Title: Monocyte-derived fibrocytes contribute to nucleus pulposus fibrosis and intervertebral

disc degeneration

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Abstract

Nucleus pulposus (NP) undergoes fibrosis, leading to structural and mechanical anomalies of intervertebral discs that prone to degeneration. Fibroblastic cells emerge and account for NP fibrosis, yet their nature and origin remain elusive. Degenerative NP cells are highly heterogenous and may contain immune cells. By meta-analysis of single-cell data, we here showed an enrichment of hematopoietic fibrocyte-like cells, defined by CD45 and collagen I dual positivity, in human NP specimens which increases with degeneration severity. We identified fibrocytes subtypes with a myofibroblast differentiation capacity in NP fibrosis. These fibrocytes were recruited in NP fibrosis mediated by injury-induced mouse disc degeneration. Monocyte depletion in CD11b-DTR mice attenuated NP fibrosis and myofibroblast generation, and prevented disc height loss and histomorphological abnormalities. Our findings suggest that fibrocyte-like cells may mediate NP fibrosis and therefore be a potential target for modifying disc degeneration and promoting its repair.

Introduction

The intervertebral discs (IVDs) are the largest avascular connective tissues in the body, playing a central role in spine movement. IVD degeneration (IDD) is associated with fibrosis of the nucleus pulposus (NP), the innermost colloidal core of IVDs, along with a loss of hydration and swelling pressure. The degeneration involves a degradation of hyaline extracellular matrix (ECM), in particular aggrecan and collagen II, and accumulation of fibrotic components including collagen I and small leucin-rich proteoglycans such as biglycan and fibronectin[1]. This leads to reduced tissue mechanical strength and hence disc prolapse under load, ultimately causing back pain and myelopathy[2]. Previous study has shown that transplantation of mesenchymal stromal cells (MSCs) [3] can alleviate IDD via inhibiting NP fibrosis. Understanding and controlling the profibrotic events may therefore hold the key to modifying the degeneration process. However, the mechanism of NP fibrosis and the origin of the fibroblastic population in the IDD remains elusive.

The primitive NP is initially populated by forkhead transcription factor (*FOXA2*)- or brachyury (*TBXT*)-expressing vacuolated cells derived from the notochord[4-6]. In humans, these vacuolated cells are gradually replaced by the chondrocyte-like cells after birth[7]. Tissue fibrosis relates to an excessive fibroblast and myofibroblast activity[8]. Reports have shown an increased number of $\alpha SMA^+/FSP1^+/collagen\ I^+$ fibroblastic cells in the degenerative IVDs[4,9]. In fact, NP cells have a capacity to become $FSP1^+$ cells when exposed to excessive TGF-β[5,10] as well as undergo fibroblastic/myofibroblastic transition ($\alpha SMA^+/COL1A1^+/FSP1^+/FAP-\alpha^+$) in an injury-induced IDD model[4]. However, tracing study indicated that not all myofibroblastic cells are derived from local cells[4], implying alternative origins of effector cells for NP fibrosis. In line with this notion, single cell transcriptomic studies have revealed that the NP of human degenerative IVDs[7,11-14] and animal model of IDD[6] contain cell types other than IVD cells. These include endothelial cells, myeloid

granulocytic suppressor cells, CCR2$^+$ monocytes and derivatives of macrophages. Consistent with

the finding, studies showed that bone marrow cells could migrate into mechanically overloaded IVD

explants[15] and that focal enrichment of fibroblastic cells could be found near the infiltrating blood

vessels in the degenerative IVDs[16]. These evidences support that access of extrinsic cells to the

degenerated IVD may mediate NP fibrosis.

We hypothesized that cell sources other than resident disc cells can contribute to NP fibrosis. In this

study, we interrogated the cell hierarchy in the NP of degenerative IVDs through an integrative

analysis of the published single cell transcriptomes and characterization in human tissue and cells.

Our findings indicated an emergence of hematopoietic populations that possess a fibrocyte-like

phenotype and myofibroblastic identity in the degenerative samples. Using a mouse model that labels

or depletes monocyte lineage cells, we analyzed the time course of the fibrocyte-like cell appearance

and identified their role in NP fibrosis and IDD progression. Our study implies a function of

circulatory fibrocytes in NP fibrosis and as a target for modifying IDD.

Results

Identification of myofibroblastic NP cells in disc degeneration and NP fibrosis

We examined the cellular heterogeneity and fibroblastic cell entities by an integrative analysis of 4

published single-cell RNA sequencing (scRNA-seq) data derived from cells isolated from NP

tissues[7,12,14] or recognized as NP cells[11]. The datasets consist of 6 non-degenerative human IVDs, 13

mildly (Pfirrmann II-III) and 12 severely (Pfirrmann IV-V) degenerative human IVDs (**Table 1**). A

number of existing NP cell phenotypes were previously identified from these datasets, and their

marker genes were summarized in **Supplementary Table 1**. The meta-analysis identified 12 cell

clusters from a total of 192,184 cells and the cell types were annotated based on their cluster

differentially expressed genes (DEGs, Table SI). In addition to 5 NP cell clusters, we also identified

neutrophils (*LYZ+*), myeloid derived suppressor cells (MDSC+; *MPO+*), macrophages (*CD68+*), T

cells (*TRBC2+*), endothelial cells (*CD34+* and *PECAM1+*), pericytes (*RGS5+* and *ACTA2+*), and

erythrocytes (*HBB+*) (**Fig. 1a** and **Supplementary Figure S1**). These clusters were mostly present

across the four datasets although their proportion and distribution varied (**Fig. 1b**). Clustered NP

cells were identified by their expression of *ACAN*, *COL2A1* and *SOX9* (Table SI). The most abundant

NP cell population was the chondrogenic cluster (ChonNP) (51%) which expressed *COL2A1*, *ACAN*

and *CYR61*. The regulatory cluster (RegNP) (21%) expressed *MMP3*, *GPX3* and *CHI3L2/1*. These

RegNP gene markers were also expressed in the inflammatory C1/3 chonNPC and regulatory NPC

reported by Han et al[7] and Tu et al[12], respectively (**Supplementary Table S1**). The fibroblastic

cluster (FibroNP; 8%) expressed *COL1A1*, *COL3A1*, *POSTN* and *ASPN*, which are known to

associate with tissue fibrosis. We found that the proportion of FibroNP significantly increased with

the severity of disc degeneration and became one of the major NP cell populations (30%) in severely

degenerated NP (**Fig. 1c and Supplementary Figure S2**). The cycling cluster (CyclingNP) (1%)

expressed the cell proliferation genes including *TOP2A* and *STMN1*, containing two distinct subsets

expressing high level of either collagen I or II gene (*COL1A1*^{high} and *COL2A1*^{high}). The progenitor

cluster (ProgNP) (1%), distinguished by the expression of *PDGFRA* and *PLA2G2A*, was unique to

the non-degenerative samples as reported by Gan et al[14]. Endothelial cells (2%) were also found,

which highly expressed *PECAM1* and *CD34*. Erythrocytes (1%) were defined by gene expression of

HBB (**Fig. 1d**).

