skDER & CiDDER: two scalable approaches for microbial genome dereplication

Rauf Salamzade[1,2], Aamuktha Kottapalli[1], Lindsay R. Kalan[1,3,4]

[1]Department of Medical Microbiology and Immunology, School of Medicine and Public Health,
University of Wisconsin-Madison, Madison, WI, USA
[2]Microbiology Doctoral Training Program, University of Wisconsin-Madison, Madison, WI, USA
[3]M.G. DeGroote Institute for Infectious Disease Research, David Braley Centre for Antibiotic
Discovery, McMaster University, Hamilton, Ontario, Canada
[4]Department of Biochemistry and Biomedical Sciences, McMaster University, Hamilton, Ontario,
Canada

Corresponding author: Lindsay R. Kalan; kalanlr@mcmaster.ca

ABSTRACT

An abundance of microbial genomes have been sequenced in the past two decades. For
fundamental comparative genomic investigations, where the goal is to determine the major gain
and loss events shaping the pangenome of a species, it is often unnecessary and
computationally onerous to include all available genomes in studies. In addition, over-
representation of specific lineages due to sampling and sequencing bias can have undesired
effects on evolutionary analyses. To assist users with *genomic dereplication*, selecting a subset
of representative genomes, for downstream comparative genomic investigations, we developed
skDER & CiDDER (https://github.com/raufs/skDER). skDER combines recent advances to
efficiently estimate average nucleotide identity (ANI) between thousands of microbial genomes
with two efficient algorithms for genomic dereplication. Further, CiDDER implements an
approach whereby protein clusters are determined across all genomes and genomes are
iteratively selected as representatives until a user-defined saturation of the total protein space is
achieved. To support ease of use, several auxiliary functionalities are implemented within the
two programs, including arguments to: (i) test the number of representative genomes resulting
from a variety of clustering parameters, (ii) automate downloading of genomes belonging to a
bacterial species or genus by name, (iii) cluster non-representative genomes to their closest
representative genomes, and (iv) automatically filter predicted plasmids and phages prior to
dereplication. We further assess the effects of filtering mobile genetic elements (MGEs) on ANI
and alignment fraction (AF) estimates between pairs of genomes and find that MGEs tend to
slightly deflate both metrics in one species.

DATA SUMMARY

skDER and CiDDER are provided as open-source software implemented in Python and C++ on
Github: https://github.com/raufs/skDER; with version updates tracked on Zenodo:
https://zenodo.org/records/13887710[1]. Installation of the software is supported via both
Bioconda[2] and Docker. Additional code and data for analyses presented in this manuscript can
be found on Zenodo at: https://zenodo.org/records/13891800[3]. Pre-computed representative

genomes selected by skDER (v1.0.7) for 18 common bacterial taxonomic groups, referencing
classifications from GTDB release 214[4], are also provided on Zenodo at:
https://zenodo.org/records/10041203[5].
48
49 **IMPACT STATEMENT**
50
Due to the increased availability of genomes for certain microbial species, performing
fundamental comparative genomic investigations has become restricted to those with access to
more advanced computational infrastructure. Genomic dereplication, the process of selecting
distinct representative genomes to capture the breadth of a taxonomic group, thus presents a
valuable solution to overcome this embarrassment of riches. Specifically, genomic dereplication
allows simplifying the scale of comparative investigations while minimizing the risk of biasing
analyses to specific lineages, which might be overrepresented in genomic databases. We
present here two programs for genomic dereplication, one based on ANI-inference, skDER, and
the other based on assessing the saturation of total coding-genes sampled, CIDDER. These
tools are implemented with a variety of auxiliary options and designed for ease of use.
61
62 **INTRODUCTION**
63
The boundaries of bacterial species are commonly defined using ANI-based thresholds[6–
8]. However, for the delineation of more resolute clusters of strains or lineages within species and
the selection of individual genomes to serve as representatives, the use of phylogenetic[9],
alignment[10,11], and k-mer based[12,13] approaches have been used as alternatives to ANI-based
methods. While these alternative approaches have demonstrated scalability to handle species
featuring thousands of genomes, they typically involve multi-step workflows, such as preliminary
construction of core genome sequence alignments or phylogenies. In contrast, an approach
based on ANI-cutoffs is more direct and simply requires genomic assemblies as input.
For broad comparative genomic investigations of a species or genus, highly sequenced
lineages can skew evolutionary statistics[14,15], especially if such lineages are associated with a
single environment, such as hospitals[16–18]. Thus, for the purposes of removing such biases or
simply reducing redundancy to reduce computational costs and resource requirements for
downstream analyses, genome dereplication can be extremely useful. Methods that have used
ANI to perform dereplication of an input set of genomes - to select representatives - have largely
been designed for metagenomic applications. A common strategy of these methods[19–21]
involves first performing preliminary clustering using faster but less precise ANI calculators[22,23]
to coarsely bin related genomes together. Afterwards, a secondary, more stringent, clustering is
performed using a more accurate but lower efficiency approach, such as FastANI[7,24]. More
recently, a new method, skani was developed for high-accuracy and efficient estimation of
ANI[25], thus enabling a quick and direct single-tiered approach for clustering or dereplicating
large sets of similar (meta-)genomes. skani's speed and accuracy stem from an internal,
preliminary k-mer sketching step to avoid computationally costly ANI estimation for distantly
related genome pairs and the use of k-mer chaining for finding orthologous regions between
genomes[26].

