Title: Breathing in sync: how a social behavior structures respiratory epidemic risk in bottlenose dolphins.

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Abstract

Dolphin morbillivirus has caused mass mortalities in dolphin populations globally. Given their role as ecosystem sentinels, mass mortalities among these populations can be detrimental. Morbillivirus is transmitted through respiratory droplets and occurs when dolphins breathe synchronously, a variable social behavior. To assess the role of variable social behavior on disease risk empirically, we collected behavioral data from two wild bottlenose dolphins populations (*Tursiops* spp.), developed network models that synthesize transmission contacts, and used an epidemiological model to predict disease consequences. We find that juveniles have more contacts than adults, adult males have more contacts than adult females, and that individuals preferentially contact others in their own demographic group. These patterns translate to higher infection risk for juveniles and adult males, which we support using data from a morbillivirus outbreak. Our work characterizes the impact of bottlenose dolphin social dynamics on infectious disease risk and informs the structure of vulnerability for future epizootics.

1.0 Introduction

The world's oceans are changing rapidly from anthropogenic disturbances and human-induced climate change[1,2]. Already, these changes have included major effects on the emergence and spread of infectious diseases. For example, warming ocean temperatures have increased the

growth rates and habitats of pathogens and broadened their repertoire of potential hosts[3,4], and
high levels of shipping traffic and shore runoff have facilitated the emergence of pathogens in
the ocean[5,6]. Facing these threats on the front lines are delphinid species (i.e., dolphins), ocean
predators and sentinel species that are essential for healthy ocean ecosystems[7–9] but among
whom disease and mass mortality have surged in recent decades[1,10]. Specifically, catastrophic
die-offs of dolphins caused by the infectious pathogen dolphin morbillivirus (DMV) are a global
phenomenon[11]. Our work aims to understand the underlying drivers of disease spread in dolphin
populations so that we might be able to more effectively and efficiently estimate infection
outcomes for these essential species[12].
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The most recent mass mortality due to DMV occurred in July of 2013 in bottlenose dolphins
(*Tursiops* spp.) along the US Atlantic coast in which more than 1,650 dolphins were recovered
dead over a two-year period. The bottlenose dolphins in this area of the northwest Atlantic likely
comprise animals from multiple populations[13]. Some of these populations are resident to
restricted areas, but other "coastal" populations exhibit large seasonal migratory movement. The
two coastal bottlenose dolphin populations along the northwestern Atlantic are considered
'depleted' (or under optimal population levels) by the National Marine Fisheries Service because
of disease mortality[13,14], indicating that mass die-offs among dolphin species are detrimental to
their populations and ecosystems. Indeed, it is estimated that the abundance of these coastal
populations declined by more than 40% during the 2013 DMV outbreak, which is now the
deadliest known DMV epizootic[15,16].
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Life history factors are often linked to mortality in DMV epizootics. For example, in a 2007
Mediterranean epizootic a greater proportion of juveniles were found dead compared to adults[17],
suggesting that infection outcomes differ across age classes. Numerous factors may drive
differentiation in infection outcomes across individuals, such as host immunity[18], or
environmental and anthropogenic conditions[19]. What is more poorly understood is the role of
social behavior across demographic groups in structuring infection risk[20,21]. Highly social
cetaceans such as bottlenose dolphins live in fission-fusion societies where members maintain
long-term preferential bonds, but exhibit associations that change in time and space[22,23]. Stable
affiliations include mother-calf bonds[24], and long-term alliances formed among males[25], while
various social phenotypes can be found among juveniles and females[24,26,27]. This stability of
strong long-term bonds coupled with social heterogeneity leads us to hypothesize that social
structure could drive disease variability and severity in bottlenose dolphins and delphinids with
similar social structures.
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In order to study disease transmission and predict epidemic consequences based on social
behavior, it is important to identify the specific behaviors that allow for infection propagation
between an infected and susceptible individual[28]. For example, since DMV is a respiratory
transmitted virus, transmission in cetaceans likely occurs by synchronized breathing, defined as

two or more individuals surfacing and breathing simultaneously (e.g., within two seconds) and in
close proximity (e.g., within two meters). The expired "blow" that is forcefully released from
their blowholes is a combination of mucus, water and air, and is thought to be sufficient for
droplet transmission of respiratory viruses[11]. Synchronized breathing occurs in a variety of
contexts in delphinid species, is important for both socialization and parental care[29–32], and is
expected to be heterogeneous across both demographic (e.g., age, sex)[33], and behavioral states
(e.g., foraging, consorting)[25,34,35].

