Main Manuscript for

The Evolution of Heteroresistance via Small Colony Variants in *Escherichia coli* Following Long Term Exposure to Bacteriostatic Antibiotics

Teresa Gil-Gil[1, §], Brandon A. Berryhill[1,2, §], Joshua A. Manuel[1], Andrew P. Smith[1], Ingrid C. McCall[1], Fernando Baquero[3], Bruce R. Levin[1, *]

[1] Department of Biology, Emory University; Atlanta, Georgia, 30322, USA.

[2] Program in Microbiology and Molecular Genetics, Graduate Division of Biological and Biomedical Sciences, Laney Graduate School, Emory University; Atlanta, GA, 30322, USA.

[3] Hospital Universitario Ramón y Cajal, Instituto Ramón y Cajal de Investigación Sanitaria, and Centro de Investigación Médica en Red, Epidemiología y Salud Pública (CIBERESP) Madrid, Spain.

§ These authors contributed equally to this work.

*Corresponding Author

Email: blevin@emory.edu

Author Contributions:
Conceptualization: TGG, BAB, JAM, FB, BRL
Methodology: TGG, BAB, JAM, ICM, BRL
Investigation: TGG, BAB, JAM, APS, ICM
Visualization: TGG, JAM
Funding Acquisition: BRL
Project Administration: BRL
Supervision: BRL
Writing– Initial Draft: TGG, BAB, JAM, APS, FB, BRL
Writing– Review & Editing: TGG, BAB, JAM, APS, ICM, FB, BRL

Competing Interest Statement: The authors have no competing interests.

Keywords: Heteroresistance, antibiotic resistance, bacteriostatic antibiotics, evolution, small colony variants, Escherichia coli

This PDF file includes:
> Main Text
> Figures 1 to 7
> References

Abstract

Traditionally, bacteriostatic antibiotics are agents able to arrest bacterial growth. Despite being traditionally viewed as unable to kill bacterial cells, when they are used clinically the outcome of these drugs is frequently as effective as when a bactericidal drug is used. We explore the dynamics of *Escherichia coli* after exposure to two ribosome-targeting bacteriostatic antibiotics, chloramphenicol and azithromycin, for thirty days. The results of our experiments provide evidence that bacteria exposed to these drugs replicate, evolve, and generate a sub-population of small colony variants (SCVs) which are resistant to multiple drugs. These SCVs contribute to the evolution of heteroresistance and rapidly revert to a susceptible state once the antibiotic is removed. Stated another way, exposure to bacteriostatic drugs selects for the evolution of heteroresistance in populations previously lacking this trait. More generally, our results question the definition of bacteriostasis as populations exposed to bacteriostatic drugs are replicating despite the lack of net growth.

Main Text

Introduction

Antibiotics can be broadly classified as being bactericidal or bacteriostatic based on whether they kill bacteria or simply arrest their growth [1]. However, it is known that at high drug concentrations bacteriostatic drugs can and do kill bacteria. Intuitively, it would make sense to treat an infection with drugs that readily kill the infecting bacteria, the bactericidal drugs, and thereby eliminate the reliance on the host's immune system to clear the infection, as would be the case with bacteriostatic antibiotics. For this reason, bacteriostatic drugs have been considered "weaker" than bactericidal drugs and are not recommended for the treatment of severe infections or infections in immunodeficient patients [2,3].

This distinction between bactericidal and bacteriostatic drugs is manifest in quantitative experimental studies of the pharmacodynamics (PD) of antibiotics and bacteria. These studies focus on the rates and dynamics of the drug's ability to kill exposed populations of bacteria [4-7]. Many of the studies concerning why antibiotics fail to control bacterial infections have focused on phenomena solely belonging to bactericidal antibiotics such as persistence and tolerance, that is the ability to survive exposure to high concentrations of an antibiotic of a subpopulation or the whole bacterial population, respectively [6,8-10]. These traits are qualities only associated with bactericidal drugs: the bacteriostatic drugs do not kill, and consequently the minority surviving or slower-dying populations are not revealed. With much of the clinical application of antibiotics focusing on bactericidal drugs and the majority of the research on the PD of antibiotics and bacteria also focusing on bactericidal drugs, PD research on bacteriostatic drugs has been relatively neglected.

However, in recent years, clinicians have given less importance to the antibiotic's ability to kill bacteria *in vitro* and instead have focused on the outcome of treatment with these drugs. By this criterion, in many cases bacteriostatic antibiotics are as effective as bactericidal even in severe infections, with the possible exception of immunosuppressed patients [2,11]. The increase in use of bacteriostatic antibiotics could reduce the selection pressure for resistance to bactericidal agents used in these critical infections. However, the shift to using bacteriostatic antibiotics requires the development of quantitative measures of the PD of antibiotics that arrest the growth of, rather than kill, bacteria [12]. One cannot solely characterize the PD of bacteriostatic antibiotics by the minimum concentration required to prevent the replication of exposed bacteria, the MIC [13]. Furthermore, the evolution of genomic resistance for these agents is rare and the resistant traits tolerance or persistence that occur in bactericidal antibiotics are difficult to define and perhaps impossible to detect with these bacteriostatic agents [14,15]. This raises questions about the population and evolutionary dynamics of bacteria confronted with these drugs. If the bacteria exposed to bacteriostatic antibiotics are not replicating, one would not expect them to evolve. Therefore, more considerations of the pharmaco-, population, and evolutionary dynamics of bacteriostatic antibiotics are needed both academically and clinically.

