*

Salicifolius plant from seed collected from Nevada USA from the United States Department

of Agriculture's US National Germplasm System (Accession RUSA-SOS-NV030-372-10),

and collected 20 g of leaf tissue for high-molecular weight DNA extraction and sequencing.

A total of 5,149,926 PAC Bio CCS reads were sequenced totalling 75.3 GB (approximately

108X coverage based on our flow cytometry estimate of 696 MB).

Dovetail Omni-C library preparation and sequencing

Proximity ligation and sequencing was conducted by Dovetail using Omni-C sequencing for

both species. For each Dovetail Omni-C library, chromatin was fixed in place with

formaldehyde in the nucleus and then extracted. Fixed chromatin was digested with DNAse I,

chromatin ends were repaired and ligated to a biotinylated bridge adapter followed by

proximity ligation of adapter containing ends. After proximity ligation, crosslinks were

reversed, and the DNA purified. Purified DNA was treated to remove biotin that was not

internal to ligated fragments. Sequencing libraries were generated using NEBNext Ultra

enzymes and Illumina-compatible adapters. Biotin-containing fragments were isolated using

streptavidin beads before PCR enrichment of each library. The libraries were sequenced on

an Illumina HiSeqX platform to produce an approximately 30x sequence coverage.

De novo assembly

For the male *R. hastatulus* sample, we conducted a haplotype-resolved de novo assembly

using Hifiasm (Cheng et al. 2021), using the Omni-C sequencing for haplotype resolution.

Paired-end OmniC reads were then mapped and filtered to the two phased assemblies using

bwa v0.7.15) (Li and Durbin 2009) following the Arima mapping pipeline

(https://github.com/ArimaGenomics/mapping_pipeline), and resulting filtered (MapQ>10)

bam files had duplicates marked using Picard v2.7.1. We scaffolded both haplotypes of the

assembly using YAHS (Zhou et al. 2023) to generate scaffolded assemblies from each phased

haplotype. We manually inspected the scaffolded assembly using a combination of Juicebox

(Durand et al. 2016) and whole genome alignment to our previous assembly from the XY

cytotype (Rifkin et al. 2022) to identify and break one false join in the assembly. In

particular, a break was inserted at the point between autosome 4 and Y2 in haplotype 2 based

on manual inspection. Note that we refer to the haplotypes as maternal and paternal based on

their sex chromosome composition, but the nature of the phased assembly means the parental

origins of each autosome haplotype is not known.

For *R. salicifolius,* Hifiasm was run by Dovetail to generate the primary scaffolds. BLAST

(Altschul et al. 1990) results of the *R. salicifolius* Hifiasm output assembly against the nt

database were used as input for blobtools v1.1.1 (Laetsch and Blaxter 2017) and scaffolds

identified as possible contamination were removed from the assembly. Finally, purge_dups

(Guan et al. 2020) v1.2.5 was used to remove haplotigs and contig overlaps. The primary

assembly was scaffolded by Dovetail using the OmniC reads with the HiRise assembler

(Putnam et al. 2016), after aligning the OmniC library reads to the filtered draft input

assembly using bwa v0.7.15. (Manni et al. 2021) using both the *Embryophyta* and *Eukaryota*

databases.

The separations of Dovetail OmniC read pairs mapped within draft scaffolds were analyzed

by HiRise to produce a likelihood model for genomic distance between read pairs, and the

model was used to identify and break putative misjoins, to score prospective joins, and make

joins above a threshold.

Assembly completeness was assessed using BUSCO v. 5.4.4 (Manni et al. 2021).

Sex-linked SNP identification

We mapped RNAseq leaf expression data from population samples of TX and NC cytotype

male and female plants of *R. hastatulus* (Hough et al. 2014) to both haplotype assemblies

using STAR v2.7.10a (Dobin et al. 2013). We performed variant calling using freebayes

v1.3.4 (Garrison and Marth 2012), then filtered sites to a final set comprised of biallelic sites

with genotype quality > 30. We then used custom R scripts to identify putative sex-linked

SNPs. We selected all sites that were heterozygous in all 6 males and homozygous in all 6

females per cytotype to obtain candidate fixed SNP differences between X and Y.