Contact networks are often used by researchers to capture heterogeneity in transmission
behaviors and to provide a tractable method to represent variation from social and demographic
structure[20,36]. With the contact structure of the population represented in a network model, an
epidemiological modeling approach can be an ethical and economical way to test hypotheses
regarding potential factors that drive disease spread in wildlife and can play a key role in
predicting the risk of epidemics in wild marine populations[37,38]. Few such studies exist for DMV.
In fact the majority of marine mammal disease studies focus on the spread of the similar phocine
distemper virus (PDV) in seals[39–44]. There has been only one study[15] that examines the
transmission dynamics of DMV in the western North Atlantic bottlenose dolphin populations,
which focuses primarily on large-scale spatial dynamics of the epizootic and does not explicitly
consider contact dynamics between individuals. However, this study was able to estimate
important features of DMV including the infectious period (5-10 days) and the basic
reproduction number, R0 (0.9-2.6)[15].

Motivated by the devastating consequences of the 2013 western North Atlantic DMV epizootic
and the urgent need for data-driven work in delphinid populations, we leverage a study of wild
bottlenose dolphins (recently classified as *Tursiops erebennus*[45]) that 1) utilize the region where
the plurality of 2013 DMV deaths occurred (fig. S22), and 2) are currently below optimal
sustainable population levels from disease outbreaks[14]. We hypothesize that infection risk will
vary based on individual differences in synchronized breathing contact among age and sex
classes which could impact population level vulnerability. With this work, we aim to
characterize the impact of dolphin social structure and dynamics on disease risk using empirical
data, and inform future data collection on behavior and disease. A better understanding of
disease transmission in this complex marine species may also allow us to develop strategies that
minimize the detrimental effects of perturbations to this vulnerable population and to the overall
ecosystem.

2.0 Results
Our central hypothesis is that social structure drives disease dynamics in bottlenose dolphins
(*Tursiops* spp.). To assess this empirically, we collected data from a wild dolphin research site in
the Potomac-Chesapeake Bay region, USA (PC). Since it is not feasible to observe complete
contact networks, we collected data and estimated 1) the average number of synchrony contacts

over a DMV infectious period, μ, for the following demographic groups, g: adult males, adult
females and calves, and juveniles (synchrony degree, $k_g(\mu)$). We then estimated the degree of
mixing among these groups. We developed a generative network model to construct realistic
contact networks informed by these empirical data. To predict disease consequences, we used an
epidemiological model on these generated contact networks and examined the epidemic
consequences across age and sex classes [20]. We test the generalizability of our behavioral
findings by comparing to empirical data from a second long-term study of wild bottlenose
dolphins (*Tursiops aduncus*) from Shark Bay, Australia (SB). Finally, to support our disease
models, we compared our results to National Marine Fisheries Service mortality data from the
2013 DMV epidemic in bottlenose dolphins (*Tursiops* spp.) along the western North Atlantic.
For all results, uncertainty is represented by standard deviation. The specifics of our methods are
summarized in Fig. 1, with a more detailed summary in fig. S1.
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Fig. 1: Summary of methods. We first collected data on synchronized breathing behavior on bottlenose dolphins to
estimate how respiratory droplets might transmit disease in cetaceans (Step 1). We then use the data to estimate the
synchronized breathing behavior over the course of a DMV infectious period (Step 2) and use these results to model
a contact network that is representative of synchronized breathing and its variation across individuals (Steps 3-4).
Finally we simulate the spread of disease across this contact network to characterize epidemic outcomes (Step 5).
Photo credit: Potomac Chesapeake Dolphin Project, NMFS Permit No. 19403. Created with BioRender.com
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<u>2.1 Bottlenose dolphin synchronized breathing is structured demographically</u>

We generated estimated degree distributions and demographic mixing matrices for the PC

bottlenose dolphins to represent synchronized breathing contact over a DMV infectious period.

We found that synchrony degree , $k_d(\mu)$, and the variation in degree varies significantly across

demographic groups for a DMV infectious period (F = 1152; d.f. = 2; p <0.001) (Fig. 2A (right)

and 2B). Juveniles had the highest average degree ($k_{juv}(\mu)$= 36.3), followed by adult males

($k_{male}(\mu)$= 30.8), while adult females and calves had the lowest ($k_{fem}(\mu)$= 21.9) . We found

that our estimate of demographic-specific degree structure over an infectious period is also

supported by our empirical measurement of demographic-specific degree (Fig. 2A, left) where

juveniles have a significantly higher observed degree than adult females (p= 0.008), though the

higher degree of males compared to adult females is not statistically significant (p= 0.295).

These dolphins are also significantly more assortative in their synchronized breathing

interactions than what would be expected at random (Newman's assortativity=0.47; z score = -

5.09; p = <0.0001), suggesting that individuals breathe synchronously most often with

individuals of their own demographic (Fig. 2C). Indeed, we saw that our predicted mixing values

and confidence intervals were higher along the diagonal of our mixing matrices (where

assortative mixing is represented) compared to the expected values for all three demographic

groups (fig. S11).

