1 **Title:** Human Heart Failure Alters Mitochondria and Fiber 3D Structure Triggering Metabolic
2 Shifts

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Abstract:

This study, utilizing SBF-SEM, reveals structural alterations in mitochondria and myofibrils in human heart failure (HF). Mitochondria in HF show changes in structure, while myofibrils exhibit increased cross-sectional area and branching. Metabolomic and lipidomic analyses indicate concomitant dysregulation in key pathways. The findings underscore the need for personalized treatments considering individualized structural changes in HF.

Letter Text:

Mitochondria are critical in the heart, providing the energy needed for regular heart function; recent research has identified that mitochondrial dysfunction contributes to heart failure (HF), and understanding characteristics of mitochondrial dysfunction in failing hearts may assist in developing new targets for treatment[1]. In experimental models of HF, mitochondria frequently become swollen and/or fragmented, with disorganized cristae [2]. Alteration in mitochondrial ultrastructure, may affect the efficiency of ATP production, and may account for mitochondrial dysfunction in heart failure[2]. This offers a plausible structural-dependent mechanism by which mitochondrial dysfunction in HF contributes to pathophysiology.

To explore this paradigm, we used a previously established method of serial block face-scanning electron microscopy (SBF-SEM) [3], to perform 3D reconstruction of mitochondria in similarly aged human samples with and without HF (Fig. A). The wide x- and y-plane dimensions of SBF-SEM make it ideal for studying mitochondrial biogenesis, networks, and alterations across regions of the heart [3]. From the left ventricle, intermyofibrillar mitochondria, which are located between myofibrils, were manually segmented and analyzed (Fig. A). Mitochondria in HF had increased volume, surface area, and perimeter, with a tremendous inter-sample variability (Fig. B). This increased size indicates a greater capacity for ATP generation [3]. Further consideration of how mitochondrial complexity alters shows that in HF, mitochondria take much more complex and less spherical phenotypes (Fig. C). Mitochondrial shapes including donut phenotypes and nanotunnels occur with HF, while the majority of control samples are more spherical, although each patient exhibited unique mitochondrial shapes. Thus, mitochondrial structure demonstrates tremendous variability, with certain structures that may be characteristic of HF.

From there, the myofibrillar apparatus was considered per previous techniques [4]. The myofibrillar apparatus is the primary site of ATP utilization in the heart and plays a crucial role in subcellular remodeling including undergoing significant changes at the structural level during the development of HF. Myofibrils in HF had a greater cross-sectional area and reduced circularity per myofibril compared to control myofibrils (Fig. D). The percentage of myofibrils within the field of view of our datasets with at least one branching sarcomere, as well as the frequency of branches (Fig. D) was highest during HF as compared with control. Under control conditions, the myofibrillar apparatus was highly aligned, characterized by a prominent peak at 0° (representing perfect alignment) and another peak at 8° (Fig. D). However, in the HF condition, a greater proportion of myofibrils were observed to be oriented at angles of 0°, 7°, and 45°. Thus,

cardiomyocyte sarcomere branching is a pathological feature and reflects parallel remodeling of
the major ATP-producing and utilizing machinery during heart failure.

From there, we shifted our attention to how these 3D structural rearrangements concomitantly
occur alongside altered metabolomics and lipidomics. As previously established [3], principal
component analysis showed tremendous differences in enriched metabolites upon HF (Fig. E).
Heatmaps show increased expression of numerous metabolites in HF, notably, pathway
enrichment shows upregulation of signaling pathways including aminoacyl-tRNA biosynthesis
and pentose phosphate pathway (PPP) (Fig. E). Notably, the PPP plays a critical role in
modulating oxidative stress and glucose oxidation[5], suggesting dysregulation of it may be
associated with abhorrent mitochondrial function characteristic of HF. Beyond this, riboflavin,
also known as vitamin B2, is a precursor for coenzymes flavin mononucleotide and flavin
adenine dinucleotide, which is important in energy production [6]. Through co-activation of acyl-
CoA, riboflavin may act in a compensatory mechanism, reducing oxidative stress and alliterating
impaired energy production [6]. Lipidomic analysis further shows that although lipid length did not
change, there are differences among individuals with heart failure with upregulation of classes
including acylcarnitines (Fig. F). These have previously arisen as key biomarkers in HF [7].
Together, this illustrates that mitochondrial and fiber structural alterations concurrently occur
alongside altered enrichment pathways, indicating potential mechanisms to restore pathological
structure.

