Mapping responses to focal injections of bicuculline in the lateral parafacial region identifies core regions for maximal generation of active expiration

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Running title: Active expiration in the parafacial region

Impact statement: Multivariate analysis of the respiratory cycle shows that GABAergic disinhibition in the rostral regions of the lateral parafacial area is linked to the most significant and enduring changes in active expiration.

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Abstract

The lateral parafacial area (pFL) is a crucial region involved in respiratory control, particularly in generating active expiration through an expiratory oscillatory network. Active expiration involves rhythmic abdominal (ABD) muscle contractions during late-expiration, increasing ventilation during elevated respiratory demands. The precise anatomical location of the expiratory oscillator within the ventral medulla's rostro-caudal axis is debated. While some studies point to the caudal tip of the facial nucleus (VIIc) as the oscillator's core, others suggest more rostral areas. Our study employed bicuculline (a GABA-A receptor antagonist) injections at various pFL sites (-0.2 to +0.8mm from VIIc) to investigate the impact of GABAergic disinhibition on respiration. These injections consistently elicited ABD recruitment, but the response strength varied along the rostro-caudal zone. Remarkably, the most robust and enduring changes in tidal volume, minute ventilation and combined respiratory responses occurred at more rostral pFL locations (+0.6/+0.8 mm from VIIc). Multivariate analysis of the respiratory cycle further differentiated between locations, revealing the core site for active expiration generation. Our study advances our understanding of neural mechanisms governing active expiration and emphasizes the significance of investigating the rostral pFL region.

Introduction:

The neural control of respiration is a complex physiological process that requires precise coordination among multiple brainstem nuclei. One such nucleus, the preBötzinger Complex (preBötC), plays a crucial role in generating the inspiratory rhythm and pattern (Gray, Janczewski, Mellen, McCrimmon, & Feldman, 2001; Smith, Ellenberger, Ballanyi, Richter, & Feldman, 1991; Tan et al., 2008; Wang et al., 2014). In contrast, the lateral parafacial region (pFL), also referred to as pFRG in the initial studies, has emerged as an important neuronal structure containing neurons that are responsible for the generation of active expiration via the recruitment of expiratory musculature, such as the ABD muscles (de Britto & Moraes, 2017; Huckstepp, Cardoza, Henderson, & Feldman, 2015; Pagliardini et al., 2011; Pisanski & Pagliardini, 2019). Although the involvement of preBötC in respiration, as well as its origin, anatomical markers, and anatomical location has been extensively studied (Biancardi et al., 2023; Del Negro, Funk, & Feldman, 2018; Gray et al., 2001; Hayes et al., 2017; Tan et al., 2008; Wang et al., 2014; Yackle et al., 2017; Yang & Feldman, 2018; Yang, Kim, Callaway, & Feldman, 2020), the expiratory oscillator remains a challenging structure to locate accurately due to the absence of a definite anatomical marker and the lack of its activation during experimental resting conditions (de Britto & Moraes, 2017; Magalhães et al., 2021; Pagliardini et al., 2011). Understanding the precise location and functional properties of the expiratory oscillator is crucial for unraveling the complete neural circuitry underlying respiratory control.

Previous research has employed a variety of techniques to study and elucidate this expiratory oscillator that include pharmacological disinhibition and excitation (Boutin, Alsahafi, & Pagliardini, 2017; de Britto, Magalhães, da Silva, Paton, & Moraes, 2020; de Britto & Moraes, 2017; Korsak, Sheikhbahaei, Machhada, Gourine, & Huckstepp, 2018; Magalhães et al., 2021; Pagliardini et al., 2011; Zoccal et al., 2018), as well as chemogenetic and optogenetic approaches (Huckstepp et al., 2015; Pagliardini et al., 2011; Pisanski, Ding, Koch, & Pagliardini, 2019). The coordinates used for the latter studies considered the core of the expiratory oscillator proximal to the caudal tip of the facial nucleus (VIIc) (-0.2 to +0.5 mm from VIIc). Interestingly, the use of chemogenetics

to inhibit pFL at the level of the caudal tip of the facial nucleus (-0.3 to +0.3 mm from

VIIc) was unable to achieve complete inhibition of the putative expiratory muscle output,

with ABD recruitment still occurring naturally during sleep, albeit at a diminished rate

(Pisanski et al., 2019). Similarly, chemogenetic inhibition of pFL at +0.5 mm from VIIc

significantly decreased the intensity of the ABD signals obtained in response to

bicuculline/strychnine injections, but did not silence it entirely (Huckstepp et al., 2015).

This evidence could suggest that the expiratory oscillator expands beyond the limits of

the viral expression achieved in said studies. Intriguingly, other studies (Silva, Oliveira,

Souza, Moreira, & Takakura, 2019) located its core at more rostral coordinates (+0.3 to

+1.0 mm from VIIc). This dichotomy in the coordinates used to study the functional

properties of the expiratory oscillator demonstrates that the characterization of the

anatomical and functional boundaries of pFL are incomplete.

To address this gap, we used focal injections along different rostrocaudal locations of

the brainstem to create a functional map of the pFL. Bicuculline, a competitive

antagonist of γ-aminobutyric acid type A (GABA-A) receptors, has been widely

employed to study neuronal excitability and functional circuitry in various brain regions,

including the pFL (Pagliardini et al., 2011). By strategically administering localized

volumes of bicuculline at multiple rostrocaudal levels of the ventral brainstem, we aimed

to selectively enhance the excitability of neurons driving active expiration, thereby

revealing the precise location and functional boundaries of the expiratory oscillation

within the pFL. Using a novel multidimensional cycle-by-cycle analysis specifically

developed for this study, we characterized the effect that bicuculline elicited on the

different phases of the respiratory cycle at each injection site, as the differences in area

under the curve of the airflow, $\int$DIA EMG and $\int$ABD EMG, as well as the combined

differences of these three respiratory signals using a novel phase-plane analysis. This

approach enabled us to construct a comprehensive functional map of the pFL in

conjunction with anatomical immunostaining techniques.

Our results indicate that the injection of bicuculline produced active expiration at all

locations studied (-0.2 mm to +0.8 mm from VIIc). However, the strongest effects in

terms of changes in tidal volume (V_T), minute ventilation (V_E) and differences in

combined respiratory signals were observed at the two most rostral locations (+0.6 mm
and +0.8 mm). Similarly, ABD activation lasted longer at these sites and the swiftest
onset of the ABD response was observed at the +0.6 mm location. Interestingly, our
multivariate analysis of the respiratory cycle permitted further differentiation of the
response elicited in the two rostral locations, with the strongest deformations of the
respiratory loop during late-Expiration (late-E) and post-Inspiration (post-I) with
injections at the +0.8 mm locations, whereas injections at the level of +0.6 mm
producing more pronounced deformations of the respiratory cycle during the inspiratory
phase. The use of the multi dimensional trajectory map will certainly enhance our
understanding of the role that the expiratory oscillator plays in respiratory control.
Additionally, it will provide a crucial foundation for future investigations into its
modulation, plasticity, and potential therapeutic targets for respiratory disorders.

Methods

Experimental Subjects

Thirty-five adult male Sprague Dawley rats weighing 340.4 g ± 13.2 were used for the study. The rats were housed in a controlled environment with a 12-hour light-dark cycle and were allowed unrestricted access to food and water. All experimental procedures followed the guidelines set by the Canadian Council of Animal Care and received approval from the Animal Care and Use Committee (ACUC) of the University of Alberta (AUP#461).

Surgical Preparation

Prior to the experiment, the rats were initially anesthetized using 5% isoflurane in air for induction, followed by 1-3% isoflurane for maintaining a surgical plane of anesthesia. During this time, we cannulated the femoral vein to facilitate the gradual administration of urethane (1.5-1.7 g/kg body weight) for inducing permanent and irreversible anesthesia. We assessed the depth of anesthesia by monitoring the absence of the withdrawal reflex. We cannulated the trachea and used a flow head connected to a transducer (GM Instruments, UK) to detect respiratory flow. We provided supplemental oxygen (30%) throughout the experiment and connected a gas analyzer to the tracheal tube to measure oxygen consumption. Paired electromyogram (EMG) wire electrodes (Cooner Wire, CA, USA) were inserted into the oblique ABD and diaphragm (DIA) muscles. The wires were connected to differential amplifiers (AM Systems, WA, USA), and we sampled the activity at a rate of 1kHz using the Powerlab 16/30 system (AD Instruments, CO, USA). We performed vagotomy by resecting a 2 mm portion of the vagus nerve at the mid-cervical level, and the rats' body temperature was maintained at a constant level of 37±1°C using a servo-controlled heating pad (Harvard Apparatus, MA, USA).

Bicuculline Injections

Following the surgical preparation, we placed the instrumented rats in a prone position on a Kopf stereotaxic frame. We trimmed and aseptically cleaned the incision area and achieved access to the brainstem by partially removing the occipital bone. We

determined the coordinates for injection into the ventral medulla with Bregma positioned 5 mm below lambda. The bicuculline/fluorobeads solution (n=28, 200 μM in HEPES, Sigma-Aldrich) or saline/fluorobead (CTRL, n=7) was bilaterally pressure-injected into specific coordinates using a sharp glass micropipette (30 μm tip diameter) at a rate of 100 nL/min (total volume injected was 200 nL per side). Each rat was injected at a specific coordinate based on the following groups: -0.2 mm from the caudal tip of the facial nucleus (VIIc) (n=5), +0.1 mm from VIIc (n=7), +0.4 mm from VIIc (n=5), +0.6 mm from VIIc (n=6), +0.8 mm from VIIc (n=5), and CTRL (n=7). We recorded the physiological responses to the injection for 20-25 min.

