Overcoming Pitfalls when Estimating Variation in Parental Care: A Simulation Study

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Running headline: Estimating parental care

**Abstract**

1. **Background**. In many animal species, parents allocate resources to enhance

offspring survival and thereby reproductive success ('parental investment'). Parental

care behaviors are a crucial part of parental investment, and one critical question in

behavioral ecology is to which degree variation in parental care affects offspring

development and survival. Crucially, parental care behaviors vary with offspring age,

which creates non-trivial problems for statistical analyses, specifically when sampling

is incomplete or biased.

2. **Methods**. We conducted a simulation study to illustrate the problem when analyzing

average parental care behaviors while not correcting for offspring age ('conventional

approach'), and propose a modeling approach to correct for offspring age and

sampling biases. We simulated a set of different scenarios, varying with regard to

sample size, sampling scheme, and variation in parental behavior. To model parent

behavior as a function of offspring age, we fitted a Generalized Linear Mixed Model to

each simulated data set. We included offspring age as a fixed effect and extracted

Best Linear Unbiased Predictors (BLUPs) for the random intercept of parent identity.

These BLUPs represent the parental behavior corrected for offspring age. Finally, we

assessed how our proposed modeling approach compares to the conventional

approach.

3. **Results**. The proposed modeling approach was superior to the conventional

approach. More specifically, the conventional approach clearly overestimated the

variation between parents, and even diagnosed appreciable variation when there was

none in the simulated data. This bias was exacerbated in incomplete or unbalanced

sampling schemes.

4. **Conclusion**. Our simulation study clearly demonstrates the necessity of correcting for

offspring age in analyses of parental behavior. We strongly suggest including random

slopes of offspring age within parent-offspring dyads, because doing so can reveal

parent-specific trajectories of the modeled behavior against offspring age. Our

approach allows for the inclusion of further categorical and continuous predictor

variables that may affect parental behavior, such as parity or ecological conditions. In

summary, the proposed modeling approach has several advantages over

conventional methods.

Keywords:

BLUPs,

development,

maternal style,

Mixed Model,

parent-offspring,

parental care,

parental investment,

simulation study.

**Introduction**

In many animal species, parents allocate resources to their offspring to enhance offspring survival and reproductive success at the cost of their future reproduction. This resource allocation is known as 'parental investment' (Trivers, 1972). Parental investment theory conceives the investment as a continuous process, starting at conception, with a gradual shift in the return of investment as the offspring matures (Trivers, 1972), resulting in an increasing conflict between parent and offspring over the allocation of resources (Trivers, 1974). Parental investment theory seeks to explain the variation in the extent and nature of parental investment between species and individuals. Factors affecting variation in parental investment between individuals include the certainty of parent-offspring relatedness, the potential for future reproduction, and the availability and distribution of resources (Davies et al., 2012).

Investments that are provided directly to the offspring are known as parental care (Smiseth et al., 2012). Well-known forms of parental care include the incubation of eggs in birds (Porras-Reyes et al., 2021; Da et al., 2018), nest- and egg guarding in fish (Lindström, St. Mary, Pampoulie, 2006), and insects provisioning food for larvae (Capodeanu-Nägler et al., 2018). In primates, care for infants is particularly extensive. In addition to female nursing – as observed in all mammals – infants are carried and protected for prolonged times (Altmann, 1980; van Noordwijk, 2012). Evidently, parental care varies with offspring age (e.g., Hinde & Spencer-Booth, 1968; Nash, 1978). Any estimation of variation in parental care across species or individuals must therefore take offspring age into account.

The age-dependent variation in parental care poses the question of how to precisely estimate the extent of variation in parental contribution. For instance, if we were interested in how the time spent feeding young varies with parent sex, the variable 'time spent provisioning' will vary with offspring age. This link between the variable observed (time spent provisioning) and offspring age can create substantial problems, particularly if the age at

which offspring are observed varies between parent-offspring dyads. In such cases, when

offspring age is not taken into account, one would get biased estimates of parental behavior.

More specifically, variation between parents will be confounded with variation related to the

age at which offspring were observed (Fig. 1). Although the issues arising from the failure to

take offspring age into account are evident, there are several examples where the authors

did not consider variation in offspring age in their analyses (e.g., Fairbanks & McGuire, 1987;

Schino, D'Amato, Troisi, 1995; Bardi & Huffman, 2002; De Lathouwers & Van Elsacker, 2004;

but see Dura et al., 2018).