In this study, we explore the pharmaco-, population, and evolutionary dynamics of *Escherichia coli* exposed to two bacteriostatic antibiotics of different classes, chloramphenicol (CHL) and azithromycin (AZM), over 30 days. While resistance did evolve over the 30 days, we did not detect any previously described resistance mechanisms, which include antibiotic efflux, decrease in membrane permeability, or antibiotic inactivation for CHL [16]; nor the overproduction of efflux pumps or mutations in genes encoding the 23S rRNA subunit for AZM [17]. The results of our experiments provide evidence that: (i) long-term exposure to these ribosome-targeting bacteriostatic antibiotics does not change the net density of exposed populations, (ii) despite the fact that the population's net density does not change, bacteria exposed to these drugs replicate, evolve, and generate small colony variants, and (iii) the selective pressure mediated by these drugs favors the evolution of heteroresistant populations, i.e. the emergence of resistant minority populations, in a strain previously lacking this trait.

Results

Long Term Exposure to Bacteriostatic Antibiotics

We begin our investigation into the effects of long-term exposure to ribosome-targeting
bacteriostatic drugs by evaluating the impact that these agents have on bacterial survival
over 30 days. We exposed four independent cultures of ~10^5 CFU/mL of *E. coli* MG1655
in glucose-limited minimal media to super-MIC (Minimum Inhibitory Concentration)
concentrations of CHL and AZM for 30 days without transferring or diluting (Figure 1).
One thousand μg/mL of glucose was used since this concentration allows cultures to
grow to maximum stationary densities while avoiding nutrient limitation. The MIC of
CHL and AZM with MG1655 were estimated by broth microdution in this glucose-
limited minimal media and found to be 6.25 ug/mL for both drugs [13]. Super-MIC
concentrations of each drug that were shown to be bacteriostatic with minimal killing
and/or growth were used in the experiment (Supplemental Figure 1). Cultures exposed to
these drugs were sampled every 5 days. We observed that over the course of the
experiment, the control cultures containing no drugs reached their maximum stationary
phase density of approximately 10^9 CFU/mL and then went down by approximately two
logs over the course of 30 days, while the densities in the cultures containing the drugs
remained stable, with at most a half-log change in density in the drug-treated populations.
Notably, in drug-treated cultures where the bacteria were not lost, two distinct colony
morphologies emerged. The colonies were either similar to the ancestral wild-type *E. coli*
or were much smaller bacterial colonies, small colony variants (SCVs). This evolution
occurred while under strong selective pressure from these drugs. There was no change in
colony size in the drug-free controls, with a limit of detection of 10^{-3} SCVs per colony of
the ancestral population. These SCVs started to emerge at day 10 of the long-term
experiment in cultures exposed to CHL and at day 15 in cultures exposed to AZM. Their
frequency increased from 2-5% when they were first observed in the presence of both
antibiotics to 10-44% in CHL and 10-50% in AZM by day 30. To assess the maintenance
of the activity of the antibiotics after 30 days, bacteria resistant to the antibiotic in each
culture was added at approximately 10^6 CFU/mL and over the course of 24 hours each
culture grew approximately three orders of magnitude; while when the susceptible, wild-
type *E. coli* strain was added to these 30 days supernatants it was not able to increase in
density (Supplemental Figure 2). This residual growth indicates that at 30 days the
antibiotic is still at a super-MIC concentration and thereby is the limiting factor for
growth in the long term experiments. Moreover, 0- and 30-day supernatants were filtered,
and the amount of antibiotic present tested through a disk diffusion assay. The diameter
of both time points was 1 cm for the CHL and 2.8 cm for the AZM supernatants
confirming the stability of both antibiotics during the long term experiments.

To determine how evolution is occurring in the apparent absence of net growth in the
presence of the antibiotic, we performed a long term experiment using a conditionally
non-replicative plasmid [18] to identify if growth is occurring at the same rate as death
(Figure 2). After 10 days the plasmid frequency decreased by 100-fold, and after 20 days
the plasmid frequency was near the limit of detection. That means that the plasmid
containing cells are progressively diluted (as each cell division gives rise to a plasmid-

free descendant) and indicates that the population is growing, replicating at least once a
day and dying at the same rate. To explain how these results are consistent with the
hypothesis that is occurring at the same rate as death, we constructed a mathematical and
computer-simulation model of the plasmid being lost both with and without bacteriostatic
drugs (Supplemental Text, Supplemental Equations 1-4, and Supplemental Figure 3).
128

129
Fig 1. Long term exposure of *E. coli* to bacteriostatic drugs. Density in CFU/mL of *E.*
coli MG1655 measured every 5 days for 30 days of 4 independent biological replicas (I-
IV). **(A)** *E. coli* exposed to 4x MIC CHL; **(B)** *E. coli* exposed to 3x MIC AZM; **(C)**
Drug-free control.

Fig 2. Long term experiment with a non-replicative plasmid. Ratio of the plasmid-
containing cells to the total number of cells in CFU/mL of *E. coli* MG1655 with the non-
replicative plasmid pAM34 which bears an ampicillin resistance cassette. The total cell
density and density of cells bearing the plasmid were measured every 10 days for 30 days
of 4 independent biological replicas in four conditions: i) minimal media with CHL, ii)