Histology Procedures

Upon completion of the experiments, we transcardially perfused the rats with saline (0.9% NaCl), followed by 4% paraformaldehyde in phosphate buffer. We then collected, postfixed, cryoprotected, and sectioned the brains in a cryostat (Leica, CM1950) to obtain 30 μm thick transverse slices. To prepare the brain tissue for immunohistochemistry, we washed the brain sections multiple times with phosphate-buffered saline (PBS) to remove the cryoprotectant solution. All immunostaining procedures were conducted at room temperature. We incubated the sections in a blocking solution (0.3% Triton X-100 and 10% normal donkey serum (NDS) in PBS) for 1 hour to enhance membrane permeability to antibodies and minimize nonspecific binding. After blocking, we incubated the tissue overnight with the primary antibody solution, which consisted of PBS, 1% NDS, and 0.3% Triton X-100. In order to identify specific brain structures relevant to this study, we used the following primary antibodies: anti-choline acetyltransferase (ChAT; goat; 1:800; EMD Millipore, ON, Canada), anti-phox2b (phox2b; mouse; 1:100; Santa Cruz Biotechnology, TX, US), anti-cFos (cFos, rabbit, Cell Signaling Technology, MA, US), and anti-tyrosine hydroxylase (TH, chicken, EMD Millipore, ON, Canada). The next day, we washed the tissue three times in PBS and incubated it for 2 hours in a secondary antibody solution containing PBS, 1% NDS, and specific secondary antibodies (1:200; Cy3-donkey anti-goat; Cy5-donkey anti-mouse; Cy2-donkey anti-rabbit; rhodamine-red-donkey anti-chicken; Jackson ImmunoResearch Laboratories, PA, US). Following the incubation with the secondary

antibodies, we washed the sections three times and mounted them with Fluorsave mounting medium (EMD Millipore, ON, Canada). We observed the slides under an Evos FL fluorescent microscope (Thermofisher Scientific, MA, US) and acquired TIFF files for cell counting and analysis of the rostrocaudal, mediolateral, and dorsoventral coordinates of the injection sites using ImageJ. We examined serial sections with a 120 µm interval, spanning from 400 µm caudal to 1000 µm rostral to the caudal tip of the facial nucleus (VIIc). To group the animals according to the location of the injections, we calculated a 3D distance from the VIIc using the rostrocaudal, mediolateral, and dorsoventral coordinates of each injection site.

Data Acquisition and Analysis

EMG signals were amplified at ×10,000 and filtered between 300 Hz and 1 kHz (model 1700, AM-systems, CA, USA). The data was sampled at a rate of 1 kHz (using an automatic anti-aliasing filter at 500Hz) using the PowerLab 16/35 acquisition system and analyzed using LabChart7 Pro (ADInstruments), Excel 2013, Origin 9 (OriginLab Corp., Northampton, MA, USA), as well as custom scripts written in MATLAB (The Mathworks Inc.). All raw EMG data were digitally rectified and integrated using a time-constant decay of 0.08s. Respiratory airflow was further used to determine respiratory rate, V_T, and Ve. The tidal volume was obtained by integrating the airflow amplitude and converting it to milliliters using a five-point calibration curve (0.5–5 ml range).

Airflow and integrated EMG signals were standardized across rats by first zeroing signals in the rest period phases between breaths as recorded during baseline conditions and then converting the remaining amplitude values into standard deviation units (SD) based on a time-collapsed amplitude distribution. Data was tracked in time bins of 2-minute duration from the baseline period prior to injections and spanned 20 min of recording post-injection. Mean-cycle measurements for each signal were computed by averaging across all cycles within a given time bin. To assess the changes within each of the phases of the breathing cycle, each mean-cycle was subdivided into the Late-E, inspiratory, Post-I, and resting phases using the zero-crossings of the mean airflow signal (Green lines, Figure 1B). The inspiratory phase begins with an inward (positive going) intake of air as airflow increases above zero and ends when airflow

crosses zero towards the negative direction. This negative going deflection begins the
Post-I phase, which lasts until airflow returns to zero during the rest period. The Late-E
phase is defined in two ways. In cases where a significant negative deflection in airflow
was observed previous to inspiration, the late-E phase was determined to have begun
when airflow decreased below zero and ended when airflow crossed back above zero to
begin the subsequent inspiratory phase. When airflow did not decrease below zero prior
to inspiration, as was often the case during baseline conditions, the late-E phase was
determined as the last quarter of the expiratory period (Figure 1B). The Area under the
curve (AUC) was measured during baseline and was subtracted from the corresponding
AUC of the response for each time bin (Figure 1C). Note that areas calculated below
the zero- (0) line, as would be expected from a negative airflow during expiration, yields
negative AUC values. Any changes to the duration of the three respiratory phases, as
well as changes to the entire respiratory period were independently assessed using the
triggers described above.

We further contrasted the overall changes to the three respiratory signals elicited across
injection locations by combining them into a multi-dimensional (3D) space (Figure 1D).
In a manner similar to respiratory pressure-volume loops, each point in time is defined
as a dot in this 3D space based on the 3 simultaneous physiological measurements of
respiration we recorded (airflow, together with the ∫DIA EMG, and ∫ABD EMG). Each
respiratory cycle could then be plotted as a trajectory of points in this space,
represented as a loop. Example loops for the baseline and the first 2-minute time bin of
the elicited response are shown in Figure 1D and are also projected onto separate 2D
planes in Figure 1E. Our approach was to measure how the trajectories of response
loops changed relative to the baseline loop during each phase of the respiratory cycle,
as per the color scheme (same as in Figure 1B). Given these loops may be deformed
as a result of changes to any one of the three signals which form the basis of this 3D
space, this method determines the *total* extent to which breathing is altered in response
to stimulation. We quantified changes to respiratory trajectories elicited by bicuculline
injection using the sum of the "straight-line" or "Euclidean" distances between points on
the response and baseline loops in each respiratory phase. An example of the
Euclidean distance between the peak of inspiration in baseline and response loops is

shown in Figure 1F (pink line). To compute this distance for all time points, we
resampled the response to ensure that that baseline and response mean-cycles had the
same number of comparison phase points in a given respiratory phase. Distances were
then calculated between these baseline and response loops at each timepoint t using
the Euclidean distance formula:

$$Euclidean\ Distance_t = \sqrt{AirDiff_t^2 + DiaDiff_t^2 + AbdDiff_t^2}$$

where $AirDiff_t$, $DiaDiff_t$ and $AbdDiff_t$ are the differences between the response and
baseline mean-cycles at timepoint t. Averaging the distances measured at every
timepoint in a given respiratory phase yields the mean Euclidean distance measure
used in the analyses displayed in Figure 6G.

We extended our multivariate analysis of respiration to account for the cycle-by-cycle
variability in the recorded signals. At each timepoint, we compared how far away the
response mean-cycle is from the baseline mean-cycle relative to the covariance across
all baseline cycles. Thus, this measure can be interpreted as having units of standard
deviation, as it describes how likely it is to observe the response given the distribution of
baseline measurements gathered across many respiratory cycles. This measure is
known as the Mahalanobis distance and is exemplified as the pink distance relative to
the black cloud of points in the baseline mean-cycle of Figure 1F. Similarly to above, this
distance is computed for each timepoint t:

$$Mahalanobis\ Distance_t = \sqrt{(R_t - \mu_t)\Sigma_t^{-1}(R_t - \mu)'}$$

where R_t is the response mean-cycle at timepoint t, μ_t is the baseline mean-cycle at
timepoint t, and Σ_t is the covariance of all baseline cycles at timepoint t. Similar to the
Euclidean distance above, we the calculated Mahalanobis distances across all
timepoints with each respiratory phase and then averaged them across cycles to assess
the mean Mahalanobis distance.

Statistics

We tested the assumptions of homogeneity of variance and normal distribution using the Brown–Forsythe ($\alpha = 0.05$) and Shapiro–Wilk ($\alpha = 0.05$) tests, respectively. Comparisons between injection locations were carried out across time bins using a two-way repeated-measures analysis of variance (two-way RM ANOVA; one repeated factor; $\alpha = 0.05$) where appropriate. In case of significant main effects, we conducted pairwise multiple comparisons post-hoc procedures (Bonferroni method; $\alpha = 0.05$). Analysis of the peak response across injection locations and in comparison to baseline, was done using a repeated measures analysis of variance (one-way repeated measures ANOVA; $\alpha = 0.05$). Upon finding significant main effects, we used Bonferroni post hoc test ($\alpha = 0.05$). In cases where assumptions of normality were rejected ($p < 0.05$), we compared responses across injection location via a Kruskal-Wallis test and following with a post-hoc Dunn test, adjusting the alpha value for multiple comparisons with Sidak's method.

Results

Histological Analysis

For each rat, we precisely identified the core of the injection sites by assessing the rostrocaudal coordinates obtained from sections containing fluorobeads. Based on the core coordinates, experiments were divided into 5 groups in which injection spanned from -0.2 mm caudal to the tip of the facial nucleus (VIIc) to +0.8 mm rostral to VIIc (as illustrated in Fig 2 B). It is noteworthy that all injections were consistently positioned ventrolateral to the facial nucleus, and there was no overlap observed between the fluorobead-marked injection sites and PHOX2B+ cells within the more ventro-medial retrotrapezoid nucleus (RTN), as depicted in Figure 2 A-B. Additionally, the injection sites were confirmed not to overlap with the soma of TH+ cells in either the caudal C1 or the more rostral A5 areas, as shown in Figure 2 A-B.

