Knockdown of PHOX2B in the retrotrapezoid nucleus reduces the central CO$_2$ chemoreflex in

rats.

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ABSTRACT

PHOX2B is a transcription factor essential for the development of different classes of neurons in

the central and peripheral nervous system. Heterozygous mutations in the PHOX2B coding region

are responsible for the occurrence of Congenital Central Hypoventilation Syndrome (CCHS), a rare

neurological disorder characterised by inadequate chemosensitivity and life-threatening sleep-

related hypoventilation. Animal studies suggest that chemoreflex defects are caused in part by the

improper development or function of PHOX2B expressing neurons in the retrotrapezoid nucleus

(RTN), a central hub for CO_2 chemosensitivity.

Although the function of PHOX2B in rodents during development is well established, its role in the

adult respiratory network remains unknown. In this study, we investigated whether reduction in

PHOX2B expression in chemosensitive neuromedin-B (NMB) expressing neurons in the RTN altered

respiratory function. Four weeks following local RTN injection of a lentiviral vector expressing the

short hairpin RNA (shRNA) targeting *Phox2b* mRNA, a reduction of PHOX2B expression was

observed in *Nmb* neurons compared to both naïve rats and rats injected with the non-target

shRNA. PHOX2B knockdown did not affect breathing in room air or under hypoxia, but ventilation

was significantly impaired during hypercapnia. PHOX2B knockdown did not alter *Nmb* expression

but it was associated with reduced the expression of both *Task2* and *Gpr4*, two CO_2 sensors in the

RTN. We conclude that PHOX2B in the adult brain has an important role in CO_2 chemoreception

and reduced PHOX2B expression in CCHS beyond the developmental period may contribute to the

impaired central chemoreflex function.

INTRODUCTION

The Paired Like Homeobox 2B (PHOX2B) is a transcription factor essential for embryonic differentiation and for the maintenance of the neuronal phenotype of different classes of neurons in both the central and peripheral nervous systems (Brunet & Pattyn, 2002; Pattyn et al., 1997, 1999, 2000; Stanke et al., 1999; Tiveron et al., 1996). In recent years, the PHOX2B gene has been extensively investigated, not only for its neurodevelopmental role, but also because its heterozygous mutation leads to Congenital Central Hypoventilation Syndrome (CCHS, OMIM 209880; Amiel et al., 2003), Hirschsprung's disease (HSCR; OMIM 142623; Berry-Kravis et al., 2006; Trochet et al., 2005), and neuroblastoma (Bourdeaut et al., 2005; Van Limpt et al., 2004). CCHS is a rare (1:200,000 births in France (Trang et al., 2005) and 1:148,000 births in Japan (Shimokaze et al., 2015) disorder characterised by a failure to respond to both hypercapnia and hypoxia (Amiel et al., 2003; Di Lascio et al., 2021; Weese-Mayer et al., 2017). The most frequent PHOX2B mutation is an expansion of a polyalanine repeat, ranging from +5 to +13 residues on the C-terminal of PHOX2B, an area that regulates DNA binding affinity and protein solubility (Amiel et al., 2003; Di Lascio et al., 2016; Weese-Mayer et al., 2003). CCHS symptoms present with varying degrees of severity depending on the expansion of the mutation (Berry-Kravis et al., 2006; Di Lascio et al., 2018; Matera, 2004). In most mild cases, patients display normal ventilation during wakefulness, hypoventilation during sleep leading to hypercarbia and hypoxemia, as well as a lack of sensitivity and discomfort to these challenges. In the most severe of cases, hypoventilation may also be present while awake.

Respiratory disturbances resulting from the PHOX2B mutations appear to stem from its widespread expression in respiratory-related neurons both during development and into adulthood. Although PHOX2B is absent in respiratory rhythmogenic neurons of the preBötzinger

complex, it is highly expressed in adult neurons that are responsible for CO_2 and O_2 chemoreflexes

(Kang et al., 2007). Among those are the neurons of the retrotrapezoid nucleus (RTN), the locus

coeruleus, the carotid bodies and the nucleus of the solitary tract that, together with the dorsal

motor nucleus of the vagus nerve and the area postrema, make up to the dorsal vagal complex, a

structure that provides the major integrative centre for the mammalian autonomic nervous

system (Cutsforth-Gregory & Benarroch, 2017; Guyenet et al., 2019; Kang et al., 2007; Zoccal et al.,

2014). Previous work by our group and others specifically investigated the role of PHOX2B-

expressing RTN neurons by either selective lesions or inactivation of neuronal activity of these

neurons (Abbott et al., 2011; Basting et al., 2015; Marina et al., 2010; Nattie & Li, 1994; Souza et

al., 2018, 2023; Janes et al., 2024) and showed that RTN neurons have a key role in central CO_2

chemoreception.

Defects observed in the CO_2-chemoreflex of CCHS transgenic rodent models are primarily caused

by the improper development or function of PHOX2B-expressing neurons in the RTN (Dubreuil et

al., 2008; Ramanantsoa et al., 2011), although anatomo-pathological studies in CCHS patients

suggest that the respiratory impairment may be caused by a more widespread brain dysfunction

(Harper et al., 2015; Nobuta et al., 2015). Transgenic mice born with PHOX2B knockout fail to

develop the RTN, the locus coeruleus (Pattyn et al., 1999) and catecholaminergic groups in A1, A2,

A5 and A7 (Pattyn et al., 2000), as well as neurons of the nucleus of the solitary tract and the

carotid bodies. Mice harbouring heterozygous PHOX2B knockout develop apparently normal but

have depressed responses to hypoxia and hypercapnia (Dauger, 2003) and sleep disordered

breathing in the perinatal period (Durand et al., 2005). Furthermore, the expression of the most

common heterozygous PHOX2B mutation found in CCHS patients (PHOX2B +7Ala expansion) in

mice results in a near complete loss of RTN neurons, impaired CO_2 response and consequent death

in the newborn period (Dubreuil et al., 2008; Madani et al., 2021; Ramanantsoa et al., 2011;

Takakura et al., 2008). More selective expression of the mutant PHOX2B in the RTN neurons

allowed for survival in the neonatal period and partial recovery of the CO_2 response in adulthood

(Ramanantsoa et al., 2011).

Multiple potential cellular mechanisms have been implicated in the aetiology of CCHS, from loss of

PHOX2B function in development due to heterozygous mutant PHOX2B expression, to gain of

function of the mutated protein that may alter transcriptional activity in neurons, as well as

aggregate formation and premature cell death (Bachetti et al., 2005; Di Lascio et al., 2013;

Dubreuil et al., 2008; Durand et al., 2005; Goridis et al., 2010; Trochet et al., 2005). While its role

during embryonic neurodevelopment is established, PHOX2B is still expressed in selected neuronal

populations in the adult brain (Kang et al., 2007; Stornetta et al., 2006) and its function, with the

exception of the contribution to the maintenance of the noradrenergic phenotype (Fan et al.,

2011), is not yet fully understood. Considering the fundamental role of PHOX2B in CCHS

pathogenesis and its expression in key structures for CO_2 chemoreflex responses, it is essential to

understand how both wild-type and mutant PHOX2B proteins impact CO_2 homeostasis and

ventilation not only across development, but also in adulthood.

In order to have a better understanding of the role of PHOX2B in the CO_2 homeostatic processes

we used a non-replicating lentivirus vector of two short-hairpin RNA (shRNA) clones targeting

selectively *Phox2b* mRNA to knockdown the expression of PHOX2B in the RTN of adult rats and

tested ventilation and chemoreflex responses. In parallel, we also determined whether

knockdown of PHOX2B in adult *Nmb* neurons of the RTN negatively affected their cell survival.

Finally, we sought to provide a mechanistic link between PHOX2B expression and the

chemosensitive properties of *Nmb* neurons of the RTN, which have been attributed to two proton

sensors, the proton-activated G protein-coupled receptor (GPR4) and the proton-modulated

potassium channel (TASK-2) (Gestreau et al., 2010; Guyenet et al., 2016; Kumar et al., 2015). The

expression of these sensors is partially overlapping in *Nmb* neurons of the RTN and the genetic

deletion of either one impairs the central respiratory chemoreflex with maximal impairment when

both sensors are eliminated (Gestreau et al., 2010; Guyenet et al., 2016; Kumar et al., 2015).

Our results indicate that progressive knockdown of PHOX2B in RTN causes a reduction in the

chemoreflex response that was proportional to the decrease in the fraction of *Nmb*/PHOX2B

expressing RTN neurons. This effect was associated with a reduction in the expression of *Gpr4* and

Task2 mRNA in *Nmb* expressing cells of the RTN in which PHOX2B was knocked down, suggesting a

role for PHOX2B in the transcription of the proton sensors in RTN neurons.

