Identification of the Mode of Evolution in Incomplete Carbonate Successions

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Abstract

Background: The fossil record provides the unique opportunity to observe evolution over millions of years, but is known to be incomplete. While incompleteness varies spatially and is hard to estimate for empirical sections, computer simulations of geological processes allow to examine the biasing effects of the incompleteness in silico.

We combine simulations of different modes of evolution (stasis, (un)biased random walks) with deposition of carbonate platforms strata to examine how well the mode of evolution can be recovered from fossil time series, and how test results vary between different positions in the carbonate platform and multiple stratigraphic architectures generated by different sea level curves.

Results:

Stratigraphic architecture and position along an onshore-offshore gradient has only a small influence on the mode of evolution recovered by statistical tests. Tests fail to identify the correct mode of evolution even in the absence of stratigraphic biases, for adequate models, and under excellent sampling conditions.

Visual examination of trait evolution in lineages shows that rather than stratigraphic incompleteness, maximum hiatus duration determines how much fossil time series differ from the original evolutionary process. Directional evolution is more prone to the biasing effects of stratigraphy, turning it into apparent punctuated anagenesis. In contrast, stasis remains unaffected.

Conclusions:

- Tests for the mode of evolution should be reviewed critically, as they do not identify the correct (simulated) mode of evolution, even for adequate models, in the absence of stratigraphic effects, and for exceptionally long time series.

• Fossil time series favor the recognition of both stasis and complex, punctuated modes

of evolution.

• Not stratigraphic incompleteness, but the presence of rare, prolonged gaps has the

largest biasing effect on trait evolution. This suggests that incomplete sections with

regular hiatus frequency and durations can potentially preserve evolutionary history

without major biases.

Keywords:

Paleontology, Stratigraphy, Trait evolution, Paleobiology, Carbonate Platform, Mode of

Evolution, Time Series, Fossil Record.

51 **Introduction**

52 **The fossil record as source of information**

Fossils provide a unique record of evolution on temporal and spatial scales not accessible to

experimentation or direct human observation (Gingerich 1983; 2001). Geological records

have delivered fossil time series crucial in formulating and testing hypotheses on

evolutionary dynamics and mechanisms of speciation spanning micro- to macroevolutionary

scales (e.g., Dzik 2005; Strömberg 2006; Aze et al. 2011; Voje 2020). Nevertheless, fossils

remain underused in evolutionary biology. Their main application is still calibration of

molecular clocks, which commonly relies on single occurrences and is subject to biases

resulting from this small sample size (Springer 1995). The unique type of information

contained in a fossil succession sampled over a long time interval is rarely exploited, likely

due to the following barriers:

1. The fossil record, being a part of the stratigraphic record, is incomplete and distorted. At the time when Darwin (1859) discussed this as a major limitation for the testing and development of the theory of evolution, little geological knowledge was present to elucidate the rules governing this incompleteness. Darwin's concern widely persists (e.g., Patterson (1981)), albeit mostly implicitly: most phylogenetic analyses published today do not use fossils which would have been relevant or use only a small fraction of them. Stratigraphy and sedimentology, which can provide relevant data on fossils and the constraints on their occurrence and sampling (Kidwell and Holland 2002; Hunt 2010), remain jargon-laden, highly atomized disciplines not easily accessible to biologists. Biostratigraphy, which uses fossil to establish the relative age of rocks and has amassed datasets that would be of high utility for evolutionary studies, employs taxonomic concepts that are often impractical for or incompatible with evolutionary questions (Dzik 1985; 1995). As a results, scientific communities studying evolution and the fossil record function in parallel, with limited exchange (Grantham 2004).

2. There is a lack of methodological frameworks to incorporate fossils in evolutionary studies. Historically, phylogenetic methods had not incorporated geological information such as the relative order of appearance of taxa or specimens in the fossil record, which is the main subject of biostratigraphy. This has led to radical discrepancies between the outcomes of phylogenetic and stratigraphic, or stratophenetic, approaches (Donoghue 2001; Dzik 2005). This barrier is gradually overcome by methodological advances, such as the Fossilized Birth-Death Model (Stadler et al. 2018), which allows incorporation of parameters specific to the fossil

record, such as fossilization rate, sampling probability and age uncertainties of fossil

occurrences (Barido-Sottani et al. 2020; Warnock, Heath, and Stadler 2020; Wright et

al. 2022).

Recently, there is renewed appreciation for the importance of fossils in phylogenetic

reconstructions (Quental and Marshall 2010; Mitchell, Etienne, and Rabosky 2019;

Mongiardino Koch, Garwood, and Parry 2021; Guenser et al. 2021). These studies focus on

the role of the morphological information provided by extinct taxa, but less on what a modern

understanding of the physical structure of the geological record contributes to reconstructing

evolutionary processes from fossil successions.

95 Stratigraphic incompleteness and age-depth models

The incompleteness of the fossil record serves as an umbrella term for different effects that

diminish the information content of the rock record, ranging from taphonomic effects and

sampling biases to the role of gaps and erosion (Kidwell and Holland 2002). Here we focus

on the role of gaps (hiatuses) in the rock record. Such gaps can arise due to sedimentation

(including fossils) and subsequent erosion or lack of creation of rocks in the first place, e.g.

when an environment remains barren of sediment formation or supply for a long time. Both

processes result in gaps in the rock record and, as a result, in the fossil record. This type of

incompleteness is termed stratigraphic (in)completeness, defined as the time (not) recorded in

a section, divided by the total duration of the section (Dingus and Sadler 1982; Tipper 1987).

Stratigraphic completeness provides an upper limit on the proportion of evolutionary history

that can be recovered from a specified section, even with unlimited resources and perfect

preservation of fossils. Stratigraphic completeness is difficult to quantify in geological

sections, and estimates range between 3 and 30 % (Wilkinson, Opdyke, and Algeo 1991),

suggesting that more than 70 % of evolutionary history is either not recorded in the first place

or destroyed at a later time.

Fossils older than a 1.5 million years cannot be dated directly, and their age has to be inferred

from circumstantial evidence on the age of the strata in which they were found (Wehmiller et

al. 1988; Kidwell and Flessa 1996). This inference is formalized by age-depth models

(ADMs), which serve as coordinate transformations between the stratigraphic domain, where

the fossils were found (length dimension L, SI unit meter), and the time domain (time

dimension T, SI unit seconds - we use the derived units years, kyrs, or Myrs) (Hohmann

2021). Age-depth models are always explicitly or implicitly used when fossil data is used for

evolutionary inferences. Because they convey how positions of fossils relate to their age,

ADMs are the basis for calculating evolutionary rates. As a result, revising ADMs commonly

leads to a revision of evolutionary rates. For example, Malmgren, Berggren, and Lohmann

(1983) observed increased rates of morphological evolution in lineages of fossil foraminifera

over a geologically short time interval of 0.6 Myr and proposed that this "punctuated

gradualism" may be a "common norm for evolution". MacLeod (1991) revised the age-depth

model and showed that the interval with increased rates of evolution coincides with a

stratigraphically condensed interval, i.e. more change is recorded in a thinner rock unit. Re-

evaluating the evolutionary history based on the revised age-depth model removed the

apparent punctuation and showed that morphological evolution in that case had been gradual

rather than punctuated.