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Fig. 2: The degree distributions and mixing matrices generated from PC data. A (left), shows the average

observed sync degree over the course of an average PC focal follow (n=99) using a GLMM to control for different

variables where points show the raw data, letters indicate significant differences, error bars represent standard error

from the mean. A (right) shows how this observed degree scales up to an average DMV infectious period for

n=1000 estimates for each demographic group using our model from Fig. 1. Letters represent significant differences
based on a one-way ANOVA test and error bars represent standard deviation. We used these data to estimate (B) a
demographic specific degree distribution and (C) a demographic mixing matrix, where each cell represented the
proportion of all observed synchrony dyads that existed between a focal demographic (row) and each demographic
group (columns) (termed proportion of population mixing) for the PC over a DMV infectious period.

For testing generalizability, we compared these results to contact structure in the SB population
and found consistent patterns (F = 1788; d.f. = 2; p <0.001) : adult females and mother calf pairs
had the lowest synchrony degree ($k_{fem}(\mu)$= 6.4) followed by juveniles ($k_{juv}(\mu)$= 10.5) and adult
males ($k_{male}(\mu)$= 12.2) (fig. S9, S10); and synchrony degree was more assortative than expected
at random (Newman's assortativity =0.39; z score = -8.65 ; p = <0.0001) (fig. S12).

<u>2.2 PC dolphins have higher infection risks than SB dolphins</u>

We considered the spread of disease on networks representative of demographic degree and
assortativity for bottlenose dolphins. The average reproductive number, R_0 experienced by a host
population during an outbreak is a function of the population's contact structure and the
transmissibility of the pathogen. We found that that in the PC dolphins $R_0 =$ _1.9_, which is the
average reproductive number observed for past morbillivirus outbreaks in marine mammal
populations, was achieved by a weakly transmissible pathogen with transmissibility, _T = 0.055_.
To achieve the same R_0 in the SB population required a moderately transmissible pathogen of
_T = 0.135_ (fig. S16). With these transmissibility values, we simulated epidemics representative
of DMV spread in dolphin populations. We found that this resulted in about 70% of the total
population infected and with an approximately 70% likelihood of an epidemic occurring after a
random introduction of disease into the population (fig. S17). Additionally, our sensitivity
analysis showed our results are robust to potential errors in demographic group assignment (fig.
S18). For context, population abundance estimates place the 2013-2015 DMV outbreak in certain
Atlantic bottlenose dolphin populations as resulting in a 40% population decline[46], suggesting a
high case fatality rate or substantial indirect loss of life due to the outbreak.

<u>2.3 Infection risk is age- and sex-structured</u>

We simulated the spread of disease on networks representative of demographic degree and
assortativity. We calculated the proportion of each demographic group that was infected across
all networks and simulations for which an epidemic occurred. We found that our models
predicted juveniles to be disproportionately infected in DMV epidemics compared to adults (t =
82.353; df = 42.068; p-value < 0.0001) (Fig. 3A). Within adults, males were predicted to be
significantly more at risk for infection than females (t = 91.13; df = 46.903; p-value < 0.0001)
(Fig. 3D). To compare to epidemic outcomes in the SB population, we performed the same
epidemic simulation experiments, and found highly consistent patterns of transmission (juveniles
more at risk for infection than adults: t = 14.283; df = 32.522; p-value < 0.0001; adult males

more at risk for infection than adult females: t = 161.2; df = 46.6; p-value < 0.0001) (Fig. 3B
and 3E).
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We show support for our results with empirical mortality data from strandings that occurred
during the Atlantic DMV outbreak of 2013-2015. We found that the demographic biases in
infection predicted by our model results were reflected in the observed stranding data with
juveniles stranded at significantly higher rates than adults when controlling for the estimated
population size for each age class (t = 554.43; df = 540.51; p-value < 0.0001) (Fig. 3C and Fig.
S14), and adult males stranded at higher rates than adult females (t = 343.04,;df = 995.92; p-
value < 0.0001) (Fig. 3F and fig. S14).
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Fig. 3: Epidemiological model results compared to the stranding data. The top row shows the model results for
the proportion of each age class infected in the PC (A) and SB (B) based on 100 disease simulations on 25 network

models for each population. (C) shows the estimated proportion of each age class that was recovered during the
2013 DMV epizootic on the Atlantic Coast, derived from the stranding data (n=504 strandings). The bottom row
shows the proportion of each adult sex class that was infected in our models in the PC (D) and SB (E) based on 100
disease simulations on 25 network models for each population. (F) shows the proportion of adults of each sex class
recovered on the Atlantic Coast, derived from the mortality data (n = 242 strandings). Differences were significant
across all comparisons (asterisks) based on a t-test, and uncertainty represents standard deviation.

