1   Dorsal Motor Vagal Neurons Can Elicit Bradycardia and Reduce Anxiety-Like Behavior
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**SUMMARY:**

Cardiovagal neurons (CVNs) innervate cardiac ganglia through the vagus nerve to

control cardiac function. Although the cardioinhibitory role of CVNs in nucleus ambiguus

(CVN$^{NA}$) is well established, the nature and functionality of CVNs in dorsal motor nucleus

of the vagus (CVN$^{DMV}$) is less clear. We therefore aimed to characterize CVN$^{DMV}$

anatomically, physiologically, and functionally. Optogenetically activating cholinergic

DMV neurons resulted in robust bradycardia through peripheral muscarinic

(parasympathetic) and nicotinic (ganglionic) acetylcholine receptors, but not beta-1-

adrenergic (sympathetic) receptors. Retrograde tracing from the cardiac fat pad labeled

CVN$^{NA}$ and CVN$^{DMV}$ through the vagus nerve. Using whole cell patch clamp, CVN$^{DMV}$

demonstrated greater hyperexcitability and spontaneous action potential firing *ex vivo*

despite similar resting membrane potentials, compared to CVN$^{NA}$. Chemogenetically

activating DMV also caused significant bradycardia with a correlated reduction in anxiety-

like behavior. Thus, DMV contains uniquely hyperexcitable CVNs capable of

cardioinhibition and robust anxiolysis.

**INTRODUCTION:**

Cardiovagal neurons (CVNs) send axonal projections from hindbrain to cardiac ganglia through the vagus nerve to elicit cardioinhibitory (i.e., slowing of heart rate; HR) action at rest and during critical cardiorespiratory homeostatic reflexes. Although in mammals most CVNs are found in the nucleus ambiguus (CVN$^{NA}$), 20% of CVNs arise from a second region, the dorsal motor nucleus of the vagus (CVN$^{DMV}$) [1-6]. While the ability of CVN$^{NA}$ to control chronotropy (e.g. HR) is well characterized [7-9], whether this ability extends to CVN$^{DMV}$ remains controversial, despite their extensive innervation of cardiac tissue [10]. CVN$^{DMV}$ innervate cardiac tissue with unmyelinated, C fibers [11], the selective activation of which causes a bradycardia (or a decrease in HR) in multiple mammalian species [12,13]. In addition, studies of CVN ontogenesis implicate DMV, not NA, as the primary vagal nucleus in lower-order vertebrates and in early mammalian embryonic development, since CVN$^{NA}$ migrate out of DMV [14,15], making it possible that CVN$^{DMV}$ retain functional cardioinhibitory activity in mammals.

Despite these anatomical studies, functional studies remain conflicting. Some argue that direct electrical stimulation of DMV does not change chronotropy [7,16], while others demonstrate local activation (chemical, electrical, optogenetic, or chemogenetic) elicits bradycardias even if only modestly [17-21]. However, no activation technique in awake animals used to date rules out incidental stimulation of either neighboring nucleus tractus solitarius (NTS) neurons or inhibitory interneurons within DMV. Stimulation of this latter population could significantly dampen the impact of cholinergic premotor neuron stimulation. Thus, whether cholinergic CVN$^{DMV}$ are capable of controlling chronotropy remains an important but open question.

A recent resurgence of interest in understanding of vagal physiology is driven by

the growing number of therapeutic applications for vagus nerve stimulation [22]. Of

particular interest, vagus nerve stimulation is a promising treatment for anxiety and post-

traumatic stress disorder [23,24]. In rats, stimulating the vagus nerve accelerates extinction

of conditioned fear [25,26] and decreases anxiety-like behavior in the elevated plus maze

paradigm [23]. In humans, vagal nerve stimulation may even reduce treatment-resistant

anxiety disorders [27]. However, the mechanism behind the anxiolytic effect of vagal

stimulation is currently unknown. Since the vagus nerve is a bidirectional nerve, carrying

sensory information from viscera to brain and motor information from brain to viscera, the

extent to which the anxiolytic effect of vagus nerve stimulation depends on sensory or

motor signaling is unclear. One possibility is that activating the vagus nerve decreases

anxiety by slowing HR, in line with the James-Lange theory of emotions [28]. Accordingly,

a recent study in mice demonstrated that tachycardia (e.g. increase in HR) is sufficient to

induce anxiety-like behavior [29].

Controversy over the role of CVN$^{DMV}$ may stem in part from differences in CVN$^{NA}$

and CVN$^{DMV}$ electrophysiology and thus their roles in regulating HR. Notably, CVN$^{NA}$ are

largely quiescent *in vivo* and *ex vivo* [30,31], responding solely to integrated synaptic

signaling. While limited data exist on the electrophysiological properties of CVN$^{DMV}$

specifically, other DMV neuronal populations have unique pace-making properties and

maintain a relatively high activity *ex vivo* [32], which allows for more complex signal

processing. One investigation into their activity also suggests that, unlike CVN$^{NA}$, CVN$^{DMV}$

do not demonstrate robust respiratory burst patterning [33]. Therefore, it is tempting to

speculate that CVN$^{DMV}$ integrate and communicate central information differently from

CVN$^{NA}$ and represent a functionally unique circuit with respect to CVN$^{NA}$, which is

consistent with evidence that CVN$^{DMV}$ and CVN$^{NA}$ target different cardiac ganglia [34]. A

more extensive characterization of the electrophysiological properties of CVN$^{DMV}$ will help

build a foundation for understanding their cardioregulatory role, and importantly, for

harnessing the therapeutic potential of vagus nerve stimulation. This is critical given that

synaptic input to CVN$^{DMV}$ is uniquely sensitive to perturbation of the cardiovascular

system, relative to CVN$^{NA}$ [35].

The aim of the present study was to characterize the ability of DMV neurons to

regulate HR functionally, physiologically, and pharmacologically. We hypothesized that

activating choline acetyltransferase positive (Chat+) CVN$^{DMV}$ elicits robust bradycardias.