Age-depth models contain information on both variations in sediment accumulation rate and

gaps in the stratigraphic and - as a result – the fossil record. For example, stratigraphic

completeness corresponds to the fraction of the time domain to which an age-depth model

assigns a stratigraphic position. In the absence of an age-depth model, we can only make

statements on the ordering of evolutionary events, but not on the temporal rates involved.

Forward models of stratigraphic architectures

Forward computer simulations of sedimentary strata provide a useful tool to study the effects of incompleteness and heterogeneous stratigraphic architectures. They have demonstrated that locations and frequency of gaps in the stratigraphic record are not random, but a predictable result of external controls, such as fluctuations in eustatic sea level (Warrlich 2000; Hutton and Syvitski 2008; Burgess 2013; Masiero et al. 2020).

Combined with biological models, forward models provide a powerful tool to test hypotheses on the biasing effects of stratigraphic architectures on our interpretations of evolutionary history. For example, Hannisdal (2006) combined simulations of a siliciclastic basin with models of taphonomy and phenotypic evolution. The results showed that when sample sizes are small, morphological evolution will appear as stasis regardless of the underlying mode. This might explain why stasis is the most common evolutionary pattern recovered from the fossil record (Hunt, Hopkins, and Lidgard 2015).

Stratigraphic incompleteness and variations in sediment accumulation rates introduce multiple methodological challenges. Constructing complex ADMs requires sedimentological and stratigraphic expert knowledge, and they will potentially be associated with large uncertainties. Even in the "perfect knowledge" scenario where the age-depth model is fully known, evolutionary history in the time domain will inevitably be sampled irregularly: If two samples are separated by a hiatus, their age difference must be at least the duration of the hiatus, which might be millions of years. On the other hand, if sediment accumulation is rapid and no hiatuses are present, the age difference between samples might be only a few days. Most studies "translate" fossil successions into time series using age-depth models based on simplified assumptions on the regularity of the stratigraphic record. These ADMs ignore stratigraphic incompleteness and often assume uninterrupted constant sediment accumulation

(UCSA). This assumption implies that stratigraphic completeness is 100 %, rock thickness is proportional to time passed, and linear interpolation between tie points of known age can be used to infer fossil ages from their positions. Such ADMs are usually used implicitly, without discussing their limitations. While the assumption of uninterrupted UCSA is sedimentologically and stratigraphically unrealistic, it brings strong methodological simplifications. For example, if distance between samples collected in a rock section is kept constant, UCSA implies that the underlying evolutionary history in the time domain is sampled at a constant frequency, the generated fossil time series are equidistant in time and can therefore be analyzed by standard methods of time series analysis (Hunt 2006; Beran 2017).

Objectives and hypotheses

We examine how commonly made simplified assumptions on stratigraphic architectures influence how the mode of evolution is recovered from fossil time series. We use tropical carbonate platforms as a case study, because they host large parts of the fossil record and are evolutionary hotspots (Jablonski, Roy, and Valentine 2006).

We test the following hypotheses:

- The mode of evolution identified in a fossil time series obtained under the assumption of uninterrupted constant sediment accumulation (UCSA) is the same as the mode of the original time series.
- Lower stratigraphic completeness reduces the chance of identifying the correct mode of evolution from fossil time series constructed based on the assumption of UCSA. The implication of this hypothesis is that different depositional environments have

180   different chances of preserving the mode of evolution because of systematic

181   differences in their completeness.

Methods

We simulate trait evolution in the time domain, pass it through a stratigraphic filter produced

by the CarboCAT Lite model of carbonate platform formation (Burgess 2013; Burgess 2023),

and compare how well the mode of evolution can be recovered from the fossil time series

sampled in the stratigraphic domain and the time series reflecting the "true" evolutionary

history in the time domain. A visual summary of the workflow is shown in Figure 1. Data is

available in Hohmann, Koelewijn, and Jarochowska (2023a), code is available Hohmann,

Koelewijn, and Jarochowska (2023b).

Figure 1: Study design for testing the mode of evolution in the time and stratigraphic domain.

Computationally, first sampling positions are determined, then the age-depth model is used to

determine the times that correspond to these positions. Last, the trait evolution at said times

are simulated.

The stratigraphic record: Forward models of carbonate platform architecture

We simulated two attached carbonate platforms using the CarboCAT Lite software (*Figure 2*

and *Figure 3*) (Burgess 2023). CarboCAT Lite is implemented in MATLAB and simulates

carbonate production by carbonate factories characterized by different production curves,

which are functions of water depth (Bosscher and Schlager 1992; P. M. Burgess 2013;

Masiero et al. 2020). It includes sediment transport that is a function of platform topography,

i.e., sediment is transported downslope, but not a function of external parameters such as

waves or currents. Simulations were ran using time steps of 1 kyr (1000 years) and a grid of 1

km width (strike) and 15 km length (dip), subdivided into quadratic grid cells of 100 m

length. We used three carbonate factories with production curves following the

parametrization of Bosscher and Schlager (1992) (*Supplementary Figure 1*). Factory 1 is

phototrophic with a maximum carbonate production rate of 500 m × Myr^{-1}, which it

maintains up to 30 m of water depth. Factories 2 and 3 have maximum production rates of

160 and 150 m × Myr^{-1} respectively and maintain maximum productivity until 40 m water

depth, but differ in how fast productivity decreases with depth (*Supplementary Figure 1*). We

assumed constant subsidence of 70 m per Myr across the grid. This is approximately seven

times higher than estimated subsidence rates in the last 125 kyr in the Bahamas (McNeill

2005), allowing for higher completeness than would be generated on a typical passive

continental margin. As initial topography, a slope with a gradient of 5.33 m × km^{-1} was used,

resulting in a total initial difference in water depth of 80 m between the shore and the

offshore end of the simulated grid. We followed the default setting of CarboCAT Lite,

described by Burgess (2013) with three lithofacies (sediment types) corresponding to the

three carbonate factories, plus three secondary lithofacies representing sediment transported

downslope after the deposition of the primary lithofacies (dark colors in *Figures 2* and *3*). As

initial facies distribution, the default setting of CarboCAT Lite was used, which assigns each

grid cell one of the three carbonate factories or no factory according to a uniform distribution.

