Duplications and retrogenes are numerous and widespread in modern canine genomic assemblies

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Abstract

Recent years have seen a dramatic increase in the number of canine genome assemblies available. Duplications are an important source of evolutionary novelty and are also prone to misassembly. We explored the duplication content of nine canine genome assemblies using both genome self-alignment and read-depth approaches. We find that 8.58% of the genome is duplicated in the canFam4 assembly, derived from the German Shepherd Dog Mischka, including 90.15% of unplaced contigs. Highlighting the continued difficulty in properly assembling duplications, less than half of read-depth and assembly alignment duplications overlap, but the mCanLor1.2 Greenland wolf assembly shows greater concordance. Further study shows the presence of multiple segments that have alignments to four or more duplicate copies. These high-recurrence duplications correspond to gene retrocopies. We identified 3,892 candidate retrocopies from 1,316 parental genes in the canFam4 assembly and find that approximately 8.82% of duplicated base pairs involve a retrocopy, confirming this mechanism as a major driver of gene duplication in canines. Similar patterns are found across eight other recent canine genome assemblies, with multiple metrics supporting the high-quality of the mCanLor1.2 wolf assembly constructed using PacBio HiFi reads. Comparison between the wolf and other canine assemblies found that approximately 92% of retrocopy insertions are shared between assemblies. By calculating the number of generations since genome divergence, we estimate that new retrocopy insertions appear, on average, in 1 out of 3,514 births. Together, our analyses illustrate the impact of retrogene formation on canine genomes and highlight the variable representation of duplicated sequences among recently completed canine assemblies.

Keywords: read-depth, alignment, segmental duplication, retrocopy, genome

Significance

Duplications are highly influential on evolution, but are commonly misassembled, especially in lagging genomic groups like canines. We assessed nine canine assemblies for duplication presence, and found enrichment for acrocentric regions, misattribution of duplications to unplaced contigs, and the presence of short, high-recurrence duplications. Investigating further, we find high numbers of retrocopies retaining hallmarks present in the canine assemblies, and determine a rate of novel retrocopy insertion at 1 in 3,514 births.

Introduction

Gene duplication is a major driver of evolution, but correctly resolving duplications in genome assemblies remains challenging. Gene duplication is a form of structural variation, which refers to chromosomal alterations that are typically larger than 1000 bases (1 Kb) in size, and includes other changes such as insertions, deletions, inversions, and other copy number changes [1]. Copy number gains that affect genes are a key mechanism in the evolution of novel gene functions and the emergence of gene families. Duplicated genes are associated with a number of core biological processes, including development, transcriptional regulation, and environmental response [2].

Gene duplications arise through two means: the reverse transcription and integration of RNA transcripts, or the duplication of DNA segments. Duplications on the DNA-level can arise via whole genome duplications, in which the entire genome is replicated. Whole genome duplications have occurred multiple times in evolutionary history and have contributed to increased genome complexity in vertebrates [3]. Alternatively, DNA-level duplications may be smaller and affect only a segment of the genome. These segmental duplications may encompass genes, giving rise to additional copies of genes and the expansion of related gene families. Segmental duplications are typically defined as non-repetitive sequences longer than 1 Kb that are found in more than one copy in a haploid genome [4]. This definition differentiates duplications from common repeats, such as LINEs (Long INterspersed Element) and SINEs (Short INterspersed Element), which proliferate throughout genomes through distinct mechanisms.

Segmental duplications are further divided into three types based on the relative locations of duplicates: tandem duplications, in which duplications appear adjacent to one another; intra-chromosomal dispersed duplications, which is when duplications occur on the same chromosome but with an intervening sequence; and inter-chromosomal dispersed, when duplication copies occur on separate chromosomes. The intervening sequence between related duplicates can include genes and is susceptible to additional duplication or deletion [5]. DNA duplications likely first arise as a result of replication-based errors including break-induced replication and template switching mechanisms [6]. Once formed, additional copies can be gained or lost due to nonallelic homologous recombination [7]. No matter their type, when these copies differ in count between individuals or populations they are referred to as copy number variants (CNVs).

Gene duplications may also be caused by a retrotransposition-associated mechanism, in which mRNAs are reverse transcribed and inserted into the genome at new locations [8]. The resulting duplications, known as retrocopies, lack introns and show the hallmarks of retrotransposition, including a poly(A) tail and flanking target site duplications [9]. While retrocopies refer to any derivation of a gene transcript from retrotransposition, whereas the term retrogene implies functionality, both terms will be used interchangeably within this study [10]. The retrocopies may be full-length or truncated at their 5' end. Over time, mutations in retrocopies may accumulate that disrupt gene function creating pseudogenes [11]. However, retrogenes may be functional; several have been documented to have associations with important

biological processes, including immune function, metabolism, environmental response, physical
appearance, and disease, in both humans and canines [10, 12]. Gene conversion and changes in
pseudogene sequence can also give rise to actual and novel function, altering the name from
pseudogenes into functional retrogenes. Retrocopies commonly occur in dogs [13, 14] and
confound the interpretation of genetic variation [15].
Novel developments in sequencing technology have allowed for the rapid and thorough
analysis of thousands of samples. Despite this, systematically identifying and analyzing
duplications remains challenging. To investigate CNVs in samples, the most common method of
analysis is to look for an increase in read-depth, typically obtained from whole genome
sequencing, relative to a reference genome assembly. Duplications can also be found by aligning
a genome reference to itself to create a genome self-alignment that identifies sequences present
multiple times [16]. When constructing such genome self-alignments, it is critical to first filter
out common repeats since the proliferation of repeats overwhelms the alignment process.
There are several challenges associated with properly assembling duplicated regions.
Assembly errors may lead to collapsed duplications in which duplicated sequences are only
represented once in an assembly [17]. This commonly occurs at the end of assembled contigs due
to a failure to correctly assemble sequences spanning the duplication. Such assembly errors can
also give rise to chimeric contigs that have been incorrectly assembled as a result of mis-joining
non-adjacent segments [18]. False duplications can also arise due to misassembly. This can occur
when contigs created from divergent alleles are mistakenly represented as being duplicated [19-
21]. Correctly assembling duplications is further complicated by the diploid nature of most
analyzed genomes. As a result of challenges in correctly assembling duplicated sequences, the
duplication content of a genome assembly may not be an accurate representation of the true
duplication content of the analyzed genome. Assemblies derived from short sequencing reads are
plagued by incorrect representation of duplicates [20]. Longer sequencing reads coupled with
new methodologies for haplotype-resolved assembly [22, 23] promise to alleviate these concerns
resulting in a more accurate representation of genome content and structure.
The canine remains a powerful system for genetics and genomics. The domestic dog is in
the unique position of having co-evolved alongside humans, while simultaneously experiencing
human-driven extreme artificial selection for over ten thousand years [24]. The first canine
reference genome was released in 2005, derived from Tasha the Boxer [25]. This was further
refined into canFam3.1, released in 2014, also based on Tasha [26]. The canine reference
genome was assessed for copy number variation across multiple studies. Initial studies estimated
that approximately 4.21% of the canine reference genome is composed of recent segmental
duplication, including 841 genes in predicted segmental duplications [27]. Array-CGH data
showed that many duplications were copy-number polymorphic [28]. Additional studies of
canine CNVs have linked these variations to conditions such as ACL rupture [29] and breed-
specific morphological structure [30]. Other studies have concluded that CNVs likely did not
have major effects on canid domestication, with suggestions that dogs and wolves had similar
proportions of CNV loci [31, 32].

Since the release of canFam3.1, multiple assemblies of dogs and wolves have been
generated using long-read technology [33-40]. These new assemblies have helped elucidate
novel genes, regulatory elements, and variants, while revealing new aspects of canine genome
biology. These assemblies have been created using a variety of sequencing technologies (Oxford
Nanopore and PacBio) and algorithms. However, limited analyses of the duplication content of
these assemblies have been performed, with most description focused on specific loci, such as
the amylase region, or other regions of suspected misassembly [41]. To address the lack of
analysis of duplications in these long-read genomes, we aim to characterize the duplication
content of different recently published long-read canine genomes and assess patterns of gene
duplication found throughout canines. We apply two computational analyses to nine recently-
released canine genome assemblies: genome assembly self-alignment, which involves the
mapping of an assembly to itself in order to find matching duplicated segments [16] and read-
depth analysis, which searches for regions of unusually high coverage in Illumina sequencing
data [31, 41]. We further perform a search for retrocopy insertions in each genome assembly. We
find notable and large regions of duplicated sequence that is not properly represented in existing
dog assemblies, describe polymorphic duplications that differ between canine assemblies, and
identify retrocopies as a major driver of gene duplications in canine genomes.

