Longitudinal trajectories of the neural encoding mechanisms of speech-sound features during the first year of life

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Conflict of Interest Disclosure: The authors have no conflict of interest to declare.

Data Availability Statement: The data that support the findings of this study are available from the corresponding author Carles Escera (cescera@ub.edu) upon reasonable request.

Funding: This work was supported by the Spanish Ministry of Science and Innovation projects PGC2018-094765-B-I00 [MCIN/AEI/10.13039/501100011033/ FEDER "Una manera de hacer Europa"] and PID2021-122255NB-100 [MCIN/ AEI / 10.13039/501100011033 / FEDER, UE], the María de Maeztu Center of Excellence [CEX2021-001159-M by MCIN/AEI/10.13039/501100011033MCIN/AEI/10.13039/501100011033], and the ICREA Acadèmia Distinguished Professorship awarded to Carles Escera.

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Abstract

Infants learn to recognize the sounds of their mother language very early in development, exhibiting a remarkable ability to process the fine-grained spectrotemporal characteristics of speech. However, the neural machinery underlying this ability is not yet understood. Here, we used an auditory evoked potential termed frequency-following response (FFR) to unravel the maturational pattern of the neural encoding of two speech sound characteristics: voice pitch and temporal fine structure, during the first year of life. The FFR was elicited to a two-vowel stimulus (/oa/) in a sample of 41 healthy-term neonates that were tested at birth and retested at the ages of six and twelve months. Results revealed a shortening of neural phase-locking time to stimulus envelope from birth to six months, with no further difference between six and twelve months. While neural encoding of voice pitch was similar across age, encoding of the stimulus temporal fine structure exhibited a rapid maturation from birth to six months, without further improvement from this age onwards. Results highlight the first six months of age as a crucial period in the maturation of the neural encoding mechanisms of the temporal fine structure of speech sounds, essential for phoneme discrimination during early language acquisition.

Keywords: Early language acquisition, speech encoding, infant, newborn, Frequency-Following Response, auditory evoked potential

1. Introduction

Infants show a native talent for language acquisition even since the very early stages of development. Behavioral evidence has shown that infants follow similar developmental trajectories in the acquisition of their mother tongue regardless of their culture and language, evolving from babbling (5 to 10 months; Kuhl, 2004) to their first words utterances by the age of 10 to 18 months (Feldman, 2019). Yet, there is a lack of consensus regarding the neural mechanisms supporting this talent. Starting from the tuning to the phonetic repertoire of the mother tongue, language acquisition entails a sophisticated fine-grained neural machinery across the entire auditory system to capture the complex spectro-temporal acoustic features that characterize the speech sounds.

Despite postnatal hearing experience is essential for an adequate auditory and language development, neonates are born with a wide range of universal speech perception abilities that allow them to acquire any language. For instance, neonates can discriminate different languages they have not been exposed to if these are rhythmically different (Byers-Heinlein et al., 2010; Mehler et al., 1988; Nazzi et al., 1998). They can also encode the pitch of a speech sound in an adult-like manner (Arenillas-Alcón et al., 2021; Jeng et al., 2011, 2016), as well as recognize their mothers' voice (Decasper & Fifer, 1980; Hepper et al., 1993) and melodies they have been exposed to during pregnancy (Granier-Deferre et al., 2011).

Yet to imitate speech and acquire their mother tongue, babies still need to learn to classify non-identical sounds into either separate or equivalent phonetic categories in a

language dependent manner. This competence emerges a few months later after birth, in

parallel with the myelination trajectory of the auditory pathway (Moore et al., 1995) and

the exposure to their specific native language (Kuhl et al., 1992, 2003; Rivera-Gaxiola

et al., 2005), a process mediated by statistical learning (Jusczy et al., 1994). Previous

studies using behavioral paradigms demonstrated that by the age of six months, babies

are able to perceive the variability inherent in each phonetic unit and thus to identify

and discern vowels that are typical of their mother tongue, which alters phonetic

perception towards a native-like model (Kuhl et al., 1992; Maye et al., 2002). Speech

perception at this stage, as measured following the conditioned head turn paradigm,

may serve as a predictor of future infant language abilities at the age of 13, 16 and 24

months (Tsao et al., 2004). A decline in the discrimination ability for nonnative

contrasts in language is evident by the end of the first year of life (Rivera-Gaxiola et al.,

2005; Tsao et al., 2006; Werker and Tees, 1984), when infants start to develop an adult-

like attunement to their native language phoneme repertoire (Cheour et al., 1998; Kuhl

et al., 2006; Werker et al., 1981).

Research on neural mechanisms underlying the acquisition of speech sounds has

benefited from advances in the use of neonatal and infant brain potentials. One such

evoked potential is the mismatch negativity (MMN), elicited to acoustic and linguistic

changes (Kujala et al., 2023). Another recently growing body of research is exploring

the so-called frequency-following response (FFR). The FFR is a non-invasive auditory

evoked potential that is elicited to periodic complex stimuli such as speech or music,

and reflects neural activity from the auditory nerve to the cortex. It mimics the eliciting

stimulus, thus providing a unique snapshot into the neural encoding of the two

distinctive features that characterize the speech sounds: voice pitch, associated to its

fundamental frequency, and the temporal fine structure, associated to its formants

(Aiken & Picton, 2008; Coffey et al., 2019; Gorina-Careta et al., 2021; Krizman &

Kraus, 2019). The FFR has been studied in infancy to characterize normal and abnormal

developmental trajectories of neural speech encoding (Banai et al., 2005, 2009;

Cunningham et al., 2001), as these appear disrupted in children with literacy

impairments, including dyslexia (Hornickel & Kraus, 2013), specific language

impairment (Basu et al., 2010), and autism (Font-Alaminos et al., 2020; Otto-Meyer et

al., 2018; Russo et al., 2008).

