1 **Title:** Human Heart Failure Alters Mitochondria and Fiber 3D Structure Triggering Metabolic
2 Shifts

3 **Authors:**

4 Zer Vue[*1], Peter T. Ajayi[*2], Kit Neikirk[1], Alexandria C. Murphy[3], Praveena Prasad[3], Brenita C.
5 Jenkins[3], Larry Vang[1], Edgar Garza-Lopez[4], Margaret Mungai[1], Andrea G. Marshall[1], Heather K.
6 Beasley[1], Mason Killion[1], Remi Parker[1], Josephs Anukodem[1], Kory Lavine[5], Olujimi Ajijola[6],
7 Bret C. Mobley[7], Dao-Fu Dai[8], Vernat Exil[9], Annet Kirabo[10], Yan Ru Su[11], Kelsey Tomasek[11],
8 Xiuqi Zhang[11], Celestine Wanjalla[11], David L. Hubert[12], Mark A. Phillips[12], Melanie R.
9 McReynolds[3], Brian Glancy[#2,13], Antentor Hinton, Jr[#1]

10 **Affiliation**:

11 [1] Department of Molecular Physiology and Biophysics, Vanderbilt University, Nashville, TN.

12 [2] Muscle Energetics Laboratory, NHLBI, NIH, Bethesda, MD, 20892, USA.

13 [3] Department of Biochemistry and Molecular Biology, The Huck Institute of the Life Sciences,
14 Pennsylvania State University, State College, PA 16801

15 [4] Department of Internal Medicine, University of Iowa, Iowa City, IA, 52242, USA.

16 [5] Department of Medicine, Washington University School of Medicine, St. Louis, MO, USA.

17 [6] UCLA Cardiac Arrhythmia Center, University of California, Los Angeles, CA, USA.

18 [7] Department of Pathology, Vanderbilt University Medical Center, Nashville, TN, 37232 USA.

19 [8] Department of Pathology, Johns Hopkins University School of Medicine, Baltimore, MD, USA

20 [9] Department of Pediatrics, Div. of Cardiology, St. Louis University School of Medicine, St.
21 Louis, MO, 63104, USA

22 [10] Department of Medicine, Vanderbilt University Medical Center, Nashville, Tennessee, USA.

23 [11] Department of Medicine, Division of Infectious Diseases, Vanderbilt University, Nashville,

24 TN, 37232, USA.

25 [12] Department of Integrative Biology, Oregon State University, Corvallis, OR, 97331, USA

26 [13] NIAMS, NIH, Bethesda, MD, 20892, USA.

27

28

29

*These authors share co-first authorship.

#These authors share senior authorship.

Corresponding Author:

Antentor Hinton

Department of Molecular Physiology and Biophysics
Vanderbilt University
antentor.o.hinton.jr@Vanderbilt.Edu 319-383-3095

Keywords: Mitochondria, myofibril, heart failure, MICOS complex, 3D reconstruction

Abstract:

42   This study, utilizing SBF-SEM, reveals structural alterations in mitochondria and myofibrils in
43   human heart failure (HF). Mitochondria in HF show changes in structure, while myofibrils
44   exhibit increased cross-sectional area and branching. Metabolomic and lipidomic analyses
45   indicate concomitant dysregulation in key pathways. The findings underscore the need for
46   personalized treatments considering individualized structural changes in HF.

Letter Text:

Mitochondria are critical in the heart, providing the energy needed for regular heart function;
recent research has identified that mitochondrial dysfunction contributes to heart failure (HF),
and understanding characteristics of mitochondrial dysfunction in failing hearts may assist in
developing new targets for treatment[1]. In experimental models of HF, mitochondria frequently
become swollen and/or fragmented, with disorganized cristae [2]. Alteration in mitochondrial
ultrastructure, may affect the efficiency of ATP production, and may account for mitochondrial
dysfunction in heart failure[2]. This offers a plausible structural-dependent mechanism by which
mitochondrial dysfunction in HF contributes to pathophysiology.

