1	Assessing the relationship between neural entrainment and
2	altered states of consciousness induced by electronic music
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## 28 ABSTRACT

29 In electronic music events, the driving four-on-the-floor music appears pivotal for inducing altered 30 states of consciousness (ASCs). While various physiological mechanisms link repetitive auditory 31 stimuli to ASCs, entrainment—a brainwave synchronization through periodic external stimuli— 32 has garnered primary focus. However, there are no studies systematically exploring the 33 relationship between entrainment and ASCs. In the present study, we depart from the finding that 34 entrainment to auditory stimuli peaks for stimulation rates around 2 Hz compared to others. 35 Twenty participants listened to six one-minute electronic music excerpts at different tempos (1.65 36 Hz, 2.25 Hz, and 2.85 Hz). For each excerpt, they performed cognitive tasks and reported 37 phenomenological experiences related to ASCs through questionnaires. Brain activity was 38 recorded with electroencephalography to assess whether a modulation in entrainment by the beat 39 of electronic music affected objective and subjective proxies of ASCs. Our results revealed a 40 tempo-driven entrainment modulation, peaking at 1.65 Hz. Similarly, participants' experience of 41 unity during listening to the music was higher for the excerpts at 1.65 Hz, yet no relationship with 42 entrainment was found. Critically, a correlation was found between entrainment and participants' 43 reaction time. Further studies are granted to explore how individual traits, such as musical training, 44 modulate the relationship.

## 46 Introduction

47 Every summer since 2005, thousands of people from around the world gather together in Bloom 48 (Flanders, Belgium) to join the Tomorrowland festival. Attendees to this type of electronic dance 49 music events (EDEMs) share the purpose of engaging in transformational experiences in the context of a diversity-friendly environment<sup>[1,2]</sup>. The dynamics of this type of events seem to 50 51 facilitate their goal<sup>[1]</sup>: the dancing, drumming-like music, sleep deprivation and drug consumption 52 (i.e., the 4D's) that characterize EDMEs are key elements to produce altered states of 53 consciousness (ASCs)<sup>[3]</sup>. ASCs might enhance the feelings of connectedness, community, and 54 sacrality that EDMEs' attendees crave<sup>[2]</sup>. However, the physiological mechanisms by which some of the 4D's facilitate a transition into an ASC remain unknown, being most of the research focused 55 on recreational drugs, especially psychedelics<sup>[4]</sup>. In view of this research gap, we here aim to shed 56 57 light on the underlying cerebral mechanisms of the 4D's "drumming" to produce ASCs.

58 The sonic environment that characterizes EDMEs is reminiscent of that present during shamanic 59 practices<sup>[5]</sup>. During shamanic rituals, intense drumming usually plays during the whole duration of these events to facilitate a shamanic trance state [6-9], which practitioners commonly describe as 60 going into spiritual journeys<sup>[10]</sup>. From a psychological standpoint, trance has been characterized 61 62 by a narrowed awareness of the immediate surroundings and/or a selective focus on environmental stimuli<sup>[11]</sup>. In modern EDMEs, the disc-jockey takes a similar guiding role to the shaman<sup>[12]</sup> and 63 the drumming is replaced by electronic music, with an equal strong beat and repeating musical 64 65 structure that is supposed to entrance the listener<sup>[13]</sup>.

Using repetitive stimulation to facilitate ASCs has not only been described in shamanic rituals and
 EDMEs, but also in other different cultures and historical periods<sup>[14,15]</sup>. This cross-cultural and
 cross-temporal commonality suggests the existence of a biological basis that can explain how