The FFR has also been explored during the first months of life in several cross-sectional

studies with different age periods of interest, as an attempt to describe its typical

trajectory along early development. A decrease in neural conduction times and neural

phase-locking onset has been already observed at the early age of 45 days (Ferreira et

al., 2021), with further shortenings until the age of ten months (Anderson et al., 2015).

An adult-like voice pitch encoding at birth has also been reported (Arenillas-Alcón et

al., 2021; Jeng et al., 2016), with a more robust neural representation with age across

the first year of life (Jeng et al., 2010; Ribas-Prats et al., in press; Van Dyke et al.,

2017). The maturation of neural encoding of temporal fine structure components, as

assessed through neural responses to the high-frequency formants and harmonics,

begins as early as the first month of life (Ribas-Prats et al., in press) and continuous to

develop until the age of ten months (Anderson et al., 2015).

However, the studies reviewed above provide an incomplete view of the developmental

trajectory of speech-sounds neural encoding mechanisms during the first year of age.

Behavioral paradigms impose constraints on disentangling the neural underpinnings of

speech perception. Furthermore, cross-sectional designs adopted by previous

electrophysiological studies offer a limited approach to characterize the neural

correlates of speech development. The present longitudinal study was set to provide a

pioneering and comprehensive picture of the maturational pattern of the neural

mechanisms involved in encoding two distinct speech-sound features during the first

postnatal year, as reflected in the FFR: voice pitch, as represented by its fundamental

frequency, and speech temporal fine structure, corresponding to its formants. We

hypothesized an enhancement in the neural encoding of these two speech-sound features

as a function of age, starting from birth to six months and further continuing from six

months to the age of one year. Neural phase-locking onset was also expected to

decrease due to the well-known myelination process of the auditory pathway during the

first year of life.

2. Methods

2.1 Participants

Sixty-six healthy-term neonates were recruited at the SJD Barcelona Children's Hospital (Catalonia, Spain). Forty-one of them (21 females; mean age = 1.75 ± 1.09 days after birth; mean gestational age = 39.71 ± 0.91 weeks) were followed-up in all stages of the study: at the age of six months (aged 5.53 to 7.5 months after birth; mean = 6.41 ± 0.37 SD) and at twelve months of age (aged 11.97 to 13.7 months after birth; mean = 12.60 ± 0.40 SD). All neonates were born after low-risk gestations, without either pathologies or risk factors for hearing impairment (Joint Committee on Infant Hearing, 2019). Apgar scores were higher than 7 at 1 and 5 minutes after birth and, in all cases, birth weight was adequate for their gestational age (Figueras & Gratacós, 2014). Furthermore, all infants had passed the universal hearing screening test as part of the standard medical routine, based on an automated auditory brainstem response system to ensure auditory pathway health (ALGO 3i, Natus Medical Incorporated, San Carlos, CA). To confirm the integrity of the auditory pathway, an auditory brainstem response (ABR) to a click stimulus (10 µs; delivered monaurally to the right ear at 60 dB SPL at a rate of 19.30 Hz, for a total of 4000 averaged sweeps) was also obtained from every neonate.

The study was approved by the Bioethics Committee of SJD Barcelona Children's Hospital (Internal review board ID: PIC-185-19). A written informed consent was obtained from all parents or legal guardians prior to the data collection in accordance with the Code of Ethics of the World Medical Association (Declaration of Helsinki).

The data that supports the findings of this study and the code used for data analysis are

available upon reasonable request to the corresponding author.

2.2 Stimulus

To obtain the FFR, a two-vowel /oa/ stimulus was used (see Fig. 1A), as previously

designed in our laboratory by Arenillas-Alcón et al. (2021). The stimulus had a total

duration of 250 ms, with a steady F_0 at 113 Hz for its first 160 ms and a linearly rising

F_0 from 113 to 154 Hz during its last 90 ms (from 160 to 250 ms). The stimulus was

presented monaurally to the right ear at a rate of 3.39 Hz and an intensity of 60 dB SPL

in alternating polarities through Etymotic shielded earphones of 300 Ω (ER, Elk Grove

Village, IL, EEUU) connected to a Flexicoupler® disposable adaptor (Natus Medical

Incorporated, San Carlos, CA).

Fig. 1. (**A**) Temporal and spectral plots of the /oa/ stimulus with a schematic representation of its formant structure. F_0, F_1 and F_2 are defined for the different stimulus sections. (**B**) Grand-averaged FFR_{ENV} waveforms in the time domain from the 41 infants recorded at birth (0MO, blue), six months (6MO, red) and twelve months of age (12MO, green), obtained after averaged neural response polarities. (**C**) Amplitude FFR_{ENV} spectra extracted from the analyzed steady part of the stimulus (10-160 ms).