Gene annotation

Gene annotation followed previous approaches (Rifkin et al. 2022). In particular, we

performed the annotation with MAKER-3.01.03 (Cantarel et al. 2008) in four rounds. In the

first round, we used the *Rumex* RNA-Seq transcripts from previously published floral and

leaf transcriptomes (Hough et al. 2014; Sandler et al. 2018) and annotated Tartary buckwheat

proteins from version FtChromosomeV2.IGDBv2 (Zhang et al. 2017) for inferring gene

predictions; and used the transposable element (TE) library (see below) to mask the genome.

We trained the resulting annotation for SNAP gene predictor, using the gene models with an

AED of 0.5 or better and a length of 50 or more amino acids. In the following rounds, we

used the resulting EST and protein alignments from the first round, and the SNAP model

from the previous round for annotation. We functionally annotated the final gene models

based on BLAST v 2.2.28+ (Altschul et al. 1990) and InterProScan 5.52–86.0 (Jones et al.

2014), by using the related scripts in the Maker package.

Syntenic gene alignments and analysis

We estimated orthology and synteny between protein coding genes in haplotype 1, haplotype

2 and *R. salicifolius* using the R package GENESPACEv1.1.8 (Lovell et al. 2022), which

uses MCScanX (Wang et al. 2012) to infer syntenic gene blocks and then implements

ORTHOFINDERv2.5.4 (Emms and Kelly 2019) and DIAMONDv2.1.4.158 (Buchfink et al.

2021) to find orthogroups within syntenic blocks. We performed analyses and visualized

results in Rv4.1.0 (R Core Team et al. 2022). We used default parameters, with the exception

of ORTHOFINDER one-way sequence search, which is appropriate for our closely related

genomes.

We also conducted whole-genome pairwise alignments between the two haplotypes using

Anchorwave v. 1.01 (Song et al. 2022), using the options allowing for relocation variation,

and chromosome fusion. We used Minimap2 in the Anchorwave alignment, followed by

Proalign using "-Q 1" option.

Ks analysis

We calculated synonymous substitution rate between paternal and maternal haplotype

assemblies using SynMap2 on the COGE platform (Haug-Baltzell et al. 2017). To compare

homologous genes between haplotypes we used a cut-off of K<0.2. We plotted median *Ks*

values in 100 gene sliding widows (step size =1) relative to their positions on the X

chromosome.

Gene gain and loss

Pangenome annotations produced by GENESPACE provide a list of orthologous genes

shared by each genome, and their positions relative to an assigned reference genome. We

excluded genes with non-syntenic orthologs, and genes belonging to arrays that were not

defined as representative by GENESPACE from subsequent analysis. We calculated the

number of genes lost on the paternal or maternal assembly by counting the number of

syntenic genes found in both *R. salicifolius* and the other phased haplotype but absent from

the focal haplotype assembly. To determine whether candidate lost genes are indeed lost and

not simply missing from the annotation, we performed BLASTv2.5.0+ (Altschul et al. 1990)

of these gene transcripts to the entire genome assembly sequence. We selected only the top

BLAST hit (by percent identity) per candidate lost gene. We classified genes as present if the

top BLAST sequence was on the corresponding chromosome. We classified genes not

meeting this condition as lost, as well as genes where less than 50% of the query sequence is

aligned to the subject. We defined these genes with less than 50% of query aligned as

partially lost and included them within the total number of lost genes.

Non-syntenic orthologs

We identified one-to-one orthologs within the pangenome annotation where a syntenic

ortholog was shared with the outgroup, *R. salicifolius*, in only one of the haplotypes, while

the other haplotype's ortholog was non-syntenic, as defined by GENESPACE. To determine

whether there is an association between sex-linked regions and haplotype in terms of non-

syntenic ortholog content, we performed a 2x2 chi-square test of independence (R v4.3.1)

comparing counts in the old sex-linked region and all autosomes for both haplotypes.