being exposed to repetitive stimuli might facilitate ASCs<sup>[16]</sup>. Although many explanatory 69 mechanisms have been proposed, neural entrainment is avowed as the most plausible one<sup>[17]</sup>, as it 70 71 has been shown that brain oscillations eventually match or entrain the phase of external driving 72 stimuli in many sensory domains<sup>[18]</sup>. This match seems to be consequence of an active brain mechanism to ensure a fine processing of the stimuli<sup>[19,20]</sup>, thus engaging the brain's endogenous 73 activity, that is key to neural processing in a behaviorally relevant manner<sup>[21]</sup>. Additionally, 74 75 research in beat perception using auditory repetitive stimulation has shown that this brain-stimulus 76 coupling is not only limited to the auditory system, but spreads to the motor system and 77 beyond<sup>[22,23]</sup>. Based on these findings, the drumming in shamanic rituals, or the salient and 78 repetitive beat of electronic music in EDMEs, might entrain several brain regions to frequencies 79 that are not optimal for some cognitive operations. At the behavioral level, such a physiological 80 configuration would translate into a temporary ASC. However, this brain-state of the mind 81 relationship has never been experimentally measured. In this study, we aim to address this 82 previously unaccounted association.

The neuroscientific research in the field of ASCs, specifically that exploring trance, frequently lack measurements of the behavioral correlates associated with these states<sup>[24–27]</sup>, leading to descriptive physiological data. These studies, although being pioneers in the field, did not employ existing tools to explore the invariant phenomenological dimensions of ASCs, such as the 11D-ASC questionnaire<sup>[28]</sup>. Moreover, the cognitive aspects of ASCs can be measured with traditional cognitive tasks used in psychological research.

In view of these findings, the present study will directly tackle for the first time the assumed relationship between neural entrainment and ASCs. To this aim, we draw upon the finding that neural entrainment to drum sounds and clicks reaches a peak at around 2 Hz compared to other

99	Methods
98	11D-ASC. The objective and subjective measures will be employed as proxies to ASCs.
97	and (3) participants' subjective experience during the music listening with three subscales of the
96	of cognitive function, namely executive function and absorption to the music at different tempos,
95	electronic music at different tempos with electroencephalography (EEG), (2) objective measures
94	experience. Specifically, we will measure (1) participants' entrainment to the beat of naturalistic
93	explore how the magnitude of entrainment affects different aspects of human functioning and
92	stimulation rates <sup>[29]</sup> . Noteworthy, this physiological property of the brain has never been used to

100 The study was approved by the Bioethics Committee of the University of Barcelona and all 101 provisions of the Declaration of Helsinki were followed.

#### 102 Participants

103 A total of 20 naïve, healthy volunteers were recruited from online advertisements at the University 104 of Barcelona (Spain). All participants provided written informed consent and were paid for their 105 participation. The general inclusion criteria comprised no history of auditory, neurological or 106 psychiatric disorders and age between 18 and 35 years. One participant was excluded for being 107 unable to comply with the task instructions, resulting in a final sample of 19 participants of ages 108 ranging from 18 to 22 years (2 male and 17 female,  $M_{age} = 20$ ). In order to control for previous 109 musical training, participants filled a standard questionnaire we use in our research designed to 110 ascertain both the presence and duration of formal musical training. Out of the 19 participants, six 111 individuals reported prior formal musical education, with a mean duration of 5.833 years (SD = 112 5.269).

#### 113 Stimuli

114 One-minute-long extracts from "Endless Horizons" by Dhamika (song 1), "El despertar de Joel" 115 by Lab's Cloud (song 2), "Mind Expander" by Audiomatic (song 3), "Audioslave" by Vertex (song 116 4), "Check this shit out" by AB (song 5), and "Adagio" by K Complex – Rave Remix (song 6) 117 were used (see supplementary materials). These extracts were carefully selected to include none 118 to minimal vocals and none to minimal beat drops (see Fig. 3a). Each song was modified with 119 v.3.0.0 of Audacity® recording and editing software to include a fade-in and fade-out effect on 120 the first and last seconds, respectively. The approximate tempos of the six songs were determined 121 in a semi-automatic approach (see Section 2.4 for more details). The tempos were: 1.65 Hz or 99 122 bpm (song 1 and 2), 2.25 Hz or 135 bpm (song 3 and 4), and 2.85 Hz or 171 bpm (song 5 and 6). 123 The six songs could therefore be classified into three tempos: 1.65 Hz, 2.25 Hz and 2.85 Hz. The 124 sound intensity levels were intentionally not equalized across the song extracts because doing so 125 would not effectively address the natural differences in the volume of the beat among the different 126 songs. However, all the extracts were played at the same intensity level.