minimal media with AZM, iii) minimal media with ampicillin– maximal plasmid
retention, iv) minimal media with no antibiotic– maximal plasmid loss. In the absence of
CHL or AZM the initial cultures can only grow two logs until arriving to a maximum
stationary phase, explaining the maximum loss of two log in the absence of ampicillin.
145
146 **Small Colony Variants Characterization**
147
To determine what these SCVs are, we isolated 6 independently generated SCVs of
MG1655 (CHL A, CHL B, CHL C, AZM A, AZM B, and AZM C) and characterized
them phenotypically and genotypically. Firstly, to determine if the SCVs are a form of
resistance that has emerged over the long-term experiment, their MIC to the drugs they
were previously exposed to (Figure 3). Each SCV showed at least an 8-fold change over
the ancestral MG1655's MIC. On the other hand, normal-sized colonies from each
culture were isolated and changes in MIC respecting the ancestral MG1655 were not
observed in any of them. Each SCV has a distinct antibiotic susceptibility profile in terms
of collateral susceptibilities and cross-resistances (Supplemental Figure 4).
157
Notably, although these SCVs are resistant to the bacteriostatic antibiotic to which they
were exposed, there is very little net growth in the long term culture over the course of 30
days. To determine why a marked increase in density does not occur despite the evolution
of resistance, we performed OD-based growth experiments of the SCVs with different
concentrations of antibiotics. We found that even though these mutants are resistant, their
growth rates and the maximum optical densities decreased proportionally to the drug
concentration and their lag time was substantially increased (Supplemental Figure 5).
This result is consistent with previous observations [13].
166
Antibiotic resistance in the small colonies obtained from both CHL and AZM cultures
was unstable, that is, when streaked on LB plates without the drug the MIC of the SCVs
decrease. After the genotypic characterization of these SCVs we do find genetic
differences in most of them (Supplemental Table 1), but we could not find a clear
mechanism that would explain this resistance. While we could not identify causal genetic
differences between the SCVs and their ancestor MG1655, since both CHL and AZM
prevent translation, we sought to determine if there were differences in the rate or total
level of translation. Testing the level of a constitutively expressed enzyme, beta-
galactosidase, we found that the six SCVs had higher levels of translation than the
background MG1655 (Figure 4). Moreover, we found that the rate of translation
decreases in a concentration-dependent manner to both CHL and AZM (Supplemental
Figure 6). This is consistent with recent observations indicating partially compromised
translation as a result of exposure to tetracycline, a translational inhibitor, which
potentially accounts for the collateral susceptibility to this drug observed in Supplemental
Figure 4 [19]. Therefore, at the drug concentrations used in the long-term experiments,
these SCVs are readily selected for over the ancestor, not only for their level of antibiotic
resistance but also for their level of translation, explaining their ability to increase in
frequency when rare.
185

186
187
188 **Fig 3. MIC of the SCVs to their respective drugs.** Three SCVs were isolated from each
189 condition from day 30 of the long term experiment, grown up in 1.5x *E. coli* MG1655's
190 MIC for these respective drug, and then E-tested. Fold change respecting the MIC of the
191 final evolved control populations, whose MIC was 6.25 µg/mL as it was at the start of the
192 long-term experiment.
193

194
195 **Figure 4. An assay of beta-galactosidase as a proxy for translation level.** The beta-
196 galactosidase levels as determined by absorbance for the ancestral *E. coli* MG1655 and
197 the six SCVs. Shown are the means and standard deviations of three independent
198 biological replicates.
199

Heteroresistance
Antibiotic heteroresistance (HR) is defined as, "a phenotype in which a bacterial isolate
contains subpopulations of cells that show a substantial reduction in antibiotic
susceptibility compared with the main population", and is detected via a population
analysis profile (PAP) test [20]. The revertant populations obtained from these SCVs have a
lower MIC than those of their small colony ancestor. These revertant populations are

capable of rapidly regenerating the SCVs, which have a higher MIC – meeting the
definition of antibiotic HR. The ancestral *E. coli* MG1655 is not capable of generating
resistant subpopulations, as shown via PAP test (Supplemental Figure 7 Panels A and B).
In Figure 5, we show PAP tests of CHL B (Panel A) and AZM C (Panel B). Both SCVs
are shown to be heteroresistant based on the above criteria. Moreover, highly resistant
colonies isolated from these PAP tests were found to be unstable and revert to the initial
SCV state in 15 days, as shown by a decrease in the MIC (Fig. 3) as well as a decrease in
the frequency of antibiotic-resistant subpopulations (Fig. 5). As shown in Supplemental
Figure 7 Panels C and D, all SCVs obtained from the long term experiment meet the
criteria for HR. Pursuing the genetic basis of this reversion we performed a genotypic
characterization of these revertants. We did find extra mutations in CHL B and AZM B
(Supplemental Table 2), but once again we did not find mutations that can explain
reversion.
219

220
221 **Fig 5. PAP tests of a CHL and an AZM SCV. (A)** PAP tests of a CHL SCV (blue line),
222 the most resistant isolate of this clone (green line), and the most resistant isolate after
223 being grown without antibiotic pressure for 15 days (orange line). **(B)** PAP tests of an
224 AZM SCV (blue line), the most resistant isolate of this clone (green line), and the most
225 resistant isolate after being grown without antibiotic pressure for 15 days (orange line).
226
227 **Mathematical Model and Computer Simulations**
228
To explore the dynamics of the emergence of the SCVs and subsequently the evolution of
HR described in the above experimental results, we constructed a mathematical and
computer-simulation model. In particular, we are interested in explaining the timeframe
and term in which the SCVs arise, why the SCVs fail to ascend to a nutrient-limited
density, and why the evolution of HR is stable. In Figure 6 we depict the model
graphically and in the Supplemental Text and Supplemental Equations **5-11** we describe
the model and its equations.
236

237
238 **Fig 6. Diagram of a semi-stochastic model of the evolution of HR.** The variables N, S,
239 and H are, respectively, the wildtype *E. coli* MG1655, SCVs, and the heteroresistant
240 bacteria in cells/mL. The parameters μ_{ns}, μ_{sn}, μ_{sh} and μ_{hs} are the transition rates, per cell
241 per hour, between the different states.
242