Contractile myofibroblasts are primary effector cells of tissue fibrosis[8]. FAPα and FSP1 mark the

active fibroblasts or myofibroblast precursors[17], and αSMA marks the mature myofibroblasts[18].

These three markers were found enriched in the FibroNP (**Fig. 1e**). More fibrillar collagens,

specifically thick collagen I and thin collagen III, were deposited in human degenerative IVDs,

which together with reduction of hyaline matrix aggrecan and collagen II, indicated NP fibrosis

(**Supplementary Figure S3**). Immunofluorescence showed that the number of cells expressing

αSMA (14.0% vs 48%), FAPα (31.4% vs 78.8%) and FSP1 (28.4% vs 65%) in the nNP was far

fewer than that in the dNP (**Fig. 1f**), indicating the augmented myofibroblast sprouting in dNP. In

line with our single-cell transcriptome analysis, cells from either dNP or nNP tissues were found to

contain a lower amount of αSMA$^+$ cells than FAPα$^+$ or FSP1$^+$ cells, which was further supported by

fluorescence-activated cell sorter (FACS) analysis of isolated NP cells (**Fig. 1g**). FACS also

demonstrated an increased myofibroblast population in the dNP compared to the nNP. Notably, nNP

(88.2%) and dNP (93.1%) cells presented a comparable amount of cells expressing intracellular

collagen I (**Supplementary Figure S4**). However, under monolayer expansion, dNP cells became

more flattened and morphologically less refractive, and preferentially expressed higher levels of

myofibroblastic markers including *ACTA2*, *FAP* and *COL1A1* (**Supplementary Figure S5**). These

findings indicated that myofibroblastic cells became the dominant cell type in the degeneration and

supported their contribution to NP fibrosis.

Figure 1. Meta-analysis of single-cell landscape and identification of fibroblastic NPC in human disc degeneration. (a) Identification of 12 distinct cell populations by unsupervised clustering. **(b)** Distribution of the cell populations in individual datasets. **(c)** Degeneration-related FibroNP abundance. **(d)** Signature gene expression for cell clusters defined in A. **(e)** Expression distribution of fibroblastic markers *ACTA2*, *FAP*, and *S100A4*. **(f)** Immunofluorescence and quantification of fibroblastic marker expression in human NP tissues (n=5). Scale bars: 50μm. Nuclei were counterstained with DAPI (Blue). **(g)** Representative flow cytometry plots to identify myofibroblastic NP cells. Percentage of αSMA+, Fap-α+ or Fsp1+ cells was averaged from three independent experiments. *p < 0.05, **p < 0.01, and ***p < 0.001 by two-tailed unpaired *t*-tests.

Disc degeneration contains fibroblastic NP cells with hematopoietic features

Previous histological studies suggested that immune cells such as CD68[+] macrophages and neutrophils may infiltrate into the NP during disc degeneration[19]. In the single cell meta-analysis, immunocyte-like populations could be found at all degeneration grades, expressing markers for neutrophils (*LYZ* and *HLA-DRA*), macrophages (*CD163* and *CD68*), granulocyte-like myeloid derived suppressor cells (G-MDSC) (*ITGAM* and *MPO*) and T cells (*TRAC* and *TRBC2*) (**Table SI**). Interestingly, we found that *COL1A1*, *COL3A1* and *POSTN* were also expressed in the immunocytes, predominantly the neutrophil and macrophage populations (**Fig. 2a**). These *COL1A1+* immunocytes expressed both myeloid lineage genes (e.g. *S100A9*, *PTPRC* and *ITGB1*) and fibroblastic genes (e.g. *VIM*, *TAGLN2* and *COL1A1*; (**Table SI**). Previous studies reported that monocytes contain a subpopulation that co-expresses collagen I and III in addition to markers of hematopoietic cells (CD11b, CD45 or CD34), being referred as fibrocytes[20-22]. The hematopoietic progenitor-associated marker CD34 was immunolocalized with collagen I and contractile protein αSMA in the NP tissue (**Supplementary Figure S6**). However, CD34 is also highly expressed in other cell types such as endothelial cells and muscle satellite cells. In our meta-analysis, *CD34*[+]*COL1A1*[+] or *CD34*[+]*ACTA2*[+] cells were in fact mostly enriched in the endothelial cell and pericyte clusters. We further identified *COL1A1+* subpopulations co-expressing *ITGAM* (coding CD11b) (1094 cells) or *PTPRC* (coding CD45) (1738 cells) (**Fig. 2b**). The abundance of these subpopulations increased with degeneration severity and occupied up to 0.1% cell population dNP tissue (**Fig. 2c**). Immunostaining and flow cytometry verified an increase of CD45[+]COLI[+] cells in the dNP tissue (16.4% vs 5.4%, **Fig. 2d**) or dNP-derived cell culture (0.80% vs 0.30%, **Fig. 2e**) compared to the nNP controls. These CD45[+]COLI[+] cells adopted an elongated morphology (insert, **Fig. 2d**). Based on the findings, we regarded the CD45[+]COLI[+] cells as disc fibrocytes.