#### 127 **Procedure**

Participants sat comfortably in a soundproof Faraday chamber with a screen in front of them, 128 129 headphones on, a mouse in their dominant hand, and a touch sensitive tablet on their legs. A 130 graphical representation of the sequence of an example trial can be seen in Fig. 1. At the beginning 131 of each trial, participants were presented with a 0.5-second-long pure tone indicating the start of a 132 10 s silence period. Immediately after, one of the six one-minute-long songs was played at 80 dBs. 133 In total, there were three trials for each song randomized across three blocks for each participant. 134 When the music stopped, participants had to left-click on the mouse as soon as they realized the 135 music was over. After 10 s, a 0.5-second-long pure tone warned participants that the go/no-go task 136 was about to start. Then, the screen displayed a countdown starting from three and framed by a

137 visual shape that could either be a square or a diamond shape. Once the countdown reached one, 138 150 shapes (either a square or a diamond) were presented sequentially in the screen, following the same timing constraints as in a previous study<sup>[30]</sup>. Specifically, prior to the presentation of each 139 140 shape, a fixation cross was presented for 200 ms + 0-300 ms jitter. Following the fixation cross, 141 each shape was displayed up to 700 ms or until response. If the shape in the screen matched the 142 one presented during the countdown, participants had to left-click on the mouse (go stimuli). If 143 not, they had to refer from clicking (no-go stimuli). The shape of the go-stimuli was randomized 144 for each participant. The probability of a go-stimuli appearing was fixed in each trial to 75 % to 145 maximize the number of false alarms (i.e., responding when a no-go stimulus is presented)<sup>[31]</sup>. 146 When the go/no-go task was over, participants used the touch-sensitive tablet to fill from 0 to 10 147 the items presented in a spider chart of three subscales of the 11D-ASC<sup>[28]</sup>, namely Experience of 148 unity, Spiritual experience, and Disembodiment. Following a similar procedure to a previous study<sup>[32]</sup>, the ratings were obtained using three customable spider charts, one for each subscale, 149 150 with as many radii as items (Fig. 2). A fixation cross in the center of the screen was present during 151 the whole duration of the trials. Previously to the start of the experiment, all participants conducted 152 three practice trials, in which generic elevator music was used as stimuli, to get familiarized with 153 the tasks. EEG was recorded during the whole duration of the trials.

## 154 Sound pattern analysis

The sound pattern analysis was conducted by using the Fieldtrip v.20211020 toolbox<sup>[33]</sup> and Matlab v.2021a. The frequency at which entrainment was expected to be elicited in the recorded EEG signals (i.e., the songs' beat frequency) was determined in a semi-automatic approach. First, an experienced musician tapped along to each 1-minute song extract on a keyboard, while a custom Matlab program counted the taps and extracted a first approximated beat frequency (i.e., the number of taps per second). The second step involved transforming the songs' waveforms to the frequency dimension. To this aim, each song was cut from +1 s to +59 s relative to the onset of the musical extract. The first and last seconds of the songs were left out of the analysis to exclude the fade-in and fade-out effects of the songs. The envelopes were extracted using a Hilbert transform, this is, by obtaining the absolute value of the complex-valued analytic signal using the functions 'abs' and 'hilbert' in Matlab.