In Figure 7A we illustrate how the presence of an antibiotic selects for the emergence and
ascent of resistant SCVs and a heteroresistant population from an initially wild-type
population. In Figure 7B, we show that an initial population of SCVs when grown
without antibiotics will rapidly transition and give rise to a heteroresistant population
which ascends and becomes limited by the resource. In Figure 7C, we show that exposing
the heteroresistant population to the drug more rapidly selects for the emergence and
dominance of reistant SCVs than when exposing the wild type population to the same
concentraton of the drug. In Figure 7D, we show the changes in average MIC for the
three scenarios depicted in panels A, B, and C. In Supplemental Figure 8 we show the
predicted dynamics of the above model with differing concentrations of the treating
antibiotic. Notably, the emergence of the SCVs and HR is dependent on this
concentration—critically, the drug concentration cannot exceed the most resistant
population. For a more detailed consideration of this result and the impact that having
multiple resistant states has on the emergence of HR, see [21]. Additionally, in
Supplemental Figure 9, we explore the sensitivity of our model to the governing
parameters: the transitions rates and relative fitness costs. Variations in the transition
rates from the wild-type to the SCVs (Supplemental Figure 9A and B) simply alter the
rate at which SCVs emerge and dominate, without changing the overall outcome.
Similarly, adjusting the transition rates from SCVs to the heteroresistant population
(Supplemental Figure 9C and D) only affects the frequency and timing of SCV
dominance. Lastly, differing fitness costs of the SCVs (Supplemental Figure 9E and F)
merely impact the time required for SCV dominance. Together, these mathematical

simulations illustrate that our observations hold true across a wide range of biologically
meaningful parameter values.

Figure 7. Computer simulations of the proposed model of evolved HR. Parameters
used for these simulations are $e= 5 \times 10^{-7}$ µg/cell; $v_{maxN} = 1.0$, $v_{maxS} = 0.5$, $v_{maxH} = 1.0$ per
cell per hour; $v_{min} = -0.01$ per cell per hour; K=1; k=1; MIC_N=1.0, MIC_S=8.0, MIC_H=2.0
µg/ml; da= 0 µg/hour; $\mu_{ns}=1 \times 10^{-8}$, $\mu_{sn}=1 \times 10^{-8}$, $\mu_{sh}=1 \times 10^{-3}$, $\mu_{hs}= 1 \times 10^{-3}$ per cell per hour.
Note, points which do not appear to have error bars have standard deviations which are
too small to be graphed. **(A)** H and S selection from an initial sensitive population.
Parameters are N=1x10^6 cells/mL; A=3 µg/mL. **(B)** H selection from an initial SCV
population in the absence of the drug. Parameters are S=1x10^6 cells/mL; A=0 µg/mL. **(C)**
S selection from an initial H population in the presence of the drug. H=1x10^6 cells/mL;
A=3 µg/mL. **(D)** Changes in the average MIC of the system over the simulations shown
(A, B, and C).

280
281

Discussion

The canonical distinction between bacteriostatic and bactericidal antibiotics has deeply influenced their clinical usage. Traditionally, bacteriostatic antibiotics were considered "weaker drugs", but this traditional view is questionable. Drugs which are classified as bacteriostatic can and do kill bacteria in a concentration dependent manner [22]. Moreover, meta-analysis studies do not demonstrate differences in the clinical success of therapy with either types of drugs even in severe infections [11]. Indeed, bactericidal agents could be reserved for life-threatening infections, particularly in immunocompromised patients or those suffering from chronic infections. A more extended use of bacteriostatic drugs could be beneficial to spare the use and overuse of bactericidal antibiotics which fosters resistance. A limitation to progress in the extended use of bacteriostatic drugs is the shortage of pharmacodynamic (PD) data with these drugs.

The distinction between bacteriostatic and bactericidal antibiotics is confounded by another factor: The primary cellular and molecular targets do not necessarily differ between these classes of drugs. Several bacteriostatic antibiotics have mechanisms of action that one would anticipate being bactericidal: mecillinam and cefsulodin inhibit cell wall synthesis [23]; novobiocin inhibits DNA gyrase [24]; and rifampin inhibits the DNA-dependent RNA polymerase which is bacteriostatic for *E. coli* and bactericidal for *Mycobacterium* [25,26]. Most notably, drugs which target the ribosome and inhibit protein synthesis can be either bactericidal (such as gentamicin or tobramycin) or bacteriostatic (such as the macrolides, phenicols, tetracyclines, oxazolidinones, and spectinomycin) [27]. Interestingly, the potential bactericidal effect of one of the ribosome-targeting drugs, chloramphenicol, is prevented by the production of (p)ppGpp in the exposed cells [28]. This suggests that the difference between a bacteriostatic drug and a bactericidal one is a property of the treated cell rather than the antibiotic [29]. All the above examples illustrate the need for a better understanding of the PD, population biology, and evolutionary biology of treatment with bacteriostatic antibiotics.

In this study, we present evidence that bacteriostatic drugs of two different classes (the phenicols and macrolides) inhibit the growth of *E. coli* for extended periods, i.e. 30 days, and moreover maintain the culture in a kind of stationary phase where the density of viable bacterial cells is stable. Although, unlike stationary phase we found there to be an abundance of the limiting resource, implying that the cultures remain drug-limited even after a month. Most interestingly, despite the fact that the bacteria in the population appears to not be replicating due to the lack of net growth, evolution still occurred. A population of small colony variants (SCVs) emerged and ascended to become a significant population of bacteria. We attribute this evolution to the fact that even though the population at large was neither increasing nor decreasing in the cultures with bacteriostatic drugs, the population was replicating at a rate roughly equal to that at which it was dying. This finding is unanticipated and inconsistent with the common perception that bacteriostatic drugs simply arrest bacterial growth. This result questions the definition of bacteriostasis.