To assess the cellular responses surrounding the injection sites, we quantified both cFos+ and cFos+/PHOX2B+ cells within a defined region surrounding the fluorobead-marked areas in the pFL (as outlined in Figure 2 A). In rats injected with HEPES buffer (control group), we observed an average activation of 44.7 ± 4.0 cells per hemisection along the rostrocaudal axis of the ventral medulla (n=7). Among these activated cells, an average of 2.3 ± 1.0 cells per hemisection were also positive for PHOX2B, as illustrated in the inset of Figure 2 C. In contrast, rats injected with bicuculline displayed a distinct response pattern, characterized by a peak activation with an average of 104.5 ± 5.1 cFos+ cells localized at the core of each injection site (-0.2 mm = 89.7; +0.1mm = 123.1; +0.4mm = 110.2; +0.6mm = 98.3; +0.8mm = 101.2) (Figure 2C). Importantly, the number of cFos+ cells decreased to 44.8 ± 1.2 per section beyond the boundaries of the injection area for each experimental group. On the other hand, the number of cFos+/PHOX2B+ cells remained consistent between the control (CTRL) animals (2.3 ± 1.0 cFos+/PHOX2B+ cells per hemisection) and those injected with bicuculline (2.4 ± 0.4 cFos+/PHOX2B+ cells). These findings strongly suggest that bicuculline specifically activated cells within the vicinity of the injection sites and did not spread and activate PHOX2B+ cells in the RTN area, beyond their baseline level of activity.

Characteristics of ABD signals across the rostrocaudal axis of pFL

We successfully elicited ABD responses with the administration of bicuculline at all tested injection sites. The ABD response was most reliably triggered at locations rostral to the tip of VIIc. This observation is supported by the fact that the caudal location (-0.2 mm from VIIc) elicited responses in only 3 out of 5 rats, whereas all other groups consistently induced responses in all rats (n=5-7 rats /group). The elicited response was characterized by a late-E component in the ∫ABD EMG trace across all experimental groups (Figure 2D), as well as a downward deflection in airflow, signifying forced exhalation of the respiratory reserve volume preceding inspiration (i.e., active expiration; Figure 2D). Remarkably, in the most rostral groups (+0.6 and +0.8 mm rostral to VIIc), the ∫ABD EMG traces exhibited a tonic expiratory component, which preceded the late-E peak and was absent in the most caudal locations (-0.2 mm, +0.1 mm, and +0.4 mm). Additionally, 5 out of 6 rats in the group with injection sites at +0.6 mm rostral to VIIc, exhibited a post-I ABD peak, a feature that was absent in all other groups (Figure 2D).

Temporal dynamics of the ABD response reveal a longer-lasting and fastest response at rostral locations

The temporal characteristics of the ∫ABD EMG signal elicited by bicuculline injections at different rostrocaudal locations exhibited notable variations. Overall, responses had a shorter duration in the most caudal locations (-0.2 mm; +0.1 mm; + 0.4 mm) compared to the rostral locations (+0.6 mm; +0.8 mm) (Figure 3A). In all groups, the ABD response initiated following the second bicuculline injection, except at location +0.6 mm, where it commenced following the first injection and became more pronounced with the second injection (Figure 3 A). Across all groups, the most robust ABD responses, as measured by ∫ABD EMG amplitude, were observed within the first 2 min of the response, declining to zero in the last 2 min (18 min post-injection) in the most caudal groups (-0.2 mm; +0.1 mm; + 0.4 mm). In contrast, these responses remained present, albeit weaker, in the most rostral groups (+0.6 mm; +0.8 mm) after 20 min from injection (Figure 3 B-C).

The coupling of the ∫ABD EMG and ∫DIA EMG signals, which reflects the robustness of the response and the coupling between inspiratory and expiratory oscillators, was notably weaker at the most caudal location (-0.2 mm = 0.6 ± 0.2) in comparison to the

most rostral groups (+0.4 mm = 0.96 ± 0.02; +0.6 mm = 0.89 ± 0.05; +0.8 mm = 0.97 ±

0.02) (One-Way ANOVA p = 0.015; Tukey -0.2 mm vs +0.4 mm: p = 0.024; -0.2 mm vs

+0.6 mm: p = 0.048; -0.2 mm vs +0.8 mm: p = 0.029) (Figure 3 D). Similarly, the ABD

response duration was significantly longer at the two most rostral locations (+0.6 mm =

17.6 ± 2.7 min; +0.8 = 17.1 ± 3.3 min) compared to the most caudal group (-0.2 mm =

2.4 ± 1.1 min) (One-Way ANOVA p = 0.043; Tukey -0.2 mm vs +0.6 mm: p = 0.048; -0.2

mm vs +0.8 mm: p = 0.041) (Figure 3E). Lastly, the group with injection sites located at

+0.6 mm initiated responses significantly sooner than all other groups (-0.2 mm = 20.3 ±

13.4 sec; +0.1 mm = 32.5 ± 20.6 sec; +0.4 = 40.1 ± 28.7 sec; +0.8 = 23.1 ± 19.8 sec),

with an average response delay of 88.7 ± 32.3 sec previous to the second injection (but

after the first one), which is represented as negative values (One-Way ANOVA p= 0.041;

Tukey -0.2 mm vs +0.6 mm: p = 0.039; +0.1 mm vs +0.6 mm: p = 0.040; +0.4 mm vs

+0.6 mm: p = 0.045; +0.8 mm vs +0.6 mm: p = 0.049) (Figure 3 F). In summary, these

results underscore that injections at the most rostral locations (+0.6 mm and +0.8 mm)

elicit more robust and prolonged ABD responses than the caudal location (-0.2 mm).

Furthermore, bicuculline injections at +0.6 mm from VIIc initiated the fastest response,

suggesting proximity to the cells responsible for ABD recruitment following bicuculline

injection.

Bicuculline injection elicited stronger respiratory effects at the rostral locations

The ABD response elicited by bicuculline injection generated a late-E downward

inflection in airflow that was absent in baseline conditions (Figure 4 A). We measured

the late-E peak amplitude and area to assess the strength of the response across

different rostrocaudal locations. On average, the late-E peak amplitude and area values

reached a maximum between 2- and 4-min post-second injection for all rostrocaudal

locations except the most rostral injection site (+0.8 mm from the tip of VIIc), which

peaked between 6- and 8-min post-second injection (Figure 4 B,D). Although the late-E

peak amplitude seemed to reach a higher maximum in the two most rostral groups (+0.6

mm = -0.033 ± 0.007 V; +0.8 mm = -0.027 ± 0.011 V), these values were not

significantly different when compared to the remaining locations (-0.2 mm= -0.014 ±

0.004 V; +0.1 mm = -0.021 ± 0.007 V; +0.4 mm = -0.023 ± 0.004 V) (One-Way ANOVA

p= 0.41) (Figure 4 C). Similarly, the late-E peak area also seemed to reach a higher

maximum in the two most rostral locations (+0.6 mm = -0.0056 ± 0.0010 V.s; +0.8 mm =

-0.0047 ± 0.0019 V.s), but these values were not significantly different from the rest of

the injection sites (-0.2 mm= -0.0014 ± 0.0007 V.s; +0.1 mm = -0.0035 ± 0.0015 V.s;

+0.4 mm = -0.0038 ± 0.0011 V.s) (One-Way ANOVA p= 0.30) (Figure 4 E).

The injection of bicuculline along the rostrocaudal axis of the ventral medulla induced a

drop in respiratory frequency in all the injection sites tested (Figure 4 F), but this drop in

frequency was only significant in comparison to baseline in the two most caudal groups

(One-Way repeated measures ANOVA p = 0.003; Bonferroni: -0.02 mm baseline vs

injection, p = 0.03; +0.1 mm baseline vs injection, p = 0.005) (Figure 4 G). The

respiratory rate reached a minimum value of 37.08 ± 1.36 bpm (12% drop from

baseline) at 2 min post-injection in the most caudal location (-0.2 mm), whereas the

minimum respiratory frequency value was reached at 4 min for the middle groups (+0.1

mm = 37.2 ± 2.0 bpm – 17% drop from baseline; +0.4 mm = 40.1 ± 1.6 bpm – 9% drop

from baseline) and 6 min for the most rostral injection sites (+0.6 mm = 38.6 ± 2.6 bpm

– 10% drop from baseline; +0.8 mm = 39.1 ± 2.2 bpm – 11% drop from baseline)

(Figure 4 F). Interestingly, the duration of inspiration during the response was found to

significantly decrease in all groups relative to baseline respiration (T_i response = 0.279

± 0.034s, T_i baseline = 0.318 ± 0.043s, Wilcoxon rank sum: Z = 3.24, p = 0.001).

Inspiratory time also decreased following bicuculline injections relative to control

injections (T_i control = 0.326 ± 0.024s) and remained lowered throughout the response,

but this reduction did not attained the level of statistical significance for comparisons at

the peak of the response (KW test: H(5) = 9.41, p = 0.094). Contrary to this decrease in

inspiratory duration, the total expiratory time was observed to significantly increase in all

groups and remained elevated compared to baseline (T_E response = 1.313 ± 0.188s, T_E

baseline = 1.029 ± 0.161s, Wilcoxon rank sum: Z = 4.49, p = 0.001). As in the case of

inspiratory time, the increased expiratory time did not reach statistical significance when

comparing the peak responses of any of the groups including control (T_E control = 1.061

± 0.161s, KW test: H(5) = 9.42, p = 0.094). Overall, these results suggest that the most

caudal locations (-0.2 mm and +0.1 mm) experienced a faster and more drastic drop in

respiratory frequency following bicuculline injection, and this reduction in frequency was

driven by an increase in expiratory period accompanied by a reduction in the inspiratory
time.