166 Considering the non-stationarities inherent in our naturalistic music stimulation, the envelopes 167 were transformed into the frequency domain using Welch's method with a window length of 10 168 seconds and a 90% overlap, employing a Hanning taper. This transformation was performed using 169 the 'mtmconvolv' function implemented in Fieldtrip and resulted in a frequency spectrum with a 170 frequency resolution of 0.1 Hz. The exact beat frequency was determined as the spectral 171 amplitude's peak within a range from -0.5 to +0.5 Hz from the first approximated beat frequency. 172 To explore the temporal dynamics of neural entrainment, the exact beat frequency was measured 173 across time in a separate analysis. The 58-second-long waveforms were segmented into 10 s 174 windows with a 90% overlap and subsequently transformed into the frequency domain with a 175 Hanning taper, as implemented in Fieldtrip's function 'mtmconvolv', yielding frequency 176 spectrums with a frequency resolution of 0.1 Hz. The exact beat frequency for each window was 177 determined as the frequency bin with the maximum spectral amplitude within a range from -0.5 to 178 +0.5 Hz from the approximated beat frequency.

Differences in the spectral amplitude of the beat frequencies between songs were expected and indeed confirmed after the frequency transformations (Fig. 3b). These differences might be explained by there being a different number of beats for each musical extract, which might affect the measures of neural entrainment in an unknown way. To account for these differences, we

183 implemented a normalization procedure. Global entrainment and entrainment across time were 184 measured as a multi-harmonic signal-to-noise ratio (SNR) of the spectral amplitudes of the EEG 185 responses at the beat and significant harmonic frequencies (see section 2.6. EEG data processing 186 and analysis). To normalize these measures, we quantified for each song the multi-harmonic SNR 187 of the spectral amplitudes of the whole waveforms at the beat and significant harmonic 188 frequencies. Subsequently, we computed the ratio between the SNR of the EEG responses and the 189 SNR of the corresponding waveforms. To compute the multi-harmonic SNR of the waveforms, 190 we first summed the spectral amplitudes at the beat frequency (F0), first harmonic (2F0), and 191 second harmonic (3F0) frequency bins (i.e., signal) and at the corresponding neighbor frequencies 192 with one frequency bin of spacing at each flank (i.e., baseline). The multi-harmonic SNR of the 193 waveforms were computed by dividing the signal's amplitude by the baseline's amplitude.

## 194 **EEG recordings**

195 EEG was continuously recorded during the whole duration of the trials and digitalized at a 196 sampling rate of 1 kHz by a Neuroscan SynAmps RT amplifier (Neuroscan, Compumedics, 197 Charlotte, NC, USA). For the EEG acquisition, 36 sintered electrodes mounted in a neoprene cap 198 (Quick-Cap Neo-Net; Compumedics, Charlotte, NC, USA) at standard locations according to the 199 extended 10-10 system were used. Electrooculograms (EOG) were measured with two bipolar in-200 cap electrodes placed above and below the right eye (VEOG), and two horizontal in-cap electrodes 201 placed on the outer canthi of the eyes (HEOG). The ground electrode was located at AFz and the 202 common reference electrode between Cz and Cpz. All impedances were kept below 10 k $\Omega$  during 203 the whole recording session.

#### 204 EEG data processing and analysis

The data analysis was performed offline using the EEGlab v.2021.1 toolbox<sup>[34]</sup> and the Fieldtrip 205 v.20211020 toolbox<sup>[33]</sup> running under Matlab v.2021a. For each participant, the continuous 206 207 recordings were filtered from 0.5 Hz to 45 Hz with a Finite Impulse Response bandpass Kaiser 208 filter to remove slow drifts and line noise, respectively. Excluding the bipolar montages, the 209 filtered data were re-referenced to the average activity of all electrodes to remove any noise from 210 the reference electrode. For each trial, the continuous recordings were segmented from -10 s to 211 +60 s relative to the onset of the auditory stimuli. All epochs were merged to detect muscle artifacts 212 based on a semi-automatic approach. First, all epochs were visually inspected with respect to their 213 waveform morphology. Those epochs that included muscle artifacts<sup>[35]</sup>, characterized by high-214 frequency activity (> 20 Hz) and typically produced by muscular activity near the head, such as 215 swallowing or moving the head, were rejected, unless the artifacts were due to eye movements. 216 Then, independent component analysis (ICA) was computed to remove artifacts produced by eye blinks, eye movements, and heart activity from the EEG signal using the runica algorithm<sup>[36,37]</sup>. 217 218 Deliberately removing activity in the EEG coming from the heart was critical because the tempo 219 of the songs at 1.65 Hz partly matched the frequency of the human heart, ranging from 1 Hz to 220 1.67 Hz. Lastly, epochs were baseline corrected by using the EEG activity over the 10 s windows 221 prior to the onset of the songs. The electrodes relative to the vertical and horizontal EOGs were 222 not further included in the analyses.