We identified disc fibrocytes within NP cells clusters (NPC), present mostly in the FibroNP (7.5%, as

fib-fNP), ChonNP (4.2%, as fib-cNP) and RegNP (3.0%, as fib-rNP), in addition to immunocyte

clusters of macrophages (35.4%, as fib-M), neutrophil (34.2%, as fib-N), T cell (11.4%, as fib-T) and

G-MDSC (3.0%, fib-G) (**Supplementary Table S2**). By comparing these fibrocyte populations, we

identified specific gene markers (**Table SI**) and examined their expression (**Figure 2f**). *GNB1* and

gluconeogenesis-related gene *ALDOA*, together with marker genes of *COL1A1* and *ITGAM*, were

enriched in both immunocytes- (fib-M/N) and NPC-clustered (fib-cNP/fNP) fibrocytes. Within

immunocyte-clustered fibrocyte subpopulations (fib-M/N/T/G), a total of 47 genes (e.g. *PTPRC* and

CAPZA1) were considerably enhanced and mainly linked to the actin organization and immune

process (**Table 2 and Supplementary Figure S7**). *RORA* was dominantly expressed in fib-T. Fib-M-

related genes *LGALS1* and *LGALS3BP* have been linked to monocyte-derived fibrocyte

differentiation[23]. 43 genes (e.g. *COL3A1*, *ASPN* and *ITGB5*) that are commonly expressed in the

three NPC-derived fibrocyte subsets are related to the response to TGF-β (**Supplementary Figure**

S7). This finding is consistent with the notion that, similar to other fibrotic diseases, disc fibrocyte

maturation may involve TGF-β-mediated endothelial- mesenchymal transition[24]. We also performed

GO analysis for DEGs specific to the NPC-fibrocytes (**Table 3**). 35 genes distinctly enriched in fib-

fNP were mostly related to cell adhesion function. 26 genes enriched in the Fib-cNP were related to

matrix organization. Two degeneration-featured genes, *HTRA1*[25] and *ANGPTL4*[26] were distinctly

expressed in the NPC-fibrocytes (**Fig. 2g**).

ACTA2 was found expressed in *PTPRC*$^+$ FibroNP cells (**Fig. 2h**), supporting their potential in

myofibroblastic differentiation[23,24]. Consistent with the scRNA-seq finding, co-immunostaining

showed a markedly higher expression of CD45 and αSMA in the dNP compared to the nNP (**Fig.**

2h). We also observed αSMA$^+$ cells negative for CD45.

Figure 2. Identification of disc fibrocytes in the disc degeneration. (a) Featured gene plots of

fibroblast markers of *COL1A1* and *COL3A1* in disc immunocytes. **(b)** Feature plots of expression

distribution for monocyte lineage marker *ITGAM* and pan-hematopoietic marker *PTPRC* with

COL1A1. **(c)** Fibrocyte abundance in disc degeneration. **(d)** Representative co-immunofluorescence

micrographs of CD45 and Collagen I (COL1) in human NP tissues from scoliosis (nNP) and

degenerative (dNP) IVDs, and quantification of co-expressing cells (n=5). **(e)** Flow cytometric

evaluation of disc fibrocytes in isolated human NP cells. Representative flow cytometry was plotted

and the percentages of CD45$^+$COL1$^+$ cells were labeled. **(f)** Heatmap showing and highlighting

differentially expressed genes for each disc fibrocytes subtype. **(g)** Venn diagram and GO (gene

ontology) functions of fibrocytes residing within three NP cells subclusters: FibroNP (fib-fNP),

ChonNP (fib-cNP) and RegNP (fib-rNP). **(h)** UMAP distribution and immunodetection of *PTPRC*

(coding CD45)[+]*ACTA2 (coding* αSMA*)*[+] cells sin disc NP tissues. Arrowhead: CD45[+] αSMA[+] cell;

sale bar: 50 μm. Insert: representative staining micrograph showing positive cellular signals; scale

bar: 12μm. *p < 0.05, **p < 0.01 by two-tailed unpaired *t*-tests.

Given the fibrocytes being a lineage of monocytes expressing CD11b, we studied their

temporospatial distribution in the puncture injury induced disc degeneration in CD11b-DTR mice, in

which the expression of EGFP-diphtheria toxin (DT) receptor fusion protein is controlled by the

ITGAM promoter, thereby directing transgene expression and expressing membrane-localized GFP in

monocytes/macrophages[22,27] (**Supplementary Figure S8**). Normal mouse NP, which contains

predominantly notochordal cells[4], was negative for GFP expression (**Supplementary Figure S8**).

Annulus puncture injury led to disc degeneration with NP fibrosis[3,4]. We observed an emergence of

GFP[+] cells in the NP (~15% of NP cells population) by day 3 after puncture, which continued to peak

at roughly 35% after 2 weeks and gradually subsided thereafter (**Fig. 3a**). GFP[+] cells were also

observed in the annulus fibrosus (AF) (**Supplementary Figure S8**). We could observe GFP[+] cells

that barely expressed CD11b in the NP at 2 weeks post-puncture (2wpp, **Fig. 3b**), possibly because

of a longer turnover of GFP compared to CD11b[27]. Up to 95% of the GFP[+] NP cells were CD45-

positive, indicating their myeloid origin (**Fig. 3c**). In line with previous report of fibrocyte

differentiation into myofibroblast, we observed αSMA expression in nearly 60% GFP[+] cells at 4wpp

(**Fig. 3d**). The abundance of these dual-positive cells decreased significantly by 8wpp, indicating that

the emergence of fibrocytes might be transient or they underwent differentiation. Furthermore, we

detected CD45[+]COLI[+] and CD45[+]αSMA[+] cells in the punctured disc (**Fig. 4b&c**), and the amount of

CD45[+]αSMA[+] NP cells showed a two-fold reduction by 8wpp compared to 4wpp. These findings

collectively support the notion of fibrocyte infiltration into the degenerating discs and adopting a

myofibroblastic phenotype (CD45[+]αSMA[+]) during NP fibrosis.

Figure 3. Hematopoietic fibrocytes contribute to myofibroblastic NPCs. (a) Immunostaining and

quantification of GFP expression in the degenerative caudal discs of CD11b-DTR in 3 days (dpp) to

8 weeks post-puncture (wpp) window. **(b)** Co-immunostaining of hematopoietic marker CD45 and

(c) αSMA in CD11b$^+$ cells with GFP with quantification (GFP$^+$CD34$^+$, **d**; GFP$^+$αSMA$^+$, **e**). Cellular

positivity counting was conducted in five representative sections, and expressed as the mean ± S.D.

Sale bar: 50 μm. * p<0.05, One-way ANOVA with Bonferroni post-hoc test.