To explore this paradigm, we used a previously established method of serial block face-scanning
electron microscopy (SBF-SEM) [3], to perform 3D reconstruction of mitochondria in similarly
aged human samples with and without HF (Fig. A). The wide x- and y-plane dimensions of SBF-
SEM make it ideal for studying mitochondrial biogenesis, networks, and alterations across
regions of the heart [3]. From the left ventricle, intermyofibrillar mitochondria, which are located
between myofibrils, were manually segmented and analyzed (Fig. A). Mitochondria in HF had
increased volume, surface area, and perimeter, with a tremendous inter-sample variability (Fig.
B). This increased size indicates a greater capacity for ATP generation [3]. Further consideration of
how mitochondrial complexity alters shows that in HF, mitochondria take much more complex
and less spherical phenotypes (Fig. C). Mitochondrial shapes including donut phenotypes and
nanotunnels occur with HF, while the majority of control samples are more spherical, although

each patient exhibited unique mitochondrial shapes. Thus, mitochondrial structure demonstrates
tremendous variability, with certain structures that may be characteristic of HF.

From there, the myofibrillar apparatus was considered per previous techniques [4]. The
myofibrillar apparatus is the primary site of ATP utilization in the heart and plays a crucial role in
subcellular remodeling including undergoing significant changes at the structural level during the
development of HF. Myofibrils in HF had a greater cross-sectional area and reduced circularity
per myofibril compared to control myofibrils (Fig. D). The percentage of myofibrils within the
field of view of our datasets with at least one branching sarcomere, as well as the frequency of
branches (Fig. D) was highest during HF as compared with control. Under control conditions, the
myofibrillar apparatus was highly aligned, characterized by a prominent peak at $0°$ (representing
perfect alignment) and another peak at $8°$ (Fig. D). However, in the HF condition, a greater
proportion of myofibrils were observed to be oriented at angles of $0°$, $7°$, and $45°$. Thus,
cardiomyocyte sarcomere branching is a pathological feature and reflects parallel remodeling of
the major ATP-producing and utilizing machinery during heart failure.

From there, we shifted our attention to how these 3D structural rearrangements concomitantly
occur alongside altered metabolomics and lipidomics. As previously established [3], principal
component analysis showed tremendous differences in enriched metabolites upon HF (Fig. E).
Heatmaps show increased expression of numerous metabolites in HF, notably, pathway
enrichment shows upregulation of signaling pathways including aminoacyl-tRNA biosynthesis
and pentose phosphate pathway (PPP) (Fig. E). Notably, the PPP plays a critical role in
modulating oxidative stress and glucose oxidation[5], suggesting dysregulation of it may be
associated with abhorrent mitochondrial function characteristic of HF. Beyond this, riboflavin,
also known as vitamin B2, is a precursor for coenzymes flavin mononucleotide and flavin
adenine dinucleotide, which is important in energy production [6]. Through co-activation of acyl-
CoA, riboflavin may act in a compensatory mechanism, reducing oxidative stress and alliterating
impaired energy production [6]. Lipidomic analysis further shows that although lipid length did not
change, there are differences among individuals with heart failure with upregulation of classes
including acylcarnitines (Fig. F). These have previously arisen as key biomarkers in HF [7].
Together, this illustrates that mitochondrial and fiber structural alterations concurrently occur
alongside altered enrichment pathways, indicating potential mechanisms to restore pathological
structure.