For each participant and trial, epochs lasting 58 s were sorted by segmenting the pre-processed recordings from +1 to +59 s relative to the onset of the auditory stimuli. Following the same procedure as in a previous study<sup>[38]</sup>, the first second of each epoch was removed: (1) to discard the transient auditory evoked potentials related to the onset of the stimulus<sup>[39–41]</sup>; (2) because steadystate evoke potentials require several cycles of stimulation to be steadily entrained<sup>[42]</sup>; and (3)

because several repetitions of the beat are required to elicit a steady perception of beat<sup>[43]</sup>.
Additionally, by removing the first and last seconds of the recording, the fade-in and fade-out
effects of the stimuli were excluded.

231 From this step onwards, data were analyzed in two independent strategies. First, to explore *global* 232 measures of entrainment, the obtained 58-second-long epochs were transformed in the frequency 233 domain by using Welch's method, computed over 10 s windows with a 90% overlap with a 234 Hanning taper, as implemented in Fieldtrip's function 'mtmconvolv' (Fig. 3). This procedure 235 yielded frequency spectrums with a 0.1 Hz frequency resolution. For each participant, song and 236 electrode, the resulting frequency spectrums were averaged across trials. Global entrainment to 237 each song was measured as a multi-harmonic SNR response, meaning that the measure of 238 entrainment was not only limited to the spectral amplitude at the beat frequency. Rather, 239 entrainment was assessed as a multi-harmonic response due to the non-sinusoidal beats of the 240 songs and the nonlinear nature of the brain processes in response to acoustic onsets that might 241 project the neural responses to the beat frequency onto higher harmonics of the beat 242 frequency [44,45].

243 To determine which harmonics to consider, the significance of each harmonic's spectral amplitude 244 averaged across participants and electrodes was tested for each song. Specifically, we z-scored the 245 group-level spectral amplitude at the frequency of each harmonic (i.e., signal), with a baseline 246 defined as the corresponding neighboring bins with one frequency bin of spacing, using the 247 formula z(signal) = (signal - baseline mean)/baseline SD. This testing process was carried out sequentially for each harmonic until one harmonic did not reach significance<sup>[46]</sup>. Using this test, 248 249 the first and second harmonics were considered significant for songs 5 and 6, as they had z-scores 250 > 1.64 (i.e., p < 0.05, one-sample, one-tailed test; testing signal > noise). To prevent introducing bias into the results, the same harmonics were employed for computing entrainment across all songs, namely the first and second harmonics. For each participant, song, and electrode we summed the spectral amplitudes at the beat, first harmonic, and second harmonic frequency bins (i.e., signal) and at the baseline bins<sup>[44]</sup>. Lastly, we computed the SNR between the signal and averaged baseline spectral amplitudes.

Considering previous findings indicating that the perception of beat is region-specific<sup>[22,23]</sup>, we 256 257 examined the scalp-wide distribution of entrainment to identify and select electrodes that are 258 pertinent to the observed entrainment patterns. To this aim, for each song and electrode we 259 averaged the SNR measures across participants and plotted the scalp distribution (Fig. 3d). Upon 260 a visual examination, a consistent fronto-central pattern of entrainment across songs was observed. 261 Therefore, for each participant and song, we quantified global entrainment as a multi-harmonic 262 SNR averaged across fronto-central channels (i.e., FC3, FC2, FC4, C3, Cz, and C4 according to 263 the extended 10-10 standard system). These measures were subsequently normalized by the 264 spectral amplitudes of the songs (see section 2.4. Sound pattern analysis). Lastly, to mitigate 265 potential confound effects related to the idiosyncratic spectral characteristics of the songs, global 266 entrainment was averaged for each participant between songs within tempos.