Moreover, we sought to characterize the electrophysiological properties of CVN$^{DMV}$ to

determine whether they are distinct from the more extensively studied CVN$^{NA}$. Testing of

these hypotheses was accomplished using a combination of opto- and chemogenetic

stimulation specifically in Chat+ neurons, pharmacology techniques to determine the

regulatory role of CVN$^{DMV}$ on chronotropy, and retrograde labeling paired with

electrophysiology procedures to examine differences between the two CVN populations.

Our results raise the possibility that CVN$^{DMV}$ play a role distinct from CVN$^{NA}$ in regulating

HR.

**RESULTS**

**Optogenetic stimulation of Chat+ DMV can elicit bradycardia:** To determine if

CVN$^{DMV}$ activation is capable of cardioinhibition, we used optogenetics to activate

cholinergic neurons in DMV. Specifically, we crossed Ai32 mice expressing

channelrhodopsin-2/EYFP fusion protein (ChR2) to loxP floxed choline acetyltransferase (Chat[cre]) mice to selectively express ChR2 in Chat+ neurons (Chat[cre];ChR2). Chat[cre];ChR2 and Chat[cre] mice (Figure 1A) were implanted with HR telemetry devices and fiber optic probes above the right DMV. HR was examined before and during photostimulation. A preliminary study found that 20 Hz (-261 ± 32 bpm; n = 4 mice) elicited a significantly larger bradycardia compared to 5 (-58 ± 28 bpm; repeated measure one-way ANOVA with Šídák's post-hoc, $p$ = 0.0003), 10 (-126 ± 26 bpm; $p$ = 0.0064), and 40 (-43 ± 16 bpm; $p$ = 0.0002) Hz stimulation to DMV in Chat[cre];ChR2 mice (data not shown). We further verified that DMV neurons were continuously activated by 20 Hz photostimulation using whole-cell patch-clamp in brain slices from Chat[cre];ChR2 mice (before: 2.5 ± 1.4 Hz versus during: 13.0 ± 2.7 Hz; repeated measure one-way ANOVA with Tukey's post-hoc, $p$ = 0.0482; n = 5 neurons from two mice; Figure 1B). DMV neurons from Chat[cre];ChR2 mice also had lower firing frequencies immediately following stimulation (after: 1.4 ± 1.2 Hz; $p$ = 0.0321), and they qualitatively demonstrated a pause immediately following stimulation termination that lasted for 10.3 ± 3.9 s with a range of 0.7 to 18 s.

Photostimulating DMV in awake male and female Chat[cre];ChR2 mice significantly affected HR compared to Chat[cre] mice. During photostimulation, Chat[cre];ChR2 decreased HR (271 ± 17 bpm) compared to HR before stimulation (605 ± 18 bpm; repeated measure two-way ANOVA with Šídák's post-hoc, $p$ < 0.0001; n = 13 mice; Figure 1F, G). Photostimulation failed to significantly affect HR during stimulation in control, Chat[cre] mice (before: 597 ± 61 bpm vs. during: 606 ± 31 bpm, $p$ = 0.9633; n = 4 mice), confirming that photostimulation-induced bradycardia in Chat[cre];ChR2 was not a consequence of off-

target effects or exposure to a laser (e.g., tissue necrosis, light diffusion, temperature

effects). Finally, photostimulation-induced bradycardia in Chat^cre;ChR2 was significantly

different from HR responses to light in Chat^cre control (comparing both mouse lines during

stimulation: $p < 0.0001$).

In contrast to photoexcitation of DMV neurons, photoinhibition of cholinergic

neurons in DMV of awake mice using Chat^cre mice crossed to Ai39 mice conditionally

expressing halorhodopsin (NpHR^EYFP), produced no significant HR response to light

(before: 629 ± 45 bpm vs. during: 627 ± 38 bpm; two-tailed paired Student's t-test, $p =$

0.8950, n = 3 mice). While these results suggest that under these conditions DMV

neurons are sufficient to regulate HR but not necessary for control of resting HR, the

decay kinetics of NpHR^EYFP expressed by Ai39 mice are sufficiently fast enough that it

might not cause a robust neural inhibition [36].

Since the axons of CVN^NA travel dorsally to converge with axons from CVN^DMV

before turning and exiting the brainstem (e.g., [37]), it was possible that cardioinhibitory

actions of DMV resulted from stimulating nearby CVN^NA axons. To rule out CVN^NA axonal

stimulation, we first examined c-Fos expression in ChAT+ neurons two hours post-DMV

stimulation (Figure 1D). Qualitatively robust c-Fos was seen on the stimulated (right) side

of DMV with no c-Fos expression in NA. We also confirmed using immunofluorescence

that stimulating NA directly causes c-Fos expression (Figure 1J). Finally in a subset of

anesthetized Chat^cre;ChR2 mice, photostimulation of the exposed vagus nerve produced

no change in HR (before: 422 ± 61 bpm vs. during: 419 ± 60 bmp; two-tailed paired

Student's t-test, $p = 0.9723$; n = 3 mice). Taken together, axonal expression of ChR2 in

NA was not sufficient to activate NA and elicit bradycardia.

**DMV photostimulation-induced bradycardia works through canonical vagal**

**circuits:** To confirm that DMV photostimulation affects HR though autonomic pathways,

male Chat$^{cre}$;ChR2 mice were administered an intraperitoneal (*i.p.)* injection of

hexamethonium to block all nicotinic acetylcholine receptor (nAChR) communication

between preganglionic and cardiac-projecting postganglionic parasympathetic neurons

prior to DMV (n = 4) or NA (n = 3) photostimulation (Figure 1E). Photostimulation of DMV

(-261 ± 32 bpm) produced as similar of a robust bradycardia as photostimulation of NA (-

255 ± 32 bpm) (repeated measure two-way ANOVA*, p* = 0.8157). As expected,

pretreatment of hexamethonium abolished photostimulation-induced bradycardias

regardless of regions (HR responses in DMV: 3 ± 3 bpm and HR responses in NA: -2 ±

1, *p* < 0.0001; Figure 1J-L*),* and HR was also not different between DMV and NA during

photostimulation after pre-treatment with hexamethonium (p = 0.9931).