RESULTS

To directly investigate the role of PHOX2B in RTN neurons, we performed selective knockdown of

this protein in adult rats through local injection of a non-replicating lentiviral vector of two short-

hairpin RNA (shRNA) clones targeting two different sequences of the *Phox2b* mRNA (n=25) or non-

target shRNA as control (NT-shRNA; n=23). Initial experiments utilized 200 nl/injection at each of 4

stereotaxic coordinates (1×10^9 VP/ml; n=14). Four weeks post injection, we assessed ventilatory

parameters in room air, hypercapnia, and hypoxia. Interestingly, a small but significant impairment

in the CO_2 chemoreflex was observed not only in PHOX2B-shRNA but also in NT-shRNA rats

compared to pre-surgical baseline (allometric V_E in 7% CO_2: -21% and -24%, respectively; Supp. Fig.

1A). Because histological analysis also showed significant reduction of Nmb^+/PHOX2B$^+$ RTN cells in

NT-shRNA and PHOX2B-shRNA rats (33% and 19% cells remaining, respectively; Suppl. Fig. 1), we

concluded that the volume and titre of the shRNA viral constructs triggered off-target effects

(Mcbride et al., 2008; Van Gestel et al., 2014) that cause cell death in RTN neurons.

PHOX2B expression is modestly reduced two weeks following infection without respiratory

impairment.

Due to the off-target effects obtained with large volume injections, we reduced injection volume

of both PHOX2B shRNA (n=17) and NT-shRNA viruses (n=17) (4x100nl/injection; $1x10^9$ VP/ml).

Two weeks post-surgery we assessed respiratory function during exposure to room air,

hypercapnia and hypoxia. Overall, we observed little change in respiration at this time point.

During room air, as well as in 5% and 7.2% CO_2, frequency (f_R), was reduced in every experimental

group (naïve, NT-shRNA, PHOX2B-shRNA) compared to baseline (Air: p=0.014; 5% CO_2: p< 0.001;

7.2% CO_2 p< 0.001; Fig. 1A) but no effect on treatment was observed, suggesting that these

changes were likely due to the increase in age and body weight during the long-term experiment

(naïve: +32.2%; NT-shRNA: +27.8%; PHOX2B-shRNA: +28.6% change in body weight). Tidal volume

was reduced in the PHOX2B-shRNA rats at 7.2% CO_2 compared to both naïve rats (naive: 9.6 ± 1.2

ml·kg^{-1}; PHOX2B-shRNA: 8.5 ± 0.9 ml·kg^{-1}; -11%; p= 0.022) and baseline pre-surgery conditions

(baseline: 9.6 ± 1.3 ml·kg^{-1}; -11%; p< 0.001; Fig. 1B) but it was not different from NT-shRNA.

Despite similar changes in body weight across treatment groups, allometric ventilation (V_E ALLO)

was higher in naïve rats compared to the other treatment groups in room air (naïve: 38.4 ± 4.5

ml·min^{-1}; NT-shRNA: 34.7 ± 2.8 ml·min^{-1}; PHOX2B-shRNA: 34.0 ± 4.5 ml·min^{-1}; p=0.005; Fig. 1C), but

no changes in V_E ALLO were observed in hypercapnia (7.2% CO_2) across treatments (naïve : 91.5 ±

13.2 ml·min^{-1}; NT-shRNA: 84.4 ± 13.7 ml·min^{-1}; PHOX2B-shRNA: 80.5 ± 17.2 ml·min^{-1}). Oxygen

consumption (VO_2 ALLO) was not different between experimental conditions or treatment groups

(Fig. 1D), and V_E/VO_2 ALLO indicated that ventilation was matched with metabolism for each

treatment across all gas compositions (naïve: 7.7 ± 1.3; NT-shRNA: 7.2 ± 1.3; PHOX2B-shRNA: 6.9 ±

2.0; Fig. 1E). These results suggest that despite a reduction in hypercapnic V_T in the PHOX2B-

shRNA rats, overall ventilation was not impaired. Since we observed differences in room air V_E

ALLO between treatment groups, we normalized these data to show the hypercapnic ventilatory

response (HCVR, i.e., absolute change in V_E from room air; Fig. 1F). The HCVR was also not affected

by the PHOX2B shRNA treatment two weeks post-injection (naïve: 53.1 ± 13.4 ml·min^{-1}; NT-shRNA:

49.6 ± 13.2 ml·min^{-1}; PHOX2B-shRNA: 46.5 ± 14.8 ml·min^{-1}).

To determine the extent of PHOX2B knockdown in RTN neurons we combined RNAScope$^{®}$ and

immunohistochemistry assays to quantify RTN neurons expressing *Nmb* and PHOX2B (Fig. 2B). We

reasoned that by assessing the fraction of RTN neurons that express only *Nmb* (compared to

Nmb$^+$/PHOX2B$^+$), we would be able to determine the level of PHOX2B knockdown in our

experiments. Two weeks post viral injection, we observed a small decrease (15.2%) in the total

number of *Nmb* RTN neurons (i.e., *Nmb*$^+$/PHOX2B$^+$ plus *Nmb*$^+$/ PHOX2B$^-$) in NT-shRNA rats

compared to naïve rats (naïve; 337.6 ± 11.95; n=4; NT-shRNA: 286.0 ± 10.44; n=4; p<0.001; Fig.

2C). The total number of *Nmb* cells of the RTN in PHOX2B-shRNA rats was further reduced

compared to both naïve rats (PHOX2B-ShRNA 218.8 ± 7.8 n=4; -35.2%; p<0.001) and to NT-shRNA

(-23.5%; p<0.001).

We then quantified the number of neurons in the RTN expressing either *Nmb* and PHOX2B

(*Nmb*$^+$/PHOX2B$^+$) or *Nmb* only (*Nmb*$^+$/PHOX2B$^-$). The number of *Nmb*$^+$/PHOX2B$^+$ neurons in

PHOX2B-shRNA rat was reduced by 36.1 % compared to NT-shRNA and by 46.2% compared to

naïve rats (*Nmb*$^+$/PHOX2B$^+$ NT-shRNA: 232.3 ± 11.6 vs naïve: 275.8 ± 17.1; vs PHOX2B-shRNA:

148.3 ± 12.6; p<0.05 and 0.001, respectively; Fig. 2C,D). On the contrary, the fraction of

Nmb$^+$/PHOX2B$^-$ neurons in PHOX2B-shRNA rat was not different compared to naïve and NT-shRNA

rats (Nmb^+/PHOX2B$^-$ NT-shRNA: 53.7 +- 8.6; vs naïve: 61.8 +-13.9; vs PHOX2B-shRNA: 70.5 +- 8.7

cells; Fig. 2C,E). These results thus indicate that despite modest loss of Nmb^+ neurons in NT-shRNA

and a reduction in the proportion of Nmb neurons expressing PHOX2B in PHOX2B-shRNA rats, 2

weeks of PHOX2B shRNA viral infection was not sufficient to impair ventilation.

Four weeks of PHOX2B knockdown impairs the CO_2-chemoreflex.

Since the shRNAs integrate into the genome of the infected cells and are continuously produced, it

is reasonable to expect that knockdown efficiency is time-dependent, and an extended infection

time would result in a higher knockdown effect. To test this hypothesis, a subgroup of rats (naïve,

NT-shRNA and PHOX2B-shRNA) were investigated four weeks post-viral injection (Fig. 3A,B).

The total number of Nmb neurons in the RTN of PHOX2B-shRNA rats (Nmb^+/PHOX2B$^+$ plus

Nmb^+/PHOX2B$^-$; Fig. 3C) was significantly reduced compared to naïve rats (naïve: 347.8 ± 12 cells,

n=4; PHOX2B-shRNA: 249.5 ± 33.9 cells; n=6; -28.3%; p=0.009) but no difference was observed

compared to NT-shRNA rats (294.9 ± 52.8 cells; n=10; -15.4%;). Furthermore, there was no

difference in the total number of Nmb cells in RTN between 2 and 4 weeks post infection for both

NT-shRNA (week 2: 286 ± 10.4; week 4: 294.9 ± 52.8, p=0.783) and PHOX2B-shRNA rats (week 2:

218.8 ± 7.8; week 4: 249.5 ± 33,9, p=0.118), suggesting that the progressive PHOX2B knockdown

was specific for PHOX2B-shRNA treatment and it was not accompanied by an increased cell death

beyond the first 2 weeks.

