Identification of the Mode of Evolution in Incomplete Carbonate Successions

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Abstract

Background: The fossil record provides the unique opportunity to observe evolution over millions of years, but is known to be incomplete. While incompleteness varies spatially and is hard to estimate for empirical sections, computer simulations of geological processes can be used to examine the effects of the incompleteness *in silico*.

We combine simulations of different modes of evolution (stasis, (un)biased random walks) with deposition of carbonate platforms strata to examine how well the mode of evolution can be recovered from fossil time series, and how test results vary between different positions in the carbonate platform and multiple stratigraphic architectures generated by different sea level curves.

Results:

Stratigraphic architecture and position along an onshore-offshore gradient has only a small influence on the mode of evolution recovered by statistical tests. For simulations of random walks, support for the correct mode decreases with time series length.

Visual examination of trait evolution in lineages shows that rather than stratigraphic incompleteness, maximum hiatus duration determines how much fossil time series differ from the original evolutionary process. Gradual directional evolution is more susceptible to stratigraphic effects, turning it into punctuated evolution. In contrast, stasis remains unaffected.

Conclusions:

- Fossil time series favor the recognition of both stasis and complex, punctuated modes of evolution.

- Not stratigraphic incompleteness, but the presence of rare, prolonged gaps has the largest effect on trait evolution. This suggests that incomplete sections with regular

hiatus frequency and durations can potentially preserve evolutionary history without

major biases. Understanding external controls on stratigraphic architectures such as

sea level fluctuations is crucial for distinguishing between stratigraphic effects and

genuine evolutionary process.

Keywords:

Paleontology, Stratigraphy, Trait Evolution, Paleobiology, Carbonate Platform, Mode of

Evolution, Time Series, Fossil Record.

49 Introduction

50 The fossil record as source of information

Fossils provide a unique record of evolution on temporal and spatial scales not accessible to

experimentation or direct human observation (Gingerich 1983; 2001). Geological records

have delivered fossil time series crucial in formulating and testing hypotheses on

evolutionary dynamics and mechanisms of speciation spanning micro- to macroevolutionary

scales (e.g., Dzik 2005; Strömberg 2006; Aze et al. 2011; Voje 2020). Nevertheless, fossils

remain underused in evolutionary biology. Their main application is still node and tip

calibration of molecular clocks, which commonly relies on single occurrences or on

assumptions about the probability of finding a fossil rather than stratigraphic data (Donoghue

and Yang 2016). It is also subject to biases resulting from this small sample size (Springer

1995). The unique type of information contained in a fossil succession sampled over a long

time interval is rarely exploited, likely due to the following barriers:

1. The fossil record, being a part of the stratigraphic record, is patchy and distorted. At the time when Darwin (1859) discussed this as a major limitation for the testing and development of the theory of evolution, little geological knowledge was present to elucidate the rules governing this incompleteness. Darwin's concern widely persists (e.g., Patterson (1981)), albeit mostly implicitly: most phylogenetic analyses published today do not use fossils which would have been relevant or use only a small fraction of them. Stratigraphy and sedimentology, which can provide relevant data on fossils and the constraints on their occurrence and sampling (Kidwell and Holland 2002; Hunt 2010), are jargon-laden, highly atomized disciplines whose utility for evolutionary biology is not obvious to biologists. Biostratigraphy, which uses fossils to establish the relative age of rocks and has amassed datasets that would be of high utility for evolutionary studies, employs taxonomic concepts that are often impractical for or incompatible with evolutionary questions (Dzik 1985; 1995; Pearson 1992; Haug and Haug 2017). As a results, scientific communities studying evolution and the fossil record function in parallel, with limited exchange (Grantham 2004).

2. There is a lack of methodological frameworks to incorporate fossils in their stratigraphic context in evolutionary studies. Historically, phylogenetic methods rarely incorporated geological information such as the relative order of appearance of taxa or specimens in the fossil record, which is the main subject of biostratigraphy (Wills 1999). This has led to radical discrepancies between the outcomes of phylogenetic and stratigraphic, or stratophenetic, approaches (Gingerich and Schoeninger 1977; Donoghue 2001; Dzik 2005). This barrier is gradually overcome by methodological advances, such as the Fossilized Birth-Death Model (Stadler et al. 2018), which allows incorporation of parameters specific to the fossil record, such as fossilization rate, sampling probability and age uncertainties of fossil occurrences

(Barido-Sottani et al. 2020; Warnock, Heath, and Stadler 2020; Wright et al. 2022;

Barido-Sottani et al. 2023).

Recently, there is renewed appreciation for the importance of fossils in phylogenetic

reconstructions (Quental and Marshall 2010; Mitchell, Etienne, and Rabosky 2019;

Mongiardino Koch, Garwood, and Parry 2021; Guenser et al. 2021). These studies focus on

the role of the morphological information provided by extinct taxa, but less on what a modern

understanding of the physical structure of the geological record contributes to reconstructing

evolutionary processes from fossil-bearing stratigraphic successions.

95 Stratigraphic incompleteness and age-depth models

The incompleteness of the fossil record serves as an umbrella term for different effects that

diminish the information content of the rock record, ranging from taphonomic effects and

sampling biases to the role of gaps and erosion (Kidwell and Holland 2002). Here we focus

on the role of gaps (hiatuses) in the rock record. Such gaps can arise due to sedimentation

(including fossils) and subsequent erosion or lack of creation of rocks in the first place, e.g.

when an environment remains barren of sediment formation or supply for a long time. Both

processes result in gaps in the rock record and, as a result, in the fossil record. This type of

incompleteness is termed stratigraphic (in)completeness, defined as the time (not) recorded in

a section, divided by the total duration of the section (Dingus and Sadler 1982; Tipper 1987).

Stratigraphic completeness provides an upper limit on the proportion of evolutionary history

that can be recovered from a specified section, even with unlimited resources and perfect

preservation of fossils. Stratigraphic completeness is difficult to quantify in geological

sections, and estimates range between 3 and 30 % (Wilkinson, Opdyke, and Algeo 1991),

suggesting that more than 70 % of evolutionary history is either not recorded in the first place

or destroyed at a later time.

Fossils older than a 1.5 million years cannot be dated directly, and their age has to be inferred from circumstantial evidence on the age of the strata in which they were found (Wehmiller et al. 1988; Kidwell and Flessa 1996). This inference is formalized by age-depth models (ADMs), which serve as coordinate transformations between the stratigraphic domain, where the fossils were found (length dimension L, SI unit meter), and the time domain (time dimension T, SI unit seconds - we use the derived units years, kyrs, or Myrs) (Hohmann 2021b). Age-depth models are always explicitly or implicitly used when fossil data is used for evolutionary inferences. Because they convey how positions of fossils relate to their age, ADMs are the basis for calculating evolutionary rates. As a result, revising ADMs commonly leads to a revision of evolutionary rates. For example, Malmgren, Berggren, and Lohmann (1983) observed increased rates of morphological evolution in lineages of fossil foraminifera over a geologically short time interval of 0.6 Myr and proposed that this "punctuated gradualism" may be a "common norm for evolution". MacLeod (1991) revised the age-depth model and showed that the interval with increased rates of evolution coincides with a stratigraphically condensed interval, i.e. more change is recorded in a thinner rock unit. Re-evaluating the evolutionary history based on the revised age-depth model removed the apparent punctuation and showed that morphological evolution in that case had been gradual rather than punctuated.