To confirm that DMV photostimulation affects HR though muscarinic acetylcholine

receptor (mAChR) activation from postganglionic parasympathetic neurons to cardiac

tissue, consistent with canonical cardiovagal signaling, Chat$^{cre}$;ChR2 mice received *i.p*.

injections of scopolamine methylbromide (to block parasympathetic activity) and atenolol

(to block sympathetic activity) in a randomized order prior to photostimulation (Figure 1E).

In this subset of mice (n = 5), optogenetic activation of DMV neurons again induced robust

bradycardia (-405 ± 46 bpm) (Figure 1H, I). Although administration of atenolol modestly

reduced DMV light-induced bradycardias (-276 ± 39 bpm; repeated measure one-way

ANOVA with Tukey's post-hoc, *p* = 0.003; Figure 1H, I), atenolol also significantly

decreased resting HR (before: 665 ± 21 vs. atenolol: 527 ± 10, *p* = 0.0093; n = 5). Using

a simple linear regression, there was a significant negative relationship between resting

HR and DMV light-induced bradycardia ($R^2$ = 0.4741, $p$ = 0.0277). Therefore, it is likely

that the impact of atenolol on DMV-related bradycardia is through overall reductions in

resting HR, and not stimulation of postganglionic sympathetic pathways. Unlike atenolol,

however, administering scopolamine methylbromide abolished DMV light-induced

bradycardias (14 ± 3 bpm, $p$ = 0.002, Figure 1H. I). Thus, both nAChR and mAChR activity

is required for photostimulation-induced decreases in HR from DMV similar to NA. Some

reports suggest that DMV-mediated vagal activity on cardiac tissue occurs through non-

canonical nAChR communication between postganglionic parasympathetic neurons to

cardiac tissue [38]. However, since mAChR antagonism abolished DMV light-induced

bradycardias, this confirms that DMV neurons induce robust bradycardia through the

canonical cardiovagal pathway nAChR→mAChR signaling (and not nAChR→nAChR).

**DMV innervates cardiac tissue through the vagus nerve**: Retrograde tracing

with rhodamine and cholera toxin subunit B (CT-B) was done in male C57BL6/J mice to

calculate the number of $CVN^{DMV}$ and $CVN^{NA}$ labeled by each tracer (n=6-8 mice per tracer

for each CVN group; Figure 2A, B). Although both tracers identified positive neurons in

NA and DMV, there were fewer traced neurons in $CVN^{DMV}$ (31 ± 19 neurons) compared

to $CVN^{NA}$ regardless of tracer (99 ± 16 neurons; repeated measure two-way ANOVA with

Šídák's post-hoc, $p$ < 0.0001; Figure 2C). In all animals, both right and left DMV

contained positive labeling. In one animal examined for right versus left distribution, the

right DMV contained more retrograde labeling (68 neurons) compared to the left DMV (45

neurons). This pattern was similar to previous reports in rats [39]. In addition, CT-B (47 ±

36 neurons) labeled significantly fewer neurons than rhodamine regardless of region (83

± 33 neurons; $p$ = 0.0472). There was not a significant interaction between CVN location

and tracer ($p$ = 0.8294). To confirm that retrograde labeling of CVN$^{DMV}$ required an intact

vagus nerve, a unilateral left vagotomy prior to cardiac injection of rhodamine was

performed in a separate group of animals (n = 3 mice, Figure 2D). Unilateral vagotomy

eliminated labeling of CVN$^{DMV}$ ipsilateral to vagotomy (2 ± 1 labeled CVN$^{DMV}$) compared

to the contralateral side (32 ± 8 labeled CVN$^{DMV}$; two-tailed paired Student's t-test, $p$ =

0.0483; Figure 2E). Taken together, DMV contains neurons that retrogradely label from

cardiac tissue in a vagus-dependent manner.

**CVN$^{DMV}$ show spontaneous firing and have larger input resistance *ex vivo***

**compared to CVN$^{NA}$:** To characterize the electrophysiological properties of CVN$^{DMV}$ in

relation to CVN$^{NA}$, additional studies examined the general excitability of CVNs in male

C57BL6/J mice using whole-cell patch-clamp electrophysiology under current clamp

configuration. Retrogradely labeled CVNs were identified using visual inspection for

rhodamine and anatomical landmarks (Figure 3A). Post-hoc biocytin recovery confirmed

location and cholinergic phenotype (Figure 3B). CVN$^{DMV}$ exhibited more spontaneous

firing (8/14 neurons from 10 mice) compared to CVN$^{NA}$ (0/10 neurons from 9 mice; Mann

Whitney test, $p$ = 0.0064), which were completely devoid of any spontaneous firing activity

(Figure 3C-D). Of CVN$^{DMV}$ exhibiting spontaneous firing (57%), the average frequency

was 1.28 ± 0.31 Hz.

No statistical differences in resting membrane potential were found between

CVN$^{DMV}$ (-58.60 ± 2.72 mV; n = 14 neurons from 10 mice) and CVN$^{NA}$ (-62.18 ± 1.75 mV;

n = 10 neurons from 9 mice; two-tailed unpaired Student's t-test, $p$ = 0.3256, Figure 3E).

However, we found that CVN$^{DMV}$ (492.00 ± 22.02 MΩ; n = 14 neurons from 10 mice)

demonstrated significantly larger input resistance ($R_{input}$) than CVN$^{NA}$ (158.20 ± 10.61 MΩ;

n = 10 neurons from 9 mice; two-tailed unpaired Student's t-test, $p < 0.0001$; Figure 3F-

H). Additionally, $CVN^{DMV}$ (30.0 ± 1.5 pF) were significantly smaller in size than $CVN^{NA}$

(61.1 ± 6.6 pF; two-tailed unpaired Student's t-test, $p<0.0001$) based on their recorded

capacitance.

