Tissue-resident NK cells support survival in pancreatic cancer through promotion of cDC1-CD8T activity.

Running title

Radiotherapy and CCR5i/αPD1 Immunotherapy induce trNK cells and PDAC tumor control.

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Abstract

The immunosuppressive microenvironment in PDAC prevents tumor control but strategies to restore anti-cancer immunology, by increasing CD8 T cell activity, have not been successful. Here we demonstrate how inducing localized physical damage using ionizing radiation (IR) unmasks the benefit of immunotherapy by increasing tissue-resident NK (trNK) cells that support CD8 T activity. Our data confirms that targeting mouse orthotopic PDAC tumors with IR together with CCR5 inhibition and PD1 blockade reduces E-cadherin positive tumor cells by recruiting a hypofunctional NKG2C^{-ve} NK population that supports CD8 T cell involvement. We show an equivalent population in human PDAC cohorts that represents an adaptive-like immunomodulatory trNK-cell that similarly supports CD8 T cell levels in a cDC1-dependent manner. Importantly, a trNK signature associates with survival in PDAC and solid malignancies revealing a potential beneficial role for trNK in improving adaptive anti-tumor responses and supporting CCR5i/αPD1 and IR-induced damage as a novel therapeutic approach.

Introduction

Over the past decade, immune checkpoint inhibitors have shown significant success in the treatment of various solid malignancies. Treatment of pancreatic cancer using immunotherapy alone or in combination with radiotherapy or chemotherapy has unfortunately seen limited clinical success over either modality alone(Bockorny et al., 2020; Doi et al., 2019; Royal et al., 2010; Weiss et al., 2018; Weiss et al., 2017) (Mohindra et al., 2015). This is likely due to several tumor microenvironmental factors, including the dense stroma that supports an immunosuppressive environment while also obstructing the infiltration of cytotoxic immune cells(Mills et al., 2022; Piper et al., 2023). Novel strategies comprising dual targeting of PD-1/IL-2Rβγ with radiotherapy are beginning to indicate that potential benefits may require a coordinated alteration in the suppressive microenvironment involving loss of regulatory T cells (Tregs) and increased natural killer (NK) cell infiltration, in addition to simply increasing CD8 T infiltration(Piper et al., 2023).

We previously identified serum CCL5 as a negative prognostic marker for late-stage advanced pancreatic cancer(Willenbrock et al., 2021). CCL5 (RANTES) is pro-inflammatory and an acute response to injury or infection that promotes recruitment of monocytes and lymphoid immune cells through CCR5, CCR3, and CCR1. In cancer, sustained CCL5-mediated inflammation leads to a suppressive environment via attraction of CCR5$^+$ immunoregulatory components, including Tregs, tumor-associated macrophages (TAMs), and myeloid-derived suppressor cells (MDSCs) normally involved in resolving inflammatory events (Hemmatazad and Berger, 2021). Pancreatic tumors that are poorly differentiated produce higher levels of CCL5 and express more of its main receptor, CCR5, compared to well-differentiated and non-cancerous tissue(Monti et al., 2004; Singh et al., 2018). Disrupting this loop by the FDA-approved CCR5 inhibitor maraviroc reduces pancreatic tumor cell migration, invasiveness(Singh et al., 2018) and proliferation(Huang et al., 2020). Silencing CCL5 expression in Panc02 cells or systemic administration of the CCR5 inhibitor TAK-779 reduces Treg migration and tumor volume in a murine subcutaneous tumor model(Tan et al., 2009). These studies suggest that the CCL5/CCR5 axis may be a promising therapeutic target in pancreatic cancer as it has the potential to alter both the intrinsic properties of tumor cells and immune cell migration.

In established pancreatic tumors, the immune suppressive environment promotes more tissue repair/resolution signaling (M2-like macrophages, MDSCs) and prevents pro-inflammatory signaling (M1-like macrophages). Radiotherapy is delivered to localized tumors to stimulate a pro-inflammatory environment and increase the opportunity for tumor neo-antigens to be recognized by infiltrating adaptive response cells. As such, stereotactic ablative radiotherapy (SBRT) delivers high doses of radiotherapy in a minimal number of fractions to maximize an inflammatory cascade but the sensitivity of lymphocytes to DNA damage and the immune suppressive environment prevent benefit

or meaningful tumor control(Mills et al., 2022). In NSCLC, single cell RNA sequencing (scSeq) of immune cells within tumors identifies a distinct subset of CD49a$^+$ CD103$^+$ tissue-resident memory (TRM) CD8$^+$ T cells that have capacity to respond to neo-antigens but are suppressed(Caushi et al., 2021). In this case, the use of an anti-PD1 antibody (αPD1) supports TRM activation but additional disruption of the immune suppressive environment is still required, indicating that additional components are required in addition to simply activating CD8$^+$ T cell neo-antigen recognition.

Kirchhammer et al. recently reported that a tissue-resident NK (trNK) cell population is induced in NSCLC in response to viral delivery of IL-12, which crucially supported type I conventional dendritic cell (cDC1) infiltration and increased DC-CD8$^+$ T cell interactions(Kirchhammer et al., 2022). Together with PD1 blockade, IL-12-mediated recruitment of trNK cells enhances cross-presentation of antigen to CD8 T via cDC1 suggesting this represents a substantial barrier for T cell focused therapy and that improving the NK/DC/T-cell crosstalk can promote antitumor immunity and tumor control. Notably, this was dependent on CCL5 and points to a positive role for inflammatory CCL5 signaling in the absence of CCR5-mediated suppression(Kirchhammer et al., 2022).