Given that mitochondrial bioenergetics are necessitated in both systolic and diastolic heart
function [1,2], the mechanisms that govern and alter mitochondria in HF cases remain an intriguing
future avenue. Past research has found that in HF, mitochondria exhibit key signs of dysfunction
including decreased ETC activity, changes in ion activity, and altered dynamics [1,2]. Here, we
have also established that varying subpopulations of mitochondria undergo changes in
nanotunnels and mitochondrial structural arrangement, while the wider spatial orientation of
cardiac myofibrils further changes. Our results highlight the importance of consideration of
mitochondria structure in the treatment of HF, which may vary tremendously between
individuals, both dependent and independent of HF status. Broadening physiological
implications of these unique shapes, and how their relative abundance may be affected by protein
quantity and spatial arrangement may offer insight for personalized medicine. While past studies
have looked at general age-dependent mitochondrial structural changes [3], equally important is
the consideration of mitochondrial remodeling that occurs in an age-dependent manner after

challenges including HF. Other types of HF may further display different mitochondrial organization, metabolism, and lipid distribution which must be further explicated. Consideration of how mitochondria and myofibril structure change in dependence on pathophysiology, may offer an avenue for individualized medicine that targets HF through modulation of mitochondrial structure.

Figure and Legend

(**A**) Through existing institutional review board approval (#41148), male human heart failure
(HF) dilated cardiomyopathy samples (ejection fraction between 10-21% and ages between 60-
63) and controls (ejection fraction between 69-80% and ages between 62-81) were collected
(n=4). Serial block face scanning electron microscopy (SBF-SEM) was utilized for manual
contour segmentation of mitochondria from orthoslices. (**B**) Representative SBF-SEM orthoslice,
3D reconstructions of mitochondria, and isolated mitochondria in control and HF. Mitochondrial
volume, surface area, and perimeter are all displayed, with the averages of each sample shown to
the left, while the right shows dots representing values of each mitochondrion in samples. (**C**)
Mito-otyping, a method of organizing mitochondria on the basis of their volume, shows the
relative changes in mitochondria structure in HF samples, which are quantified based on
sphericity. (**D**) Raw SBF-SEM image volumes were rotated in 3D to visualize the muscle cell's
cross-section. Myofibrillar cross-sectional area (CSA) and circularity were measured by
converting the traced structures to binary images and using the Analyze Particles plugin in
ImageJ for each slice throughout the volume (control n□=□4 humans, 6 cells, 133 myofibrils,
1118 sarcomeres; HF n□=□3 humans, 12 cells, 219 myofibrils, 2428 sarcomeres). The
distribution data reflects how much of the myofibrillar volume is perfectly aligned (0°) versus
misaligned (away from 0°). (**E**) Metabolomic analysis comparing Ischemic Cardiomyopathy
(ICM), Non-Ischemic Cardiomyopathy (NICM), and donor samples (n=6) from a mixture of age-
matched males and females. Principal component analysis and metabolic heatmap showing the
relative abundance of metabolites in control and HF. Enrichment analysis and pathway impact
for metabolites enriched in HF. (**F**) Using the same samples, lipidomic analysis compared HF
and control, showing differences in lipid classes by sex in heatmaps, lipid class (as shown in
volcano plots and box plot), and differences in lipid chain length. For all panels, dot-plots show
mean±SEM, and the numbers of independent samples are indicated, ****, $p < 0.0001$; ***, $p <$
0.001; **, $p < 0.01$; *, $p < 0.05$, calculated with Student's t-test.

144 References:

1. Brown DA, Perry JB, Allen ME, Sabbah HN, Stauffer BL, Shaikh SR, et al. Mitochondrial
function as a therapeutic target in heart failure. Nat Rev Cardiol 2017;14:238–250.
doi:10.1038/nrcardio.2016.203.
2. Daghistani HM, Rajab BS, Kitmitto A. Three-dimensional electron microscopy techniques for
unravelling mitochondrial dysfunction in heart failure and identification of new
pharmacological targets. British Journal of Pharmacology 2019;176:4340–4359.
doi:10.1111/bph.14499.
3. Vue Z, Garza-Lopez E, Neikirk K, Katti P, Vang L, Beasley H, et al. 3D Reconstruction of
Murine Mitochondria Exhibits Changes in Structure Across Aging Linked to the MICOS
Complex. Aging Cell 2023:2022.03.22.485341. doi:10.1101/2022.03.22.485341.
4. Willingham TB, Kim Y, Lindberg E, Bleck CK, Glancy B. The unified myofibrillar matrix for
force generation in muscle. Nature Communications 2020;11:1–10.
5. Doenst T, Nguyen TD, Abel ED. Cardiac Metabolism in Heart Failure - Implications beyond
ATP production. Circ Res 2013;113:709–724. doi:10.1161/CIRCRESAHA.113.300376.
6. Peng H, Xie M, Zhong X, Su Y, Qin X, Xu Q, et al. Riboflavin ameliorates pathological
cardiac hypertrophy and fibrosis through the activation of short-chain acyl-CoA