Fibrocyte depletion rescues NP fibrosis

We tested whether depletion of CD11b-expressing cells by DT administration[27] could lead to a

reduction of fibrocytes in the puncture induced IDD. We first examined the frequency and dosage of

DT injection to enable extended depletion while minimizing lethality due to compromised immunity

(**Supplementary Table S3**). The number of CD11b$^+$ monocytes were largely reduced in peripheral

blood (>50%) after twice administration of DT at 10μg/kg (**Supplementary Figure S9**). For the IDD

model, the first injection was performed 3 days prior to the puncture surgery (**Fig. 4a**). We found a

marked decrease of the GFP$^+$ cells in the NP at 2 and 8wpp, supporting the effect of DT on the

transgene expressing cells (**Supplementary Figure S9**). Interestingly, a lower number of

CD45$^+$COL1$^+$ cells was observed in the NP after the second dose of DT injection by 4wpp when

compared to vehicle control (**Fig. 4b**). The amount of CD45$^+$αSMA$^+$ NP cells also tended to decrease

although the difference is not statistically significant (**Fig. 4c**). These findings indicate that DT

administration caused a depletion of monocytic fibrocytes in the NP which emerged during injury-

induced IDD.

We questioned if the loss of the myeloid derived fibrocytes leads to a modification of IDD and NP

fibrosis. Puncture induced IDD showed a significant disc height loss by 50% at 2wpp and 30% at

8wpp (**Fig. 4d**). DT administration could induce a gradual recovery and reduce the disc height loss

by >80% at 8wpp. Disc integrity assessed by FAST staining[3] showed a prominent improvement with

DT administration at both 4 and 8wpp, including reduced lacune formation, preserved NP-AF

boundary, and increased alcian blue intensity in the NP (**Fig. 4e**). Moreover, alcian blue staining in

the NP of the DT-injected mice was largely maintained, implying that the loss of proteoglycans was

prevented. The amount of myofibroblastic NP cells positive for αSMA steadily increased after

puncture and took up to 76% of the whole population by 8wpp (**Fig. 4c**). DT administration could

lower its positivity to 41%. It is noteworthy that the majority of αSMA$^+$ cells detected in the

punctured discs, in particular in the DT-treated group, was negative for CD45 (>90%). Picrosirius red

staining and immunostaining of collagen I further consolidated a decrease in fibrillar collagens (**Fig.**

4f-h). Pericellular expression of collagen I was noted in the NP after DT administration. Collectively,

these findings demonstrated that IDD progression is dependent on a function of myeloid derived

cells where the CD45$^+$COL1A1$^+$ fibrocytes and αSMA$^+$ myofibroblastic cells may mediate NP

fibrosis.

Figure 4. Fibrocyte deficiency suppresses disc degeneration and NP fibrosis. (a) Time-line

illustration for DT administration and puncture surgery on CD11b-DTR mice. PBS serves as vehicle

control. **(b)** Co-staining of CD45 (red) with COL1 **(**green**)** or αSMA **(c,** green**)** and dual-positive cells

counts. αSMA positivity in NP cells was also counted. **(d)** Time course assessment of disc height

changes (n=5 per group). **(e)** FAST staining and degeneration scoring based on compartmental

deformation in NP, annulus fibrosus (AF), endplate (EP) and interface. **(f)** Picrosirius red staining

and **(g)** their polarized microscopy. **(h)** Collagen I immunostaining. In immunofluorescence, nuclei

were counterstained by DAPI. Scale bar: 50μm, insert scale bar: 12μm. Dot line: NP region. Wpp:

weeks post-puncture; DP: disc puncture. Data are expressed as mean ± S.D. Two-way ANOVA with

Bonferroni post-hoc test, * $p<0.05$.

Discussion

NP cells are conventionally defined as collagen II-, aggrecan- and Sox9-expressing cells. Recent

studies of single-cell transcriptome have indicated cell heterogeneity in the human NP, which

contains cell populations expressing notochordal, chondrogenic, and fibroblastic phenotypes[7,11-14].

Our meta-analysis of the human disc scRNA-seq datasets has outlined the commonly featured NP

cells hierarchy and stratified their fibroblastic subtypes. *COL1A1*[+] FibroNP is previously defined as

adhesion/fibroNPC[12] or C4 NPC[7]. The FibroNP population was not reported in Wang et al scRNA-

seq dataset, possibly because their cells were derived from the whole disc tissue without NP isolation

and therefore may have dominated by *COL1A1*[+] AF cells[11]. Nonetheless, they defined the FibroNP

genes (*POSTN*, *COL1A1*, *COL3A1* and *TMSB4X*) in MK167[+] progenitor and NP progenitor cells

(**Supplementary Table 1**), implying the fibroblast presence within the two NP subtypes. Han et al

found a small fraction of fibrochondrocyte progenitors in the NP, which partially constitute the

aforementioned two NP subsets. The regulatory NPC and C1/3 chonNPC subtypes reported by Tu[12]

and Han[7] are merged to the RegNP cluster in this study, sharing the expression of *MMP3*, *CHI3L2/1*

and *GPX3*. The CyclingNP cluster is a pool of *TOP*2A[+] cells fraction from the *COL2A1*[+] ChonNP or

COL1A1[+] FibroNP subsets, implying they may be derived from a common replicative progenitor

origin. Pseudotime ordering of the NP clusters and velocity analysis may help construct their

relationship.

The combination of collagen production (e.g. collagen I) and the expression of leukocytic common

antigen (CD45) or one of the hematopoietic or myeloid antigens (e.g. CD34 or CD11b) is considered

as a sufficient criterion for fibrocyte identity[21]. In our meta-analysis, $CD34^+COL1A1^+$ cells are

mainly enriched in the endothelial cells and pericytes subpopulations (**Supplementary Figure S6**).

ITGAM (coding CD11b) or *PTPRC* (coding CD45) is therefore preferrable to CD34 as the marker of

fibrocytes in human NP tissues. Our meta-analysis showed a wider UMAP distribution and higher

number of $PTPRC^+$ than $ITGAM^+$ cells, supporting the notion that CD11b is a more selective yet less

representative fibrocyte marker compared to the pan-hematopoietic markers Vav-1 or CD45[21].

Pronase digestion was commonly deployed for releasing single cells from the NP in scRNA-seq and

flow cytometry assays[28]. However, the digestion can also results in extensively membrane proteins

degradation, and thereby affect the antibody recognition of surface molecules[29]. This may in part

explain the discrepancy in measurements of $CD45^+COL1A1^+$ cells between in situ

immunohistochemistry and flow cytometry (**Fig. 2d&e**).