Fig. 1. Illustration of the effects of unbalanced sampling on the estimation of variation

between parents (depicted by different symbols) with regard to their propensity to show

parental care. Note that the two parents differ with regard to when they were observed during

offspring development. The thick line represents the average parental care. In (a), two

parents do not differ in their parental care, but parent A, depicted by round symbols, will be

assessed to have shown a higher parental care than parent B, represented by triangles, if

one ignores offspring age (b). In (c), the two parents differ in parental care, whereby parent A

performs less than average parental care, and parent B higher than average parental care.

However, if one would just average parental care irrespective of offspring age, one would

come to the opposite conclusion (d). In (e), the difference in parental care follows the same

pattern as in (c) but with a greater magnitude. This time, averaging parental care irrespective

of offspring age would lead to the conclusion that both parents perform the behavior to the

same extent (f).

Here we propose a novel modeling approach that solves this problem by modeling

parental care as a function of offspring age and the identity of the parent-offspring dyad. In

brief, we use a (Generalized) Linear Mixed Model with the parental behavior of interest as

the response, offspring age as a fixed effect, parent-offspring dyad as a random intercept,

and offspring age as a random slope. We then extract Best Linear Unbiased Predictors

(BLUPs; Baayen 2008) for the random intercept of parent-offspring dyad. These BLUPs are

then used as estimates of parental investment that are corrected for offspring age. The

BLUPs provide information on the extent to which individual parental investment is below or

above the average parental investment for a given age of the offspring.

We present a simulation study to illustrate the problem when using average parental

care while not correcting for offspring age and investigated to which extent the use of the

average investment ('conventional' method hereafter) might bias the assessment of variation

in parental care. To this end, we simulated different sampling schemes and different levels of

stochastic variation in the individual observations (see methods for details). Finally, we

examined whether and to which degree our proposed modeling approach alleviates the

above-mentioned problems.

**Methods**

*Simulation settings*

We conducted a simulation study to evaluate the performance of the 'conventional' and our

proposed 'modeling' method. We assumed uni-parental care and simulated a parental

behavior in which a parent shows this behavior towards their offspring 95% of the time after

birth/hatching and 5% of the time at the end of the considered period of offspring

development, here set to have a duration of 1 (Fig. 2, thick dashed line). We simulated two

scenarios concerning the variation between parents: in the first scenario (null scenario), all

parents showed precisely the same amount of parental care. In the second scenario, parents

differed in their care (variable parental care scenario, Fig. 2, thin dashed lines). The null

scenario allows us to assess to what extent the conventional and the modeling approach

give rise to "false positives"; that is, whether the results would indicate that parents differ in

their care when they do not. The variable parental care scenario, in turn, allows us to asses

to which extent the two approaches retrieve the simulated parent-specific propensities to

display the behavior.

Fig. 2. Simulated average parental behavior and variation between parents with regard to

their propensity to perform the behavior. The thick dashed line depicts the average parent as

simulated. Solid lines depict the magnitude of simulated variation among parents, of which

the thin dashed lines illustrate an example of 20 randomly chosen parents. The magnitude of

random variation between parents depicted arose from assigning parents a random intercept

effect sampled from a normal distribution with a mean of zero and a standard deviation of

0.75.

Furthermore, we simulated three different sampling schemes. In the first, each parent-

offspring dyad was observed over the entire period. The offspring ages at which dyads were

observed were identical for all parent-offspring dyads (Fig. 3a). In the second scenario, the

ages at which parent-offspring dyads were observed were randomly sampled during the

observation period (Fig. 3b). Lastly, we simulated a scenario in which each parent-offspring

dyad was observed for half of the total length of the considered period of offspring

development (Fig. 3c). In each of the three scenarios, the number of observations per

parent-offspring dyad was identical for each dyad. We further simulated two sample sizes

with regard to the number of parent-offspring dyads (N = 20 or 80 dyads) and two sample

sizes for the number of observations per parent-offspring dyad (N = 21 or 81 observations).

Finally, we simulated different magnitudes of stochastic variation of the actual observations

(precision parameter, see below).