The number of Nmb^+/PHOX2B$^+$ cells in the RTN was significantly reduced in PHOX2B-shRNA rats by

67.0% and 60.7% compared to naïve and NT-shRNA rats, respectively (naïve: 284.5 ± 19.8; NT-

shRNA: 238.7 ± 56; PHOX2B-shRNA: 93.8± 11.9 cells, p<0.001; Fig. 3D). Moreover, a significant

increase in the fraction of Nmb^+/PHOX2B$^-$ was observed with PHOX2B knockdown (PHOX2B-

shRNA: 155.7 ± 22.7; naïve: 63.2 ± 12cells; + 146.1% increase vs naïve; NT-shRNA: 56.2 ± 13.8cells;

+ 177% increase vs NT-shRNA, p<0.001; Fig. 3E), confirming the efficiency of the PHOX2B

knockdown in the *Nmb* cells of the RTN.

The respiratory function prior to histological examination (4 weeks post-viral injection) showed

significant differences in PHOX2B-shRNA rats (n=6) compared to both pre-surgery baseline, naïve

(n=8) and NT-shRNA rats (n=10). Similar to what we reported at 2 weeks post-surgery, f_R at 4

weeks was lower in every treatment group compared to baseline recordings (Air: p=0.001; 5% CO_2:

p< 0.001; 7.2% CO_2 p< 0.001; Fig. 4A).

During room air, V_T was reduced in naïve rats compared to baseline (baseline: 5.9 ± 0.5 ml·kg^{-1};

week 4: 5.2 ± 0.6 ml·kg$^{-1;}$ -12%; p=0.001; Fig. 4B). Moreover, V_T in PHOX2B-shRNA rats was reduced

compared to NT-shRNA (NT-shRNA: 5.6 ± 0.4 ml·kg^{-1}; PHOX2B-shRNA: 5.3 ± 0.4 ml·kg^{-1}; -10%;

p=0.043) and to the pre-surgery baseline (6.1 ± 0.7 ml·kg^{-1}; -18%; p=0.002). No significant changes

were observed at 5% CO_2, although a decrease in V_T occurred at 7.2% CO_2 in PHOX2B-shRNA rats

compared to pre-surgery baseline (baseline: 7.7 ± 1.2 ml·kg^{-1}; PHOX2B-shRNA: 6.7 ± 0.6 ml·kg^{-1}; -

31%; p<0.001), naïve rats (7.9 ± 0.9 ml·kg^{-1}; -26%; p<0.001), and NT-shRNA rats (8.1 ± 0.7 ml·kg^{-1}; -

23%; p=0.002).

Decreased V_T observed for PHOX2B-shRNA led to a ventilation (V_E ALLO) impairment during

exposure to hypercapnia (7.2% CO_2, PHOX2B-shRNA vs. NT-shRNA: -17%; p=0.021; PHOX2B-shRNA

vs. naïve; -21%; p=0.007; PHOX2B-shRNA vs. baseline, -18% p=0.002; Fig. 4C). Since O_2-

consumption (VO_2 ALLO) did not change (data not shown) a significant reduction in V_E/VO_2 ALLO

confirmed alveolar hypoventilation in PHOX2B-shRNA animals compared to NT-shRNA rats both at

5% (NT-shRNA: 5.8 ± 0.5; PHOX2B-shRNA: 4.8 ± 0.4; -16%; p=0.023) and 7.2% CO_2 (NT-shRNA: 7.9 ±

1.2; PHOX2B-shRNA: 6.1 ± 0.8; -24%; p=0.004; Fig. 4D). Moreover, the HCVR (Fig. 4E) at 7.2% CO_2

was lower in PHOX2B-shRNA (40.3 ± 9.4 ml·min^{-1}) rats compared to the pre-surgery baseline (58.1

± 8.9 ml·min^{-1}; -31%; p=0.015), naïve rats (63.6 ± 14.3 ml·min^{-1}; -37%; p=0.008) and NT-shRNA rats

(56.0 ± 10.4 ml·min^{-1}; -28%; p=0.016). Analysis of individual rats in each treatment group over

time showed that the HCVR was only consistently impaired in PHOX2B-shRNA rats between weeks

2 and 4 (Fig. 1F; p=0.007).

Given that previous studies have proposed a role for the RTN in the hypoxic chemoreflex (Barna et

al., 2016; Basting et al., 2015; Gourine et al., 2005; Wickström et al., 2004), and we observed

impairment of the CO_2-chemoreflex at 4 weeks post-infection, we investigated whether PHOX2B

knockdown would also affect respiratory response to hypoxia (10% O_2). The 4 week V_E ALLO was

increased relative to baseline values in naïve (baseline: 62.3 ± 5.2 ml·min^{-1}; naïve: 71.0 ± 10.1

ml·min^{-1}; +14%), NT-shRNA (baseline: 71.8 ± 11.1 ml·min^{-1}; NT-shRNA: 75.8 ± 12.8 ml·min^{-1}; +6%)

and PHOX2B-shRNA rats (baseline: 65.9 ± 9.8 ml·min^{-1}; PHOX2B-shRNA: 79.3 ± 6.4 ml·min^{-1}; +29%;

p<0.001). However, analysis of the convective requirement ratio (V_E/VO_2 ALLO) indicates that

changes in hypoxic ventilation were due to metabolic adjustments that occurred in all treatment

groups (VE/VO_2 ALLO naive: 6.5 ± 1.3; NT-shRNA: 6.7 ± 1.7; PHOX2B-shRNA: 6.6 ± 0.6), suggesting

that the hypoxic ventilatory response was not affected by PHOX2B knockdown.

PHOX2B knockdown does not extend to C1 catecholaminergic neurons and does not affect

mRNA expression of *Nmb* in RTN neurons

To exclude that the observed chemoreflex impairment was due to unintended knockdown of

PHOX2B in adjacent areas of the brain, we quantified the total number of TH$^+$/PHOX2B$^+$

catecholaminergic C1 neurons in PHOX2B-shRNA rats compared to naïve and NT-shRNA (Fig.

5A,B). No differences were observed in either the TH$^+$ cell numbers or in the TH$^+$/PHOX2B$^+$

neurons between the 3 treatment groups (TH$^+$/PHOX2B$^+$ cells: naïve: 378.3 ± 23.81; NT-shRNA:

391.7 ± 33.08; PHOX2B-shRNA: 370.2 ± 33.04 cells) (Fig. 5B).

Neuromedin B is currently considered the most selective anatomical marker for chemosensitive

RTN neurons (Shi et al., 2017) and was used in this study to identify RTN location, cell numbers

and relative PHOX2B expression. Since changes in the level of the transcription factor PHOX2B

could regulate the expression of its target genes, we investigated whether Nmb is a target gene of

PHOX2B and it is affected by PHOX2B knockdown. We assessed Nmb expression in individual RTN

cells of PHOX2B-shRNA rats and compared its cellular expression to both naïve and NT-shRNA rats

(Fig 5B). Neuromedin B mRNA expression was calculated as total fluorescence intensity (CTCF)

ratio in single cells of the RTN relative to the single cell Nmb expression measured at the level of

NTS Nmb cells, which served as an internal control (Fig. 5D). We observed no changes in relative

fluorescence of Nmb in RTN neurons across the 3 groups (p=0.608), suggesting that Nmb levels in

RTN neurons are not affected by PHOX2B knockdown.

To better analyse the effect of PHOX2B knockdown on the attenuation of the HCVR, we evaluated

the correlation between the number of Nmb^+/PHOX2B$^+$ or Nmb$^+$/PHOX2B$^-$ cells in the RTN and the

resulting HCVR using linear regression (Fig. 5E,F). Our results indicate that there is a significant

correlation between HCVR and number of Nmb$^+$/PHOX2B$^+$ ($r^2 = 0.739$ p<0.001; Fig. 5E), and

Nmb$^+$/PHOX2B$^-$ ($r^2 = 0.482$ p<0.001 Fig. 5F) cells, suggesting that the number of PHOX2B expressing

cells in the RTN is a good predictor of the chemoreflex response. Moreover, the nature of the

correlation between PHOX2B$^-$ cells and HCVR is the opposite of PHOX2B$^+$, another important

indicator that PHOX2B protein may contribute to the CO_2 sensing and consequently, the reduction

of PHOX2B protein impairs the CO_2-chemoreflex.

Gpr4 and Task2 mRNAs expression is affected by PHOX2B Knockdown

We next examined the mRNA expression of *Gpr4* and *Task2*, two important pH sensors for the

central respiratory chemoreflex response in RTN neurons (Gestreau et al., 2010; Guyenet et al.,

2016; Kumar et al., 2015). As previously reported, the two pH sensors are expressed in the *Nmb*

cells of the RTN in partially overlapping populations of chemosensory neurons (Gestreau et al.,

2010; Kumar et al., 2015). In naïve rats 91.6 ± 11.2 % of *Nmb* cells were *Gpr4* positive, and 76.6 ±

14.8 % of *Nmb* cells were also *Task2* positive (n=4 rats). These values were not significantly

different in either NT-shRNA or PHOX2B shRNA rats (NT-shRNA: 90.0 ± 15.7% $Nmb^+/Gpr4^+$ and

76.2 ± 21.8% $Nmb^+/Gpr4^+$, n=10 rats; PHOX2B-shRNA: 89.4 ± 18.3% $Nmb^+/Gpr4^+$ and 75.6 ± 27.8%

$Nmb^+/Gpr4^+$, n=6 rats), suggesting that the fraction of *Nmb* cells expressing the two pH sensors

were not affected by the PHOX2B shRNA treatment.