dehydrogenase. European Journal of Pharmacology 2023;954:175849.
doi:10.1016/j.ejphar.2023.175849.
7. Hunter WG, Kelly JP, McGarrah RW, Khouri MG, Craig D, Haynes C, et al. Metabolomic
Profiling Identifies Novel Circulating Biomarkers of Mitochondrial Dysfunction Differentially
Elevated in Heart Failure With Preserved Versus Reduced Ejection Fraction: Evidence for
Shared Metabolic Impairments in Clinical Heart Failure. Journal of the American Heart
Association n.d.;5:e003190. doi:10.1161/JAHA.115.003190.

FUNDING

This project was funded by the National Institute of Health (NIH) NIDDK T-32, number
DK007563 entitled Multidisciplinary Training in Molecular Endocrinology to Z.V.; National
Institute of Health (NIH) NIDDK T-32, number DK007563 entitled Multidisciplinary Training in
Molecular Endocrinology to A.C.; Integrated Training in Engineering and Diabetes", Grant
Number T32 DK101003; Burroughs Wellcome Fund Postdoctoral Enrichment Program
#1022355 to D.S.; The UNCF/ Bristol-Myers Squibb (UNCF/BMS)- E.E. Just Postgraduate
Fellowship in Life sciences Fellowship and Burroughs Wellcome Fund/ PDEP #1022376 to
H.K.B.; NSF MCB #2011577I to S.A.M.; NSF EES2112556, NSF EES1817282, NSF
MCB1955975, and CZI Science Diversity Leadership grant number 2022-253614 from the Chan
Zuckerberg Initiative DAF, an advised fund of Silicon Valley Community Foundation to S.D.;
The UNCF/Bristol-Myers Squibb E.E. Just Faculty Fund, Career Award at the Scientific
Interface (CASI Award) from Burroughs Welcome Fund (BWF) ID # 1021868.01, BWF Ad-hoc
Award, NIH Small Research Pilot Subaward to 5R25HL106365-12 from the National Institutes
of Health PRIDE Program, DK020593, Vanderbilt Diabetes and Research Training Center for
DRTC Alzheimer's Disease Pilot & Feasibility Program. CZI Science Diversity Leadership grant
number 2022- 253529 from the Chan Zuckerberg Initiative DAF, an advised fund of Silicon
Valley Community Foundation to A.H.J.; and National Institutes of Health grant HD090061 and
the Department of Veterans Affairs Office of Research award I01 BX005352 (to J.G.). Howard
Hughes Medical Institute Hanna H. Gray Fellows Program Faculty Phase (Grant# GT15655
awarded to M.R.M); and Burroughs Wellcome Fund PDEP Transition to Faculty (Grant#
1022604 awarded to M.R.M). Additional support was provided by the Vanderbilt Institute for
Clinical and Translational Research program supported by the National Center for Research
Resources, Grant UL1 RR024975–01, and the National Center for Advancing Translational
Sciences, Grant 2 UL1 TR000445–06 and the Cell Imaging Shared Resource. Its contents are
solely the responsibility of the authors and do not necessarily represent the official view of the
NIH. The funders had no role in study design, data collection and analysis, decision to publish,
or preparation of the manuscript.

CONFLICT OF INTEREST

The authors declare that they have no conflict of interest.

Data Availability

202   The methods, data, and materials are available upon request.