Thus, our results showed age- and sex-specific biases in infection risk. To better understand
whether this risk bias is structured by demographic-specific degree distributions (the average and
variation in the number of contacts per demographic group) or demographic assortativity (the
tendency of demographic groups to mix more within groups), we ran epidemic experiments on
null models. We found that in a population with only demographic-specific degree structure,
epidemic sizes (the proportion of the total population infected) were significantly lower (PC:
F=8504, d.f. = 2, p<0.0001; SB: F=1025, d.f. =2, p <0.0001) (fig. S19). Importantly, we also
found that with only demographic assortativity present, the demographic biases in infection
outcomes no longer existed (PC: F=2.672, d.f.=2, p= 0.076; SB: F =0.437, d.f =2, p = 0.648)
(fig. S20). This suggests that degree is the most important driver of the demographic-specific
infection risks and assortative mixing drives the overall population infection burden.

2.4 Population infection risks are amplified when disease is introduced by adult males or
juveniles
We examined how population infection burden differs depending on which demographic group
begins the infection in our 25 PC network models. We found that when infection is introduced to
the population by a juvenile, disease burden was higher for both age classes compared to when
infection is introduced by an adult (F = 67.15, d.f =3, p <0.0001) (Fig. 4, left). Similarly, when
the index case is an adult male, we saw that both adult males and adult females had higher
infection burdens than when the index case is an adult female (F =168.3, d.f. =3, p <0.0001)
(Fig. 4, right). This suggests that demographically structured social behavior not only drives
infection risk within demographic groups, but also epidemic risk at the population level.

Fig. 4: The effect of pathogen introduction on epidemic outcomes. The proportion of each age class (left) and adult sex class (right) that is infected in our epidemiological model for the PC, based on in what demographic group the infection began or was introduced (colors) for n=100 disease simulations on 25 PC network models. Uncertainty represents standard deviation.

3.0 Discussion

Our analysis shows that there are significant differences in synchronized breathing contact dynamics across age and sex in bottlenose dolphins. While infection risk can be structured demographically due to a variety of other factors such as environmental conditions or host immunity[47,48], our goal in this work is to present a parsimonious explanation for observed heterogeneity in infection risk based on social behavior only.

Our comparative analysis of two wild bottlenose dolphin studies, one in the heavily impacted waters of an Atlantic Ocean bay[49] and the other in the protected waters of an Indian Ocean bay, highlights that relative infection risk is structured similarly despite notable ecological differences between the two research sites. However, we also demonstrate a substantially higher absolute risk of epidemics occurring in PC dolphins relative to the SB population, an idea supported by the fact that certain populations of the Atlantic bottlenose dolphins have decreased by 40-50% twice in 25 years from morbillivirus[14,46,50], while SB has no recorded mass mortality events from infectious diseases. Our work explains differences in vulnerability based on the fundamental social behavior of synchronized breathing, and quantifies the risk in terms of a higher number of and more homophilic transmission contacts in the PC. The substantial difference in social connectivity can be explained by a number of differences. First, the dolphins in the PC are thought to be a part of at least three different populations of Atlantic bottlenose dolphins[13], meaning there are likely more individuals (~10,000) compared to SB (~2,700)[51]. Indeed, the average group size for SB based on our data was 3.7 compared to 18.7 in the PC. Second, the SB population is a year-round, residential population while the animals in the PC are considered seasonal and migratory[13,52–54]. These factors drive notable changes in the observed activity budgets of individuals, with the SB population spending ~35% of their time foraging (a largely solitary activity[23,55]) and ~22% time traveling [56], while the PC preliminary estimates suggest individuals spend a large majority of their time traveling (a largely social activity) when present at the PC study area (~7 months). While it is possible that activity budgets for PC dolphins might change in other parts of their habitat that we have not observed, we suggest that for at least half the year their contact rates might be elevated due to long times spent traveling. Our comparative approach thus highlights consistencies in demographic contact patterns and disease risk structure despite ecological differences, and generates hypotheses about the role of metapopulation structure and seasonal changes in distribution like migration on population vulnerability to threats.