Figure 2: The outcome of simulating carbonate platforms in the stratigraphic domain. (A)

Scenario A: deposition based on a fictional sea-level curve. (B) Scenario B: deposition based

on the sea-level curve from Miller et al. (2020) for the last 2.58 Myr. Graphs represent the

position in the middle of the simulated grid along the strike.

Figure 3: Simulated carbonate platforms in the time domain. (A-C) Scenario A. (D-F)

Scenario B. (A, D) Chronostratigraphic (Wheeler) diagrams. (B, E) Sea level curves used as

input for the simulation. (C, F) Facies volumes. Graphs represent the position in the middle

of the simulated grid along the strike.

Two scenarios were simulated (*Figures 2* and *3*). In scenario A, the simulation was run for 2

Myr with changes in eustatic sea level given by a combination of sinusoids expressed as

third-order sea level changes with a period of 1 Myr and an amplitude of 20 m and fourth-

order changes with a period of 0.112 Myr and an amplitude of 2 m (*Figure 3* B). Scenario B

was simulated for the period from 2.58 Mya (beginning of the Pleistocene) until the present

using the global eustatic sea level curve estimated from global $\delta^{18}O_{benthic}$ records by Miller et

al. (2020). This curve has a temporal resolution of approximately 2 kyr over the Pleistocene

(mean: 2.13 kyr, median: 2.07 kyr), and was linearly interpolated to match the time

increments of the simulation and normalized to have a mean sea level of 0 (*Figure 3* E).

For each scenario, CarboCAT Lite produces a grid containing the facies, including no

deposition, at each time step and position on the grid. The outputs were extracted from

MATLAB and all further analysis was carried out in the R Software (R Core Team 2023).

For both scenarios, age-depth models at every grid node were extracted from the simulation

outputs. Age-depth models taken from the same distance from shore display only little

variation as position along the shore (strike) is varied, indicating that lateral position in the

grid is negligible. Accordingly, we focused on age-depth models taken from the middle

transect along the dip. In each scenario, synthetic sections and the corresponding age-depth

models at five locations were selected (*Supplementary Figure 2*). The selected locations are 2

km, 6 km, 8 km, 10 km, and 12 km from the shoreline. These distances corresponded to

lagoonal environments, backreef, forereef, proximal slope, and distal slope. The simulated

carbonate platforms accreted vertically with only minor shift of environments along the

onshore-offshore axis, therefore each location can be interpreted as representing its respective

environment across the entire simulation (platform lifetime). Only simulation outputs

between the shore and 13 km offshore are shown in the figures, as the remainder (13-15 km)

consisted entirely of redeposited carbonates and was not used further.

The simulated carbonate platforms were characterized using the following parameters

calculated at any given location in the platform:

1. Stratigraphic completeness, calculated as the proportion of time steps with sediment

accumulation relative to the total number of time steps in the simulation (function

`get_completeness` in Hohmann, Koelewijn, and Jarochowska (2023b)),

corresponding to completeness on the time scale of 1 kyr (Tipper 1987; Anders,

Krueger, and Sadler 1987; Kemp 2012)

2. Distribution of hiatus durations in Myr (function `get_hiatus_distribution` in

in Hohmann, Koelewijn, and Jarochowska (2023b))

Simulation of phenotypic trait evolution in the time domain

We simulated three commonly discussed modes of evolution in the time domain: stasis, unbiased random walk, and biased random walk (Jones 2009; Hopkins and Lidgard 2012; Hunt, Hopkins, and Lidgard 2015). These simulations serve as "true" evolutionary history against which we compare fossil time series derived under simplified assumptions on age-depth models. In unbiased random walk models, the change in traits over a fixed time step is independent of the previous trait values, and drawn from a distribution with mean zero (Bookstein 1987; Sheets and Mitchell 2001). In biased random walk models, the mean can deviate from zero. The trait evolution along a lineage is then generated by summing up the incremental changes in traits. Commonly used models of random walks are based on equidistant time steps, meaning the time passed between two observations of the random walk is identical for all observations. We could not use these models, because depending on where in the stratigraphic column samples are collected, the time elapsed between two successive sampling positions can vary by more than three orders of magnitude. It will be less than a thousand years when sediment accumulation rate is high, and more than half a million years when they are separated by a hiatus (*Figure 4*). If samples have identical distances in the stratigraphic column, the underlying evolutionary history will be sampled at irregular times. We use continuous-time extensions of random walk and stasis models so we can sample lineages at arbitrary time points without relying on interpolation between discrete time steps. Another commonly considered mode is the Ornstein-Uhlenbeck process, which corresponds to noisy convergence towards a trait optimum (Lande 1976). Ornstein-Uhlenbeck processes were not simulated due to the difficulty of generating heterodistant samples, i.e. samples not equally spaced in time, from it.

We extracted age-depth models from CarboCAT Lite outputs. The age-depth models serve as

functions $H : L \rightarrow T$ that connect the stratigraphic domain L with the time domain T. Given a

model of trait evolution M_t in the time domain, we then define its stratigraphic expression as

$M \circ H^{-1}$, which is the trait evolution observable in the stratigraphic column. This allows us to

form triplets (h, t, m), where h is a stratigraphic position, t is the time when that position was

formed, and m is the trait value describes the true evolutionary history at time t and can be

observed at height h (*Figure 1*). The transformation using the age-depth model for a given

location was done using the R package DAIME(Hohmann 2021). For the (un)biased random

walk models, we use Brownian drift as a continuous-time expansion. It is given by

$$X_t = \mu \cdot t + \sigma B_t$$

where μ and σ are model parameters, t is time, and B_t is a Brownian motion. The parameter μ

specifies how biased or directional the Brownian drift is and σ specifies how volatile the

process is. After t time units have passed, the distribution of a trait following a Brownian drift

model is normally distributed with standard deviation σ and mean $\mu \times t + x_0$, where x_0 is the

trait value at the beginning of the observation. When sampled at equidistant points in time,

this reduces to a standard random walk model where a normal distribution determines the

step sizes.

Stasis is expressed as the lack of net change in traits over the period of observation We model

stasis as a series of independent, identically distributed random variables with mean m and

standard deviation s (Sheets and Mitchell 2001). As a result, stasis is not affected by the

heterodistant sampling in the time domain.

We examined the preservation of four evolutionary scenarios (*Supplementary Figure 3*):

(1) Stasis with mean $m = 0$ and standard deviation $s = 1$;

(2) Brownian motion as a special case of Brownian drift with parameters $\mu = 0$ and $\sigma = 1$;

(3) weak Brownian drift with parameters $\mu = 5$ and $\sigma = 1$, corresponding to a weakly

directional random walk;

(4) strong Brownian drift with parameters $\mu = 10$ and $\sigma = 1$, corresponding to a strongly

directional random walk.