For many comparative genomics studies, achieving nucleotide resolution diversity might
be less important than understanding the key gene gain and loss events that have shaped the
pangenome of the focal species or genus. While the metrics of ANI and percentage of shared
genes for pairs of genomes are positively associated[7,27], if the aim of the researcher is to select
representative genomes that adequately sample a taxon's pangenome space, then a direct
approach to maximize the number of different genes sampled by the fewest number of
representative genomes might be preferred to an ANI-based approach. For such methods,
recent advances in heuristics for efficient clustering of large protein datasets[28–30] can be
leveraged.
Here, we describe skDER and CiDDER, which implement approaches for genomic
dereplication based on assessments of ANI and protein cluster saturation, respectively. We
apply them to the diverse genus of *Enterococcus* and the MGE-rich species of *Enterococcus*
faecalis and demonstrate their efficiency compared to currently available genomic dereplication
tools.
102
103   **METHODS**
104
105   **Genomic dereplication approaches**
106
Two distinct approaches for genomic dereplication are provided within skDER. Both
approaches are based on first estimating ANI between pairs of genomes using skani[25].
Specifically, skani triangle is applied with user-customizable parameter options. The default
options for running skani triangle are mostly retained as the default options in skDER, with the
one exception being an increase to the value of the screening parameter, -s, from 80.0 to 90.0,
which is sensible for improving computational efficiency for most use cases of skDER.
For this manuscript we used version 1.2.6 of skDER and CiDDER, version 0.2.2 of
skani[25], version 3.5.1 of pyrodigal[31], and version 4.8.1 of CD-HIT[32]. Visualization to showcase
dereplication was performed using the program granet (version 1.2.7), which uses the igraph
library[33] and is included within the skDER package.
117
skDER dynamic ANI-based dereplication: The "dynamic" algorithm for dereplication within
skDER begins by initializing an empty set, *redundant_genomes*. Pairwise genome-to-genome
similarity information from skani[25] is assessed and a score is used to determine the preferable
genome in the pair to use as a reference. The score for a genome is computed as the product of
its assembly N50 and the number of genomes that are regarded as highly similar to it based on
user-adjustable ANI and aligned fraction (AF) cutoffs. Assuming the genomes are similar to
each other at the required ANI and AF cutoffs and the AF for one genome is not substantially
larger than the other, the genome with the lower score, less ideal to serve as a representative,
is added to the set of *redundant_genomes*. This dereplication approach also relies on a third
filter, the difference in the AF, which is non-symmetric, between a pair of genomes. If this
difference exceeds a certain threshold, the ANI between the genomes meets the ANI cutoff, and
the AF for at least one genome exceeds the AF cutoff, then the smaller genome, with the higher
AF, will by default be added to the *redundant_genomes*. The reason for this is because the
smaller genome is largely contained within the larger genome and should not serve as a

representative. Once all pairs of genomes are assessed, genomes which are absent in the
redundant_genomes set are reported as representatives. It is important to note that this
approach approximates selecting a single representative genome for each coarse transitive
cluster of related genomes. Therefore, some non-representative genomes might exhibit greater
distances to the nearest representative genome than the requested thresholds if they are
indirectly connected to the representative genome.
138
skDER greedy ANI-based dereplication: The "greedy" algorithm for dereplication within skDER
begins by initializing a set called *representative_genomes.* Pairwise genome-to-genome
similarity information from skani[25] is next assessed, where for each genome, a list is constructed
of alternate genomes deemed similar to it using user-adjustable ANI and AF cutoffs. Similar to
the dynamic algorithm-based approach, a score indicating the value of a genome to serve as a
representative is computed. This score is simply the connectivity of a genome, how many other
genomes it is similar to, multiplied by the N50 for the genome. Genomes are sorted in
descending order by their respective score. The first genome, with the greatest score, is
automatically selected as a representative and appended to the *representative_genomes* set.
The direct list of alternate genomes regarded as redundant to this genome are noted and kept
track of in another set, *nonrepresentative_genomes*, disqualifying them from serving as
representatives. As the full list of genomes is traversed linearly, they are appended to the set of
representative_genomes if they have not previously been added to the set of
nonrepresentative_genomes. Similar to the first representative genome, genomes subsequently
selected as representatives also have their respective set of genomes deemed as redundant to
them appended to the *nonrepresentative_genomes* set.
155
CiDDER protein cluster saturation based dereplication: CiDDER performs genomic dereplication
by applying methods for large scale protein clustering to achieve some user-defined saturation
of the total coding pangenome space across the full genome set amongst selected
representatives. Unlike skDER, CiDDER currently only works for bacterial datasets. This is
because the first step involves gene-calling using pyrodigal[31], which is not appropriate for
eukaryotic genomes. Coding sequences on the edge of scaffolds or contigs are requested not to
be reported when running pyrodigal by default. After gene-calling, predicted protein sequences
are concatenated and clustered using CD-HIT[30]. The key parameters controlling the greedy-
based protein clustering done by CD-HIT are user-adjustable, but by default in CiDDER sets
configurations to those that are sensible for dereplication of genomes belonging to a single
species. Namely, the identity threshold is set to 95.0% with a word size of 5 used, an alignment
coverage threshold of the shorter sequence by the longer sequence of 90%, and an alignment
coverage of the longer sequence by the shorter sequence of 75%. After determining protein
clusters, the number of unique protein clusters per genome is computed. The genome with the
largest number of protein clusters is selected as the initial representative genome. Then, in an
iterative process, the next representative genome is selected based on which one contributes
the most additional number of unique proteins, which have not been seen in a previously
selected representative genome. This addition of representative genomes continues until one of
three criteria are met: (i) the next genome adds less than X number of distinct protein clusters
(X is by default 0), (ii) over Y% of the total distinct protein clusters across all genomes are found