We also find that there is significant assortativity for synchronized breathing among
demographic groups. This is consistent with past findings[33], and suggests a preference for social
associations within similar age and sex classes. This effect was stronger in the PC than in SB,
which may also contribute to increased infection risk in the former. Increased assortativity by
trait (e.g., age or sex) can increase infection burden in networks if the number of contacts are
also structured by that trait[57]. Indeed, we found that demographic assortative mixing had a
significant impact on epidemic risk in the PC, but less so in SB. It is possible these differences
are due to an observation bias in that PC focal follows were conducted over a shorter period of
time and a different life-history stage compared to SB focal follows. In adults, male-male
synchronized breathing is likely higher during SB breeding seasons when males are consorting
females[25]. Synchronized breathing has also been shown to increase with tourist boat traffic[34,58],
as a potential behavioral response to a threat. If both these trends are also the case in the PC, we
might see higher rates of assortative mixing due to only observing synchrony interactions during
a period of heightened interactions. Future work on the disruptions to contact structure due to
seasonal dynamics or anthropogenic factors could reveal important findings for understanding
population level infection risk over an annual period.
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Our results also highlight that adult females and mother-calf pairs have a lower infection risk
than adult males due to their smaller synchrony degree. Synchronized breathing is important for
social bonding, particularly in bottlenose dolphin populations where male alliances are common,
such as in Shark Bay[25]. In contrast, female associations are considered weaker on average, and
vary distinctively across individuals between being almost solitary to having moderate strength
relationships with other females[24,26,59,60]. We still know very little about the sex specific
behaviors of the dolphins that visit the Potomac-Chesapeake. However, our results show that the
differences in synchrony degree among males and females are similar compared to Shark Bay,
suggesting that these sex specific behaviors may be maintained across populations and different
species of the genus *Tursiops*. Male-biased infection rates due to behavioral differences between
males and females is a phenomena seen in many non-human animal species[12,61,62]. Our past work
has also demonstrated that social behavior puts male bottlenose dolphins at high infection risk
for pathogens of a variety of transmission modes including sexual and skin to skin
transmission[21].
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We observed a difference in infection risk between adults and juveniles, suggesting that
synchrony degree may be highest in the juvenile period. The relationships formed in the juvenile
period are likely critical for survival, forming future social bonds, and reproductive success
for both males and females[63–65]. Previous work on juvenile associations in Shark Bay has shown
that juveniles socialize significantly more than adult females in the same population, and
compared to adults from other bottlenose dolphin populations[27,66]. The higher synchrony degree
seen in juveniles compared to adults in the PC reflect these findings; synchronized breathing may
be most important as bonds begin to form and less important in the maintenance of stable bonds.

The adult/juvenile effect in SB is not as large, which is likely driven by the high adult male synchrony degree observed in this population which has one of the most complex male alliances in the non-human animal world. Male alliance structure and social bonds have not been investigated in the PC dolphins, but our results suggest that while synchronized breathing is still important for social bonding between adult males, it might be even more important in the juvenile period for these individuals.

We found that disease consequences were worse overall when infections originated in juveniles compared to adults, and in adult males compared to adult females. This suggests that while certain demographic groups are at greater risk of infection because of their high synchrony degree, the entire population is also more vulnerable to infection when infections are initiated in these groups. These results demonstrate the importance of considering how different demographic groups might be more susceptible to contracting novel pathogens, as there could be varying impacts at the population level. The emergence of DMV in the Atlantic bottlenose dolphin populations is thought to be from interactions with pilot whales who are asymptomatic carriers of morbillivirus[11]. Other highly pathogenic viruses including avian influenza have also been recently discovered in marine mammals, including bottlenose dolphins, likely from interactions with sea birds[67,68]. Interactions with humans might also affect the emergence and spread of infectious diseases in dolphins. A recent study found evidence for human pathogens present in bottlenose dolphins that have high human contact due to a provisioning program in Shark Bay, Australia[69], suggesting opportunity for a direct pathogen transmission from humans to animals. Understanding variation in interspecies (both human and nonhuman) interactions across demographic groups could provide a better understanding of overall population infection risk for current and emerging infectious diseases.

Disease models have been used in the protection of vulnerable, threatened, populations of ecosystem sentinels worldwide, and our work contributes to such research. Our models use empirical data to predict demographic groups that are most at risk during epidemic outbreaks. These predictions can be used to understand downstream impacts on population dynamics and recovery, as well as for developing potential targeted control strategies for reducing disease burden[40]. The control of disease in dolphins remains a challenge that requires effective and long-term solutions. Candidate vaccines against morbillivirus exist for DMV[70], but the vaccination of free living, obligate marine wildlife, such as dolphins, is fraught with logistical and ethical challenges. Therefore, we recommend focusing on reducing factors that are known to increase disease burden in marine species[19]. Our work can also be expanded to understand how behavior might affect infection risk among overlapping populations of Atlantic dolphins. We suspect that multiple populations of dolphins are seen in the PC study area, but our analysis does not take into account potential differences among them. Future work should therefore examine how this potential heterogeneity in mixing within and among populations might affect the spread of diseases along the US Atlantic Coastline.