In addition to the NP clusters, $CD11b^+COL1A1^+$ or $CD45^+COL1A1^+$ cells are mostly located within

the macrophages, neutrophils and T cells clusters, implying the fibrocytes may be derived from

multiple sources or differentiation routes of the immune system. Monocytes are defined as

$CD45^+CD11b^+$ cells and constitute the myeloid-derived macrophages ($CD45^+CD11b^+F4/80^+$),

neutrophils ($CD45^+CD11b^+HLA\text{-}DRA^+$) and MDSCs ($CD45^+CD11b^+OLR1^+$)[12,13,21]. G-MDSCs,

shown in cardiac fibrosis with myofibroblast activation capabilities, contribute to T-cell suppression

and ROS production in IDD[12]. Monocyte-derived inflammatory macrophages could transit into

myofibroblasts[30,31] and were identified in the degenerative NP[19,32]. $CD11b^+CD45^+$ monocytes were

previously reported to support the proliferation and colony forming ability of MSCs[33]. Whether the

monocytic cells infiltrated into the degenerating discs may exert a similar effect on local

mesenchymal progenitors to promote fibrogenesis or repair is not clear. Moreover, $CCR2^+$

monocytes have been identified in the degenerative IVDs from herniation patients and constitute the

majority of $CD11b^+$ and $F4/80^+$ cells[34]. However, as reported in myocardial infarction model[35], loss

of CCR2 expression is required for fibrocyte differentiation of the infiltrated monocytes. This is in

line with our observation that the disc fibrocytes are negative for *CCR2*. In a mouse model of renal

fibrosis where CCR2 is depleted, migration of circulating fibrocytes to the kidney was interfered[27].

Whether CCR2 plays a role in monocyte infiltration and hence differentiation into disc fibrocytes

await to be determined.

Fibrocytes could be derived not only from local monocyte differentiation but also migrated from

peripheral blood in the form of partially differentiated collagen-producing cells[21,27]. Recruitment of

monocytes and peripheral blood fibrocytes may rely on disc neo-vascularization. Normally, blood

vessels recede from the outer AF and the cartilaginous endplate (EP) in adults. However, under

degenerative conditions the NP can become re-vascularized and blood vessel infiltration is frequently

observed and spatially associated with macrophage infiltration[36]. Most of the degenerative NP tissues

in this study for scRNA-seq analysis were dissected from extruded NP of herniated discs that

normally show pronounced neo-vascularization[37]. The increased number of GFP^+ cells found in the

AF and EP of the punctured CD11b-DTR discs may indicate the infiltration through the AF and EP

routes. Pericytes ($CD34^+\alpha SMA^+$) were immunodetected in the degenerative human NP

(**Supplementary Figure S6**), supporting the presence of neo-vascularization. In fact, pericytes can

contribute to scar-forming myofibroblasts in kidney and subretinal fibrosis[8]. Studying disc

vascularization and its relation to the emergence of disc fibrocytes may provide further insights into

the pathway of NP fibrosis.

Trafficking fibrocytes express chemokine receptors CCR5[38], CCR7[21] and CXCR4[21,39]. Studies have

reported increased CCR5 expression in AF and NP cells[40] and chemokines CCL2/7 in degenerated

NP[41]. CXCR4 is a receptor of SDF1[42] and CXCL12[39], which was found upregulated in degenerated

discs and implicated in the disc angiogenesis[42] and cellular apoptosis[43] via PI3K/NF-κB pathway.

Bone marrow cells, such as CD146$^+$ MSCs[44], may have a potential to migrate into the NP, possibly

by control of SDF1[45]. CCL5 could interact with CCR1/3/5 in NP cells and was up-regulated under

inflammatory stimuli[46]. Interestingly, we find that the disc fibrocytes highly express CXCR4 and

CCR1 (**Table SI**). Future analysis of ligand-receptor interaction, particularly the CXCR4-SDF1 and

CCR1-CCL5 axis in the *CD45$^+$COL1A1$^+$* fibrocytes may gain insights into the regulatory milieu for

their recruitment.

Tissue fibrosis is mediated by myofibroblast from local or extrinsic sources[8] and fibrocytes may

serve as one of the progenitors of myofibroblasts[21,24]. Expression of αSMA is the hallmark of mature

myofibroblasts and essential to their cellular contractility[18]. Expression of αSMA in NP cells may

therefore indicate their myofibroblastic property[24]. FAP-α is a well-known biomarker of

(myo-)fibroblasts, and together with FSP1 it can label most of the collagen I-producing cells in the

bone[47]. FSP1 marks an active fibroblast population and represents a non-overlapping fibroblast entity

to αSMA$^+$ subtype in heart, kidney and skin fibrosis disease[48]. FSP1 is also expressive in atypical

myeloid-derived F4/80-positive inflammatory macrophages in liver injury[49]. FSP1 was found

expressed in the *COL2A1$^+$* ChonNP cells in addition to the *COL1A1$^+$* FibroNP cells.

Our results demonstrate αSMA expression in the CD45$^+$ and CD11b$^+$ fibrocytes, supporting the

conversion of fibrocytes to myofibroblasts in NP fibrosis. Yet, a vast number of αSMA$^+$

myofibroblastic NP cells in both human degenerative IVDs and mouse punctured discs are not

positive for CD45 and CD11b. In the CD11b-DTR mice where the monocytes and their derived

lineages (including fibrocytes) were depleted[27], αSMA expressing cells could still be observed

(>55%, 2 wpp in **Fig. 4d**) in the degenerative NP. This may be due to the existence of non-

hematopoietic origins of the myofibroblast pool, such as those derived from resident NP cells[4].

Alternatively, fibrocytes may lose CD45 and CD11b expression during fibrocyte differentiation[30].

We also note the presence of residual $CD45^+COL1^+$ cells and GFP^+ cells after DT administration.

This likely arises from the incomplete monocyte ablation and a continuation of fibrocyte recruitment.