2.3 Procedure

After a successful universal hearing screening test, the ABR and subsequently the FFR were recorded at the hospital room while the newborns were sleeping at their crib, following the same protocol used in previous studies (see Arenillas-Alcón et al., 2021, 2023; Ribas-Prats et al., 2019, 2022, 2023a, in press; for a review see Gorina-Careta el al., 2022). Recording was interrupted to any sign of discomfort or sleep disruption and it

was resumed when the newborn was asleep again. Infants that successfully completed

the neonatal FFR session were invited to two successive follow-up sessions: at six and

twelve months of age. Out of the 68 recruited neonates only 41 completed the two

follow-up sessions, yielding a failure to complete the longitudinal study at one year in

39.7% of the participants. The infants that returned were retested at six and at twelve

months of age at a hospital dispensary, keeping the baby either asleep or as calm as

possible during the recording in order to ensure the highest quality of the EEG data. The

total mean duration of the sessions was around 30 minutes, including a preparation time

of around 5 minutes, 20 minutes of recording (four /oa/ stimulus blocks $\times$ 1000 sweeps

$\times$ 295 ms SOA), and the additional time for the rejected sweeps.

2.4 Data acquisition

ABR and FFR recordings were carried out using a SmartEP platform connected to a

Duet amplifier, including the cABR and Advanced Hearing Research modules

(Intelligent Hearing Systems, Miami, Fl, USA). Three disposable Ag/AgCl electrodes

located in a vertical montage were employed for the recording, with the active electrode

placed at Fpz, ground at the forehead and reference at the right mastoid. Impedances

were kept below 10 kΩ for all electrodes. The continuous FFR signal was acquired at a

sampling rate of 13333 Hz with an online bandpass filter between 30 and 1500 Hz.

Online data was epoched from -40.95 (for the baseline period) to 249.975 ms (relative

to the stimulus onset). An automated online rejection of artifacts was applied, excluding

any sweep with voltage values exceeding $\pm$ 30 μV.

2.5 Data processing

An offline bandpass filter from 80 to 1500 Hz was applied for FFR analysis. Neural

responses to alternating polarities were averaged [(Condensation + Rarefaction)/2] to

emphasize the FFR components related to the stimulus envelope (FFR_{ENV}) and to

minimize the involvement of putative cochlear microphonics. In addition, to assess the

neural encoding of the vowels' first formant (F_1) and minimizing the contribution of

envelope related activity (Aiken & Picton, 2008; Krizman & Kraus, 2019), the FFR

temporal fine structure (FFR_{TFS}) was analyzed by subtracting the neural response to the

alternating polarities [(Rarefaction–Condensation)/2]. Only the steady part of the

stimulus was considered for the analysis. Thus, the FFR_{TFS} (spectral peaks

corresponding to F_1) was analyzed separately for the /o/ section (10 to 80 ms, $F_0 = 113$

Hz, $F_1 = 452$ Hz) and for the /a/ steady section (90 to 160 ms, $F_0 = 113$ Hz, $F_1 = 678$

Hz).

FFR parameters were evaluated using custom scripts from Matlab R2019b (The

Mathworks Inc., 2019) used in previous studies performed in our laboratory (Arenillas-

Alcón et al., 2021; Ribas-Prats et al., 2019). A comprehensive description is given

below for the three parameters extracted and tested separately for the different

frequencies of interest (for a detailed description, see Arenillas-Alcon et al., 2021;

Ribas-Prats et al., 2019).

Neural lag. In order to assess the neural transmission delay occurring along the auditory

pathway, the neural lag value was obtained. This parameter accounts for the time lag

between the stimulus presentation and the neural phase-locking onset (Arenillas-Alcón

et al., 2021; Jeng et al., 2010; Ribas-Prats et al., 2019). It was computed from the cross-

correlation between the stimulus and the neural response as the time shift that

corresponded to the maximum cross-correlation magnitude.

Spectral amplitude. In order to analyze the neural-phase locking magnitude at the

frequency of interest (F_0, 113 Hz; /o/ F_1, 452 Hz; /a/ F_1, 678 Hz), spectral amplitude was

obtained as an indicator of the response strength at that given frequency (Arenillas-

Alcón et al., 2021; Ribas-Prats et al., 2019; White-Schwoch et al., 2015b). To obtain the

FFR frequency decomposition, spectral amplitude was calculated after applying the Fast

Fourier Transform (FFT; Cooley & Tukey, 1965), by computing the mean amplitude

within a ±5 Hz frequency window centered at the frequency peak of interest. Spectral

amplitude at F_0 was retrieved from the FFR_{ENV} corresponding to the /oa/ steady section

(10 to 160 ms) to assess voice pitch encoding of the speech-sound stimulus. Spectral

amplitudes at the stimulus F_1 frequencies were extracted separately from the FFR_{TFS}

corresponding to the /o/ section (10 to 80 ms) and the /a/ steady section (90 to 160 ms).

Signal-to-noise ratio. Signal-to-noise ratio (SNR) at the frequency peak of interest was

calculated in order to estimate the FFR relative spectral magnitude. It was computed by

dividing the spectral amplitude value obtained for the given frequency of interest (±5

Hz window centered at the peak of interest) by the mean amplitude of its two flanks (28

Hz windows centered at ±19 Hz from the frequency of interest). SNR at F_0 was

extracted from the FFR_{ENV} to evaluate voice pitch encoding. SNRs at vowels F_1 were

retrieved from the FFR_{TFS} to assess the formant structure encoding of the auditory

stimulus and analyzed following the same procedure as for the spectral amplitude

parameter (i.e., the values were extracted separately from the neural responses to the

vowel sections).