Fig. 3. Illustration of the three different observation schemes we simulated. Each line

represents one parent-offspring dyad. Laying crosses depict days at which observations take

place. (a) Observation days take place at the exact same offspring ages across dyads; (b)

observation days are randomly distributed within the considered period of offspring

development; (c) observation days are randomly distributed within the considered period of

offspring development, but for each offspring, over a time span of at most half that period.

For the random sampling scenario (Fig. 3b), we sampled the age from a uniform

distribution between the minimum and maximum age per offspring. To model a scenario

where offspring are observed for only a part of the period under consideration ('constrained'

sampling; Fig. 3c), we randomly sampled the onset of the observation period per offspring

from the first half of the observation period and the remaining observations from an interval

between the onset age and the onset age plus 0.5 (assuming a uniform distribution in both

cases).

Once the ages at which offspring were observed had been simulated, we subtracted

0.5 from their age to ease the simulation (see method section *Estimation of variation in*

*parental investment* and discussion). We then simulated the parents' behavior, $b$, in link-

space (i.e., we first simulated $\log(b/(1-b))$, i.e., $\text{logit}(b)$). This approach allows the simulation

of a behavior that follows a trajectory in the form depicted in Fig. 2 (thick dashed line). In

addition, this approach allows to easily simulate parents with varying propensities to display

the behavior. To simulate varying parental investment, we randomly sampled one value for

each parent from a normal distribution with a mean of zero and a standard deviation of 0.75

(Fig. 2). The sampled values per parent were then added to the fixed effects intercept,

leading to variation of parents in their propensity to display the offspring directed behavior.

Hence, in link space, the behavior was simulated as

199                $\log(b_{ij}/(1-b_{ij})) = c_0 + c_1 \times \text{age}_{ij} + \text{normal}(0, 0.75)_j,$

where $j$ is the index of the $j^{th}$ parent-offspring dyad, $i$ is the $i^{th}$ observation of the $j^{th}$ parent-

offspring dyad, $b_{ij}$ is the proportion of time the parent of the $j^{th}$ parent-offspring dyad shows

the behavior in the $i^{th}$ observation (in link space), $c_0$ is the intercept, $c_1$ is the slope of the

behavior against offspring age, and $\text{normal}(0, 0.75)_j$ represents the value randomly sampled

from a normal distribution with a mean of 0 and a standard deviation of 0.75 which was

assigned to the $j^{th}$ parent-offspring dyad. In the next step, we transformed $\text{logit}(b)$ to a space

that represents the actual proportions, namely

207                $b = \exp(\text{logit}(b))/(1 + \exp(\text{logit}(b))).$

After that, $b$ was bound between 0 and 1, and the variation in the trajectories of different

parent-offspring dyads could, for instance, look as depicted in Fig. 2 (thin dashed lines).

Once the parent-offspring age-specific proportions had been determined, we sampled

values from a beta distribution (Bolker 2008). In addition to its mean, the beta distribution has

a precision parameter, *phi*, governing the magnitude of variation of the observations around

their mean. We chose values of 5, 10, 20, and 40 for *phi,* which leads to expected

distributions of the proportions as depicted in Fig. 4. Note that the larger the value of *phi*, the

smaller the magnitude of stochastic variation of the actual observations. In practice, the value

of *phi*, as estimated for empirical data, will likely depend on the duration of the focal periods

to a considerable extent: assuming the behavior under study is a state which usually lasts for

a while (such as nursing or carrying), then the magnitude of stochastic variation in the

observed proportions will likely be smaller when observations periods are longer.

Fig. 4. Illustration of the effect of the precision parameter *phi* on the shape of a beta

distribution. Depicted are the average proportions (y-axes) and how they depend on offspring

age (x-axes) in our simulations (thick solid line). Thin solid lines depict the density of beta

distributions for a given age (vertical dotted lines). Different plots show different values of *phi*.

Note that the larger the value of *phi*, the smaller the expected scatter of the actual

observations around the simulated mean.

*Estimation of variation in parental investment*

We analyzed each of the simulated data sets using two different methods, the conventional

and the proposed modeling method. First, we determined for each parent the average

proportion of time it showed the behavior across all observations (hereafter conventional

parent-specific investment). However, these averages per parent express the parent-specific

investment in response space, whereas the respective outcome of the modeling approach

(see below) expresses them in link space. To directly compare the estimates of parent-

specific investments and their variability between the conventional and the modeling

approach, we transformed average investments per parent to link space. That is, we

converted each average ('b') according to b'=log(b/(1-b)). The values of b' then served as

estimates of parent-specific investment in subsequent evaluations. For simulations under the

null scenario, we also determined the standard deviation of b' across parents separately for

each simulated data set.

