Text Mining

MolLM: Integrating 3D and 2D Molecular Representations with Biomedical Text via a Unified Pre-trained Language Model

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Abstract

Motivation: The present paradigm of current deep learning models for molecular representation relies largely on singular 1D or 2D formats, neglecting significant 3D structural information that offers valuable physical insight. This narrow focus inhibits the model's versatility and adaptability across a wide range of modalities. Simultaneously, many of these models focus on deciphering the chemical structures of molecules, often overlooking the wealth of textual knowledge available in the field of chemistry and the biomedical domain.

Results: We present a unified pre-trained language model that concurrently captures biomedical text, 2D, and 3D molecular information, the three-modality Molecular Language Model (MolLM). Our model consists of a text Transformer encoder and a molecular Transformer encoder. Our approach employs contrastive learning as a supervisory signal for cross-modal information learning, and we assemble a multi-modality dataset using cheminformatics-based molecular modifications and a wealth of chemical text. MolLM demonstrates robust molecular representation capabilities in numerous downstream tasks, including cross-modality molecule and text matching, property prediction, captioning, and text-prompted editing. Through ablating the 3D functionality of our model, we demonstrate that the inclusion of text, 2D, and 3D representations significantly improves performance on the downstream tasks.

Availability and implementation: Our code, data, and pre-trained model weights are all available at https://github.com/gersteinlab/MolLM.

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1 Introduction

Pre-training techniques in Natural Language Processing (NLP) have caused significant shifts in numerous domains due to their ability to decipher complex patterns from large-scale datasets (Radford *et al.*, 2018; Vaswani *et al.*, 2017; Devlin *et al.*, 2019; Raffel *et al.*, 2020; Wei *et al.*, 2021). This success has led to promising outcomes in the biomedical field, especially in tasks like molecular property prediction and chemical structure generation, indicating a strong AI potential in biomolecular studies (Gu *et al.*, 2021; Lee *et al.*, 2019; Xia *et al.*, 2022; Luo *et al.*, 2022a; Singhal *et al.*, 2023). The capabilities of pre-training techniques

allow a heightened understanding of biomedical data, thereby potentially enhancing drug discovery processes, specifically for novel small chemical molecules (Zeng *et al.*, 2022; Liu *et al.*, 2022; Su *et al.*, 2022; Seidl *et al.*, 2023; Liu *et al.*, 2023b; Lewis *et al.*, 2020; Xue *et al.*, 2020; Yu *et al.*, 2021; Wang *et al.*, 2019; Chithrananda *et al.*, 2020).

Molecular structures encapsulate crucial chemical information, and the positions of functional groups within them serve as potent predictors of molecular properties and interactions. Current machine learning (ML) methodologies primarily focus on modeling various modalities of molecular chemical structures, such as one-dimensional descriptors, two-dimensional molecular graphs, or three-dimensional geometric structures (Yu *et al.*, 2021; Miao *et al.*, 2023; Jiang *et al.*, 2021; Devinyak *et al.*,

2014). Past endeavors have sought to learn representations of molecular chemical properties through supervised tasks with labeled data (Hwang *et al.*, 2020; Zuranski *et al.*, 2021). Nevertheless, these efforts hinge heavily on the availability of large-scale datasets, prompting an increased focus on self-supervised learning. Recent investigations have leveraged pre-trained, self-supervised methods to derive representations of molecular structures (Zeng *et al.*, 2022; Rong *et al.*, 2020; Zhang *et al.*, 2021), primarily through a masking approach to learning the geometric structure, consequently obtaining the corresponding chemical structure representations. However, these endeavors have predominantly concentrated on two-dimensional molecular structures (Su *et al.*, 2022; Pogány *et al.*, 2018; Coley *et al.*, 2017; Liu *et al.*, 2023a), thereby neglecting the pivotal role of three-dimensional structures in molecular modeling. In practical terms, three-dimensional structures offer a representation of the spatial organization of atoms and functional groups, proving indispensable for understanding molecular interactions and properties. Additionally, the natural language modality encompasses a vast volume of biochemical knowledge from the human world that should, in theory, aid model comprehension of molecular structures. When generalizing to downstream tasks, if models can comprehend natural language, it would enable better-conditioned control of molecule generation and editing, using natural language as the mode of interaction. Even in state-of-the-art multi-modal models for these tasks, they still do not incorporate valuable 3D information alongside natural language (Su *et al.*, 2022). In contrast to existing models that primarily focus on either one-dimensional descriptions (SMILES) or two-dimensional molecular graphs, human expertise is honed by engaging with both molecular structures and biomedical text. Hence, a pressing requirement exists for the development of models that can seamlessly integrate both two-dimensional and three-dimensional molecular structures with biomedical text to propel novel scientific discovery. Inspired by this human proficiency, we introduce a novel Molecular Multimodal Language Model (MolLM) that cohesively binds two-dimensional and three-dimensional molecular structures with natural language, a tri-modal approach not explored in the current research space.

Beyond addressing the modality gap within the field of molecular analysis, MolLM also seeks to address the weaknesses of current multimodal models. Recent work in incorporating multiple modalities has been limited by reliance on small-scale molecular graph-text data, consisting merely of 15k pairs. In a significant departure from this, we leverage the molecular information across all three modalities. At the core of MolLM is a unified pre-training framework, integrating a text Transformer encoder and a molecular Transformer encoder, pre-trained on molecular graphs and related textual data jointly. The text Transformer encoder has been pre-trained on biomedical text. To accommodate the multimodal molecular structure information, we design a novel molecular Transformer encoder. Using the Transformer architecture, we incorporate pair-wise encodings for 2D graphs and 3D geometric structures. we integrate positional encoding with standard graph encoding, allowing it to integrate atom-wise structural information. Similar to Transformer-M (Luo *et al.*, 2022b), we effectively leverage both 2D and 3D molecular structures for better performance in downstream tasks. Crucially, our model aligns these cross-modal representations during pre-training through the paradigm of contrastive learning, a technique which allows for efficient self-supervised learning by associating similar molecules closer together and dissimilar molecules away in the representation space. Overall, our tri-modal pre-trained molecular language model (MolLM) facilitates understanding and generating text from molecular structure inputs, designing 2D and 3D molecular structures based on text inputs.

We further emphasize the significance of capturing 3D molecular structures. Our MolLM model overcomes the limitations of existing pre-trained molecular models, which can only process different data types separately, by offering a comprehensive and in-depth understanding of molecular entities. We conduct extensive experiments to showcase the model's capacity to capture molecular expertise, demonstrating promising performance in downstream tasks such as **cross-modal retrieval** (retrieving the most related molecule/text with the query of the opposite modality), **molecule captioning** (providing natural language description of molecules), **property prediction** (classification task of predicting molecule properties), and **molecule editing** (editing molecule based on natural language prompt) (Zeng *et al.*, 2022; Edwards *et al.*, 2022; Wu *et al.*, 2017a; Liu *et al.*, 2022). We emphasize how our promising results demonstrate how 3D information is beneficial to be integrated. For example, in the cross-modality matching task, while our multi-modal architecture outperforms all baselines in a variety of zero-shot and supervised settings, the direct incorporation of 3D data further improves each score by 1 to 3 points on its own. The full results of this task can be seen in Table 3. Additionally, even for tasks that do not traditionally require natural language as a modality such as property prediction, our superior results that surpass all baselines show how the 3D modality can indirectly boost performance. The full property prediction results can be seen in Table 4. Ultimately, our main contribution is in two areas. First, we show that multi-modal pre-trained models are effective in molecular analysis, especially when all three modalities of text, 2D, and 3D are incorporated. Second, we create a novel dataset, much larger than baselines with comparable text complexity. We demonstrate that datasets require both in-depth textual information and large size to maximize effectiveness.