136 Results

Duplications identified by self-alignment of the Mischka genome include segments with multiple
duplicated copies

The UU_Cfam_GSD_1.0 assembly (accession GCA_011100685.1), derived from a
female German Shepherd Dog named Mischka, has extensive annotation, and serves as the
reference genome used by the Dog10K consortium [14, 33, 42]. This genome assembly was
constructed using PacBio Sequel sequencing and consists of sequence assigned to 39 assembled
chromosomes (chr1-38 and chrX), the mitochondria (chrM), and 2,158 unplaced contigs not
localized to a chromosome. Due to the importance of this reference to ongoing research, we first
performed a detailed analysis of duplications in this assembly. Using genome assembly self-
alignment, we identified 491,661 pairwise duplicated segments of 1,000 bp or greater with at
least 90% sequence identity in the Mischka assembly. Of these, 122,521 segments are located in
the 38 canine autosomes or chromosome X and 369,135 duplications are located on unplaced
contig sequences. Of the duplicated segments on the assembled chromosomes, 50,629 have a
paralog on an unplaced contig (41.03%). The largest single duplicated segment is nearly 1.8 Mb
in length, located at chr8:74446738-76245570.
Many of the duplications detected on the assembled chromosomes are small; 46.62%
(57,120/122,521) of duplicated segments are shorter than 2 Kb. Examination of the size
distribution of duplicated segments smaller than 2 Kb revealed three notable peaks at
approximately 1,250, 1,375, and 1,775 bp. Inspection of the duplication landscape on UCSC
Genome Browser identified numerous high-recurrence duplications that contained many short
alignments linking a locus with multiple paralogous segments **(Supplementary Figure 1)** [43].

We defined a high-recurrence segment as any area that contained at least four or more
paralogous duplications smaller than 2.5 Kb, and identified 1,492 high-recurrence duplications,
totaling approximately 3.0234 Mb. Overall, this makes up 3.095% of duplicated base pairs
located by self-alignment. The segment with the largest number of copies is found at
chr1:100216700-100219200, with 159 intersecting duplications. These high-recurrence
duplications appear to be distributed throughout the Mischka genome.
Because the same locus can be included in multiple duplications at varying levels of
sequence similarity, we merged segments together, resulting in 6,040 duplicated intervals in the
assembled chromosomes and 1,940 duplicated intervals in the unplaced contigs (**Supplementary**
Figure 2, Supplementary Table 1 and 5). Duplications occupy 96.89 Mb, or about 4.12%, of
the assembled chromosomes. In sharp contrast, about 90.15% (115.8 Mb) of the sequence on
unplaced contigs is duplicated. In total, including unplaced contigs, 8.58% of the Mischka
assembly is duplicated according to genome assembly self-alignment.
Duplications are not distributed uniformly along canine autosomes. Of the 5,525
duplicated segments located on the autosomes, 193 (3.49%) overlap the first megabase of the
beginning of the chromosome, while 172 (3.11%) are located within the last megabase of the
autosomes. Relative to 1000 random permutations, this is a 2.5-fold increase for the first
megabase (mean: 1.7%; max: 2.2%) and a 1.75-fold increase for the last megabase (mean: 1.6%;
max: 2.2%).

Duplications identified in Mischka using read-depth are not uniformly distributed

To complement duplications identified via genome assembly self-alignments, we
identified duplications based on read-depth. Illumina sequencing reads derived from Mischka
were aligned to the Mischka assembly and processed using fastCN [31]. Repetitive sequences are
masked in the assembly prior to alignment and the read-depth profiles are used to estimate
absolute copy number in windows consisting of 1,000 unmasked positions. We define read-depth
duplications as segments consisting of four or more consecutive windows with an estimated copy
number exceeding 2.5 and with a minimum size of 10 Kb, resulting in 1,573 segmental
duplications defined by read-depth **(Supplementary Table 2 and 6)**. We find that approximately
60% (30.6 Mb) of unplaced contigs that contain at least four windows are duplicated. In
comparison, 56.21 Mb (1033 segments) is duplicated on the assembled chromosomes, making up
2.39% of the 38 autosomes and X chromosome.
The duplications identified by read-depth range in size from 10 Kb to 1.6 Mb and have a
mean size of 48 Kb and a median of 21 Kb. We find that 57 (6.1%) duplications are present in
the first megabase of the autosomes, while 36 (3.8%) are located in the last 1 Mb of each
autosome. Relative to random permutations, we find a 3.19-fold increase in duplication content
in the first megabase of autosomes (mean: 1.9%; max: 3.5%), and a 2.1-fold increase in the last
megabase (mean: 1.8%; max: 3.2%). The median copy number of all the duplications was 3.62,
and the mean was 4.85. The maximum copy number of a given duplication was 78.3, located at
chr27:33386819-33407975.

Most chromosomes have between 1% and 5% of their sequence annotated as a
duplication by read-depth; however, 8.85% of chromosome 26 is duplicated. Examination
revealed that a 2.4 Mb region encompassing 6 segmental duplications, located at
chr26:25,387,954-27,841,554, accounts for this high duplication percentage. This region has a
median estimated copy number of 9.5.

Read-depth analysis and self-alignment show low levels of agreement

We compared the position of duplications identified by genome self-alignment and read-
depth to search for concordance. To account for detection differences between the methods, we
only considered duplications that were located on assembled chromosomes, greater than 15 Kb
in size, have at least 95% identity, and were not located in the first megabase of an autosome. A
total of 1,122 duplications identified by genome assembly self-alignment and 918 duplications
identified by read-depth met these criteria **(Supplementary Table 1, 2, 5, 6)**.
We explored how many duplications in each set were found to be unique. By intersecting
the self-alignment set and the read-depth set, we find that 528/1,122 self-alignment duplications
(47.1%) and 504/918 read-depth duplications (54.9%) share any overlap. The union of these two
sets contains approximately 77.78 Mb in total, 26.6 (34.2%) of which is duplicated in both sets.
Self-alignment uniquely detects 29.88 Mb (38.41%) and read-depth uniquely detects 21.02 Mb
(27.02%) **(Figure 1B)**. Despite only 35% agreement between the two methods, both analyses
show similar trends at a chromosomal level, including an increase in duplication count on chr26
(Supplementary Figure 3).

Duplication content varies across canine assemblies

We expanded our self-alignment and read-depth analysis to eight additional recently
published canine assemblies **(Table 1, Figure 1A)** [33-40]. Most assemblies used some method
of PacBio sequencing, predominantly Sequel, but the China and Yella assemblies used Oxford
Nanopore (ONT) sequencing. We note that China and Wags are different Basenjis sequenced
using different technologies by the same study. While the authors of that paper state that China is
the better assembly, we exclude China from the read-depth analysis due to a lack of available
Illumina data [40]. Notably, among the genomes is mCanLor1.2, a Grey wolf genome that serves
as an outgroup to the other breed dog genomes [34].
Canine assemblies have between 1.8% (Tasha) and 5.6% (mCanLor1.2) of their genome
identified as duplicated by genome assembly self-alignment **(Table 1)**. Assemblies with a low
duplication content (below 2.5%) include Sandy, Tasha, and Zoey, whereas assemblies with a
high percentage of duplications (above 4%) include Mischka, mCanLor1.2, Nala, Wags, and
Yella. A similar divide in duplication content among assemblies remains after filtering for
duplications above 15 Kb and increasing the sequence identity minimum to 95%. By analyzing
read-depth, we see a slight change, where mCanLor1.2, Nala, and Yella have the highest fraction
of their genome annotated as duplicated. The number and duplication status of unplaced contigs
differs widely among the assemblies **(Supplementary Table 1)**. The highest duplicated fraction

is found in Mischka (90%) and Zoey (70%), with the others having less than 50% of their
unplaced contigs duplicated.
237   Additionally, we explored 13,817 protein-coding genes for their duplication status as
determined by read-depth. Illumina data from each sample was aligned to the Mischka assembly;
China and Nala are removed from this analysis, due to lack of Illumina data and strong bias
based on GC composition respectively **(Supplementary Figure 4)**. The copy number of each
gene was then estimated using read-depth. We identify a total of 1,068 genes as duplicated in at
least one canine; 222 of those genes are considered duplicated in all seven canines. Further
analysis indicated that the most highly duplicated genes have no annotated function and are not
associated with a named gene **(Supplementary Table 7).** We performed a Gene Ontology (GO)
enrichment analysis of the 423 genes identified as duplicated based on read-depth from Mischka.
Enriched functional categories include 'intermediate filament organization' (GO:0045109),
'signal transduction' (GO:0007165), 'positive regulation of cellular process' (GO:0048522),
'multicellular organismal process' (GO:0032501), and 'negative regulation of cellular process'
(GO:0048523) **(Supplementary Table 9)** [44-46].
250   To explore the impact of PacBio HiFi reads on representing duplications, we compared
duplications identified by assembly self-alignment and read-depth in the mCanLor1.2 assembly.
Using the same filtering criteria as used in Mischka, we find that a total of 115.3 Mb is
duplicated in the union of self-alignment and read-depth, a 1.5-fold increase over Mischka. We
also find an increase in congruency between the two methods, as 60.07 Mb is duplicated in both
the self-alignment set and the read-depth set, making up 52.11% of the total base pairs, a notable
increase from the 34.2% overlap found in Mischka **(Figure 1C)**.