2.6 Statistical analysis

Statistical analyses were performed using SPSS 25.0 (IBM Corp, Armonk, NY).

Descriptive statistics are presented for each parameter as median and interquartile range

for each time of measurement (see Table 1). Results were considered statistically

significant when $p < .05$. Normality was assessed with Shapiro-Wilk's test and, as all

parameters followed a non-normal distribution, Friedman's test was applied. After a

given significant result, Wilcoxon signed-rank test was employed to explore each time

point measurements pair.

In addition, to ensure that the spectral amplitude and SNR measurements obtained for

the stimulus F_1 where specific to the corresponding stimulus vowel section (i.e., 452 Hz

for the /o/ vowel, and 678 Hz for the /a/ vowel), as well as its possible interaction with

age, a repeated measures Analysis of Variance (rmANOVA) test was performed. For

that, the variables Age (0, 6 and 12 months) and Stimulus Section (/o/ and /a/) were

chosen as within-subject factors. Bonferroni correction was applied to adjust *p*-values

for multiple pairwise comparisons. Greenhouse-Geisser correction was used when the

assumption of sphericity was violated. Partial eta squared ($\eta p2$) was reported as a

measure of effect size.

Table 1

Descriptive statistics expressed as median (IQR, interquartile range), and Friedman test
comparison between the 41 neonates recorded at birth (0-MO) and their retest at the age of six
(6-MO) and twelve months (12-MO) for each FFR parameter assessed. Wave V amplitude and
latency values at birth are also depicted for the extended 66 neonatal sample as mean (SD).

Measures N = 41	0-MO	6-MO	12-MO	Friedman test	df	p value
Wave V						
Amplitude (µV)	0.08 (0.14)	-	-	-	-	-
Latency (ms)	8.50 (0.38)	-	-	-	-	-
FFR						
Neural lag (ms)	8.03 (2.03)	6.68 (1.95)	6.53 (1.76)	22.84	40	**<.001**
FFR$_{ENV}$						
Spectral amplitude F_0 (µV)	.008 (.008)	.009 (.012)	.013 (.014)	2.10	-	.35
SNR F_0 (dB)	3.99 (5.69)	4.75 (7.90)	6.41 (5.41)	1.81	-	.41
FFR$_{TFS}$						
Spectral amplitude at /o/ F_1 (10-80 ms; µV)	.0018 (.002)	.0031 (.005)	.0027 (.005)	4.44	-	.10
SNR at /o/ F_1 (10-90ms; dB)	1.38 (4.88)	4.83 (6.98)	3.52 (4.96)	15.85		**<.001**
Spectral amplitude at /a/ F_1 (90-160 ms; µV)	.0008 (.001)	.0012 (.002)	.0017 (.002)	6.78	-	**.034**
SNR at /a/ F_1 (90-160 ms; dB)	1.73 (4.08)	2.61 (5.99)	3.81 (3.79)	7.37	-	**.025**

3. Results

An ABR to a click stimulus was obtained from every neonate before the FFR recording

to confirm the integrity of the auditory pathway. All recruited infants (N = 66) had an

identifiable wave V peak at birth, with a mean latency of 8.50 (± 0.38 SD) ms and a

mean amplitude of 0.08 (± 0.14 SD) µV (Table 1). Values were similar to those

previously reported at the literature (Arenillas et al., 2021; Ribas-Prats et al., 2019;

Stuart et al., 1994).

In order to unravel the maturational pattern of the neural encoding of speech sounds

features during the first year of life, FFRs elicited to the /oa/ stimulus were collected

from the sample of forty-one neonates that completed the follow-up at the ages of six

and twelve months. The corresponding grand-average FFR$_{ENV}$ and FFR$_{TFS}$ waveforms

are shown in Fig. 1B and Fig. 2A respectively. Table 1 depicts the descriptive statistics

and results from the Friedman test comparison for all FFR parameters evaluated at the

three developmental stages (i.e., 0, 6 and 12 months).

Fig. 2. (**A**) Time-domain grand-averaged FFR$_{TFS}$ waveforms extracted after substracting neural
responses to alternating stimulus polarities from the 41 infants recorded at birth (0MO, blue)
and retested at six months (6MO, red) and twelve months of age (12MO, green). (**B**) Amplitude
FFR$_{TFS}$ spectra obtained for the two vowel sections: /o/ (green), /a/ (orange).

3.1 Neural lag

Results revealed a consistently shortened neural phase-locking onset as a function of

age ($X^2(2) = 27.84$, $p < .001$; see Fig. 3A). Post-hoc analyses revealed shorter neural lag

at both six (*Mdn* = 6.68; $z = -3.51$, $p < .001$) and twelve months of age (*Mdn* = 6.53; $z =$

-4.39, $p < .001$) in comparison to that at birth (*Mdn* = 8.03). Neural transmission delay

at the age of six and twelve months were similar ($z = -.97$, $p = .33$). To further support

the results obtained, statistical analyses were repeated for the entire sample that

completed the follow-up session at six months of age (N = 54; 27 females; mean age at

birth = 1.81 ± 1.28 days; mean gestational age = 39.73 ± 0.97 weeks; age at six months

= 5.53 to 7.77 months after birth; mean age at six months = 6.42 ± 0.43 months).