Because it is not yet known whether PHOX2B controls the expression of GPR4 AND TASK2*,* we

quantified their single cell mRNA expression (CTCF) in *Nmb* cells of the RTN. Averaged cell

fluorescence for *Gpr4* and *Task2* mRNA in PHOX2B-shRNA rats was significantly reduced compared

to naïve and NT-shRNA rats (*Gpr4*: -34.4 ± 4.79% vs naïve, -27.9 ± 5.71% vs NT-shRNA p<0.001;

Task2: -39.0 ± 3.02% vs naïve, -34 ± 2.62% vs NT-shRNA p<0.001; Fig. 6B,D). To better understand

whether this reduction was ascribed to the specific loss of PHOX2B expression, we compared their

single cell mRNA expression levels between Nmb^+/PHOX2B$^-$ and Nmb^+/PHOX2B$^+$ neurons in

PHOX2B-shRNA rats. Both *Gpr4* and *Task2* mRNA expression was reduced in Nmb^+/PHOX2B$^-$ cells

compared to Nmb^+/PHOX2B$^+$ (*Gpr4*: -63.8 ± 3.9%; p= 0.022; *Task2*: -63.2 ± 2.77%; p= 0.029; Fig.

6C,E). Our data suggest that PHOX2B knockdown not only causes a reduction in the HCVR but also

a reduction in *Gpr4* and *Task2* mRNA levels in cells that are affected by PHOX2B knockdown.

DISCUSSION

The role of the transcription factor PHOX2B in the adult brain is still not fully understood. In this study we reduced the expression of PHOX2B in the key chemosensory structure of the RTN with a selective viral shRNA approach to test whether a knockdown of PHOX2B expression in these neurons negatively impacts ventilation. Two weeks after viral infection we observed a modest reduction of PHOX2B/*Nmb* expressing neurons in the RTN but no significant changes in basal V_E or in the CO_2 chemoreflex. Four weeks after shRNA infection we observed a further reduction in the expression of PHOX2B in *Nmb* neurons and a significant reduction of the CO_2 chemoreflex, while ventilation in normoxia or hypoxia was unaffected. Furthermore, the level of expression of *Gpr4* and *Task2* mRNAs, the two proton sensors responsible for the RTN neurons chemosensory properties were significantly reduced in RTN neurons that lacked PHOX2B protein expression, whereas *Nmb* mRNA expression level was unaffected by PHOX2B knockdown. These results suggest that PHOX2B reduction affects the transcriptional activity of *Nmb* neurons in the RTN and decreases the CO_2 response, possibly by reducing the expression of key proton sensors in the RTN.

The role of PHOX2B in development and in the adult brain

PHOX2B is a transcription factor with an important role in the development and differentiation of neuronal structures in both the central and peripheral nervous system. Genetic loss of *Phox2b* expression in mice shows that PHOX2B is essential for the correct development of all autonomic ganglia in sympathetic, parasympathetic, and enteric nervous system and the distal ganglia of the facial (VII), glossopharyngeal (IX), and vagus (X) cranial nerves (Pattyn et al., 1999), in addition to carotid bodies and the solitary tract nucleus (Dauger, 2003). Furthermore, PHOX2B is involved in the formation of all branchial and visceral hindbrain motor neurons and its absence during the embryonic period impairs the development of motoneurons of the facial, trigeminal, ambiguus

and dorsal motor nucleus of the vagal nerve. PHOX2B is also necessary for the specification and

differentiation of the noradrenergic phenotype in multiple centres in the brain (Brunet & Pattyn,

2002; Pattyn et al., 1999, 2000a).

Interestingly, the expression of PHOX2B persists into adulthood in selected neuronal populations,

such as the ones involved in peripheral and central chemoreception, i.e., the carotid bodies, the

solitary tract nucleus and the RTN, in addition to neurons in the dorsal motor nucleus of the vagus,

the area postrema, the nucleus ambiguus, C1 catecholaminergic neurons and other scattered

neurons throughout the brainstem (Stornetta et al., 2006). Such widespread expression within the

adult brain suggests an important transcriptional role in neuronal survival and/or function. With

the exception of its role in maintaining the noradrenergic phenotype, its function in the postnatal

brain is unknown, in part because a full investigation of its transcriptional activity in neurons has

not yet been performed.

Heterozygote polyalanine expansion mutations in the exon 3 of *PHOX2B* are responsible for the

majority of cases of CCHS, a rare genetic disease that affect the autonomic nervous system and

central CO_2 chemoreflexes (Amiel et al., 2003; Di Lascio et al., 2021; Weese-Mayer et al., 2017).

While studies in transgenic mice expressing the mutated PHOX2B protein suggest that the RTN

may not form or be severely impaired (Dubreuil et al., 2008, 2009; Ramanantsoa et al., 2011), the

function of the wild-type protein in these neurons has never been tested beyond the post-natal

period. Thus, in order to determine whether PHOX2B is necessary for the survival and/or

chemosensory function of adult PHOX2B$^+$/*Nmb*$^+$ RTN neurons *in vivo*, we used a shRNA viral

approach to progressively knockdown the PHOX2B protein in these neurons.

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Modest reduction of PHOX2B in RTN does not impair ventilation

Although the PHOX2B shRNA approach was not selective for *Nmb* neurons, we used small volumes

and localized injections to target the RTN. We identified RTN neurons by the mRNA expression of

the neuropeptide NMB (Shi et al., 2017) and observed a reduced number in both total Nmb and

Nmb^+/PHOX2B$^+$ neurons in PHOX2B shRNA treated rats compared to naïve and NT-shRNA rats two

weeks after viral infection. Interestingly, despite the small reduction in total Nmb cells of the RTN

in both NT-shRNA and PHOX2B-shRNA injected rats, possibly due to some off-target effects due to

activation of innate immunity or saturation of the microRNA pathway (Mcbride et al., 2008; Van

Gestel et al., 2014), no significant impairment in ventilation was observed. Even though PHOX2B

may be critical for chemosensory function in RTN neurons, the lack of significant ventilatory

impairment with a modest reduction of PHOX2B expressing neurons is not surprising. In our

previous study (and the ones of others), in which RTN chemosensory neurons were lesioned with

Substance P saporin toxin, CO_2-chemoreflex impairment became significant (~30% V_E Allo

reduction vs. pre-surgical baseline) only when Nmb neurons of the RTN (Nmb^+/PHOX2B$^+$ and

Nmb^+/PHOX2B$^-$ neurons) were reduced by ~63% compared to naïve controls (medium lesion

range: 50%-81% loss of Nmb neurons; Janes et al., 2024; Souza et al., 2023), therefore, even

though loss of PHOX2B severely altered the chemosensitive function in a fraction of Nmb cells, we

would not expect significant changes in ventilation only with a <50% RTN cell impairment or loss.

Four weeks of PHOX2B knockdown impairs central CO_2 chemoreception

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Four weeks post- shRNA viral injection, the fraction of Nmb^+ cells expressing PHOX2B was further

reduced by 67% compared to naïve and by 61% compared to NT-shRNA rats. PHOX2B knockdown

was also restricted to RTN neurons, as adjacent C1 TH$^+$ neurons did not show any change in

number of TH$^+$/PHOX2B$^+$ expressing cells, although we can't exclude that some C1 cells may have

been infected, and their relative PHOX2B expression levels were slightly reduced. To support the

lack of significant alterations associated with the possible loss of C1 function was the lack of

significant changes in the hypoxic response that has been shown to be dependent on C1 neurons

(Malheiros-Lima et al., 2017).

Although we did not specifically quantify the relative mRNA expression change in intracellular

Phox2b levels within infected neurons, we measured the success of PHOX2B knockdown by

assessing the overall change in the number of *Nmb* cells that had no detectable levels of PHOX2B

protein in the nuclei. Thus, it is possible that this method led us to underestimate the success of

the overall PHOX2B shRNA knockdown in the RTN, as some RTN neurons may still have detectable

levels of PHOX2B, albeit decreased.