Age-depth models contain information on both variations in sediment accumulation rate and gaps in the stratigraphic and - as a result – the fossil record. For example, stratigraphic completeness corresponds to the fraction of the time domain to which an age-depth model assigns a stratigraphic position. In the absence of an age-depth model, we can only make statements on the ordering of evolutionary events, but not on the temporal rates involved.

Forward models of stratigraphic architectures

Forward computer simulations of sedimentary strata provide a useful tool to study the effects of incompleteness and heterogeneous stratigraphic architectures. They have demonstrated that locations and frequency of gaps in the stratigraphic record are not random, but a predictable result of external controls, such as fluctuations in eustatic sea level (Warrlich 2000; Hutton and Syvitski 2008; Burgess 2013; Masiero et al. 2020).

Combined with biological models, forward models provide a powerful tool to test hypotheses on the effects of stratigraphic architectures on our interpretations of evolutionary history. For example, Hannisdal (2006) combined simulations of a siliciclastic basin with models of taphonomy and phenotypic evolution. The results showed that when sample sizes are small, morphological evolution will appear as stasis regardless of the underlying mode. This might explain why stasis is the most common evolutionary pattern recovered from the fossil record (Hunt, Hopkins, and Lidgard 2015).

Stratigraphic incompleteness and variations in sediment accumulation rates introduce multiple methodological challenges. Constructing complex ADMs requires sedimentological and stratigraphic expert knowledge, and they will potentially be associated with large uncertainties. Even in the "perfect knowledge" scenario where the age-depth model is fully known, evolutionary history in the time domain will inevitably be sampled irregularly: If two samples are separated by a hiatus, their age difference must be at least the duration of the hiatus, which might be millions of years. On the other hand, if sediment accumulation is rapid and no hiatuses are present, the age difference between samples might be only a few days. Most studies "translate" fossil successions into time series using age-depth models based on simplified assumptions on the regularity of the stratigraphic record. These ADMs ignore stratigraphic incompleteness and often assume uninterrupted constant sediment accumulation

(UCSA). This assumption implies that stratigraphic completeness is 100 %, rock thickness is

proportional to time passed, and linear interpolation between tie points of known age can be

used to infer fossil ages from their positions. Such ADMs are usually used implicitly, without

discussing their limitations. While the assumption of UCSA is sedimentologically and

stratigraphically unrealistic, it brings strong methodological simplifications. For example, if

distance between samples collected in a rock section is kept constant, UCSA implies that the

underlying evolutionary history in the time domain is sampled at a constant frequency, the

generated fossil time series are equidistant in time and can therefore be analyzed by standard

methods of time series analysis (Hunt 2006; Beran 2017).

Objectives and hypotheses

We examine how commonly made simplified assumptions on stratigraphic architectures

influence how the mode of evolution is recovered from fossil time series. We use tropical

carbonate platforms as a case study, because they host large parts of the fossil record and are

evolutionary hotspots (Jablonski, Roy, and Valentine 2006).

We test the following hypotheses:

1. The mode of evolution identified in a fossil time series obtained under the assumption

of uninterrupted constant sediment accumulation (UCSA) is the same as the mode of

the original time series.

2. Lower stratigraphic completeness reduces the chance of identifying the correct mode

of evolution from fossil time series constructed based on the assumption of UCSA

(Holland 2000; Patzkowsky and Holland 2012). The implication of this hypothesis is

that different depositional environments have different chances of preserving the

mode of evolution because of systematic differences in their completeness.

Methods

We simulate trait evolution in the time domain, pass it through a stratigraphic filter produced by the CarboCAT Lite model of carbonate platform formation (Burgess 2013; Burgess 2023), and compare how well the mode of evolution can be recovered from the fossil time series sampled in the stratigraphic domain and the time series reflecting the "true" evolutionary history in the time domain. A visual summary of the workflow is shown in Figure 1. Data is available in (Hohmann et al. 2023), code is available (Hohmann et al. 2024). See the README and REPRODUCEME files therein for details on computational reproducibility.

Figure 1: Study design for testing the mode of evolution in the stratigraphic domain. Computationally, first sampling positions are determined, then the age-depth model is used to determine the times that correspond to these positions. Last, the trait evolution at said times

193   *are simulated. The simulated mean trait values are the values observable at the sampled*

194   *stratigraphic positions.*

The stratigraphic record: Forward models of carbonate platform architecture

We simulated two attached carbonate platforms using the CarboCAT Lite software (*Figure 2*

and *Figure 3*) (Burgess 2023). CarboCAT Lite is implemented in MATLAB and simulates

carbonate production by carbonate factories characterized by different production curves,

which are functions of water depth (Bosscher and Schlager 1992; Burgess 2013; Masiero et

al. 2020). It includes sediment transport that is a function of platform topography, i.e.,

sediment is transported downslope, but not a function of external parameters such as waves

or currents. Simulations were run using time steps of 1 kyr (1000 years) and a grid of 1 km

width (strike) and 15 km length (dip), subdivided into quadratic grid cells of 100 m length.

We used three carbonate factories with production curves following the parametrization of

Bosscher and Schlager (1992) (*Supplementary Figure 1*). Factory 1 is phototrophic with a

maximum carbonate production rate of 500 m × Myr^{-1}, which it maintains up to 30 m of

water depth. Factories 2 and 3 have maximum production rates of 160 and 150 m × Myr^{-1}

respectively and maintain maximum productivity until 40 m water depth, but differ in how

fast productivity decreases with depth (*Supplementary Figure 1*). We assumed constant

subsidence of 70 m per Myr across the grid. This is approximately seven times higher than

estimated subsidence rates in the last 125 kyr in the Bahamas (McNeill 2005), allowing for

higher completeness than would be generated on a typical passive continental margin. As

initial topography, a slope with a gradient of 5.33 m × km^{-1} was used, resulting in a total

initial difference in water depth of 80 m between the shore and the offshore end of the

simulated grid. We followed the default setting of CarboCAT Lite, described by Burgess

(2013) with three lithofacies (sediment types) corresponding to the three carbonate factories,

plus three secondary lithofacies representing sediment transported downslope after the

deposition of the primary lithofacies (dark colors in *Figures 2* and *3*). As initial facies

distribution, the default setting of CarboCAT Lite was used, which assigns each grid cell one

of the three carbonate factories or no factory according to a uniform distribution.

Figure 2: The outcome of simulating carbonate platforms in the stratigraphic domain. (A)

Scenario A: deposition based on a fictional sea-level curve. (B) Scenario B: deposition based

on the sea-level curve from Miller et al. (2020) for the last 2.58 Myr. Graphs represent the

position in the middle of the simulated grid along the strike.

Figure 3: Simulated carbonate platforms in the time domain. (A-C) Scenario A. (D-F)

Scenario B. (A, D) Chronostratigraphic (Wheeler) diagrams. (B, E) Sea level curves used as

input for the simulation. (C, F) Facies volumes. Graphs represent the position in the middle

of the simulated grid along the strike.

Two scenarios were simulated (*Figures 2* and *3*). In scenario A, the simulation was run for 2

Myr with changes in eustatic sea level given by a combination of sinusoids expressed as

third-order sea level changes with a period of 1 Myr and an amplitude of 20 m and fourth-

order changes with a period of 0.112 Myr and an amplitude of 2 m (*Figure 3* B). Scenario B

was simulated for the period from 2.58 Mya (beginning of the Pleistocene) until the present

using the global eustatic sea level curve estimated from global $\delta^{18}O_{benthic}$ records by Miller et

al. (2020). This curve has a temporal resolution of approximately 2 kyr over the Pleistocene

(mean: 2.13 kyr, median: 2.07 kyr), and was linearly interpolated to match the time

increments of the simulation and normalized to have a mean sea level of 0 (*Figure 3* E).