Given the negative prognosis associated with high CCL5 serum levels in human patients and its diverse functions in chemokine and paracrine signaling (Aldinucci et al., 2020), we hypothesized that inhibiting CCR5 rather than CCL5, in combination with radiotherapy and anti-PD1 immunotherapy may improve tumor control via a multimodal approach. To test this, we employed a murine orthotopic pancreatic cancer model and monitored tumor growth and immune infiltration. We find that while the use of a CCR5 inhibitor (CCR5i) alone restricts Treg involvement, it does not impact tumor viability alone or together with αPD1. However, in combination with radiotherapy, we see a significant alteration in MDSCs, NK and CD8 T cells and better tumor control. Interestingly, we observe that IR/CCR5i/αPD1 combination treatment induced a trNK population that is the highly correlated immune population with tumor control. Exploration of scRNA-seq datasets from human PDAC studies confirms the presence of trNK cells as immunomodulatory in human PDAC, and directly supports cDC1-CD8 communication. Strikingly, a specific trNK signature indicates that higher levels of this NK subtype are significantly correlated with better PDAC patient overall and disease-free survival. Moreover, pan-cancer analysis reveals trNK cell involvement is associated with better patient survival across a number of solid tumors and supports the potential utility of a combination regimen comprising ionizing radiation (IR) and CCR5i/αPD1 immunotherapy (IO) as a promising strategy to increase NK/cDC1/CD8 mediated tumor control in solid cancers.

110   Results

CCL5 is a negative prognostic marker in pancreatic cancer

We previously identified serum CCL5 as a *bona fide* negative prognostic marker for pancreatic

cancer(Willenbrock et al., 2021), and found that, in two independent PDAC cohorts

(CPTAC3(Cao et al., 2021) and TCGA(Uhlen et al., 2017)), higher CCL5 expression associates

with poor overall and disease-free survival, confirming the negative implication of high levels

of CCL5 in pancreatic cancer (Figure 1A). To understand the cause of CCL5-mediated reduced

survival in PDAC, we hypothesized that immune cells responsive to a CCL5 chemotactic

gradient (through expression of the cognate receptors CCR5, CCR3 or CCR1) could be

potential contributors to an adverse tumor immune environment (Figure 1B, figure

supplement 1A). Single cell sequencing of human tumors has revealed genetic signatures for

myeloid derived suppressor cells of polymorphonuclear (PMN-MDSC) or monocytic (M-

MDSC) origin(Alshetaiwi et al., 2020), TAMs(Wang et al., 2021), CD4 T-regulatory cells

(Treg)(Mijnheer et al., 2021) and NK cells(Smith et al., 2020), but none of these immune

populations have yet been implicated as a causative agent in the poor outcome associated

with high CCL5 expression in PDAC (Figure 1C). Notably, exploration of individual genes

within these signatures indicated stark opposing correlations within each signature pool –

particularly for genes associated with MDSCs (S100P vs ARG2) and NK cells (CD56 vs CD16)

(Figure 1C, figure supplement 1B). CD56 (*NCAM1*) is a key marker that represents functionally

distinct subpopulations of NK cells where CD56^{bright} NK cells represent naïve states that move

to CD56^{dim} upon maturation to full cytotoxic potential, whereas conversely CD16^{+} expression

marks activation and CD16^{-} immature or quiescent NK cells(Poli et al., 2009). Thus, these

distinct subtypes may differentially contribute to survival, e.g., association of CD16 with poor

survival may implicate a detrimental role of CD56^{dim}CD16^{+} NK cells, whereas the strong

positive prognosis associated with CD56 expression could indicate benefit of CD56^{bright}CD16^{-}

NK cells. The benefit of CD56 can be attributed to NK cells over neuronal expression as the

neuronal-cell specific homolog *NCAM2* has no prognostic value (figure supplement 1C).

CCR5i modulates Treg infiltration in an orthotopic pancreatic tumor model

We next employed a syngeneic orthotopic pancreatic cancer model where cells derived from

Kras^{G12D}, *Trp53^{R172H}*, *Pdx1-Cre* C56/BL6 tumor bearing mice are injected into the pancreas of

wildtype C56/BL6 mice recapitulating a human pancreatic cancer microenvironment(Matzke-

Ogi et al., 2016). From a selection of independently isolated KPC derived cell lines, we first
determined the cell line KPC_F as an appropriate model for human PDAC tumor based on
expression of epithelial (E-cadherin), mesenchymal (vimentin), stromal (Collagen I, αSMA)
markers as well as displaying both growth kinetics (determined by MRI) amenable for the
study and expression of high levels of CCL5 (Figure 2A, figure supplement 2A/B). To address
which immune subsets are involved in CCL5 signaling in pancreatic cancer, we developed a
17-color spectral flow cytometry panel to monitor the tumor-infiltrating immune
microenvironment in parallel, (figure supplement 2C) and employed a specific CCR5 inhibitor
(Maraviroc, CCR5i), to block CCR5, the most widespread and highest-affinity receptor for
CCL5 expressed on Tregs (figure supplement 1A).

Pilot experiments injecting 500 KPC-F cells yielded robust and replicative growth
kinetics (indicated by matching volumes of ±100 mm^3 at the beginning of exponential
growth), permitting direct comparisons of infiltrating immune cells across different treatment
groups (Figure 2A). Next, tumors were allowed to reach 50 mm^3 (approx. 12 days) before
initiation of treatment with daily CCR5i for 7 days. Mice were culled 30 days post-treatment
for the characterization of infiltrating immune cells (Figure 2B). Notably, CCR5i significantly
reduced Treg infiltration but had no effect on the infiltration of other immune cells,
indicating the active recruitment of CCR5$^+$ Tregs in PDAC (Figure 2B). Given the limited effect
of CCR5i on tumor growth kinetics and immune infiltration, however, standalone inhibition of
CCR5 and the resultant lack of recruitment of Tregs alone are unlikely to impact PDAC
progression.

Combination immunotherapy following localized damage alters tumor of immune composition
Induction of a localized inflammatory microenvironment with ionising radiation (IR) can drive
anti-tumor responses, but radiotherapy has had limited success in treatment of PDAC and
combination with immune checkpoint inhibitors (e.g. αPD1) does not increase benefit.
Therefore, we next examined whether the addition of CCR5i to tumor-targeted IR could
overcome this by further modulating immune cell migration, in particular by reducing Treg
involvement (Figure 3A, figure supplement 3A). As expected, radiotherapy (3 x 4Gy)
produced a strong effect on gross tumor volume, significantly reducing volumes over
standalone treatment with αPD1, CCR5i or CCR5i+αPD1 (Figure 3A). Responses to
combination of IR with CCR5i, αPD1 or CCR5i+αPD1 (IR+IT) showed larger variations

compared to IR alone, implying mixtures of 'responders' and 'non-responders' to immune-

modulatory treatments. Moreover, IR/CCR5i/αPD1 treated tumor sections had significantly

reduced DAPI$^+$ and p53$^+$ KPC cells compared to all other conditions, suggesting significantly

more loss of tumor cells by triple combination treatment (Figure 3B, figure supplement 3B-C).