The amount of inspired air per each breath, measured as V_T, significantly increased
when compared to baseline in all the injection sites tested except the most caudal
location (-0.2 mm from VIIc) (One-Way repeated Measures ANOVA, p = 0.01) (Figure 4
H-I). In all the groups, the increase in V_T peaked at 4 min post-injection (Figure 4 H). Of
particular interest is that the peak V_T observed in the +0.6 mm location (V_T = 10.8 ± 0.5
ml/kg – 29% increase from baseline) was significantly higher than that observed in the
two most caudal groups (-0.2 mm = 7.0 ± 0.4 ml/kg – 8% increase from baseline and
+0.1 mm = 8.0 ± 0.3 ml/kg – 16% increase from baseline) (One-Way ANOVA p = 0.01;
Bonferroni p = 0.03) (Figure 4 I). On the other hand, V_E decreased compared to
baseline in the most caudal group (-0.2 mm = 255.4 ± 16.2 ml x min^{-1} x kg^{-1} – 12% drop
from baseline, One-Way Repeated Measures ANOVA, p= 0.001), whereas at the +0.6
mm location, V_E increased following bicuculline injection (V_E = 414.3 ± 22.7 – 16%
increase from baseline, One-Way Repeated Measures ANOVA, p = 0.001) (Figure 4 J-
K). Interestingly, the change produced in V_E after injection of bicuculline at the most
rostral locations (+0.4 mm; +0.6 mm and +0.8 mm) was significantly larger than that
produced after injection at -0.2 mm from VIIc (One-Way ANOVA p = 0.0006, Bonferroni
p = 0.0004) (Figure 4 K). These results suggest that the V_E was mostly driven by the
drop in respiratory frequency at the two most caudal locations (-0.2 mm and +0.1 mm),
whereas V_T drove the increase in V_E observed in the most rostral groups (+0.4 mm;
+0.6 mm; +0.8 mm), which resulted in a more drastic increase in V_E.

Injection of bicuculline decreased oxygen consumption at the rostral locations

To evaluate the metabolic effects of the respiratory changes induced by bicuculline
injections along the rostrocaudal axis of the ventral medulla, we measured the amount
of oxygen consumption in the experimental animals (V_{O2}). Overall, V_{O2} remained similar
to baseline at the 3 most caudal locations (-0.2 mm; +0.1 mm and +0.4 mm) (Figure 4
L-M) and dropped from baseline only at the most rostral sites (+0.6 mm and +0.8 mm)
(One-Way Repeated Measures ANOVA p = 0.0001). Similarly, the minimum V_{O2}
achieved at the rostral groups (+0.6 mm = 11.8 ± 0.8 ml x min^{-1} x kg^{-1} – 33% drop from

baseline and +0.8 mm = 12.2 ± 6.1 ml x min^{-1} x kg^{-1} – 25% drop from baseline) was

significantly different from the values achieved at the most caudal locations (-0.2 mm =

17.3 ± 3.3 ml x min^{-1} x kg^{-1} – 10% drop from baseline; +0.1 mm = 17.6 ± 1.3 ml x min^{-1} x

kg^{-1} – 17% drop from baseline) (One-Way Repeated Measures ANOVA p = 0.0001,

Bonferroni p = 0.005) (Figure 4 M). Additionally, the ratio of V_E/V_{O2} remained unchanged

from baseline following bicuculline injection, except at the two most rostral locations,

where it increased (+0.6 mm and +0.8 mm) (One-Way Repeated Measures ANOVA p =

0.001) (Figure 4 N-O). The maximum V_E/V_{O2} was achieved at 6-8 min post-second

injection at the two most rostral locations (+0.6 mm = 34.8 ± 3.0 – 73% increase from

BL; +0.8 mm = 38.7 ± 12.8 – 82% increase from baseline) (Figure 4 N), and these

values were significantly different from the values observed at the two most caudal

groups (-0.2 mm = 20.0 ± 6.7 – 3% increase from baseline and +0.1 mm = 18.7 ± 2.8 –

15% increase from BL) (One-Way ANOVA p = 0.0006, Bonferroni p = 0.003) (Figure 4

O). In summary, these results suggest that bicuculline injection and the resulting

respiratory effects led to a reduction in oxygen consumption, especially at the +0.6 mm

and +0.8 mm locations. Furthermore, the reduction in V_{O2} paired with the increases in

V_E observed at the most rostral injection sites (+0.6 mm and +0.8 mm), resulted in

hyperventilation, an effect which was not observed in the two most caudal groups (-0.2

mm and +0.1 mm).

Rostral bicuculine injection induces more prominent changes to all phases of the
respiratory cycle

To further differentiate the responses elicited by bicuculine injections at each location

along the rostrocaudal axis of the ventral medulla, we subdivided our analysis by

respiratory phase (late-E, inspiratory, and post-I) and measured the differences in the

area of respiratory signals (airflow, ∫DIA EMG and ∫ABD EMG) as per the algorithm

described in the methods section (Figure 5 A-C).

Injections at all locations induced negative deflections in the airflow signal (Figure 5D)

and were concomitant with potent increases to the ∫ABD EMG within the late-E phase

(Figure 5 D,F), whereas there was no change in ∫DIA EMG signal during this phase

(Figure 5 E). The observed differences tended to be more prominent in rostral locations

and tended towards significance ($p < 0.1$) when comparing the late-E responses from
the +0.6mm and +0.8mm injection groups to control injections for the entire duration of
the 20-minute post-injection response. The expiratory airflow reached significance ($α =$
0.05) when comparing the second most rostral location (+0.6mm) relative to control
injections at timepoints from 4 min (KW test: $H(5) = 14.66$, $p = 0.012$, Dunn: p , 0.001) to
12 min post-injection (KW test: $H(5) = 18.35$, $p = 0.003$, Dunn: $p < 0.001$) (Figure 5D).
Moreover, airflow responses were significantly more negative following bicuculline
injections in the +0.6mm group compared to the -0.2mm group, and remained
significantly different at several timepoints from 8 min (KW test: $H(4) = 11.41$, $p = 0.022$,
Dunn: $p < 0.001$) to the last 20 min of the post-injection period (KW test: $H(4) = 10.65$, p
$= 0.031$, Dunn: $p = 0.002$) (Figure 5D). In a similar manner, increases in the ∫ABD EMG
response differed significantly relative to those elicited by control injections only for the
2 most rostral injection locations (+0.6mm, +0.8mm) at timepoints from 6 min (KW test:
$H(5) = 16.23$, $p = 0.006$, Dunn: +0.6mm: $p < 0.001$; +0.8mm: $p = 0.002$) to 12 min (KW
test: $H(5) = 20.81$, $p < 0.001$, Dunn: +0.6mm: $p < 0.001$; +0.8mm: $p < 0.001$)(Figure 5F).
Correspondingly, the increases in ∫ABD EMG activity evoked by these rostral locations
(+0.6mm: Purple, +0.8mm: Orange) were significant as compared to the response at
the most caudal location (-0.2mm: Red) for a similar range of timepoints from 8 min (KW
test: $H(4) = 14.60$, $p = 0.006$, Dunn: +0.6mm: $p < 0.001$; +0.8: $p = 0.002$) to 14 min (KW
test: $H(4) = 13.93$, $p = 0.008$, Dunn: +0.6mm: $p = 0.002$; +0.8mm: $p < 0.001$) with the
+0.8mm group remaining significantly elevated at 16 min (KW test: $H(4) = 13.56$, $p =$
0.009, Dunn: +0.8: $p = 0.002$)(Figure 5F). Thus, our results in the Late-E phase
demonstrate that the rostral regions of the ventral medulla are the most sensitive to
bicuculline-induced increases in expiratory airflow, presumably elicited via a prominent
activation of ABD activity.

For the inspiratory phase, bicuculline injections evoked strong increases in the
respiratory airflow and ∫DIA EMG signal, which were strongest in the rostral locations
(+0.6mm, +0.8mm) and relatively weaker in more caudal locations (-0.2mm). Inspiratory
airflow was significantly increased relative to control injections compared to the +0.6mm
group for timepoints extending from 2 min (KW test: $H(4) = 13.25$, $p = 0.021$, Dunn: $p <$
0.001) to 12 min post-injection (KW test: $H(4) = 14.58$, $p = 0.012$, Dunn: $p =$

0.001)(Figure 5D). Unlike the effects noted above within the Late-E phase, airflow
increases in this group were found to only reach significance for a shorter portion of the
response from 10 min (KW test: $H(4) = 10.50$, $p = 0.033$, Dunn: $p = 0.004$) to 12 min
(KW test: $H(5) = 10.93$, $p = 0.027$, Dunn: $p = 0.003$) post-injection when compared to
the +0.4mm group (Figure 5D). ∫DIA EMG activity did not differ significantly when
compared between injection locations or to control injections, despite the increases in
mean ∫DIA EMG area in the +0.6mm and +0.8mm injection groups which peaked early
in the time course of the response and are visible in Figure 5E. Contributions of the ABD
muscle to the respiratory changes during inspiration (Figure 5F, inspiratory phase) were
determined to be negligible as the raw ABD EMG is not active during this phase and the
remaining signal in the ∫ABD EMG can be accounted for by exponential decay induced
by the integration algorithm used to pre-process these signals (see methods section).
These results illustrate that the increases in inspiratory airflow and ∫DIA EMG activity
evoked by bicuculline injections are stronger when targeting more rostral injection
locations. However, these changes are relatively weak and, on average, remain altered
for a much shorter period of time relative to the effects noted above for the Late-E
phase.