Our mathematical and computer-simulation model demonstrates that in the presence of
an antibiotic, even when starting with an antibiotic susceptible population that generates
resistant sub-populations at an extremely low rate, the resistant sub-population will
ascend to dominate. When the selection pressure is removed this resistant sub-population
will increase in susceptibility, however the population which then emerges to dominant is
not the initial antibiotic susceptible population but is instead a population which readily
generates resistant sub-populations. Stated another way, our model illustrates and our
results confirm how HR can evolve in a population otherwise lacking this trait.
335
These SCVs were found to be highly resistant not only to the challenging agents, but
even to some types of bactericidal agents, such as aminoglycosides and rifampin.
Curiously, the resistance of the SCVs to chloramphenicol (CHL) and azithromycin
(AZM) are not due to the canonical resistance mechanisms [16,30]. SCVs have been
implicated in treatment failure, primarily in *Staphylococcus aureus*, but there are limited
reports of SCVs being associated with treatment failure in *E. coli* [31,32]. Although SCVs of
E. coli have been observed for a long time, they are under described both in their genetic
basis and their role in bacterial communities. Two clinical isolates of *E. coli* SCVs have
been studied, both of which have distinct metabolic disruptions by mutations in heme and
thymidine biosynthesis pathways [31,32]. Lab-generated SCVs have been described with
mutations in Q8, hemin, and lipoic acid biosynthesis [33-35]. However, none of these
pathways appear to be disrupted in our SCVs.
348
We have yet to determine the genetic and molecular basis of the observed SCVs, but they
appear to be distinct from the previously described mechanisms [33-37]. Certain mutations
observed here (Supplemental Table 1) might account for changes in MICs in the SCVs.
For instance, in the case of AZM-induced SCVs, missense variants of the *citG* gene,
encoding the 2-(5"-triphosphoribosyl)-3'-dephosphocoenzyme-A synthase, were
consistently found. This mutation might alter members of the GntR family of
transcriptional regulators which could influence the DNA-binding properties of the
regulator resulting in repression or activation of transcription, or could directly impact
ATP synthesis [38], leading to the generation of the SCVs. We did find extra mutations in
CHL C, AZM A, and AZM C involving the AcrAB efflux pump, 50S ribosomal proteins
L4 and L22, and the Lon protease, all of which can modify the antibiotic susceptibility.
The mutations in AZM C found in acrAB could interfere with AZM membrane transport
[39]. The mutations found in CHL C and AZM A in the gene encoding the 50S ribosomal
protein L4 (a known chloramphenicol binding site) and L22, respectively, are a known
mechanism of macrolide resistance [40,41]. The mutation in the Lon protease in AZM A is
frequently associated with changes in susceptibility as well [42]. Taken together, these
changes could account for the increase in the MIC to AZM and CHL but cannot account
for the emergence of the SCVs. We found a mutation in a CHL-induced SCV in the gene
encoding the Tyrosine-tRNA ligase. This mutation could account for the generation of
SCVs, since inhibitors of this ligase strongly decrease bacterial growth, but this mutation
was only found in one of the six SCVs [43]. Most interestingly, we were unable to find any
SNPs in one of the isolated CHL SCVs. However, all mutations found in the antibiotic-
resistant SCVs were also found the in the antibiotic-suspectable revertants (Supplemental
Table 2), thus even if the mutations presented could account for the transition to

resistance, they cannot account for the transition to susceptibility. This is also true of the
transition to and from the SCV phenotype. We cannot exclude that the transition from
normal cells to SCVs could be due to a kind of "structural epistasis" (not a genetic one)
due to the altered interactions between intracellular organelles and molecules when the
shape of the cell is altered by antibiotics [44].
378
Unexpectedly, the antibiotic resistance observed here is transient, as would be anticipated
for heteroresistance (HR), suggesting a high fitness cost of the mutations detected. In
support of this HR hypothesis we found that these SCVs meet all the criteria set forth for
HR: there are subpopulations present at a frequency greater than 10^{-7}, with an MIC higher
than 8x that of the main population, and reversion of the resistant subpopulation occurs in
short order [21]. To our knowledge, this is the first report of both the spontaneous evolution
of HR as well as HR to bacteriostatic drugs.
386
387
388

Materials and Methods

Bacterial Strains. *E. coli* MG1655 was obtained from the Levin Lab bacterial collection [13]. pAM34 with the origin of replication under control of an IPTG promoter and an ampicillin resistance cassette was obtained from Calin Guet from IST Austria [18].

Growth Media. LB (Lysogeny Broth) (244620) was obtained from BD. The DM (Davis Minimal) minimal base without dextrose (15758-500G-F) was obtained from Sigma Aldrich (7 g/L dipotassium phosphate, 2 g/L monopotassium phosphate, 0.5 g/L sodium citrate, 0.1 g/L magnesium sulfate, 1 g/L ammonium sulfate). MHII plates were made from MHII broth (212322) obtained from BD. Glucose (41095-5000) was obtained from Acros. LB agar (244510) for plates was obtained from BD.

Growth Conditions. Unless otherwise stated, all experiments were conducted at 37°C with shaking.

Sampling bacterial densities. The densities of bacteria were estimated by serial dilution in 0.85% saline and plating. The total density of bacteria was estimated on LB agar plates.

Antibiotics. Chloramphenicol (23660) was obtained from United States Pharmacopeia. Azithromycin (3771) was obtained from TOCRIS. Ampicillin (A9518-25G) was obtained from Sigma Aldrich. Isopropyl β-D-1-thiogalactopyranoside (IPTG; I56000-5.0) was obtained from Research Products International. All E-tests were obtained from bioMérieux.

Estimating Minimal Inhibitory Concentrations. Antibiotic MICs were estimated using E-tests on MHII plates or via broth microdilution [45,46].

Long Term Experiments. Flasks containing 10 mL of DM with 1000 μg/mL of glucose and an initial density of 10^5 CFU/mL cells were grown at 37°C with shaking for 30 days without transferring. For each biological replicate, initial inoculums were obtained from independent overnights of *E. coli* cultures. *E. coli* MG1655 was grown with either no drug, 4x MIC of CHL or 3x MIC of AZM. Samples were taken every 5 days and plated on LB agar plates. Twenty SCVs from each condition were isolated from the last time point and grown in the absence of antibiotic. Only those whose MIC was higher than the ancestral MG1655 after two overnight passages in the absence of the drug were selected.