**$CVN^{DMV}$ are hyperexcitable compared to $CVN^{NA}$ *ex vivo*:** To determine if there

were any differences in excitability between $CVN^{DMV}$ and $CVN^{NA}$, CVNs were examined

for general excitability through stepped depolarizations of current (Figure 3I). As

expected, regardless of neuronal type, stepped current injections evoked a current

dependent increase in action potential frequency (repeated measure two-way ANOVA

with Šídák's post-hoc, $p <0.0001$; Figure 3J). Additionally, a significant effect of neuronal

type regardless of current injection was found, with $CVN^{DMV}$ exhibiting a significantly

greater number of evoked action potentials (5 ± 1 number of action potentials, n = 14

neurons from 10 mice) compared to $CVN^{NA}$ (2 ± 1 number of action potentials, n = 10

neurons from 9 mice; $p < 0.0001$). Finally, we found a significant interaction between

current injection and neuronal type ($p < 0.0001$), with significantly more evoked action

potentials in $CVN^{DMV}$ compared to the $CVN^{NA}$ at 100 (0.30 vs. 3.42 ± 0.5; $p = 0.0001$),

150 (0.80 vs. 5.00 ± 0.5; $p < 0.0001$), 200 (2.10 vs. 5.93 ± 0.5; $p < 0.0001$), and 250 pA

(3.80 vs. 6.43 ± 0.6; $p = 0.0017$); Figure 3J). Taken together, $CVN^{DMV}$ are more excitable

than $CVN^{NA}$.

**Chemogenetic activation of DMV suppresses HR for up to 8 hours:** Although

our optogenetic studies could rule out interneuron activity, they could not exclude the

possibility that off target stimulation of nearby Chat+ neurons (e.g., hypoglossal neurons)

affected HR. Therefore, to further confirm that activating DMV neurons specifically

decreases HR, we used an alternative strategy, chemogenetically activating DMV

neurons with the excitatory designer receptor, hM3Dq. Here, hM3Dq was specifically

targeted to DMV neurons through an intersectional genetics approach which leverages

the co-expression of *Chat* and *Phox2b* genes by DMV neurons but not by neighboring

neurons (i.e., hypoglossal) [40,41]. First, to validate this intersectional approach,

Chat$^{Cre}$;Phox2b$^{Flp}$ mice were crossed with R26$^{ds-HTB}$ mice, a reporter line which expresses

nuclear-localized, green fluorescent protein (GFP)-tagged histone 2b protein (H2b-GFP)

upon recombination by both Cre and Flp. In the resulting Chat$^{Cre}$;Phox2b$^{Flp}$;R26$^{ds-HTB}$

mice, essentially all peripherally-projecting DMV neurons, as labeled by a systemic (*i.p.*)

retrograde tracer, Fluorogold, were H2b-GFP immunofluorescent (136/139 DMV neurons

from four mice (97.8%) were both Fluorogold+ and  H2b-GFP+; representative image in

Supplemental Figure 1A). These results confirm that Chat$^{Cre}$ and Phox2b$^{Flp}$ are co-

expressed by all DMV vagal efferent neurons and thus validate our intersectional

approach.

Next, to express hM3Dq specifically in DMV neurons, an adeno-associated virus

(AAV) in which Cre- and Flp- dependently expresses a hemagglutinin (HA)-tagged

hM3Dq (AAV1-CreON/FlpON-hM3Dq-HA; Figure 4A) was injected into DMV of male and

female Chat$^{Cre}$;Phox2b$^{Flp}$;R26$^{ds-HTB}$ mice. This resulted in hM3Dq-HA expression in the

vast majority of H2b-GFP+ DMV neurons ("DMV-hM3Dq" mice; Figures 4A-C).

Importantly, we failed to detect any hM3Dq-HA expression in NA (Figure 4B-C) or DMV

of mice lacking Cre and Flp recombinases (Supplemental Figure 1B), confirming the

specificity of our injection strategy and AAV expression.

To determine whether chemogenetically activating DMV neurons affects HR, hM3Dq ligand clozapine N-oxide (CNO; 1 mg/kg; *i.p.*) was administered while measuring HR by non-invasive electrocardiography (ECG; n=8 mice per genotype). Administering CNO to DMV-hM3Dq mice significantly decreased HR for up to 8 hours compared to baseline (baseline: 718 ± 10 bpm; 20 min: 497 ± 34 bpm, *p* = 0.0012; 40 min: 497 ± 30 bpm, *p* = 0.0008; 60 min: 467 ± 36 bpm, *p* = 0.0007; 2hr: 469 ± 39 bpm, *p* = 0.0011; 6hr: 598 ± 19 bpm, *p* = 0.0006*;* 8hr: 637 ± 24 bpm, *p* = 0.0274; Figure 4D). HR returned to baseline by 24 hours post-CNO (24hr: 731 ± 9 bpm, *p* = 0.7247). As expected, the acute bradycardia after CNO administration required peripheral muscarinic signaling, as it was abolished by administering the peripheral muscarinic blocker, methyl-atropine bromide (MA; 1.0 mg/kg; *i.p.*), 20min after CNO (baseline: 747 ± 5 bpm; after CNO: 640 ± 19 bpm *vs.* baseline, *p* = 0.0078; after MA: 801 ± 4 bpm *vs.* baseline, *p* = 0.0004; Figure 4E). On the other hand, administering CNO to hM3Dq-negative control mice failed to significantly alter HR (p > 0.05 *vs.* baseline or vehicle; Figure 4E; Supplemental Figure 1C), indicating that CNO alone did not affect HR. These results, together with our optogenetics studies, provide robust evidence that DMV neurons can decrease HR through peripheral muscarinic signaling.

**Activating DMV neurons reduces anxiety-like behavior:** Tachycardia increases anxiety-like behavior in mice [29], consistent with the James-Lange theory that physiological cues can drive emotional states [28]. We therefore wondered whether the CNO-induced bradycardia in DMV-hM3Dq mice corresponded to any change in anxiety-like behavior. To investigate, male and female DMV-hM3Dq mice and control mice (n=8 per group) were administered CNO or saline vehicle and then assessed behaviorally by the open

field test, an assay which measures anxiety-like behavior based on time spent in the

center of an open arena (Figure 4F) [42,43]. Strikingly compared to vehicle, CNO treatment

in DMV-hM3Dq mice significantly increased their time spent in the center of the open field

(CNO: 85.31 ± 17.38 s vs. Vehicle: 64.55 ± 13.98 s; two tailed paired Student's t-test, $p$

= 0.0379, Figure 4G). The increased time spent in the center was not due to an increase

in overall motility since total time spent moving did not differ significantly between saline

and CNO treatments (two tailed paired Student's t-test, $p$ = 0.4643, Figure 4H). In

addition, CNO's effects on HR and center time in DMV-hM3Dq mice were moderately

correlated ($R^2$ = 0.7734, $p$ = 0.0414; Figure 4I). Thus, activating DMV neurons caused a

correlated decrease in HR and anxiety-like behavior in the open field test.