For each scenario, CarboCAT Lite produces a grid containing the facies, including no

deposition, at each time step and position on the grid. The outputs were extracted from

MATLAB and all further analysis was carried out in the R Software (R Core Team 2023).

For both scenarios, age-depth models at every grid node were extracted from the simulation

outputs. Age-depth models taken from the same distance from shore display only little

variation as position along the shore (strike) is varied, indicating that lateral position in the

grid is negligible. Accordingly, we focused on age-depth models taken from the middle

transect along the dip. In each scenario, synthetic sections and the corresponding age-depth

models at five locations were selected (*Supplementary Figure 2*). The selected locations are 2

km, 6 km, 8 km, 10 km, and 12 km from the shoreline. These distances corresponded to

lagoonal environments, backreef, forereef, proximal slope, and distal slope. The simulated

carbonate platforms accreted vertically with only minor shift of environments along the

onshore-offshore axis, therefore each location can be interpreted as representing its respective

environment across the entire simulation (platform lifetime). Only simulation outputs

between the shore and 13 km offshore are shown in the figures, as the remainder (13-15 km)

consisted entirely of redeposited carbonates and was not used further.

The simulated carbonate platforms were characterized using the following parameters

calculated at any given location in the platform:

1. Stratigraphic completeness, calculated as the proportion of time steps with sediment

accumulation relative to the total number of time steps in the simulation (function

`get_completeness` in Hohmann et al. (2024)), corresponding to completeness on

the time scale of 1 kyr (Tipper 1987; Anders, Krueger, and Sadler 1987; Kemp 2012)

2. Distribution of hiatus durations in Myr (function `get_hiatus_distribution` in

in Hohmann et al. (2024)

Simulation of phenotypic trait evolution in the time domain

We simulated three commonly discussed modes of evolution in the time domain: stasis, unbiased random walk, and biased random walk (Hunt 2006; Jones 2009; Hopkins and Lidgard 2012; Hunt, Hopkins, and Lidgard 2015). These simulations serve as "true" evolutionary history against which we compare fossil time series derived under simplified assumptions on age-depth models.

In unbiased random walk models, the change in traits over a fixed time step is independent of the previous trait values, and drawn from a distribution with mean zero (Bookstein 1987; Sheets and Mitchell 2001). In biased random walk models, the mean can deviate from zero. The trait evolution along a lineage is then generated by summing up the incremental changes in traits. Commonly used models of random walks are based on equidistant time steps, meaning the time passed between two observations of the random walk is identical for all observations. We could not use these models, because depending on where in the stratigraphic column samples are collected, the time elapsed between two successive sampling positions can vary by more than three orders of magnitude. It will be less than a thousand years when sediment accumulation rate is high, and more than half a million years when they are separated by a hiatus (*Figure 4*). If samples have identical distances in the stratigraphic column, the underlying evolutionary history will be sampled at irregular times. We use continuous-time extensions of random walk and stasis models so we can sample lineages at arbitrary time points without relying on interpolation between discrete time steps. Interpolation would potentially introduce dependencies between successive samples, contradicting the assumption of the random walk model that change in traits is independent

and identically distributed. The continuous-time expansion provides exact trait values at the

sampled times, and reduces to the standard discrete time step models when applied to

equidistant time steps. In addition, discrete-time models incorporate time elapsed between

observations into model parameters (e.g., the variance parameter of the random walk model),

making their scaling behavior non-obvious when time scales are varied. Using continuous-

time implementations makes it possible to compare observations across time scales and vary

the granularity of observation while keeping the underlying model of evolution fixed. We use

this property to examine how increased sampling of the same time interval influences model

selection performance.

We extracted age-depth models from CarboCAT Lite outputs. The age-depth models serve as

functions $H : L \rightarrow T$ that connect the stratigraphic domain L with the time domain T. Given a

model of trait evolution M_t in the time domain, we then define its stratigraphic expression as

$M \circ H^{-1}$, which is the trait evolution observable in the stratigraphic column. This allows us to

form triplets (h, t, m), where h is a stratigraphic position, t is the time when that position was

formed, and m is the trait value that describes the true evolutionary history at time t and can

be observed at height h (*Figure 1*). The R package DAIME (Hohmann 2021b) was used for

age-depth transformations to sample time and depth domain at arbitrary points. The

theoretical underpinning for the need of these transformations can be found in Hohmann

(2021). For the (un)biased random walk models, we use Brownian drift as a continuous-time

expansion. It is given by

$$X_t = \mu \cdot t + \sigma B_t$$

where μ and σ are model parameters, t is time, and B_t is a Brownian motion. The parameter μ

specifies how biased or directional the Brownian drift is and σ specifies how volatile the

process is. After t time units have passed, the distribution of a trait following a Brownian drift

model is normally distributed with standard deviation $\sigma\sqrt{t}$ and mean $\mu \times t + x_0$, where x_0 is

the trait value at the beginning of the observation. When sampled at equidistant points in

time, this reduces to a standard random walk model where a normal distribution determines

the step sizes.

Stasis is expressed as the lack of net change in traits over the period of observation. We

model stasis as a series of independent, identically distributed random variables with mean m

and standard deviation s (Sheets and Mitchell 2001). As a result, stasis is not affected by the

heterodistant sampling in the time domain.

We examined the preservation of three evolutionary scenarios (*Supplementary Figure 3*):

(1) Stasis with mean $m = 0$ and standard deviation $s = 1$;

(2) Brownian motion as a special case of Brownian drift with parameters $\mu = 0$ and $\sigma = 1$;

(3) Brownian drift with parameters $\mu = 5$ and $\sigma = 1$, corresponding to a directional random

walk.

After one million years of evolution, the Brownian motion has an expected change in traits

of zero, while the Brownian drift has an expected change of traits of 5. For comparability,

the σ parameter on the Brownian motion and drift models was kept constant, resulting in a

standard deviation of traits around their mean value equal to one after one million years. The

three evolutionary scenarios differ in their directionality, defined as the difference in traits

accumulated over time. Brownian drift is directional, stasis and Brownian motion on average

are not. Individual lineages following a Brownian motion can deviate drastically from their

initial trait value – only when multiple lineages are observed, the mean trait values observed

in them average to zero (*Supplementary Figure 3*).

Sampling procedure in the stratigraphic domain

For clarity, we distinguish between stratophenetic series and time series. Stratophenetic series are taken in the stratigraphic domain and record trait values observed at specific stratigraphic positions, whereas time series are located in the time domain and record trait values observed at specific points in time.

To isolate the effects of stratigraphic incompleteness, we assume all lineages have an equal chance of being sampled across all environments. We assume identical sampling procedures for stratophenetic series in both scenarios: a sample is taken every meter and consists of 100 specimens. The distribution of traits among the specimens in a sample is normally distributed with variance of 0.1 and a mean according to the simulated trait values. This choice is made so the stratophenetic series match the format required by the paleoTS package (Hunt 2006; 2022), which we use to identify the mode of evolution. The variance of 0.1 was chosen to ensure that variability within a sample is small compared to the expected mean evolutionary change that accumulates over the course of the simulations, thus reducing the chance to mistake variability within populations for evolutionary trends (Hannisdal 2006). This procedure generates equidistant fossil time series with, depending on the location in the platform, 20 to 150 sampling positions in scenario A and 50 to 220 sampling positions in scenario B.