2 Related work

2.1 Molecular Representations

Historically, many works have focused on 1D molecular representations. The most common method for 1D representation is the Simplified Molecular Input Line Entry System (SMILES) (Weininger, 1988), which many papers have leveraged for a variety of molecular tasks (Chen and Zhang, 2021; Kuenzi *et al.*, 2020; An *et al.*, 2022). While simple and robust, SMILES strings are non-unique and have no standard generation method, which causes generative models to sometimes create invalid molecules. IUPAC International Chemical Identifier (InChI) strings are similarly accessible to SMILES in online chemical databases but are guaranteed to be unique. Thus, there has been an exploration of using InChI on tasks with a data integrity emphasis (An *et al.*, 2022; Handsel *et al.*, 2021). Nonetheless, InChI representations still suffer from having less domain support and higher computational complexity than SMILES. Self-Referencing Embedded Strings (SELFIES) (Krenn *et al.*, 2019) is another molecular string representation explicitly created for molecular analysis and deep learning. Though not as ubiquitous as SMILES or InChI, recent works have demonstrated superior results with SELFIES (Yüksel *et al.*, 2023; Nigam *et al.*, 2021; Kaneko, 2023). However, more domain studies and supporting resources may be necessary before SELFIES becomes viable as a baseline for broader research. While SMILES strings and similar string-based representations are only 1D representations of molecules, 2D structures can still be inferred from them. Methods such as convolutional neural networks (CNNs), which are typically used on 2D data to project onto a higher dimensional space, can be applied to 1D strings instead (Hirohara *et al.*, 2018).

For more explicit representation of molecules, representing molecules as graphs is a popular method of integrating 2D structures. The most common and straightforward representation has atoms and atomic features representing nodes with bonds between atoms and bond features representing edges. For an example of what such atom and bond features could be, we can examine Open Graph Benchmark (OGB)'s molecule utility library, which is used by many (Hu *et al.*, 2020) state-of-the-art works. Under this framework, a molecule may have atom features

Model	Text	2D	3D	# Molecules	Source
MoMu (Su *et al.*, 2022)	SMILES + S2orc	✓	✗	15K	PubChem, S2orc
MoleculeSTM (Liu *et al.*, 2022)	PubChem Descriptions	✓	✓	281K	PubChem
KV-PLM (Zeng *et al.*, 2022)	SMILES + S2orc	✓	✗	10K	PubChem, S2orc
MolLM	SMILES + PubChem Descriptions + S2orc	✓	✓	160K	PubChem, S2orc

Table 1. Comparison of datasets used in our study (MolLM) and in the baseline approaches. Each dataset is characterized by the source of text, molecular formula representation, availability of 2D / 3D structural information, total molecule count, main application areas, and the original data source.

like atomic number, valence electrons, and formal charge, with bond features like bond type and bond stereo. With this framework, various works have applied common graph models to perform molecule analysis, such as CNNs, Graph Convolutional Networks (GCNs), and GraphSAGE (Pogány *et al.*, 2018; Coley *et al.*, 2017; Liu *et al.*, 2023a). These models achieve good results, however, they fail to account for nuances within the 3D molecular structure, such as stereochemistry, interaction energies, and spatial binding affinities. More recent works include 3D molecule graph data by providing 3D coordinates of each molecule within the atom representation. Since 3D data is not universally provided for many molecules, most works generate 3D conformations using molecular analysis libraries such as RDKit. By focusing on 3D contexts, these models are able to achieve better results on certain tasks where 3D structure matters significantly, such as property prediction (Kuzminykh *et al.*, 2018; Thomas *et al.*, 2018; Kajita *et al.*, 2017; Stärk *et al.*, 2022; Li *et al.*, 2022b; Yang *et al.*, 2019). Additionally, by unifying 2D and 3D structures, certain models use contrastive learning techniques to accept multiple modalities of molecule inputs (Liu *et al.*, 2021; Zhu *et al.*, 2022; Li *et al.*, 2022a).

2.2 Molecular Pre-trained Language Models

With the popularity of pre-trained language models, prior work has been done on utilizing pre-trained language models on molecules represented via textual representations such as SMILES. SMILES-BERT is one of the first models to explore inputting SMILES strings into BERT models (Wang *et al.*, 2019), training masking tasks with SMILES strings alongside regular text. BARTSmiles does similar with a BART-like model and more downstream tasks (Chilingaryan *et al.*, 2022). However, as these models use inherently one-dimensional textual representations of molecules, they lose a significant amount of information about geometric and stereoelectric effects.

In terms of multi-modality works, there is prior research on multi-modal text and molecule models to represent molecules and related textual descriptions in a joint latent space. KV-PLM (Zeng *et al.*, 2022) utilizes the SMILES representation of molecules before projecting to such a joint latent space while MoMu (Su *et al.*, 2022) utilizes a graph representation of molecules before projection. They, alongside other recent works (Wang *et al.*, 2022a,b), utilize a contrastive loss function to align molecular representations and textual representations. MoMu leverages non-chemically inspired augmentations of the molecule graphs during training to improve accuracy and robustness while other recent works also follow this augmentation approach to self-supervised molecular learning (Liu *et al.*, 2022). However, with both MoMu and KV-PLM's molecular representation inputs, these models only consider a limited 2D view of molecular geometry.

In terms of training data, KV-PLM and MoMu each collected their own datasets of 15k molecule-text pairs. KV-PLM sourced the textual data from PubChem while MoMu sourced a different set of 15k pairs from the S2ORC (Lo *et al.*, 2020) corpus dataset. Since S2ORC is a dataset without clear pairing between molecules and related text, MoMu expressed concerns with how the texts paired to their molecules may often not be strongly related. More specifically, they used simple querying by

molecule name to find related texts within the dataset which does not guarantee relevant text. Therefore, limitations in the datasets of prior works may extend beyond size concerns as these datasets may also have weak correlations between their molecules and text pairings.

2.3 Models for Specific Molecular Tasks

Molecular Property Prediction is one of the most widely researched molecular tasks, as property prediction may expedite traditionally expensive and time-consuming wet-lab experiments. Previous works like (Wang *et al.*, 2022b) (Liu *et al.*, 2023c) (Wen *et al.*, 2022) (Ross *et al.*, 2022) aim to create models that perform well on this prediction task. MolCLR (Wang *et al.*, 2022b) is one of these models, which was trained on over 10 million unique molecules. MolCLR performs contrastive learning via graph neural networks and data augmentations. MolCLR outperformed all other baselines on the prediction task, displaying the viability of these methods. However, while MolCLR's large dataset allows for better pretraining in terms of the quantity of molecules shown to the model, the fact that MolCLR's dataset is not annotated with any text descriptions removes MolCLR's ability to perform any molecular tasks also involving text.

In terms of other models that have the downstream capability of property prediction, the representation learning method described in (Zhu *et al.*, 2022) combines 2D and 3D graph data. This method encodes atomic coordinates and interatomic distances, then merges them with their atom representations through graph neural networks. The method is then tested on property prediction downstream tasks, showing a significant improvement over 2D-only methods. This work shows us that 3D representations are important, and proposes a way to incorporate 3D information into the pretraining process. However, without textual data as an input into this model with molecules as its only modality, the method lacks flexibility for generalized tasks, many of which involve text data.

Although molecular property prediction does not necessitate the use of text, other works have proposed molecular tasks that demand a combined understanding of both molecules and text. MolT5 introduced the molecule captioning task which involves generating meaningful textual descriptions of molecules that capture their most important properties, structural elements, and applications (Edwards *et al.*, 2022).