Given that mitochondrial bioenergetics are necessitated in both systolic and diastolic heart
function [1,2], the mechanisms that govern and alter mitochondria in HF cases remain an intriguing
future avenue. Past research has found that in HF, mitochondria exhibit key signs of dysfunction
including decreased ETC activity, changes in ion activity, and altered dynamics [1,2]. Here, we
have also established that varying subpopulations of mitochondria undergo changes in
nanotunnels and mitochondrial structural arrangement, while the wider spatial orientation of
cardiac myofibrils further changes. Our results highlight the importance of consideration of
mitochondria structure in the treatment of HF, which may vary tremendously between
individuals, both dependent and independent of HF status. Broadening physiological
implications of these unique shapes, and how their relative abundance may be affected by protein
quantity and spatial arrangement may offer insight for personalized medicine. While past studies
have looked at general age-dependent mitochondrial structural changes [3], equally important is
the consideration of mitochondrial remodeling that occurs in an age-dependent manner after
challenges including HF. Other types of HF may further display different mitochondrial
organization, metabolism, and lipid distribution which must be further explicated. Consideration
of how mitochondria and myofibril structure change in dependence on pathophysiology, may
offer an avenue for individualized medicine that targets HF through modulation of mitochondrial
structure.

Figure and Legend

119 (**A**) Through existing institutional review board approval (#41148), male human heart failure
120 (HF) dilated cardiomyopathy samples (ejection fraction between 10-21% and ages between 60-
121 63) and controls (ejection fraction between 69-80% and ages between 62-81) were collected
122 (n=4). Serial block face scanning electron microscopy (SBF-SEM) was utilized for manual
123 contour segmentation of mitochondria from orthoslices. (**B**) Representative SBF-SEM orthoslice,
124 3D reconstructions of mitochondria, and isolated mitochondria in control and HF. Mitochondrial
125 volume, surface area, and perimeter are all displayed, with the averages of each sample shown to
126 the left, while the right shows dots representing values of each mitochondrion in samples. (**C**)
127 Mito-otyping, a method of organizing mitochondria on the basis of their volume, shows the
128 relative changes in mitochondria structure in HF samples, which are quantified based on
129 sphericity. (**D**) Raw SBF-SEM image volumes were rotated in 3D to visualize the muscle cell's
130 cross-section. Myofibrillar cross-sectional area (CSA) and circularity were measured by
131 converting the traced structures to binary images and using the Analyze Particles plugin in
132 ImageJ for each slice throughout the volume (control $n = 4$ humans, 6 cells, 133 myofibrils,
133 1118 sarcomeres; HF $n = 3$ humans, 12 cells, 219 myofibrils, 2428 sarcomeres). The
134 distribution data reflects how much of the myofibrillar volume is perfectly aligned ($0°$) versus
135 misaligned (away from $0°$). (**E**) Metabolomic analysis comparing Ischemic Cardiomyopathy
136 (ICM), Non-Ischemic Cardiomyopathy (NICM), and donor samples (n=6) from a mixture of age-
137 matched males and females. Principal component analysis and metabolic heatmap showing the
138 relative abundance of metabolites in control and HF. Enrichment analysis and pathway impact
139 for metabolites enriched in HF. (**F**) Using the same samples, lipidomic analysis compared HF
140 and control, showing differences in lipid classes by sex in heatmaps, lipid class (as shown in
141 volcano plots and box plot), and differences in lipid chain length. For all panels, dot-plots show
142 mean±SEM, and the numbers of independent samples are indicated, ****, $p < 0.0001$; ***, $p <$
143 0.001; **, $p < 0.01$; *, $p < 0.05$, calculated with Student's t-test.

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200 The authors declare that they have no conflict of interest.

202 Data Availability

203   The methods, data, and materials are available upon request.