331           To confirm the change in anxiety-like behavior, we assessed another cohort of

DMV-hM3Dq mice in a different measure of anxiety, the elevated plus maze [44]. CNO

treatment significantly increased the time DMV-hM3Dq mice spent in the open-arms of

an elevated plus maze, relative to vehicle treatment, indicating a decrease in anxiety-like

behavior (CNO: 16.9 ± 4.83 s vs. Vehicle: 0.23 ± 0.23 s, repeated measure ANOVA with

Tukey's post-hoc, $p$ = 0.0235; Figure 4K). CNO treatment also significantly increased

other signs of anxiolysis in DMV-hM3Dq mice: time spent moving (CNO: 162.6 ± 14.4 s

vs. Saline: 40.7 ± 8.7 s; $p$ = 0.0006; Figure 4L); head dip events (CNO: 4.149 ± 1.022

dips vs. Saline: 0.2414 ± 0.1424 dips; $p$ = 0.0259; Supplemental Figure 1E); and average

velocity (CNO: 2.339 ± 0.3068 cm/s vs. Saline, 0.6606 ± 0.2317 s, $p$ = 0.0011;

Supplemental Figure 1F). Importantly, however, treating hM3Dq-negative control mice

with CNO did not affect their open-arm time, indicating that CNO itself does not decrease

anxiety-like behavior ($p$ = 0.3868; Supplemental Figure 1D). In addition, co-administering

MA ("CNO+MA") to DMV-hM3Dq mice largely prevented CNO's effects on measures of

anxiolysis, indicating that anxiolysis, as with HR, require peripheral mAChR signaling

(Figure 4K; Supplemental Figure 1E, F). Specifically, compared to vehicle treatment,

CNO+MA failed to significantly affect open-arm time, time spent moving, velocity or head

dip events, relative to treatment with a saline vehicle ($p < 0.05$; Figure 4K, L,

Supplemental Figure 1D,E). As with our open field test, the decrease in HR was trended

towards a moderate correlation with the increase in open-arm time ($R^2 = 0.6394$, $p =$

$0.0878$; Figure 4M). Thus, our results show that activating DMV neurons similarly

decreases HR and anxiety-like behavior, and that these effects each depend on

peripheral muscarinic signaling.

To identify the muscarinic receptors mediating  anxiolysis, we repeated our HR

and elevated plus maze studies on DMV-hM3Dq mice but used a selective inhibitor of

muscarinic type 2 receptors (M2), AQ-RA 741 ("AQ") [45], which does not appear to cross

the blood-brain barrier [46]. Administering AQ after CNO reversed the CNO-induced

bradycardia (repeated measure ANOVA with Tukey's post-hoc, $p = 0.0016$; Supplemental

Figure 1G). Importantly, in contrast to CNO alone, administering CNO with AQ (CNO+AQ)

did not significantly affect time spent in the open-arms relative to saline treatment ($p =$

$0.2560$; Figure 4N). These results suggest that activating DMV neurons decreases

anxiety-like behavior through a M2-dependent mechanism.

**DISCUSSION**

The present study characterized CVN$^{DMV}$ anatomically, physiologically, and

functionally. Retrograde tracing from the cardiac fat pad confirmed the presence of CVN

in DMV using two different tracers, rhodamine and CT-B, and this labeling required an

intact vagus nerve. Electrophysiological comparison of retrogradely-labeled CVN[DMV] and

CVN[NA] indicate distinct circuits, with CVN[DMV] being significantly more excitable with

higher input resistances and spontaneous activity *ex vivo* whereas CVN[NA] were not.

Optogenetically activating DMV neurons caused bradycardia, which was completely

abolished by muscarinic and nicotinic antagonism. Finally, intersectional chemogenetic

activation of DMV neurons also caused bradycardia and a correlated decrease in anxiety-

like behavior, both of which also required peripheral muscarinic signaling, as they were

blocked by muscarinic antagonism.

Previous efforts to characterize *in vivo* electrophysiological properties of DMV

neurons are limited, possibly a result of their prominent C-fiber phenotypes and long

latency conduction times [33] making typical antidromic spike identification challenging. Our

retrograde labeling findings are in accordance with previous reports, which suggest that

only ~20% of CVN exist within DMV in totality (both sides), making the likelihood of

recording from these numbers *in vivo* relatively low [14]. Importantly, CVNs signal

organization is an established example of divergent amplification, meaning that changes

in HR arise from modulation (activation or inhibition) of a relatively small number of CVNs

[30]. Therefore, whole-cell patch-clamp recordings paired with retrograde labeling in adult

animals—albeit confounded by the absence of relevant synaptic inputs *in vivo*—is a

powerful technique that future studies should continue to use to aid in the investigation of

this population of neurons both in health and disease.

Our *ex vivo* results are consistent with electrophysiological recording in other DMV

populations. DMV neurons demonstrate an on-going activity phenotype [32,47,48]. This was

true in the present study as ~57% of CVN$^{DMV}$ demonstrated a spontaneously active firing

pattern during the recording period. With regards to CVNs, this on-going firing activity is

unique to CVN$^{DMV}$ since no CVN$^{NA}$ recorded exhibited any spontaneous activity in our

slice preparation, which is also consistent with previous results from CVN$^{NA}$ [31]. While

future studies will need to demonstrate how this spontaneous activity is generated, it is

consistent with the intrinsic pace-making properties of other DMV neurons.  However, it

also suggests that CVN$^{DMV}$ may represent a functionally discrete circuit for cardiac-related

vagal motor output. Previous studies suggest that CVN$^{DMV}$ have little respiratory-related

burst activity, despite robust lung-related afferent activity [33], which is in contrast to the

CVN$^{NA}$ which show robust respiratory-related burst activity both *in vivo* and *ex vivo* [9].