Second, we modeled parent behavior as a function of offspring age. To this end, we

used a Generalized Linear Mixed Model (GLMM; Baayen 2008), fitted with a beta error

distribution and logit link function (McCullagh & Nelder 1989), separately for each simulated

data set. We modeled offspring age as a fixed effect and included the parent-offspring dyad

as a random intercepts effect and a random slope of offspring age (Schielzeth & Forstmeier

2009; Barr et al. 2013). We did not estimate a parameter for the correlation among random

intercepts and slopes, mainly because we simulated no random slope of age. Moreover, this

decision helped to reduce computation time and increase the probability of model

convergence. Before fitting the model, we subtracted 0.5 from age. This step has the effect

that the parent-specific estimates of their investment refer to an offspring of an age

corresponding to half of the considered period of development (which has relevance since

the model comprised a random slope of age; see discussion). From the fitted model, we

extracted Best Linear Unbiased Predictors (BLUPs; Baayen 2008) for the random intercepts

of parent-offspring dyads as estimates of the parent-specific propensities to display the

behavior (hereafter 'modeled' parental investment). We also extracted the standard deviation

estimated for the random intercept effect of parent-offspring dyad. The standard deviation

provides information about the estimated magnitude of variation in parental effort.

*Evaluation*

We first evaluated the null scenario simulations in which parents, by definition, did not vary in

their parental investment. To this end, we compared the estimated standard deviations for the

paternal investment obtained from the two approaches (all sampling schemes). Since we

simulated no variation in parental investment in this scenario, the estimated variation should

ideally be zero. Secondly, we determined the extent to which the estimated parent-specific

investments were correlated with the average age of the offspring (only random and

constrained sampling). To this end, we regressed the estimated parent-specific investments

against offspring age and determined the $R^2$ of the regression. We pooled across all

simulations with the same parameter setting for number of parent-offspring dyads, number of

observations per parent-offspring dyad, sampling scheme, and precision parameter *phi*. We

ran this analysis only for the random and constrained sampling schemes because the

average age of the offspring did not vary between dyads in the balanced sampling scheme

(see Fig. 3).

For the simulations under the variable parental investment scenario, we compared

the estimates of parent-specific investment with the simulated ones. We also determined how

much the estimates were explained by the average age of the offspring (only random and

constrained sampling). In the case of the balanced sampling scheme, we regressed the

estimates of the parent-specific investment against the simulated ones and determined the

$R^2$. In the case of random and constrained sampling, we regressed the estimates of the

parent-specific investment against the simulated ones and the average age of the offspring.

We determined partial $R^2$ for each of the two predictors. Again, we pooled the estimates of all

simulated data sets with the same respective parameter setting.

 *Implementation*

The simulation and analysis of the simulated data were implemented in R (version 4.3.1; R

Core Team 2023). For random sampling from uniform and beta distributions, we used the

functions runif and rbeta, respectively. We fitted the models using the function glmmTMB of

the equally named package (version 1.1.8; Brooks et al. 2017). We simulated 1000 data sets

for each of the 96 combinations of parameter settings with regard to simulation scenarios

(null scenario or variable parental investment scenario), sampling scheme (balanced,

random, or constrained), number of parent-offspring dyads (20 or 80), number of

**Results**

*No variation between parents*

When we simulated no variation between parents, the conventional method strikingly

overestimated the variation among parent-specific behaviors (Fig. 6; for detailed results, see

Supporting Information Fig. SI 1 to SI 4). This overestimation was lowest for balanced

sampling and highest for constrained sampling. Compared to the effects of the sampling

scheme, all other simulated parameters had relatively minor effects: the magnitude of

overestimation was slightly larger in case of 21 as compared to 81 observations per dyad,

particularly in case of random sampling. Furthermore, the standard deviation slightly

decreased with decreasing magnitude of stochastic variation in the observed behavior

(precision parameter *phi*). The number parent-offspring dyads had a relatively minor effect.