4.0 Materials and Methods

4.1 Data collection

To evaluate contact dynamics in bottlenose dolphins, we used behavioral data collected from boat-based observational surveys and focal animal sampling[56]. When dolphins were sighted, we first conducted a survey consisting of a 5-min scan sample to determine group composition (individual identities determined via photo identification (ID) of unique dorsal fins [71]), life history information (Supplemental Appendix 1), and predominant group behavioral activity (see [31] for behavioral activity definitions). To evaluate synchronized breathing, we then conducted focal follows on an individual dolphin or a mother and her dependent calf (considered a single focal animal due to largely overlapping behavior) observed in the initial survey for a predetermined amount of time between 15 minutes and 3 hours. Focal follows are one-minute interval point samples where we record the behavioral activity of the focal animal. The number of behavioral events (e.g., synchronized breathing) were collected continuously throughout the follow for the focal individual, as well as the ID of their contacts. We used survey and focal follow data from two studies on wild bottlenose dolphins: The Potomac-Chesapeake Dolphin Project (*Tursiops erebennus*) and The Shark Bay Dolphin Research Project (*Tursiops aduncus*).

Our primary populations of interest are those studied by the Potomac-Chesapeake Dolphin Project (PC), a long-term study initiated in 2015 containing detailed data on individual life histories and behavior of bottlenose dolphins that inhabit the waters where the plurality of the mortalities occurred during the 2013 DMV epidemic (fig. S22)[16]. These dolphins are thought to be a part of at least three different populations of Atlantic bottlenose dolphins. For the purpose of this analysis we assume that all the Atlantic bottlenose dolphin populations in the study area have similar contact trends across demography. We collected data over an eight year time period between April and October 2015-2022 in Maryland and Virginia waters from the lower tidal Potomac River (southeast of the Governor Harry W. Nice Memorial Bridge, N 38.361595, W 76.997144) to the middle Chesapeake Bay (specifically Ingram Bay N 37.790233, W 76.997144). We collected 99 focal follows on 97 PC dolphins between June and September 2015-2022 with an average follow time of 25 minutes.

For testing generalizability across bottlenose dolphin populations, we also used data from the Shark Bay Dolphin Research Project (SB), a population that has not yet been documented to have experienced a DMV epidemic. This long-term study was initiated in 1982 and contains more than 35 years of detailed data on individual life histories including sex and age[72]. We used 610 focal follows on 132 SB dolphins conducted over an eight year time period between April and December 2010-2017, with an average follow time of 119 minutes.

For the PC, we used a set of rules for assigning age and sex classes to each focal dolphin and
their contacts using sighting records, behavioral data, and photographic data, with high or low
confidence (more information in Supplemental Appendix 1). From these rules, we determined
the following demographic groups: juvenile (n=15), adult female and mother-calf pairs (n=41),
and adult male (n=43). Given our sex assignment rules and juvenile behavior, juveniles are
considered one demographic group instead of two (female juvenile and male juvenile). We also
considered adult females with dependent calves (mother-calf pairs) as one unit for disease
transmission given the close contact between mother and calf. Further, due to our small sample
size for PC of adult females without a dependent calf, we combined mother calf pairs and adult
females into one demographic group to allow for a better balance of sample sizes across groups.
For SB, we use the same demographic group definitions as PC to allow for reliable comparison
(juvenile n=169; adult female and mother calf pair = 416; adult male = 25). Given high quality
genetic data and high confidence birth observations for SB[72], only focals with known
demographic assignments were used, which resulted in 90% of contacts having known
demographic assignments. Given that the SB population is demographically better-documented
than PC, we also used SB data to support our demographic specific PC results. In Supplement
Section 9, we used SB data to demonstrate that our demographic group definitions, which are
constrained by the PC data limitations (i.e., juveniles are not sex differentiated; adult females
with and without calves are grouped), are indeed appropriate. In Supplement Section 7, we
showed that our results are robust to the uncertainty in PC demographic assignments.

<u>4.2 Estimating transmission contact relevant to respiratory infection</u>
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Since DMV is a respiratory-transmitted pathogen, we focused on synchronized breathing
interactions as our measure of contact. Past work in the field of network epidemiology
demonstrates that average degree (the number of unique individuals an average focal individual
has contact with), and degree heterogeneity (the variation among the population in the number of
contacts per individual) are highly predictive of epidemic outcomes[28,73]. Additionally, we know
that increased assortativity by trait (e.g., age or sex), or a tendency to have interactions with
individuals of the same trait, can increase infection burden if the number of contacts are also
structured by that trait[57]. Thus, to estimate disease outcomes among the PC bottlenose dolphins,
we estimated synchrony degree, $k_d(\mu)$ the number of unique individuals a focal animal of
demographic group, d, has synchronous breathing interactions with over an average DMV
infectious period, μ. We also estimated how the contacts of a focal individual are distributed
among the demographic groups, M.
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447   *2.2.1 Estimating demographic-specific synchrony degree*
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For contact to be a measure of the total number of individuals that an infected animal can
transmit to while they are infected, it must be considered on the timescale of the infectious