Fibrocyte-to-myofibroblast conversion acquires activation of TGF-β/SMAD signaling[50], and

interleukin-18 receptor 1[51] and muscarinic receptor M3[52] are shown to promote the fibrocyte

formation and contractile function. Moreover, fibrocytes can secret soluble factors such as periostin[53]

and TIMP1[54] and semaphorin-7A[55] to regulate myofibroblast activity and collagen expression in

pulmonary and intestinal fibrosis[20]. Class 3 semaphorins expression are found associated with disc

innervation and angiogenesis[56]. Interestingly, TIMP1[57] and periostin[58] are up-regulated in the

degenerated discs and enriched in the FibroNP from our meta-analysis. Whether disc fibrocytes

regulate NP fibrosis through a paracrine manner awaits to be addressed. Furthermore, the NPC-

enriched disc fibrocytes express *HTRA1* and *ANGPTL4*. HTRA1 is a proteolytic enzyme for

degrading the matrix either on its own[59] or by upregulating ADAMTS-5[25] or MMPs, whereas

expression of ANGPTL4 is positively associated with IDD severity [26]. Their regulatory role in the

fibrocytes and NP fibrosis worths to be investigated.

In conclusion, this study implicates a function of monocytic fibrocytes in NP fibrosis and IDD

progression. Understanding the effects and regulation of the fibrocytes may potentiate the

development of new diagnostic and therapeutic strategies for modifying IDD.

Methods

scRNA-seq data acquisition and downstream analysis

Four single cell RNA sequencing data sets (GSE160756, GSE165722, CNP0002664, PRJCA014236) were downloaded and processed for next-step analysis. 31 individual samples were rearranged according to Pfirrmann grade, which Pfirrmann grade I samples were classified as non-degenerative NP, Pfirrmann grade II/III as mildly degenerated NP, and Pfirrmann grade IV/V as severely degenerated NP (**Table 1**). Seurat package (version: 3.1.4, https://satijalab.org/seurat/) was used for cell normalization and regression according to the UMI counts of each sample and percent of mitochondria rate to obtain the scaled data. MNN (mutual nearest neighbor) was used to remove potential batch effect. The top 10 principles were used for unsupervised UMAP construction via graph-based cluster method (resolution = 0.8), and marker genes were determined with p-value < 0.01 and log(fold-change) > 0.25 by the FindAllMarkers function. Significant mean and cell communication significance (p-value < 0.05) were calculated based on the interaction and the normalized cell-matrix achieved by Seurat normalization.

Human samples

All procedures described in the present study were approved by the Institutional Ethics Review Board of The University of Hong Kong. IVD tissues were obtained from patients under informed consent. Non-degenerative (n) and degenerative (d) IVDs were harvested from respectively adolescent idiopathic scoliosis (n=7) and lumbar disc degeneration patients (n=9). The age of the patients ranged from 14 to 72 years, and tissue was evaluated with the Pfirrmann classification system (**Supplementary Table 4**).

Cell isolation and culture

Jelly-like NP tissues were carefully dissected from the cartilaginous EP and lamellar-structured AF.

In severely degenerated IVDs (grade IV-V), NP tissues were more fibrotic and hardly distinguishable

from inner AF, so we harvested the tissue presumably at the central region of the NP. Primary NP

cells were extracted by sequential enzymes digestion of pronase (0.25%, Roche Diagnostics) and

collagenase II (600U/ml, Worthington Biochemical)[28], and maintained in high-glucose Dulbecco's

modified Eagle's medium (DMEM) (Invitrogen) supplemented with 10% fetal bovine serum (FBS,

Biosera) and 1% penicillin/streptavidin (P/S, Invitrogen) at 37 °C and 5% CO_2.

Flow cytometry

Newly extracted NP cells were washed by PBS and re-suspended in PBS. Cells were fixed in 4%

paraformaldehyde (PFA) and blocked by 5% BSA. Permeabilization with 0.1% triton X-100 (Sigma-

Aldrich) was applied where necessary. Cells were then treated with primary and secondary

antibodies, and signals from cells labeled with conjugated fluorophores were detected by using BD

FACS CantoII Analyzer (BD Biosciences). The antibodies used for different flow cytometry analysis

are listed in **Supplementary Table S5**. Appropriate IgG control fluorescence compensation was

applied to avoid false positive signals. Data were further analyzed by BD FACS Diva software (BD

Bioscience).

Real-time quantitative PCR

Total RNA was prepared using RNeasy mini kit (Invitrogen) and cDNA synthesis was performed

using High-capacity RNA-to-cDNA kit (Applied Biosystems). PCR was performed using PowerUp

SYBR green master mix (Applied Biosystems), and data are presented as expression levels relative

to *GAPDH* using the $2^{-\Delta CT}$ method. The primer sequences were listed in **Supplementary Table S6**.

Mouse disc puncture model

Tg (ITGAM-DTR/eGFP)34Lan/J mice express membrane localized GFP fluorescence with

diphtheria toxin (DT) receptor under the control of CD11b and were used for disc puncture. The

animal experiments were approved by The University of Hong Kong Committee on the Use of Live

Animals in Teaching and Research (CULATR). CD11b-DTR mice (female, 12 weeks of age, n=5 per

group and time points) received needle puncture at levels of C5/6 and C7/8 of tail IVDs as described

previously[4]. At four days prior to the timepoints and every other week after the puncture surgery,

these mice were subjected to intraperitoneal injection of saline or DT (25μg/kg body weight) (Sigma-

Aldrich). At assigned end points, mice were euthanized by intravenous injection of sodium

pentobarbital (1.2 g/kg), and intact discs with attached vertebral bones were harvested for

histochemistry. Peripheral bloods were harvested at days 0, 3, and 7 after DT injection, and number

of GFP$^+$ monocytes was measured by fluorescence-activated cell sorting (FACS).

Disc height measurement

Anterior-to-posterior radiographs of tail discs of CD11b-DTR mice or C57BL/6J were taken using a

digital radiography machine (Siemens) with an exposure at 25 kV, for 5 seconds. Disc height index

was calculated as previously described [4] and presented as percent relative to the unoperated level of

tail disc C6/7. Images were analyzed biweekly in all groups up to 8 weeks.

Histology and immunostaining

Samples from human and CD11b-DTR mice tissues were fixed with 4% paraformaldehyde in

phosphate buffer for 24 h. Decalcification was performed for mouse IVDs using mouse solution.

Paraffin-embedded tissue blocks of human NP and mice IVDs were sectioned at 7 μm. For histological

staining, sections were deparaffinized and rehydrated, and subjected to FAST staining (Alcian blue,

Safranin O, Fast green and Tartrazine stain) (Sigma-Aldrich) as previously described[60], or Masson's

trichrome stain and Picrosirius red stain following standard procedures. Polarized microscopy was

combined with picrosirius red staining to observe the collagens network in human NP tissue and mouse IVDs[61]. According to the histomophological changes, disc degeneration in CD11b-DTR mice was scored with reference to Tam's scoring system[60].