In the case of random and constrained sampling, the overestimation of variation in

parent-specific behavior was mainly driven by the varying ages at which offspring were

observed. We found that the parent-specific estimates of their behavior strongly correlated

negatively with offspring age (Fig. 7), whereby the correlation increased with increasing

precision parameter phi and was stronger for constrained than for random sampling (Fig. 7,

Fig. SI 5 to SI 12). The effects of sample size on the magnitude of the correlation were

relatively minor.

The modeling approach, in contrast, consistently estimated smaller standard

deviations of parental behavior which, on average, were essentially zero (Fig. 6, Fig. SI 1 to

SI 4). Nevertheless, also when modeling the magnitude of variation in parental behavior, we

found that the among-parent variation (which was simulated to be zero) was occasionally

overestimated. The sampling scheme had a relatively minor effect on the magnitude of

overestimation. Instead, the estimated standard deviations slightly decreased with an

increasing number of observations per parent-offspring dyad and with increasing precision

parameter *phi* (Fig. SI 1 to SI 4). When we modeled variation in parent-specific behavior, it

did not correlate with offspring age (Fig. 7, Fig. SI 5 to SI 12).

Figure 6. Overview of the results of the simulation when we simulated no differences between parents with regard to their behavior. Depicted are the average conventional (dashed lines) and modeled (dotted lines) estimated standard deviations of parental behavior (y-axes), separately for balanced sampling (open dots), random sampling (crosses), and constrained sampling (laying crosses), against the simulated precision parameters (x-axes). Each plot depicts the results for a given number of parent-infant dyads and number of observations per dyad. Detailed results are depicted in Fig. SI 1 to SI 4.

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Fig. 7. Explained variance ($R^2$) of regressions of estimated parental behavior against average

offspring age for different sample sizes, sampling schemes, precision parameters, and

estimation methods. The simulated data did not comprise any variation among parents with

regard to their parental behavior. Detailed results are shown in Fig. SI 5 to SI 12.

*Variation between parents*

Across all simulation parameter settings (i.e., each combination of sampling scheme, number

of parent-offspring dyads, number observations per dyad, and precision parameter *phi*) and

estimation method (conventional or modeling), the estimated parent-specific behaviors

correlated with the simulated ones (Fig. 8; for detailed results see Fig. SI 13 to Fig. SI 24). In

all combinations of parameter settings, we found a large variance explained by regressions

of estimated against simulated parental behavior (i.e., > 0.75.). Nevertheless, the variance

explained increased with decreasing magnitude of stochastic variation in the simulated

behavior (increasing precision parameter phi), was higher with a larger number of parent-

offspring dyads and also with a higher number of observations per dyad. Furthermore,

although in almost all parameter settings the differences between different sampling

schemes and the two estimation methods were relatively low, the variance explained by the

regression was clearly lower when applying the conventional method in the case of

constrained sampling combined with having only 20 parent-offspring dyads. Thus, in this

specific parameter setting the conventional method greatly underperformed compared to the

modeling method.

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Fig 8. Explained variance ($R^2$ or partial $R^2$) of regressions of estimated parental behavior

against simulated parental behavior for different sample sizes, sampling schemes, precision

parameters, and estimation methods (for more detailed results see Fig. SI 13 to Fig. SI 24).

The simulated parents varied in their parental behavior (i.e., varying-parent scenario).

Despite the clear correlation between the estimated and the simulated parent-specific

behavior, we also found that the conventionally estimated parent-specific behavior strongly

correlated with the average age of the offspring in case of random or constrained sampling

(Fig. 9, 10; for detailed results, see Fig. SI 17 to Fig. SI 24). Partial $R^2$ of conventionally

estimated parent-specific behaviors regressed against the average age of the offspring were

invariably at least ca. 0.5 in the case of random sampling and at least ca. 0.8 in the case of

constrained sampling (Fig. 10). Practically, this means that the parent-specific behavior was

overestimated for younger and underestimated for older offspring (Fig. 10, SI 17, SI 19, SI

21, and SI 23). In contrast, when modeling parent-specific behavior, we did not find such a

correlation between the average offspring age and the estimate of parent-specific behavior.

The respective partial $R^2$ were invariably about zero (Fig. 9, 10, SI 18, SI 20, SI 22, and SI

24), and a bias of the modeled parent-specific estimates by offspring age was usually not

recognizable. The only exception was the simulation with considerable stochastic variation in

the simulated behavior (precision parameter phi = 5) and constrained sampling. Here, the

parent-specific behavior was slightly overestimated for older and slightly underestimated for

younger offspring (Fig. SI 22). However, this bias was much smaller than the one we found

when using the conventional method (Fig. SI 21).