Negative deflections in the airflow signal during the post-I phase were observed in
several responses and were most pronounced in the 2 most rostral injection groups
consistent with the results above (Purple and Orange, Figure 5D). However, the area-
under-the-curve measure used here to quantify changes in expiratory airflow relative to
baseline did not reach the level of significance at $\alpha = 0.05$ when comparing injection
locations as was described above for the other respiratory phases. Bicuculline injections
into the most rostral group (+0.8mm: Orange) did, however, evoke substantial increases
in ∫ABD EMG activity during the post-I phase which were significantly elevated during
the 6 minute (KW test: $H(4) = 10.37$, $p = 0.035$, Dunn: $p = 0.002$) and 16 minute time
bins (KW test: $H(4) = 9.52$, $p = 0.049$, Dunn: $p = 0.001$) when compared to injections
into the most caudal group (-0.2mm). These effects were unlikely to be related to any
changes in the DIA signal, as the DIA muscle activity is quiescent during the post-I

period (Figure 5A) and the ∫DIA EMG is contaminated by the pre-processing as noted
above for the ABD muscle during inspiration (see methods section).

Altogether, the area-under-the-curve analysis in Figure 5 shows that airflow is
substantially altered in all 3 phases of the respiratory cycle and the ABD muscle is
strongly activated in several groups in the late-E and post-I periods with injections of
bicuculline along the pFL axis. Importantly, these effects are significantly stronger when
targeting the rostral areas of the pFL (+0.6mm and +0.8 mm from VIIc) compared to
injections that target the more caudal regions.

Bicuculline responses following injections along the rostrocaudal axis of the ventral
medulla can be differentiated by the deviations of their 3D trajectories at different
phases of the respiratory cycle

We next sought to determine whether responses to bicuculline injections across the
rostrocaudal axis of the pFL could be more precisely differentiated from each other
when combining the responses in all three recorded signals (airflow, together with ∫DIA
and ∫ABD EMG) evoked within the periods of late-E, inspiration, and post-I. Our
approach was to represent all three signals as axes in a 3-dimensional space wherein
each point corresponds to an individual timepoint during our recordings. When plotting
the   measurements   across   an   entire   respiratory   cycle,   this   multidimensional
representation resembles a looped trajectory as shown in Figure 1D for cycles recorded
during the baseline and post-injection periods. Indeed, each phase of the cycle (late-E,
inspiration,   and   post-I)   could   be   recognized   as   a   particular   region   along   the   3D
trajectory. Inspiration, for example, occupies the space where airflow and ∫DIA EMG are
positive (Figure 1D: red). In baseline conditions, the loop is largely confined to the plane
defined by the airflow-∫DIA axes, as the ABD muscles are not activated during resting
states (Figure 1E, left). Following bicuculline injections, respiratory loops became more
complex, involving marked perturbations occurring in this first plane, together with novel
deformations extending along the ∫ABD EMG axis as shown in the right-hand plane in
Figure 1E, described by airflow and the ∫ABD EMG. The relative amplitudes of these
complex deviations from the baseline cycle could then be used to characterize the
degree of change caused by our injections across pFL sites.

Figures 6 A through E demonstrate how the trajectories computed during the baseline
(shown in black) are altered as the response evolves across each time bin spanning the
full 20-minute post-injection period for all injection locations. Supplementary videos of
each example recording in Figure 6, along with their cycle-by-cycle variability, further
clarify their 3D shape as the trajectory is rotated about the ∫DIA EMG axis
(Supplementary Materials 1-5). We noted several key deformations to baseline loops
including a "bulb" of activation on the outermost edge of the loop during the peak of
inspiration when airflow is at its maximum. These "bulbs" are similarly confined to the
∫DIA EMG-airflow axis as both of these signals are involved in shaping their overall
prominence. Baseline loops are also perturbed during the late-E period as a result of
∫ABD EMG-airflow activation which can be seen as "tails" which extend upwards in the
right-most plots of Figure 6A-E. Abdominal-airflow activation during the post-I period
manifests as an upwards "foot-like" extension of the cycle in left-most portion of the
Figure 6 A-E, most prominently displayed in the representative recording of Figure 6D.
The example trajectories in Figure 6A-E demonstrate how the deformations in each
phase can be used as features to distinguish the responses of each injection location.

Consistent with our analyses above, the late-E tails of the response loops elicited by
caudal injection locations are relatively smaller compared to those observed in rostral
locations (Figure 6A). Moreover, these "tails" in the -0.2mm, +0.1mm, and +0.4mm
groups last for a shorter duration than the two most rostral locations as can be
determined by their more bluish-yellowish color (Figure 6A-E: rightmost panel).
Inspiratory bulbs appear to be more similar across groups and are most prominent in
the +0.6mm group (Figure 6A-E: middle panel). Lastly, post-I "feet" are largely absent in
the example responses of the three most caudal groups (-0.2mm, +0.1mm, +0.4mm)
and are particularly noticeable in the +0.6mm and +0.8mm groups.

Quantifying the extent of these 3 features as the Euclidean distance between bicuculline
injection and baseline in each respiratory phases is displayed in Figure 6F. Compared to
control injections of HEPES buffer, bicuculline evoked pronounced Late-E "tails", as well
as inspiratory "bulbs", and post-I "feet" in all injection groups which lasted throughout
the 20 min response, with the notable exception of the most caudal group (-0.2mm)

which returned to a baseline trajectory by 8 min post-injection (Figure 6G). Consistent
with the results in Figure 5, the most rostral injections at +0.6mm and +0.8mm elicited
the largest Late-E deformations. The 3D approach applied here further differentiated the
responses of these two groups, as the Late-E "tails" of the +0.6mm group were
significantly longer than those observed in the -0.2mm group early in the response from
8 min (KW test: $H(4) = 12.22$, $p = 0.016$, Dunn: $p < 0.001$) to 12 min (KW test: $H(4) =$
12.34, $p = 0.015$, Dunn: $p = 0.002$), while those in the +0.8mm group peaked later in the
response and were significantly longer than in the -0.2mm group from 12 min (KW test:
$H(4) = 12.34$, $p = 0.015$, Dunn: $p = 0.001$) to 16 min (KW test: $H(4) = 10.89$, $p = 0.028$,
Dunn: $p = 0.001$) (Figure 6G). These results are consistent with the observations in
Figure 3F, which indicate that responses in the +0.6mm group begin earlier relative to
other groups. Moreover, the +0.6mm group produced the largest deformations to the
inspiratory "bulb" which were significantly greater than those in the -0.2mm group from 8
min (KW test: $H(4) = 12.59$, $p = 0.013$, Dunn: $p < 0.001$) to 14 min (KW test: $H(4) =$
10.27, $p = 0.036$, Dunn: $p = 0.001$) (Figure 6G). On the contrary, the largest post-I "feet"
were observed in the +0.8mm group and were significantly greater than the -0.2mm
group from 4 min (KW test: $H(4) = 10.01$, $p = 0.040$, Dunn: $p = 0.002$) to 16 min (KW
test: $H(4) = 9.70$, $p = 0.046$, Dunn: $p = 0.001$), excluding at the 10 minute (KW test: $H(4)$
= 8.93, $p = 0.063$) and 14 minute time bins (KW test: $H(4) = 8.10$, $p = 0.088$) (Figure
6G).

As demonstrated in the representative graphs in Figure 6A-E, the variability of the
respiratory trajectories within the baseline or response periods (shown as clouds around
the trajectory "loop"), differs significantly between experiments. To account for the
impact of this variability on our quantification of trajectory deformations, we computed
the mean Mahalanobis distance within the late-E, inspiratory, and post-I phases (see
methods). Our results revealed a gradient of response intensities relative to respiratory
variability, with the two most rostral groups producing the strongest deformations to
breathing trajectories (Figure 6H). Similar to our results above, these groups differed in
the time course and relative strength of their responses in each phase. Injections at
+0.6mm produced significantly more pronounced late-E "tails" compared to caudal
injection responses from 6 min (KW test: $H(4) = 11.80$, $p = 0.019$, Dunn: $p = 0.001$) for

12 min (KW test: $H(4) = 12.24$, $p = 0.016$, Dunn: $p = 0.001$)(Figure 6H). Late-E "tails"
from the +0.8mm group were significantly more prominent than "tails" evoked by caudal
bicuculline injections for much greater proportion of the 20minute response compared to
the +0.6mm group, ranging from 6 min (KW test: $H(4) = 11.80$, $p = 0.019$, Dunn: 0.002)
to 20 min post-injection (KW test: $H(4) = 11.01$, $p = 0.026$ Dunn: $p < 0.001$) (Figure 6H).
Deformations to the inspiratory "bulb" relative to respiratory variability were significantly
larger in the +0.6mm group compared to caudal responses and peaked at the 12minute
time bin post-injection (KW test: $H(4) = 10.63$, $p = 0.031$, Dunn: $p = 0.002$)(Figure 6H).
In contrast, the extent of post-I "feet" was significantly greater in the +0.8mm group than
the -0.2mm group from 6 min (KW test: $H(4) = 11.00$, $p = 0.027$, Dunn: $p = 0.002$) to 20
min (KW test: $H(4) = 10.74$, $p = 0.030$, Dunn: $p < 0.001$), where as the extent of post-I
"feet" in the +0.6mm group was significantly greater than in caudal injections only at the
8 minute (KW test: $H(4) = 11.65$, $p = 0.020$, Dunn: $p = 0.002$) and 12 minute time bins
(KW test: $H(4) = 12.23$, $p = 0.016$, Dunn: $p = 0.001$). Thus, while response intensity in
all respiratory periods was strongest in the two most rostral injection groups, overall
changes in the late-E and post-I period were strongest in the +0.8mm group, while
changes in inspiration were strongest in the +0.6mm group.