For immunofluorescence, antigen retrieval was performed following rehydration by incubating with 0.8% hyaluronidase (Sigma-Aldrich) at 37°C for 30 min and antigen retrieval buffer (100 mM Tris, 5% EDTA, pH 9.5) at 95°C for 10 min. The sections were blocked in protein block solution (DAKO) for 60 min before incubating with antibodies. Fluorophore conjugated antibodies were used to enable fluorescence detection. Information for these primary and secondary antibodies were summarized in **Supplementary Table S5**. The sections were mounted with VECTASHIELD Mounting Medium with DAPI for nuclei staining. Isotype-matched mouse, rabbit or rat IgG was used as negative controls. Tissue sections were imaged by fluorescence microscope (Nikon Eclipse E600), and fluorescent positive cells in five fields of view (FOV) were manually counted in ImageJ with reference to total number of cells.

Data analysis

Data are presented as mean $\pm$ S.D. of four to six experiments, as indicated in respective figure legends. Unpaired t-test (two tailed) was used to determine significant differences. Comparisons among multiple groups were assessed using one-way ANOVA with Bonferroni post-hoc test. A p value < 0.05 was considered statistically significant.

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Acknowledgements

This work was jontly supported by the General Research Fund (17121619) of the Research Grant

Council of Hong Kong and the Scientific Research Foundation of PEKING UNIVERSITY

SHENZHEN HOSPITAL (KYQD202100X). We thank Dr. Foon Lian Lim for preparing the human

tissue sections.

Author contributions

YS, ZS and YP contributed equally to this manuscript. YS contributed to the study design, data

collection and interpretation, producing figures and obtaining the fund. ZS, KKS and YS conducted

the bioinformatic analysis. YS, YP, QL and ML performed the experiments and helped in data

analysis. YS, ZS and VYL wrote the manuscript. JZ, YH, ZG, KMC, ZZ and XZ collected samples

and clinical data, and edited the manuscript. KMC, ZZ and XZ reviewed the data and provided

critical data interpretation. VYL conceptualized and supervised the whole project, provided supports

and coordinated the collaboration. All authors have read and approved the manuscript.

Competing Interests statement

The authors have no competing interest to declare.

657 **Table 1 Demographic data.** A total of 31 subjects from 4 studies were selected with degeneration

658 graded I-V, and their single cell RNA sequence data were extracted and integrated for further

659 analysis. Samples defined as Pfirrmann grade I were considered as Non-degenerative; grades II/III

660 and IV/V as respectively Mild and Severe degeneration. F: Female; M: Male.

Data availability	Sample ID	Gender	Age	Pfirrmann grade	Diagnosis	Labeling in analysis	Ref
GSE160756	GSM4878538	M	16	I	Spine fracture	Non-degenerative	14
	GSM4878539	M	31	I	Brain death	Non-degenerative	
	GSM4878540	M	31	I	Brain death	Non-degenerative	
CNP0002664	Ctrl	M	37	I	Spinal cord injury	Non-degenerative	7
	NP2	F	65	V	Herniation	Severe	
	NP4	M	59	III	Herniation	Mild	
	NP8	M	50	IV	Herniation	Severe	
	NP9	M	56	III	Herniation	Mild	
	NP10	F	48	III	Herniation/spondylolisthesis	Mild	
GSE165722	S1	M	63	II	Burst fracture	Mild	12
	S2	M	41	II	Burst fracture	Mild	
	S3	F	56	III	Herniation	Mild	
	S4	F	65	III	Herniation	Mild	
	S5	F	64	IV	Herniation	Severe	
	S6	F	53	IV	Herniation	Severe	
	S7	M	54	V	Herniation	Severe	
	S8	M	56	V	Herniation	Severe	
PRJCA014236	D1_1	M	7	I	Scoliosis	Non-degenerative	11

D1_2	F	6	I	Scoliosis	Non-degenerative
D2_1	M	19	II	Herniation/spondyl olisthesis	Mild
D2_2	F	46	II	Herniation/spondyl olisthesis	Mild
D2_3	M	15	II	Herniation/spondyl olisthesis	Mild
D3_1	M	37	III	Herniation/spondyl olisthesis	Mild
D3_2	F	31	III	Herniation/spondyl olisthesis	Mild
D3_3	M	30	III	Herniation/spondyl olisthesis	Mild
D4_1	F	44	IV	Herniation/spondyl olisthesis	Severe
D4_2	F	43	IV	Herniation/spondyl olisthesis	Severe
D4_3	M	54	IV	Herniation/spondyl olisthesis	Severe
D5_1	M	68	V	Herniation/spondyl olisthesis	Severe
D5_2	F	50	V	Herniation/spondyl olisthesis	Severe
D5_3	F	57	V	Herniation/spondyl olisthesis	Severe

Table 2. Analysis of DEGs for disc fibrocytes. $PTPRC^+COL1A1^+$ or $ITGAM^+COL1A1^+$ NP cells were considered as disc fibrocytes, and subdivided to two major clusters based on their UMAP distribution: 1) immunocytes-clustered fibrocytes containing fib-M (Macrophage), fib-N (Neutrophil), fib-T (T cells) and fib-G (G-MDSC); 2) NP cells (NPC)-clustered fibrocytes containing fib-fNP (FibroNP), fib-cNP (ChonNP), fib-rNP (RegNP). Positively and negatively expressed DEGs of different fibrocytes subsets were demonstrated.