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Fig. 9. Estimated parental behavior (y-axes) and how it varied with simulated parental

behavior (x-axes) and average offspring age (colors). Depicted are results for two randomly

chosen simulated data sets (one with random (top) and one with constrained (bottom)

sampling) with 80 parent-offspring dyads, 21 observations per parent-offspring dyad, and a

precision parameter of 10. Note that the conventionally estimated parent-specific estimates

clearly correlated with average offspring age whereas the modeled estimates did not. For

detailed results see Fig. SI 17 to SI 24.

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Fig 10. Explained variance (partial $R^2$) of regressions of estimated parental behavior against

offspring age for different sample sizes, sampling schemes, precision parameters, and

estimation methods (for more detailed results Figures see Fig. SI 17 to Fig. SI 24). In the

simulation, parents varied with regard to their parental behavior.

**Discussion**

Overall, the conventional approach was much poorer than the modeling approach in

estimating variation among parental behavior. When we simulated no variation among

parents, the conventional method consistently overestimated the magnitude of variation

among parents. In contrast, the modeling approach did so only occasionally, and when it did,

to a lesser extent than the conventional approach. The overestimation of among-parent

variation by the conventional approach was largely driven by the fact that the estimates of

parent-specific behavior negatively correlated with offspring age in the case of random and

constrained sampling. But it was remarkable that this overestimation even happened in the

case of completely balanced sampling in which offspring did not vary at all concerning at

which ages they were observed. Also, when we simulated variation among parents with

regard to their behavior, the modeling approach was superior to the conventional approach.

This result was again mainly driven by random and constrained sampling leading to a

negative correlation between average offspring age and the conventionally estimated parent-

specific behavior. Hence, the message from our simulations is unequivocal: just averaging

parental behavior should not be used. We show that our modeling approach is far superior.

Importantly, we here used a parent behavior being a proportion as an example, but we do not

see any reason, why our results should be different with a behavior being, for instance, a

count, an unbound quantity, or binary. In the following, we briefly discuss some of the issues

that may arise when using the modeling approach we proposed here and flag some

potentially important points to keep in mind when using it.

**Inclusion of random slopes of offspring age**

The model we fitted to the simulated data included a random slope of offspring age within the

parent-offspring dyad. We did so for two reasons: first, even though we did not simulate such

a random slope to be present in the simulated parental behavior, it seems likely that any real

data comprise such a component. There is no reason to assume that parents vary in their

average parental behavior but not at all in the trajectories their behavior follows when the

offspring gets older. Second, it has been repeatedly shown that the failure to include random

slopes present in the observed response variable can lead to 'overconfident' models and

drastically inflated type I error rates (Schielzeth & Forstmeier 2009; Barr et al. 2013; Aarts et

al. 2015). Even though the model we proposed here does not capitalize on significance

testing, it should be best practice to include such random slopes whenever they are

theoretically identifiable.

Crucially, including a random slope of offspring age within parent-offspring dyad has

an important consequence on the assessment of parent-specific behavior. Specifically, a

random slope of a covariate like offspring age within the random effects factor parent-

offspring dyad is conceptually equivalent to their interaction. Thus, the parent-specific

trajectories of their behavior against offspring age might cross during offspring development

(Fig. 11). For instance, a parent that shows a relatively high care behavior (compared to

other parents with offspring of the same age) when its offspring is relatively young, might

show relatively little care (compared to other parents with infants of the same age) when its

offspring is older. It had thus been suggested to mean-center the covariates for which one

includes random slopes (here, offspring age; Araya-Ajoy et al. 2015). As a result, the BLUPs

are conditional on an offspring age which equals the average offspring age across the entire

data set (vertical line in Fig. 11). Practically this means that the estimates of parent-specific

behavior represent the differences between parents at a certain offspring age but not across

the entire period of offspring development considered (Fig 11; see the supporting information

for a potential ad-hoc *s*olution for extracting the estimated parent specific behavior in the

presence of a random slope of offspring age).

453                                                                                                                    Fig.

11. Effect of including a random slope of offspring age within parent ID on the assessment of

parent-specific behavior. Including the random slope has the effect that the model can reveal

parent-specific trajectories of the modeled behavior against offspring age (different lines).