In PHOX2B-shRNA rats we did not observe any respiratory function changes in room air or in

hypoxia, similar to RTN lesioning studies (Janes et al., 2024; Souza et al., 2023; Guyenet et al.,

2019). When tested in hypercapnia though, PHOX2B-shRNA rats displayed a reduced HCVR

compared to both baseline (i.e., pre-surgery), naïve and NT-shRNA rats. The impairment of V_E Allo

was primarily a result of blunted V_T, and analysis of the convective exchange ratio (i.e. V_E/VO_2

ALLO) confirmed hypoventilation in 5% and 7.2% CO_2 only for PHOX2B-shRNA rats, suggesting a

specific impairment of chemosensitive properties in RTN neurons with PHOX2B knockdown.

These results are in line with previous studies in which medium RTN lesions (i.e. loss of >60 %

Nmb^+ cells) elicited by saporin toxin injection resulted in a significant CO_2-chemoreflex impairment

(Janes et al., 2024; Souza et al.,2018). Hence, killing RTN neurons or knocking down PHOX2B in

Nmb cells of the RTN gave comparable results.

Changes in the CO_2 response following 4 weeks of PHOX2B-shRNA treatment could occur because

the reduced expression of the transcription factor PHOX2B causes changes in the transcriptional

machinery of RTN neurons and alters transcription of CO_2/pH sensing proteins or other key

elements for their chemosensitive function. These transcriptional changes could also affect

neuronal survival. In fact, a small but significant loss of NMB expressing RTN neurons may

contribute to the reduction in the CO_2 response, although multiple studies have demonstrated

that significant cell loss must occur in the RTN in order to show a respiratory function impairment

(Janes et al., 2024; Souza et al.,2018; Nattie & Li, 2002; Takakura et al., 2008, 2014).

In our experiments, we observed a 35% reduction in total Nmb^+ cells compared to naïve rats and

23% compared to NT-shRNA, possibly due to cell death within the first 14 days post-infection.

Interestingly the loss of Nmb cells did not increase with time (although the fraction of

$Nmb^+/PHOX2B^+$ neurons decreased) and the central chemoreflex at 2 weeks post-surgery was not

impaired, suggesting that: i) the observed reduction in overall Nmb cell number in RTN occurring

in the first 2 weeks is not responsible for the CO_2 chemoreflex impairment that emerges 4 weeks

post-infection; ii) PHOX2B expression is most likely not necessary for neuronal survival of adult

Nmb neurons of the RTN; iii) a large reduction in Nmb neurons expressing $PHOX2B^+$ is necessary to

impair the HCVR (as we observed at 4 weeks). The interpretation that PHOX2B expression

contributes positively to the chemoreflex is further supported by our linear regression analysis

showing that $Nmb^+/PHOX2B^+$ cell number was the best predictor of the HCVR ($r^2 = 0.739$). The

initial cell loss, which we observed also in NT-shRNA, and more prominently with larger injection

volume of virus, may be attributed to some inherent cell death associated with the surgical

procedure (although not observed in similar studies performed by the same investigators, Janes et

al., 2024) or, more likely, with the potential off-target toxic effects associated with shRNA

procedures (Mcbride et al., 2008; Van Gestel et al., 2014).

PHOX2B knockdown reduces mRNA expression of proton sensors in the Nmb cells of the RTN

Current theories on central respiratory chemosensitivity postulate that changes in CO_2/pH in the

RTN are detected through two pH sensors, the proton-activated receptor GPR4 and the pH-

sensitive K^+ channel TASK2 that are expressed in partially overlapping populations of NMB

expressing RTN neurons (Kumar et al., 2015). Because it is not known whether PHOX2B has any

influence on the expression of TASK2, GPR4 or even the expression of the neuropeptide NMB used

to anatomically identify RTN neurons, we quantified their mRNA at cellular levels (calculated as

CFTC) and observed no changes in relative fluorescence of *Nmb* mRNA in the RTN relative to *Nmb*

levels in unrelated cells in the NTS, suggesting that *Nmb* expression is not affected by PHOX2B

shRNA knockdown and that *Nmb* is most likely not a target gene of PHOX2B in adult RTN neurons.

Furthermore, we determined the mRNA expression levels of *Task2* and *Gpr4* in both

Nmb^+/PHOX2B$^+$ and Nmb$^+$/PHOX2B$^-$ neurons in shRNA rats. Our results indicate that although the

fraction of *Nmb* cells of the RTN expressing *Gpr4* and *Task2* did not change across treatment, the

levels of *Gpr4* and *Task2* in *Nmb* neurons of PHOX2B-shRNA rats was reduced compared to the

naïve and NT-shRNA groups. Furthermore, there was a significant reduction of both *Gpr4* and

Task2 levels within Nmb^+/PHOX2B$^-$ cells compared to Nmb^+/PHOX2B$^+$ cells. Because no good

antibodies are currently available to detect protein levels of either NMB, GPR4 or TASK2, our

results are only based on changes in mRNA levels, thus we can only speculate that a reduction in

Gpr4 and *Task2* mRNA would translate in a reduction in the protein levels and consequent

reduction of RTN neurons chemosensitive properties. Direct electrophysiological recordings in RTN

neurons will be able to address the changes in CO_2/pH sensitivity of RTN neurons at cellular level.

PHOX2B knockdown may impair CO_2-sensing through additional transcriptional targets

Since loss of PHOX2B reduces expression of TH and dopamine beta hydroxylase enzymes in

catecholaminergic neurons *in vivo* and *in vitro* (Fan et al., 2011), it is possible that in NMB cells of

the RTN, reduction of PHOX2B alters the transcriptional machinery of other proteins (in addition

to GPR4 and TASK2) and impairs their function in central chemoreception. For example, a change

in the expression of enzymes, neurotransmitters, receptors, and ion channels in RTN neurons

could affect the excitatory signal transmission to preBötzinger Complex and the respiratory

network specifically during CO_2 challenges (Guyenet et al., 2016). Further studies will be needed to

address and identify additional transcriptional changes induced by PHOX2B knockdown *in vivo.*

An interesting observation from our histological data was the reduction in the overall number of

Nmb cells at two- and four-weeks following PHOX2B shRNA viral injections. Although some cell

death may be associated with either surgical procedures or off-target effects associated with the

shRNA approach (Mcbride et al., 2008; Van Gestel et al., 2014), it is intriguing to speculate that

loss of PHOX2B expression may have some effects on viability of RTN neurons *in vivo*. Even though

this is a possibility, especially with an even more severe knockdown, we did observe a large

proportion of *Nmb* cells devoid of PHOX2B protein expression, suggesting that absence of PHOX2B

is compatible with neuronal survival, at least in our experimental time frame.

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Relevance to CCHS and its pathogenic mechanisms

Our results contribute to further our understanding on potential pathogenic mechanisms in CCHS

(Di Lascio et al., 2018). Homozygous knockout mice for PHOX2B die during gestation (Pattyn et al.,

1999, 2000) demonstrating a key role of this transcription factor in cellular proliferation, migration

and differentiation during embryonic development, whereas heterozygotes for PHOX2B survive

birth and live into adulthood, but display apneas (Durand et al., 2005) and impairment of the

hypoxic and hypercapnic ventilatory responses in the neonatal period (Dauger, 2003). Here we

show that, independent of the PHOX2B role during development, PHOX2B is still required to

maintain proper CO_2 chemoreflex responses in the adult brain.

Heterologous expression of the mutant PHOX2B alters development of RTN in mice and impairs

their chemoreflex response (Dubreuil et al., 2008, 2009). The effects of the expression of the

mutant PHOX2B on wild-type PHOX2B protein and its transcriptional activity is not known *in vivo*

yet, although *in vitro* data indicates that the mutated PHOX2B protein alters wild-type PHOX2B

conformation and its ability to form homo- and hetero-dimers (Di Lascio et al., 2016; Trochet et

al., 2005, 2008), its affinity for DNA and coactivators, as well as its degradation rate (Nagashimada

et al., 2012; Wu et al., 2009). It has also been shown that mutant PHOX2B causes the formation of

cytoplasmic aggregates (Bachetti et al., 2005; Trochet et al., 2005, 2008) and fibrils *in vitro* (Pirone

et al., 2019), and dysregulates the transcriptional function of wild-type PHOX2B (Di Lascio et al.,

2013; Parodi et al., 2012), thus changing expression of important target genes such as DBH,

PHOX2A and TLX2 (Bachetti et al., 2005; Di Lascio et al., 2013; Trochet et al., 2005). Based on this

evidence, different CCHS pathogenic mechanisms have been proposed (e.g., PHOX2B loss-of-

function, dominant-negative or toxic function of the mutant protein) including gene and cell

specific transcriptional dysregulation (Di Lascio et al., 2018, 2021). Interestingly, only a handful of

PHOX2B target genes have been identified and the function of PHOX2B and its mutated forms in

respiratory control and CCHS pathogenesis is still under investigation.

Our data suggest that, in addition to potential effect of mutated PHOX2B on development and

cellular function in CCHS pathogenesis, the expression of wild type PHOX2B has an important role

in respiratory control that extends the developmental period and its reduction in CCHS may

contribute to the respiratory impairment in this disorder.