Discussion

In this study, our primary aim was to investigate the rostro-caudal distribution of the source of active expiration within the lateral parafacial region (pFL). To accomplish this objective, we used bicuculline, a GABA-A receptor antagonist known for its ability to disinhibit pFL cells (de Britto & Moraes, 2017; Huckstepp et al., 2015; Pagliardini et al., 2011; Silva et al., 2019). Bicuculline was administered at various rostro-caudal coordinates, and we methodically assessed the resulting respiratory responses to pinpoint the location within this region that induced the most significant and relevant changes to the respiratory cycle. Our findings offer valuable insights into the neural circuitry governing active expiration in the brainstem, with a particular focus on less-explored, more rostral areas of the pFL.

Histological Analysis

We adopted a stereological approach to identify the central region of the injection sites by visualizing sections containing fluorobeads. Experimental subjects were categorized based on the proximity of the injection sites, spanning from -0.2 mm to +0.8 mm from VIIc. We took measures to ensure that injections were positioned ventrolateral to the facial nucleus (VIIc) and did not overlap with PHOX2B+ cells within the more ventromedial retrotrapezoid nucleus (RTN). Evaluation of cellular activity following bicuculline activation, as measured by cFos staining, confirmed minimal baseline activity of the PHOX2B+ cells in all groups as compared to the control group. These results confirm very limited mediolateral spread of the drug from the core site of injection and support previous findings indicating that PHOX2B is not a marker for the expiratory oscillator (de Britto & Moraes, 2017; Magalhães et al., 2021).

Characteristics of ABD Signals

Our efforts successfully elicited expiratory ABD responses and active expiration at all tested injection sites, consistent with previous reports (Boutin et al., 2017; de Britto et al., 2020; de Britto & Moraes, 2017; Huckstepp et al., 2015; Korsak et al., 2018; Magalhães et al., 2021; Pagliardini et al., 2011; Silva et al., 2019; Zoccal et al., 2018). Previous work has primarily examined the core of pFL at locations ranging from -0.2 mm

to +1.0 mm from the caudal tip of the facial nucleus, a range similar to the one explored in the current study (-0.2 mm to +0.8 mm from VIIc). However, it is important to note that those studies have traditionally used the presence or absence of active expiration in response to a stimulus as the only measure to determine the location of pFL. Our study reveals that this metric is not the most accurate in determining the closest proximity to the pFL core, as we found that all locations within that range in which injections were made exhibited active expiration. Consequently, we employed a combination of diverse respiratory measures to assess the injection site that induced the most robust respiratory effect.

Remarkably, the ABD response was consistently generated at locations rostral to VIIc, aligning with previous observations that did not find a reliable triggering of the ABD response at caudal positions ranging from -0.2 mm to -0.4 mm from VIIc (Pagliardini et al., 2011). This response was characterized by a late-E component at all rostro-caudal locations and, in the most rostral groups (+0.6 mm and +0.8 mm from VIIc), an additional tonic post-I component that was absent in the injections at more caudal locations. Although the configuration of the ABD response, whether late-E only, tonic, or a combination of the two, has not been extensively discussed in the literature, these distinctions may provide valuable insights into the involvement of distinct neuronal circuits in mediating the ABD response. For example, sample traces from the injection of bicuculline/strychnine from 0 to +1.0 mm from VIIc with 4-5% CO_2 exposure exhibited a tonic ABD response throughout the entire expiratory phase (without post-I or late-E peaks) (Silva et al., 2019), whereas sample traces from injections spanning from -0.2 mm to +0.4 mm from VIIc seemed to indicate a combination of tonic and late-expiratory ABD responses (de Britto & Moraes, 2017; Pagliardini et al., 2011). Interestingly, the latter studies also observed tonic increases in ABD EMG (without a late-expiratory peak), but only during baseline conditions and not in response to drug injection. In our study, we also observed a post-I ABD activation that only appeared at the +0.6 mm location. This post-I peak was previously observed in neonate rats (postnatal day 5) during ABD activation occurring throughout apneic periods induced by systemic fentanyl injection (Janczewski, Onimaru, Homma, & Feldman, 2002). A similar biphasic pattern (late-E/post-I) was also observed in facial nerve recordings of *in vitro* preparations and

associated with neuron activity in the parafacial area (Onimaru & Homma, 2003;
Onimaru, Kumagawa, & Homma, 2006). The presence of these unique response
features at different rostro-caudal locations suggests a complex interplay among neural
populations within the ventral medulla, necessitating further investigation beyond the
scope of the present study.

Temporal dynamics of the ABD response reveal a longer-lasting and shortest latency
response at rostral locations

The temporal dynamics of the ABD response exhibited significant variations along the
rostro-caudal axis. Responses at rostral locations displayed prolonged durations and
stronger coupling with DIA signals compared to caudal locations. Previous research
employing bicuculline injections to induce active expiration have reported an increase in
the coupling of ABD/DIA signals +0.1mm to +0.3 mm from VIIc in juvenile rats (de Britto
& Moraes, 2017). Similarly, we observed good ABD/DIA coupling at locations rostral to
+0.1 mm. Such coupling has been documented in other studies as an indicator of the
robustness of the ABD response, either during hypercapnia/sleep or chemogenetic
modulation during sleep (Leirão, Silva, Gargaglioni, & da Silva, 2018; Pisanski et al.,
2019). Therefore, in our study, we used it as a measure of the strength of the achieved
ABD activation. Additionally, prior studies have indicated that the duration of effects
induced by bicuculine/strychnine injections at locations spanning from -0.2 mm to +1.0
mm from VIIc can range from 16 to 30 min (Pagliardini et al., 2011; Silva et al., 2019).
However, these studies did not specify whether there were disparities in the duration of
ABD responses along the rostro-caudal axis. In our study, we have conclusively
established that there are indeed variations in the robustness (as denoted by ABD/DIA
coupling) and duration of ABD responses, with the more rostral locations (+0.6 mm and
+0.8 mm from VIIc) yielding the most potent responses in this regard. Importantly, the
group with injection sites at +0.6 mm rostral to VIIc exhibited the swiftest response
onset, suggesting the closest proximity to, or the largest density of, the cells responsible
for ABD recruitment following bicuculline injection. Given that the total number of cFos+
cells did not vary across injection sites, it is conceivable to infer that the rostral pFL is in
the closest proximity to the cells responsible for the generation of active expiration.

Bicuculline injection elicited stronger respiratory effects at rostral locations in pFL

Bicuculline injections induced significant alterations in a number of respiratory parameters, reminiscent of previous studies exploring the effects of bicuculline/strychnine injections in the ventral medulla (de Britto & Moraes, 2017; Pagliardini et al., 2011; Silva et al., 2019). We observed a drop in respiratory frequency concomitant with the emergence of ABD recruitment in the caudal locations (-0.2 mm, +0.1 mm), similar to what was observed in those locations through pharmacological activation of pFL (Boutin et al., 2017; Pagliardini et al., 2011). However, in the most rostral locations in our study (+0.4 mm, +0.6 mm, +0.8 mm), the respiratory frequency remained unchanged. This dichotomy in the frequency response despite the presence of ABD recruitment in both cases may suggest that the slowing of the respiratory period in the most caudal locations may be a consequence of disinhibition of the adjacent Bötzinger complex. Additional evidence to support this view is that the nadir of respiratory frequency was achieved at increasing periods of time as the injections were located increasingly more rostrally, with the most caudal injection reaching the minimum respiratory frequency at 2 min post-injection, while at the most rostral injection site, the minimum respiratory frequency was observed at 6 min.

On the other hand, V_T increased by 16-29% in all the studied locations except -0.2 mm, similar to what was observed previously with activation of pFL at locations ranging from -0.2 mm to +0.4 mm (Boutin et al., 2017; Pagliardini et al., 2011). However, minute ventilation increased significantly above baseline at the +0.6 mm location in our study, whereas it decreased at the -0.2 mm location and remained unchanged in the rest of the sites. These results are in agreement with previous observations, where V_E remained unchanged at caudal locations after bicuculline injection (-0.2 mm to +0.4 mm) (Pagliardini et al., 2011). However, it is important to highlight the absence of studies that have measured V_T and V_E after eliciting active expiration at more rostral locations (+0.6 mm to +0.8 mm) (Silva et al., 2019). These results emphasize that the rostro-caudal organization of pFL is not as simple as previously thought and particularly highlights the importance of studying more rostral locations that have previously been neglected.