Importantly, under such conditions, a parent can potentially no longer be unambiguously

characterized as showing a relatively high (or low) care behavior. For instance, the parent

depicted by the thick line displays the behavior relatively frequently (compared to other

parents with offspring of the same age) when its offspring is young and relatively rarely when

its offspring is older. When mean centering offspring age, then the BLUPs of parent—specific

behavior represents the relative care behavior for offspring of an age equaling the average

age across the entire data set (e.g., at the vertical line), but this is not necessarily equivalent

to their relative behavior over the entire period considered.

A side aspect of including a random slope of offspring age within parent is that one

could also estimate a parameter for the correlation between the parent-specific random

intercepts and slopes (e.g., Barr et al. 2013). The models we fitted to the simulated data did

not include such a parameter as we did not simulate one present in the response and

because we wanted to ease model convergence and reduce computation time. It is probably

advisable to include such a correlation parameter in any actual study for two reasons. First, it

seems plausible that such a correlation is present in the data. For instance, one could

imagine that parents that invest relatively much into their offspring also decrease their care

behavior faster as their offspring gets older compared to parents who invest relatively little

into their offspring. Second, although the effects of neglected correlation parameters are less

investigated than the effects of neglected random slopes and, so far, seem less severe (e.g.,

Schielzeth & Forstmeier 2009; Barr et al. 2013), there are still clear indications that

neglecting such correlation parameters can lead to overconfident models and type I errors.

Hence, we feel it should be a regular practice to include them.

*Estimating the effects of variables such as age or parity on parental behavior*

Frequently, estimating variation in parental behavior is not the goal of the analysis but rather

an intermediate step. The ultimate aim may instead be to examine the relationship between

parental behavior and other variables such as parent age, parity, brood or litter size, social

rank, weather, or food availability. Some of these predictor variables vary only between

parent-offspring dyads (e.g., parity), while others may change on a daily basis (e.g.,

temperature). Integrating such questions into the modeling approach proposed here is

straightforward, as it uses parental behavior as the response in a model that can well

comprise other predictors in addition to offspring age. In case one might want to estimate the

effects of parity and temperature on the proportion of time a parent stays in body contact with

its offspring, the model would be something like (in lme4/glmmTMB syntax):

proportion time in body contact ~ offspring age + parity + temperature + (1 + offspring age +

494                                  temperature | parent ID)

Note that the model would only have an appropriate random-effects structure when

each parent has only one offspring in the data (see below). In such a model, one would not

estimate the effect of, e.g., parity on an estimated measure of parental behavior but instead

directly estimate the effect of parity on the behavior considered. If, for instance, the fixed

effects estimate of parity is positive, this means that parents display the behavior the more

the more offspring they already have. If such a model reveals a random intercept of parent ID

or a random slope of offspring age not being essentially zero, this would give hints to parents

varying in offspring care beyond what is explained by parity (what might be considered

parental 'style') and/or the presence of effects other than parity that vary between parents,

which affect how much they invest into their offspring.

*Estimating the effects of parental behavior on variables such as offspring growth or survival*

In the previous section, we addressed how one could model the effects of other variables on

parental behavior. Still, one might also be interested in how parental behavior affects the fate

of the offspring (e.g., its growth, survival, or lifetime reproductive success). A simple but not

very satisfactory solution would be first to model parental behavior as proposed here, extract

the parent-offspring-specific estimates of their behavior (e.g., BLUPs for the random intercept

of parent-offspring dyad), and then use them as predictors in subsequent analyses, such as

offspring survival models. Such an approach is not very satisfactory because it takes the

point estimates for the BLUPs as a given and neglects the uncertainty associated with them

(Houslay & Wilson 2017). A preferable solution would be to propagate their uncertainty into

the follow-up analysis. Ideally one would integrate both steps into a single model; that is, to

model parent behavior as proposed here and at the same time model the effect of parent-

specific behavior on the offspring's fate (e.g., survival). Unfortunately, to our knowledge, a

simple 'canned' solution for such an analysis is not available in packages written for the

statistical language R. Yet, stan (Stan Development Team 2023), a language for Bayesian

analysis to which R interfaces, should make such an analysis possible.