Identification of the mode of evolution from simulated stratophenetic series

We applied the tests for the mode of evolution implemented in the `compareModels` function of the paleoTS package version 0.5.3 (Hunt 2022) to the simulated stratigraphic

series. The tests use corrected Akaike's Information Criterion (AICc) to determine which of

the following models fits best to the stratophenetic series: the stasis model, an unbiased

random walk (URW), and a biased random walk referred to as general random walk (GRW).

The models identified by the `compareModels` function correspond to the simulated

processes as follows: URW to the Brownian motion, GRW to the Brownian drift, and stasis

to stasis. The tests take the between-samples variance in trait values and the number of

specimens found at a sampling position into account. At each location in the carbonate

platform, 100 stratophenetic series per evolutionary scenario (stasis, Brownian motion,

Brownian drift) were simulated and tested for their fit to the stasis, URG, and GRW model.

Because raw AICc values carry no meaning, we use the derived AICc weights instead. The

(uncorrected) AIC weight of a model can be interpreted as the probability that it is the best

approximating model, given the data and the set of candidate models (Wagenmakers and

Farrell 2004). Thus, higher AIC weights (and, as a result, AICc weights) reflect better support

for the model.

As a baseline for the test performance of the paleoTS package, the tests were also performed

in the time domain, i.e. without any losses or distortions introduced by the stratigraphic

record. To this aim, the time interval of observation (2 Myr and 2.56 Myr for scenario A and

B, respectively) was subdivided into 5, 10, 15, 20, 25, 35, 50, 100 and 200 equally spaced

sampling points. Lineages evolving according to the specified modes of evolution were

sampled at these time points, and the test for the modes of evolution was performed on the

resulting time series. Our hypothesis for this baseline is that in the absence of stratigraphic

effects, increased sampling effort (i.e., a higher number of subdivisions of the time interval of

observation, resulting in longer time series) increases support for the correct mode of

evolution.

Results

Stratigraphic architectures

Carbonate platform A

Platform A (*Figure 2* A; *Figure 3* A-C) has a steep topography with high build-up up to 8 km into the basin and thin, condensed off-platform deposits between 8 and 13 km, consisting mostly of transported sediment. The majority of transported sediment is derived from the phototrophic factory, with a sharp drop in production rate at around 30 m water depth (*Supplementary Figure 1*). The thinnest interval at ca. 13 km into the basin contains also sediment transported from the two other factories.

The platform consists of two "depositional sequences" with different topographies. These "sequences" correspond to two sea-level highs, with the first one resulting in low topography and rapid progradation that led to basinward, rather than upward, growth of the platform. The second "sequence" is aggradational, with distinct "parasequences" expressed in facies, corresponding to the 125 kyr period in the sea-level curve used as simulation input. This "sequence" is responsible for the steep topography of the platform. The top of the platform is abruptly truncated as a result of the long-term cycle sea-level fall and covered with a thin deposit of the ensuing initial transgression.

The two long-term cycle sea-level falls result in two major gaps (*Figure 3* A). The gap between the first and the second "depositional sequence" does not extend uniformly across the entire platform. During the time of the gap formation, the euphotic factory and sediment derived from transport of this factory's products accumulated at the platform edge,

prograding between 5 and 12 km basinward. The last part of this interval offlaps the platform,

forming an architecture resembling the Falling Stage Systems Tract (Plint and Nummedal

2000). In contrast, the second major gap resulted in almost no deposition, reflecting almost

no sediment transport in the second stage of platform formation. As a result, this platform is

characterized by: two long gaps in deposition and several shorter gaps with limited spatial

extent; large differences in thickness along the onshore-offshore gradient and a substantial

contribution of sediment transport and offshore deposits formed entirely from sediment

exported from the platform in the first half of the platform formation.

Carbonate platform B

For scenario B, we used the Pleistocene-Holocene global mean sea-level estimate of Miller et

al. (2020). In the original dataset, the sea level varied between -121 m to 31.9 m and was here

normalized to a mean of 0 m (*Figure 3* E). The simulated time interval corresponds to

gradually lowering global sea level dominated by 41-ka tilt forcing leading to amplitudes

reaching 50 m. A gradual increase in sea-level amplitude throughout this interval is attributed

to the onset of the glaciation of the Northern Hemisphere. In the middle Pleistocene, ca. 800

ka, a shift to "quasi-100-ka" periodicity is associated with higher sea level amplitudes

reaching 140 m (Miller et al. 2020). This Milankovitch-paced sea-level changes resulted in a

simulated carbonate platform with short gap durations (*Figure 3* D, *Figure 4* B). Where

present, the gaps are widespread across the platform, i.e. sea-level drops resulted in gaps

uniformly distributed across all environments. The continuity of gaps is even more

pronounced in the youngest part of the platform corresponding to the last 800 kyr, reflecting

the higher sea-level amplitude. Most gaps are concentrated in the central part of the platform,

whereas the most shore- and basinward parts of the platform may be filled with sediment at

the time when no other strata is formed in the grid, leading to the highest completeness of

425 these two opposite ends. The dominant facies is sediment transported from the phototrophic

426 factory (dark red in *Figure 2*), which is present across the entire platform, rather than

427 exported off the platform as in scenario A. Hence, this platform is dominated by short-

428 distance sediment transport. In the older part of the platform, the offshore part (at a distance

429 of 11-13 km from the shore) has a higher stratigraphic completeness (more time represented

430 by sediment) than the onshore end, but in the younger part of the platform, the difference

431 disappears. The lack of large sea-level falls results in a lack of major facies shifts, low

432 topography and a gradual, nearly aggradational buildup (*Figure 2* B).

Completeness and distribution of hiatuses

434 Changes in completeness along the onshore-offshore gradient were very similar for both

435 scenarios: completeness increased monotonously from the shore and had a double peak

436 around 9 and 11 km offshore, where it reached values of 75 and 95 percent (onshore and

437 offshore peak) in scenario A and around 80 percent (both peaks) in scenario B (*Figure 4*).

438 The onshore peak in completeness coincided with the forereef environment, where organisms

439 continuously grow, while the second peak coincided with the slope onset, where transported

440 sediment is continuously provided by the forereef. Average completeness across the platform

441 was 53.7 % in scenario A and 46.3 % in scenario B, completeness was lowest 13 km from

442 shore in scenario A and 1.9 km from shore in scenario B.

443 Qualitatively, the distribution of hiatus durations was similar for both scenarios. Maximum

444 hiatus duration was constant over the entire platform, dropped dramatically on the slope, and

445 then increased again off-platform. The first quartiles, medians and third quartiles of hiatus

446 durations differed substantially from maximum hiatus durations. The exception to this is the

447 backreef in scenario A (approx. 4–6 km from shore), where the 3rd quartile of hiatus durations

448 reaches values of 0.25 to 0.4 Myrs. Averaged over the platform, maximum hiatus duration is

one to two orders of magnitude larger than median hiatus duration (135 times longer in

scenario A and 13 times longer in scenario B). This shows that the distribution of hiatus

durations on the platform is heavy-tailed: most hiatuses are short, but a few exceptionally

long hiatuses that are associated with long-term drops in sea level are present.