After one million years of evolution, the Brownian motion has an expected change in traits

of zero, while the weak and strong Brownian drift have an expected change of traits of 5 and

10, respectively. For comparability, the σ parameter on the Brownian motion and drift

models was kept constant, resulting in a standard deviation of traits around their mean value

equal to one after one million years. The four evolutionary scenarios differ in their

directionality, defined as the difference in traits accumulated over time. While weak and

strong Brownian drift are directional, stasis and Brownian motion on average are not.

Individual lineages following a Brownian motion can deviate drastically from their initial

trait value – only when multiple lineages are observed, the mean trait values observed in

them average to zero (*Supplementary Figure 3*).

Sampling procedure in the stratigraphic domain

For clarity, we distinguish between fossil time series and time series. Fossil time series are

taken in the stratigraphic domain and record trait values observed at specific stratigraphic

positions, whereas time series are located in the time domain and record trait values observed

at specific points in time.

We assume identical sampling procedures for fossil time series in both scenarios: a sample is

taken every meter and consists of 100 specimens. The distribution of traits among the

specimens in a sample is normally distributed with variance of 0.1 and a mean according to

the simulated trait values. This choice is made so the fossil time series match the format

required by the paleoTS package (Hunt 2006; 2022), which we use to identify the mode of

evolution. The variance of 0.1 was chosen to ensure that variability within a sample is small

compared to the expected mean evolutionary change that accumulates over the course of the

simulations, thus reducing the chance to mistake variability within populations for

evolutionary trends. This procedure generates equidistant fossil time series with, depending

on the location in the platform, 20 to 150 sampling positions in scenario A and 50 to 220

sampling positions in scenario B. This is more than most studies with stratophenetic datasets

(Clyde and Gingerich 1994; Dzik 1999; Hunt and Roy 2006). For example, fossil time series

compiled by Hunt, Hopkins, and Lidgard (2015) had a median of 14 sampling positions and

the longest fossil time series consisted of 114 sampling positions.

Identification of the mode of evolution from simulated fossil time series

We applied the tests for the mode of evolution implemented in the `compareModels`

function of the paleoTS package version 0.5.3 (Hunt 2022) to the simulated stratigraphic

series. The tests use corrected Akaike's Information Criterion (AIC) to determine which of

the following models fits best to the fossil time series: the stasis model, an unbiased random

walk (URW), a biased random walk referred to as general random walk (GRW), and an

Ornstein-Uhlenbeck process (OU). The models identified by the `compareModels` function

correspond to the simulated processes as follows: URW to the Brownian motion, GRW to the

weak and strong Brownian drift, and stasis to stasis. The tests take the between-samples

variance in trait values and the number of specimens found at a sampling position into

account. At each location in the carbonate platform, 100 fossil time series per evolutionary

scenario (stasis, Brownian motion, weak and strong Brownian drift) were simulated and

tested for their fit to the stasis, URG, GRW, and OU model. Because raw AIC values carry

no meaning, we use the derived AIC weights instead. The AIC weight of a model can be

interpreted as the probability that it is the best approximating model, given the data and the

set of candidate models (Wagenmakers and Farrell 2004). Thus higher AIC weights reflects

better support for the model. We considered a model as identified by the paleoTS package if

its AIC weight was higher than 0.9, being a single best model according to Portet (2020).

As a reference for the test performance of the paleoTS package, the tests were also performed

in the time domain, i.e. without any losses or distortions introduced by the stratigraphic

record. To this aim, the time interval of observation (2 Myr and 2.56 Myr for scenario A and

B, respectively) was subdivided into 5, 10, 15, 20, 25, 35, 50, 100 and 200 sampling points.

Lineages evolving according to the specified modes of evolution were sampled at these time

points, and the test for the modes of evolution was performed on the resulting time series.

Our hypothesis is that increased sampling effort (i.e., a higher number of subdivisions of the

time interval of observation, resulting in longer time series) increases support for the correct

mode of evolution.

Results

Stratigraphic architectures

Carbonate platform A

Platform A (*Figure 2* A; *Figure 3* A-C) has a steep topography with high build-up up to 8 km

into the basin and thin, condensed off-platform deposits between 8 and 13 km, consisting

mostly of transported sediment. The majority of transported sediment is derived from the

phototrophic factory, with a sharp drop in production rate at around 30 m water depth

(*Supplementary Figure 1*). The thinnest interval at ca. 13 km into the basin contains also

sediment transported from the two other factories.

The platform consists of two "depositional sequences" with different topographies. These

"sequences" correspond to two sea-level highs, with the first one resulting in low topography

and rapid progradation that led to basinward, rather than upward, growth of the platform. The

second "sequence" is aggradational, with distinct "parasequences" expressed in facies,

corresponding to the 125 kyr period in the sea-level curve used as simulation input. This

"sequence" is responsible for the steep topography of the platform. The top of the platform is

abruptly truncated as a result of the long-term cycle sea-level fall and covered with a thin

deposit of the ensuing initial transgression.

The two long-term cycle sea-level falls result in two major gaps (*Figure 3* A). The gap

between the first and the second "depositional sequence" does not extend uniformly across

the entire platform. During the time of the gap formation, the euphotic factory and sediment

derived from transport of this factory's products accumulated at the platform edge,

prograding between 5 and 12 km basinward. The last part of this interval offlaps the platform,

forming an architecture resembling the Falling Stage Systems Tract (Plint and Nummedal

2000). In contrast, the second major gap resulted in almost no deposition, reflecting almost

no sediment transport in the second stage of platform formation. As a result, this platform is

characterized by: two long gaps in deposition and several shorter gaps with limited spatial

extent; large differences in thickness along the onshore-offshore gradient and a substantial

contribution of sediment transport and offshore deposits formed entirely from sediment

exported from the platform in the first half of the platform formation.

Carbonate platform B

For scenario B, we used the Pleistocene-Holocene global mean sea-level estimate of Miller et

al. (2020). In the original dataset, the sea level varied between -121 m to 31.9 m and was here

normalized to a mean of 0 m (*Figure 3* E). The simulated time interval corresponds to

gradually lowering global sea level dominated by 41-ka tilt forcing leading to amplitudes

reaching 50 m. A gradual increase in sea-level amplitude throughout this interval is attributed

to the onset of the glaciation of the Northern Hemisphere. In the middle Pleistocene, ca. 800

ka, a shift to "quasi-100-ka" periodicity is associated with higher sea level amplitudes

reaching 140 m (Miller et al. 2020). This Milankovitch-paced sea-level changes resulted in a

simulated carbonate platform with short gap durations (*Figure 3* D, *Figure 4* B). Where

present, the gaps are widespread across the platform, i.e. sea-level drops resulted in gaps

uniformly distributed across all environments. The continuity of gaps is even more

pronounced in the youngest part of the platform corresponding to the last 800 kyr, reflecting

the higher sea-level amplitude. Most gaps are concentrated in the central part of the platform,

whereas the most shore- and basinward parts of the platform may be filled with sediment at

the time when no other strata is formed in the grid, leading to the highest completeness of

these two opposite ends. The dominant facies is sediment transported from the phototrophic

factory (dark red in *Figure 2*), which is present across the entire platform, rather than

exported off the platform as in scenario A. Hence, this platform is dominated by short-

distance sediment transport. In the older part of the platform, the offshore part (at a distance

of 11-13 km from the shore) has a higher stratigraphic completeness (more time represented

by sediment) than the onshore end, but in the younger part of the platform, the difference

disappears. The lack of large sea-level falls results in a lack of major facies shifts, low

topography and a gradual, nearly aggradational buildup (*Figure 2* B).