Tumor sections from the triple combination treatment also presented with increased loss of

active stroma (αSMA staining, figure supplement 3D) and increased necrotic areas over

standalone radiotherapy (Figure 3C). In line with apparent increased tumor control, the triple

combination treatment demonstrated infiltration of CD8$^+$ T cells (Figure 3D), supporting

greater penetration of CD8$^+$ T cells into the centre of tumors (figure supplement 3E). These

results support improved tumor control with combination of IR+CCR5i+αPD1 over standalone

radiotherapy or IR in combination with αPD1 and CCR5i alone. To elucidate the immune

mechanisms behind this control, we analysed the immune infiltrate of pancreatic tumors. In

line with the results above, the triple combination reduced Treg infiltration, enhanced CD8$^+$ T

cell infiltration and supported a moderate increase in CD4$^+$ T helper cells (Figure 3E, figure

supplement 3F).

Interestingly, no alteration was seen in the myeloid compartment, except for a

reduced infiltration of PMN-MDSCs, whereas a significant infiltration of NK and NKT cells

could be observed in pairwise comparisons between IR+αPD1 vs IR+CCR5i or IR+CCR5i+αPD1

(Figure 3E, figure supplement 3F). These results collectively support the notion that

IR/CCR5i/αPD1 combination treatment alters immune infiltration by reducing Tregs and

increasing NK and CD8 T cells, thereby resulting in greater local tumor control.

CD8 and NKG2D$^-$ NK cells correlate with increased tumor control

To derive more granularity on the potential roles of NK- and T-cell populations in tumor

control, tissue sections were stained using an optimized multiplex immunofluorescence panel

and analyzed by HALO AI software to identify tumor cells (E-cadherin$^+$ - blue), CD8$^+$ T cells

(CD3$^+$CD8$^+$ - orange), CD4$^+$ T-cells (CD3$^+$CD8$^-$ - green) and NK cells (CD3$^-$CD8$^-$NK1.1$^+$ - red)

(Figure 4A, figure supplement 4A). As observed with flow cytometric and

immunohistochemical analyses, multiplex staining of tumor sections also revealed a

significant increase of CD8$^+$ T cells per mm^3 when IR was used in combination with CCR5i or

CCR5i/αPD1 (figure supplement 4B). Notably, despite having responders and non-responders

in the combination groups (Figure 4A), a significant overall reduction in E-cadherin$^+$ tumor

cells as a percentage of total DAPI$^+$ cells was observed (Figure 4B), supporting a decrease in

cellularity and an increase in tumor necrosis with the IR/CCR5i/αPD1 combination (Figure

3B,C). The increase in CD8$^+$ T cells with combination treatment appears independent of

tumor area and is matched by a similar increase in NK cells (Figure 4B, figure supplement 4B).

To correlate immune infiltration against loss of tumor cells (a measure of local tumor control)

we determined relationships between CD4 T, CD8 T and NK cell populations and E-cadherin$^+$

cells across all tumor sections (independent of treatment) and found a significant inverse

correlation for both CD8 T and NK cells (r^2=-0.3, p=0.038 and r^2=-0.33, p=0.026 respectively),

but not CD4 T cells (Figure 4C).

We next checked if the association between NK cells and loss of tumor cells was due

to killing by functionally active NK cells by focusing our analysis on the NKG2D$^+$ NK cell

population, given that this is one of the major activating receptors on NK cells and is required

for the lysis of target cells(Bryceson and Ljunggren, 2008). Surprisingly, the proportion of

infiltrating CD3$^-$CD161$^+$NKG2D$^+$ cells (NK^{Active}) is reduced under IR/CCR5i/αPD1 combination

treatment, implying a decrease in total NK cell cytolytic capacity (figure supplement 4C). The

reduced NKG2D expression on NK cells may be a result of the prolonged engagement by

ligands expressed on tumor cells, followed by ligand-induced endocytosis and

degradation(Quatrini et al., 2015), or the shedding of NKG2D ligands by tumor cells(Kaiser et

al., 2007). In both instances, receptor down-regulation causes a reduction in cytotoxicity and

impairs NK cell responsiveness to tumor cells, potentially contributing to exhaustion(Groh et

al., 2002). Not surprisingly, the reciprocal CD3$^-$CD161$^+$NKG2D$^-$ population increases upon

triple combination treatment (figure supplement 4C). Interestingly, circulating NK cells from

PDAC patients show reduced NKG2D levels compared to healthy controls(Peng et al., 2013)

supporting the notion that chronic exposure to NKG2D ligands expressed or shed by PDAC

cells might cause NKG2D down-modulation and a hyporesponsive phenotype. Surprisingly,

the correlation of NK cells with decreased frequency of E-cadherin$^+$ cells was completely

abrogated with selection for more functionally competent NK cells (NK^{Active}), implying the

opposite hypofunctional NKG2D$^-$ NK population (NK$^{NKG2D-ve}$) is responsible for correlation of

NK cells with E-cadherin loss and indeed, a superior inverse correlation is observed for

NK$^{NKG2D-ve}$ and Ecadherin compared to NK^{Active} or NK^{Total} (r^2=-0.52, p=0.003) (Figure 4D). To

explore this association is relation to tumor control, sections were split into either E-

cadherin^{high} or E-cadherin^{low} and the extent of immune involvement was represented as a

percentage of total DAPI$^+$. While CD8 T cells significantly segregated with E-cadherin^{low},

implying a contribution to tumor control, the association of NK$^{NKG2D-ve}$ was vastly more

significant (Figure 4E).