Retrocopies proliferate throughout canine genome assemblies and show hallmarks of retrotransposition

258   While exploring self-alignment duplications, we found that high-recurrence duplications
in the Mischka assembly often corresponded to the exons of transcripts found in other species,
suggesting the presence of gene retrocopies **(Figure 2A)**. To confirm this, we identified
candidate retrogenes in the Mischka assembly using BLAT. From an initial set of 19,972 multi-
exon protein-coding genes, we identified 3,892 retrocopies from 1,316 parental genes, which
includes 66 retrocopies that retained the full open reading frame of the parental gene **(Table 2,**
Supplementary Table 4). 3,658 (94%) of these retrocopies are located on assembled
chromosomes. We found an enrichment of retrocopies on chrX, with a 1.18-fold increase over
expectation from 1,000 random permutations **(Supplementary Figure 5).** Additionally,
retrocopies that retained the full open reading frame of their parental gene had much greater
sequence identity with the parent gene (median: 99.92%) vs. those that did not (median: 96.14%)
(p-value: $3.81*10^{-48}$). (**Supplementary Figure 6).**
270   By intersecting high-recurrence duplications with annotated retrocopies, we determine
that 1,080/1,492 (72.39%) high-recurrence duplications segments overlap with a retrocopy; in
turn, 1,078/3,658 (29.47%) retrocopies on assembled chromosomes intersect with high-
recurrence duplications. On a base-pair level, retrocopies located in highly duplicated segments
make up a total of 4.35 Mb. Thus, multiple pair-wise alignments among retrocopies derived from

the same parent gene account for the highly duplicated regions identified by genome self-
alignment.
We additionally investigated how many retrocopies retained the signature hallmarks of
retrotransposition, which are the presence of target site duplications (TSDs) and poly(A) tails
[47] **(Figure 2B)**. Of the 3,496 retrocopies on assembled chromosomes in the Mischka genome
that passed filtering, 3,442 (98.46%) show at least one of the two hallmarks **(Figure 2C)**. In
total, 1,387 (39.67%) only have TSDs of at least 5 bp, 94 (2.69%) only have poly(A) tails, and
1,961 (56.09%) have both signatures. The mean length of poly(A) tails is 11.91 bases, and the
mean length of TSDs is 11.19 bases, with 1082 having TSDs 10 bp or longer **(Figures 2D and
2E)**. All 66 retrocopies that retain the parental ORF have TSDs, while 20 also have a poly(A)
tail.
Another known hallmark of retrotransposition is the presence of a consensus LINE-1
endonuclease recognition sequence, commonly read as TTTT/AA, where "/" marks the cleavage
site. We searched for the presence of the expected consensus sequence across retrocopies and
recovered the expected endonuclease recognition sequence **(Figure 2F)** [48-51]. We find that
approximately 40% of retrocopies annotated with a TSD 5bp or longer contain the expected cut
site sequence.
When analyzing only the retrocopy loci that are dimorphic among assemblies that passed
filters (n=212), thus permitting a more precise definition of event boundaries based on
comparison with the pre-integration empty site, we find a confirmed TSD of at least 5 bp or
greater, with an average TSD length of 15.1 bp. Additionally, loci that possessed a confirmed
TSD also possessed an endonuclease cleavage site **(Supplementary Figure 7).**
We expanded the retrocopy analysis to all other canine assemblies, using protein-coding
genes converted from Mischka coordinates as queries. Retrocopy counts are broadly similar
across assemblies (**Table 2, Supplementary Table 8**). Mischka's slightly greater number of
retrocopies appears to be accounted for by the presence of unplaced contigs, many of which
correspond to duplicated sequence **(Supplementary Table 1).** Similar trends of identified TSDs
and poly(A) tails are observed across the other assemblies, with over 98% of all identifies
retrocopies showing at least one hallmark **(Supplementary Table 3)**. We also specifically
analyzed retrocopies that retain the parental ORF for presence of hallmarks **(Supplementary
Table 4)**. Over 96% of full-ORF retrocopies show at least one hallmark, usually presence of a
target-site duplication.
The top ten retrocopy-producing parent genes were determined for each assembly
(Figure 4). There were only 11 parent genes present across the top ten lists of all assemblies,
highlighting a high level of shared parental genes across the assemblies. Many of the top
annotated genes are previously known retrocopy producers in human and/or canine (*GAPDH,*
HNRNPA1, EEF1A1, HMGN2 and the *RPL* genes) [52-56]. One gene, *BTF3*, was unique to the
Labrador Retriever genome, Yella, but retrocopies from this gene are present at slightly
decreased frequency in the other canine assemblies. Additionally, there were several instances
where we could not determine a unique progenitor among related parental genes. Most notable

among this group are LOC100686340 and LOC100687749, which are present in the top ten
retrocopy producer lists of all canine assemblies except Yella and Wags, the latter of which only
has LOC100686340 annotated.

To explore the function of common retrocopy progenitors, we performed a GO
enrichment analysis of the most common parent genes in the Mischka assembly. There is one
significant enriched GO category among the 50 parent genes in the Mischka assembly that had at
least 10 retrocopies, 'cytoplasmic translation' (GO:0002181), which likely derives from the high
number of ribosomal genes (RPL and RPS). There are 5 RPL genes in the top 10 parent genes,
and 15 RPL or RPS genes in the top 50. We expanded this analysis to the 117 genes that had at
least 5 retrocopies, which identified several enriched categories, including 'ribosomal small
subunit assembly' (GO:0000028) and 'cytoplasmic translation' (GO:0002181) **(Supplementary**
Table 9) [44-46].

Finally, we filtered retrocopies for any overlap with segmental duplications identified by
read-depth. We find that the Mischka assembly has a high prevalence of retrocopies present in
segmental duplications, with 466 compared to the range of 62-263 (avg: 126) found in other
assemblies. We note that 162/466 (34.7%) are present on unplaced contigs, further highlighting
Mischka's duplication bias towards unplaced contigs. No other canine assembly has any
retrocopies mapped to unplaced contigs, indicating that Mischka retrocopies are frequently
present in segmental duplications that get assembled onto unplaced contigs, in line with the
increased duplication rate present in the self-alignment data.

Estimating the rate of retrocopy insertion

To identify the rate of retrocopy insertion, we compared five canine assemblies from
diverse dogs (Mischka, Nala, China, Sandy, and Zoey) against mCanLor1.2. Only retrocopies
located on the autosomal chromosomes that did not overlap a read-depth segmental duplication
were considered. First, we assessed which retrocopies were shared across these six assemblies.
After applying strict filtering, we found a total of 3,377 unique retrocopies across the six
assemblies, 3,111 of which were located in all six canines (92.12%). 46 were unique to the
mCanLor1.2 assembly, and the largest categories tended to be singletons **(Supplementary**
Figure 8, Supplementary Table 10). Mischka and Nala, both German Shepherds, have the
fewest singletons, reflecting their similarity relative to the other assemblies.

We also searched for the presence of retrocopies in other Canines. We searched for 3,658
retrocopies from Mischka in the fox (VulVul2.2) and dhole (GWHAAAC00000000) genome
assemblies [57, 58]. In total, 1923 retrocopies (52.2%) were found in the fox and/or the dhole
genomes, 468 retrocopies (12.79%) were present in both the fox and the dhole, 549 were unique
to fox (15%), and 906 were unique to the dhole (24.76%) **(Supplementary Table 11)**.
Consistent with their more recent origin, none of the 66 Mischka retrocopies with intact ORFs
were found in either the fox or dhole assemblies. We also find that sequence similarity of
retrocopies unique to Mischka (median: 96.75%) vs. those found in either the fox or the dhole
(median: 95.72%) tended to be slightly higher (p-value: $6.02*10^{-44}$) **(Supplementary Figure 6).**

To estimate the rate of retrocopy insertions, we first focus on the Mischka genome. We
estimated the number of generations since genomic divergence from the mCanLor1.2 wolf
genome. Excluding duplicated segments and short tandem repeats, there are 4,219,105 autosomal
single nucleotide differences between the Mischka and mCanLor1.2 genome assemblies. Using
the wolf mutation rate of $4.5 * 10^{-9}$ per generation [59], and with an analyzed genome size of
2,022,154,146 bp, we calculated that 231,827 generations have elapsed since genome divergence
between Mischka and mCanLor1.2. By comparing the retrocopies between Mischka and
mCanLor1.2, we identified 48 retrocopies that are unique to Mischka, and 79 that are unique to
mCanlor1.2. Retrocopies that were present uniquely to Mischka had a much greater sequence
similarity to their parent gene (mean: 99.92%) vs. those shared in the mCanLor1.2 assembly
(mean: 96.14%) (p-value: $9.396*10^{-29}$) **(Supplementary Figure 6)**. By dividing the average
amount of unique retrocopies over the number of generations, we estimate that the rate of
retrocopy insertion as $2.74 * 10^{-4}$ per generation, or approximately 1 in 3,650 births, a higher rate
than expected. Insertion rates estimated by comparing multiple canine assemblies, including
Mischka, to the mCanLor1.2 wolf genome range from $2.74 * 10^{-4}$ per generation to $3.1 * 10^{-4}$ per
generation, or from 1 in 3226 to 1 in 3650 (avg: 1/3514 births) **(Table 3)**. When considering a
calibration based on the lower-bound and higher-bound wolf SNV mutation rate, which was
defined as a range of 2.6×10^{-9} to 7.1×10^{-9} [59], we estimate the range of novel insertion in
Mischka is $1.58 * 10^{-4}$ per generation to $4.32 * 10^{-4}$ per generation (1/2,315-1/6,329 births).