While we are only beginning to elucidate the complexity of vagal circuits as it relates to

any vagal motor output, there is increasing evidence for distinct circuits related to vagal

sensory afferents. Similar distinct circuits also likely exist in vagal motor nuclei [41,49,50].

While some parallel circuits have converging anatomy and function, they may be distinct

in terms of their physiological roles [50]. Finally, CVN$^{DMV}$ demonstrated a significantly higher

input resistance, higher number of spiking activity to current injections, and smaller size

compared to CVN$^{NA}$. During optogenetic stimulation, CVN$^{DMV}$s were also silenced for

several seconds after stimulation implicating additional electrophysiological properties

(i.e., unique ion channel contributions or stimulation-induced plasticity in neurotransmitter

contributions) that could be investigated. Therefore, CVN$^{DMV}$ are more excitable than

CVN$^{NA}$. In other brain regions, this type of behavior confers a coincidence detection

phenotype over a simple integrator [51]. Therefore, future work should continue to

investigate how CVNs in NA and DMV differ in their microcircuit construction in relation to

the basic circuit building blocks of critical homeostatic regulating networks.

Despite historical controversy about the capacity of CVN$^{DMV}$ to impact HR, our

results demonstrate that optogenetic and chemogenetic activation of Chat+ DMV neurons

each caused robust bradycardia (~56% and ~65.5% of resting HR, respectively) in

awake, behaving mice. Cardioinhibitory responses using optogenetic techniques in

urethane-anesthetized rats and ketamine/xylazine-anesthetized mice demonstrated

variable strength of the response [18,21], implicating differences in anesthesia for differences

in effect size. Alternatively, previous species used (namely cats and rats) may exhibit a

lesser degree of DMV-activation driven bradycardia than mice because of differences in

vagal tonus. Since mice have a lower vagal tone than rats for example [52-54], CVN$^{DMV}$

activation in mice may recruit a larger number of CVN$^{DMV}$ which were not previously

active. Although it is possible that fast decay kinetics of NpHR$^{EYFP}$ in the Ai39 mouse line

limited the ability to significantly inhibit DMV neurons [36], the lack of an effect on HR of

optogenetic inhibition of DMV in mice is similar to previous reports using inhibitory

chemogenetic receptors [17]. Therefore, while the present study introduced a significant

refinement of techniques using Chat$^{cre}$ mice to eliminate the impact of known interneurons

within DMV, it may suggest that CVN$^{DMV}$ activity is not necessary for generation of resting

HR, similar to previous report [17].

While our Chat-targeted approach avoids influence of interneurons within DMV,

the anatomical proximity of vagal axons from NA and the optical fiber in DMV [14] raises

the possibility that DMV stimulation resulted in axonal stimulation of CVN$^{NA}$ neurons.

However, we failed to detect c-Fos expression in NA and DMV contralateral to the optical

fiber, despite robust c-Fos immunoreactivity in the ipsilateral DMV. In addition, direct

photostimulation of the vagus nerve in Chat[cre];ChR2 animals did not produce bradycardia.

Finally, the more specifically targeted chemogenetic receptor hM3Dq expressed in DMV,

but not other nearby cholinergic regions such as NA or hypoglossal neurons, caused a

similar cardioinhibition to DMV stimulation in Chat[cre];ChR2. Taken together, these data

provide robust evidence that solely activating DMV is sufficient for cardioinhibition (Figure

1D).

As expected, pharmacological testing confirmed that DMV stimulation-induced

bradycardia requires mACh receptor activation in mice, since scopolamine (but not

atenolol) and MA abolished optogenetically- and chemogenetically-induced bradycardia,

respectively. Notably, while the traditional concept of vagal motor signaling to cardiac

tissue requires nicotinic receptor-dependent communication between preganglionic and

postganglionic parasympathetic neurons, some studies report that intracardiac ganglia

harvested from SA node also show mACh receptor-dependent neurotransmitter and

calcium mobilization [55]. Additional studies have attributed pharmacological differences in

vagal fiber activation to C-fiber (presumably from DMV) versus B-fiber bradycardia

(presumably from NA) since hexamethonium did not block the HR responses to non-

myelinated fiber activation in these studies [56]. Still, it is important to note that the

aforementioned studies did not comment on whether postganglionic neurons were

isolated from cardiac nodal cells, nor did they directly address the effect of

neurotransmitter release on the observed HR responses. Our findings are in accordance

with the canonical signaling pathway between preganglionic and postganglionic

parasympathetic neurons, as pre-treatment with the mACh receptor antagonist,

scopolamine, completely abolished light-induced bradycardia in ChAT[cre];ChR2 mice.

Recent research suggests that HR can influence emotional states, supporting a

theory of emotion separately proposed by the physiologist Carl Lange and psychologist

William James over a century ago. As explained by James: "*My thesis […] is that the*

*bodily changes follow directly the Perception of the exciting fact, and that our feeling of*

*the same changes as they occur IS the emotion.*" [57]. In other words, the James-Lange

theory holds that HR does not increase because of anxiety; rather, the perception of HR

increase is the anxiety. Consistent with this theory, a recent study in mice demonstrated

that optogenetically increasing HR is sufficient to drive anxiety-like behaviors in the

elevated plus maze [29]. Inversely, beta-blockers such as propranolol, which robustly

decrease HR [58], also reduce anxiety-like behaviors in mice [59,60]. Beta-blockers and other

drugs which decrease HR have shown promise for treating anxiety and related disorders

[61], though the mechanism is not yet known. Studies of brain activity in humans and mice

suggest the insular cortex may play a key role in sensing HR and other interoceptive cues

[29,62-64] and so could couple the perception of HR to emotional state.