MoleculeSTM introduced a molecule editing task that involves an input of a molecule and a prompt such as "This molecule is more soluble." with a desired task output of a molecule similar to the original that aligns with the prompt (Liu *et al.*, 2022).

3 Methods

3.1 Data

We collected our dataset from two sources. First, for collecting the molecular data and directly related descriptions, we access the PubChem molecular database (Kim *et al.*, 2023) which hosts information for over 100 million molecular compounds. Of these, we used the PubChem Classification Browser to identify 160k molecules with substantial

physical descriptions, with emphasis on the "Description" field. For example, we may get the molecule "Acetylcarnitine" from the PubChem Classification Browser. Then, using the PubChem REST API, we can get the 2D graphical data, as well as the basic physical descriptions available from PubChem. We compute 3D atom positions to add to the graphs via RDKit's MMFF94 implementation. Second, for collecting additional textual data, we follow the methodology of MoMu to take excerpts from papers in the S2orc corpus database that relate to a certain molecule. We then take these text descriptions and group them with the 2D and 3D graphical representations of each molecule to create a text-graph grouping. Using the same example, we may look into the S2orc database to find papers relating to "Acetylcarnitine", then extract sentences containing the molecule or its synonyms to add to our textual data from the Abstract, Introduction, and Conclusion sections of each extracted paper. Our dataset is the largest dataset in the domain currently that includes academic text, as well as full multimodal molecule information. For example, MoleculeSTM (Liu *et al.*, 2022) has a large dataset with 2D and 3D data, but does not incorporate academic text data, thus losing a significant data source. See Table 1 for a comparison of our dataset to similar datasets.

Our unified model includes a text encoder and a 2D/3D molecular structure encoder, both of which are Transformer-based.

3.1.1 Text Encoder

For the text encoder, we initialize with KV-PLM (Zeng *et al.*, 2022). KV-PLM enhances BERT (Devlin *et al.*, 2019), a widely used general text encoder, by fine-tuning its performance on molecular academic texts and SMILES strings (Weininger, 1988). KV-PLM is initialized on SciBERT as well, a powerful pre-trained model that has been tailored specifically for scientific text. It is based on the BERT (Bidirectional Encoder Representations from Transformers) architecture. SciBERT differs from the general-purpose BERT model in that it is pre-trained on a large corpus of scientific text. This specialization allows SciBERT to better understand and generate representations for scientific language, making it highly valuable in the scientific and biomedical fields.

In our methodology, we employ KV-PLM's finetuned BERT checkpoint as our initialized text encoder, extracting sequences of sentence features. We then subject these extracted sequences to average pooling, which effectively condenses the feature space, producing a consolidated sentence-level feature representation. This step serves to reduce the dimensionality of the data while preserving the most essential features. We also double the tokenizer output length limit within KV-PLM's and MoMu's use of the BERT tokenizer from 128 tokens to 256 tokens to avoid unnecessary truncating and loss of detail in processing text sequences, allowing more detailed text descriptions. Thus, our approach enables capturing the core meaning and context of the full sentences and paragraphs in a compact and efficient way.

3.1.2 Molecular Structure Encoder

We propose a novel, chemically structure encoder, tailored to handle molecular tasks across different data modalities. Our MolLM is designed to take molecular data in either 2D or 3D formats as input and obtain representations. Our model incorporates three distinct pathways to encode sequence, 2D, and 3D structural information. The 2D pathway harnesses information extracted from the 2D graph structure of the molecule, including degrees, shortest path distances, and edges. These data elements represent the spatial relationships between atoms in the molecule, enabling the model to understand its two-dimensional architecture. Conversely, the 3D pathway focuses on the three-dimensional geometrical structure of the molecule. Here, we compute spatial distances between atoms within the 3D structure. This geometric perspective allows the model to gain insights into the three-dimensional arrangement of atoms and

their complex interactions, which often play a pivotal role in molecular properties and interactions. Together, these three pathways allow the MolLM to generate a comprehensive understanding of the molecule, spanning its sequence and both its two-dimensional and three-dimensional structures, thereby producing a rich, multi-modal representation.

Following Transformer-M (Luo *et al.*, 2022b), MolLM employs a similar strategy to process both 2D graph structures and 3D geometric structures in molecular representations by a unified model. Using a Transformer as its base, it encodes structural data such as edge features, such as bond type, and 3D spatial relation as bias values within the attention mechanism, which the Transformer uses to weigh and combine different parts of the input. For a summary of the implementation, we will recap these structural encodings that we use from Transformer-M. For edge features encoding, we utilize the 2D graph structure by considering edge features, $\mathbf{e}_1, \mathbf{e}_2, \ldots$, along the shortest path between any two vertices, indexed i and j with a learnable vector $\mathbf{w}$:

$$\phi_{ij}^{\text{Edge}} = avg(\mathbf{e}) \times \mathbf{w} \tag{1}$$

Additionally, for the relation between atoms in the 2D in terms of path distance, we also define an encoding to represent the shortest path distance between two atoms, with a learnable scalar, w. Define $spd(i,j)$ to the shortest path distance between atoms i and j in the 2D graph counted by edges, so:

$$\phi_{ij}^{\text{SPD}} = spd(i,j) \cdot w \tag{2}$$

For considering 3D spatial relations, distance is processed between vertices, indexed i and j, via Gaussian Basis Kernel, denoted as a function ψ, with learnable matrices W^1 and W^2.

$$\phi_{ij}^{\text{3D Distance}} = act(\psi(i,j) \times \mathbf{W}^1) \times \mathbf{W}^2 \tag{3}$$

The Gaussian Basis Kernel, where σ is a hyperparameter controlling the width of the kernel, is defined as

$$\psi(i,j) = \exp\left(-\frac{\|\mathbf{x}_i - \mathbf{x}_j\|^2}{2\sigma^2}\right) \tag{4}$$

These 2D and 3D positional encodings from the graph structure of the molecule and the 3D geometric structures are incorporated linearly into the attention mechanism of the Transformer layers. This linear combination, considering all atoms in the molecular graph, can be described as:

$$\phi^{\text{2D/3D Position}} = \phi^{\text{Edge}} + \phi^{\text{SPD}} + \phi^{\text{3D Distance}} \tag{5}$$

We add this $\phi^{\text{2D/3D Position}}$ bias directly to the standard Transformer attention calculation prior to the final Softmax. We also employ similar methods to Transformer-M for incorporating atom centrality in both 2D/3D space in the input layer.

3.2 Cheminformatics Inspired Augmentation

In our framework, we enhance the graph encoder's representational power and improve our multimodal adaptive learning framework by incorporating additional data modalities. We propose a pre-training molecular language model using contrastive learning utilizing four augmented graphs for each original molecular graph. We apply four data augmentations to 2D molecular graphs: node dropping, subgraph sampling, chemical transformation, and substructure removal. We then compute and insert 3D atom positions into these augmented graphs, aiming to create semantically consistent molecular structures suitable for pretraining. Node dropping randomly discards vertices from the original graph, while subgraph sampling is performed by traversing random walks. Chemical transformation-based augmentations generate new structures by applying

Fig. 1. Overview of the pretraining pipeline. MolLM encodes both molecular graph data (top) and textual data (bottom). For each molecule, we generate up to 4 molecule augmentations before encoding the 2D and 3D structural data. On the text side, we encode each molecule's SMILES string and molecular formula, as well as related passages from scientific journals. These encoded representations are then used during the contrastive learning step.

various chemical transformations alongside other graph augmentations. They are implemented by adding and removing functional groups and subsequently optimizing 3D structures. Substructure removal uses BRICS decomposition to remove certain substructures in a molecular viable manner. A random viable molecular motif is then chosen out of the possible substructure generations. A visualization of these augmentations can be seen in Fig 1. See Appendix 3 for more examples of augmentations.