Quantitatively, hiatus durations in scenario A are longer (average median hiatus duration

across the platform: 26 kyr in scenario A, 10 kyr in scenario B). The maximum hiatus

duration is on average almost four times higher in scenario A than in scenario B.

Figure 4: Stratigraphic completeness and distribution of hiatus durations along the onshore-

offshore gradient in scenario A (left) and B (right). Maximum hiatus duration in scenario B is

four times lower than in scenario B, while completeness is comparable.

Stratigraphic expression of evolution

Overall, we find that stratigraphic effects on the preservation of the mode of evolution are

spatially heterogeneous within the carbonate platforms (*Figure 5*), and strongly depend on (1)

the directionality of the examined mode of evolution (*Figure 6)* and (2) the presence of

single, long hiatuses rather than the stratigraphic completeness at the location where the

stratophenetic series is sampled (*Figure 7*). As a result, under high-frequency sea-level

changes (scenario B), trait evolution is much more similar to true evolutionary change in the

time domain than under slow-frequency sea-level changes (scenario A).

Figure 5: Spatial variability of the preservation of evolution in scenario A. (A) Age-depth

models at varying distances from shore (B) three simulations of Brownian drift in the time

domain (C), (D), (E), (F), (G) preservation of the lineages from (B) in the stratigraphic

 domain at 2 km, 6 km, 8 km, 10 km, and 12 km from shore in platform A. The same

473 *evolutionary history (B) is preserved differently dependent on where it is observed (C to G).*

Differential effects of stratigraphy on modes of evolution

The extent to which stratigraphic series of trait values are affected by the architecture of the

carbonate platform strongly depends on the mode of evolution (*Figure 6, Supplementary*

Figures 4–12). For the same scenario and position in the platform, lineages evolving

according to the stasis model are unaffected by stratigraphy. In contrast, hiatuses introduce

jumps into the lineages evolving according to a Brownian drift, which are not present in the

time domain. For the Brownian motion, the presence of jumps depends on whether the trait

series accumulates sufficient trait differences during the hiatus, making the presence or

absence of jumps over gaps effectively random (*Figure 6*, B & F, red and green vs. blue

lineage). In general, jumps in traits are more pronounced when (1) evolution is more

directional and (2) gaps are longer.

Figure 6: Differential preservation of different modes of evolution at the same location. First

row: preservation of three lineages evolving according to the stasis (A), Brownian motion

(B), and Brownian drift (C) model 6 km offshore in scenario A. Second row: The

corresponding true evolutionary history in the time domain. The change in traits observable

in stratophenetic series over a gap depends on the directionality of evolution and gap

duration – Stasis is unaffected by the gaps, while the directional Brownian drift displays

jumps in phenotype over long gaps in the stratigraphic record.

Effects of completeness

Comparing identical modes of evolution at locations in the carbonate platform with similar

stratigraphic completeness shows that, perhaps counterintuitively, stratigraphic completeness

is not the most important mechanism through which identification of the mode is influenced

by stratigraphic architecture (*Figure 7*). If hiatuses are frequent and have similar duration,

evolution in the stratigraphic domain is very similar to the time domain. If hiatuses are rare

and long, traits can change significantly during the hiatus, leading to distinct differences in

trait values from before to after the hiatus, generating dissimilarity between observations

made in the stratigraphic domain and the "true" evolutionary history in the time domain.

Figure 7 Effects of completeness vs. hiatus duration. Brownian drift in the time domain (A), 2

km offshore in scenario A (B), and 6 km offshore in scenario B (C). In these sections,

stratigraphic completeness differs by only 2 %, but preservation of the lineages differs

drastically due to the presence of few, but long hiatuses in scenario A generated by

prolonged intervals of low sea level.

Spatial variation

The stratigraphic expression of trait evolution varies spatially within a carbonate platform

(*Figure 5*). This is a direct result of the variability of stratigraphic completeness and hiatus

frequency and duration along the onshore – offshore gradient (*Figure 4*). Because of the

selective effects of stratigraphic architectures on the identifiability of directional evolution,

spatial variability is most pronounced for Brownian drift, and absent for stasis

(*Supplementary Figures 14–18*).

Identification of modes of evolution

Surprisingly, neither the stratigraphic architecture driven by different sea-level histories nor the location along the onshore-offshore gradient has a strong influence on the best supported mode of evolution recovered by the paleoTS package (Hunt 2022). For unbiased random walks, we found that in the absence of stratigraphic effects, for adequate models, and under excellent sampling conditions (large number of specimens, low intrapopulation variability in traits, long, equidistant time series), average support for the correct (simulated) mode of evolution decreases as time series length increases (Figure 10).

Test results in the stratigraphic domain

In the stratigraphic domain, the tests yielded good support for the correct mode of evolution under simulations of stasis and Brownian drift, but only intermediate support for Brownian walk. This holds for both scenarios and all locations within the carbonate platform (Figures *8* and *9*, top rows).

Under simulated stasis (*Figure 8* A and *Figure 9* A), AICc weight values for the correct mode of evolution are very high (above 0.9), except in locations where time series length is low due to a condensed record (scenario A, 12 km from the shore). Under simulated Brownian motion (*Figure 8* B and *Figure 9* B), AICc weights for the correct mode of evolution (URW) are moderate to high (IQR, inter-quartile range of AICc 0.6 to 0.7 in both scenarios). Support for Brownian drift is low to moderate (IQR 0.3 to 0.4 in both scenarios). This is independent of the location in the platform and of the scenario. For simulations of Brownian drift (*Figure 8* C and *Figure 9* C), average AICc weights for the correct mode of evolution (GRW) are all high across both scenarios and all locations in the platform: the IQR for scenario A is 0.87 to 0.99 and in scenario B, all AICc values are higher than 0.99. Overall,

538   we find that neither the stratigraphic scenario nor the location in the platform (and, as

539   consequence, stratigraphic completeness) have a strong effect on the test results.

Difference between the stratigraphic and time domains

541   After accounting for time series lengths, we find that test results with and without

542   stratigraphic effects are very similar (*Figure 8* and *Figure 9*, comparison between the top and

543   bottom rows). The main difference is increased dispersion of AICc weights under simulations

544   of Brownian drift in scenario A.

Figure 8. AICc weights of different modes of evolution in the stratigraphic domain in

scenario A (first row, A-C) and for time series of equal length, but without stratigraphic

biases (second row, D-F) under simulated stasis (first column), Brownian motion (second

column), and Brownian drift (third column). The highlighted boxes are the correct test result

for the simulated mode of evolution. Abbreviations for the tested modes are: GRW - general

random walk, Stasis – stasis, URW – undirected random walk. Boxplot shows median and

interquartile range (IQR) as hinges. Upper/lower whiskers are at most 1.5 IQR from the

hinge, outliers are not shown.

Figure 9: AICc weights of different modes of evolution in the stratigraphic domain in

scenario B (first row, A-C) and for time series of equal length, but without stratigraphic

biases (second row, D-F) under simulated stasis (first column), Brownian motion (second

column), and Brownian drift (third column) at different positions in the platform. The

highlighted boxes are the correct test result for the simulated mode of evolution.

Abbreviations for the tested modes are: GRW - general random walk, Stasis – stasis, URW –

undirected random walk. Boxplot shows median and interquartile range (IQR) as hinges.