Discussion

We analyzed the duplication content of nine recent canine assemblies using two methods:
genome assembly self-alignment and read-depth analysis, identifying 1.74-7.05% of each
genome as duplicated. We found that duplications were enriched in the first and last megabases
of canine autosomes. Since canine autosomes are acrocentric [54], this suggests an enrichment of
duplications in pericentromeric and subtelomeric regions, as found in other species [60].
The assembly self-alignment and read-depth approaches identified a range of duplication
content in each assembly, ranging from 17.27 to 109.78 Mb by self-alignment and 41.17-166.47
Mb by read-depth. Overlap analysis shows a generally poor level of agreement, with most
duplicated base pairs only identified by one of the methods. Thus, each of the assemblies offers
an incomplete representation of the true duplication content in each sample. The assemblies
differ in how they represent partially resolved duplications. For example, in the Mischka
assembly, unresolved duplications were often retained as separate unplaced contigs that were not
assigned to a chromosomal location. Other assemblies report few or no duplications on unplaced
contigs. This difference may have implications in downstream analyses that utilize these
assemblies.
Our initial analysis of duplications in the Mischka assembly revealed the presence of
many short, high-recurrence duplications. Further investigation showed that these segments
corresponded to multiple related retrogene insertions. To explore this further, we annotated
1,263-1,316 retrocopies across all assemblies, and found that a large majority displayed the
hallmarks of retrotransposition such as poly(A) tails and target site duplications. This includes

49-66 retrogenes per assembly that appear to maintain intact parental ORFs. Whether these intact
retrogenes are expressed or have any functional impact requires further investigation. We also
note that our definition of retrogene boundaries is based on the existing annotation of genes in
canines. This annotation of untranslated regions (UTRs) in these gene models is often
incomplete, resulting in an underestimation of the size of retrogene insertions (**Figure 2B**), and
underestimating the count of retrocopies with target-site duplications and/or poly(A) tails. We
also find that 53% of retrocopies from Mischka are present in the dhole or fox assemblies,
implying an origin prior to wolf speciation. However, it should be noted that the dhole and red
fox assemblies are highly fragmented (n=29,680 and 82,424 contigs, respectively), limiting the
ability to interpret sharing among the two genomes [57, 58].
404   Additionally, in the Mischka assembly, we find that 466 retrocopies are located within
405   larger segmental duplications with over half of those retrocopies being present on unplaced
406   contigs. This suggests a pattern where a retrocopy inserts into a locus, which is then
407   subsequently duplicated, perhaps repeatedly, throughout the genome. A similar pattern of
408   insertion-duplication has been described for retrogenes that may have functional effects in
409   elephants and horses [61, 62].
410   We take advantage of retrocopy comparisons between dog and wolf assemblies to
estimate an average retrogene insertion rate. Methods for estimating mutation rates from patterns
of sequence differences can be divided into three broad categories [63]. First, rates can be
estimated by sequencing trios and counting the number of new mutations observed in children
that are absent in their parents. This estimate is the least affected by natural selection, as only
lethal mutations should be unobserved in the offspring. However, a large number of individuals
may need to be analyzed, particularly for mutation types that arise at a slow rate. This method is
also extremely sensitive to false positive calls (in the children) and false negative calls (in the
parents). Second, rates can be estimated from the distribution of variants identified in multiple
individuals using population genetic models. The expected distribution of differences among
individuals is a function of multiple population genetic parameters. If some parameters are
known, an estimate of the mutation rate can be obtained. For example, if the effective population
size is known, or can be estimated using other data, then the mutation rate can be estimated
based on the distribution of segregating sites in the sample. Similarly, mutation rates can be
estimated from differences between chromosome segments that are inherited identical-by-
descent (IBD) if the number of generations separating the IBD segments can be determined.
Related methods have been applied over both recent and ancient time scales [64-67]. However,
these methods may require models of how population sizes change over time, are sensitive to
missing rare variants, and can be affected by natural selection. The third class of estimates takes
a phylogenetic approach and estimates the mutation rate based on the number of differences that
have occurred along two sampled lineages. The divergence time between sampled lineages can
be estimated from species separation times inferred from the fossil record. However, for closely
related groups, much of the lineage divergence time occurs as coalescence in the ancestral
population, making estimates of the ancestral population size critical when a fossil calibration is

used. As in population-based estimates, an alternative is to calibrate phylogenetic estimates
based on a sequence divergence time estimated using a previously known rate for a different type
of mutation.
In this study, we utilize phylogenetic comparisons between dog and wolf genomes to
estimate the rate of retrocopy formation. We calibrate these estimates using a single nucleotide
mutation rate inferred from sequencing a wolf pedigree. This mutation rate, $4.5*10^{-9}$/bp/gen,
implies a divergence between assembled dog genomes and the mCanLor1.2 Greenland wolf of
231,827 generations, or 695,481 years, assuming 3 years per generation [68]. This deep
divergence may seem inconsistent with the domestication of dogs in the past 15,000-30,000
thousand years [68-70]. Dogs are thought to have been domesticated from a now-extinct
progenitor population of wolves somewhere in Asia and the ancestral wolf population may have
had a large effective size. When correcting for differences in the assumed mutation rate, a
previous Bayesian coalescent analysis of dog and wolf divergence estimated that the ancestral
population size of new-world and old-world wolves was quite large, 143,000 individuals [71].
This implies a mean coalescence of two lineages in this ancestral population of 286,000
generations, which, along with the population separation time, is broadly consistent with our
phylogenetically inferred estimate. We note that these estimates of population size may be biased
by the presence of admixture from coyotes in some of new-world wolf samples used to create the
estimate [71, 72] or by unmodeled population structure among ancestral wolves.
We note that the our initial annotation of retrocopies in each assembly (Table 2) applies
conservative filters of alignment quality to reduce false positive annotations. However, this
induces false negative calls across assemblies. For the rate estimate, we corrected for this by
assessing the presence of retrocopies called in any assembly among all other assemblies through
an alignment of the flanking sequences (Supplementary Figure 8). Although our estimate of
1/3,514 births is affected by several assumptions including the absence of selection and of a
uniform rate, the estimate of the SNP mutation rate is likely the largest single source of
uncertainty in our estimate. Utilizing the reported confidence interval of the SNP mutation rate
($2.6*10^{-9} – 7.1*10^{-9}$) implies a retrogene insertion rate of between 1/2,226 and 1/6,075 births.
The inferred retrocopy insertion rate in canines is substantially higher than that found in
humans; between humans and chimps, one new gene retrocopy insertion was estimated for every
6000 births, based on the 1000 Genomes Project [73]. However, this estimate is calibrated based
on an assumed human effective population size of 10,000 which is largely consistent with an
assumed human mutation rate of $\sim2.5*10^{-8}$ bp/gen [74]. Adjusting this for the human mutation
rate obtained from pedigree sequencing, $\sim1.3\ 10^{-8}$ bp/gen [75], decreases the estimated rate of
retrocopy insertion in humans to $\sim$1/11,500 births, or about 3-times lower than the canine
estimate. However, it is noted that long-read data enables a higher retrocopy insertion detection
rate [76] so this may be an underestimate of the true human insertion rate. Other observations are
consistent with a high rate of retrogene formation in dogs. A recent survey suggests that
polymorphic retrogene insertions are 13-fold more common in dogs than in humans [13]. The
most well-studied retrogenes in dogs are derived from the *FGF4* gene which cause the short-leg

phenotype found in some breeds such as corgis and beagles [12]. *FGF4* retrogenes have occurred
multiple times independently, with 7 independent copies identified to date [13, 77]. Additionally,
dogs have a high rate of SINE polymorphism [37, 78]. Both SINE and retrogene insertions
require the activity of LINE-1 elements. In contrast to SINEs, which do not encode any proteins,
LINE-1s are autonomous retroelements that encode proteins required for their own
retrotransposition [79]. LINE-1 encoded proteins predominantly mobilize the RNA that encodes
them through a process known as *cis-preference* [80]. However, one or more LINE-1 encoded
proteins can rarely act to mobilize other RNAs such as SINEs or cellular mRNAs [81]. We
speculate that the high rate of SINE and retrogene insertion found in dogs may result from a
shared mechanism that involves a reduced level of *cis-preference* for the proteins encoded by the
canine LINE-1 element.

Materials and Methods

Assemblies considered

Our analysis involves 9 published long-read canine assemblies [33-40]. For simplicity,
we refer to them by name. Assembly accessions and other details are provided in **Table 1**.

Genome assembly self-alignment

Genome assembly self-alignment was performed using BISER (Brisk Inference of
Segmental duplication Evolutionary stRucture) (v1.2.3) which was developed to detect
segmental duplications at low identity across multiple genome assemblies [16]. BISER defines a
segmental duplication with the following criteria: below a certain error threshold based on shared
sequence identity ε(approximately 15% for 75% shared sequence identity), longer than 1,000 bp,
and paralog sequences may overlap up to a certain amount of base pairs, also defined by the error
threshold. Before alignment, repetitive regions in the genome are soft-masked, prohibiting the
initialization of alignments in low-complexity and highly-repetitive sequences, such as LINEs,
SINEs, and simple repeats. Duplications involving the mitochondrial chromosome were removed
from analysis since nuclear mitochondrial insertions (nuMTS) represent a distinct class of
variation [82], and two categories of duplications were defined: one where the paralogous
sequence between duplication copies is at least 90% identical, and a subset where the identity
rate is at least 95%. These sets are further divided based on their placement on assembled
chromosomes (the 38 canine autosomes and the X-chromosome) vs. unplaced contigs in each
assembly. Because BISER identifies duplicated segments as pairs of sequences and duplication
pairs often overlap each other, we used BEDTools merge (v2.30) to create a non-redundant set of
duplicated intervals [83].