3.3 Contrastive Learning

Contrastive learning aims to learn meaningful representations by comparing positive and negative sample pairs. We add two losses: one comparing the representations of different modalities of the same data sample, and another self-contrastive loss comparing different augmentations of the same modality for the same data sample.

3.3.1 Data Augmentation

We introduce a novel Transformer-based pre-training molecular language model called MolLM which can efficiently process molecular data in both 2D and 3D formats and generate meaningful semantic representations. For the aforementioned cheminformatics-inspired augmentations, we perform manipulations and optimizations on molecular structures using the open-source RDKit library. We repeat augmentations, which purposely have randomization in their augmentation process until we obtain an augmented molecule that measures a difference of below 3.0 root-mean-square deviation (RMSD) on RDKit's molecular distance (or inversely similarity) calculation to avoid augmenting molecules to be too semantically different from the original. See Appendix 2 for more motivation behind our use of RDKit. In terms of implementation details, we utilize the PyTorch Geometric library to represent our 3D molecular graphs, including all of their atom features such as 3D coordinates and bond features. Prior to pretraining, we employed this augmentation method efficiently on many CPU-optimized machines as CPU computing is limited on our GPU-optimized machines used for model pretraining.

Given a mini-batch of N molecular graphs, we generate four different augmentations for each graph. Let $z_i^{G_1}$, $z_i^{G_2}$, $z_i^{G_3}$, and $z_i^{G_4}$ each denote the representations of the augmented versios of the i-th graph $\mathcal{G}_i$. Let

$z_i^{T_1}$, $z_i^{T_2}$, and $z_i^{T_3}$ denote the representations of three different sentences describing the i-th graph $\mathcal{G}_i$. The objective of contrastive learning is to minimize the contrastive loss for each graph in the mini-batch, considering both cross-modal and self-contrastive losses.

3.3.2 Contrastive Learning Objective

We define the cross-modality contrastive loss $\mathcal{L}$cross and self contrastive loss $\mathcal{L}$self for the i-th graph with a mini-batch of N molecular graphs and three different augmentations for each graph as follows. The final contrastive learning objective combines cross-modal and self-contrastive losses: $\mathcal{L}_{\text{MolLM}} = \mathcal{L}_{\text{cross}} + \mathcal{L}_{\text{self}}$, where $\ell_i^{(\cdot)}$ calculates the contrastive loss for the i-th graph $\mathcal{G}_i$ considering the respective pairs of multi-modal representations. The τ parameter in $\ell_i^{(\cdot)}$ is a temperature hyperparameter to control the concentration of the distribution.

$$\ell_{self} = -\log \frac{\displaystyle\sum_{G_a,G_b \in \binom{\{G_1,G_2,G_3,G_4\}}{2}} \exp\left(sim(z_i^{G_a}, z_i^{G_b})/\tau\right)}{\displaystyle\sum_{j=1}^{N} \sum_{G_a,G_b \in \binom{\{G_1,G_2,G_3,G_4\}}{2}} \exp\left(sim(z_i^{G_a}, z_j^{G_b})/\tau\right)},$$

$$\ell_{cross} = -\log \frac{\exp\left(sim(z_i^{G_a}, z_i^{T})/\tau\right)}{\displaystyle\sum_{j=1}^{N} \exp\left(sim(z_i^{G_a}, z_j^{T})/\tau\right)},$$

* Here, the notation $\binom{\{G_1,G_2,G_3,G_4\}}{2}$ represents the selection of any combination of two different graphs from the set of four.

$$\mathcal{L}_{\text{cross}} = \frac{1}{N}\sum_{i=1}^{N} \ell_i^{(z_i^{G_1}, z_i^{G_2}, z_i^{G_3}, z_i^{G_4}, z_i^{T_1}, z_i^{T_2}, z_i^{T_3})} \tag{6}$$

$$\mathcal{L}_{\text{self}} = \frac{1}{N}\sum_{i=1}^{N} \ell_i^{(z_i^{G_1}, z_i^{G_2}, z_i^{G_3}, z_i^{G_4})} \tag{7}$$

In brief, the contrastive learning objective consists of two components: cross-modal contrastive loss and self-contrastive loss. The cross-modality contrastive loss minimizes the distance between the different modalities (i.e., molecular graphs and related textual descriptions) of the same molecule while maximizing the distance between different molecules. The self-contrastive loss minimizes the distance between different augmentations of the same molecule while maximizing the distance between augmentations of different molecules. Thus, the model learns to generate more robust and semantically meaningful representations.

3.4 Finetuning Downstream Tasks

To showcase the adaptability and practicality of our model across a wide variety of downstream tasks that necessitate both molecular and textual inputs, we finetune our model on four such tasks. To provide succinct overviews of these tasks, Cross-modality Matching involves retrieving the correct molecular graph/text from a list of data in the opposite modality. To reiterate, Property Prediction is a classification task for various experimental molecular properties, many of which are related to biological activity such as Blood-Brain Barrier Penetration (BBBP). Molecule Captioning involves generating a useful text description of a molecule. Molecule Editing involves generating a new molecule given an original molecule and a prompt with a desired property in description form. See Table 2 for a summary of these tasks.

To implement the Cross-modality Matching task using our model, we begin by using the two encoders in our model to produce embeddings for all of the text and molecules respectively. We generate embeddings for

Task	Definition	Dataset	Modalities
Cross-modality Matching	Given a key molecule or text, find the associated item of the opposite modality.	PCdes	Text, 2D, 3D
Property Prediction	Given a molecule, predict property values.	MoleculeNet	2D, 3D
Molecule Caption	Given a molecule, generate a descriptive text.	MolT5	Text, 2D, 3D
Molecule Editing	Given a molecule and a text prompt, generate an edited molecule accordingly.	MoleculeSTM	Text, 2D, 3D

Table 2. Description of downstream tasks tested along with their associated dataset and modalities.

Fig. 2. t-SNE visualization of molecule embeddings in joint latent space for select groups of molecules. Colored points represent molecules belonging to the select groups while the gray points represent a variety of other molecules.

Fig. 3. Visualization of the cross-modality matching text for a given pair of molecule and text. Embeddings are generated for each molecule and text using the respective encoders of MolLM. Then, cosine similarity is used to find the most similar pair for top-1 matching, or the 20 most similar for R@20, for the matching task.

Fig. 4. Visualization of the molecule captioning task. We begin with a 2D/3D molecular graph of Acetylsalicylic Acid, which is then encoded through MolLM's molecule encoder. This encoded representation is then decoded through MolT5's decoder, which produces a molecule-specific caption.

the "key" text or molecule being used for matching, as well as for the set of texts or molecules that it will be queried against. We then compare the "key" text or molecule to the set of the opposite modality by selecting those with maximal cosine similarity between their embeddings to find the best match. See Figure 3 for a visualization of how the model processes a molecule and a string of text for this task. For finetuning on this task, similar to (Su *et al.*, 2022), we split the data into a training set of $10,500$ molecules, a validation set of $1,500$ molecules, and a test set of $3,000$ molecules while generating their 2D graphs.

In contrast, for the Property Prediction task using our model, we employ only the 2D/3D molecular encoder of our model to produce an embedding for the molecule. Then, we attach to our molecular encoder (which provides a 768-dimensional molecule embedding) a graph prediction head described in Appendix 7.1.