DEGs for immunocytes-clustered fibrocytes: fib-M; fib-N; fib-T; fib-G	
Commonly shared positive genes	*LAPTM5 PTPRC CXCR4 CFLAR HCLS1 PLEKHA2 FAM49B CELF2 SRGN ARHGDIB LCP1 EVI2B FMNL1 COL1A1 GMFG ITGB2 TMSB4X SAMSN1 MT-ND1 RAC2 B2M MT-ND3 SLA WDR26 FAM107B DEDD2 PFN1 MT-ND4 CFL1 FYB1 HLA-B LASP1 WIPF1 PPP1R18 GNB1 HLA-E HLA-A MSN MT-ATP8 MYH9 RAB8B ATP5E BAZ1A ARPC2 CAPZA1 MT-ND6 PABPC1*
Commonly shared negative genes	*GLT8D2 NCKAP1 NGEF FAP TMEM98 SOX5 DIXDC1 CD320 GSTM3 PDGFRL SERPINA1 SERTAD4-AS1 KDELR3 BARX1 TGM2 ZNF385D CFB FKBP7 ECM2 GPC6 CAV2 CHPF CDH19 AOPEP PERP LARP6 CNN3 CCDC85B TUBB2B MIR100HG MPP6 MAGI2-AS3 TIMP4 GFPT2 ARL3 MDFI SCIN SMOC1 VASN DPT LAMB2 CHST3 PPFIBP1 ACKR3 HSPA2 EVA1B GOLM1 METRN GNG11 WWTR1 SGCB ITGA10 MELTF PYURF THY1 FXYD1 LY6E EFEMP2 SNAI2 SSPN VGLL4 ADPRHL1 FHL2 DDR2 LTBP3 CAVIN3 RGS3 CILP2 ANGPTL2 PAX1 FSTL1 FGFRL1 P4HA2 FAM114A1 FAM3C MRC2 ANGPTL5 TMEM45A COX7A1 ANGPTL4 MXRA7 C1R H19 DANCR COL6A3 RHOBTB3 BAMBI TPM1 NFIA TRPS1 FKBP10 MXRA8 COL5A2 MEG3 CRIP2 TCEAL2 RCN3 COPZ2 IFITM3 CDO1 PDPN ID1 NRN1 TCF4 ID4 PDLIM4 SIVA1 ERRFI1 ADIRF ENPP1 PPIC EDIL3 FOXC1 TPM2 FGF2 NENF FERMT2 NOVA1 PCOLCE CHAD APOD ATP5IF1 RCN2 SLC39A14 EMP2 TMEM59 MAGED2 GPRC5A SBDS COL11A2 PRDX2 WDR83OS ISLR CALD1 AK1 CAVIN1 FHL1 COL6A1 BNIP3 TM4SF1 FXYD6 C1S*

	CLEC3A FBXO2 SOX9 TIMP3 RRBP1 CAV1 MYDGF SERPINA5 UAP1 PPDPF TNFRSF11B SPARC ITM2A FN1 CP EID1 LINC00632 RBP4 BEX3 MT1M KRT10 SERPING1 CPE VCAN VKORC1 PLOD2 PDIA6 CAPS COL6A2 SNHG5 KCNMA1 PMEPA1 MFGE8 COL9A3 SOD3 RABAC1 TNFRSF12A CCDC80 C12orf57 CCN1 DST HAPLN1 PRDX4 COL11A1 GPX3 PLAC9 COL2A1 PPIB FMOD HTRA1 CLU S100B NUPR1 TSC22D1 ZFAS1 ABI3BP SERPINA3 PCOLCE2 OGN S100A13 SERPINE2 PRELP IGFBP7 DSTN SSR4 AEBP1 C11orf96 MIF MIA CNMD SELENOM NNMT S100A1 BGN CCN2 SCRG1 MT1G RPS26 FGFBP2 SNORC ACAN ECRG4 LUM CRYAB DCN TIMP1 MGP NDUFA4L2 MT2A MT1X RPLP0 MT1E COMP RPL7
Fib-M specific	*CSTB LGMN ANXA2 DAB2 LGALS1 CTSL PLD3 CST3 NRP2 LMNA MGST3 PDE4DIP*
Fib-T specific	*RORA*
Fib-G specific	*NUCB2 DUT*
Fib-N specific	*N/A*
DEGs for NPC-clustered fibrocytes: fib-cNP; fib-fNP; fib-rNP	
Commonly shared positive genes	*COL1A1 COL3A1 COL1A2 ASPN TGFBI CTSB CTGF MT-ATP8 ATP5E ALDOA CDR1 HTRA1 ITGB5 MATR3 AC112491.1 ATP5G2 CYR61 ATP5J ATP5H HMOX1 NBEAL1 ATP5G3 ITGAM ATP5A1 ATP5F1 ATP5B ANKH LRRC75A ZNF90 TRAM1 ATP5L CERS2 DUSP5 PPP3CA PXDC1 CDK2AP1 OAT CKS2 ANGPTL4 SLC1A4 LDHA ZFAND5 MAN1A2*
Commonly shared negative genes	*RPL21 MALAT1*

678 **Table 3. Analysis of DEGs for NPC-clustered disc fibrocytes.** NP cells (NPC)-clustered fibrocytes

679 were subdivided based on their UMAP distribution: fib-cNP (ChonNP), fib-fNP (FibroNP) and fib-

680 rNP (RegNP). Featured genes of each subset that were negatively expressed by immunocytes-

681 clustered fibrocytes were summarized.

Featured DEGs of NPC-derived fibrocytes	
fib-cNP	*PLOD2 FGFBP2 SPARC FMOD HTRA1 TIMP3 ANGPTL2 DPT MELTF CCDC80 PCOLCE2 FHL2 OGN CILP2 GFPT2 SCIN PRELP SERPINA5 CPE HAPLN1 SCRG1 COL2A1 ANGPTL4 ABI3BP SOX9 COL5A2 FXYD6 P4HA2 SMOC1 FGF2 NRN1 MXRA7 CDO1 FSTL1 PPIC SERPINA1 ID4 TUBB2B TMEM45A LUM CDH19 FAM114A1*
fib-fNP	*COL6A3 COL5A2 COL6A1 DPT FSTL1 SPARC THY1 FAP COL6A2 ISLR CALD1 ANGPTL2 HTRA1 PCOLCE MXRA8 C1R CAV1 EDIL3 CAVIN1 CNN3 ECM2 TPM1 PPIC FKBP10 DDR2 PLOD2 GOLM1 SMOC1 SSPN FAM114A1 PDGFRL FN1 ERRFI1 TIMP3 FERMT2 PDPN GLT8D2 MXRA7 SLC39A14 BGN NCKAP1 CAVIN3 ANGPTL4 DST WWTR1 SGCB DIXDC1 P4HA2 C1S TCF4 RCN3 ACKR3 EFEMP2 IGFBP7*
fib-rNP	*DCN FAP NNMT FN1 MFGE8 GFPT2 CLU SERPINE2 SLC39A14 ANGPTL4 GPX3 ERRFI1 SOD3 C11orf96 CDO1 ACKR3 HTRA1*