Injection of bicuculline decreased oxygen consumption at the rostral locations

This research also explored the metabolic consequences of bicuculline-induced

respiratory changes. We observed a significant decrease in V_{O2} at the most rostral

locations (+0.6 mm, +0.8 mm), which were also the sites where we observed the largest

changes in V_T and V_E produced by the injection of bicuculline. It is important to note that

these changes in V_T and V_E were not due to physiological needs and, therefore, could

be considered a form of artificial hyperventilation that would cause a drop in arterial

pCO_2 (Daly Md Fau - Hazzledine & Hazzledine, 1963) and affect the gas exchange

processes in the blood and peripheral tissues to reduce V_{O2}. Alternatively, the

abdominal recruitment induced by bicuculline might not only increase V_T and V_E but

might also facilitate respiratory mechanics *in vivo* (Bosc, Resta, Walker, & Kanagy,

2010; Giordano, 2005; Haupt, Goodman Dm Fau - Sheldon, & Sheldon, 2012; Ninane,

Rypens F Fau - Yernault, Yernault Jc Fau - De Troyer, & De Troyer, 1992; Ninane,

Yernault Jc Fau - de Troyer, & de Troyer, 1993), reducing the work of breathing and,

subsequently, causing a significant reduction in O_2 consumption. This remains to be

determined, however.

Rostral pFL bicuculline injections induces more prominent changes to all phases of the

respiratory cycle

Here we introduced a novel, area-under-the-curve (AUC) analysis algorithm, aimed at

dissecting the respiratory changes evoked within each phase of the respiratory cycle

(late-E, Inspiration, post-I) as a result of the locale of injections and relative to baseline

conditions for each of the airflow, DIA and ABD signals. This method revealed that the

two most rostral injection sites elicited the most robust activation of ABD activity and

induced the most significant alterations in expiratory airflow during both the late-E and

post-I phases. Similarly, during the inspiratory phase, rostral injections generated the

most pronounced changes in inspiratory airflow and DIA activity - particularly when

positioned +0.6mm from the VIIc. These findings align with the observed increases in

tidal volume depicted in Figure 4 H-I at those specific locations. Moreover, in the post-I

phase, we also observed the most prominent negative airflow deflections at the most

rostral injection sites, corresponding to concurrent ABD activation during that same
timeframe.

By applying this novel analytical approach, which, to the best of our knowledge, has not
been previously employed in research evaluating ABD responses triggered by pFL
activation (Boutin et al., 2017; de Britto & Moraes, 2017; Huckstepp et al., 2015; Korsak
et al., 2018; Magalhães et al., 2021; Pagliardini et al., 2011; Silva et al., 2019; Zoccal et
al., 2018), we were able to reveal disparities in the AUC of ABD and airflow signals.
These disparities, which went undetected with the analyses shown in Figure 4B to E,
were particularly evident during the late-E and post-I periods. Furthermore, the division
of the response into three distinct respiratory phases enabled a more precise
assessment of how targeted stimulation affects a particular phase of the respiratory
cycle, which could be used in future research to provide valuable insights into the
underlying neural mechanisms of respiratory control.

Bicuculline responses following injections along the rostro-caudal axis of the ventral
medulla can be differentiated by the time course and total extent of respiratory
activation during late-E, inspiration and post-I phases.

Our results certainly indicate that bicuculline injections at various pFL locations induce a
complex set of interactions between DIA and ABD muscle activation, as well as changes
in airflow across the inspiratory and expiratory phases of respiration. Our final goal was
to develop an algorithm which could accurately represent the complete "framework" of
respiratory activation across each recorded signal with respect to each these phases.
To this end, we introduced another novel analysis method that tracked the multivariate
variations occurring throughout the respiratory cycle. We were able to visualize and
highlight a number of features of the respiratory cycle including late-E "tails", inspiratory
"bulbs" and post-I "feet" that allowed us to characterize alterations that are specifically
relevant to active expiration. Given that this analysis is designed to maintain a
consistent representation across conditions within experiments, these features can be
catalogued into a "framework" of the breathing cycle during both baseline and post-
injection conditions. Thus, this method is both sensitive to subtle changes in the

activations of each component signal, but also more importantly, it is highly robust to the variability inherent in the acquisition of respiratory physiological measurements *in vivo*.

By quantifying the respiratory cycle in terms of 3D measures of distance we were able to differentiate between the rostral and caudally evoked responses, as well as differences between the two most rostral locations which were previously undetected in the standard analyses as presented in Figure 4 B-E. Particularly, our findings were consistent with the other analyses applied here with regards to the significantly larger extent of respiratory loop distortions in the two most rostral positions in comparison to the more caudal injections. Furthermore, it was observed that injections in the +0.8mm group produced the highest amplitude changes to the late-E "tails" which also persisted for a more extended duration. Injections at this location also produced significant post-I "feet" for a longer period of time. Conversely, the most prominent inspiratory "bulbs" were observed in the +0.6 mm group, aligning with the most substantial changes in V_T observed in Figure 4 H-I.

Collectively, these results imply that while the combined effects of bicuculline injection on the respiratory cycle were most pronounced at the two most rostral positions, there were slight variations in the effects exhibited during each respiratory phase at this level of the ventral medulla. These nuanced effects may suggest differences in the functional characteristics, phenotypes and projections of cell populations at these specific coordinates. It is worth noting that the pFL neurons responsible for active expiration are typically quiescent in adults at rest (de Britto & Moraes, 2017; Magalhães et al., 2021; Pagliardini et al., 2011), making their phenotypic and functional characterization challenging. Recent research has indicated that the pFL cells exhibiting late-E firing during hypercapnia-induced active expiration are characterized as glutamatergic, phox2b-negative and NMB-negative, and possess TASK, GPR4, hyperpolarization-activated, cyclic nucleotide-gated, T-type Calcium, and twik related K+ leak channels, while they lack sodium persistent current channels (Magalhães et al., 2021). However, these observations were made in neurons situated closer to the tip of the facial nucleus in juvenile rats. It will be important to investigate now whether phenotypic and electrical properties of neurons at more rostral positions share the same identity.

pFL or RTN?

An ongoing debate centers on whether the neurons responsible for the generation of ABD recruitment are an independent oscillator composed of PHOX2B-, late-E firing neurons (pFL) (de Britto & Moraes, 2017; Magalhães et al., 2021; Pagliardini et al., 2011; Pisanski & Pagliardini, 2019) or part of the PHOX2B+/NMB+ chemosensitive neurons of the RTN/ventral paraFacial region (Abbott, Stornetta, Coates, & Guyenet, 2011; George, Ruth, Daniel, Stephen, & Patrice, 2020; Marina et al., 2010). While there is evidence that specific stimulation of PHOX2B+/NMB+ neurons causes ABD activity and active expiration (Abbott et al., 2011; George et al., 2020), these studies have not shown recordings from late-E PHOX2B/NMB+ neurons in their investigations. However, there is concrete evidence of the existence of PHOX2B-negative late-E firing neurons that are activated in response to bicuculline injections in the ventral medulla (de Britto & Moraes, 2017; Magalhães et al., 2021; Pagliardini et al., 2011) while PHOX2B/NMB+ chemosensitive neurons may send tonic excitatory drive to the expiratory oscillator when activated. Because our anatomical results show very little PHOX2B/cFos+ staining at injections sites rostral to +0.1 mm, is fair to conclude that the ABD activity generated at that level is likely not the direct result of RTN activation or driven by PHOX2B+ neurons.

Conclusion

The results of this study highlight the functional diversity of the lateral parafacial region and emphasize the strong role of the most rostral locations in generating active expiration. The use of our novel multi dimensional map to assess physiological responses highlighted the functional nuances in respiratory responses to bicuculline along the pFL rostrocaudal axis, and advanced our understanding of the parafacial region.

Figure 1

Measures used in quantifying respiratory responses to bicuculline injections. A: The

time course of raw, and integrated EMG signals relative to recorded airflow during a

sample breath. Vertical red line indicates the onset of inspiration as defined by positive

airflow. B: Respiratory phases within a mean-cycle computed during baseline and post-

injection for each recorded signal. Green lines mark the boundaries of each phase of

the respiratory cycle, including the late-E (Blue), inspiratory (Red), and post-I (cyan)

phases. C: Sample calculation of normalized area-under the-curve. Area under the

baseline mean-cycle (dashed line, area in green) in each phase is subtracted from the

corresponding colored area under the response mean-cycle (solid line, late-E: Blue,

inspiration: Red, post-I: Cyan) to give a measure of how inspiratory airflow has changed

relative to baseline. D: 3D representation of a representative baseline (dashed line) and

response (solid line) mean-cycle. Each timepoint is a dot in 3D space defined by its

airflow, ∫DIA EMG, and ∫ABD EMG measurements. Colors indicate respiratory sub-

periods as in B. Dashed lines indicate the origin for each measure. E: 2D projection of

the mean-cycle in D. As per the colors in B, points on the left-hand side indicate

expiration, points on the right-hand side indicate inspiration. F: Sample calculation of

Euclidean and Mahalanobis distance measures for representative mean-cycles during

the baseline (gray) and response (orange). PinkGreen line indicates the Euclidean

distance between the response and baseline at a single timepoint. Black indicates the

distribution of baseline values at the same timepoint used to calculate the Mahalanobis

distance. Mean-cycles have been rotated relative to D to expose these distances to the

viewer.