Heterogenous subsets of NK cells in PDAC exhibit differential inhibitory and activatory signatures

To extrapolate our findings to the human setting, we explored scRNA-seq data from selected

NK and T-cells derived from human pancreatic cancer patients. A total of 51,561 cells were

catalogued into 17 distinct cell lineages annotated with canonical gene signatures as

described in Steele *et al.* using unsupervised clustering(Steele et al., 2020) (figure supplement

5A). UMAP projection of the lymphocyte compartment does not delineate NK subpopulations

and shows overlap with CD8 T cells (figure supplement 5B). Therefore, we focused on UMAP

projections of the CD8 and NK compartment alone to reveal three clear NK subpopulations

that are distinct from CD8 T cells and clearly separate out in clusters of immature (NK_C3),

active (NK_C2) and reduced activation (NK_C1) NK cells from T cells (Figure 5A). In agreement

with downregulation of circulating NKG2D$^+$ in PDAC patients, NKG2D (KLRK1) expression was

below detection across all CD8 and NK subpopulations retrieved from patient tumors(Groh et

al., 2002). As expected, CD16^{high} (FCGR3a) cytotoxic NK cells (NK_C3) cluster separately from

CD16$^-$ NK cells (NK_C1) which are more enriched for genes related to cytokine secretion than

cytolytic function (Figure 5D, figure supplement 5C). The NK_C1 cluster correlates best with

the hypofunction NK phenotype observed in mice as similarly displayed reduced activation

(reduced NKG7, NKp80, GZMA and PRF1) with additional expression of tissue residency

markers *CD103*, *CD49a* and, surprisingly, the adaptive activating receptor NKG2C (*KLRC2*)

(Figure 5B, C). While adaptive-like NK cells in circulating are associated with a Cd56^{dim}CD16$^+$

phenotype, adaptive like (i.e. NKG2C$^+$) tissue resident NK cells have been identified in lung

tissue(Brownlie et al., 2021) and appear to match our pancreatic tissue NK_C1 cells.

Downstream UMAP analysis of the NK cell population distinguishes these 3 subsets of NK

cells (NK_C1, NK_C2 and NK_C3) based on 41 differentially expressed genes (Figure 5D, E).

Given that CD56 expression correlates with increased survival in PDAC patients

(Figure 1C) we were intrigued to notice that the hypofunctional NK_C1 cluster is enriched for

CD56 but not CD16 expression (Figure 5D). These data suggest that NK_C1 cluster represents

a subset of NK cells in PDAC that display tissue-residency markers (*ITGAE,* CD103 and *ITGA1,*

CD49a) and have an immune-secretory phenotype (XCL1) as opposed to cytolytic phenotype

(NK_C2 CD16$^+$, NKG7$^+$) (Figure 5E). Furthermore, we define a core signature gene set to

distinguish NK_C1 from other NK subpopulations (Figure 5F). Verification of the NK_C1

population as NKG2C$^+$ and tissue resident (trNK) in a second scRNA pancreatic cancer dataset

of Peng *et al.*(Peng et al., 2013) confirmed the existence of these cells in an independent

PDAC cohort, again hypofunctional for cytotoxic activity and enriched for the inhibitory

receptor NKG2A (KLRC1) (figure supplement 5D, E, F).

Tissue resident NK cells in PDAC show differential communication

The NK_C1 population had the highest expression of the chemokines XCL1 and XCL2, which

have been demonstrated to attract type-1 conventional dendritic cells (cDC1)(Böttcher et al.,

2018) and thereby increase cross presentation to CD8$^+$ T cells. We next explored myeloid

populations in the Steele *et al.* scPDAC dataset (figure supplement 6A) and identified cDC1

cells as XCR1$^+$ enriched compared to other DC subsets (Figure 6A, figure supplement 6B). As

XCR1 is the receptor for XCL1/2, this suggests that a main direction of communication is from

NK_C1 cells in PDAC is to cDC1 (Figure 6B). We next employed the R-package 'CellChat'(Jin et

al., 2021) to further dissect the crosstalk between NK_C1 and other cells found in the

pancreatic tumor microenvironment, and confirmed NK_C1-derived XCL1/2 is the strongest

signal to XCR1$^+$ cDC1s (Figure 6C). Analysis of specific ligand-receptor pairs between NK_C1

and 24 other cell groups yielded 32 significant interactions, of which the TNFSF14 (LIGHT)-

TNFRSF14 pair was the most universal intercellular interaction, whereas CD74 (or MIF)

signaling showed the highest communication probability (figure supplement 6C).

Within the immune cell compartment, communication signals between NK_C1 and

macrophages were the most abundant and diverse, followed by cDCs (cDC2 and cDC1) and

Tregs (figure supplement 6C). Similarly, cDC1 from in these PDAC samples capable of

presenting MHC class I peptides to CD8$^+$ T cells, but surprisingly also MHC class II to CD4$^+$

Tregs (figure supplement 6D). Next, significant receptor-ligand interactions were segregated

as 'outgoing' or 'incoming' signals to understand directionality of communication. Among the

outgoing signals from different cell types, NK_C1 cells contributed the highest IL-16, CSF,

LIGHT (TNFSF14), FASLG and MIF signals in tumors (Figure 6D). IL-16 is mainly known as a

chemoattractant for CD4$^+$ T cells, however CD4$^+$ dendritic cells exist and have also been

demonstrated to be recruited by IL-16 (Bialecki et al., 2011; Kaser et al., 1999; Vremec et al.,

2000). IL-16 has also been described to increase HLA-DR levels in CD4$^+$ T cells and

eosinophils, therefore has the potential to induce MHC antigen presentation in CD4[+]

cells(Cruikshank et al., 1987; Rand et al., 1991). Taken together, these interactions support

the hypothesis that trNK cells may improve tumor control via recruitment of type 1 dendritic

cells via XCR1, while promoting DC maturation via LIGHT-CD86(Zou and Hu, 2005) signaling

and supporting antigen presentation to both CD4[+] and CD8[+] T cells via MIF-CD74 signaling

(Basha et al., 2012) (Figure 6E). On the other hand, dendritic cell-secreted BAG6 may

promote both survival and cytokine release by NK cells by binding NKp30(Simhadri et al.,

2008) and directly signal to CD8 T cells via CXCL16-CXCR6(Di Pilato et al., 2021), thereby

generating an anti-tumor feedforward loop (Figure 6E). Remarkably, IL-16 also communicates

to CD4[+] Tregs and CD74[+] fibroblasts, likely supporting tumor growth (Figure 6D). However,

both cell types may be susceptible to Fas-mediated cell death due to NK_C1 expression of Fas

ligand (Figure 6D). This could enhance immune cell infiltration and revoke the

immunosuppressive environment, ultimately contributing to increased tumor control.