MATERIALS AND METHODS

Experiments were performed using male Sprague–Dawley rats (starting weight range 250-350g)

born in the University of Alberta animal facility to pregnant dams obtained from Charles River

(Senneville, QC). Rats were housed at the University of Alberta Health Sciences Animal Housing

Facility and maintained on a 12-hr dark/light cycle with food and water available *ad libitum*.

Handling and experimental procedures were approved by the Health Science Animal Policy and

Welfare Committee at the University of Alberta (AUP#461) and performed in accordance with

guidelines established by the Canadian Council on Animal Care.

ShRNA viral injection in the retrotrapezoid nucleus

In order to knockdown Phox2b expression at the level of RTN neurons, we used a mix of two shRNA clones targeting two different sequences of the Phox2b mRNA carried by a non-replicating lentivirus vector ($1x10^9$ VP/ml; TRCN000041283: GCCTTAGTGAAGAGCAGTATG and TRCN0000096437: CCTCTGCCTACGAGTCCTGTA; Sigma Aldrich, St. Louis, MA, USA). The shRNAs were designed against the Phox2b mouse sequence (Ref Seq NM_008888) which shares 100% homology with the Phox2b rat sequence (Ref Seq XM_008770167).

Metacam analgesic was administered 1 hour prior to surgery (2mg/kg) to reduce post-surgical pain. Rats were anesthetized with a ketamine/xylazine mixture (100mg/kg + 10mg/kg, respectively; i.p.); the anaesthetic level was assessed by lack of paw pinch reflex and maintenance of a regular breathing rate. Additional anaesthesia was administered as needed. The rat was positioned on a stereotactic apparatus (Kopf Instruments, Tujunga, CA, USA) and a total of four microinjections (200 or 100 nL per injection; two rostro-caudally aligned injections per side) were made lateral to the midline and ventral to the facial motor nucleus under aseptic conditions. Coordinates were as follows in mm from obex (medio-lateral/rostro-caudal/dorso-ventral): ±1.8, +2.0,-3.5; ±1.8,+2.4,-3.6. Injections were made using a glass microelectrode (30 µm diameter tip, Drummond Scientific, PA, USA). Twenty-five rats (8 rats 200nl/injection; 17 rats 100nl/injection) underwent shRNA viral injection (PHOX2B-shRNA) and 23 rats (6 rats 200nl/injection; 17 rats 100nl/injection) received a non-target shRNA viral injection (NT-shRNA; SHC016: MISSION® pLKO.1-puro non-Target shRNA Control Plasmid DNA; Sigma Aldrich, St. Louis, MA, USA) to determine any effects of surgery and viral constructs on respiratory behaviour. Eight naïve rats, receiving no surgery and maintained in the same housing conditions, were used as additional controls for both respiratory function and anatomical experiments. Following each injection, the

glass electrodes were left in place for 3-5 minutes to minimize backflow of virus up the electrode

track. At the end of the surgery, the incision was sutured, and rats received local anaesthetic

bupivacaine (0.1 mL, s.c.) and were treated with metacam analgesic for 72 hours. Rats recovered

for 7 days before the next respiratory function testing.

Data acquisition and analysis of respiratory measurements

Rats were habituated to whole-body plethysmography chambers (Buxco, 5L) once, 3-4 days before

baseline recordings. On the day of the experiment, rats were placed in the chamber and testing

commenced once the animals were quietly awake (typically 20-30 mins). Gas mixtures were

delivered at 1.5 L/min using a GSM-3 (CWE Inc., Ardmore, PA, USA) and monitored using a Gas

Analyzer (AD Instruments, Sydney, Australia). Ventilatory parameters were measured during

exposure to different air compositions for 8-15 min each. Room air: $21\%O_2 + 0\%CO_2$ (balanced

with N_2); Normoxic Hypercapnia: $21\%O_2 + 5\%CO_2$; $21\%O_2 + 7.2\%CO_2$; Normocapnic Hypoxia:

$10.6\%O_2 + 0\% CO_2$.

As previously described (Cardani et al., 2022; Janes et al., 2024) respiratory data were analysed

from rats during quiet wakefulness in the last 5 min period of each gas composition using the

barometric method (open-flow system; Cardani et al., 2022; Janes et al., 2024; Mortola & Frappell,

1998; Seifert et al., 2000). Raw pressure signals were acquired with a Validyne differential

pressure transducer connected to a CD15 carrier demodulator (Validyne Engineering, Northridge,

CA, USA) and digitized using a Powerlab 8/35 (AD Instruments, Sydney, Australia). Analysis was

done using Labchart 8 (v8.1.19, AD Instruments, Colorado Springs, CO, USA) to determine tidal

volume (V_T) and breathing frequency (f_R). The amplitude of the pressure signal was converted to

V_T (ml·kg^{-1}) using the equations of Drorbaug and Fenn 1955 (Drorbaugh & Fenn, 1955) and

calibrated against 1 mL of dry air injected into the empty chamber using a rodent ventilator

(Harvard Rodent Ventilator Model 683, Holliston, MA, USA f = 50, 75, 100, 150 beats·min^{-1}).

Humidity and chamber temperature were monitored using a RH-300 water vapour analyzer (Sable

Systems, Las Vegas, NV, USA) and a HPR Plus Handheld PIT Tag reader (Biomark, Boise, ID, USA)

respectively, depending on the setup. Rectal temperature was read through the temperature

probes of a Homeothermic Monitor (507222F, Harvard Apparatus, Holliston, MA, USA). Minute

ventilation ($\dot{V}_E$) was calculated as f_R x V_T and expressed as ml·min^{-1}·kg^{-1}. Rats gained, on average,

160 ± 4g over the experimental paradigm (naive: 158 ± 19g; NT-shRNA: 202 ± 25g; PHOX2B-shRNA:

133 ± 7g); we therefore applied an allometric correction to $\dot{V}_E$ to account for non-linear effects of

weight gain (V_E ALLO) (Mortola et al., 1994).

Metabolic parameters VO_2 and V_E/VO_2 were calculated by pull-mode indirect calorimetry and

allometrically scaled to be consistent with ventilation (Mortola et al., 1994). The % composition of

dry gas flowing into, and out of the recording chamber was measured by using an AD Instruments

Gas Analyzer (Colorado Springs, CO) and applying the equations of Depocas and Hart (Depocas F,

Hart JS, 1957) and Lighton (Lighton, 2021).

Weight measurements were taken prior to every recording session. Rats breathing function was

measured the week prior to surgery (baseline recording) and weekly following shRNA injections to

determine the time course of ventilatory impairment.

Respiratory data were compared for naive, NT-shRNA and PHOX2B-shRNA pre- and post-injection

using a mixed factor ANOVA (independent factor = treatment, repeated measures factor = pre-

and post-lesion; Fig.1,4). Post-hoc analysis used the Bonferroni correction factor, with $p < 0.05$

considered to be significant. Ventilatory data are reported as mean ± standard deviation (SD).

In Situ hybridization (RNAScope) and immunofluorescence

Either 2 or 4-weeks after viral injection, rats were transcardially perfused with saline 0.9% NaCl followed by 4% paraformaldehyde (PFA) and the brains were post-fixed in 4% PFA overnight and cryoprotected in 30% sucrose in 1X Phosphate Buffered Saline (PBS). Brains were then frozen in O.C.T compound (Fisher Scientific) and sectioned on a cryostat (MODEL CM1950, Leica Biosystems, Buffalo grove, IL, USA) at 30 μm and stored in cryoprotectant buffer at -20°C until processing.   Sections were mounted on slides for combined RNAScope® in situ hybridization (Advanced Cell Diagnostics-ACD Bio, Newark CA, USA) and immunofluorescence assay and processed as previously detailed (Biancardi et al., 2021; Cardani et al., 2022).   The mRNA expression for *Neuromedin B* (*Nmb*) was used as marker of RTN CO_2-sensing neurons (Shi et al., 2017). Slides were incubated with probes for *Nmb* (Rn-NMB-C2 #494791-C2, ACDBio, Newark, CA, USA), *Phox2b* (Rn-Phox2b-O1-C1 #1064121-C1, ACDBio), G-protein-coupled receptor 4 (*Gpr4*) (Mm-Gpr4-C1, #427941, ACDBio), and potassium channel, subfamily K, member 5 (*Kcnk5* or *Task-2*) (Mm-Kcnk5-C3, #427951-C3, ACDBio) for 2 hr at 40°C. Gpr4 and Kcnk5 probes are design against the mouse mRNA sequence (Ref Seq NM_175668.4 and NM_021542.4, respectively). However, the alignment of the mouse mRNA sequences with those of rat (GPR4: Ref Seq NM_001025680.1; TASK2: Ref Seq NM_1039516.2) showed an identity of 94% and 96%, respectively, at the level of the target region (Base Pairs 1030-150) (BLAST® program, National Library of Medicine, National Center for Biotechnology Information, NIH). In parallel, two sections/rat were treated with positive (low copy housekeeping gene), and negative (non-specific bacterial gene) control probes provided by ACDBio. Finally, slides were processed using the RNAScope Multiplex Fluorescent Assay kit V2 (ACDBio) according to the manufacturer's instructions. The probes were visualized using Opal 520 and Opal 570 reagent (1:500 and 1:1000, respectively; PerkinElmer, Woodbridge, ON, CA). PHOX2B immunoreactivity was detected using mouse monoclonal PHOX2B antibody (B-

11: sc-376997, 1:100, Santa Cruz Biotechnology) incubated overnight in 0.3% TritonX-100, 1%NDS

in PBS followed by donkey CY5-conjugated anti-mouse IgG (1:200; Jackson Immuno Research

Laboratories Inc) in PBS + 1% NDS for 2 hours. Slides were then washed in PBS and cover-slipped

with Fluorosave mounting media (EMD Millipore).