Completeness and distribution of hiatuses

Changes in completeness along the onshore-offshore gradient were very similar for both

scenarios: completeness increased monotonously from the shore and had a double peak

around 9 and 11 km offshore, where it reached values of 75 and 95 percent (onshore and

offshore peak) in scenario A and around 80 percent (both peaks) in scenario B (*Figure 4*).

The onshore peak in completeness coincided with the forereef environment, where organisms

continuously grow, while the second peak coincided with the slope onset, where transported

sediment is continuously provided by the forereef. Average completeness across the platform

was 53.7 % in scenario A and 46.3 % in scenario B, completeness was lowest 13 km from

shore in scenario A and 1.9 km from shore in scenario B.

Qualitatively, the distribution of hiatus durations was similar for both scenarios. Maximum

hiatus duration was constant over the entire platform, dropped dramatically on the slope, and

then increased again off-platform. The first quartiles, medians and third quartiles of hiatus

durations differed substantially from maximum hiatus durations. The exception to this is the

backreef in scenario A (approx. 4–6 km from shore), where the 3rd quartile of hiatus durations

reaches values of 0.25 to 0.4 Myrs. Averaged over the platform, maximum hiatus duration is

one to two orders of magnitude larger than median hiatus duration (135 times longer in

scenario A and 13 times longer in scenario B). This shows that the distribution of hiatus

durations on the platform is heavy-tailed: most hiatuses are short, but a few exceptionally

long hiatuses that are associated with long-term drops in sea level are present.

Quantitatively, hiatus durations in scenario A are longer (average median hiatus duration

across the platform: 26 kyr in scenario A, 10 kyr in scenario B). The maximum hiatus

duration is on average almost four times higher in scenario A than in scenario B.

Figure 4: Stratigraphic completeness and distribution of hiatus durations along the onshore-offshore gradient in scenario A (left) and B (right).

Stratigraphic expression of evolution

Overall, we find that the biasing effects of stratigraphy on the preservation of the mode of evolution are spatially heterogeneous within the carbonate platforms (*Figure 5*), and strongly depend on (1) the directionality of the examined mode of evolution (*Figure 6*) and (2) the presence of single, long hiatuses rather than the stratigraphic completeness at the location where the fossil time series is sampled (*Figure 7*).

Figure 5: Spatial variability of the preservation of evolution in scenario A. (A) Age-depth

models at varying distances from shore (B) three simulations of strong Brownian drift in the

time domain (C), (D), (E), (F), (G) preservation of the lineages from (B) in the stratigraphic

domain at 2 km, 6 km, 8 km, 10 km, and 12 km from shore in platform A. The same

evolutionary history (B) is preserved differently dependent on where it is observed (C to G).

Differential effects of stratigraphy on modes of evolution

The extent to which stratigraphic series of trait values are affected by the architecture of the carbonate platform strongly depends on the mode of evolution (*Figure 6, Supplementary Figures 4–12*). For the same scenario and position in the platform, lineages evolving according to the stasis model are unaffected by stratigraphy. In contrast, hiatuses introduce jumps into the lineages evolving according to a weak and strong Brownian drift, which are not present in the time domain. For the Brownian motion, the presence of jumps depends on whether the trait series accumulates sufficient trait differences during the hiatus, making the presence or absence of jumps effectively random (*Figure 6*, B & F, red and green vs. blue lineage). In general, jumps in traits are more pronounced when (1) evolution is more directional and (2) gaps are longer.

Figure 6: Differential preservation of different modes of evolution at the same location. First row: preservation of three lineages evolving according to the stasis (A), Brownian motion (B), weak Brownian drift (C), and strong Brownian drift (D) model 6 km offshore in scenario A. Second row: The corresponding true evolutionary history in the time domain. The change

482   *in traits observable in fossil time series over a gap depends on the directionality of evolution*

483   *and gap duration – Stasis is unaffected by the gaps, while the directional weak and strong*

484   *Brownian display jumps in phenotype over long gaps in the stratigraphic record.*

Effects of completeness

Comparing identical modes of evolution at locations in the carbonate platform with similar

stratigraphic completeness shows that stratigraphic completeness is not the major driver of

stratigraphic bias (*Figure 7*). If hiatuses are frequent and have similar duration, evolution in

the stratigraphic domain is very similar to the time domain. If hiatuses are rare and long, traits

can change significantly during the hiatus, leading to distinct differences in trait values from

before to after the hiatus, generating dissimilarity between observations made in the

stratigraphic domain and the "true" evolutionary history in the time domain.

Figure 7 Effects of completeness vs. hiatus duration. Strong Brownian drift in the time

domain (A), 2 km offshore in scenario A (B), and 6 km offshore in scenario B (C).

Stratigraphic completeness differs by only 2 %, but preservation of the lineages differs

drastically due to the presence of few, but long hiatuses in scenario A generated by

prolonged intervals of low sea level.

Spatial variation

The stratigraphic expression of trait evolution varies spatially within a carbonate platform

(*Figure 5*). This is a direct result of the variability of stratigraphic completeness and hiatus

frequency and duration along the onshore – offshore gradient (*Figure 4*). Because of the

selective effects of stratigraphic architectures on the identifiability of directional evolution,

the effect of spatial variability is most pronounced for weak and strong Brownian drift, and

absent for stasis (*Supplementary Figures 14–19*).

Identification of modes of evolution

507   Surprisingly, neither the stratigraphic architecture driven by different sea-level histories nor

508   the location along the onshore-offshore gradient has an influence on the best supported mode

509   of evolution recovered by the paleoTS package (Hunt 2022). In the absence of stratigraphic

510   biases, for adequate models, and under excellent sampling conditions, tests fail to recover the

511   correct (simulated) mode.

Test results in the stratigraphic domain

In the stratigraphic domain, the tests rarely yielded good support for the correct mode of

evolution as defined by AIC weight > 0.9 (Portet 2020). This holds for both scenarios and all

locations within the carbonate platform (Figures *8* and *9*, top rows).