Long Term Experiments with non-replicative plasmid. Flasks containing 10 mL of DM with 1000 μg/mL of glucose and an initial density of 10^5 CFU/mL cells were grown at 37°C with shaking for 30 days. *E. coli* MG1655 pAM34 was grown with either 4x MIC of CHL, 3x MIC of AZM, ampicillin, or no selection. All experiments were performed in the absence of IPTG. pAM34 is a single copy number plasmid. Control Samples were taken every 5 days and plated on LB agar plates as well as 100 μg/mL Ampicillin and 0.5 mM IPTG LB agar plates.

Sequencing. Complete genomes were obtained with hybrid Illumina/Nanopore sequencing by SeqCenter. Samples were extracted from single colonies using Zymo Quick-DNA HMW MagBead Kit. Oxford Nanopore Sequencing library prep was performed with PCR-free ligation library prep using ONT's V14 chemistry. Long read sequencing was performd using R10.4.1 flowcells on a GridION with basecalling performed by Guppy in Super High Accuracy mode. Illumina libraries were prepared and sequenced per SeqCenter's standards. Quality control and adapter trimming was performed with bcl-convert and porechop for Illumina and ONT sequencing respectively. Hybrid assembly with Illumina and ONT reads was performed with Unicycler [47]. Assembly statistics were recorded with QUAST [48]. Assembly annotation was performed with Prokka [49].

Beta-galactosidase assay. The BetaRedTM β-Galactosidase Assay Kit (Sigma Aldrich) was used to experimentally measure the translation level. Flasks containing 10 mL of DM with 1000 μg/mL of glucose and an initial density of 10^5 CFU/mL cells were grown at 37 ºC with shaking overnight in the absence or presence of different concentrations of CHL or AZM. The manufacturer protocol was followed, and OD 570 nm was measured. The experiments were performed in triplicate.

Growth Rate Estimation. Exponential growth rates were estimated from changes in optical density (OD600) in a Bioscreen C. For this, 24-hours stationary phase cultures were diluted in LB or glucose-limited liquid media to an initial density of approximately 105 cells per mL. Five replicas were made for each estimate by adding 300μl of the suspensions to the wells of the Bioscreen plates. The plates were incubated at 37°C and shaken continuously. Estimates of the OD (600nm) were made every five minutes for 24 hours in LB and 48 hours in glucose-limited medium. Normalization, replicate means and error, growth rate, lag and maximum OD were found using a novel R Bioscreen C analysis tool accessible at https://josheclf.shinyapps.io/bioscreen_app.

Residual Growth. After 30 days the cultures were centrifuged and filtered through a 0.22 μm filter. Strain resistant for CHL (Strain 1012 from the US Center for Disease Control's MuGSI Isolate Bank which is *cmlA5* positive), AZM (Strain 1007 from the US Center for Disease Control's MuGSI Isolate Bank which is *mph(A)* positive), or just MG1655 were added to the supernatants and allowed to grow for 24h.

Antibiotic stability. Initial (day 0) and final (day 30) cultures from the long term experiment in the presence of CHL or AZM were centrifugated and the supernatants filtrated. Twenty μL of these supernatants were spotted on 7 mm blank disk and MG1655 was used as the lawn. After 24h of incubation, the zone of inhibition was recorded.

Population Analysis Profile tests. Single colonies of the corresponding strain were inoculated into 10 mL of LB and grown overnight at 37 °C with shaking. Cultures were serially diluted in saline and all dilutions (10^0 to 10^{-7}) plated on LB agar plates containing 0, 1, 2, 4, 8, and 16 times the MIC of CHL or AZM. Plates were grown at 37 °C for 48 hours before the density of surviving colonies was estimated.

<u>Numerical Solutions (Simulations)</u>: For our numerical analysis of the coupled, ordered
differential equations presented (Supplemental Equations 1-12) we used Berkeley
Madonna with the parameters presented in the captions of Figure 7 and Supplemental
Figures 8 and 9. Copies of the Berkeley Madonna programs used for these simulations
are available at <u>www.eclf.net</u>. Parameters were obtained from [21,50,51]. To calculate the
average MIC in our simulations, we take a weighted average of the MIC of each
population.
488

Acknowledgments

We would like to thank Danielle Steed for her discussion of the clinical implications and relevance of this work. We would also like to thank Jason Chen and LM Bradley for their unwavering assistance and support. We would also like to thank the other members of the Levin Lab. BRL would like to thank the US National Institute of General Medical Sciences for their funding support via R35 GM 136407 and the US National Institute of Allergy and Infectious Diseases for their funding support via U19 AI 158080-02.

Data Availability Statement

All the data generated are available in this manuscript and its supporting supplemental material. Copies of the genomes sequence here have been made available under the NCBI BioProject ID PRJNA1032893.

References

1 Bernatová, S. *et al.* Following the mechanisms of bacteriostatic versus bactericidal
action using Raman spectroscopy. *Molecules* **18**, 13188-13199 (2013).
https://doi.org/10.3390/molecules181113188

2 Pankey, G. A. & Sabath, L. D. Clinical Relevance of Bacteriostatic versus Bactericidal
Mechanisms of Action in the Treatment of Gram-Positive Bacterial Infections. *Clinical*
Infectious Diseases **38**, 864-870 (2004). https://doi.org/10.1086/381972

3 Nemeth, J., Oesch, G. & Kuster, S. P. Bacteriostatic versus bactericidal antibiotics for
patients with serious bacterial infections: systematic review and meta-analysis. *J*
Antimicrob Chemother **70**, 382-395 (2015). https://doi.org/10.1093/jac/dku379

4 Regoes, R. R. *et al.* Pharmacodynamic functions: a multiparameter approach to the
design of antibiotic treatment regimens. *Antimicrob Agents Chemother* **48**, 3670-3676
(2004). https://doi.org/10.1128/aac.48.10.3670-3676.2004

5 William, A. Killing and regrowth of bacteria in vitro. *Scand. J. Infect. Dis. Suppl.* **74**, 63-70
(1991).

6 Bonapace, C. R., Friedrich, L. V., Bosso, J. A. & White, R. L. Determination of antibiotic
effect in an in vitro pharmacodynamic model: comparison with an established animal
model of infection. *Antimicrobial agents and chemotherapy* **46**, 3574-3579 (2002).