Upper/lower whiskers are at most 1.5 IQR from the hinge, outliers are not shown.

Test performance without stratigraphic biases

Testing for the mode of evolution without stratigraphic effects (i.e., in the time domain), we

found that increasing sampling resolution (time series length) from 5 to 200 sampling points

per simulation time reduced support for the correct mode of evolution as measured by AICc

weights under simulations of a Brownian walk, while it increased under simulations of stasis

and Brownian drift (*Figure 10*, *Supplementary Figure 19*). The results for scenario A (*Figure*

[10](#)) and scenario B (*Supplementary Figure 19*) were very similar, thus we focus on scenario

A here.

Under simulated stasis (*[Figure 10](#)* A), AICc weights under low sampling effort (low number

of sampling points) support URW, but this support quickly decreases and eventually vanishes

for more than 25 sampling points. Support for the correct mode of evolution, stasis, is low for

very short time series (5 sampling points), increases monotonously as sampling effort

increases, and reaches very high support (AICc weight > 0.9) for time series with more than

25 sampling points. Support for GRW is very weak (AICc weight < 0.2) throughout. Under

simulated Brownian motion (*[Figure 10](#)* B), support for the correct mode of evolution (URW)

is on average higher than for GRW, but there is substantial overlap with the range of AICc

weights between both modes. For series of five samples the support for URW is the highest

(IQR 0.8 to 1.0). It decreases monotonously to a mean of 0.6 (for $n_{samples} = 200$) as sampling

effort increases. Conversely, support for both GRW increases monotonously up to a mean of

0.4 (for $n_{samples} = 200$) as sampling effort increases. The distributions of AICc weights for

these two modes are best separated for series of 35 to 50 samples, indicating conditions under

which they can be almost always distinguished. Support for stasis is low to null.

For simulations of Brownian drift (*[Figure 10](#)* C), support for this mode is high (AICc weight

> 0.9) for all time series except the very short ones (5 sampling locations), where the tests

find unequivocal support for URW in all simulations.

Figure 10: AICc weights of modes of evolution in the time domain under simulations of (A)

stasis; (B) Brownian motion; (C) Brownian drift as a function of time series length. The

sampled time interval is 2 Ma long (corresponding to the duration of scenario A), and is

sampled with increasing frequency to reflect increasing sampling efforts. Abbreviations for

the tested modes are: GRW - general random walk, Stasis – stasis, URW – undirected

random walk. Boxplot shows median and interquartile range (IQR) as hinges. Upper/lower

whiskers are at most 1.5 IQR from the hinge, outliers are not shown.

In summary, we find that in the absence of stratigraphic effects, support for the correct mode

of evolution under simulations of Brownian drift as measured by AICc weights is very good.

For sufficiently long time series (more than 15 sampling points), tests correctly identify

stasis, while for shorter time series, stasis can be misidentified for undirected random walk.

Surprisingly, support for the correct mode of evolution (URW) decreases with sampling

effort under simulations of Brownian motion (Figure 10, Supplementary Figure 19). We thus

reject the hypothesis that in general, longer time series provide for better identification of the

mode of evolution.

Discussion

Simulating the preservation of trait evolution in incomplete records formed in carbonate

platforms, we found that stratigraphic biases, completeness, and location in the carbonate

platform have a weak effect on tests for the mode of evolution. Model support expressed by

AICc weights is controlled by time series length, which is determined by the total

accumulated thickness. While stasis and Brownian drift can be recovered from stratophenetic

series, support for Brownian motion (undirected random walk) decreases with sampling

effort. Visually, not stratigraphic completeness, but rather maximum hiatus duration and

directionality of evolution determine how much series of trait values derived from the

stratigraphic record differ from the true evolutionary history.

Identifying the mode of evolution from stratophenetic series

We tested the hypothesis that the mode of evolution identified in a stratophenetic series obtained under the assumption of uninterrupted constant sediment accumulation (UCSA) is the same as the mode of the original time series in the time domain (the "true" evolutionary history). AICc weights of stratophenetic series derived under this assumption are almost identical to the AICc weights of the true evolutionary history. Stasis and directional evolution can correctly be identified from stratophenetic series under the assumption of UCSA, and AICc weights are very high (median > 0.9 for stasis, >0.67 for Brownian motion). Stasis has lower support in parts of the platform where time series are short. We attribute this to the very optimistic sampling regime we have chosen. Empirical stratophenetic series (e.g., Clyde and Gingerich (1994); Dzik (1999); Hunt and Roy (2006)) are commonly much shorter than the series simulated here. For example, stratophenetic series compiled by Hunt, Hopkins, and Lidgard (2015) had a median of 14 sampling positions and the longest stratophenetic series consisted of 114 sampling positions. In addition, we assumed a very low intrapopulation variance, and did not include any taphonomic or ecological effects that could reduce the number of specimens. Hannisdal (2006) argued that these effects combined can lead to "analytical stasis", where the mode of evolution cannot be distinguished from stasis due to low statistical power, potentially explaining the abundance of morphological stasis observed in the fossil record (Hunt, Hopkins, and Lidgard 2015). Due to our sampling strategy, we could not confirm this effect, but found an opposite effect in the absence of stratigraphic biases: under simulations of stasis, tests favor the recognition of unbiased random walks for very short time series (less than 10 sampling points) and provide mixed support for both stasis and unbiased random walks for short time series (15 sampling points) (*Figure 10A).*

For simulations of Brownian motion, tests find intermediate to good support for the correct

mode of evolution (URW, unbiased random walk), which decreases with time series length

even in absence of stratigraphic biases (Figures *8* and *9*, lower rows, *Figure 10*). This effect

is not due to our implementation of trait evolution, as it persists when the internal simulation

procedures provided by the paleoTS package are used (Hohmann and Hopkins 2024). Based

on the probabilistic interpretation of the AICc weights, this implies that the more samples are

collected, the lower the probability that the data-generating model is the best model

(Wagenmakers and Farrell 2004). There are multiple potential explanations for this apparent

statistical paradox. One is that sampling a fixed time interval more frequently leads to

diminishing returns on the information gain per sample. Intuitively, a few samples should be

sufficient to separate directional evolution from stasis. However, this does not explain why

the effect only appears under simulations of Brownian motion, and AICc weights for the

correct mode of evolution decrease instead of increasing. Another potential explanation is

that AIC is not consistent in the sense that if sample size grows, the probability that AIC

identifies the true model does not approach one (Bozdogan 1987). It is unclear if the

considered time series are long enough to display such asymptotic effects, and how they

translate from AIC to AICc weights. The decrease in AICc weight might be caused by the

fact that the models tested for are nested in the sense that URW is a special case of GRW,

making it impossible to strictly separate between them. Ornstein-Uhlenbeck (OU) processes

are used to model trait evolution towards an optimum. They have multiple modes of

evolution nested within them: with no selection, they reduce to an unbiased random walk;

when they start in the phenotypic optimum, they represent (autocorrelated) stasis; and when

the optimum is far away they represent directional evolution (Cooper et al. 2016; Grabowski

et al. 2023). If OU is included in the set of tested modes of evolution, support for them

increases with sampling effort, independent of the mode of evolution simulated (Hohmann

and Hopkins 2024). This is an indicator that the decrease in AICc weights for URW under

simulations of Brownian motion is driven by nestedness of models. This highlights that the

separation into distinct modes of evolution is conceptually useful, but mathematically

imprecise and needs to be approached with caution.