Under simulated stasis (*Figure 8* A and *Figure 9* A), AIC weight values for the correct mode

of evolution are low (between 0.1 and 0.25). The mode of evolution best supported is OU

with AIC weight values larger than 0.75. This is independent of the location in the carbonate

platform and scenario, except for scenario A, 12 km from shore. Here, AIC weights are

around 0.5 for OU, 0.3 for stasis, and 0.1 for undirected random walk (URW).

Under simulated Brownian motion (*Figure 8* B and *Figure 9* B), AIC weights are moderate to

low for all modes of evolution and have high dispersion. For the correct mode of evolution,

URW, AIC weights are around 0.5. For stasis and OU, weights are around 0.25. This is

independent of the location in the platform and of the scenario.

Results for simulations of weak and strong Brownian drift are very similar (*Figure 8* C, D

and *Figure 9* C, D) . AIC weights for the correct mode of evolution (GRW) are around 0.75,

whereas weights for OU are around 0.25. This holds across both scenarios and all locations in

the platform, with the exception of scenario A, where minor support is found for undirected

random walk (URW).

Overall, we find that neither the stratigraphic scenario nor the location in the platform (and,

as consequence, stratigraphic completeness) have a strong effect on the test results.

Difference between the stratigraphic and time domains

After accounting for time series lengths, we find that test results with and without

stratigraphic effects are very similar (*Figure 8* and *Figure 9*, comparison between the top and

bottom rows). Differences in test results between the time domain and the stratigraphic

domain are (1) weak support for URW under simulations of weak and strong Brownian drift

in scenario A in the stratigraphic domain, while tests in the time domain yield weak support

for OU, and (2) lower dispersion of AIC weights in scenario B under simulations of weak and

strong Brownian drift in the stratigraphic domain compared to the time domain.

Figure 8 AIC weights of different modes of evolution in the stratigraphic domain in scenario

A (first column, A-D) and for time series of equal length, but without stratigraphic biases

(second column, E-H) under simulated stasis (first row), Brownian motion (second row),

weak Brownian drift (third row), and strong Brownian drift (fourth row) at different positions

in the platform. The dashed line indicates the threshold for good support (AIC weight > 0.9),

the highlighted boxes are the correct test result for the simulated mode of evolution.

Figure 9: AIC weights of different modes of evolution in the stratigraphic domain in scenario

B (first column, A-D) and for time series of equal length, but without stratigraphic biases

 (second column, E-H) under simulated stasis (first row), Brownian motion (second row),

 weak Brownian drift (third row), and strong Brownian drift (fourth row) at different positions

 in the platform. The dashed line indicates the threshold for good support (AIC weight > 0.9),

 the highlighted boxes are the correct test result for the simulated mode of evolution.

 Test performance without stratigraphic biases

 Testing for the mode of evolution without stratigraphic effects (i.e., in the time domain), we

 found that increasing sampling resolution (time series length) from 5 to 200 sampling points

 per simulation time did not increase support for the correct mode of evolution (*Figure 10*,

 Supplementary Figure 20). The results for scenario A (*Figure 10*) and scenario B

 (*Supplementary Figure 20*) were very similar, thus we focus on scenario A here.

 Under simulated stasis (*Figure 10* A) AIC weights under low sampling effort (low number of

 sampling points) support URW, but this support quickly decreases and eventually vanishes

 for more than 20 sampling points. Support for the correct mode of evolution, stasis, peaks at

 10 to 15 sampling points at a mean AIC weight of around 0.3, decreases monotonously as

 sampling effort is further increased and stabilizes around 0.15. Support for stasis never

 reaches the threshold value of 0.9. For time series with 15 or more samples, the AIC weights

 are the highest for OU and a portion of them exceeds the 0.9 threshold set as the criterion for

 good support. Support for GRW is low to none across all sampling resolutions.

 Under simulated Brownian motion (*Figure 10* B), support for the correct mode of evolution

 (URW) is high for low sampling effort and mostly above 0.9 for series of five samples. It

 decreases monotonously to about 0.4 as sampling effort increases. Conversely, support for

 both OU and GRW increases monotonously until a mean of 0.25 as sampling effort increases.

 Support for stasis is low to null.

The results for weak and strong Brownian drift are similar (*Figure 10* C and D). Initial high

(mostly >0.9 in the case of weak Brownian drift) support for URW vanishes with increasing

number of sampling points. Support for the correct mode of evolution is high under low

sampling effort, but decreases as sampling effort is increased, stabilizing at values around

0.7. Conversely, support for OU increases monotonously up to a mean of ca. 0.25 as

sampling density is increased.

Figure 10: AIC weights of modes of evolution in the time domain under simulations of (A)

stasis; (B) Brownian motion; (C) weak Brownian drift; (D) strong Brownian drift as a

function of time series length. The sampled time interval is 2 Ma long (corresponding to the

duration of scenario A), and is sampled with increasing frequency to reflect increasing

sampling efforts. The dashed line indicates the threshold for good support (AIC weight >

0.9), the highlighted boxes are the correct test result for the simulated mode of evolution.

In summary, we find that in the absence of stratigraphic effects, the test correctly identified

the mode of evolution in a portion of simulations when sampling intensity was very low (5

points) and only for Brownian motion and weak and strong Brownian drift. In the case of

stasis, the correct mode has never been identified correctly if the threshold of 0.9 AIC weight

is applied. We thus reject the hypothesis that longer time series provide for better

identification of the mode of evolution.

Discussion

Simulating the preservation of trait evolution in incomplete records formed in carbonate

platforms, we found that – independently of the simulated mode of evolution, the presence or

absence of stratigraphic effects, time series length, or location in the carbonate platform –

tests for the mode of evolution failed to identify the correct mode of evolution according to

the applied criterion of an AIC weight above 0.9 (Portet 2020). Visually, it is not stratigraphic

completeness, but rather maximum hiatus duration and directionality of evolution that

determine how much series of trait values derived from the stratigraphic record differ from

the true evolutionary history.

Identifying the mode of evolution from fossil time series

We tested the hypothesis that the mode of evolution identified in a fossil time series obtained

under the assumption of uninterrupted constant sediment accumulation (UCSA) is the same

as the mode of the original time series in the time domain (the "true" evolutionary history).

Although AIC weights of fossil time series derived under this assumption are identical to the

AIC weights of the true evolutionary history, the tests fail to identify the correct mode of

evolution based on the initially set criterion of an AIC weight larger than 0.9 for both

stratigraphies studied here(*Figure 8* and *Figure 9*). Spatial variability in AIC weights in both

carbonate platforms can be explained by differences in time series length resulting from

differences in total accumulated thickness (*Figure 10*) rather than differences in stratigraphic

architectures. Because of the inability of the test to identify the correct mode of evolution in

the absence of any stratigraphic biases, our first hypothesis proved untestable with the chosen

approach. This suggests that the test commonly yields an incorrect mode beyond our own

study.