7 Craig, W. A. & Ebert, S. C. Killing and regrowth of bacteria in vitro: a review. *Scand J*
Infect Dis Suppl **74**, 63-70 (1990).

8 Huemer, M., Mairpady Shambat, S., Brugger, S. D. & Zinkernagel, A. S. Antibiotic
resistance and persistence—Implications for human health and treatment perspectives.
EMBO reports **21**, e51034 (2020).
https://doi.org/https://doi.org/10.15252/embr.202051034

9 Levin-Reisman, I., Brauner, A., Ronin, I. & Balaban, N. Q. Epistasis between antibiotic
tolerance, persistence, and resistance mutations. *Proc Natl Acad Sci U S A* **116**, 14734-
14739 (2019). https://doi.org/10.1073/pnas.1906169116

10 Balaban, N. Q. *et al.* Publisher Correction: Definitions and guidelines for research on
antibiotic persistence. *Nat Rev Microbiol* **17**, 460 (2019).
https://doi.org/10.1038/s41579-019-0207-4

11 Wald-Dickler, N., Holtom, P. & Spellberg, B. Busting the Myth of "Static vs Cidal": A
Systemic Literature Review. *Clin Infect Dis* **66**, 1470-1474 (2018).
https://doi.org/10.1093/cid/cix1127

12 Rizk, M. L. *et al.* Considerations for Dose Selection and Clinical
Pharmacokinetics/Pharmacodynamics for the Development of Antibacterial Agents.
Antimicrob Agents Chemother **63** (2019). https://doi.org/10.1128/aac.02309-18

13 Berryhill, B. A. *et al.* What's the Matter with MICs: Bacterial Nutrition, Limiting
Resources, and Antibiotic Pharmacodynamics. *Microbiology Spectrum* **11**, e04091-04022
(2023). https://doi.org/doi:10.1128/spectrum.04091-22

14 Lopatkin, A. J. *et al.* Clinically relevant mutations in core metabolic genes confer
antibiotic resistance. *Science* **371** (2021). https://doi.org/10.1126/science.aba0862

15 Martela, K., Kuźniewicz, R., Pluskiewicz, W., Tabor, E. & Zagórski, P. Calcium
supplementation from dairy products in the diet of women over the age of 55 from

Zabrze (the Silesian Osteoactive Study - nutritional part). *Reumatologia* **56**, 382-387
(2018). https://doi.org/10.5114/reum.2018.80716

16   Fernández, M. *et al.* Mechanisms of resistance to chloramphenicol in Pseudomonas
putida KT2440. *Antimicrob Agents Chemother* **56**, 1001-1009 (2012).
https://doi.org/10.1128/aac.05398-11

17   Heidary, M. *et al.* Mechanism of action, resistance, synergism, and clinical implications
of azithromycin. *J Clin Lab Anal* **36**, e24427 (2022). https://doi.org/10.1002/jcla.24427

18   Cronan, J. E. pBR322 vectors having tetracycline-dependent replication. *Plasmid* **84-85**,
20-26 (2016). https://doi.org/10.1016/j.plasmid.2016.02.004

19   Khusainov, I. *et al.* Bactericidal effect of tetracycline in E. coli strain ED1a may be
associated with ribosome dysfunction. *Nat Commun* **15**, 4783 (2024).
https://doi.org/10.1038/s41467-024-49084-5

20   Andersson, D. I., Nicoloff, H. & Hjort, K. Mechanisms and clinical relevance of bacterial
heteroresistance. *Nature Reviews Microbiology* **17**, 479-496 (2019).
https://doi.org/10.1038/s41579-019-0218-1

21   Levin, B. R. *et al.* Theoretical considerations and empirical predictions of the pharmaco-
and population dynamics of heteroresistance. *Proc Natl Acad Sci U S A* **121**,
e2318600121 (2024). https://doi.org/10.1073/pnas.2318600121

22   Baquero, F. & Levin, B. R. Proximate and ultimate causes of the bactericidal action of
antibiotics. *Nature Reviews Microbiology* **19**, 123-132 (2021).
https://doi.org/10.1038/s41579-020-00443-1

23   Satta, G. *et al.* Target for bacteriostatic and bactericidal activities of beta-lactam
antibiotics against Escherichia coli resides in different penicillin-binding proteins.
Antimicrob Agents Chemother **39**, 812-818 (1995). https://doi.org/10.1128/aac.39.4.812

24   Murphy, M. B., Mercer, S. L. & Deweese, J. E. in *Advances in Molecular Toxicology* Vol.
11 (eds James C. Fishbein & Jacqueline M. Heilman) 203-240 (Elsevier, 2017).

25   Kaur, P., Agarwal, S. & Datta, S. Delineating bacteriostatic and bactericidal targets in
mycobacteria using IPTG inducible antisense expression. *PLoS One* **4**, e5923 (2009).
https://doi.org/10.1371/journal.pone.0005923

26   Baudens, J. G. & Chabbert, Y. A. [Rifampicin: a bacteriostatic and bactericidal agent].
Pathol Biol (Paris) **17**, 392-397 (1969).