For the implementation of the Molecule Captioning task, we utilize the 2D/3D molecular encoder of our model to produce an embedding for the molecules, then put this embedding through a MolT5 model's decoder (Edwards *et al.*, 2022). We utilize this decoder to generate the descriptions as our model does not have a direct text decoder. See Figure 6 for a visualization of this combination of models.

Finally, for the Molecule Editing task, we similarly utilize MoFlow (Zang and Wang, 2020), an invertible flow-based model, with embeddings from our model. However, we also train a translation layer between our embeddings and MoFlow pre-trained embeddings to be able to take advantage of MoFlow's pretraining. See Figure 5 for a visualization of our technique.

4 Results

To evaluate the MolLM pre-training, we test it on four different multi-modality downstream tasks, including molecule-to-text retrieval (M-T),

text-to-molecule retrieval (T-M), molecule-to-text caption, and molecule editing with a text prompt.

4.1 Cross-modality Matching

Two of our tasks involve direct cross-modality retrieval. Graph-to-text retrieval starts with a given 3D graph of a molecule and tries to select the most closely associated text descriptions of the molecule given. Text-to-graph retrieval starts with a text description and tries to select the molecule graph that most closely associates with the description. Both of these tasks rely on the PCDes (Zeng *et al.*, 2022) dataset, which contains SMILES strings and respective text descriptions. As in (Su *et al.*, 2022), we perform retrieval in randomly selected batches of size 64, evaluating the average accuracy of the top-1 retrieval results and the recall of the top-20 retrieval results. Recall of the top-20 retrieval results. For each task, we also create both sentence-level and paragraph-level tasks. Following (Su *et al.*, 2022), the sentence-level setting uses a randomly selected sentence from the molecule's description, while the paragraph-level setting uses the whole description.

M-T retrieval begins with a 3D molecular graph and aims to select the most relevant textual descriptions associated with the given molecule. Conversely, T-M retrieval starts with a text description and attempts to identify the molecular graph that best corresponds to the description. Both

Fig. 5. Visualization of molecule editing task. We start with a molecular graph and an instruction on how to edit the molecule. The graph and text are sent through MolLM's molecule and text encoders, respectively. These encoded representations are then combined into MolLM's joint latent space, which is then sent through MoFlow's flow-based graph generative model. This ultimately creates a new molecule based on the original molecule but with properties aligning closer to the text prompt.

supervised tasks utilize the PCDes dataset (Zeng *et al.*, 2022), which is comprised of SMILES strings and corresponding textual descriptions. The dataset used for zero-shot is consistent with that composed by MoMu. We generate 2D graphs for each example and then convert these SMILES strings into PubChem CIDs. To incorporate 3D conformation data into our model, we modify each graph by adding 3D information using RDKit's MMFF94 implementation. As in (Su *et al.*, 2022), we perform retrieval in randomly selected batches of 64, assessing the average accuracy of top-1 retrieval results and the recall of top-20 retrieval results. Specifically, the top-1 retrieval measures how often the model is able to retrieve the correct result as its best match while top-20 retrieval measures how often the best match is within what it deems to be the top 20 most similar results. For each task, we create both sentence-level and paragraph-level tasks. In the sentence-level setting, following (Su *et al.*, 2022), we use a randomly chosen sentence from the molecule's description, while in the paragraph-level setting, we utilize the entire description. We adopt Sci-BERT, KV-PLM (Zeng *et al.*, 2022), and MoMu (Su *et al.*, 2022) as baselines.

From Table 3, it is clear that MolLM-3D achieves state-of-the-art results as it outperforms every single baseline for every single task. This superior performance is evident in both zero-shot and supervised settings, across sentence-level and paragraph-level tasks. Notably, the M-T R@20 in the supervised sentence-level task for MolLM-3D is an impressive **92.05±1.03**, indicating a high recall rate and suggesting that the model is highly effective in retrieving relevant textual descriptions for given molecular structures. Similarly, T-M accuracy and recall at the sentence level and paragraph level in the supervised setting show a marked improvement over other models, with MolLM-3D scoring 66.11 and 91.75, respectively. As MolLM-3D also consistently outperforms MolLM-2D, these results demonstrate the efficacy of incorporating 3D structural information into the retrieval process.

4.2 Property Prediction

Property prediction is a downstream graph task widely used in prior work such as (Su *et al.*, 2022) and (Liu *et al.*, 2022). Similar to these works, we use the MoleculeNet (Wu *et al.*, 2017b) benchmark, whose purpose is to compare how well a pre-trained graph encoder can be adapted to a variety of disparate classification tasks. For example, the TOX21 and HIV datasets might ask a model to classify new molecule graphs for toxicity markers or anti-HIV activity, respectively. We directly compare our model to relevant models including MoMu and KV-PLM that use a similar multi-modal strategy to our model. As seen in Table 4, our model

Model	M-T Acc	M-T R@20	T-M Acc	T-M R@20
Sentence-level & zero-shot				
Sci-BERT	$1.38^{\pm 0.09}$	$0.38^{\pm 0.06}$	$1.57^{\pm 0.05}$	$0.28^{\pm 0.03}$
KV-PLM	$1.42^{\pm 0.13}$	$0.64^{\pm 0.35}$	$1.43^{\pm 0.06}$	$0.31^{\pm 0.08}$
MoMu	$39.07^{\pm 1.11}$	$37.36^{\pm 0.83}$	$38.51^{\pm 1.04}$	$31.18^{\pm 1.04}$
MolLM-2D	$45.46^{\pm 0.99}$	$46.60^{\pm 0.81}$	$45.25^{\pm 0.90}$	$41.17^{\pm 0.92}$
MolLM-3D	$\mathbf{45.82}^{\pm 0.87}$	$\mathbf{47.29}^{\pm 0.85}$	$\mathbf{46.02}^{\pm 0.90}$	$\mathbf{41.99}^{\pm 0.94}$
Sentence-level & supervised				
Sci-BERT	$50.39^{\pm 1.39}$	$62.11^{\pm 1.39}$	$50.12^{\pm 1.67}$	$68.02^{\pm 1.87}$
KV-PLM	$53.79^{\pm 1.42}$	$66.63^{\pm 1.51}$	$55.22^{\pm 0.94}$	$71.80^{\pm 1.56}$
MoMu	$58.74^{\pm 1.61}$	$81.29^{\pm 0.99}$	$54.94^{\pm 0.73}$	$78.29^{\pm 0.56}$
MolLM-2D	$64.59^{\pm 1.20}$	$91.73^{\pm 2.03}$	$64.83^{\pm 1.89}$	$91.20^{\pm 1.82}$
MolLM-3D	$\mathbf{66.11}^{\pm 0.84}$	$\mathbf{92.05}^{\pm 1.03}$	$\mathbf{66.10}^{\pm 1.05}$	$\mathbf{91.88}^{\pm 1.79}$
Paragraph-level & zero-shot				
Sci-BERT	$1.38^{\pm 0.01}$	$0.34^{\pm 0.05}$	$1.56^{\pm 0.05}$	$0.32^{\pm 0.03}$
KV-PLM	$1.94^{\pm 0.04}$	$0.40^{\pm 0.03}$	$1.82^{\pm 0.12}$	$0.52^{\pm 0.05}$
MoMu	$46.66^{\pm 0.31}$	$43.66^{\pm 0.28}$	$45.66^{\pm 0.12}$	$43.52^{\pm 0.14}$
MolLM-2D	$54.81^{\pm 0.82}$	$54.24^{\pm 0.87}$	$54.95^{\pm 0.85}$	$54.64^{\pm 0.90}$
MolLM-3D	$\mathbf{55.90}^{\pm 0.88}$	$\mathbf{55.44}^{\pm 0.80}$	$\mathbf{55.06}^{\pm 0.83}$	$\mathbf{54.88}^{\pm 0.86}$
Paragraph-level & supervised				
Sci-BERT	$62.57^{\pm 1.33}$	$60.67^{\pm 0.21}$	$61.75^{\pm 0.74}$	$60.77^{\pm 0.68}$
KV-PLM	$64.81^{\pm 0.81}$	$63.87^{\pm 1.14}$	$64.95^{\pm 1.47}$	$64.27^{\pm 1.05}$
MoMu	$81.09^{\pm 0.21}$	$80.15^{\pm 1.06}$	$81.45^{\pm 0.51}$	$80.62^{\pm 0.44}$
MolLM-2D	$86.33^{\pm 1.80}$	$90.67^{\pm 2.11}$	$87.00^{\pm 1.83}$	$91.06^{\pm 1.95}$
MolLM-3D	$\mathbf{87.05}^{\pm 1.94}$	$\mathbf{90.98}^{\pm 1.79}$	$\mathbf{87.85}^{\pm 1.97}$	$\mathbf{91.75}^{\pm 1.26}$