Lastly, individual sample paths of a Brownian walk can look directional (*Figure 6 E)* as a

result of random fluctuations. All models of unbiased random walks have an expected trait

value of 0. However, the probability of deviating from 0 increases with time, potentially

creating lineages with large deviations from the expected trait value. For the unbiased random

walk model, the evidence ratio (ratio of AICc weights (Portet 2020)) of individual

simulations can be close to 1, making unbiased and biased random walks equally fitting

models. In our simulation study, we have access to the distribution of AICc weights under

repeated sampling of the underlying mode of evolution. Supplementary Figure 20 illustrates

the distribution of the evidence ratio (the ratio of AICc value for the correct mode, URW, to

the AICc value for GRW) for one of the cases where the distributions of AICc weights

overlap substantially, i.e. for the set of simulated Brownian motion in scenario A at a distance

of 6 km from the shore. In 18% of runs, the incorrect (GRW) mode has higher support than

the correct one, and the AICc for the wrong mode can be as high as 0.959. Overlaps between

the AICc distributions of alternative models are also observed for very short time series, e.g.

between stasis and URW (*Figure 10* A), pointing to situations where incorrect decisions on

single trait sequences are more likely.

The simulation approach opens the possibility to estimate how common a wrong decision

would be made as a result of the variable properties of any single run. However, for empirical

studies, only one lineage representing one sample path or realization of the underlying

evolutionary process is known. Based on the resulting point estimate of the AICc weight,

unbiased and biased random walk might not be distinguishable. In the entire study, Brownian

motion was the mode most susceptible to be mis-identified as Brownian drift, which

coincides with the (not always correct) intuition that strongly directional series must be

adaptative. Our results provide a guideline on when it is the case and an empirical estimation

of the frequency of false decisions when single trajectories are investigated.

Effects of stratigraphic incompleteness

The second hypothesis we examined was that the lower the stratigraphic completeness, the

lower the chance to identify the correct mode of evolution from stratophenetic series that are

constructed based on the assumption of uninterrupted constant sediment accumulation

(UCSA). Spatial variability in AICc weights in both carbonate platforms can be explained by

differences in time series length resulting from differences in total accumulated thickness

(compare Figures *8* and *9* with *Figure 10*) rather than differences in stratigraphic

architectures or completeness. One possible explanation for the high similarity of test results

in the time and stratigraphic domain is that they are based on the corrected Akaike

Information Criterion (AICc), which measures relative fit of models to data. In the time

domain, we simulate lineages according to the same model we test for. For Brownian walk

and drift, the modes of evolution tested for are not adequate to describe the trait evolution in

the stratigraphic domain as they do not incorporate any jumps. For example, the stratigraphic

expression of the Brownian drift models resembles a Lévy process with rare, but large,

jumps, rather than a Brownian drift (Landis, Schraiber, and Liang 2013; Landis and Schraiber

2017) (*Figure 5*). The issues arising from using AICc when models are not adequate are well

known, and the adequacy of models of phyletic evolution has been discussed by Voje,

Starrfelt and Liow (2018) and Voje (2018). Despite the lack of model adequacy in the

stratigraphic domain when UCSA is assumed, paleoTS test results are not affected by the

non-linear transformation of time series by age-depth models.

In addition to the tests, we visually compared stratophenetic series derived under this

assumption with time series of the true evolutionary history (*Figures 5, 6, 7, Supplementary*

Figures 4—19). Trait evolution reconstructed using simplified age-depth models varies

spatially throughout the platform (*Figure 5*). Even if gaps are identified and their duration is

known, evolutionary history coinciding with sea level drops is not preserved on the platform

top. These time intervals can be recovered from the distal slope where sediment is

continuously accreted during lowstand shedding (Figures *3* and *4*)(Grammer and Ginsburg

1992). This highlights the importance of combining information from across the entire

sedimentary basin in reconstructing past changes (Holland and Patzkowsky 2015; Zimmt et

al. 2021), although the information gained from this approach might vary between carbonate

platforms and siliciclastic systems.

We found that stratigraphic incompleteness (Dingus and Sadler 1982; Tipper 1987) is an

imperfect predictor of the biasing effects of stratigraphic architectures on phenotypic

evolution. For similar values of stratigraphic completeness, the stratigraphic expression of the

same lineage can strongly differ. For example, stratophenetic series of Brownian drift reflect

the underlying true evolutionary history, or display apparent jumps in phenotype for very

close values of completeness (*Figure 7*). In addition, different modes of evolution are biased

to a different degree when compared within the same section (and thus identical stratigraphic

effects and values of incompleteness). For example, in the same section, Brownian drift

displays jumps in phenotype over gaps that will be misinterpreted as elevated rates of

evolution, whereas stasis remains unaffected (*Figure 6*). Multiple definitions of

incompleteness have been applied in stratigraphy (Anders, Krueger, and Sadler 1987), some

of which incorporate spatial variability (Straub and Foreman 2018). The definition of

stratigraphic completeness from Tipper (1987) we used here reflects the intuition that gaps in

the geological record are the dominant factor that diminishes the quality of the fossil record.

While other definitions of incompleteness might be more suitable to quantifying stratigraphic

effects, our results show that the nature of the underlying evolutionary process cannot be

neglected when assessing the fidelity of the fossil record.

The change of phenotype over a gap is determined by gap duration and the change in

phenotype accumulated over this time interval. Stasis remains unaffected by gaps as it

accumulates no change in phenotype. On the other hand, for Brownian drift, change in

phenotype is proportional to gap duration. Under the simplified assumptions on ADMs we

used, the change in traits over gaps will be taken at face value, giving trait evolution a distinct

punctuated look (Rita et al. 2019). In paleontological, and especially biostratigraphic,

practice, a sudden change in a trait is typically the basis for recognizing a new species and,

thus, a speciation event. It would not meet the original definition of punctuated equilibrium

(Gould and Eldredge 1972), which requires the speciation to take place allopatrically.

However, a precise modern formulation of the punctuated equilibrium hypothesis is debated

(see Pennell, Harmon, and Uyeda (2014) vs. Lieberman and Eldredge (2014)), and

identifying allopatry and the type of speciation relies on geological and morphological

information. This creates the risk of circular reasoning in identifying whether a given sudden

change should be considered a case of punctuated change (e.g. sympatric speciation) or

punctuated equilibrium. Models of trait evolution that can incorporate punctuations are Lévy

processes, a class of stochastic process that combines gradual change with discrete jumps

(Landis, Schraiber, and Liang 2013; Landis and Schraiber 2017). In Lévy processes, the jump

components are random and have a Poisson structure. This provides a way to separate

between artefactual jumps introduced by simplistic ADMs and true elevated rates of

evolution: Artefactual jumps will coincide with gaps in the record or interval of reduced

sediment accumulation rates, and thus connect to external drivers of stratigraphic

architectures such as drops in sea level, rather than being random. Our study provides the

stratigraphic null hypothesis that punctuated change in morphology should be more prevalent

at times with low frequency sea-level changes. Combined, our results suggest that due to gaps

in the stratigraphic record, stratophenetic series favor the recognition of both stasis and

complex, punctuated models of evolution (Hunt, Hopkins, and Lidgard 2015).