Distribution of duplications along the genome

We analyzed the distribution of duplications located on the 38 canine autosomes and the
X chromosome by permutation. The distribution of duplications was compared against 1000
random permutations using BEDTools shuffle (v2.30), limiting placement to the assembled

chromosome sequence [83]. This was performed three times, on the self-alignment duplications,
the read-depth duplications, and on the retrogene set to look for chromosomal enrichment.

Locating highly duplicated segments

Short segments with a high recurrent duplication level were identified using the
duplication pairs found by self-alignment located on the assembled chromosomes. All
duplications smaller than 2.5 Kb were located, and their coordinates rounded to the nearest
hundred (i.e., a coordinate of 2,349 would become 2,300, and a coordinate of 3,175 would
become 3,200), to account for minor difference in alignment endpoints. Duplications were
merged into segments, and segments that contained at least four duplications were labeled as
highly recurrent.

Read-depth analysis

Read-depth analysis was applied to Illumina data from the assembled individuals using
fastCN [31]. First, a version of the assembly was created in which elements identified by
RepeatMasker, tandem repeat finder [84], WindowMasker [85], and over-represented 50-mers
were masked. Next, all placements within an edit distance of two for 36-bp segments of each
Illumina read determined using mrsFAST (v3.4.1) [86]. The resulting depth profiles were
tabulated, corrected for local GC content per fastCN, and converted to copy number estimates
based on a set of control regions. For copy number normalization we excluded: reported
deletions, duplications, and insertions [33], duplicated intervals from a preliminary self-
alignment of the Mischka genome, duplicated segments identified by a preliminary fastCN
analysis, and all chromosomes other than chr1-38. Copy number profiles were calculated based
on the mean normalized depth tabulated in windows containing 1,000 unmasked positions.
Duplicated regions are defined as at least four consecutive windows whose copy numbers all
exceed 2.5 and have a total combined size equal to or greater than 10 Kb. An overall copy
number was determined by taking the median copy number of all windows within a duplicated
region. Masking of the additional genomes was performed as described above. Normalization
controls were converted from Mischka coordinates using minimap2 (v2.26) [87]. China was
omitted from these analyses because, while it does have BGI short read sequencing, we opted to
only utilize Illumina-based data. Nala was omitted due to extreme GC bias observed in the
associated Illumina data **(Supplementary Figure 4)**.

Comparison of duplications identified using self-alignment and read-depth approaches

To compare the results found by self-alignment and the results found by read-depth, both
sets of duplications (BISER and read-depth) were filtered to retain only a) duplications on the
assembled chromosomes; b) duplications greater than 15 Kb; c) duplications with at least 95%
identity; d) duplications that are not located in the first megabase of any autosome. Analysis was
limited to assembled chromosomes due to differences in the treatment of unplaced contigs
between assemblies. The read-depth analysis has a mean window size of 3,822 bp, with four
windows of an average size equaling approximately 15 kb. We utilized this metric to filter our

read-depth and our self-alignment sets to match this new criterion. The first megabase of each
autosome is excluded because, as a result of masking, there are no informative read-depth
windows in these regions. Self-alignment duplications were intersected with 1 Kb unique
sequence read-depth windows, and a self-alignment duplication was confirmed supported if the
windows had a median copy number of at least 2.5. Read-depth duplications were counted as
supported if they overlapped with any self-alignment duplication. The methods described above
for the Mischka assembly were expanded to the eight other canine genome assemblies with
control region coordinates using liftoff (v1.6.3) [87, 88]. In the gene analysis, read-depth
windows are intersected with the protein-coding genes annotated in Mischka.

For the gene set of choice, we used a subset of 13,817 genes identified in Mischka, with
the restriction that genes must be protein-coding, must be located on the 38 autosomes or the X
chromosome, and that a gene must fully encompass at least 3 windows, where each window
represents 1 Kb of non-repetitive DNA sequence used by fastCN. A table was built to observe
copy number across the genome, where a row represents a canine sample and a column
represented a pre-determined window in the Mischka coordinates, with entries being the copy
number. Copy number for genes were determined as the median of all copy numbers in windows
encompassed by a gene. A canine assembly is considered to have a duplicated gene if the copy
number exceeds 2.5.

Retrogene analysis

We searched for candidate retrocopies in the Mischka assembly based on the annotation
of 19,972 protein coding genes used by the Dog10K consortium [14]. A single transcript was
selected for each gene, with priority given to the longest isoforms and fewest number of exons.
We removed any genes with an intron less than 50 bp, to account for many uninvestigated genes
with single base pair introns, and any gene that only had a single exon.

We constructed cDNA sequences using SAMtools faidx (v1.13) to extract the sequence
of each exon [89]. Using BLAT, cDNA sequences were mapped against the genome with
repetitive 11mers filtered (--*ooc* option) (v.35) [90]. The resulting alignments were examined for
hits that could be retrocopies. Alignments were removed if they were: less than 100 bp,
overlapped the genomic coordinates of the parent gene, had less than 90% sequence identity with
the parent gene, or do not cross an exon-exon boundary of the parent gene. The same analysis
procedure was applied to the other eight genome assemblies after converting the genes annotated
in Mischka to the coordinates of each assembly using liftoff based on minimap2 alignments
(v2.26) [88, 91]. 1000 random permutations were conducted in the same manner described in the
section *Distribution of duplications along the genome.* Due to paralogous genes, parent genes
could not be resolved for a few retrocopies in each canine assembly.

Conducting Gene Ontology (GO) Analysis

At several instances, large lists of genes were submitted to the Gene Ontology (GO)
database in order to find functional links [44-46]. These were submitted to the GO Enrichment
Analysis (Powered by Panther) under the 'Biological Process' tab to *Canis lupus familiaris*. A

list of the genes submitted and their results can be found in **Supplementary Table 9**. We utilized
the 2024-01-17 release (10.5281/zenodo.10536401).

Examination of retrogene hallmarks

Retrogene containing loci from all nine canines were explored for presence of retrogene
hallmarks: target-site duplications (TSDs), poly(A) tails, and LINE-1 endonuclease cleavage
sites. Before detection proceeded, loci within one hundred base pairs of reference gaps were
removed with BEDTools (v2.26.0) window with parameters *-w 100 and -u* [83]. Additionally,
any loci within the first or last 100 base pairs of each chromosome as well as loci which were
comprised of multiple segments did not undergo hallmark detection. For each locus, a
symmetrical number of base pairs were extracted upstream and downstream of the retrogene
with SAMtools faidx (v1.13) [89].
To detect TSDs, the upstream and downstream fasta files were aligned to each other
using the water function within the EMBOSS module (v6.6.0) [92]. Water is a modified version
of a Smith-Waterman local alignment and reports the highest scoring alignment only [93]. The
scoring matrix used was a custom matrix which used +2 for all matches and -6 for all
mismatches (-1000 for any alignment containing "N"). Gap opening and gap extension penalties
were both set to 10. Alignment output containing "N" as a base pair were discarded, as were
final alignments shorter than 5 base pairs in length. If alignments started within 5bp of the start
of the upstream query or 5bp of the end of the downstream query, the flanking distance was
increased by 5 and the alignment was repeated.
Poly(A) detection followed TSD detection. The original flanking distance worth of base
pairs were again extracted beyond the 3' end of the retrogene with SAMtools faidx (v1.13).
From there, strings of A or T, depending on retrogene orientation, were detected within the
region. Poly(A)s were not extended into previously detected TSDs. If multiple putative poly(A)
tails were detected, the poly(A) closest to the TSD was reported if one was present. If no TSD
was detected, the Poly(A) closest to the retrogene was reported.
To assess if insertions possess a LINE-1 endonuclease cleavage site, loci which possess a
detected TSD as determined above had the first five base pairs of TSD sequence extracted along
with the two base pairs before the TSD using SAMtools faidx (v1.13). If the retrocopy is in the
same orientation as the chromosome, the sequence is reverse complimented so that all cleavage
site sequences are reported on the minus strand. Cleavage sites were then aggregated on a
sample-by-sample basis and plotted using matplotlib [94] and logomaker (v0.8) [95] .
Various extract distances were trialed ranging from 10 base pairs to 2000 base pairs with
60 base pairs being chosen to maximize concordance between TSDs and poly(A) (concordance
defined as the number of loci in which the TSD and poly(A) are within 5 bases of each other).
Seven of the nine samples had a maximum concordance with flanks of 50bp, 60bp, 70bp, 80bp,
or 90bp, while the other two had a maximum concordance with flanks of 200bp. Though this
method is limited in its ability to detect TSDs/poly(A) combinations that are more than 60bp
combined, as well as hallmarks present in retrocopies containing unannotated UTR sequence,

these limitations are thought to be minor as the vast majority of retrogenes contained at least one
hallmark.