period of the pathogen. Since our focal follow data only measures synchronized breathing for
short periods of time (average 25 minutes for PC and 119 for SB), it is not representative of total
contact over a relevant infectious period for DMV (5-10 days[15]). To measure relevant synchrony
degree, we must therefore extrapolate our measurements for longer time periods. It is
challenging to extrapolate degree beyond periods of observation as there is fidelity in social
bonding and interactions with the same individual are generally repeated. Based on past work, a
power law relationship exists between degree and the number of interactions (while degree
measures synchronous breathing events with unique individuals, interaction counts measure all
synchronous breathing events) that spans behaviors and can be found across taxa[74]. So, we fit the
following power law relationship to observed data on the number of synchronous breathing
interactions that occur in t days $(n_d(t))$, and synchrony degree per individual over t days $(k_d(t))$
for each demographic group, d:

$$k_d(t) = \alpha n_d(t)^{-\lambda} \qquad [Eq.\ 1]$$

464 We identified the following parameters: $\alpha = 0.587$ and $\lambda = -0.5209$.

466 Then, to empirically estimate the degree of an individual for a DMV infectious period μ, $k_d(\mu)$,
467 we must first estimate the number of synchrony interactions that individuals would have over μ
468 days: $n_d(\mu)$. To estimate $n_d(\mu)$ we first decomposed the social processes required to be:

$$n_d(\mu) = \mu G_d\ S_d r_d \quad [Eq.\ 2]$$

where G_d was the probability that individuals of demographic group d are observed in a group,
S_d was the probability that individuals of demographic group d breathe synchronously while in a
group, r_d was the typical rate of synchronized breathing for demographic group d, and μ was the
infectious period of DMV. Next, using empirical data from each research site (PC and SB), we
used a bootstrapping approach to estimate a distribution for G_d (based on survey data), and fit a
series of generalized linear mixed models to estimate distributions for S_d (based on focal data),
and r_d(based on focal data). We then estimated $n_d(\mu)$ by sampling from these fitted distributions
for an infectious period, $\mu\epsilon[5,10]$ days for n=1000 individuals of each group d.

Using these estimates for $n_d(\mu)$ and the power law fit from Eq. 1, we inferred $k_d(\mu)$ and tested
for statistically significant differences using a one-way ANOVA and Tukey's test. Finally, we fit
each $k_d(\mu)$ mean and standard deviation to a negative binomial distribution as an estimate of the
demographic-specific degree distribution $p(k_d(\mu))$, as this represents both the average degree
and degree heterogeneity for each group d. Full details on 2.2.1 methods can be found in
Supplemental section 3.

Validation: To demonstrate that our estimate of degree by demographic group over an infectious
period $k_d(\mu)$ is supported by data, we compared our $k_d(\mu)$ to our observations of degree during
an average focal follow period[74] show that the (power law) relationship between degree and the

number of interactions is determined by the nature of social behaviors (affiliative versus
antagonistic) and is relatively consistent. Given that our study focuses on a particular (affiliative)
behavior, we assumed that the relationship between number of interactions and degree is stable
for a given demographic group, and that our estimated degree for an infectious period should
reflect similar patterns to the observed degree (PC n=99 follows, SB n=610 follows) over an
average focal follow period (for further details, see Supplement Section 3.5). To control for the
length of the follow, and variation across years and individuals, we ran a generalized linear
mixed model with observed degree as the response variable and a categorical predictor variable
for demographic groups with the length of the follow as a fixed effect, and random effects for
year and dolphin identity.
501
502   *4.2.2 Estimating demographic mixing in synchronous breathing*
503
To evaluate the degree of mixing among demographic groups, we measured demographic
assortativity (the degree to which individuals interact with individuals of their own demographic
group) by creating a mixing matrix based on focal follow data (PC: n=99, SB: n=610). Each
element m_{gh} in M represented the proportion of contacts for a focal individual of demographic
group g that are of demographic group h, across all follows. We standardized each m_{gh} term to
control for differences in the number of individuals within each demographic group and their
estimated $k_d(\mu)$, and then used a bootstrapping approach to estimate confidence intervals for
each term. Finally, we generated a matrix E to represent our expectation for demographic mixing
assuming it occurred at random (for details, see Supplement Section 4). A comparison of M to E
gives us an understanding of which demographic pair is mixing more than expected. We also
calculated the assortativity coefficients for M according to Newman's definition [75]. To test if
dolphins are more assortative than expected at random, we transformed the assortativity
coefficients into z scores and tested for statistical significance.
517
518   <u>4.3 Network Generation</u>
519
Informed by our estimates of demographic-specific synchrony contact structure , we constructed
contact network models that represent synchronous breathing contacts unique to each study site.
A network was characterized by nodes representing individual dolphins (or mother-calf pairs),
and edges representing the presence of synchronized breathing contact between a pair of
individuals. Based on the demographic-specific synchrony degree distributions $\left(p\big(k_d(\mu)\big) \right)$,
synchrony demographic mixing matrix (M), and demographic distribution of the population
(specified in Supplement Section 2), we developed a network generating algorithm to create
synthetic networks representing the contact structure of bottlenose dolphins. Networks of any
size can be created with our algorithm, but we specified networks of 2000 nodes (individuals) in
order to reflect reasonable estimates of the number of individuals sighted in the PC and SB [51].
The synthetic networks were random in all other properties besides those specified (degree