Importantly, however, while our study is the first to our knowledge to demonstrate

a correlation between vagally-mediated bradycardia and anxiolysis, more research is

needed to establish a causal relationship. Our results with the cardioselective M2 receptor

antagonist AQ-RA 741 [45], which does not appear to cross the blood-brain barrier [46],

suggests a cardiogenic mechanism for the anxiolysis we observed when activating DMV

neurons. For instance, one possibility is that DMV decreases anxiety-like behavior by

decreasing HR. This would agree with recent studies showing that optogenetically

increasing HR is anxiogenic [29], whereas pharmacologically decreasing HR is anxiolytic

[65]. Indeed, the M2 receptors blocked by AQ-RA 741 are enriched in the heart relative to

other organs innervated by the DMV [45,66] and are necessary for vagally-mediated

bradycardia [67]. However, M2 receptors are also present in the gastrointestinal tract where

they interact with M3 receptors to modulate smooth muscle contraction [68]. Therefore, our

results do not rule out the possibility that DMV decreases anxiety-like behavior through

its projections to the gut [68,69]. Further research, e.g., targeting organ-specific DMV

circuits, is needed to establish the mechanism by which DMV neurons can decrease

anxiety. Whether other physiological functions of DMV, such as gut motility, glucose

metabolism, and suppressing inflammation [58], are involved remains unknown.

In summary, this study demonstrates the existence of CVN$^{DMV}$ neurons and their

ability to suppress HR and anxiety-like behavior. The cardioinhibitory and behavioral

effects both require mACh receptor activity, and our results also suggest that nACh

receptors are involved in the signaling between CVN$^{DMV}$ and cardiac parasympathetic

neurons, implicating canonical vagal pathways in DMV's facilitation of cardioinhibitory

activity. CVN$^{DMV}$ also has distinct electrophysiological properties, namely spontaneous

firing activity and higher $R_{input}$, compared to CVN$^{NA}$ *in slice*. Therefore, we further

speculate that CVN$^{DMV}$ represents a unique population of CVNs, distinct from the more

thoroughly characterized CVN$^{NA}$. Further research is needed to identify the molecular

profile of CVN$^{DMV}$, the mechanisms underlying their distinct electrophysiological

properties, and their physiological role.

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designed experiments. M.M.S, L.E., and S.F. performed optogenetic and cardiac tracing

studies, including HR analysis, and all histology/immunofluorescence. L.E. and C.R.B.

performed electrophysiological studies. A question from G.E.H. inspired the study of

anxiety-like behavior. N.J.C., L.S.K., M.E.C., and P.C.M. performed chemogenetic study

of HR and anxiety-like behavior. N.J.C. and L.S.K. performed histology and

immunofluorescence experiments related to chemogenetic studies. M.M.S., N.J.C.,

J.N.C., L.E., and C.R.B. prepared figures. M.M.S., N.J.C., L.S.K., C.R.B., and J.N.C.

wrote the manuscript with input from all authors.

**DECLARATION OF INTEREST**

Authors declare no competing interest.

**FIGURE CAPTIONS:**

**Figure 1:** Optogenetic stimulation of DMV elicits bradycardia in male and female mice.

Genetic crossbreeding paradigm used to generate transgenic mice harboring loxP-

flanked ChR2 in Chat-positive motor neurons (A). Photostimulation-evoked action

potentials from DMV motor neurons pace to 20 Hz stimulation (B). Representative

diagram illustrating confirmed locations of optogenetic probes (C). Representative

images of DMV (top) and NA (bottom) stained for the neuronal activation marker, c-Fos

(middle panel; red) and GFP (left panel; green) immunoreactivity confirming c-Fos

activation in DMV, but not NA, after DMV photostimulation (D). Schematic illustrating time

course of optogenetic studies in awake mice (E). Representative trace (F) and mean HR

(G) showing optogenetic stimulation of DMV produced a bradycardia in mice expressing

Chat$^{cre}$;ChR2 but not in Chat$^{cre}$ mice. Representative trace (H) and mean HR (I) showing

*i.p.* muscarinic parasympathetic blocker, methyl-scopolamine, eliminated the

photostimulation-induced bradycardia, while sympathetic blockage with β-1 receptor

blocker atenolol mildly reduced this bradycardia. Representative images of probe site

(top) and immunohistochemical staining of NA showing c-Fos activation after stimulation

of NA (J). Representative trace (K) and mean HR (L) showing the nicotinic antagonist,

hexamethonium *(i.p.)* abolished photostimulation-induced bradycardia in both DMV and

NA. Bars represent mean and SEM. Blue bars/shading indicates light stimulation. *p ≤

0.05, **p ≤ 0.01, ***p ≤ 0.001, ****p ≤ 0.0001.

**Figure 2:** DMV innervates cardiac tissue through the vagus nerve in male mice.

Schematic showing injection into the epicardial fat pad and labeling in both CVN brain

regions (A). Representative images of DMV and NA after cardiac injection of retrograde

tracers, rhodamine (left in red) and cholera toxin subunit B (CT-B; right in green) (B). Both

rhodamine and CT-B significantly labeled cardiac projecting neurons in NA and DMV (C).

Representative images of DMV after a right cervical vagotomy (C). Representative image

(D) and mean cell count (E) showing a right cervical vagotomy significantly attenuated

CVN$^{DMV}$ numbers ipsilateral to vagotomy. Bars represent mean and SEM. *p ≤ 0.05, **p

≤ 0.01, ***p ≤ 0.001, ****p ≤ 0.0001.

**Figure 3:** Differential electrophysiological properties of CVN$^{DMV}$ compared to CVN$^{NA}$ in

male mice. Rhodamine-positive DMV neurons showing pipette (top) and rhodamine

(bottom; red) (A). Representative immunofluorescence image of CVN$^{DMV}$ showing

biocytin recovered patched cardiac-labeled neurons are cholinergic (B). Representative

trace (C) and mean firing rate (D) of CVN$^{DMV}$ neurons show significantly higher

spontaneous firing rates compared to CVN$^{NA}$, with the majority of CVN$^{DMV}$ firing. No

statistical differences in resting membrane potential were found between CVN$^{DMV}$ and

CVN$^{NA}$ (E). Representative traces of membrane responses from CVN$^{NA}$ (top) and CVN$^{DMV}$

(bottom) to stepped current injections (F).  Current-voltage (I-V) relationship graph

obtained from CVN$^{NA}$ and CVN$^{DMV}$ (G). R$_{input}$ was higher in CVN$^{DMV}$ compared to CVN$^{NA}$

(H). Representative action potential responses in CVN$^{NA}$ (top) and CVN$^{DMV}$ (bottom) in

response to 300 pA injection of direct depolarizing current (I). Action potential response

curves were higher in CVN$^{DMV}$ compared to CVN$^{NA}$ in response to 50 pA-step injections

of direct depolarizing current (J). *p ≤ 0.05, **p ≤ 0.01, ***p ≤ 0.001, ****p ≤ 0.0001.