These results so far suggest the presence of immunoregulatory trNK cells in PDAC that

are involved in an intricate immune communication network with DCs and CD8 T cells to

enhance anti-tumor immunity. To support this hypothesis, we explored the correlation

between trNK and CD8 T infiltration in our KPC_F orthotopic tumor model and found a highly

significant positive association (R^2 = 0.6571, p = 0.003) which was strengthened when we

focused on untreated (Mock) versus IR+IT combinations where trNK cells are evident (R^2 =

0.9223, p = 0.0004) (Figure 6F). To ascertain if this also holds true in human PDAC, we first

specified the NK_C1 signature as a 14-gene signature that was specific for our tissue-resident

NK cells over all other cells to distinguish levels in bulk datasets (Table 1). We next explored

the CD8 T:trNK cell relationship in PAAD_TCGA and, as the model in Figure 6E predicts this

interaction to be cDC1 dependent, by binning PAAD_TCGA cohort into quartiles based

differential cDC1 signature expression to test dependence of CD8 T:trNK on cDC1 levels

(Figure 6G). PDAC patient tumors with the lowest evidence for cDC1 involvement have a

weak correlation of trNK_C1 with CD8A and CD8B (p = 0.047; p = 0.45 respectively) which

rises to a strong highly significant correlation when the highest levels of cDC1s are present

(R^2 0.3, trNK_C1 vs CD8A p = 0.0001; R^2 0.34, trNK_C1 vs CD8B p = 0.000047) (Figure 6G).

NK cell signature correlates with improved survival

As the presence of trNK and correlation with CD8 T cells appears boosted by IR, we next

explored our original finding that CD56 correlates with highly significant survival in PDAC

(Figure 1, figure supplement 1). We hypothesized that tumors with a high *CD56* signature

might recruit a high proportion of trNK (CD56^{bright}CD16^{low}), whereas tumors with a low CD56

signature might have a lower proportion of trNK and, therefore, could benefit from IR.

Indeed, separating PAAD_TCGA patients into those who received radiotherapy (RTx) versus

those that did not, showed that the benefit in overall survival of PDAC patients is only

apparent in the CD56^{low} patient group (log rank p <0.0001, Figure 7A). We next explored

whether CD56-associated survival is specifically due to the presence of trNK cells using our

NK_C1 signature (Table 1). Analysis of primary PDAC tumors from the TCGA (TCGA_PAAD)

demonstrates that patients with tumors enriched for the trNK cell (NK_C1) gene signature

were associated with improved PDAC survival compared to patients without trNK

involvement (Figure 7B, figure supplement 7A). Similarly to CD56^{low} patients, we find that

patients with trNK^{low} benefit from RTx (log rank p <0.005, Figure 7C). Notably, we find that

despite an overall poor prognosis, CCL5^{high} patients (TCGA and CPTAC3) are significantly

enriched for the trNK_C1 gene signature (Figure 7D), potentially due to strong CCR5 or CCR1-

mediated recruitment (figure supplement 1A). However, as CCL5 also recruits MDSCs, TAMs,

Tregs and conventional NK cells via CCR5, CCR5i prevents a tumor suppressive

microenvironment, both directly and indirectly by retaining trNK cells that remove Tregs

through FASL (figure supplement 7B). Therefore, we could expect that patients with CCL5^{high}

NK_C1^{low} would perform significantly worse than patients with CCL5^{high}NK_C1^{high}. Indeed,

CCL5^{high} patients enriched for the NK_C1 signature or CD56 had significantly improved overall

and disease-free survival (Figure 7E, F). This also supports a model where CCL5 mediated

recruitment of NK cells can be beneficial in the absence of CCL5-CCR5 recruitment, via

CCR1(Ajuebor et al., 2007) (figure supplement 7C, 1A). These results suggest that despite

high CCL5 levels and an overall poor prognostic outcome, the presence of tissue-resident NK

cells significantly improves survival and provides an opportunity for intervention with the

IR+IT combination.

Finally, we explored whether the NK_C1 gene signature could be a prognostic marker

in solid malignancies other than PDAC by expanding our TCGA analysis. The majority of

cancers with enriched expression of our NK_C1 gene signature showed improved survival

apart from endometrium and prostate (Figure 8), as a continuous variable or as a high vs low

in a univariate Cox regression (figure supplement 8A,B). The latter result confirms our

hypothesis that enrichment of trNK cells is a protective factor across most solid malignancies.

Moreover, the ability to enrich for this population with IR/IO combinatorial strategies

supports the idea that trNK cells generally improve overall survival though improving CD8 T

cell activity in solid cancers.