The second analytical strategy was related to exploring the temporal dynamics of neural entrainment for each participant and song. To this aim, the 58-second-long average epochs were split into 10 s windows with a 90% overlap and subsequently transformed into the frequency domain with a Hanning taper, as implemented in Fieldtrip's function 'mtmconvolv'. For each participant, song, electrode and window, the resulting frequency spectrums were averaged across trials, yielding frequency spectrums with a frequency resolution of 0.1 Hz. The deliberate decision of computing FFTs given windows of 10 s are justified by previous literature on entrainment using

similar short-lasting epochs<sup>[47,48]</sup>. Also, using overlapping sliding time windows is justified by the 274 275 fact that we are conducting a fine-grained analysis of entrainment at specific frequencies<sup>[49]</sup>. The 276 significant harmonics for computing entrainment as a multi-harmonic SNR response across time 277 were determined to be the same as in the measurement of global entrainment, namely the first and 278 second harmonics. For each participant, song, electrode and window we summed the spectral 279 amplitudes at the beat, first harmonic, and second harmonic frequency bins (i.e., signal) and 280 baseline bins<sup>[44]</sup> and computed the SNR. Subsequently, entrainment across time was quantified as 281 the multi-harmonic SNR averaged across fronto-central channels (i.e., FC3, FC2, FC4, C3, Cz, 282 and C4 according to the extended 10-10 standard system). These measures were normalized by the 283 spectral amplitudes of the songs (see section 2.4. Sound pattern analysis). Lastly, entrainment was 284 averaged for each participant and window between songs within tempos.

285 Analysis of behavioral measures

#### 286 *Reaction time task*

Reaction time (RT) was measured as the time in seconds that participants took to respond to the offset of the songs. For each participant, RT was averaged across trials within songs to control for random variability in their performance. For each tempo, RT was averaged between songs to control for possible confound effects provoked by the idiosyncratic musical characteristics of the songs.

#### 292 Go/no-go task

Participants' responses in the go/no-go task were classified in four types: (a) hits (HTs), if participants pressed the mouse when a go-stimulus was presented; (b) misses (MSs), if participants did not press the mouse when a go-stimulus was presented; (c) correct rejections (CRs), if participants did not press the mouse when a no-go stimulus was presented; and (d) false alarms (FAs), if participants pressed the mouse when a no-go stimulus was presented. For each participant and trial, the proportion of each type of response was calculated by dividing the total number of those responses by the total number of trials within the go/no-go task. The proportions for each type of response were averaged across trials within the same song, and the mean punctuation for each type of response was obtained by calculating the mean across songs within each tempo.

302 11D-ASC

For each participant, trial and subscale (i.e., Disembodiment, Spiritual experience, and Experience of unity), the average score was computed across items. For each participant, the punctuations in each subscale were averaged across trials within songs. For each tempo, the scores in each subscale were averaged between songs.

307 **Results** 

#### 308 **Reaction time**

To explore differences in RT between tempos, a non-parametric Friedman test of differences among repeated measures was conducted. The results show no significant differences in RT between tempos ( $\chi^2_{(2)} = 2$ , p = 0.368; M<sub>1.65</sub> = 1.044, M<sub>2.25</sub> = 1.208, M<sub>2.85</sub> = 1.018).