Hoffman (1989) pointed out that most paleontological evidence for punctuated equilibrium

comes from shallow water habitats (e.g. (Kelley 1983; Williamson 1981)), which he argues

are more incomplete and thus favor the recognition of punctuations. This reflects the common

idea that different environments have different incompleteness and thus different abilities to

preserve evolution, as we have phrased in our hypothesis two. In contrast to this

preconception, we found that many environments in a carbonate platform have very similar

incompleteness and hiatus distributions (*Figure 4*). Due to the flat geometry of the platform,

the separation is between platform top and slope, not the distinct environments within the

platform such as forereef and lagoonal environments (Liu and Liu 2021). In addition,

incompleteness alone is not sufficient to produce artefactual jumps in phenotype (*Figure 7*).

Gaps in the stratigraphic record need to be sufficiently long compared to the rate of the

evolutionary process, so that morphologies can change enough to display sufficient offset

over the gap. This indicates that, rather than incompleteness, maximum hiatus duration is

driving the discrepancy between stratophenetic series and the true evolutionary history.

Naturally, maximum hiatus duration is limited by stratigraphic completeness and the time

covered by the section. But even under high incompleteness, as long as hiatus frequency is

high and durations are short, the fossil record can still give a good insight into evolutionary

history, and be adequate to test a wide range of evolutionary hypotheses (Paul 1992).

In our stratigraphic models, hiatus frequency and duration are determined by the frequency of

sea-level fluctuations. Preservation of evolutionary history under high-frequency sea level

changes (scenario B) is good, while the large-scale fluctuations in scenario A result in

prolonged gaps with a large impact on the recovery of the mode of evolution (Figure 7). This

demonstrates that understanding controls on the spatial and temporal heterogeneity of the

stratigraphic record is decisive in correctly interpreting the evolutionary history of a lineage

in the realistic case of spatially constrained sampling.

794 Limitations of the simulated carbonate platforms

Carbonate platforms used for this research only approximate stratigraphic architectures that

would form in nature. First, CarboCAT Lite does not include erosion other than sediment

transport, which removes sediment locally immediately after its formation, but preserves the

total volume of generated sediment in the platform. In real carbonate platforms, part of the

carbonate sediment is dissolved and returned to the water column (e.g., Albright, Langdon,

and Anthony (2013), exported into the ocean, and a part is chemically and mechanically

eroded when it becomes emerged. The addition of erosion to the model would have the effect

of enlarging the present gaps in the record and merging the shorter ones, resulting in fewer,

longer gaps and lower stratigraphic completeness. Second, carbonate production curves used

to inform the models reflect activities of carbonate factories under stable conditions. In

reality, a regression would often lead to removal of carbonate producing organisms from the

emerged area. The recolonization of this area by carbonate producers would lead to a lag in

the factory resuming its activity. Carbonate production and preservation is also sensitive to

diurnal, seasonal and long-term astronomical cycles, e.g. through temperature control over

the proportion of precipitated aragonite (Balthasar and Cusack 2015) and the length of the

vegetative season of calcifiers (e.g., Marshall and Clode 2004; Mancuso et al. 2019).

Our simulations did not include pelagic carbonate production, spatially heterogeneous

subsidence or gravitational sediment transport, which may be crucial in the formation of

some empirical carbonate platforms (Masiero et al. 2020). We relied here on high benthic *in*

situ production rates as the dominant driver of sediment accumulation in tropical, attached

carbonate platforms. Thus, the stratigraphic architectures presented here are conceptual

endpoints with exaggerated completeness.

The construction of our study follows the assumption of uninterrupted constant sediment

accumulation (UCSA), which implies that the ages of fossils found at a given stratigraphic

position correspond to the age of the stratum. This assumption is made in many simulation

studies of trait evolution in the fossil record (e.g. (Hannisdal 2006)) and inherent to most

methods that estimate age-depth models (e.g. (Parnell et al. 2008)). With this respect, our

computer experiment is representative for such studies. However, time averaging and

sedimentary condensation mean that typically more time is represented by fossils than by

sedimentary strata (Kowalewski and Bambach 2008; Tomašových et al. 2022). On the other

hand, fossils of different ages will be found at the same stratigraphic height, limiting the

temporal resolution of evolutionary steps in the population's mean. Because of high *in situ*

sediment production, timescales of time averaging identified in modern tropical carbonate

environments are typically much shorter than rates of trait evolution, regardless of the way

they are measured (Hunt 2012; Voje 2016; Kowalewski et al. 2017; Philip D. Gingerich

2019). This means that trait evolution reconstructed in tropical carbonate platforms may not

be representative for other environments with lower rates of sediment accumulation or higher

proportion of transported material.

For simplicity, we assumed that simulated taxa are equally represented and preserved across

all sampled environments. Specifying their environmental niche or differential preservation

would further reduce the number of data points in stratophenetic series. Holland (2000)

showed that the record of a stenotopic species would be even more incomplete than the

simulations presented here.

Conclusions

We tested the hypothesis that the commonly employed approach to identifying the mode of

evolution in fossil succession, i.e. linear projection of stratigraphic positions of occurrences

into the time domain without considering changes in sedimentation rate and gaps in the

record, recovers the correct mode of evolution. We found that, although prolonged gaps

distorted trait evolution record visually, tests for the mode of evolution were only weakly

affected by gaps and irregular age-depth models. Our findings differ from those of Hannisdal

(2006), who found (using a different approach but asking the same question) that incomplete

sampling in the stratigraphic record may result in all other modes of evolution being

identified as stasis.

Our findings did not vary substantially between two stratigraphic architectures with varying

gap distributions and degrees of stratigraphic completeness. The lack of influence of

stratigraphic effects is counterintuitive, as deeper environments are often assumed to be more

complete and therefore more suitable for sampling fossil series for evolutionary studies. In

the case of undirected random walks, increasing the number of observations (i.e. sampling

intensity, length of the fossil series) did not improve the identification of the mode of

evolution, but rather worsened it.

Our study was motivated by improving the recovery of evolutionary information from highly

resolved fossil successions, particularly at microevolutionary scales. We are convinced that

such successions can aliment models and understanding that is not accessible to exclusively

neontological methodologies, as illustrated by e.g. Hopkins and Lidgard (2012); Voje (2016);

Petryshen et al. (2020). Our contribution is the use of stratigraphic forward modeling to

ground-truth the methodologies serving this palaeobiological research program. Forward

modeling allows rigorous testing of concerns that the fossil record is too distorted, or too

incomplete, to answer (micro)evolutionary questions. They also offer the possibility to

evaluate the robustness of identifications of the mode of evolution and estimates of its

parameters under different simulated age-depth models. Finally, sedimentological

information may aliment age-depth models (Hohmann 2021a; Jarochowska et al. 2020). The

proliferation of ever better stratigraphic forward models (e.g. CarboCAT (P. M. Burgess

2013; Masiero et al. 2020), SedFlux (Hutton and Syvitski 2008), strataR (Holland 2022),

CarboKitten.jl (Hidding et al., 2024)) opens the possibility to validate these methods and

improve our understanding of the fossil record.

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Declarations

875 **Ethics approval and consent to participate**

876 Not applicable

877 **Consent for publication**

878 Not applicable

Availability of data and materials

The dataset supporting the conclusions of this article is available in the Zenodo repository,

https://doi.org/10.17605/OSF.IO/ZBPWA (Hohmann et al. 2023). All code used can be found

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Supplementary figures can be found in the supplementary materials.

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The authors declare that they have no competing interests

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According to the CRediT taxonomy

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