Table 3. M-T and T-G retrieval results, presented across four different settings: sentence-level zero-shot, sentence-level supervised, paragraph-level zero-shot, and paragraph-level supervised.

excels in property prediction tasks over the relevant baselines. In zero-shot scenarios, MolLM outperforms MoMu by up to 2% on average, demonstrating enhanced generalization capabilities. Upon fine-tuning, MolLM's performance surpasses that of the baselines with significant margins, evident in datasets such as TOX21, with an increase from 75.6% to 80.0%, and BACE, where it improves from 77.8% to 84.1%. These enhancements underscore the efficacy of our more expressive molecular encoder which also captures important 3D information, which can capture structure that is useful for some of these classification tasks where considering molecular structure is important.

Fig. 6. Our results for molecule captioning task. We utilize the ChEBI dataset (Edwards et al., 2022) with 33,010 molecules. We use BLEU-2, BLEU-4, ROUGE-L, and METEOR metrics to compare performance with MolT5 and MoMu, as our baselines.

4.3 Molecule Caption

We utilize the molecule captioning task as illustrated in (Edwards *et al.*, 2022). In this task, our model generates text relating to a molecule, given

Dataset	BBBP	TOX21	ToxCast	SIDER	ClinTox	MUX	HIV	BACE	Average
zero-shot									
MoMu	65.8±4.5	74.0±0.8	63.4±0.6	57.3±1.6	58.0±4.4	71.8±2.5	75.3±1.9	70.1±5.4	66.96
MolLM	**70.6±1.2**	**77.5±0.7**	**65.7±1.3**	**67.1±0.9**	**70.8±1.1**	**74.5±0.6**	**76.1±1.4**	**76.9±0.8**	**72.40**
finetune									
RandomForest	71.4±0.0	76.9±1.5	–	68.4±0.9	71.3±5.6	63.2±2.3	78.1±0.6	86.7±0.8	–
RXNFP	68.5 ± 0.7	65.5 ± 0.5	–	54.0 ± 1.6	–	–	73.5 ± 1.0	–	–
BERT	68.4 ± 0.5	68.8 ± 0.7	–	60.2 ± 1.2	–	–	69.4 ± 1.0	–	–
SMI-BERT	71.1 ± 2.2	69.6 ± 0.4	–	59.9 ± 0.9	–	–	73.9 ± 1.5	–	–
GCN	71.8 ± 0.9	70.9 ± 2.6	–	53.6 ± 3.2	62.5 ± 2.8	71.6 ± 4.0	74.0 ± 3.0	71.6 ± 2.0	–
GIN	65.8 ± 4.5	74.0 ± 0.8	–	57.3 ± 1.6	58.0 ± 4.4	71.8 ± 2.5	75.3 ± 1.9	70.1 ± 5.4	–
D-MPNN	71.2±3.8	68.9±1.3	–	63.2±2.3	90.5±5.3	76.2±2.8	75.0±2.1	85.3±5.3	–
MolCLR GCN	73.8±0.2	74.7±0.8	–	66.9± 1.2	86.7±1.0	84.0± 1.8	77.8 ± 0.5	78.8 ±0.5	–
MolCLR GIN	73.6±0.5	79.8±0.7	78.9±1.2	68.0±1.1	93.2±1.7	88.6±2.2	80.6 ± 1.1	89.0±0.3	81.46
KV-PLM	72.0±0.9	70.0±0.5	55.0±1.7	59.8±1.5	89.2±2.7	54.6±4.8	71.8±1.4	78.5±2.7	68.73
MoMu-S	70.5±2.0	75.6±0.3	63.4±0.5	60.5±0.9	79.9±4.1	70.5±1.4	75.9±0.8	76.7±2.1	71.63
MoMu-K	70.1±1.4	75.6±0.5	63.0±0.4	60.4±0.8	77.4±4.1	71.1±2.7	76.2±0.9	77.1±1.4	71.36
MolLM	**75.7±1.7**	**80.0±1.7**	**68.2±0.4**	**71±0.8**	**91.1±1.0**	**79±1.2**	**80.2±0.7**	**84.1±0.9**	**76.16**

Table 4. Results of the property prediction task compared to other relevant baselines that also utilize a multi-modal biomedical text and molecule model. We also include other fine-tuned models for comparison, but only compare directly against the aforementioned relevant baselines.

its SMILES string, 2D, and 3D graphs. We choose MolT5 (Edwards *et al.*, 2022) as one of our baselines, which generates captions by inputting SMILES strings through their encoder-decoder transformer architecture. The other baseline we choose is MoMu (Su *et al.*, 2022), which expands this process by appending 2D structural data to the SMILES embedding inputs. We further introduce 3D data into the input to improve the model's understanding of the molecular structure, and thus generate more accurate descriptions based on the new 3D context. Using the CheBI-20 dataset as in (Edwards *et al.*, 2022), we compare the performance of MolLM, MoMu, and MolT5 on four different captioning metrics. The results can be seen in Fig 6. We see that MolLM significantly outperforms both MolT5 and MoMu on the BLEU, METEOR, and Text2Mol metrics, and performs essentially equivalent to MolT5 on the ROUGE-L metric. In summary, MolLM performs equivalent or slightly better than every single baseline. The fact that MolLM's 3D models do not exhibit better results than its 2D variant could potentially be explained by the importance of 3D information for this specific task, or lack thereof, and also potentially the nature of the expected captions which may not emphasize the need for 3D information. These results indicate that MolLM is at least as performant as every baseline but its added features and expressivity are not useful for this captioning task specifically.

4.4 Molecule Editing

Following the procedure in (Liu *et al.*, 2022), we begin with the 200 randomly sampled molecules from ZINC (Sterling and Irwin, 2015) alongside a short text editing prompt as inputs. The task is to perform single-objective editing with prompts such as "this molecule has high permeability," which prompts the model to edit a molecule toward a certain molecular property. We evaluate each of these metrics by their satisfactory "hit" ratio, which measures the ratio of the 200 molecules that the model is able to modify in accordance with the prompt, where a successful modification is a hit. Calculated molecular metrics are used to determine hits, with the metric depending on the prompt. For example, a satisfactory hit for the "high permeability" prompt would be the model outputting a molecule with a higher permeability, measured by a lower calculated topological polar surface area (TPSA). For the other properties, hydrogen bond acceptors, hydrogen bond donors, drug-likeness, and solubility, the

respective calculated properties to determine hits are calculated hydrogen bond acceptors, calculated hydrogen bond donors, QED drug-likeness (Bickerton *et al.*, 2012), and Wildman-Crippen LogP value (Wildman and Crippen, 1999). The satisfactory hit ratio results for our model's performance on this task for the selected 200 molecules are scaled to a range of 0.0-100.0.