Satellite identification, TE annotation and analysis

We identified satellites using RepeatExplorer2 (Novák et al. 2020). We then pre-processed

short-read Illumina data (Beaudry et al. 2017) with RepeatExplorer's inbuilt preprocessing

pipeline (Novák et al. 2013, 2020). Trimming step was set to keep only full length 150 bp

reads and discard low quality reads (quality cutoff=10, percent above cutoff=95) or reads

containing adapters. We ran RepeatExplorer2/TAREAN pipeline (version 0.3.8-451, Novák

at al. 2017, 2020) with default parameters. To compare repeats in the 4 samples, a

comparative analysis was run following Novák et al. (2020), where we analyzed reads from

all samples together with equal coverage between samples (using genome sizes according to

Grabowska-Joachimiak et al. 2015). We further used sex and cytotype specific clusters for

cytogenetic analysis (Table S7).

We produced the transposable element annotation using EDTA (Extensive de-novo TE

Annotator) v. 2.1.0 pipeline (Ou et al. 2019). This pipeline combines the best-performing

structure- and homology-based TE finding programs (GenomeTools, LTR_FINDER_parallel

(Ou and Jiang 2019), LTR_harvest_parallel, LTR_retriever (Ou and Jiang 2018), Generic

Repeat Finder (Shi and Liang 2019), TIR-Learner (Su et al. 2019), HelitronScanner (Xiong et

al. 2014), TEsorter (Zhang et al. 2022) and filters their results to produce a comprehensive

and non-redundant TE library. We used the optional parameters '–sensitive 1' and '–anno 1'

to identify remaining unidentified TEs with RepeatModeler and to produce an annotation. We

used custom R scripts to visualise the data.

To analyse insertions near genes, we used Bedtools v2.30.0 and custom R scripts to compare

the TE annotation file against the gene annotation, using genes lifted over from the paternal

haplotype to the maternal haplotype with Liftoff 1.6.3 (Shumate and Salzberg 2020).

Chromosome preparation and cytogenetic analysis

We used young seedlings of *R. hastatulus* of both XY, XYY cytotypes (North Carolina and

Texas) for chromosome preparation, cell synchronization and metaphase chromosome arrest

as described in Bačovský et al. (2020). Additionally, we grew plants of XYY cytotype in a

hydroponic tank with Hoagland solution in a growth chamber with a 16 h light/8 h dark cycle

at 22°C (Hoagland & Snyder, 1933). We collected young roots from hydroponic tanks once

per every two weeks, synchronised in ice-cold water at 4°C for 24 to 28 h. After the cell

synchronization, we immediately fixed the root tissue was in Clarke's fixative

(ethanol:glacial acetic acid, 3:1, v:v) and stored it at 37°C. After one week of fixation, we

replaced the fixative, and fixed roots were stored at -20°C until further use.

We isolated the DNA used for PCR amplification from young leaves using CTAB

(cetyltrimethylammonium bromide) solution and chloroform. We ground young leaves in a

sterile grinder in liquid nitrogen and added 1 ml of CTAB solution to each sample. We

vortexed the mixture for 30 s and incubated it at 65 °C for 45 min. We then added 2 µl of

RnaseA (concentration 200 mg/ml) for the last 5 min. Next, we added 700 µl of chloroform

and acetic acid solution (chloroform:glacial acetic acid, 24:1, v:v) to each sample, vortexed

each for 1 min and centrifuged at maximum speed (14 000 rpm) for 2 min. We transferred the

upper aqueous layer to a new tube and added 700 µl of chloroform, then vortexed and

centrifuged the samples for an additional 5 min at 14 000 rpm. We again transferred the

upper aqueous layer to a new tube, and precipitated resulting DNA with 800 µl of

isopropanol. We vortexed and centrifuged the mixture at maximum speed for additional 5

min, then discarded the supernatant, and repeated the whole step using 75% ethanol to

remove any excess salts. Finally, we air-dried the pellet for 5 min and dissolved in 20-40 µl

of 1X Tris EDTA buffer for 45 minutes. We then analyzed isolated DNA on 1% agarose gel,

and its concentration and purity were measured on Nanodrop.