**Figure 4:** Chemogenetic stimulation of DMV produces bradycardia and reduces anxiety

in both male and female mice. Illustration of coronal hindbrain section showing injection

site and *Chat* and *Phox2b* expression (A, top left); Schematic of AAV1-CreON/FlpON-

hM3Dq-HA viral construct (A, bottom); Venn diagram showing expected expression of

hM3Dq-HA and H2b-GFP (A, top right). Representative images of rostral, intermediate,

caudal DMV and NA stained for HA (magenta) and H2b-GFP (green) immunoreactivity in

Chat<sup>Cre</sup>;Phox2b<sup>Flp</sup>;R26<sup>ds-HTB</sup> mice after DMV injection of AAV1-CreON/FlpON-hM3Dq-HA

(B). Percentage of H2b-GFP+ cells immunoreactive for hM3Dq-HA in the rostral,

intermediate and caudal DMV and NA (C). Effect of CNO on HR in DMV-hM3Dq mice

over 24-hour period. CNO (1mg/kg, *i.p.*) injected at time = 0 min (D). Effect of *i.p.* saline,

CNO and CNO+MA on HR in DMV-hM3Dq (magenta) and control mice (black);

comparisons are to baseline (E).  Schematic of open field experiment (F). Effects of *i.p.*

saline vehicle and CNO on time in the center of the open field, in seconds (G). Correlation

between changes in HR and center time between saline and CNO conditions in DMV-

hM3Dq mice (H).Schematic of elevated plus maze (EPM) experiment (I). Effects of *i.p.*

saline vehicle, CNO and CNO+MA administration on time spent moving in the elevated

plus maze, in seconds (J). Effect of *i.p.* saline vehicle, CNO and CNO+MA administration

on open-arm time in the elevated plus maze, in seconds (K). Effect of *i.p.* saline vehicle,

CNO and CNO+AQ administration on open-arm time in the elevated plus maze, in

seconds. (L). Correlation between changes in HR and open-arm time between saline and

CNO conditions in DMV-hM3Dq mice (M). Effects of *i.p.* MA and AQ-RA-741 on open-

arm time in elevated plus maze, compared to saline; same saline, CNO, and CNO+MA

data as in Figure 4K (N). *p ≤ 0.05, **p ≤ 0.01, ***p ≤ 0.001, ****p ≤ 0.0001.

**Supplemental Figure 1:** Representative images of intermediate DMV hemisphere

stained for H2b-GFP (green) and fluorogold immunoreactivity (blue) in

Chat<sup>Cre</sup>;Phox2b<sup>Flp</sup>;R26<sup>ds-HTB</sup> mice after *(i.p.)* systemic injection of retrograde tracer

Flurogold (136 of 139, 97.8%, Fluorogold+ DMV neurons were also H2b-GFP+); n=4

mice) (A). Representative images of intermediate DMV stained for HA (magenta) and

H2b-GFP (green) immunoreactivity in R26$^{ds\text{-}HTB}$ mice (Cre-/Flp-) after DMV injection of

AAV1-CreON/FlpON-hM3Dq-HA (B). Effect of *i.p.* saline (white), CNO (magenta) and

CNO+MA (green) on HR in DMV-hM3Dq and control mice; relative to vehicle (C). Effect

of saline or CNO on control mice, which lack hM3Dq expression, open-arm time in the

elevated plus maze, n=8 mice (D). Effect of saline, CNO and CNO+MA in DMV-hM3Dq

mice on head dip events and velocity in the elevated plus maze (E-F). Effect of saline,

CNO and CNO+AQ on HR in DMV-hM3Dq (magenta) mice; same experimental design

and baseline, saline, and CNO data as in Figure 4E (G). *$p \leq 0.05$, **$p \leq 0.01$, ***$p \leq$

0.001, ****$p \leq 0.0001$.

**LEAD CONTACT**

Further information and requests for resources and reagents should be directed to

and will be fulfilled by the lead contact, Carie R. Boychuk (BoychukC@missouri.edu).

**MATERIALS AVAILABILITY**

All data reported in this paper will be shared by the lead contact upon request. This

study did not generate new unique reagents or report original code.

**A**

Retrograde Tracer

DMV   NA

**B**

| | Rhodamine | CT-B |
|---|---|---|
| CVN^DMV | 150 µm | 150 µm |
| CVN^NA | 150 µm | 150 µm |

**C**

Tracer Cell Counts

Number of Cells

NA
DMV

Rhodamine        CT-B

** **        ** **

*

**D**

Rhodamine

AP
DMV
CC
0.4 mm

AP
CC
DMV
200 µm

**E**

CVN^DMV After Unilateral Vagotomy

Number of Cells

*

Ipsilateral   Contralateral

**A** Chat^Cre;Phox2b^Flp;R26^ds-HTB mouse

H2b-GFP

Fluorogold (i.p.)

Merge

70um

**B** R26^ds-HTB mouse (no Cre or Flp)

H2b-GFP
hM3Dq-HA

DMV

DMV

cc

**C**

Change in HR from Baseline (%)

○ Saline
● CNO
● CNO+MA

****
**
***
n.s.
n.s.
*

DMV-hM3Dq

Control (no hM3Dq)

**D** Control mice (no hM3Dq)

Time in Open (Seconds)

n.s.

Saline    CNO

**E** DMV-hM3Dq mice

Head Dip (s)

n.s.
*
*

Saline    CNO    CNO+MA

**F** DMV-hM3Dq mice

Velocity (cm/s)

n.s.
**
*

Saline    CNO    CNO+MA

**G** DMV-hM3Dq mice

HR (BPM)

n.s.
****
n.s.
**

|20min|20min|20min|

Baseline    Saline    CNO    CNO+AQ