Looking at the results in 5 for molecule editing, distinct hit ratios were observed for various properties. The model achieved moderate success in increasing hydrogen bond acceptors and donors with hit ratios of 46.0% and 54.5% respectively. However, it showed a striking contrast in modifying drug-likeness, with a low hit ratio of 5.0% for making molecules drug-like and a high of 81.5% for the converse. The ability to edit for permeability was less successful, especially for increasing it (33.5%), compared to decreasing it (56.5%). Notably, the model performed well in enhancing solubility in water with a hit ratio of 76.5%, but only managed a 21.5% success rate for rendering molecules insoluble in water. These results indicate that MolLM shows promising results for certain molecular editing tasks, but there is still lots of room for improvement to make it more robust across various differing prompts.

Model	Prompt	Hit Ratio
MolLM-3D	This molecule has more hydrogen bond acceptors	46.0
MolLM-3D	This molecule has more hydrogen bond donors.	54.5
MolLM-3D	This molecule is like a drug.	5.00
MolLM-3D	This molecule is not like a drug.	81.5
MolLM-3D	This molecule has high permeability.	33.5
MolLM-3D	This molecule has low permeability.	56.5
MolLM-3D	This molecule is soluble in water.	76.5
MolLM-3D	This molecule is insoluble in water.	21.5

Table 5. Results of molecule editing task presented on different prompts.

5 Discussion

We introduce MolLM, a transformer-based multimodal model, to demonstrate the benefit of incorporating biomedical text, 2D molecular, and 3D molecular data into molecular analysis. This section provides an

analysis of our choice of model architecture, each downstream task, and the experiments reveal about MolLM's performance.

5.1 Model Architecture

Our choice of model architecture was based on our selection of molecular representations and the advantages offered by Transformers in encoding molecules. For molecular representation, we examined prior works to inform our approach. While SMILES and SELFIES strings are simple and leverage natural language pipelines directly, literature has demonstrated that these representations show limitations. SMILES strings, for instance, can represent the same molecule in multiple ways, leading to unnecessary data redundancy. Additionally, both SMILES and SELFIES representations lack the ability to encode 2D/3D spatial information, making them perform worse in certain tasks.

Thus, we choose to focus on graph-based molecular representations. Our reasoning for continuing to use Transformers to encode molecules stems from the architecture's superior capability to handle sequences. In contrast to traditional GNN or GIN models, which primarily focus on the graph structure of molecules, transformers allow for more flexible encoding. The attention mechanism within Transformers captures molecular patterns, and the encoder-decoder structure allows us to easily leverage multimodal inputs for pretraining. This means that we can also leverage text data for our inputs, giving our model the ability to understand domain knowledge for a given molecule.

5.2 Downstream Tasks

We choose a wide range of tasks, showing MolLM's flexibility in multiple contexts. For certain tasks, such as property prediction, our model doesn't explicitly use the text encoder. However, even without a direct usage of text, the embeddings generated through the molecule encoding are still influenced by the contrastive learning process, thereby indirectly benefiting from the biomedical text knowledge. This concept is evident in our utilization of fixed encoders for tasks, like MolT5's usage in molecule captioning and MoFlow's inclusion in molecule generation. In these tasks, we fix our encoder during finetuning, which serves to give us a better understanding of our finetuning effects, prevent overfitting, and reduce computational costs. These tasks are able to perform well because the encoders are already pre-trained and our multimodal approach provides enough context prior to finetuning.

We achieve results significantly surpassing current state-of-the-art baselines on cross-modality matching and property prediction tasks. In the matching task, we see that including 3D data leads to better results than its 2D counterpart, and both outperform all baselines. These results show not only the importance of including multi-modal contexts for model pretraining but also the specific significance of 3D encoding. Similarly with the property prediction task, MolLM's performance in both zero-shot and finetuned environments supports the validity of our multimodal approach.

In molecule captioning, our model also achieves competitive results to baselines. We attribute this primarily to the size of our dataset, which while relatively small, is larger than other text-based datasets. Interestingly, we see that the inclusion of 3D data does not significantly improve results on this task. This could be because of the heavily text-focused nature of the task, with text that may implicitly not require a lot of molecule structure data. In other words, the captions themselves may not be highly correlated to molecular structure data. For an example of the opposite, captions such as "the molecule is symmetrical" may be more conducive to having molecular structural data.

However, in molecule editing, our results were subpar. The model's performance varied significantly depending on the editing task. This variation could be due to the difficulty of specific prompts as some prompts have successful hits for a much narrower range of similar molecules to the

original. However, our model only edits molecules to a certain extent to avoid over-editing a molecule. For example, over-editing for the solubility prompt could always be giving a very soluble molecule that has no relation to the original molecule. Additionally, the variation between the prompts may be due to inherent limitations in the training data of how often text related to the prompt occurs.

Investigating further, we found that many of the edits create molecules that are very different from the original, suggesting that a high hit ratio may also come from overly editing a molecule. This indicates issues with the metric itself, suggesting a potential need for developing a more robust metric for evaluation. There is a large downside with how the metric solely looks at changes in related calculated properties and ignores similarity to the original molecule. A large part of the value of molecule editing is that the generated molecule remains highly similar to the original, but the metric encourages editing that strongly favors the prompt without consideration for the original. A better evaluation metric could be some combination of both similarity to the original and how well the prompt was followed. However, the designer of such a metric could just adjust the weighing between these two factors to favor their model. Similar to MoleculeSTM, within the implementation of our model, we have a hyperparameter that controls the linear combination of two losses which each correlate to one of these factors.

The value of integrating 3D information cannot be overstated. By employing a multi-modal approach, we capture a richer representation of molecules, highlighting the interplay between their structural and spatial features. Additionally, the quality and size of the datasets used play a pivotal role in the performance of such models. Moving forward, molecular models should consider a multi-modal approach that incorporates 3D data. as we have demonstrated the effectiveness of this architecture.

5.3 Future Direction

In terms of future directions, there's an evident need for more extensive and better-curated datasets. Larger datasets with a tighter correlation between text and molecular representations would allow the model to make clearer distinctions between molecules. Automatically finding such text in large text corpora is difficult as molecules being mentioned in certain texts do not necessarily mean the text is relevant. Thus, an improvement to the current text sampling method is necessary. For example, future works could leverage language models to filter this academic text or include more quantitative data from other sources.

Exploring improvements to downstream tasks will also be pivotal. This could be in the form of novel downstream tasks, which would allow us to validate the model's applicability across a broader spectrum of challenges in molecular biology and chemistry. However, there are also numerous possible directions for improvement of the downstream tasks that MolLM utilized. For example, a major weakness of the molecule generation task lies in the fact that it sometimes generates infeasible molecules. Future works could aim to validate these generations for chemical validity, chemical stability, or feasibility of synthesis. To do this, Reinforcement Learning from Human Feedback could be used, creating a reward function based on human professional knowledge to finetune the generation model. Transfer learning from models like GPT-4 could also aid in this improvement.

For our molecule editing task, future work could explore evaluating how well MoleculeSTM, our model, and other similar models allow for dynamic adjustment of weighing between emphasizing retaining original molecular features and chemical changes that favor the given prompt. The motivation behind this is that there is likely often the case where a molecule already has many desirable properties and minimal editing is wanted to achieve a prompt. Moreover, a better metric could even look at the ratio of change in the molecule in favor of the prompt to how much chemically

the molecule is actually changed. Our experiments also shed light on the lack of reliable baselines, especially in de novo generation, where there is no gold standard. This poses questions about the veracity and stability of generated molecules and presents the opportunity for better baselines to be created and adopted.