*Multiple variables of parental behavior*

Frequently, researchers investigating parental behavior have data for several variables,

which they consider potentially informing about 'parental style'. The question is how to

proceed with the analysis in such a case. First, researchers should ensure that the variables

entered in the analysis occur sufficiently frequently. Second, not all variables necessarily

reflect the same latent variable. For instance, the proportion of time parent and offspring are

in body contact and the number of times the parent rejects an offspring's attempts to initiate

body contact might not be driven by the same underlying process and may thus follow

different trajectories. It is possible to model the trajectories of several variables of parental

behavior simultaneously in the framework of an R package for Bayesian implementations for

mixed models, and in the stan environment, this should even be possible for variables of

parental behavior which would need different error distributions (e.g., proportions and

counts).

*Non-linear trajectories*

When studying the magnitude of parental behavior as a function of offspring age, one must

be aware that a simple linear model might not be an appropriate solution. This is because the

linear model is linear in link space, imposing clear limitations about which functional

relationships it can deal with and reveal. For instance, a standard linear model with a count

response that is fitted with a log link function (usually the default of Poisson and negative

binomial models) does not have an upper asymptote and always has a lower asymptote of

zero. A lower asymptote of zero, however, might not be able to capture how the frequency of

a behavior develops as an offspring ages. For instance, many primates are female

philopatric, which means that female offspring stay in their natal group and hence have the

possibility to interact with their mothers for a potentially large part of their adulthood. Now

assume a behavior like a friendly approach, which mothers might commonly show when their

offspring is young and which becomes rarer with increasing offspring age but never goes

down towards zero. A standard linear count model with a log link function will not correctly

capture such a trajectory (Fig. 12). Equivalently, standard logit link linear models, which are

typically used for response variables that are proportions or binary, always have a lower

asymptote of 0 and an upper asymptote of 1, which might not allow capturing the trajectory of

the behavior appropriately as the offspring age increases. In such cases, one will need a

non-linear model.

Finally, it is possible that certain parental behaviors are particularly common when the

offspring has an intermediate age. For instance, a parent might provide more food to an

offspring when it has an age about half-way between birth or hatching and independence.

Such trajectories in which the behavior peaks at an intermediate offspring age may be

modeled by including offspring age squared in addition to offspring age. However, care must

be taken that such a model appropriately captures the actual offspring age-dependent

trajectory of behavior. If it does not, one will again need a non-linear model. Setting up and

fitting non-linear mixed models is a world of its own and beyond the scope of this paper.

Nevertheless, we expand on the issue a little more in the Supporting Information.

Nevertheless, we believe that in many cases, a linear model provides a sufficiently accurate

approximation.

Fig 12. Actual and estimated effect of offspring age when using a standard linear model in a situation in which it is not appropriate. Dots depict the (simulated) parent behavior and the dashed line its offspring age dependent trajectory. When the offspring is young, the behavior occurs at relatively high rates and as the offspring reaches adulthood the rate fairly rapidly approaches an asymptote of one occurrence per hour. A standard count model fitted to these data reveals the relationship depicted by the solid line, which is not capturing the actual effect.

Parents with more than one offspring present in the data

A further aspect worth being considered is how to proceed with data comprising several offspring per parent. Such data could, for instance, arise from long-term studies in which parental behavior is observed for several consecutive offspring per parent. The model to be fitted then has to include a random effects factor for the identity of the parent and also one for the identity of the offspring (both associated with appropriate random slopes). The random effect of parent ID informs about the potential within-parent consistency that persists across multiple offspring, while the random effect of offspring ID accounts for offspring-to-offspring variation in parental behavior of the same parent towards different offspring. Importantly, from the perspective of the offspring, the parental 'style' it receives is best characterized by the addition of the BLUPs obtained for its parent and the offspring itself.

## Concluding remarks

Concluding remarks

The conventional way of characterizing parental behavior is usually seriously biased as it

does not consider the fact that parental behavior towards an offspring usually correlates with

offspring age. As an alternative, we have presented a modeling approach to estimate

parental behavior towards an offspring such that is not confounded by offspring age. Our

approach is simple to apply in many situations, but it also has certain limitations one needs to

be aware of when using it. Irrespective of these limitations, our modeling approach reveals

estimates of parent specific behaviors that are not affected by average offspring age and

greatly outperforms the conventional method when estimating parental care behavior.

Conflicts of interest

The authors declare no conflicts of interest.

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