We also performed staining for tyrosine hydroxylase (TH) to identify and quantify C1 cells

(TH$^+$/PHOX2B$^+$) following shRNA injection. Briefly, perfusion and tissue fixation/freezing were done

as described above. Floating sections were stained with rabbit anti TH antibody (AB152,1:1000,

Millipore SIGMA, USA), and mouse anti PHOX2B (B-11: sc-376997, 1:100, Santa Cruz

Biotechnology). Antibodies were visualized using donkey CY3 (TH) and CY5 (PHOX2B) conjugated

IgG (1:200; Jackson Immuno Research Laboratories Inc). The sections were washed in PBS and

mounted on slides and cover-slipped with Fluorosave mounting media.

Cell counting, imaging and data analysis.

To quantify *Nmb*+/PHOX2B- and *Nmb*+/PHOX2B+ neurons within the RTN region, we analysed one

every seven sections (210 µm interval; 8 sections/rat in total) along the rostrocaudal distribution

of the RTN on the ventral surface of the brainstem and compared total bilateral cell counts of

PHOX2B-shRNA rats with non-target control (NT-shRNA) and naïve rats. Cells that expressed *Nmb*

and *Phox2b* mRNAs but did not show co-localization with PHOX2B protein were considered

Nmb+/PHOX2B-.

The Corrected Total Cell Fluorescence (CTCF) signal for *Nmb, Gpr4* and *Task2* mRNAs was

quantified as previously described (Cardani et al., 2022; McCloy et al., 2014). Briefly, a Leica TCS

SP5 (B-120G) Laser Scanning Confocal microscope was used to acquire images of the tissue.

Exposure time and acquisition parameters were set for the naïve group and kept unchanged for

the entire dataset acquisition. The collected images were then analysed by selecting a single cell at a time and measuring the area, integrated density and mean grey value (McCloy et al., 2014). For each image, three background areas were used to normalize against autofluorescence. We used 4 sections/rat (210 μm interval) to count *Nmb*, *Gpr4* and *Task2* mRNA CTCF in the core of the RTN area where several *Nmb* cells could be identified. For each section, two images were acquired with a 20× objective, so that at least fifty cells per tissue sample were obtained for the mRNA quantification analysis. To evaluate changes in *Nmb* mRNA expression levels following PHOX2B knockdown at the level of the RTN, we compared, the fluorescence intensity of each RTN *Nmb* cell (223.2 ± 37.1 cells/animal) with the average fluorescent signal of *Nmb* cells located dorsally in the NTS (4.3 ± 1.2 cells/animal) (*Nmb* CTCF ratio RTN/NTS) as we reasoned that the latter would not be affected by the shRNA infection and knockdown.

To quantify *Gpr4* and *Task2* mRNA expression in *Nmb* cells of the RTN, we first quantified single cell CTCF for either *Gpr4* (200.7 ± 13.2 cells/rat) or *Task2* (169.6 ± 10.3 cells/rat) mRNA in *Nmb* cells of the RTN in the 3 experimental groups (naïve, NT shRNA and PHOX2B shRNA) independent of their PHOX2B expression. We then compared CTCF values of *Gpr4* and *Task2* mRNA between Nmb^+/PHOX2B$^+$ and Nmb^+/PHOX2B$^-$ RTN neurons in PHOX2B-shRNA rats to address changes in their mRNA expression induced by PHOX2B knockdown.

To assess whether shRNA knockdown affected either the number or the expression of PHOX2B in TH expressing C1 neurons, we counted the number of TH$^+$/PHOX2B$^+$ and TH$^+$/PHOX2B$^-$ cells along the ventrolateral medulla (8 sections/rat; 240 μm interval) using an EVOS fluorescent microscope (ThermoFisher Scientific).

Digital colour photomicrographs were acquired using a Leica TCS SP5 (B-120G) Laser Scanning Confocal microscope. Image J (version 1.54f; National Institutes of Health, Bethesda, MD, USA) and Excel (Microsoft Office 365 for Windows) were used for cell counting and the measurements

of fluorescence intensity. Statistical analysis of cell counts, and fluorescent signals was made using

one- way ANOVA (Fig. 2C, 3C, S1B) and repeated-measures ANOVA (Fig 5B, D and 6) with

GraphPad Prism 8 Software (GraphPad Software, Inc., San Diego, CA, USA). Linear regressions to

determine the effect of cell counts (Nmb^+/PHOX2B$^+$, Nmb^+/PHOX2B$^-$, total cells) on chemoreflex

magnitude were run using a combined dataset for naive, NT-shRNA and PHOX2B-shRNA rats (SPSS

version 13.0; Fig.5E-F). p values of < 0.05 were considered significant and data are reported as

mean ± standard deviation (SD).

 FIGURE LEGENDS

 Figure 1. Respiratory data two weeks post viral PHOX2B shRNA injection. **(A)** breathing

 frequency (f_R,), **(B)** tidal volume (V_T), **(C)** allometric minute ventilation (V_E ALLO), **(D)** oxygen

 consumption (VO_2 ALLO), **(E)** convective requirement ratio (V_E/VO_2 ALLO), **(F)** hypercapnic

 ventilatory response (HCVR absolute change in V_E ALLO vs. corresponding room air) of baseline

 (pre-surgery, grey filled box), naïve (black n=8), non-target control shRNA (NT-shRNA, grey n=17)

 and PHOX2B shRNA (PHOX2B-shRNA, red n=17) rats 2-weeks post injection during room air,

 hypercapnia 5% and 7.2% CO_2. f_R is equally impaired in all experimental group compared to

 baseline but no treatment effect was observed (A). V_T is significantly impaired following RTN

 injection in PHOX2B-shRNA group compared to naïve animals (p=0.022) and baseline (p< 0.001) at

 7.2% CO_2 (B). V_E ALLO is increased in naïve rats compared to the other treatment groups (p=0.005)

 in room air (C). Boxplots: median, 1st – 3rd quartiles and 10th – 90th percentiles, outliers = dots, "+"

 indicates arithmetic mean. Bonferroni post-hoc as indicated. Black*, different from naïve; Grey*,

 different from NT-shRNA; Red*, different from PHOX2B- shRNA.

 Figure 2. PHOX2B and *Nmb* expression and total cell count within the RTN area in naïve, NT-

 shRNA and PHOX2B-shRNA injected rats two weeks post viral shRNA injection. (A) Schematic

 and representative image of a transverse brainstem section at the level of the RTN (-11.5 mm

 distance from Bregma) showing the area of investigation containing RTN neurons. **(B)** Expression

 of *Phox2b* mRNA (red), PHOX2B protein (white) and *Nmb* mRNA (green) in RTN *Nmb*[+]/PHOX2B[+]

 and *Nmb*[+]/PHOX2B[-] neurons in naïve (left), NT-shRNA (middle), and PHOX2B-shRNA (right) rats

 (magnified view inserts below). Arrowheads indicate absence of PHOX2B protein. Scale

bar=400µm (top figures), 150µm (inserts below). **(C)** The number of total cells (*Nmb*$^+$/PHOX2B$^+$

plus *Nmb*$^+$/PHOX2B$^-$) comprising the RTN are reduced in PHOX2B-shRNA rats (n=4) as compared to

naïve (n=4) and NT-shRNA (n=4), and in NT-shRNA rats as compared to naïve rats (black$^\#$, One-way

ANOVA, p<0.001). The number of *Nmb*$^+$/PHOX2B$^+$ cells are reduced in PHOX2B-shRNA rats as

compared to naïve and NT-shRNA (Blue*, One-way ANOVA, p<0 0.001). The number of

Nmb$^+$/PHOX2B$^-$ cells was unchanged across groups. **(D,E)** Rostral-caudal distribution (distance

from the caudal tip of the facial nucleus, 7Mn) of *Nmb*$^+$/PHOX2B$^+$ (D) and *Nmb*$^+$/PHOX2B$^-$ (E)

neurons along the RTN.