Similar results were obtained for neural transmission delay (i.e., shortened neural lag at

six months; $z = -3.79$, $p = < .001$; see Table 2).

Fig. 3. Data distribution from the 41 infants recorded at birth (0MO, blue) and retested at six (6MO, red) and twelve months of age (12MO, green). Violin plots are depicted for (**A**) the neural lag, (**B**) the FFR$_{ENV}$ obatined to the steady part of the stimulus (10-160 ms), and (**C**) the FFR$_{TFS}$ corresponding to /o/ (upper panel) and /a/ (lower panel) stimulus sections.

Table 2

307 Descriptive statistics and comparison between the sample of 54 neonates recorded at birth (0-
308 MO) and their retest at the age of six (6-MO) for each FFR parameter assessed.

FFR measures N = 54	0-MO	6-MO	t test	df	p value
Neural lag (ms)	8.03 (2.08)[a]	6.64 (1.82)[a]	-3.79[b]	53	**<.001**
FFR$_{ENV}$					
Spectral amplitude F$_0$ (μV)	.008 (.008)[a]	.009 (.010)[a]	-0.28[b]	-	.78
SNR F$_0$ (dB)	3.12 (3.98)	4.27 (4.99)	-1.55	-	.12
FFR$_{TFS}$					
Spectral amplitude at /o/ F$_1$ (10-80 ms; μV)	.0017 (.002)[a]	.0032 (.005)[a]	-3.72[b]	-	**<.001**
SNR at /o/ F$_1$ (10-90ms; dB)	1.03 (5.12)[a]	4.38 (6.67)[a]	-3.24[b]		**.001**
Spectral amplitude at /a/ F$_1$ (90-160 ms; μV)	.0008 (.001)[a]	.0012 (.002)[a]	-2.97[b]	-	**.003**
SNR at /a/ F$_1$ (90-160 ms; dB)	1.63 (5.15)[a]	2.65 (5.70)[a]	-2.31[b]	-	**.021**

309 Results are expressed as mean (SD). [a] Median (IQR, interquartile range). [b] Wilcoxon Signed-Rank Test.

3.2 Temporal envelope-following response

Grand-average FFR$_{ENV}$ waveforms were obtained at each developmental stage (see Fig.

1B). The corresponding frequency spectrum for averaged polarities is shown in Fig. 1C.

The strength of the stimulus F$_0$ neural representation along the first year of life was

assessed by means of the spectral amplitude and SNR parameters computed on the

FFR$_{ENV}$. Statistical analyses revealed no significant differences across age (at birth, at

six and at twelve months) in neither spectral amplitude parameter ($X^2(2) = 2.10$, $p =$

.35) or in SNR ($X^2(2) = 1.81$, $p = .41$; see Fig. 3B). Results remained statistically

similar for both parameters in the analysis with the extended fifty-four infants sample

that could complete the recording at the age of six months (i.e., spectral amplitude, $z = -$

.28, $p = .78$; SNR, $t_{(53)} = -1.55$, $p = .13$).

3.3 Temporal fine-structure response

The maturation of neural mechanisms for the encoding of the speech-sound formant

structure along the first year of life was analyzed from the FFR_{TFS}. Grand-average

FFR_{TFS} waveforms are illustrated in Fig. 2A for each developmental stage. In order to

evaluate phase-locking at the stimulus F_1, neural responses to each stimulus vowel

section were assessed separately. Fig. 2B illustrates the frequency spectrum

corresponding to both vowel sections at birth, six and twelve months of age. Spectral

amplitudes and SNRs were retrieved selecting the spectral peaks corresponding to the

frequency of interest according to each vowel (452 Hz for the /o/; 678 Hz for the /a/).

Fig. 3C depicts spectral amplitude and SNR values for the FFR_{TFS} along the three

developmental stages.

Neural encoding of the /o/ vowel F_1. Spectral amplitude and SNR at /o/ vowel F_1 (452

Hz) were analyzed at the corresponding stimulus /o/ vowel section. No differences were

observed for spectral amplitude between the three stages of development ($X^2(2) = 4.44$,

$p = .1$; see Table 1). For the SNR, significant differences were obtained ($X^2(2) = 15.85$,

$p < .001$), with larger values at six months ($Mdn = 4.83$; $z = -2.73$, $p = .006$) and twelve

months of age ($Mdn = 3.52$; $z = -2.60$, $p = .009$) in comparison to birth ($Mdn = 1.38$);

SNR values at six and twelve months of age were similar ($z = -.72$, $p = .47$). Wilcoxon

signed-rank test assessed for the extended six-months old sample (i.e., fifty-four infants)

revealed larger values at six months of age in the two parameters assessed (spectral

amplitude, $z = -3.72$, $p < .001$; SNR, $z = -3.24$, $p = .001$; see Table 2).