To assess fullORF loci, the list of retrocopies with full open reading frames was
intersected with the loci which had their hallmarks identified (with 60bp of flanking distance) in
the previous step using bedtools intersect (v2.26).

Polymorphic loci were investigated for an alternate pattern of TSDs or endonuclease
cleavage sites. For each locus, an additional 5kb of flanking sequence of a retrocopy (or lack
thereof, referred to as the "empty site") was extracted using samtools faidx (v1.13). At each
locus, canines with starting coordinates longer than 20,001bp were excluded from the analyses,
as well as loci with multiple parent gene candidates. The extracted sequences were aligned to one
another using age -both (v0.4) [97]. If an insertion was detected, the inserted sequence was used
as a query to blat against the cDNA of the retrocopy of interest (v35). Blat commands followed
as such: "blat -minIdentity=85 extracted_insertion_sequence cDNA_file blat_output_file". The
corresponding longest blat hit must be greater than 75% of the length of the insertion. Individual
canine comparisons underwent separate processing if the blat alignment was of insufficient
length, no insertion longer than 20bp was detected, or there was an insertion in both the query
and the reference. These hits were not investigated in downstream steps. For remaining
comparisons, AGE also detects locations of TSDs and is more stringent than our previous
method, with detected TSDs being exactly at the boundary of the detected insertion and requires
perfect sequence identity between upstream and downstream TSDs. For loci that contained a
TSD, an endonuclease cleavage site was determined by extracting the last two bases before the
TSD and the first 5 bp of the TSD using samtools faidx (v1.13). Cleavage sites were reverse
complimented if the orientation of the retrocopy was forward. Logo plots and histograms of this
dataset were generated using logomaker(v0.8) and matplotlib [95]. At each locus, only one
confirmed filled site was processed. Priority was given to canines with at least 5bp of TSD
sequence in the following order: mCanLor, then Mischka, Sandy, Nala, China, Zoey.

Estimating the insertion rate of retrocopies

We selected contiguous genome assemblies from diverse dog samples to search for the
insertion rate of retrocopies, including Mischka, Nala, China, Sandy, and Zoey relative to the
mCanLor1.2 assembly. We discounted all retrocopies that overlap a read-depth segmental
duplication. For each canine retrocopy set, pairs of 500 bp flanks were extracted from each
retrocopy and aligned to mCanLor1.2 using minimap2 (v2.26) with the -c and -x asm5 options
[87]. Retrocopies whose flanks did not map were discounted entirely from the analysis, due to an
inability to determine presence of sharing between samples. For all retrocopies, if a retrocopy's
presence across all six assemblies had not yet been determined in the initial mapping, both the
flanks and the intervening sequence were derived from an assembly that did have the sequence
(in order, priority was given to mCanLor, then Mischka, Sandy, Nala, China, and Zoey in that
order) and mapped to all dogs to determine whether or not the retrocopy was truly present. If the
flanks were present and the intervening sequence was also present, the retrocopy was marked as
shared. If only the flanks were present, the retrocopy was marked as absent in that dog, and if

flanks did not map, the entire retrocopy was discounted, once again due to inability to determine
sharing. The remaining retrocopies were totaled for presence or absence in each assembly, and
converted into an upset plot using UpSet (v.0.9.0) [96].
Then, a retrocopy insertion rate was estimated based on a comparison between each
assembly and mCanLor1.2. For each independent comparison, a retrocopy was considered as
long as it could be determined whether or not it was present in mCanLor1.2 and the compared
assembly, regardless of whether it failed to map in another assembly. This allowed for
determination of retrocopies unique to mCanLor1.2 vs. a comparison assembly.
To identify sequence identity of retrocopies to the parent gene, retrocopy sequences were
compared against the parent gene cDNA using BLAT (v.35) [90]. Sequence identity was
determined as number of matches over number of matches plus mismatches. Retrocopies were
then divided into categories for comparison: one group with fullORF retrocopies vs. those that
were not; one group with retrocopies that were also found in either the dhole or the fox vs. those
that were not; and one group with retrocopies unique to the Mischka assembly vs. those that
were also found in the mCanLor1.2. assembly.
To further estimate rates of differences between genome assemblies, we identified single
nucleotide differences among assemblies. Autosomal assembly size was determined by called
regions between an assembly and mCanLor, as determined by minimap2 (v2.20) [87], with the
additional flags of *-c --cs*, outputting differences in *PAF* format using the paftools.js call
command. Regions are filtered to remove duplicated segments identified by read-depth,
duplications identified by self-alignment, and simple tandem repeats identified by Tandem
Repeat Finder [84]. SNPs called by minimap2 were then intersected with filtered regions. The
resulting SNPs were used to estimate the number of generations that had passed since divergence
between mCanLor1.2 and the other genome assemblies. We utilize the wolf SNP mutation rate
estimated by Koch et al. of $4.5 * 10^{-9}$ per generation [59] and the size of the analyzed genome
after filtering to determine generations passed as:

693
$$\frac{\frac{1}{2} * SNPs}{Size\ of\ the\ canine\ autosomal\ genome} * \frac{1}{Wolf\ mutation\ Rate}$$

This formula calculates the approximate number of generations since divergence. We
also calculated a range for the generations based on the range of the wolf mutation rate, which
was 2.6×10^{-9} and 7.1×10^{-9}. We divide the average number of unique retrocopies detected
between a given canine assembly and mCanLor1.2 and divide by the estimated generational
since divergence to get an estimate for the rate of retrocopy insertion, and additionally calculated
a range of rate of insertion based on the generation range [25, 97, 98].

Locating ancestral retrocopies

We selected 3,658 retrocopies from the Mischka assembly to search for in the red fox
(*Vulpes vulpes,* VulVul2.2) and dhole (*Cuon alpinus,* GWHAAAC00000000) genome
assemblies. It should be noted that both genome assemblies are composed of contigs, as opposed
to chromosomes. We extracted 1 Kb flanks from all the retrocopies with samtools faidx (v1.13)

and used minimap2 (v2.26) with the -c and -x asm20 options to align these flanks to the fox or
dhole genome. We filtered out any retrocopies whose flank pairs either had at least one member
missing, or whose flank pairs mapped to different contigs. We then took the intervening
sequence between the flanks and removed any that were not at least 75% of the size of the
original retrocopy in Mischka. With the remaining set, we extracted the intervening sequence
with samtools faidx from their respective genomes and used minimap2 with the same options to
map the putative retrocopies back to the Mischka assembly. If a candidate retrocopy from the fox
or dhole did not overlap the original location in Mischka, it was discounted from the analysis.

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Author Contributions

718 AKN and JMK conceived the study. AKN carried out the data-gathering and primary analyses,
719 and MSB analyzed retrocopies for retrotransposition hallmarks. MSB and JMK advised on the
720 methodology and interpretation of results. AKN drafted the manuscript, and all authors reviewed
721 and edited the final manuscript.

Data Availability

Final counts and coordinates of each set of items (self-alignment duplications, read-depth
duplications, genes in read-depth duplications, and retrogenes with hallmarks) are located in the
provided supplementary files. Relevant and custom code is available on GitHub at
https://github.com/antnguye/dups_and_retrogenes_in_canine_assemblies. Hallmark analysis is
available on GitHub at
https://github.com/mblacksmith1996/Hallmark_Detection_Python_Scripts. A UCSC genome
browser TrackHub depicting duplications identified in the Mischka and mCanLor1.2 assemblies
is available at https://github.com/KiddLab/dog-segdup-tracks.

Tables

Sample Name	Sample Type	N_{50} (Mbp)	Primary Sequencing Technology	Accession Number
Mischka (canFam4)	German Shepherd	14.96	PacBio Sequel	GCA_011100685.1
Sandy	Dingo	40.7	PacBio Sequel/ONT PromethION	GCA_003254725.2
China	Basenji	37.76	ONT PromethION	GCA_013276365.2
mCanLor1.2	Greenland Wolf	34	PacBio HiFi	GCA_905319855.2
Tasha	Boxer	27.45	PacBio Sequel	GCA_000002285.4
Nala	German Shepherd	20.9	PacBio Sequel	GCA_008641055.3
Zoey	Great Dane	4.72	PacBio RSII	GCA_005444595.1
Wags	Basenji	3.13	PacBio Sequel	GCA_004886185.2
Yella	Labrador Retriever	0.091	ONT GridION	GCA_012045015.1

Table 1. Canine Assemblies Investigated.

Listed in each row is the name of the sample used for each assembly, the sample type, the N_{50} in megabases as reported by each publication, and the main sequencing approach used to construct the assembly as reported in each publication. Aside from Mischka/canFam4 at the top, the rest of the assemblies are ordered by their contig N_{50}.

Sample Name	Protein-Coding Genes	All Retrocopies (Parental Genes)	Assembled Retrocopies (Parental Genes)	Full-length ORF Retrocopies (Parental Genes)
Mischka (canFam4)	19972	3892 (1316)	3658 (1305)	66 (55)
mCanLor1.2	19449	3649 (1307)	3634 (1304)	59 (52)
China	19393	3601 (1305)	3583 (1298)	49 (45)
Nala	19490	3695 (1315)	3693 (1315)	64 (50)
Sandy	19382	3649 (1311)	3646 (1310)	62 (53)
Tasha	19020	3474 (1263)	3472 (1263)	50 (42)
Wags	19394	3626 (1281)	3401 (1234)	51 (44)
Yella	19192	3568 (1281)	3568 (1281)	55 (48)
Zoey	19380	3632 (1297)	3572 (1286)	49 (41)

Table 2. Retrocopies identified in each canine assembly.