Figure 2

Location of bicuculline injections along the rostrocaudal axis of the ventral medulla. A:
Representative confocal microscope images of immunohistochemistry performed at the
injection sites. Red: cFos, Cyan: ChAT, Blue: PHOX2B, Green: TH, Magenta:
fluorobeads. Red rectangle is a representative example of the area used for cell
counting. Yellow and Purple squares are the areas represented on the right panel of A,
notice the abundance of CFOS+ cells on the lateral area near the injection site (yellow
square) compared to the fewer CFOS+ cells in the medial area (purple square). Closed
white triangles are pointing out examples of CFOS-PHOXB+ cells, whereas open white
triangles are pointing out examples of PHOX2B+ cells that were CFOS-negative.
Magnification is the same for all images in the right panels of A. B: Schematic
representation of the core of the injection sites and the group attribution, according to
proximity of injection sites among experimental rats (-0.2 mm, n=5; +0.1 mm n=7; +0.4
mm, n=5; +0.6 mm, n=6; +0.8 mm, n=5). C: Cell counting colored coded according to
the groups defined in B (CTRL, n=7; -0.2 mm, n=5; +0.1 mm n=7; +0.4 mm, n=5; +0.6
mm, n=6; +0.8 mm, n=5). Data points represents the Mean ± SEM of cells counted per
hemisection at rostrocaudal locations ranging from -0.5 to +1.0 mm from VIIc. Open
circles: CFOS+ cells; Closed squares: CFOS/PHOX2B+ cells. Inset in group -0.2 mm is
the cell count obtained for the CTRL group. Horizontal lines above the cell count graphs
represent the rostrocaudal extension in which fluorobeads were observed for each
injection site. D: Representative examples of ∫ABD EMG and Raw Airflow traces
obtained after injection of bicuculline (color coded based on the groups defined in B).

Figure 3

Temporal Characteristics of the ABD response elicited after bicuculline injection. A:
Representative examples of raw traces of the Airflow and ∫ABD EMG signals for the
entire duration of the response obtained at each injection site following the first (open
triangle) and second injection (closed triangle) of bicuculline (examples are colored
coded based on the groups obtained in Figure 2 B). B-C: Representative mean-cycles
for the Airflow, ∫DIA EMG, and ∫ABD EMG during baseline (B) and during the first two
min and the last two min of the response (C) (traces are color coded based on the
groups defined in Figure 2 B). Shaded areas indicate standard deviation of each signal.
D: Coupling of the ∫ABD EMG and ∫DIA EMG following the injection of bicuculline at
different rostrocaudal locations. E: Duration of the ABD response elicited. F: Delay in
the onset of the ABD response following the second injection of bicuculline. Sample size
for plots on D-F are as follow: -0.2 mm, n=5; +0.1 mm n=7; +0.4 mm, n=5; +0.6 mm,
n=6; +0.8 mm, n=5. Boxplots represent the median, interquartile range, as well as the
minimum and maximum values. Significance levels were obtained through a One-Way
ANOVA followed by a Tukey Test, $p < 0.005$.

Figure 4:

Respiratory Changes elicited following bicuculline injection: A: Airflow trace depicting
the late expiratory (Late-E) inward airflow inflection induced during ABD recruitment, as
well as the measure of Expiratory Peak Amplitude used in B. B,D: Expiratory Peak
Amplitude (B) and Area (D), throughout the duration of the post-injection period for each
group (color coded based in Figure 2 B). Values represent the Mean ± SEM at each
time bin. C,E: Maximum Expiratory Peak Amplitude (C) and Area (E) obtained at each
injection site. F,H,J,L,N: Relative change in respiratory rate (F), Tidal Volume (H),
Minute Ventilation (J), Oxygen Consumption (L) and V_E/V_{O2} (N) with respect to baseline

throughout the duration of the post-injection period for each group (color coded based

on Figure 2 B). Values represent the Mean ± SEM at each time bin.   G,I,K,M,O:

Maximum relative change in respiratory rate (G), Tidal Volume (I), Minute Ventilation

(K), Oxygen Consumption (M) and V_E/V_{O2} (O) observed at each injection site. Sample

size for plots on B-O are as follow: -0.2 mm, n=5; +0.1 mm n=7; +0.4 mm, n=5; +0.6

mm, n=6; +0.8 mm, n=5. Boxplots represent the median, interquartile range, as well as

the minimum and maximum values. Significance levels were obtained through a One-

Way Repeated Measures ANOVA followed by a Bonferroni Test, $p < 0.005$ (black

asterisks represent significant comparisons between injection sites, red asterisks

represent significant comparisons relative to baseline).

Figure 5:

Rostral injections elicit more prominent changes to respiration in each signal and sub-
period. A: Raw and integrated EMG activity relative to the onset of inspiration (vertical
line). B: Representative mean-cycles during the baseline and post-injection, defining the
late-E (Blue), inspiratory (Red), and post-I (Cyan) periods. C: Sample calculation of
normalized area during each phase. D-F: Normalized response area across post-
injection time for the Airflow (D), ∫DIA EMG (E), and ∫ABD EMG (F) signals following
bicuculline injections into various coordinates along the rostral-caudal axis (colors as
per label above figure). Sample size for plots on D-F are as follow: CTRL, n=7; -0.2 mm,
n=5; +0.1 mm n=7; +0.4 mm, n=5; +0.6 mm, n=6; +0.8 mm, n=5. Stars indicate a
significant difference (*p* <0.05) between the responses elicited by different injection
locations (color-coded based on the groups defined in Figure 2 B) as assessed via
Kruskal-Wallis test. Colored circles indicate the comparisons which were significant as
per Dunn's post-hoc test with Sidak's correction. Shaded areas indicate mean + SEM.

Figure 6:

Deformations in multivariate respiratory trajectories differentiate the responses of
various rostrocaudal coordinates following bicuculline injections. A-E: Representative
respiratory trajectories from each injection location. Each row shows the 3D trajectories
(solid lines) of the mean-cycle computed for baseline (black) and response time bins
(blue to red), based on the color legend in the 3D panel of A. 2D projections of these
trajectories onto the airflow-∫DIA EMG (middle), and airflow-∫ABD EMG planes (right)
follow on the right of each 3D figure. Clouds of coloured points surrounding each
trajectory indicate values from individual cycles of the corresponding time bin. Dashed
lines indicate the origin of each axis. F: Mean Euclidean distance across response time
bins comparing each injection location for the late-E, inspiratory, and post-I phases.
Colours as per Figure 5, noted above the figure. Shaded areas indicate Mean +/- SEM.
Stars indicate significance ($p < 0.05$) for a given time bin between injection locations or
control injections as determined by Kruskal-Wallis test. Colored circles indicate which
groups were significantly different as per Dunn post-hoc testing with Sidak's correction.
G: Mean Mahalanobis distance across the post-injection response for each injection
location and respiratory phase. Colors as in F. Sample size for plots on F-G are as
follow: CTRL, n=7; -0.2 mm, n=5; +0.1 mm n=7; +0.4 mm, n=5; +0.6 mm, n=6; +0.8
mm, n=5. Shaded areas indicate Mean +/- SEM. Stars indicate significance at the p =
0.05 level between injection locations for a given time bin as determined by Kruskal-
Wallis test. Colored circles indicate which groups were significantly different as per
Dunn post-hoc with Sidak's correction.

Supplementary File 1:

Rotating 3D respiratory trajectories highlight the features of breathing in baseline and
response conditions. Trajectories from the sample recordings in Figure 6A (-0.2mm)
across baseline and response time bins (colored as per color bar on right. Each dot
represents a time point from a given time bin. A subsample of ~11000 timepoints from
each time bin have been randomly selected and are rotated about the DIA axis to
highlight the architecture of respiratory trajectories. Dashed lines indicate the origin of
each axis. Axis values expressed in units of standard deviation (SD) as per methods
description.

Supplementary File 2:

Rotating 3D respiratory trajectories highlight the features of breathing in baseline and
response conditions. Trajectories from the sample recordings in Figure 6B (+0.1mm)
across baseline and response time bins (colored as per color bar on right. Each dot
represents a time point from a given time bin. A subsample of ~11000 timepoints from
each time bin have been randomly selected and are rotated about the DIA axis to
highlight the architecture of respiratory trajectories. Dashed lines indicate the origin of
each axis. Axis values expressed in units of standard deviation (SD) as per methods
description.

Supplementary File 3:

Rotating 3D respiratory trajectories highlight the features of breathing in baseline and
response conditions. Trajectories from the sample recordings in Figure 6AC (+0.4mm)
across baseline and response time bins (colored as per color bar on right. Each dot
represents a time point from a given time bin. A subsample of ~11000 timepoints from
each time bin have been randomly selected and are rotated about the DIA axis to
highlight the architecture of respiratory trajectories. Dashed lines indicate the origin of
each axis. Axis values expressed in units of standard deviation (SD) as per methods
description.

Supplementary File 4:

Rotating 3D respiratory trajectories highlight the features of breathing in baseline and
response conditions. Trajectories from the sample recordings in Figure 6D (+0.6mm)
across baseline and response time bins (colored as per color bar on right. Each dot
represents a time point from a given time bin. A subsample of ~11000 timepoints from
each time bin have been randomly selected and are rotated about the DIA axis to
highlight the architecture of respiratory trajectories. Dashed lines indicate the origin of
each axis. Axis values expressed in units of standard deviation (SD) as per methods
description.

Supplementary File 5:

Rotating 3D respiratory trajectories highlight the features of breathing in baseline and
response conditions. Trajectories from the sample recordings in Figure 6E (+0.8mm)
across baseline and response time bins (colored as per color bar on right. Each dot
represents a time point from a given time bin. A subsample of ~11000 timepoints from
each time bin have been randomly selected and are rotated about the DIA axis to
highlight the architecture of respiratory trajectories. Dashed lines indicate the origin of
each axis. Axis values expressed in units of standard deviation (SD) as per methods
description.

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