One possible explanation for the poor performance of the tests is that they are based on the

Akaike information criterion (AIC), which measures relative fit of models to data. In the

stratigraphic domain, none of the standard models of phenotypic evolution adequately

describe the data. For example, the stratigraphic expression of the Brownian drift models

resembles a Lévy process with rare, but large, jumps, rather than a Brownian drift (Landis,

Schraiber, and Liang 2013; Landis and Schraiber 2017) (*Figure 5*). The issues arising from

using AIC when models are not adequate are well known, and the adequacy of models of

phenotypic evolution has been discussed by Voje, Starrfelt and Liow (2018) and (Voje 2018).

But in the time domain, the models are adequate to describe the data: we simulate lineages

according to the same model we test for, yet the test do not find good support for the correct

mode of evolution. Another possible explanation for our inability to recover the correct

simulated mode of evolution is that our definition of what it means to identify a model is too

strict. Other criteria for good fit of a model are available (see e.g. Hopkins and Lidgard

(2012)). However, this does not explain why, in the absence of stratigraphic biases, AIC

weights of the correct mode of evolution decrease as time series get longer (Figures *8* and *9*,

lower row, *Figure 10*) — a qualitative behavior that is independent of any threshold for good

model support. For time series longer than 15 observations, support for the correct mode of

evolution measured by AIC weights decreases (*Figure 10*, *Supplementary Figure 20*). Based

on the probabilistic interpretation of the AIC weights, this implies that the more samples are

collected, the lower the probability that the data-generating model is the best model

(Wagenmakers and Farrell 2004). One explanation for this apparent statistical paradox is that

sampling a fixed time interval more frequently leads to diminishing returns on the

information gain per sample. Intuitively, a few samples should be sufficient to separate

directional evolution from stasis. However, this does not explain why AIC weights for the

correct mode of evolution decrease instead of increase. Another potential explanation is that

AIC is not consistent in the sense that if sample size grows, the probability that AIC identifies

the true model does not approach one (Bozdogan 1987). It is unclear if the considered time

series are long enough to display such asymptotic effects, and how they translate from AIC to

AIC weights. Summarizing, we cannot resolve why tests do not identify the correct mode of

evolution, longer time series yield lower AIC weights for the correct mode of evolution, and

AIC weights are unaffected by stratigraphic biases.

Effects of stratigraphic incompleteness

The second hypothesis we examined was that the lower the stratigraphic completeness, the

lower the chance to identify the correct mode of evolution from fossil time series that are

constructed based on the assumption of uninterrupted constant sediment accumulation

(UCSA). Because of the inability of the tests to recover the correct mode of evolution in the

absence of stratigraphic biases, we visually compared fossil time series derived under this

assumption with time series of the true evolutionary history (*Figures 5, 6, 7, Supplementary*

Figures 4—19).

Trait evolution reconstructed using simplified age-depth models varies spatially throughout

the platform (*Figure 5*). Even if gaps are identified and their duration is known, evolutionary

history coinciding with sea level drops is not preserved on the platform top. These time

intervals can be recovered from the distal slope where sediment is continuously accreted

during lowstand shedding (Figures *3* and *4*)(Grammer and Ginsburg 1992). This highlights

the importance to combine information from across the entire sedimentary basin to

reconstruct past changes (Holland and Patzkowsky 2015; Zimmt et al. 2021).

We found that stratigraphic incompleteness (Dingus and Sadler 1982; Tipper 1987) is an

imperfect measure to quantify the biasing effects of stratigraphic architectures on phenotypic

evolution. For similar values of stratigraphic completeness, the stratigraphic expression of the

same lineage can strongly differ. For example, fossil time series of Brownian drift reflect the

underlying true evolutionary history, or display apparent jumps in phenotype for very close

values of completeness(*Figure 7*). In addition, different modes of evolution are biased to a

different degree when compared within the same section (and thus identical stratigraphic

effects and values of incompleteness). For example, in the same section Brownian drift

displays jumps in phenotype over gaps that will be misinterpreted as elevated rates of

evolution, whereas stasis remains unaffected (*Figure 6*). Multiple definitions of

incompleteness have been applied in stratigraphy (Anders, Krueger, and Sadler 1987), some

of which incorporate spatial variability (Straub and Foreman 2018). The definition of

stratigraphic completeness from (Tipper 1987) we used here reflects the intuition that gaps in

the geological record are the dominant factor that diminishes the quality of the fossil record.

While other definitions of incompleteness might be more suitable to quantifying stratigraphic

biases, our results show that the nature of the underlying evolutionary process cannot be

neglected when assessing the fidelity of the fossil record.

The change of phenotype over a gap is determined by gap duration and the change in

phenotype accumulated over this time interval. Stasis remains unaffected by gaps as it

accumulates no change in phenotype. On the other hand, for Brownian drift models, change

in phenotype is proportional to gap duration. Under the simplified assumptions on ADMs we

used, the change in traits over gaps will be taken at face value, giving trait evolution a distinct

punctuated look. While a precise modern formulation of the punctuated equilibrium

hypothesis (Gould and Eldredge 1972) is debated (see Pennell, Harmon, and Uyeda (2014)

vs. Lieberman and Eldredge (2014)), these jumps will be mistaken for intervals with elevated

evolutionary rates. Models of trait evolution that can incorporate punctuations are Lévy

processes, a class of stochastic process that combines gradual change with discrete jumps

(Landis, Schraiber, and Liang 2013; Landis and Schraiber 2017). In Lévy processes, the jump

components are random and have a Poisson structure. This provides a way to separate

between artefactual jumps introduced by simplistic ADMs and true elevated rates of

evolution: Artefactual jumps will coincide gaps in the record or interval of reduced sediment

accumulation rates, and thus connect to external drivers of stratigraphic architectures such as

drops in sea level, rather than being random. Combined, these results suggest that due to gaps

in the stratigraphic record, fossil time series favor the recognition of both stasis and complex,

punctuated models of evolution (Hunt, Hopkins, and Lidgard 2015).

Hoffman (1989) pointed out that most paleontological evidence for punctuated equilibrium

comes from shallow water habitats (e.g. (Kelley 1983; Williamson 1981)), which they argue

are more incomplete and thus favor the recognition of punctuations. This reflects the common

idea that different environments have different incompleteness and thus different abilities to

preserve evolution, as we have phrased in our hypothesis two. In contrast to this

preconception, we found that many environments in a carbonate platform have very similar

incompleteness and hiatus distributions (*Figure 4*). Due to the flat geometry of the platform,

the separation is between platform top and slope, not the distinct environments within the

platform such as forereef and lagoonal environments (Liu and Liu 2021). In addition,

incompleteness alone is not sufficient to produce artefactual jumps in phenotype (*Figure 7*).