Discussion

Novel approaches such as immunotherapies struggle to improve outcome in PDAC as tumors are stromal rich, myeloid-involved immunosuppressive microenvironments devoid of cytotoxic lymphocytes. Most strategies to combat immune suppression, e.g. targeting inhibitory checkpoints such as PD1/PDL1, fail as single therapies because PDAC is largely devoid of the CD8 T cells these agents are designed to reactivate. Combining them with inhibitors of myeloid suppression (e.g. CXCR2) to increase CD8 T penetration also failed to impact survival in clinical trials despite showing promise in pre-clinical studies(Steele et al., 2015),(Siolas et al., 2020). Similarly, high-dose hypo-fractionated ablative radiotherapy has been employed to create an acute localized inflammatory response to stimulate intra-tumoral penetration of CD8 T cells, but even in conjunction with PD1/PDL1 blockade this approach has not shown benefit in PDAC(Parikh et al., 2021). Novel strategies in pre-clinical PDAC models comprising ionizing radiation (IR), αPD1 and BMS-687681 (a dual CCR2/CCR5 inhibitor) have shown promising increases in CD8 T cells(Wang et al., 2022) whereas the combination of IR and the bifunctional agent αPD-1/IL-2Rβγ stimulates tumor penetration of polyfunctional stem-like activated CD8 T cells and DNAM1$^+$ cytotoxic natural killer (NK) cells(Piper et al., 2023). Together, these approaches indicate potential benefits of a coordinated alteration of the suppressive microenvironment and checkpoint blockade to reduce regulatory T cells (Tregs) and increase NK cell infiltration in addition to supporting CD8 T cell activity. The utility of RT for localized PDAC has been controversial but innovative technology can now deliver ionizing radiation at higher-doses with greater precision(Mills et al., 2022). Rather than simple ablative radiotherapy, this strategy is being employ to increase localized damage that stimulates an acute damage response in immune-cold tumors or increase tumor neoantigens to stimulate adaptive responses(Sodergren et al., 2020). In support of this, the use of IR alongside αPD-1/IL-2Rβγ or FAKi appeared to induce durable immunity in preclinical models(Lander et al., 2022; Piper et al., 2023), suggesting the induction of immunologic memory against tumor antigens is possible.

We were led by our previous clinical trial results implicating serum CCL5 levels as a negative prognostic marker for PDAC survival, which we now validate in two independent validation datasets. CCL5 has both pro-inflammatory roles as a chemoattractant for leukocytes and anti-inflammatory activity via recruitment of CCR5$^+$ Tregs(Tan et al., 2009). To maintain beneficial

signals but limit pro-tumorigenic signaling, we targeted CCR5 alone using maraviroc. Not
surprisingly, in an orthotopic KPC model of PDAC we found that Tregs were restricted by
CCR5i directly, and the combination of IR and immunotherapy+(IT, CCR5i, αPD1 or
CCR5i/αPD1) correlates with a progressive enrichment of CD8 T cells. Intriguingly, CD8 T cells
alone were insufficient to explain the loss of cellularity or the increase in necrotic tumors
seen with the IR/CCR5i/αPD1 combination, but we do observe a significant increase in total
NK and NKT cells that correlates with better local control.

NK infiltration has been associated with positive outcomes in many solid tumors, considered
to be due to the positive impact of cytotoxic NK cells in cytotoxic CD8 T-mediated tumor
clearance(Nersesian et al., 2021). However, the data supporting the independent
contribution of NK activity is difficult to discern due to overlap of expression profiles of
cytotoxic cells. Surprisingly, markers of cytotoxic cells or specific CD8/CD4 lymphocyte
receptors do not perform as indicators of survival from bulk mRNA datasets (Figure 5). This
may be due to sensitivity issues but, more likely, the presence of cytotoxic cells alone does
not necessarily indicate beneficial responses in patients(Nersesian et al., 2021). This is
supported by the limited efficacy of immunotherapy strategies to tumors with a high
mutational burden. NK cells represent a variety of subsets defined by surface markers, found
in the periphery (circulating), secondary lymphoid organs (spleen, lymph nodes), and specific
tissues (e.g. lung, liver, uterus) where markers of tissue residency increase retention or
prevent egress(Hashemi and Malarkannan, 2020). In addition to tissue-resident NK cells,
tumors appear to accumulate NK populations that become less cytotoxic through
downregulation of activating receptors, (NKG2D, NKp40, NKp44) and increased expression of
inhibitory receptors (NKG2A) or repression/exhaustion markers (e.g. TIGIT, TIM3) (Hashemi
and Malarkannan, 2020) (Marcon et al., 2020). Importantly, NK tissue-resident or
hypoactivated subsets commonly display a reduction in cytotoxicity but become highly active
immunomodulatory players via expression of XCL1 and XCL2(de Andrade et al., 2019). In
melanoma, NK-mediated expression of XCL1 is crucial for the migration of XCR1[+]
conventional type 1 dendritic cells (cDC1) and therefore the non-cytotoxic NK subsets in
tumors may be vital for cDC1-mediated cross-presentation of tumor antigens to CD 8 T
cells(Böttcher et al., 2018). This may explain why levels of CD8 T cells in tumors or removal of
inhibitory signaling is insufficient to gain tumor control. Thus, therapeutic strategies aimed at

increasing the presence of cDC1 or NK cells may work in combination to support treatments

that induce CD8 T cell activity.

Our data suggest that combination therapy to stimulate an acute inflammatory response (IR)

together with CCR5i/αPD1 (IT) sufficiently modulates the tumor immune microenvironment

to improve tumor control. As frequently observed in solid tumors, reduction of cancer cells

correlates with intra-tumoral infiltration of NK cells (CD56^{bright}CD16^{-}) and improved CD8 T cell

penetration(Wu et al., 2020). Surprisingly, our tumors were penetrated by NKG2D^{-} NK cells,

suggesting a population with reduced cytotoxicity (figure supplement 5C) which we

correlated to a similar population (NK_C1) identified from scRNAseq of human PDAC

samples. This population was CD56^{+}CD16^{-}NKG2C^{+}, in keeping with adaptive-like tissue

resident NK cells(Brownlie et al., 2021; Ruckert et al., 2022) and the apparent beneficial

association of CD56 expression with PDAC survival we identified above. NKG2C is a marker of

adaptive-like NK cells during viral infections where they offer a potential memory capability

to innate immunity(Brownlie et al., 2021; Lopez-Botet et al., 2023). First identified as a

subpopulation of blood derived CD16^{+} NK cells in response to viral infection but more

recently found to be independent of CD16 expression in tissue (Brownlie et al., 2021).