Column two gives the number of protein-coding genes considered as potential gene parents in
each assembly. Retrocopies in column 3 include all chromosomes, including mitochondrial and
unplaced contigs, and column 4 contains only the assembled chromosomes, chr1-38 + X. The
total number of retrocopies and the number that retain the open reading frame of the parental
gene are shown.

Assembly Name	Total Retrocopies Between Assemblies	Retrocopies Unique to Assembly	Retrocopies Unique to mCanLor1.2	Estimated Generations Since Divergence	Insertion Rate * 10^{-4} (1 in N births)	Range of Generations	Range of Insertion Rate * 10^{-4} (1 in N births)
Mischka	3,489	48	79	231,827	2.74 (3,650)	146,932-401,239	1.58-4.32 (2,315-6,329)
China	3,513	48	92	226,008	3.1 (3,226)	143,245-391,168	1.79-4.89 (2,045-5,587)
Nala	3,503	52	79	235,551	2.78 (3,597)	149,293-407,685	1.61-4.39 (2,278-6,211)
Sandy	3,510	54	79	234,571	2.83 (3,534)	148,672-405,989	1.64-4.47 (2,237-6,098)
Zoey	3,487	47	78	224,470	2.78 (3,597)	142,270-388,507	1.61-4.39 (2,278-6,211)

Table 3. Rate of Retrocopy Formation in Canine Assemblies

This table describes the five assemblies retained for retrocopy comparison against mCanLor1.2,
the Greenland Wolf Assembly. Column 1: Assembly Name. Column 2 contains the total sum of
retrocopies between the assembly and mCanLor1.2. Columns 3 and 4 show how many
retrocopies are unique to the assembly and to mCanLor1.2 respectively. Column 5 shows the
number of generations elapsed since divergence between the assembly in mCanLor1.2. Column
6 shows the final determined insertion rate, calculated by dividing the average between Columns
3 and 4 over the generations in Column 5, shown as an insertion rate of X * 10^{-4}, and presented
as 1 in n births. Column 7 shows the range of generations elapsed, based on the upper and lower
bounds of the wolf mutation rate. Column 8 shows the range of insertion rate, based on the
generation range in Column 7.

Figures

Figure 1. Estimated duplication content of canine assemblies.

Duplication content was determined based on read-depth and genome assembly self-alignment.
(A) The amount of each genome assembly detected as duplicated using each method is shown.
Since the read-depth approach is best able to detect larger, more similar duplications, the regions
detected by genome self-alignment were filtered to segments with 95% sequence identity at least
15 Kb in length. China lacks Illumina data and thus has no read-depth analysis. (B) A Venn

Diagram showing the intersection of the two methods for the Mischka assembly and for the
mCanLor1.2 assembly. Values are plotted in Mb.

Figure 2. Hallmarks of retrotransposition in detected retrocopies.

Analysis of retrocopy presence across canine assemblies is shown. A) A diagram depicting the
key steps of retrocopy formation, including the presence of target site duplications and poly(A)
tails at the insertion, is shown. B) The annotated sequence of a retrocopy from the TBPL1 parent
gene, which is inserted in chr9 in the Mischka assembly, is shown. Highlighted sequence depicts
the aforementioned hallmarks as indicated. This retrocopy retains the parental ORF, shown in
bold. The short purple highlighted sequence corresponds to UTR sequence included in the
retrocopy that is not part of the existing TBPL1 annotation. C) The distribution of how many
retrocopies possess TSDs, poly(A) tails, both, or neither in each of the nine canine assemblies.
D) A histogram of the TSD lengths found for retrocopies in the Mischka assembly. TSD lengths
of 50 or greater have been grouped into one bin. E) A histogram of the lengths of poly(A) tails
found for retrocopies in the Mischka assembly. Lengths of 50 or greater have been grouped into
one bin. F) An examination of target site cleavage sequence for retrocopies in Mischka for
retrocopies with at least a 10bp TSD (N=1615). It shows the sequence composition spanning 5
bases before and 2 bases after the inferred cut site.

mCanLor1.2	Mischka	China	Nala	Sandy	Tasha	Wags	Yella	Zoey
EEF1A1 (169)	EEF1A1 (169)	EEF1A1 (167)	EEF1A1 (173)	EEF1A1 (176)	EEF1A1 (167)	EEF1A1 (165)	EEF1A1 (168)	EEF1A1 (169)
HNRNPA1 (128)	HNRNPA1 (123)	HNRNPA1 (129)	HNRNPA1 (125)	HNRNPA1 (130)	HNRNPA1 (122)	HNRNPA1 (117)	HNRNPA1 (126)	HNRNPA1 (123)
GAPDH (114)	GAPDH (113)	GAPDH (111)	GAPDH (112)	GAPDH (113)	GAPDH (109)	GAPDH (106)	GAPDH (114)	GAPDH (110)
RPL17 (59)	RPL17 (64)	RPL17 (66)	RPL17 (67)	RPL17 (68)	RPL17 (63)	RPL17 (57)	RPL17 (67)	RPL17 (67)
RPL31 (59)	RPL31 (59)	RPL31 (57)	RPL31 (58)	RPL31 (59)	RPL31 (56)	RPL31 (52)	RPL31 (61)	RPL31 (58)
RPL21 (53)	RPL21 (53)	RPL21 (55)	RPL21 (54)	RPL21 (54)	RPL21 (52)	RPL21 (51)	RPL21 (53)	RPL21 (53)
LOC119863874 (34)	LOC119863874 (35)	LOC119863874 (34)	RPL7 (35)	LOC119863874 (34)	RPL32_2 (34)	LOC119863874 (33)	RPL32_2 (34)	RPL32_2 (33)
RPL32_2 (33)	RPL32_2 (33)	RPL32_2 (33)	LOC119863874 (34)	RPL32_2 (32)	LOC119863874 (33)	RPL32_2 (32)	LOC119863874 (34)	LOC119863874 (33)
RPL7 (31)	RPL7 (31)	RPL7 (29)	RPL32_2 (33)	RPL7 (30)	RPL7 (30)	RPL7 (32)	RPL7 (30)	RPL7 (32)
LOC100687749/LOC100686340 (26)	LOC100687749/LOC100686340 (29)	LOC100687749/LOC100686340 (27)	LOC100687749/LOC100686340 (28)	LOC100687749/LOC100686340 (29)	LOC100687749/LOC100686340 (27)	LOC100686340 (27)	BTF3 (28)	LOC100687749/LOC100686340 (27)

Figure 3. Most frequent retrocopy parental genes.

Each column lists the 10 genes that give rise to the most identified retrocopies in each assembly. Retrocopies presented here are limited to the assembled chromosomes. The number of retrocopies from each parent are given in parentheses. The same genes are colored identically to one another to quickly determine presence of reoccurring genes. Only 11 parent genes are represented across all nine assemblies, with retrocopies from BTF3 found in the other assemblies at slightly decreased frequencies that removed it from the top ten.

Supplementary Figures

Supplementary Figure 1. A High-Recurrence Duplicated Segment Detected by Genome Assembly Self-Alignment.

This screenshot of UCSC Genome Browser depicts the region chr1:42,099,050-42,105,269 in Mischka, a segment of the genome located in an intron of the gene *AKAP12*. The green and gray bars depict duplicated intervals detected by genome assembly self-alignment (BISER, top), whereas the blue lines with arrows indicate genes annotated in the Mischka assembly. The final track represents non-canine mRNAs from GenBank. Each gray/green bar has a location where the duplicated sequence is found elsewhere as indicated at locations given on the left at homology levels between 90 and 98%, with the singular yellow bar indicating an even greater homology between 98% and 99%. The parental retrogene for this duplicated sequence is *HMGN2*, a common parent gene.

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Supplementary Figure 2. Merging Pairwise Duplications Identified by Genome Self Alignment.

In the genome assembly self-alignment analysis, we identify pairwise duplicated segments 1kb
in size with greater than 90% sequence similarity (represented in color). These duplicated
segments appear across all chromosomes present in canine assemblies, including on unplaced
contigs. Because these duplications are pairwise, a single locus can be duplicated multiple times
and be present in multiple pairwise alignments with varying levels of sequence similarity
(depicted in color). When merging, bedtools merge (v2.30) was used to combine overlapping
segments together, regardless of sequence similarity We also removed duplications present on
non-assembled chromosomes at this stage (chrM and unplaced contigs), since the treatment of
unplaced contigs varies among the assemblies we studied.

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821   B

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Supplementary Figure 3. Duplication Content of Each Chromosome.

The X-axis represents each assembled chromosome, and the Y-axis represents what fraction of
that chromosome is duplicated as a percentage. (A) Blue represents duplications found by
genome assembly self-alignment (BISER). Orange is BISER duplications supported by read-
depth, and green is unsupported. (B) Blue represents duplications detected by read-depth. Orange
shows support from BISER, and green is unsupported.
829
830
831   A)

B)

Supplementary Figure 4. GC Coverage Profiles.