27   Levin, B. R. *et al.* A Numbers Game: Ribosome Densities, Bacterial Growth, and
Antibiotic-Mediated Stasis and Death. *mBio* **8** (2017).
https://doi.org/10.1128/mBio.02253-16

28   Yang, J., Barra, J. T., Fung, D. K. & Wang, J. D. Bacillus subtilis produces (p)ppGpp in
response to the bacteriostatic antibiotic chloramphenicol to prevent its potential
bactericidal effect. *mLife* **1**, 101-113 (2022).
https://doi.org/https://doi.org/10.1002/mlf2.12031

29   Baquero, F., Rodríguez-Beltrán, J. & Levin, B.R. Bacteriostatic cells instead of
bacteriostatic antibiotics? *Ecoevorxiv* (2023).
https://doi.org/https://doi.org/10.32942/X2R01T

30   Gomes, C., Ruiz-Roldán, L., Mateu, J., Ochoa, T. J. & Ruiz, J. Azithromycin resistance
levels and mechanisms in Escherichia coli. *Scientific Reports* **9**, 6089 (2019).
https://doi.org/10.1038/s41598-019-42423-3

31 Roggenkamp, A. *et al.* Chronic prosthetic hip infection caused by a small-colony variant
of Escherichia coli. *J Clin Microbiol* **36**, 2530-2534 (1998).
https://doi.org/10.1128/jcm.36.9.2530-2534.1998

32 Negishi, T. *et al.* Characterization of clinically isolated thymidine-dependent small-
colony variants of Escherichia coli producing extended-spectrum β-lactamase. *J Med*
Microbiol **67**, 33-39 (2018). https://doi.org/10.1099/jmm.0.000634

33 Xia, H. *et al.* A yigP mutant strain is a small colony variant of E. coli and shows
pleiotropic antibiotic resistance. *Canadian Journal of Microbiology* **63**, 961-969 (2017).
https://doi.org/10.1139/cjm-2017-0347

34 Roggenkamp, A., Hoffmann, H. & Hornef, M. W. Growth control of small-colony variants
by genetic regulation of the hemin uptake system. *Infect Immun* **72**, 2254-2262 (2004).
https://doi.org/10.1128/iai.72.4.2254-2262.2004

35 Santos, V. & Hirshfield, I. The Physiological and Molecular Characterization of a Small
Colony Variant of Escherichia coli and Its Phenotypic Rescue. *PLOS ONE* **11**, e0157578
(2016). https://doi.org/10.1371/journal.pone.0157578

36 Tashiro, Y., Eida, H., Ishii, S., Futamata, H. & Okabe, S. Generation of Small Colony
Variants in Biofilms by Escherichia coli Harboring a Conjugative F Plasmid. *Microbes*
Environ **32**, 40-46 (2017). https://doi.org/10.1264/jsme2.ME16121

37 Hirsch, H. M. Small colony variants of Escherichia coli. Mode of action of copper in
variant recovery and population dynamics of cultures containing variants. *J Bacteriol* **81**,
448-458 (1961). https://doi.org/10.1128/jb.81.3.448-458.1961

38 Dworkin, J. Understanding the Stringent Response: Experimental Context Matters. *mBio*
14, e0340422 (2023). https://doi.org/10.1128/mbio.03404-22

39 Trampari, E. *et al.* Functionally distinct mutations within AcrB underpin antibiotic
resistance in different lifestyles. *npj Antimicrobials and Resistance* **1**, 2 (2023).
https://doi.org/10.1038/s44259-023-00001-8

40 Moore, S. D. & Sauer, R. T. Revisiting the mechanism of macrolide-antibiotic resistance
mediated by ribosomal protein L22. *Proc Natl Acad Sci U S A* **105**, 18261-18266 (2008).
https://doi.org/10.1073/pnas.0810357105

41 Suryanarayana, T. Identification by affinity chromatography of Escherichia coli ribosomal
proteins that bind erythromycin and chloramphenicol. *Biochem Int* **7**, 719-725 (1983).

42 Matange, N. Highly Contingent Phenotypes of Lon Protease Deficiency in Escherichia coli
upon Antibiotic Challenge. *J Bacteriol* **202** (2020). https://doi.org/10.1128/jb.00561-19

43 Hughes, C. A., Gorabi, V., Escamilla, Y., Dean, F. B. & Bullard, J. M. Two Forms of Tyrosyl-
tRNA Synthetase from Pseudomonas aeruginosa: Characterization and Discovery of
Inhibitory Compounds. *SLAS Discov* **25**, 1072-1086 (2020).
https://doi.org/10.1177/2472555220934793

44 Baquero, F. *et al.* Bacterial Subcellular Architecture, Structural Epistasis, and Antibiotic
Resistance. *Biology (Basel)* **12** (2023). https://doi.org/10.3390/biology12050640

45 MA, W. Methods for dilution antimicrobial susceptibility tests for bacteria that grow
aerobically: approved standard. *Clsi (Nccls)* **26**, M7-A7 (2006).

46 Brown, D. F. & Brown, L. Evaluation of the E test, a novel method of quantifying
antimicrobial activity. *Journal of Antimicrobial Chemotherapy* **27**, 185-190 (1991).

47   Wick, R. R., Judd, L. M., Gorrie, C. L. & Holt, K. E. Unicycler: Resolving bacterial genome
assemblies from short and long sequencing reads. *PLoS Comput Biol* **13**, e1005595
(2017). https://doi.org/10.1371/journal.pcbi.1005595

48   Gurevich, A., Saveliev, V., Vyahhi, N. & Tesler, G. QUAST: quality assessment tool for
genome assemblies. *Bioinformatics* **29**, 1072-1075 (2013).
https://doi.org/10.1093/bioinformatics/btt086

49   Seemann, T. Prokka: rapid prokaryotic genome annotation. *Bioinformatics* **30**, 2068-
2069 (2014). https://doi.org/10.1093/bioinformatics/btu153

50   Berryhill, B. A. *et al.* The book of Lambda does not tell us that naturally occurring
lysogens of Escherichia coli are likely to be resistant as well as immune. *Proc Natl Acad*
Sci U S A **120**, e2212121120 (2023). https://doi.org/10.1073/pnas.2212121120

51   Chaudhry, W. N. *et al.* Leaky resistance and the conditions for the existence of lytic
bacteriophage. *PLoS Biol* **16**, e2005971 (2018).
https://doi.org/10.1371/journal.pbio.2005971