in the so-far selected representative genomes (Y is by default 90%), or (iii) over Z% of the total
distinct multi-genome protein clusters across all genomes are found in the so-far selected
representative genomes (Z is by default 100%). Thus, while all three parameters for controlling
representative genome selection are user-adjustable, by default, only Y is used for
representative genome selection.
181
182 **Auxiliary utility functionalities present in skDER and/or CiDDER:**
183
For convenience, we also implemented several auxiliary functionalities within skDER
and CiDDER. For instance, users are able to automatically download all genomes belonging to
a single bacterial species or genus in the Genome Taxonomy Database (GTDB) (releases 214
or 220)[4,34]. In addition, similar to other tools for genomic dereplication, both skDER and CiDDER
allow users to request a secondary clustering of non-representative genomes to representative
genomes, which will also report similarity metrics for pairs of genomes in the same cluster. For
CiDDER, such clustering can involve either assessing similarity based on shared protein
clusters or based on ANI. For skDER, a tiered approach is instead taken whereby for each non-
representative genome its best matching representative is first selected based on largest ANI
from the subset of representative genomes which meet both the ANI and AF cutoffs requested.
If no representative genome is close enough to the focal non-representative genome at the
requested ANI and AF cutoffs, then the representative genome with the highest ANI is selected.
If there are ties, AF is used as a secondary criteria for sorting and selecting the best
representative genome.
Both skDER and CiDDER also feature an option to filter genomes for predicted MGEs
using either PhiSpy[35], primarily designed for phage prediction - but run more loosely by not
requiring any hallmark phages, or geNomad[36]. While geNomad is robustly designed to identify
both plasmids and phages, leading to more accurate removal of such large MGEs, it takes more
time to run computationally. Filtering of predicted MGEs from genomes is performed using
mgecut, a standalone wrapper of PhiSpy and geNomad included in the skDER suite.
Importantly, because it splits scaffolds along coordinates of MGEs, mgecut is applied in skDER
after computing N50 so as not to penalize genomes with many MGEs and allow them to still
serve as representatives. Finally, skDER features an option to assess the number of
representative genomes that would result from using a variety of ANI or AF thresholds. This can
be useful if users aim to select at most some number of representative genomes and would like
to learn uniform cutoffs to achieve such a selection.
210
211 **Assessment on the influence of MGE filtering on ANI and AF estimates:**
212
213 mgecut was run using geNomad (v1.8.0; database v1.7)[36] on all 1,902 *E. faecalis* genomes
214 included in GTDB release 207[4]. Pairwise ANI estimates were calculated for *E. faecalis* genomes
215 with and without MGE filtering using skani triangle[25]. ANI and AF estimates between
216 corresponding pairs of genomes were assessed by taking the difference of estimates.
217
Benchmarking computational resource usage of different genome dereplication
programs:

We compared the performance of skDER and CiDDER for genomic dereplication against
dRep (v3.5.0)[19] and galah (v1.4.0)[21] through application to genomes classified as *Enterococcus*
(including *Enterococcus_A, Enterococcus_B, …*) in GTDB release 207[4]. We ran dRep with
Mash(v2.3)[23] for primary clustering and FastANI (v1.34)[7] for secondary clustering because the
configuration is one of the most frequently used for genomic dereplication in recent years.
CheckM[37] was skipped in dRep due to excessive time requirements. More recently, galah was
developed and replaced the usage of MASH for primary clustering of genomes into coarse
related genomic groups with a faster alternative, Dashing[22]. However, given the advantages of
skani for ANI estimations and performing preliminary screening to avoid more computationally
intensive ANI computation between distantly related genomes, recent versions of galah have
switched to using skani for both the preliminary and final clustering steps. We thus ran galah
(v1.4.0)[21] with the recent defaults using skani code in the backend. For benchmarking, all
programs were run using 30 threads where possible and resource use was measured using the
unix time command. To systematically reassess the distance of non-representative genomes to
representative genomes determined by different methods we recomputed ANI and AF between
all pairs of genomes using skani triangle[25] run in "-slow" mode for increased accuracy. The
closest matching representative genome per non-representative genome was selected based
on a score equivalent to the product of the non-representative AF and ANI.
A subset of 1,902 genomes corresponding to the species *E. faecalis*, based on GTDB
R207 classification, were gathered, annotated using Prokka (v1.13)[38], and processed through
Panaroo (v1.5.0)[39] for orthology inference. Importantly, Prokka was requested to not report
coding sequences on the edge of scaffolds or contigs to avoid overinflating the pangenome with
partial CDS features due to assembly fragmentation[40]. Single-copy core ortholog groups were
identified and MUSCLE super5 (v5.1)[41] was used to construct protein alignments for them. The
protein alignments were next converted to codon alignments using PAL2NAL(v14.1)[42] which
were then trimmed for sites which featured gaps in greater than 10% of sites using trimal.
Trimmed codon alignments were concatenated together and provided as input to FastTree2
(v2.1.11)[43] to construct a phylogeny using a generalized time-reversible mode specified. ete3
(v3.1.3)[44] was used to extract subtrees corresponding to the different sets of representative
genomes selected by the different dereplication programs, with the "preserve_branch_length"
option set to True, and to measure the summed branch length of the subtrees.