Notably, these were marked with receptors for tissue residency CD103 (*ITGAE*), CD49a

(*ITGA1*), chemokine expression (XCL1/2) and lymphocyte exhaustion markers TIM3 (*HAVCR2*),

TIGIT, *TNFRSF4*), potentially suggesting conversion of cytotoxic NK cells to an adaptive-like

immunomodulatory phenotype that can persist in tissues (Brownlie et al., 2021; Ruckert et

al., 2022). Single cell RNAseq from PDAC tissue confirm that trNKs are likely to mediate

recruitment of cDC1s via XCL1-XCR1, but communicate additional signals, including IL-16,

LIGHT (*TNFSF14*) and MIF-CD74 which have the potential to upregulate MHC-I expression,

presentation and co-stimulatory molecules to contribute to cross presentation(Basha et al.,

2012; Cruikshank et al., 1987; Zou and Hu, 2005). We also find that trNK may also recruit

CD4^{+} Tregs via IL16 but concomitant FAS-signaling would lead to Treg apoptosis and support

tumor control (Figure 5E, 6D).

Strikingly, using our signature we find that elevated involvement of trNKs in PDAC correlates

with CD8 T cell recruitment in a cDC1-dependent manner. Moreover, this supports a model

where the inactivation of cytotoxic NK cells in tumors appears to be a conversion to

immunomodulatory trNKs and an important mechanistic switch from innate to adaptive

immunity. Our therapeutic strategy of short ablative radiation-induced damage (IR) followed

by CCR5i/αPD1 (IT) offers a potential regimen to increase disease free survival in PDAC.

Finally, trNK-like cells with similar traits are increasingly being observed across a variety of

cancers(Brownlie et al., 2023; Kirchhammer et al., 2022; Marquardt et al., 2015). Finally, our

signature identifies patients with a significant survival benefit across 14 tumor types,

indicating a universal phenomenon attributable to this hypo-cytotoxic immunomodulatory

NK subset.

Author contributions

Conceptualization, S.M., EO'N. Methodology, S.L., S.H., C.D. Investigation; S.L., S.H., S.G., C.D. E.AJ. Formal analysis, S.G., S.H., C.D., E.AJ. Data curation, S.G., S.L., S.H., C.D., H.F., S.S. Funding acquisition: M.R.M., J.M, E.O'N. Project administration: E.O'N. Supervision: K.J., E.O'N. Writing – original draft, S.G, C.D, E.O'N. Writing – review & editing, S.G., R.BR., G.V., J.M., K.J., E.O'N. All authors read and approved the manuscript.

Acknowledgements

Authors thank the oncology preclinical imaging core (S.Smart, V.Kersemans, PD.Allen, M. Hill and JM Thompson) for providing contracted small animal MRI and radiotherapy services, Biomedical Services (K.Watson) and H. Xu for technical support. Funding: Kidani Memorial Trust, Cancer Research UK, Precision Panc (A25233), CRUK Beatson Institute (A31287, A29996) and CRUK Scotland Centre (CTRQQR-2021\100006). Medical Research Council.

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Figure 1. (A) Overall and disease-free Kaplan-Meier survival plots of PDAC patients segregated

into high or low CCL5 gene expression levels within pancreatic tumors. Data are derived from

CTPAC3 and TCGA cohorts and optimal cut-off values were calculated using the max-stat

method for each respective cohort. (B) Schematic overview of CCL5-responsive immune cells

and corresponding CCL5 receptor repertoire expression. (C) Correlation between overall and

single cell gene signatures of CCL5-responsive immune cells with overall PDAC prognosis.

Colour depicts positive (green), negative (red) or neutral (black) prognostic outcomes

(*$p<0.05$, ** $p<0.01$, *** $p<0.005$) . Data are derived from the Pathology Dataset of the

Human Protein Atlas19 and based on human tissue micro arrays and correlated log-rank p

value for Kaplan Meier analysis.

Figure 2. (A) Three different lineages of KPC pancreatic tumor cells (derived from

KrasG12Dp53R172HPdx1-Cre mice) were obtained and stained for DAPI (blue; nucleus), E-

cadherin (green; epithelial) and Vimentin (red; mesenchymal). Growth curve of orthotopically

injected KPC-F cells (500 cells) into the pancreas of wildtype C57BL/6 over time in weeks.

Tumor volume was measured using MRI. Representative MRI images over time are displayed,

white arrow denotes tumor mass. (B) Timeline of maraviroc (anti-CCR5) treatment regimen.

A total of 12 days post-orthotopic injection, tumor-bearing mice were treated daily with

maraviroc (10 mg/kg via intraperitoneal injection) for 6 days and followed for up to 30 days

after starting treatment. Frequencies of pancreatic tumor-infiltrating immune cells harvested

at day 30 with or without maraviroc using Aurora Cytek spectral flow is shown. Data are

represented as mean percentage positive cells (of CD45) ± SD. Significance was tested using

the Welch and Brown-Forsythe ANOVA for parametric data or Kruskal-Wallis test for non-

parametric data. Mock (n=6), IR (n=3), aPD1 (n=8), aPD1+IR (n=8), CCR5i (n=3), CCR5i+IR

(n=8), aPD1+CCR5i (n=5), aPD1+CCR5i+IR (n=8).

Figure 3. (A) Timeline of triple treatment regimen (maraviroc, αPD1 and radiotherapy)

following orthotopic injection of KPC_F cells. A total of 12 days post orthotopic injection of

500 KPC-F cells in the pancreas of wildtype C57BL/6 mice, mice were treated as follows:

seven consecutive days of 10 mg/kg intraperitoneal injection of maraviroc and four

alternating days of 10 mg/kg intraperitoneal injection of αPD1. Mice were followed for up to

30 days following the start of the treatment regimen. Tumor volumes were measured by MRI

and growth curves of individual treatment groups are plotted with or without radiotherapy as

measured by MRI. Average growth curves ± SD are depicted in bold, individual mice are

shaded (without IR; dashed, with IR; solid). Insert: expanded view of triple combination to

show 'responders' display a significant benefit over RT alone. (B) Quantification of pancreatic

tumors derived from (A) stained by IHC for p53. (C) Quantification of necrotic areas in

pancreatic tumors derived from (A) based on H&E staining. (D) Quantification of infiltrating

CD8+ in pancreatic tumors derived from (A) by flow cytometry. (E) Profiling of infiltrating

immune cells in pancreatic tumors derived from (A) by Aurora Cytek as in figure 2B. Single,

live cells were included for analysis and are represented as frequencies of CD45+ cells or total

CD3+ for Foxp3+ Tregs. Significance was tested using the Welch and Brown-Forsythe ANOVA

for parametric data # $p<0.05$, ##$p<0.01$ or Kruskal-Wallis test for non-parametric data #w

$p<0.01$; pairwise comparisons (student t test) *$p<0.05$, **$p<0.01$, ***$p<0.005$, ****$p<0.001$.