To investigate the specificity of the neural encoding of the formant structure

corresponding to each of the two vowels of the /oa/ stimulus, and its possible interaction

with age, a two-way rmANOVA test was conducted with the factors Age (0, 6 and 12

months) and Stimulus Section (/o/ and /a/) on the spectral amplitude and its SNR at 452

Hz, corresponding to the /o/ F_1 (see Fig. 4). Spectral amplitude results revealed a main

effect of stimulus section ($F_{(1,40)}$ = 7.96, p = .007, ηp2 = .17), with higher spectral

amplitudes for the /o/ section (M = .0033 ± < .001) compared to the /a/ section (M =

.0026 ± < .001). A main effect of age was also observed ($F_{(2,80)}$ = 4.50, p = .014, ηp2 =

.10), with larger amplitudes at the age of six months (M = .004 ± .001; p = .012) and the

age of twelve months (M = .003 ± < .001; p = .048) in comparison to birth (M = .002 ±

< .001). No significant developmental changes between six and twelve months-old

stages were found (p = 1). Interaction between age and stimulus section was not

significant ($F_{(2,80)}$ = 2.95, p = .06, ηp2 = .07).

Significant differences in SNR were not observed for the stimulus section ($F(1,40)$ =

2.70, p = .108, ηp2 = .06). A main effect of age ($F_{(2,80)}$ = 8.10, p = .001, ηp2 = .17) was

obtained with the same pattern as that observed for spectral amplitude. Larger SNRs

were found at both six months (M = 1.99 ± .57; p = .009) and twelve months of age (M

= 2.10 ± .41; p = .001) in comparison to birth (M = -.13 ± .53); with no significant

variation from six to twelve months of age (p = 1). Significant differences were not

found for the age per stimulus section interaction ($F_{(2,80)}$ = .19, p = .825, ηp2 = .005).

Fig. 4. Neural encoding of the first formant corresponding to the two vowel steady sections measured in (**A**) spectral amplitude and (**B**) SNR. Data are plotted from infants at birth (blue), six months (red) and twelve months of age (green), and for /o/ F_1 (452 Hz, left) and /a/ F_1 (678 Hz, right) encoding at the different stages of development.

Neural encoding of the /a/ vowel F_1. In order to estimate the encoding of the /a/ vowel F_1 (678 Hz), spectral amplitudes and SNRs were evaluated for the /a/ vowel section. Significant differences were found as a function of age for spectral amplitude ($X^2(2) = 6.78$, $p = .034$), with larger values at six months (*Mdn* = .0012; $z = -2.74$, $p = .006$) and twelve months of age (*Mdn* = .0017; $z = -4.88$, $p < .001$) in comparison to values obtained at birth (*Mdn* = .0008). Remarkably, spectral amplitudes obtained at twelve months were also statistically larger than the those at six months ($z = -2.15$, $p = .032$). Similar results were observed for SNR ($X^2(2) = 7.37$, $p = .025$), where infants presented larger SNRs at the age of six months (*Mdn* = 2.61; $z = -2.12$, $p = .034$) and at twelve months of age (*Mdn* = 3.81; $z = -2.84$, $p = .004$) compared to birth (*Mdn* = 1.73). No differences in SNR values were observed between six and twelve months of age ($z = -$

1.07, p = .29). Wilcoxon signed-rank test assessed for the extended six-months old

sample (i.e., fifty-four infants) also indicated larger values at six months of age than at

birth in the two parameters assessed (spectral amplitude, z = -2.67, p = .003; SNR, z = -

2.31, p = .021).

Two-way rmANOVA tests were hence conducted to examine neural response

specificity to Stimulus Section (/o/ and /a/) as a function of Age (0, 6 and 12 months) on

the spectral amplitude and its SNR at 678 Hz, corresponding to the /a/ F_1 (see Fig. 4).

Spectral amplitude yielded a main effect of stimulus section ($F_{(1,40)}$ = 36.36, p < .001,

ηp2 = .48), with higher values for the /a/ section (M = .002 ± <.001) in comparison to

the /o/ section (M = .001 ± <.001). A main effect of age was also revealed ($F_{(2,80)}$ = 7.92,

p = .001, ηp2 = .17), with significantly larger values at both six months (M = .0013 ±

<.001; p = .010) and twelve months of age (M = .0015 ± <.001; p = .001) in comparison

to the ones obtained at the moment of birth (M = .0008 ± <.001); but no significant

changes appeared from six to twelve months age (p = .89). The age per stimulus section

interaction was significant ($F_{(1.74,69.50)}$ = 5.99, p = .006, ε = .83). *Post-hoc* analysis

revealed higher spectral amplitudes at 678 Hz during the /a/ vs. the /o/ vowel sections at

the three stages of development (birth, z = -3.40, p = .001; six-months, z = -3.34, p =

.001; twelve-months, z = -4.32, p < .001).

SNR results yielded a main effect of stimulus section ($F_{(1,40)}$ = 38.90, p < .001, ηp2 =

.49), indicating higher values at the /a/ section (M = 1.93 ± .31) in comparison to the /o/

section (M = -.39 ± .30). A main effect of age ($F_{(2,80)}$ = 3.83, p = .026, ηp2 = .09), with

significantly larger values at twelve months of age ($M = 1.68 \pm .42$) than at birth ($M = -$

$.18 \pm .43$; $p = .019$). No significant age per stimulus section interaction was found

($F_{(1.72, 69.06)} = .80$, $p = .44$, $\varepsilon = .86$).