RESULTS

Convenient tools to select representative genomes for microbial comparative genomic
analyses

skDER and CiDDER are both programs designed to perform dereplication of large
microbial datasets (**Figure 1**). First, skDER leverages advances in fast and accurate ANI
estimations by skani[25] and provides two memory efficient approaches for processing skani's
results to determine a set of genomes that are well suited to serve as representatives. The two
approaches within skDER are referred to as the "greedy" algorithm and the "dynamic" algorithm
(**Figure 2AB**). The "greedy" algorithm guarantees that all non-representative genomes have

ANI and alignment fractions (AF) values which exceed the user-adjustable specified cutoffs to at
least one representative genome. It functions similar to approaches for genomic dereplication in
other popular software such as dRep and galah[19,21]. In contrast, the "dynamic" algorithm,
approximates single-linkage clustering and more sparingly selects a single representative per
coarse clustering of genomes. The "dynamic" algorithm thus does not guarantee all non-
representative genomes are within a certain ANI and AF distance from their closest
representative genome.

In CiDDER, comprehensive protein clustering using CD-HIT[30] is applied to minimize the
number of representative genomes needed to maximize sampling of the coding pangenome
space (**Figure 2C**). This is performed via an iterative approach in which genomes that
contribute the most additional protein clusters, not accounted for in previously selected
representative genomes, are prioritized to serve as the next representative. By default, the
algorithm terminates when 90% of the total number of distinct protein clusters in the coding
pangenome space are sampled. Users can adjust this cutoff and apply other criteria for
terminating selection of additional representative genomes.

Beyond dereplication, skDER and CiDDER both offer users a variety of options for
convenience and additional functionality. For instance, they both feature an option to
automatically download genomes from NCBI GenBank belonging to a single species or genus
based on GTDB classifications[4,34,45] and perform dereplication on them. They both also feature
options to perform secondary clustering of non-representative to representative genomes.
Clustering reports feature detailed information such as the ANI and AF of the non-representative
genome to its closest representative genome or the percentage of shared protein clusters
between them. In addition, skDER features an option to test combinations of different ANI and
AF cutoffs to assess how many representative genomes are selected when they are applied
(**Figure S1**). This option might be of interest to users when they aim to select at most a certain
number of genomes as representatives.

290
291 **Functionalities for visualizing the relationship between genomes and assessing the**
292 **effects of MGEs**
293
The skDER package also includes two standalone scripts: granet and mgecut. The
granet program can be used with results from skDER or CiDDER for creating network
visualizations, which summarize the dereplication process (**Figure 2**). In such networks, nodes
represent genomes and edges are shown between genome pairs which are similar enough to
meet ANI and AF cutoffs. Nodes are colored red if they are representative genomes and black
otherwise. The mgecut program aims to filter large MGEs, namely plasmids and viruses, from
genomes prior to computing AF estimates. It is a simple wrapper program that runs and
processes the results of either PhiSpy[35] or geNomad[36], two programs for MGE annotation, and
then cuts out MGE coordinates in the input genome. mgecut is integrated within skDER and
CiDDER and can be requested to process genomes prior to ANI estimation or protein clustering.
To assess the effect of MGEs on ANI, we processed 1,902 *E. faecalis* genomes through
mgecut using geNomad[36]. *E. faecalis* was selected because it is known to be rich in MGEs,
which have shaped the evolution of the species[46,47]. skani[25] was next used to infer ANI and AF
estimates between pairs of unaltered genomes and separately between pairs of MGE-filtered

genomes. ANI estimates between corresponding pairs of genomes were compared to reveal
that MGEs tended to reduce ANI between genomes, with a median difference of -0.05 (**Figure**
3A). Similarly, AF values were also generally less similar between pairs of genomes when
MGEs were included, with a median difference of -3.43 (**Figure 3B**). In addition, Rodriguez-R *et*
al recently reported that an intra-species ANI gap is consistently found and proposed a cutoff of
99.99% ANI to distinguish strains[48]. This ANI gap was still present after removal of MGEs
(**Figure S2**).
315
316   **Comparison of skDER to other ANI-based approaches for representative genome**
317   **selection across *Enterococcus***
318
We compared the performance of skDER and CiDDER for representative genome
selection across the diverse genus of *Enterococcus*[49] with two other ANI-based dereplication
programs, dRep and galah, which are primarily designed for selecting reference genomes for
mapping metagenomic readsets. The dynamic and greedy based approaches implemented in
skDER, as well as CiDDER, dRep, and galah were independently applied to a set of 5,291
Enterococcus genomes. FastANI[7] was requested for secondary clustering in dRep, whereas
skani was used for ANI inference and screening in galah and skDER. For all ANI-based
methods, dereplication was performed using an ANI-threshold of 99% with either a coverage or
alignment fraction cutoff of 25% or 90%.
One of the most common approaches taken for the task of genomic dereplication is
running dRep with FastANI for secondary clustering. Importantly, this method was designed for
application on metagenome assembled genomes (MAGs). When applied to a dataset of MAGs
from a common microbiome, the divide-and-conquer approach it implements to first partition
MAGs into coarse bins and afterwards perform more precise ANI inference separately within
each partition of genomes is extremely efficient. However, in our application of dRep on
Enterococcus, only two partitions were identified - leading to extensive FastANI computations
that took considerably longer than skDER and galah, which were run using skani for accurate
ANI inference instead. Thus, much of the speed boost for galah and skDER are from the use of
skani which is substantially faster than FastANI[25] (**Figure 4A**). Dereplication with dRep also had
greater memory requirements than galah, skDER, and CiDDER, but all methods required less
than 18 GB of memory (**Figure 4B**). The quality of representative genomic assemblies selected
by the different methods, measured using the N50 statistic, appeared largely similar (**Figure**
S3).
Surprisingly, despite being run with similar thresholds for ANI and AF, the three software
selected a discordant number of representative genomes (**Figure 4C**). For instance, while
skDER in greedy dereplication mode selected 807 representatives at the cutoffs of 99% ANI
and 90% AF, galah with information on genome quality from CheckM2 and dRep selected 630
and 1,805 representative genomes at the same cutoffs, respectively. Further investigation
revealed that while galah requires all non-representative genomes to exhibit an ANI equivalent
to or greater than the cutoff to a representative genome, no strict enforcement is applied for the
AF cutoff (**Figure 4D, S4**). This explains why skDER selects more representative genomes than
galah, despite similar parameters and algorithms, since, in greedy dereplication mode, skDER
requires both ANI and AF of non-representative genomes to meet the specified cutoffs in