Figure 3. PHOX2B and *Nmb* expression and total cell count within the RTN area in naïve, NT-

shRNA and PHOX2B-shRNA injected rats 4 weeks post viral shRNA injection. (A) Schematic and

representative image of a transverse brainstem section at the level of the RTN (-11.5 mm distance

from Bregma) showing the area of investigation containing RTN neurons. **(B)** Expression of *Phox2b*

mRNA (red), PHOX2B protein (white) and *Nmb* mRNA (green) in naïve (left), NT-shRNA (middle),

and PHOX2B-shRNA (right) rats (magnified view insert). Arrowheads indicate absence of PHOX2B

protein. Scale bar = 400µm (top figures), 150µm (inserts below). **(C)** The number of total cells

(*Nmb*$^+$/PHOX2B$^+$ + *Nmb*$^+$/PHOX2B$^-$) comprising the RTN are reduced in PHOX2B-shRNA rats (n=6)

as compared to naïve (n=4) (Black$^\#$, One-way ANOVA, p=0.0087) but not to NT-shRNA (n=10). The

number of *Nmb*$^+$/PHOX2B$^+$ cells are reduced in PHOX2B-shRNA rats as compared to naïve and NT-

shRNA (Blue*, one-way ANOVA, p<0.001). The number of *Nmb*$^+$/PHOX2B$^-$ cells are increased in

PHOX2B-shRNA rats as compared to both naïve and NT-shRNA (Green*, one-way ANOVA

p<0.001). **(D, E)** Rostral-caudal distribution (distance from the caudal tip of the facial nucleus,

7Mn) of *Nmb*$^+$/PHOX2B$^+$ (D) and *Nmb*$^+$/PHOX2B$^-$ (E) neurons along the RTN.

Figure 4. Respiratory data following 4 weeks post viral shRNA injection. **(A)** breathing frequency

(f_R,), **(B)** tidal volume (V_T), **(C)** allometric minute ventilation (V_E ALLO), **(D)** convective requirement

ratio (V_E/VO_2 ALLO), **(E)** hypercapnic ventilatory response (HCVR, absolute change in V_E ALLO vs.

corresponding room air), **(F)** HCVR at baseline, week 2 and week 4 post-viral injections in naïve

(black n=8), non-target control shRNA (NT-shRNA, grey n=10) and PHOX2B-shRNA (PHOX2B-

shRNA, red n=6). f_R is equally impaired in all experimental group compared to baseline but no

treatment effect was observed (A). V_T is significantly impaired following RTN injection in PHOX2B-

shRNA group compared to baseline pre-surgery (p<0.001), naïve rats p<0.001), and NT-shRNA rats

(p=0.002) at 7.2% CO_2. (B). V_E ALLO is impaired in PHOX2B-shRNA rats during exposure to

hypercapnia (7.2% CO_2) compared to baseline (p=0.0025), naïve rats (p=0.007), and NT-shRNA rats

(p=0.002). (C). V_E/VO_2 ALLO is reduced in PHOX2B-shRNA animals compared to NT-shRNA rats

both at 5% (p=0.023) and 7.2% (p=0.004.) CO_2 (D). HCVR during 7.2% CO_2 is lower in PHOX2B-

shRNA rats compared to baseline (p=0.007), naïve rats (p=0.001), and NT-shRNA rats (p=0.016) (E).

Boxplots: median, 1st – 3rd quartiles and 10th – 90th percentiles, outliers = dots, "+" indicates

arithmetic mean. Bonferroni post-hoc as indicated. HCVR is significantly impaired only in PHOX2B-

shRNA rats 4-weeks post-surgery (One-way ANOVA p=0.007) (F). Black*, different from naïve;

Grey*, different from NT-shRNA; Red*, different from PHOX2B- shRNA.

Figure 5. PHOX2B knockdown in RTN neurons does not alter TH or *Nmb* expression but impairs

the hypercapnic ventilatory response. (A) PHOX2B protein (white) and TH (red) expression in C1

neurons of naïve (left), NT-shRNA (middle), and PHOX2B-shRNA (right) rats. Magnified view at the

bottom. Arrowheads indicate the colocalization of PHOX2B and TH protein in C1 neurones cells.

Scale bar = 400µm (top figures), 150µm (bottom figures). **(B)** No differences are observed in the

rostral-caudal distribution of TH$^+$/PHOX2B$^+$ catecholaminergic C1 neurons cells caudal to the RTN

between naïve (black, n=4), NT-shRNA (grey, n=10) and PHOX2B-shRNA (red, n=6) rats. **(C)**

PHOX2B protein (white) and *Nmb* mRNA (green) expression in NT-shRNA rat at the level of NTS

and RTN regions. Magnified view on the left. Arrowheads indicate cells with *Nmb* mRNA

expression. Scale bar = 400µm (right figures), 150µm (left figures). **(D)** Quantification of single

cells *Nmb* mRNA fluorescence intensity along the rostro-caudal extension of RTN in naïve (black,

n=4), NT-shRNA (grey, n=10) and PHOX2B-shRNA (red, n=6) rat calculated as average ratio

between RTN and NTS cells shows no difference between treatment groups. Data are shown as

average cell fluorescence value at different rostro-caudal levels. **(D-E)** X-Y plot of HCVR during

7.2% CO_2 exposure relative to the number of $Nmb^+/PHOX2B^+$ (D, slope is different from "0" at

p<0.001; r^2=0.739) and $Nmb^+/PHOX2B^-$ (E, p<0.001 for difference between slopes; r^2=0.482) in the

RTN.

Figure 6. *Gpr4* and *Task2* mRNA expression within the RTN area in naïve, and PHOX2B-shRNA

injected rats 4 weeks post viral shRNA injection. (A,D) *Nmb* (green), *Gpr4*, *Task2* mRNA (red) and

PHOX2B protein (grey) expression in RTN neurons in naïve (top) and PHOX2B-shRNA (bottom) rats.

Scale bar = 150 µm. Arrowheads indicate colocalization of *Gpr4* (A) and *Task2* (D) with

$Nmb^+/PHOX2B^+$ neurons. Asterisks indicate colocalization of *Gpr4* (A) and *Task2* (D) with

$Nmb^+/PHOX2B^-$ neurons. **(B,E)** Quantification of single cells *Gpr4* and *Task2* mRNA fluorescence

intensity along the rostro-caudal extension of RTN in naïve (black), NT-shRNA (grey) and PHOX2B-

shRNA (red) rats. Black*, different from naïve, Grey*, different from NT-shRNA **(C and F)**

Quantification of single cells *Gpr4* and *Task2* mRNA fluorescence staining intensity along the

rostro-caudal extension of RTN in $Nmb^+/PHOX2B^+$ (red filled dot) and $Nmb^+/PHOX2B^-$ (red empty

dot) neurons in PHOX2B-shRNA rats. Data are shown as average cell fluorescence value at

different rostro-caudal levels of the RTN. Mean corrected total cell fluorescence (CTCF) value ±

SEM combined (naïve, n=4; NT-shRNA, n=10; PHOX2B-shRNA, n=6) (see methods for detail). One-

way ANOVA repeated measures.

Supplementary Fig. 1. (A) Allometric V_E is equally impaired following RTN injection of non-target

control (NT-shRNA n=6) and PHOX2B-shRNA (n=8). Asterisks, difference from baseline. **(B)** The

number of Nmb^+/$PHOX2B^+$ cells comprising the RTN are reduced in NT-shRNA (n=5), and shRNA

rats (n=5) as compared to naive controls (n=4; Asterisks, difference from baseline). The number of

Nmb^+/$PHOX2B^-$ cells was unchanged in both surgical treatments compared to baseline.

756
757   **Supplementary table 1.** Summary of statistical tests and results presented in the figures.

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A Retrotrapezoid Nucleus (RTN)

Bregma -11.5 mm

B naïve | NT-shRNA | PHOX2B-shRNA

7Mn | 7Mn | 7Mn

Phox2b mRNA PHOX2B protein

Nmb mRNA *Merge*

C

Total cells

Nmb⁺/PHOX2B⁺
Nmb⁺/PHOX2B⁻

naïve   NT-shRNA   PHOX2B-shRNA

D

Nmb⁺/PHOX2B⁺

naïve
NT-shRNA
PHOX2B-shRNA

Distance from 7Mn (μm)

E

Nmb⁺/PHOX2B⁻

naïve
NT-shRNA
PHOX2B-shRNA

Distance from 7Mn (μm)