Based on the RepeatExplorer analysis (Figure S2), we designed new primers for XY/XYY

cytotype and sex-specific satellites in GeneiousPrime (2023.1.1) and synthesized in

GeneriBiotech. We used primers directly for PCR amplification (Table S7), and we amplified

the satellites to the manufacturer's instructions using 0.4 µl of *R. hastatulus* gDNA (TopBio,

Vestec, Czech Republic, T034). The PCR conditions were as follows: 4 min at 94°C, 36

cycles of 20 s at 94°C, 20 s at 50 – 60°C (Table S7), 30 s at 72°C (for Cl135 1 min) and final

extension 5 min at 72°C. We verified the PCR products by 1% agarose electrophoresis with

EtBr staining and purified using the QiaQuick PCR Purification Kit (Qiagen, Hilden,

Germany, 28104) following manufacturer's instructions. We then verified the purified

products again by agarose electrophoresis, followed by Nanodrop measurement.

We labelled the purified DNA by nick translation according to the manufacturer's

instructions using Atto488 NT (PP-305L-488), Atto550 NT (PP-305L-550) or Cy5 (PP-

305L-647N) (Jena Bioscience, Jena, Germany). The reaction proceeded for 1 hour and 30

minutes at 15°C. We verified the nicked-DNA products on 1% agarose gel with EtBr

staining. We then placed the reactions on ice directly after the reaction to avoid the over-

labelling of DNA before addition of EDTA. We used the nick translated products as DNA

probes in FISH (fluorescence in situ hybridisation). The hybridisation mixture (87 %

stringency; Table S8) included 1 μl of labelled DNA (the final volume 1.5 ng/μl). We

carefully mixed the hybridisation mixture and denatured the sample at 85°C for 10 minutes,

transferred on ice for 5 minutes to perform FISH.

We prepared chromosomes using the squashing technique as described in Karafiátová et al.

(2016) and Bačovský et al. (2020) with minor modifications, using 0.05M HCl acid in 0.001

M citrate buffer before enzymatic digestion. We used slides containing chromosomes with

well-preserved morphology and structure for FISH, as described in Schubert et al. (2016)

with minor modifications. Briefly, we first washed the slides for 2× 5 min in 2× SSC solution

(pH 7.2 – 7.5) and then refixed the slides in Clarke's fixative for 10 min, and washed 2× 5

min in 2× SSC solution. To remove the remnants of cytoplasm, we treated slides with pepsin

(50 mg/ml) diluted in 2× SSC in a water bath at 37°C for 5 to 15 min. Next, we washed the

slides for 2× 5 min in 2× SSC solution and re-fixed them for 10 min in 3.7% formaldehyde

(diluted in 2× SSC). After fixation, we washed the slides for 2× 5 min in 2× SSC solution,

shortly washed in distilled water and dehydrated in an ethanol series (60%, 80%, 100%), each

step 2 min. We then applied denatured hybridisation mixture (20 ml each) to each slide,

covered with coverslip, placed on a hot plate at 77°C for 2 min and transferred at 37°C

overnight. We washed slides for 5 min in 2× SSC, transferred for 20 min at 57°C in 2× SSC,

washed 5 min in 2× SSC at RT again, and dehydrated in an ethanol series (60%, 80%, 100%).

Finally, we mounted the slides in VectaShield (Vector, H-1500) supplemented with DAPI (2-

(4-aminophenyl)-1H- indole-6-carboxamidine). We captured chromosomes under an

Olympus AX70 fluorescence microscope equipped with a CCD camera, and Imaris software.

We used the software GIMP-2.10 and Affinity Photo 2 to process all channels.

Data Availability

Final assemblies are uploaded onto COGE (https://genomevolution.org/coge/) and will be

accessible at xxxx. All raw reads will be uploaded to the SRA under accession number xxxx.

All custom R Scripts are available on Github

(https://github.com/SIWLab/XYYmaleGenome).

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