relation to one or more representative genomes. The substantial increase in the selected
number of representative genomes by dRep in comparison to skDER and galah when using
similarly stringent cutoffs for ANI and AF might be due to underlying differences between
FastANI and skani in how genomic similarity metrics are estimated.
In addition, all methods for dereplication selected at least one genome representative for
each individual *Enterococcus* species in GTDB[4]. Therefore, one of the key differences between
the four methods was the number of representative genomes selected for highly sequenced
species in the genus, in particular *E. faecalis*. We further investigated the coverage of the *E.
faecalis* pangenome by representative genomes selected using skDER, CiDDER, dRep, and
galah. Panaroo[39] was used to group genes into ortholog groups for all selected *E. faecalis*
genomes. The granularity of representative genome selection was similar for each dereplication
method when applied to *E. faecalis,* as was previously observed in their application to the entire
genus (**Figure 5**). CiDDER - when run with default parameters, which requests to select
representatives until 90% of the total protein clusters observed across all genomes have been
sampled - performs similarly to skDER run in greedy dereplication mode with cutoffs of 99% ANI
and 90% AF. While the former method is able to sample a greater number of ortholog groups
per representative genome, the latter is able to sample greater core genome phylogenetic
breadth per representative genome. These observations are expected based on the algorithms
behind the two dereplication procedures.
371
372 **DISCUSSION**
373
Here, we introduced skDER, a convenient tool which leverages recent advances in fast
yet accurate ANI estimation by skani to perform genomic dereplication. We also described
CiDDER, an independent program which performs genomic dereplication based on the user's
desired saturation of the non-redundant coding space observed across all genomes. Both tools
are primarily designed to reduce genomic datasets for downstream comparative genomic
investigations.
In the last decade, ANI-based methods for genomic dereplication have primarily been
used for metagenomic applications to select representative MAGs for bacterial species or
strains to then align reads to and assess presence of across related microbiomes[19–21]. While
skDER and CiDDER are designed primarily to assist with genomic selection for comparative
studies of microbial species or genera, they can also be useful for metagenomic studies.
skDER's dynamic dereplication approach might be well-suited for metagenomic applications
where selection of fewer representative genomes should alleviate concerns that reads
belonging to the same strain get falsely partitioned across closely related genomes. In contrast,
CiDDER and the greedy dereplication mode of skDER can be used to ensure representative
genomes capture the breadth of a taxon's pangenome. Fine resolution distinction of strains
based on auxiliary gene content in addition to ANI could aid better understanding of the
relationship between functional traits and species across microbiomes[50]. Ultimately, whether a
more comprehensive or concise selection of representative genomes is appropriate will likely
depend on downstream metagenomic analyses being performed.
A key limitation of skDER and CiDDER relative to dRep and galah for metagenomic
applications is their inability to incorporate potentially available information on genomic

contamination[19,21,37,51]. While the extent of contamination is an important consideration when
selecting representatives amongst MAGs in particular[52]; a simple solution we recommend is for
users to assess results from CheckM2[51] or other software and remove highly contaminated
genomes prior to genomic dereplication. Alternatively, users should consider the use of
charcoal[53] or similar tools that enable filtering genomic assemblies for specific scaffolds or
contigs exhibiting indications of being contaminants prior to running skDER or CiDDER instead
of disqualifying entire MAGs from serving as representatives.

Contamination within genomes becomes more difficult to resolve when it involves
multiple strains belonging to the same species[19,51]. A recent study found that, on average, 100
base pair differences between strains results in distinct ecological dynamics within
microbiomes[54]. This corresponds to an ANI of 99.998% identity if we assume an average
genome size of five million base pairs and supports proposals by Rodriguez-R *et al.* 2024 to use
a stringent ANI cutoff of 99.99% to distinguish different strains[48]. However, some k-mer based
ANI estimation methods might exhibit difficulties in reliably achieving such precision, especially
when applied to fragmented genomes[19,25]. Furthermore, because MGEs, such as plasmids, are
less stably integrated within genomes, users might prefer to filter them prior to dereplication or
determining strain clusters. For one species, we observed that such MGEs usually lower ANI
between strains. This is likely because they can evolve much faster than chromosomes,
especially with regards to syntenic structure[55–57] and can, in a nested fashion, contain smaller
MGEs, such as transposons, themselves[58,59]. skDER and CiDDER both have options to
automatically remove such MGEs, but their application can become expensive when
dereplicating thousands of genomes.