Plots of coverage by local GC content are produced by fastCN. The dashed blue line shows mean read-depth. The solid blue line shows the coverage as a function of local GC content. The red line shows the correction factor calculated to normalize coverage based on GC content. (A) Depicted is the curve for Nala, which shows a skewed coverage across different GC levels, suggesting bias in the Illumina data. (B) Depicted is the curve for Mischka, showcasing a more uniform coverage profile across GC levels.

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Supplementary Figure 5. Retrocopy Count vs. Chromosome Size.

A) Depiction of the number of retrocopies on each of the assembled chromosomes (chr1-38 + X)
found in the Mischka assembly vs. the length of each chromosome. ChrX has 228 total
retrocopies. B) Depiction of the number of retrocopies on each of the assembled chromosomes
found in 1000 random permutations vs. the length of each chromosome. ChrX has a mean of 146
retrocopies and a max of 188 retrocopies. Mischka contains a 1.18-fold increase of detected
retrogenes on chrX.

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Supplementary Figure 6. Sequence Similarity Between Mischka Retrocopies and Parent Gene

This violin plot depicts three categories of comparison of sequence similarity between
retrocopies found in the Mischka assembly and the parent gene. A) Sequence similarity of
retrocopies between those that retain the parental open-reading frame (Mean: 99.86%; Median:
99.93%) vs. those that do not (Mean: 96.04%; Median: 96.14%). P-value: $3.81*10^{-48}$. B)
Sequence similarity of retrocopies unique to Mischka (Mean: 96.57%; Median: 96.75%) vs.
those found in either the dhole or the fox assemblies (Mean: 95.62%; Median: 95.72%) P-value:
$6.01*10^{-44}$. C) Sequence similarity of retrocopies unique to Mischka (Mean: 99.54%; Median:
99.92%) vs. those found in the mCanLor1.2 assembly (Mean: 96.04%; Median: 96.14%). P-
value: $9.396*10^{-29}$.
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A

B

889
Supplementary Figure 7. Hallmark Analysis of Polymorphic Retrocopies.

An analysis of the polymorphic retrocopies (n=226 resolved loci). A) Detected TSD lengths for
all polymorphic retrocopy containing loci with TSDs 5bp or longer (N=212). Only one canine is
represented at each locus. Priority is given as to the first canine with an identified TSD as
follows mCanLor, then Mischka, Sandy, Nala, China, and Zoey. The average TSD length across
the dataset is 15.1 bp. B) The endonuclease cleavage motif for polymorphic loci containing 5bp
TSDs, which follows the expected sequence of TTTTT/AA.

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Supplementary Figure 8. Retrocopies Shared Across Canine Assemblies.

This upset plot depicts 3,377 retrocopies that were found to be present in at least one
assembly between Mischka, mCanLor1.2, Sandy, China, Nala, and Zoey. A) depicts all
retrocopies grouped by presence in canine assemblies, and B) removes the category for shared
between all six assemblies. Of the retrocopies depicted, 3,111 are shared between all six
assemblies. The second largest category is mCanLor1.2-specific retrocopies (n=56). The
remaining categories have between 1 and 38 retrocopies.
906

Supplementary Tables

Name	Self-alignment Duplication Count	mBP occupied by duplications (% of the genome)	Self-Alignment Duplications filtered to 10kB and 95% Homology	mBP occupied by Self Alignment duplications filtered to 10kB and 95% (% of the genome)	mBP occupied by BISER duplications on unplaced contigs (% of the genome)
Mischka	7980	97.68 (4.15%)	3293	82.22 (3.49%)	115.79 (90.15%)
mCanLor1.2	5256	134.54 (5.58%)	781	109.78 (4.55%)	15.78 (53.05%)
China	5535	52.99 (2.27%)	841	27.35 (1.17%)	5.46 (34.73%)
Nala	5887	111.84 (4.69%)	1384	84.53 (3.55%)	5.41 (23.58%)
Sandy	5490	56.65 (2.42%)	848	30.79 (1.32%)	4.22 (33.31%)
Tasha	5571	42.26 (1.83%)	566	17.27 (0.75%)	0.43 (20.14%)
Wags	6993	94.4 (4.13%)	2398	72.39 (3.17%)	44.67 (36.98%)
Yella	6751	103.47 (4.32%)	1139	68.66 (2.87%)	N/A
Zoey	6571	55.9 (2.4%)	1494	31.36 (1.35%)	11.73 (69.54%)

Supplementary Table 1. All Duplications found by Genome Assembly Self-Alignment.

Column 1 indicates the canine assembly's name. Column 2 indicates the number of merged duplications detected by genome assembly self-alignment. Column 3 indicates the total amount of base pairs, in mBP, occupied by column 2, along with the percentage of the genome represented. Columns 4 and 5 are the same as 2 and 3, but filtered to only include duplicated intervals greater than 10 kB in size, and higher than 95% sequence identity. Column 6 is the mBP of self-alignment duplications located on unplaced contigs, and the percentage of base pairs within contigs that are considered duplicated per assembly.

Name	Read-depth Duplications	Duplicated Mbp (% of genome)	Read-depth Duplications on Assembled Chromosomes	Mbp on Assembled Chromosomes (% of assembled genome)
Mischka	1573	87.1873 (3.5%)	1033	56.2099 (2.39%)
mCanLor1.2	2093	130.4306 (5.33%)	2069	123.14 (5.11%)
Nala	4709	152.5044 (6.34%)	4706	152.3764 (6.39%)
Sandy	1702	57.157 (2.43%)	1681	55.1293 (2.36%)
Tasha	990	42.5327 (1.84%)	990	42.5327 (1.84%)
Wags	1007	63.9808 (2.65%)	688	45.5681 (1.99%)
Yella	1060	98.3779 (4.11%)	1059	98.3612 (4.11%)
Zoey	929	48.9654 (2.09%)	695	40.4794 (1.74%)

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Supplementary Table 2. All Duplications found by Read-depth.

Duplications were defined as at least four consecutive windows found by fastCN to have a copy
number of at least 2.5, with a size cutoff of 10 Kb. Column 1 contains canine name, column 2
contains the total number of duplications detected by read-depth, column 3 contains the total
number of duplicated Mbp, and columns 4 and 5 mimics 2 and 3, but restricted to chr1-38 + X,
referred to as the "assembled chromosomes".

Sample Name	Total Retrocopies on Assembled Chromosomes	Filtered Retrocopies	Any Hallmark	Both Hallmarks	TSD Only	Poly(A) Only	Neither
Mischka (canFam4)	3658	3496	3446	1086	2326	34	50
mCanLor1.2	3634	3493	3448	1006	2407	35	45
China	3583	3434	3381	982	2367	32	53
Nala	3693	3543	3480	1018	2430	32	63
Sandy	3646	3502	3451	1024	2393	34	51
Tasha	3472	3328	3278	1035	2216	27	50
Wags	3401	3307	3253	960	2260	33	54
Yella	3568	3291	3241	949	2264	28	50
Zoey	3572	3423	3371	963	2370	38	52

Supplementary Table 3. Hallmarks found in Retrocopies on Assembled Chromosomes.

Total retrocopies found in Table 4 were filtered prior to hallmark analysis. Retrocopies were
only considered for hallmark analysis if they were at least 100 bp away from either end of a
chromosome, did not overlap any genome assembly gap, and were on chr1-38 + chrX. Hallmarks
were only searched for within 60 bp outside of a retrocopy, with total number found in Column
3: Filtered Retrocopies. Target site duplications (TSDs) were required to be a minimum of 5 bp.
Numbers correspond to the number of retrocopies that have the presence of either hallmark, both
hallmarks, only target site duplications, only poly(A) tails, or no evidence of either hallmark.
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Sample Name	Total FullORF Retrocopies	Any Hallmark	Both Hallmarks	TSD Only	Poly(A) Only	Neither
Mischka (canFam4)	66	66	46	20	0	0
mCanLor1.2	59	57	42	14	1	2
China	49	49	40	8	1	0
Nala	64	63	42	21	0	1
Sandy	62	62	50	11	1	0
Tasha	50	50	35	13	2	0
Wags	51	51	40	10	1	0
Yella	51	50	34	14	2	1
Zoey	49	48	32	14	2	1

936   ***Supplementary Table 4. FullORF Retrocopy Hallmarks.***

937   This table mimics the same pattern as seen in Table 2, except the only retrocopies present in this
938   table retain the full open reading frame as their parent gene. A majority of fullORF retrocopies
939   have target-site duplications, with extremely rare occurrences without. 65-81% of fullORF
940   retrocopies have evidence of both hallmarks.
941

Supplementary Files:

Table S5A. All Pairwise Self-Alignment Duplications
Table S5B. All Self-Alignment Duplicated Intervals
Table S6. All Read-depth Segmental Duplications
Table S7. Duplicated Genes found by Read-depth
Table S8. All Retrocopies across All Assemblies
Table S9. GO Analysis of Duplicated and Parent Genes
Table S10. Shared Retrocopies Across Selected Canine Assemblies
Table S11. Mischka Retrocopies Converted to Fox and Dhole
951

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