Additionally, the editing task highlights how useful having natural language prompts as input into the model is for powerful biomedical-related tasks. Exploring how to make this editing task more robust, experimenting with the prompts used, and proposing new tasks that also have natural language prompts could lead to more powerful tools that can take advantage of the utility and ease of use of having natural language prompts.

Furthermore, the pretraining technique could be expanded upon in a manner more advanced than just curating a larger or higher-quality dataset. There is also an avenue to explore where a much larger language model such as ChatGPT (Jahan *et al.*, 2023) or LLaMA could be used as an agent concerning biomedical tasks.

Finally, explorations into different molecular encoding methods could see promising results. For example, Transformer-M combines 2D/3D data in a linear fashion, but future works could experiment with non-linear combinations of 2D and 3D data. This could allow for increased expressiveness, allowing the model to better understand the nuances between 2D and 3D representations.

6 Conclusion

MolLM, with its emphasis on including 3D positional data, shows strong results across a variety of downstream tasks including cross-modality matching, property prediction, captioning, and editing. This transformative approach underscores the importance of incorporating a richer molecular representation, highlighting the nuanced interplay between structural and spatial features. Additionally, it shows the important role of incorporating biomedical knowledge via text into this interplay. Our model demonstrates a promising future for utilizing computational methods that incorporate the wealth of existing biomedical literature to advance complex tasks such as drug discovery that require a complex understanding that transcends multiple modalities.

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SMILES	Original	Node Dropping	Random Subwalk	Chemical Transformation	Subgroup Removal
C[N+](C)(C)CC(=O)[O-]					
CC(=O)OC(CC(=O)[O-])C[N+](C)(C)C					
C1=CC(=CC(=C1)O)CO					
CC(=O)OP(=O)(O)O					
CCN1C=NC2=C(N=CN=C21)N					
C[N+](C)(C)CC(CC(=O)O)O					

Fig. 7. Examples of different molecule augmentations. For each molecule, their SMILES string, original molecular graph, and the molecular graph of each of the four augmentations are shown.

7 Appendix

7.1 Property Prediction Head

Below are the details of the prediction head we append to the base molecular encoder within our model to adapt to the property prediction task.

The main motivations behind the prediction head layer involve reducing features to learn the most important features for property prediction in the linear layers, ensuring uniform distribution of outputs with the normalization layers for training stability, and dropout to avoid both underfitting and overfitting (Liu *et al.*, 2023d). We perform a grid search to obtain the final prediction head layer dimensions and hyperparameters.

- Linear layer: 768×512
- 1D Batch Normalization: 512 features
- Dropout: rate of 0.2
- Activation: ReLU
- Linear layer: 512×300
- Activation: ReLU
- Dropout: rate of 0.2
- Linear layer: $300 \times C$, where C represents the number of categories for the specific property prediction task.

7.2 Use of RDKit

We use RDKit to represent molecules from SMILES strings and obtain their graph structure prior to transforming their structures to our specific graph format with PyTorch Geometric. The motivation behind having an upper threshold of RMSD after performing molecular augmentation is to ensure that the augmentation does not result in a molecule that is too semantically different for use in contrastive learning.

7.3 Molecule Augmentation Examples

We utilize 4 different molecule augmentations during our pretraining process: Node Dropping, Random Subwalk, Chemical Transformation, and Subgroup Removal. We choose these augmentations as they edit the molecules in a manner in which we believe the semantic meaning of the molecule is kept but is still augmented enough for the model to be more robust and explore the latent space. A more detailed explanation of each of these processes can be found in section 3.2. Figure 7 depicts 5 examples of how our augmentations would take an original molecule and convert it into their augmented versions. Let's look at a few examples. The first row shows the molecule Betaine. We can see the effect of node dropping, as one of the carbons has been dropped from the nitrogen. The random subwalk has started on the carbon atom which was connected to the oxygen atoms, and walked through the graph. The chemical transformation has added an amine group to one of the carbon atoms. The subgroup removal has left only one subgroup of the Betaine, based on BRICS decomposition. Another molecule we can examine is in the fourth row: acetylphosphate. We can see that node dropping has caused an oxygen to be dropped from the phosphorus atom. The random subwalk has taken a walk of the molecular graph, starting from the oxygen atom that is double-bonded to the phosphorus atom. The chemical transformation has added an amine group to an oxygen atom. The subgraph removal has left us with a random subgroup of the graph, as dictated by BRICS decomposition.

7.4 Training Implementation Details

7.4.1 Cross-modality Matching

We utilize a contrastive loss between the graph representations and text representations for finetuning. See Equation 8 where m is the margin to control how far apart to embeddings of negative pairs should be relative to positive pairs and δ_{ij} is the Kronecker delta function.

$$\sum_{i=1}^{N}\sum_{j=1}^{N}\max\left(0, m + \cos(x_{\text{graph},i}, x_{\text{text},j}) - \delta_{ij}\cos(x_{\text{graph},i}, x_{\text{text},i})\right) \tag{8}$$

Specifically, we utilize $m = 0.2$ throughout all of our finetuning of this task. We finetune for 60 epochs with a batch size of 64 at a learning rate of $5 \cdot 10^{-5}$ for each subtask.

7.4.2 Property Prediction

For the finetuned tasks, which are all classification tasks, we utilize a Binary-Cross Entropy loss. See Equation 9 where N is number of samples, $\hat{y}_i$ is the predicted value, and y_i is the target label.

$$-\frac{1}{N}\sum_{i=1}^{N}\left[y_i \cdot \log(\hat{y}_i) + (1 - y_i) \cdot \log(1 - \hat{y}_i)\right] \tag{9}$$

We finetune for 200 epochs with a batch size of 32 with learning rate 10^{-4}. See Appendix 7.1 for details on the linear prediction head for the classification task.

7.4.3 Molecule Caption

We utilize a Cross-Entropy Loss between the predicted captions and target captions. See Equation 10 for detail on this loss where $p(y_{ij}|x_i)$ is the model's probability for a token given the input concatenated SMILES string embedding and molecule embedding, x_i.

$$-\frac{1}{N}\sum_{i=1}^{N}\sum_{j=1}^{M_i}\log(p(y_{ij}|x_i)) \tag{10}$$

We finetune for 10 epochs with a batch size of 16 with learning rate 10^{-4}.

7.4.4 Molecule Editing

Finally, for the molecule editing task, we do not finetune our model as the task is de novo generation. Instead, we optimize the predicted molecule such that its embedding through MolLM is more aligned with the text prompt's embedding through MolLM. We utilize a Mean Squared Error (MSE) between the predicted molecule embedding and the original molecule embedding to maintain similarity to the original molecule. See Equation 11 for the MSE loss, where z_{pred} is the predicted molecule embedding, z_{orig} is the original molecule embedding, and N is the number of samples.

$$\frac{1}{N}\sum_{i=1}^{N}\left(z_{\text{pred},i} - z_{\text{orig},i}\right)^2 \tag{11}$$

Additionally, we incorporate a Dot Product-based loss to ensure alignment between the predicted molecule's embedding and the text prompt's embedding. See Equation 12 for the Dot Product-based loss, where z_{text} is the text prompt's embedding.

$$-\frac{1}{N}\sum_{i=1}^{N}\left(z_{\text{pred},i} \cdot z_{\text{text},i}\right) \tag{12}$$

This dual approach with a linear combination of these two losses for similarity retention and for alignment to the prompt ensures that the edited molecules are related to the original while being edited in a manner relevant to the prompt.