In summary, skDER and CiDDER aim to serve as convenient, open-source tools for
performing genomic dereplication for both comparative genomic and metagenomic purposes.
Low computational resource requirements to dereplicate over five thousand *Enterococcus*
genomes highlight how they can be used to select diverse representative genomes which can
then be investigated with user-friendly tools or web-applications for comparative genomic
investigations[39,60–66] on generally accessible infrastructure, such as laptops.

ACKNOWLEDGMENTS
426
427 We thank Dr. C. Titus Brown, Dr. N. Tessa Pierce-Ward, and Dr. Karthik Anantharaman for
428 helpful discussions on the development of skDER and CiDDER.
429

430 **CONFLICTS OF INTEREST**
431
432 The authors declare that there are no conflicts of interest.
433

434 **FUNDING INFORMATION**
435
436 This work was supported by grants from the National Institutes of Health awarded to L.R.K
437 (NIAID U19AI142720 and NIGMS R35GM137828). The content is solely the responsibility of the
438 authors and does not necessarily represent the official views of the National Institutes of Health.
439

REFERENCES

1. Salamzade, R. *SkDER*. (Zenodo, 2024). doi:10.5281/ZENODO.13887710.

2. Grüning, B. *et al.* Bioconda: sustainable and comprehensive software distribution for the life sciences. *Nat. Methods* **15**, 475–476 (2018).

3. Salamzade, R. & Kalan, L. skDER & CiDDER - Manuscript Data & Code. Zenodo https://doi.org/10.5281/ZENODO.13891800 (2024).

4. Parks, D. H. *et al.* GTDB: an ongoing census of bacterial and archaeal diversity through a phylogenetically consistent, rank normalized and complete genome-based taxonomy. *Nucleic Acids Res.* (2021) doi:10.1093/nar/gkab776.

5. Salamzade, R. & Kalan, L. SkDER representative genomes for select bacterial taxa. Zenodo https://doi.org/10.5281/ZENODO.10041203 (2023).

6. Goris, J. *et al.* DNA-DNA hybridization values and their relationship to whole-genome sequence similarities. *Int. J. Syst. Evol. Microbiol.* **57**, 81–91 (2007).

7. Jain, C., Rodriguez-R, L. M., Phillippy, A. M., Konstantinidis, K. T. & Aluru, S. High throughput ANI analysis of 90K prokaryotic genomes reveals clear species boundaries. *Nat. Commun.* **9**, 1–8 (2018).

8. Olm, M. R. *et al.* Consistent Metagenome-Derived Metrics Verify and Delineate Bacterial Species Boundaries. *mSystems* **5**, (2020).

9. Menardo, F. *et al.* Treemmer: a tool to reduce large phylogenetic datasets with minimal loss of diversity. *BMC Bioinformatics* **19**, 164 (2018).

10. Cheng, L., Connor, T. R., Sirén, J., Aanensen, D. M. & Corander, J. Hierarchical and spatially explicit clustering of DNA sequences with BAPS software. *Mol. Biol. Evol.* **30**, 1224–1228 (2013).

11. Tonkin-Hill, G., Lees, J. A., Bentley, S. D., Frost, S. D. W. & Corander, J. Fast hierarchical Bayesian analysis of population structure. *Nucleic Acids Res.* **47**, 5539–5549 (2019).

12. Lees, J. A. *et al.* Fast and flexible bacterial genomic epidemiology with PopPUNK. *Genome*

Res. **29**, 304–316 (2019).

13. Xu, X. *et al.* RabbitTClust: enabling fast clustering analysis of millions of bacteria genomes

with MinHash sketches. *Genome Biol.* **24**, 121 (2023).

14. Salamzade, R. *et al.* zol & fai: large-scale targeted detection and evolutionary investigation

of gene clusters. *bioRxiv* (2023) doi:10.1101/2023.06.07.544063.

15. Koskella, B. & Vos, M. Adaptation in Natural Microbial Populations. *Annu. Rev. Ecol. Evol.*

Syst. **46**, 503–522 (2015).

16. David, S. *et al.* Epidemic of carbapenem-resistant Klebsiella pneumoniae in Europe is

driven by nosocomial spread. *Nat Microbiol* **4**, 1919–1929 (2019).

17. Lebreton, F. *et al.* Emergence of epidemic multidrug-resistant Enterococcus faecium from

animal and commensal strains. *MBio* **4**, (2013).

18. Arredondo-Alonso, S. *et al.* Plasmids Shaped the Recent Emergence of the Major

Nosocomial Pathogen Enterococcus faecium. *MBio* **11**, (2020).

19. Olm, M. R., Brown, C. T., Brooks, B. & Banfield, J. F. dRep: a tool for fast and accurate

genomic comparisons that enables improved genome recovery from metagenomes through

de-replication. *ISME J.* **11**, 2864–2868 (2017).

20. Evans, J. T. & Denef, V. J. To Dereplicate or Not To Dereplicate? *mSphere* **5**, (2020).

21. Creators Aroney, Samuel T. N. Camargo, Antonio P. Tyson, Gene W. Woodcroft, Ben J.

Galah: More Scalable Dereplication for Metagenome Assembled Genomes.

doi:10.5281/zenodo.10526086.

22. Baker, D. N. & Langmead, B. Dashing: fast and accurate genomic distances with

HyperLogLog. *Genome Biol.* **20**, 265 (2019).