Gaps in the stratigraphic record need to be sufficiently long compared to the rate of the

evolutionary process, so that morphologies can change enough to display sufficient offset

over the gap. This indicates that, rather than incompleteness, maximum hiatus duration is

driving the discrepancy between fossil time series and the true evolutionary history.

Naturally, maximum hiatus duration is limited by stratigraphic completeness and the time

covered by the section. But even under high incompleteness, as long as hiatus frequency is

high and durations are short, the fossil record can still give a good insight into evolutionary

history, and be adequate to test a wide range of evolutionary hypotheses (Paul 1992).

Limitations of the simulated carbonate platforms

Carbonate platforms used for this research only approximate stratigraphic architectures that

would form in nature. Firstly, CarboCAT Lite does not include erosion other than sediment

transport, which removes sediment locally immediately after its formation, but preserves the

total volume of generated sediment in the platform. In real carbonate platforms, part of the

carbonate sediment is dissolved and returned to the water column (e.g., Albright, Langdon,

and Anthony (2013), exported into the ocean, and a part is chemically and mechanically

eroded when it becomes emerged. The addition of erosion to the model would have the effect

of enlarging the present gaps in the record and merging the shorter ones, resulting in fewer,

longer gaps and lower stratigraphic completeness. Secondly, carbonate production curves

used to inform the models reflect activities of carbonate factories under stable conditions. In

reality, a regression would often lead to removal of carbonate producing organisms from the

emerged area. The recolonization of this area by carbonate producers would lead to a lag in

the factory resuming its activity. Carbonate production and preservation is also sensitive to

diurnal, seasonal and long-term astronomical cycles, e.g. through temperature control over

the proportion of precipitated aragonite (Balthasar and Cusack 2015) and the length of the

vegetative season of calcifiers (e.g., Marshall and Clode 2004; Mancuso et al. 2019).

Our simulations did not include pelagic carbonate production, spatially heterogeneous

subsidence or gravitational sediment transport, which may be crucial in the formation of

some empirical carbonate platforms (Masiero et al. 2020). We relied here on high benthic *in*

situ production rates as the dominant driver of sediment accumulation in tropical, attached

carbonate platforms. Thus, the stratigraphic architectures presented here are conceptual

endpoints with exaggerated completeness.

The construction of our study follows the assumption of uninterrupted constant sediment

accumulation (UCSA), which implies that the ages of fossils found at a given stratigraphic

position correspond to the age of the stratum. This assumption is made in many simulation

studies of trait evolution in the fossil record (e.g. (Hannisdal 2006)) and inherent to most

methods that estimate age-depth models (e.g. (Parnell et al. 2008)). With this respect, our

computer experiment is representative for such studies. However, time averaging and

sedimentary condensation mean that typically more time is represented by fossils than by

sedimentary strata (Kowalewski and Bambach 2008; Tomašových et al. 2022). On the other

hand, fossils of different ages will be found at the same stratigraphic height, limiting the

temporal resolution of evolutionary steps in the population's mean. Because of high *in situ*

sediment production, timescales of time averaging identified in modern tropical carbonate

environments are typically much shorter than rates of trait evolution, regardless of the way

they are measured (Hunt 2012; Voje 2016; Kowalewski et al. 2017; Philip D. Gingerich

2019). This means that trait evolution reconstructed in tropical carbonate platforms may not

be representative for other environments with lower rates of sediment accumulation or higher

proportion of transported material.

Conclusions

We tested the hypothesis that the commonly employed approach to identifying the mode of

evolution in fossil succession, i.e. linear projection of stratigraphic positions of occurrences

into the time domain without considering changes in sedimentation rate and gaps in the

record, recovers the correct mode of evolution. In the course of the study, it appeared that the

test is, in fact, not possible, because the commonly used test does not recover the correct

mode of evolution even from complete data (i.e. if the time domain is sampled without gaps

or distortions. In both considered situations: original mode of evolution in time and its

distorted record in the stratigraphic domain, the tests did not yield clear support for the

correct mode of evolution. Our findings differ from those of Hannisdal (2006) , who found

(using a different approach but asking the same question) that incomplete sampling in the

stratigraphic record may result in all other modes of evolution being identified as stasis. In

our study, stasis has never been identified correctly.

Our findings did not vary substantially between two stratigraphic architectures with varying

gap distributions and degrees of stratigraphic completeness. The difficulty in identifying the

correct mode of evolution holds across depositional environments. This is counterintuitive, as

deeper environments are often assumed to be more complete and therefore more suitable for

sampling fossil series for evolutionary studies. Increasing the number of observations (i.e.

sampling intensity, length of the fossil series) did not improve the identification of the mode

of evolution, but rather worsened it.

Our study was motivated by improving the recovery of evolutionary information from highly-

resolve fossil successions, particularly at microevolutionary scales. We are convinced that

such successions can aliment models and understanding that is not accessible to exclusively

neontological methodologies, as illustrated by e.g. (Hopkins and Lidgard 2012; Voje 2016;

Petryshen et al. 2020). Our contribution is the use of stratigraphic forward modeling to

ground-truth the methodologies serving this palaeobiological research program. Forward

modeling allows rigorous testing of concerns that the fossil record is too distorted, or too

incomplete, to answer (micro)evolutionary questions. The conclusion of our study is,

unexpectedly, that it may be the analytical methods that limit our use of fossil data, rather

than the quality of the fossil record. The proliferation of ever better stratigraphic forward

models (e.g. CarboCAT (P. M. Burgess 2013; Masiero et al. 2020), SedFlux (Hutton and

Syvitski 2008)) opens the possibility to validate these methods and improve our

understanding of the fossil record.

Declarations

Ethics approval and consent to participate

Not applicable

Consent for publication

Not applicable

Availability of data and materials

The dataset supporting the conclusions of this article is available in the Zenodo repository,

https://doi.org/10.17605/OSF.IO/ZBPWA (Hohmann, Koelewijn, and Jarochowska 2023a).

All code used can be found in Hohmann, Koelewijn, and Jarochowska (2023b) and is

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Competing interests

The authors declare that they have no competing interests

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Authors' contributions

According to the CRediT taxonomy

Niklas Hohmann: Conceptualization, Methodology, Software, Validation, Formal analysis,

Investigation, Data curation, Writing – Original Draft, Writing – Review & Editing,

Visualization.

Joël R. Koelewijn: Software, Validation, Formal analysis, Investigation, Visualization.

Peter Burgess: Writing – Review & Editing, Software.

Emilia Jarochowska: Conceptualization, Methodology, Validation, Visualization, Writing –

Review & Editing, Supervision, Project administration, Funding acquisition.

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