Figure 4. (A) Spatial plots of individual cells identified using HALO software of scanned

multiplex immunofluorescence murine pancreatic tumor slices. Positive staining is identified

as the marker of interest and DAPI+ signal. Responders and non-responders to treatment are

based on loss of E-cadherin staining. (B) Percentages of total DAPI+ immune cells (left) and E-

cadherin+ tumor cells (right) derived from Figure 4A following treatment. Significance was

tested using two-way ANOVA with Tukey multiple comparison (blue significance lines), or

one-way ANOVA with Tukey multiple comparison (yellow significance lines) using a $p<0.05$.

Correlations between %positive immune cells plotted against %positive E-cadherin+ cells, as

derived from (A). Symbols and colours represent different treatment groups. (C) Correlation

of total CD4 T (CD3+CD8-), CD8 T (CD3+CD8+) and NK cells (CD3-NK1.1+) plotted against

%positive E-cadherin+ cells as derived from (A). (D) Correlation of %positive segregated NK

cells plotted against %positive E-cadherin+ cells as derived from (A). NK cells were segregated

based on expression of NKG2D; NKActive; NK1.1+NKG2D+; NKNKG2D-ve; NK1.1+NKG2D-. (E)

Intratumoral immune cells of stratified pancreatic tumors based on low or high E-cadherin

percentage (cut-off: 20%). Significance was tested for $p<0.05$ with a two-tailed student's T-

test. * censored non-responder.

Figure 5. (A) UMAP of the CD8+ T and NK sub-clusters from Steele et al (arrows illustrate the

developmental path of NK cells). (B) Dot plot showing the expression of exhaustion-related

genes across CD8+ T and NK sub-clusters. (C) Dot plot showing highly expressed genes for

each sub-cluster. (D) UMAP of the three NK subclusters (left), and violin plots comparing the

expression of NK subtype-associated genes between the sub-clusters (right). (E) Dot plot

showing the different gene expression programs across the three NK sub-clusters. (F)

Heatmap showing the top 15 upregulated markers for each NK sub-cluster.

Figure 6. (A) UMAP of the dendritic cell sub-clusters from the Steele dataset. (B) Violin plot

showing the expression of XCL1, XCL2, and XCR1 across all cell types. (C) Circle plots showing

interactions across all cell types (top left), signals coming from the tissue-resident NK cells

(top right), the XCL1-XCR1 interaction (bottom left), and the XCL2-XCR1 interaction (bottom

right). The width of edges represents the communication strength. (D) Heatmap showing the

summary of secreted signalling communications of outgoing (left) and incoming (right)

signals. The colour bar represents the communication strength, and the size of the bars

represents the sum of signalling strength for each pathway or cell type. (E) Schematic

overview of the trNK to cDC1 and cDC1 to CD8 T cell communication axis (top). Circle plots of

all outgoing signals from cDC1 (bottom left) and the CXCL16-CXCR6 signalling (bottom right).

(F) Correlation of HALO data on total NKNKG2D-ve/trNK cells (CD3-NK1.1+NKG2D-) with CD8

T (CD3+CD8+) from stained sections of treated KPC_F orthotopic tumors, R2 and p values

indicate positive correlation across all tumors (gray, n=15) or limited to mock, CCR5i IR and

CCR5i aPD1 IR combination (red, n =9). (G) Correlation of trNK signature with CD8A (left) or

CD8B (right) in bulk RNAseq from TCGA_PAAD and binned into quartiles based on extent of

cDC1 involvement as assume by cDC1 signature.

Figure 7. (A) Overall survival analysis correlating CD56 low/high expression with and without

radiation therapy in the TCGA dataset. (B) Overall survival analysis correlating the

deconvoluted NK C1 signature split into low and high. (C) Overall survival analysis correlating

NK C1 low/high enrichment with and without radiation therapy in the TCGA dataset. (D)

Boxplot correlating low and high CCL5 expression with NK C1 enrichment score in the TCGA

(left) and CPTAC (right) datasets. (E) Overall (left) and disease-free survival (right) analysis of

CCL5high patients segregated based on high/low enrichment of trNK (NK_C1) gene signature.

(F) Overall survival of CCL5high segregated on CD56 (NCAM1) expression.

Figure 8. Correlation of tissue-resident NK cells gene signature in human cancer

The trNK cell gene signature is a positive prognostic factor across various malignancies using

the TCGA_PAAD dataset.

Figure 1

A

B

| CCL5 | MDSC | Tumour Associated Macrophages | Treg | Natural Killer |

C

Human SCS signature genes

G-MDSC	p-value	M-MDSC	p-value
G-MDSC SCS sig	ns	M-MDSC SCS sig	ns
S100P	0.0002	PLBD1	0.00017
IFITM2	***	VCAN	***
RNF24	**	SLC2A3	**
MME	**	S100A8	*
CXCR2	ns	CSF3R	ns
LIMK2	ns	FCN1	ns
GCA	ns	LYZ	ns
XPO6	ns	SELL	ns
ARG2	***	ARG2	***

TAMs	p-value
TAM SCS sig	ns
MMP12	***
CD80	*
IL1β	*
CCL13	*
APOE	ns
IL12RB1	ns
FLI1	*
SEPP1	*
GPX3	**

Treg	p-value
Treg SCS sig	ns
IKZF2	*
BLIMP1	*
CTLA4	ns
TIGIT	ns
GITR	ns
BATF	ns
IL2RA	ns
FOXP3	**
BACH2	***

NK	p-value
NK SCS sig	ns
GZMB	*
PRF1	*
CD16	*
KIR	ns
NKG2A	ns
SELL	ns
NKG2D	*
CCR7	*
CD56	0.0000091

Figure 2

Figure 3

Figure 4

Figure 5

Figure 6

Figure 7

Figure 8

trNK C1 signature pan-cancer