23. Ondov, B. D. *et al.* Mash: fast genome and metagenome distance estimation using

MinHash. *Genome Biol.* **17**, 132 (2016).

24. Konstantinidis, K. T. & Tiedje, J. M. Genomic insights that advance the species definition

for prokaryotes. *Proc. Natl. Acad. Sci. U. S. A.* **102**, 2567–2572 (2005).

25. Shaw, J. & Yu, Y. W. Fast and robust metagenomic sequence comparison through sparse

chaining with skani. *Nat. Methods* **20**, 1661–1665 (2023).

26. Abouelhoda, M. I. & Ohlebusch, E. Chaining algorithms for multiple genome comparison. *J.*

Discrete Algorithms **3**, 321–341 (2005).

27. Wolf, Y. I., Makarova, K. S., Lobkovsky, A. E. & Koonin, E. V. Two fundamentally different

classes of microbial genes. *Nat Microbiol* **2**, 16208 (2016).

28. Steinegger, M. & Söding, J. MMseqs2 enables sensitive protein sequence searching for the

analysis of massive data sets. *Nat. Biotechnol.* **35**, 1026–1028 (2017).

29. Buchfink, B., Ashkenazy, H., Reuter, K., Kennedy, J. A. & Drost, H.-G. Sensitive clustering

of protein sequences at tree-of-life scale using DIAMOND DeepClust. *bioRxiv* (2023)

doi:10.1101/2023.01.24.525373.

30. Fu, L., Niu, B., Zhu, Z., Wu, S. & Li, W. CD-HIT: accelerated for clustering the next-

generation sequencing data. *Bioinformatics* **28**, 3150–3152 (2012).

31. Larralde, M. Pyrodigal: Python bindings and interface to Prodigal, an efficient method for

gene prediction in prokaryotes. *J. Open Source Softw.* **7**, 4296 (2022).

32. Huang, Y., Niu, B., Gao, Y., Fu, L. & Li, W. CD-HIT Suite: a web server for clustering and

comparing biological sequences. *Bioinformatics* **26**, 680–682 (2010).

33. Csardi, G. & Nepusz, T. The igraph software. *Complex Systems* **1695**, 1–9 (2006).

34. Creators Blin, K. *Ncbi-Genome-Download*. doi:10.5281/zenodo.8192486.

35. Akhter, S., Aziz, R. K. & Edwards, R. A. PhiSpy: a novel algorithm for finding prophages in

bacterial genomes that combines similarity- and composition-based strategies. *Nucleic*

Acids Res. **40**, e126 (2012).

36. Camargo, A. P. *et al.* Identification of mobile genetic elements with geNomad. *Nat.*

Biotechnol. (2023) doi:10.1038/s41587-023-01953-y.

37. Parks, D. H., Imelfort, M., Skennerton, C. T., Hugenholtz, P. & Tyson, G. W. CheckM:

assessing the quality of microbial genomes recovered from isolates, single cells, and

metagenomes. *Genome Res.* **25**, 1043–1055 (2015).

38. Seemann, T. Prokka: rapid prokaryotic genome annotation. *Bioinformatics* **30**, 2068–2069

(2014).

39. Tonkin-Hill, G. *et al.* Producing polished prokaryotic pangenomes with the Panaroo

pipeline. *Genome Biol.* **21**, 180 (2020).

40. Klassen, J. L. & Currie, C. R. Gene fragmentation in bacterial draft genomes: extent,

consequences and mitigation. *BMC Genomics* **13**, 14 (2012).

41. Edgar, R. C. Muscle5: High-accuracy alignment ensembles enable unbiased assessments

of sequence homology and phylogeny. *Nat. Commun.* **13**, 6968 (2022).

42. Suyama, M., Torrents, D. & Bork, P. PAL2NAL: robust conversion of protein sequence

alignments into the corresponding codon alignments. *Nucleic Acids Res.* **34**, W609-12

(2006).

43. Price, M. N., Dehal, P. S. & Arkin, A. P. FastTree 2--approximately maximum-likelihood

trees for large alignments. *PLoS One* **5**, e9490 (2010).

44. Huerta-Cepas, J., Serra, F. & Bork, P. ETE 3: Reconstruction, Analysis, and Visualization

of Phylogenomic Data. *Mol. Biol. Evol.* **33**, 1635–1638 (2016).

45. Benson, D. A. *et al.* GenBank. *Nucleic Acids Res.* **41**, D36-42 (2013).

46. Palmer, K. L. & Gilmore, M. S. Multidrug-resistant enterococci lack CRISPR-cas. *MBio* **1**,

(2010).

47. Johnson, C. N., Sheriff, E. K., Duerkop, B. A. & Chatterjee, A. Let Me Upgrade You: Impact

of Mobile Genetic Elements on Enterococcal Adaptation and Evolution. *J. Bacteriol.* **203**,

e0017721 (2021).

48. Rodriguez-R, L. M. *et al.* An ANI gap within bacterial species that advances the definitions

of intra-species units. *MBio* **15**, e0269623 (2024).

49. Schwartzman, J. A. *et al.* Global diversity of enterococci and description of 18 novel

species. *bioRxiv* 2023.05.18.540996 (2023) doi:10.1101/2023.05.18.540996.

50. Franzosa, E. A. *et al.* Species-level functional profiling of metagenomes and

metatranscriptomes. *Nat. Methods* **15**, 962–968 (2018).