4. Discussion

This study describes the longitudinal trajectory of speech-sound neural encoding

mechanisms required for language acquisition across the first year of life, as examined

through the electrophysiological recordings of the frequency-following response (FFR)

elicited to the /oa/ syllable at birth, six and twelve months of age. While no age-related

changes were observed in the encoding of voice pitch, a significant enhancement was

depicted across the first six-month postnatal period in neural transmission times and

neural encoding of the stimulus temporal fine structure. Results contribute to knowledge

from previous studies on the developmental trajectory of speech-sound neural encoding

mechanisms (Anderson et al., 2015; Arenillas-Alcón et al., 2021, Ribas-Prats et al.

2019, in press), by specifically filling the gap with a longitudinal sample spanning the

first year of life, and by unveiling an early neural maturation in the neural encoding of

the speech temporal fine structure. Results point to a sensitive developmental window in

the emergence of core neural mechanisms required for speech acquisition that occurs

within the first six months after birth, which may underlie the co-occurring critical

behavioral language milestones (i.e., acquisition of phonetic categories).

Language acquisition relies on an accurate development of the auditory brain, which is

already functional to process sounds at the beginning of the third trimester of pregnancy

(Hepper & Shahidullah, 1994; Moore & Linthicum, 2007; Querleu et al., 1988; Ruben,

1995). Around the 27th gestational week, the first traces of myelin can be observed in

both the cochlear nerve (Moore & Lithicum, 2001) and the brainstem auditory pathway

(Moore, et al., 1995), paralleling the first fetuses' behavioral and electrophysiological

outcomes to auditory stimulation (Draganova et al., 2018; Hepper & Shahidullah, 1994;

Schneider et al., 2001). At birth, the cochlea has reached its adult size and is fully

functional (Lavigne-Rebillard & Dan Bagger-Sjöbäck, 1992; Moore & Linthicum,

2007), but the auditory brain is not completely mature yet. The shortened neural

transmission delay observed in our results at the age of six months aligns with prior

literature showing acceleration of auditory neural responses as a function of

development (Amorim et al., 2009; Anderson et al., 2015; Madrid et al., 2021; Ribas-

Prats et al., in press; Sharma et al., 2016). This decrease in neural lag can be attributed

to age-related increasing myelination in the auditory white matter tracts along the

brainstem, midbrain (Moore et al., 1995), and primary auditory cortex (Su et al., 2008)

that occurs during this early period of development.

The perception of speech sound F_0 and F_1 plays a crucial role in language acquisition, as

these acoustic features constitute key cues that facilitate the learning of a native

language (Moon & Hong, 2014). Indeed, voice pitch perception is defined by sound F_0

(Oxenham, 2012) and provides phonological, syntactic and semantic cues needed for

distinguishing words units from a continuous speech (Nakatani & Schaffer, 1978) and

for speaker identification (Mary & Yegnanarayana, 2008). Early sensory experience in

utero has been demonstrated to be a prerequisite for auditory learning and neural

plasticity during the perinatal period (Draganova et al., 2018; Webb et al., 2015). Once

they are born, neonates can track the sound envelope, irrespective of the language they

have been exposed to during pregnancy (Ortiz Barajas et al., 2021). Our results support

previous findings describing an intrauterine perception of low-frequency speech cues

(Hepper & Shahidullah, 1994; Voegtline et al., 2013) and an adult-like voice pitch

encoding at birth (Anderson et al., 2015; Arenillas-Alcón et al., 2021; Jeng et al., 2011),

as no age-related changes were observed here in neither spectral amplitude and SNR

values at the stimulus F_0 peak.

Some discrepancies emerge in literature in relation to the developmental pattern of

speech F_0 neural encoding during the first year of life. While most of the studies report a

more robust neural encoding of pitch as a function of age, this pattern does not

constantly reach statistical significance across the literature. For instance, Jeng and

colleagues (2010) found pitch encoding improvement on a single infant tested at

different time-points through the first ten months of age (i.e. 1, 3, 5, 7 and 10 months).

Similarly, Van Dyke et al. (2017) described stronger F_0 neural encoding when

comparing a group of older infants (7-12 months old) with a group of younger infants

(2-7 months old). Ribas-Prats and colleagues (in press) also observed age-related

improvements from the first postnatal month to six months of age in a longitudinal

sample of healthy-term neonates. Yet, in a cross-sectional study performed by Anderson

et al. (2015), a similar but not significant trend was found in F_0 neural encoding for a

sample of infants aged from 3 to 10 months. Similarly, our results show a linear pattern

of stronger pitch encoding through age during the first postnatal year, although this

increase did not reach statistical significance. These discrepancies may arise from the

inconsistency on the stimuli frequency components employed across various studies or

the individual linguistic environment to which individuals from different study samples

are exposed, as suggested by the linguistic experience model (see Jeng et al., 2011;

Kuhl et al., 1992). Notably, Jeng and colleagues (2011) compared two samples of

neonates exposed to different languages during pregnancy (i.e., English and Chinese)

with two matched samples of adults of the same native languages. While Chinese adults

showed larger pitch strength values compared to their matched neonates, pitch strength

values were comparable between the American neonatal and adult samples.

Stimulus F_1 is closely linked to the discrimination of vowel sounds (Kiefte et al., 2010,

2013; Nenadić et al., 2020) and phoneme recognition (Diehl and Lindblom, 2004).