distribution by demographic group, and mixing patterns between and among groups). This
feature is critical as it allows us to study the implications of the specified structure in a
systematic way. We generated 25 networks to capture variability in contact dynamics.
534
535 <u>4.4 Modeling disease transmission in bottlenose dolphins</u>
536
To model the spread of DMV across our generated network models, we performed numerical
simulations of a susceptible-infected-recovered percolation model[75]. For each network, we
simulated 100 disease outbreaks where infection started in each demographic group, and
classified large-scale epidemics as those where at least 10% of the network is infected. The
average R0 for morbilliviruses across marine mammals is 1.9 [15,41,76]. We evaluated disease
outcomes by calculating the 1) epidemic size (proportion of network infected in an epidemic),
and 2) demographic risk (proportion of each demographic group infected in an epidemic) across
all simulations regardless of the demographic group of the originally infected node. We tested
for statistically significant differences between age and sex class infection risks using one-tailed
t-tests. We showed that the variability of epidemic outcomes is not different within one network,
compared to across all 25 generated networks to demonstrate that we generated enough networks
to capture potential contact variability (see fig. S15).
549
4.4.1 Validation from empirical disease data
To demonstrate that our model results are supported by observed disease data, we obtained
mortality data available for bottlenose dolphins that washed ashore or were found floating,
defined as "stranded" from 2010-2015 from the US National Marine Fisheries Service. The
DMV epidemic spanned 21 months (1-Jul-13 to 1-Mar-15). Because not all recorded cases of
strandings during this time period (n=1,263 strandings) would be due to DMV, we defined
excess mortality by removing typical "background" mortality for each demographic group (such
as from natural mortality or human interactions) [15,77]. The resulting data therefore represented the
number of recovered mortalities that occurred due to DMV along the Atlantic coast, which we
assumed is proportional to the number of individuals infected during the epidemic (n=504
strandings). To make these data comparable to our model results, we standardized the number of
deaths for each demographic class to be an estimated proportion of recovered epidemic mortality
for that age or sex class for Atlantic dolphins (See Supplement Section 5 for more details this
methodology). We tested for statistically significant differences between age and sex class
strandings using a one-tailed t-test. These methods assume that the effort to collect dolphin
stranding data did not change from before the outbreak to during the outbreak. While this
assumption may not be true, we did not find any evidence to suggest that effort would affect the
demographic trends of strandings so we suggest this assumption is valid for our purposes.
568
569 *4.4.2 Demographic-specific disease introduction*

We were also interested in how epidemic outcomes change based on where in the network the pathogen is introduced. While DMV is not a reverse zoonotic pathogen, there are instances in which wild dolphins have direct contact with humans that could allow for emergence of other respiratory transmitted pathogens in dolphins, such as through human associated foraging and in swim with dolphin programs [78–80]. There has also been observed variation in how frequently different demographics partake in human interactions. For example, studies have found that juvenile bottlenose dolphins are more likely to come into close contact with humans participating in wild swim with dolphin tourism [81]. Further, adult male cetaceans in certain populations are more likely to interact with humans by depredating recreational fishing lines [82] and food provisioning [83]. Thus, we assessed the impact of disease introductions in particular demographic groups on disease risk. Because disease introductions are known to affect the likelihood of an epidemic occurring, or epidemic probability, rather than epidemic size, we considered a product of epidemic probability and demographic risk and tested for differences between scenarios using a one-way ANOVA and Tukey's test.

4.4.3 Structural analysis

To examine which structural feature of the contact network is most predictive of demographic specific risk, we defined two null networks: one in which demographic-specific degree distributions were random and homogeneous across demographic groups but demographic mixing was still empirically-informed; and another in which demographic mixing was random, but demographic-specific degree distributions were retained as empirically-informed. We compared disease consequences of our empirically-informed networks against these two null models (see Supplement Section 8 for details) using a one-way ANOVA and Tukey's test.

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