51. Chklovski, A., Parks, D. H., Woodcroft, B. J. & Tyson, G. W. CheckM2: a rapid, scalable

and accurate tool for assessing microbial genome quality using machine learning. *Nat.*

Methods **20**, 1203–1212 (2023).

52. Meziti, A. *et al.* The Reliability of Metagenome-Assembled Genomes (MAGs) in

Representing Natural Populations: Insights from Comparing MAGs against Isolate

Genomes Derived from the Same Fecal Sample. *Appl. Environ. Microbiol.* **87**, (2021).

53. *Charcoal: Remove Contaminated Contigs from Genomes Using k-Mers and Taxonomies.*

(Github).

54. Goyal, A., Bittleston, L. S., Leventhal, G. E., Lu, L. & Cordero, O. X. Interactions between

strains govern the eco-evolutionary dynamics of microbial communities. *Elife* **11**, (2022).

55. Frolova, D. *et al.* Applying rearrangement distances to enable plasmid epidemiology with

pling. *bioRxiv* 2024.06.12.598623 (2024) doi:10.1101/2024.06.12.598623.

56. Shan, X. *et al.* Plasmid Fusion and Recombination Events That Occurred during

Conjugation of poxtA-Carrying Plasmids in Enterococci. *Microbiol Spectr* **10**, e0150521

(2022).

57. Wang, X. *et al.* Multiple-Replicon Resistance Plasmids of Klebsiella Mediate Extensive

Dissemination of Antimicrobial Genes. *Front. Microbiol.* **12**, 754931 (2021).

58. Sheppard, A. E. *et al.* Nested Russian Doll-Like Genetic Mobility Drives Rapid

Dissemination of the Carbapenem Resistance Gene blaKPC. *Antimicrob. Agents*

Chemother. **60**, 3767–3778 (2016).

59. Salamzade, R. *et al.* Inter-species geographic signatures for tracing horizontal gene

transfer and long-term persistence of carbapenem resistance. *Genome Med.* **14**, 37 (2022).

60. Eren, A. M. *et al.* Community-led, integrated, reproducible multi-omics with anvi'o. *Nat.*

Microbiol. **6**, 3–6 (2020).

61. Page, A. J. *et al.* Roary: rapid large-scale prokaryote pan genome analysis. *Bioinformatics*

31, 3691–3693 (2015).

62. Mungan, M. D. *et al.* ARTS 2.0: feature updates and expansion of the Antibiotic Resistant

Target Seeker for comparative genome mining. *Nucleic Acids Res.* **48**, W546–W552

(2020).

63. Arkin, A. P. *et al.* KBase: The United States Department of Energy Systems Biology

Knowledgebase. *Nat. Biotechnol.* **36**, 566–569 (2018).

64. Chen, I.-M. A. *et al.* The IMG/M data management and analysis system v.7: content

updates and new features. *Nucleic Acids Res.* **51**, D723–D732 (2023).

65. Marquis, B., Pillonel, T., Carrara, A. & Bertelli, C. zDB: bacterial comparative genomics

made easy. *mSystems* **9**, e0047324 (2024).

66. Darling, A. C. E., Mau, B., Blattner, F. R. & Perna, N. T. Mauve: multiple alignment of

conserved genomic sequence with rearrangements. *Genome Res.* **14**, 1394–1403 (2004).

Figure 1: Overview of algorithms for genomic dereplication. Overviews for the **A)** skDER "greedy" dereplication, **B)** skDER "dynamic" dereplication, and **C)** CiDDER dereplication approaches are shown.

Figure 2: Visualization the dereplication of 66 *Cutibacterium avidum* genomes using skDER and CiDDER with granet. The programs skDER and CiDDER were run to download and dereplicate 66 genomes classified as *C. avidum* in GTDB release 220. Afterwards, the granet tool was used to generate network visualizations for the **A**) skDER greedy, **B**) skDER dynamic, and **C**) CiDDER runs. Nodes correspond to different genomic assemblies and edge connections represent pairs of genomes exhibit $\geq$99% ANI and a maximal AF $\geq$90%. Genomes selected as representatives are shown in red.

Figure 3: Assessment of MGE effects on ANI and AF between pairs of *E. faecalis* genomes. The difference between unprocessed and mgecut processed genomes for **A)** ANI and **B)** AF estimates are shown. The dashed line in red indicates the median value. **C)** The relationship between ANI computed using unprocessed genomes and ANI computed using mgecut processed genomes where each point corresponds to a pair of genomes. The dashed blue line provides a one-to-one reference. Note, because ANI is symmetric while AF is not, there are twice as many genome pairs considered in panel B in comparison to panels A and C.

Figure 4: Comparisons of skDER and CiDDER to other dereplication approaches. Barplots show the **A**) runtime (based on using 30 threads), **B**) maximum memory consumption, and **C**) total representative genomes selected from dereplication of 5,291 *Enterococcus* genomes by different methods. Time and memory needed to run CheckM2 is not accounted for in the plots for two galah dereplication investigations where CheckM2 information was incorporated. **D**) The proportion of non-representative genomes that meet ANI and AF criteria in relation to a nearby reference genome. Neither indicates either that ANI < 99% or AF < 25%.

Figure 5: Overview of _E. faecalis_ representative selections by different dereplication software. Scatterplots show the **A**) number of ortholog groups determined by Panaroo and **B**) the phylogenetic breadth of the core genome for 1,902 _E. faecalis_ genomes as a function of the number of representative genomes selected by different dereplication methods.