Auditory postnatal experience is essential for infants to encode high-frequency

components of speech sounds. During pregnancy, the maternal womb acts as a low-pass

filter and limits auditory stimulation as it attenuates frequencies above 500 Hz

(Gerhardt & Abrahms, 1996, 2000; Hepper & Shahidullah, 1994; Parga et al., 2018),

which impedes neonates from hearing high-frequency components before birth. The

higher spectral amplitude and SNR values observed at the /a/ vowel F_1 peak (i.e. 678

Hz) as a function of age support a non-mature neural encoding at birth of these

frequency components above circa 500 Hz. Similarly, the ability to track the formant

structure of speech seems to be not fully developed at birth, but postnatally experience-

dependent, as supported by the higher SNR at both vowels' F_1 by the age of six months.

These results align with the spectrally ascendant developmental pattern of the auditory

system described by Graven and Browne (2008), stating that low-frequency sounds are

tuned first in the cochlea, and highlighting the period from 25 gestational weeks to six

months of age as the most critical in the neurosensory development of the auditory

system. Moreover, the absence of further differences in SNR values at both vowels F_1

between six and twelve months of age highlights a special relevance of the first six-

months postnatal period on the maturation of the temporal fine structure encoding of

speech. These results support previous cross-sectional FFR studies reporting an

enhancement of F_1 neural encoding as a function of age (Anderson et al., 2015; Van

Dyke et al., 2017), as well as the longitudinal findings by Ribas-Prats et al. (in press),

but further extend these latter findings on the longitudinal trajectory on neural F1

encoding through early development to the age of twelve months.

This language-specific attunement to frequencies across the auditory pathway is

essential for early language acquisition, as it relies on infants' ability to apprehend the

phonological structure corresponding to a given language (Best et al., 2016; Cutler,

2008). This experience will indeed contribute and facilitate the appropriate

identification of native language phonemes by the age of six months (Best et al., 2016;

Cheour et al., 1998; Kuhl et al., 1992). Moreover, a perceptual re-organization by the

age of six months has been previously proposed, suggesting an attentional shift from

syllabic units at birth to phonemic units at six months, cues that are more relevant for word and grammar learning (Nallet & Gervain, 2021; Ortiz Barajas et al., 2021). A rich extrauterine auditory environment is key in the improvement of phonemic categories perception in early language acquisition. During this postnatal period, there is a notable increase in social interactions that play a vital role on infant development, such as in the initial coordination of gestures, vocalizations and facial expressions in interaction with others (Kuhl, 2004, 2010). The co-occurring temporal fine structure encoding bootstrap by the early age of six months revealed in our results suggest an outstanding relevance of this novel and rich extrauterine environment on acoustic and language acquisition.

Future studies are needed to replicate this pattern of development during the first year of life in healthy-term infants. Our results uncover the first six-months of life as a key period in neural speech encoding development. Thus, it is essential to include earlier developmental stages in future longitudinal studies to fully examine this early period of development. Several language-related disabilities such as dyslexia (Banai et al., 2009), learning related disorders (King et al., 2002) or autism (Russo et al., 2008) have been associated with alterations in the spectro-temporal encoding of complex sounds. Also, clinical conditions that occur during the gestational period such as fetal alcohol syndrome (Wyper & Rasmussen, 2011) or fetal growth restriction (Partanen et al., 2018; Ribas-Prats et al., 2022) have an impact on cognitive outcomes, being language one of the major areas affected. Therefore, research on early maturation of neural speech encoding related to language abnormalities in infancy is the next crucial step to

comprehend key differences that underlie an inadequate or delayed language acquisition.

Early interventions aimed at improving speech encoding in language affected conditions during the first months of life have not been explored yet. However, the positive effect of musical experience and training in speech encoding has been previously documented for both prenatal (Arenillas-Alcón et al., 2023) and postnatal periods (Wong et al., 2007), suggesting its potential as a promising intervention tool worth exploring. The clinical potential of the electrophysiological tool used in this study has been previously discussed along the literature (Gorina-Careta et al., 2022; Kraus & White-Schwoch, 2015a; Ribas-Prats et al., 2019), suggesting the FFR as a potential biomarker of early language acquisition. Using the FFR as a screening test to early detect speech encoding abnormalities could open the possibility to further design and implement preventive protocols for language-related impairments. The present study provides normative FFR values for the first year of life (i.e., at birth and at six and twelve months of age) and it may thus serve as a reference for future studies on speech-sound neural encoding development.

5. Conclusion

The present longitudinal study describes the outstanding maturation of the temporal fine structure neural encoding mechanisms during the very early stages of development. The findings highlight the crucial role of the first six postnatal months in shaping the neural mechanisms that support the encoding of speech sounds, and hence are of major

relevance for speech perception and language acquisition. Specifically, our findings

unveiled an enhancement in the neural encoding of the formant structure throughout the

first six postnatal months, without further maturation up to the first year of life. This

reveals a critical maturational period for the neural machinery underlying the ability to

discriminate the subtle variations that define phonemes, promoting the formation of

phonetic categories. Notably, no significant changes in the neural encoding of voice

pitch were observed across this developmental period, which supports a mature voice

pitch encoding already at birth. These findings contribute to our understanding of early

neural speech encoding and underscore the significance of investigating neural

correlates of early speech processing disabilities. Further research in this field can

provide valuable guidance for addressing language-related abnormalities and promoting

healthy language development in infants.

Acknowledgements

The authors want to express their gratitude to all the families who generously embraced

this project for their selfless participation and commitment to the study.

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