# 312 Go/no-go task

313 For each of the studied response types (HT, MS, CR and FA), and for each musical tempo, we

314 performed a one-way non-parametric Friedman test of differences among repeated measures. The

- results show no significant differences between tempos in any response type (HT:  $\chi^2_{(2)} = 3.361$ , p
- 316 = 0.186;  $M_{1.65} = 0.723$ ,  $M_{2.25} = 0.728$ ,  $M_{2.85} = 0.725$ ; MS:  $\chi^2_{(2)} = 3.041$ , p = 0.219;  $M_{1.65} = 0.023$ ,

317 
$$M_{2.25} = 0.019, M_{2.85} = 0.022; CR: \chi^2_{(2)} = 2.842, p = 0.241; M_{1.65} = 0.206, M_{2.25} = 0.202, M_{2.85} = 0.202, M_{2.85}$$

318 0.2; FA: 
$$\chi^2_{(2)} = 2.842$$
,  $p = 0.241$ ;  $M_{1.65} = 0.048$ ,  $M_{2.25} = 0.051$ ,  $M_{2.85} = 0.053$ ).

319 **11D-ASC** 

320 For each subscale of the 11D-ASC (Disembodiment, Spiritual experience, and Experience of 321 unity) and tempo, we conducted a one-way repeated measures Analysis of Variance to investigate 322 differences in these scores between tempos. The results show a significant effect of tempo in Experience of unity  $(F_{(2, 36)} = 4.775, p = 0.014, \eta^2 = 0.016)$ . A post-hoc analysis using t-tests 323 revealed a significant difference ( $t_{(18)} = -2.567$ , p = 0.019) between the tempos 1.65 Hz (M<sub>1.65</sub> = 324 325 4.316) and 2.85 Hz ( $M_{2.85} = 3.646$ ; Fig. 4). No differences were found in the scores of Disembodiment ( $F_{(2, 36)} = 1.309$ , p = 0.277,  $\eta^2 = 0.000716$ ;  $M_{1.65} = 3.893$ ,  $M_{2.25} = 3.444$ ,  $M_{2.85} =$ 326 327 3.447) and Spiritual Experience ( $F_{(2, 36)} = 0.214$ , p = 0.808,  $\eta^2 = 0.008$ ;  $M_{1.65} = 2.579$ ,  $M_{2.25} = 2.62$ 328  $M_{2.85} = 2.687$ .

329 EEG

#### 330 Global entrainment

331 A linear mixed model analysis was conducted by using the 'lmer' function from the lme4 332 package<sup>[50]</sup> in Rstudio v.4.1.3 to explore the impact of tempo on global entrainment. The covariates 333 "musical training" (indicating whether participants had any form of musical training or not) and 334 "years of musical training" (representing the duration of musical training in years), as assessed 335 with a musical questionnaire, were included in the analysis to control for any potential influence 336 of participants' musical expertise on entrainment. The normality and dispersion of the residuals 337 were checked. The model included the fixed effects of tempo (with three levels: 1.65, 2.25 and 338 2.85 Hz), musical training (with two levels: yes or no), and years of musical training. Each 339 participant's identification code was added as a random effect to account for the correlation among 340 the repeated measurement from the same individual. Adding the covariates improved the fit of the 341 model, as evidenced by a significant decrease of the Bayesian information criterion (BIC) in the 342 model with covariates (BIC = -84.391) compared to the model without covariates (BIC = -80.916;

343  $\chi^2_{(4)} = 19.648; p < 0.01$ ). The results of the linear mixed model with the main effect of tempo and 344 the covariates about musical training are shown in Table 1.

To determine the significant comparisons of entrainment between tempos, Tukey's post-hoc tests with the Kenward-Roger degrees of freedom method were conducted by using the 'emmeans' function<sup>[51]</sup> in Rstudio v.4.1.3. Post-hoc contrasts revealed that entrainment was significantly greater for 1.65 Hz compared to 2.85 Hz ( $M_{1.65} = 1.067$ ;  $M_{2.85} = 0.990$ ;  $t_{(36)} = 3.546$ ; p = 0.003; Fig. 5). No other differences between conditions were found.

### 350 Entrainment across time

351 In order to assess the differences in the temporal dynamics of entrainment to the beat of songs at 352 the different tempos48 one-way repeated measures ANOVAs were conducted, one for each sliding 353 window, with one factor (tempo) and three levels (1.65, 2.25 and 2.85 Hz). A significance level of 354 p = 0.01 was implemented to account for the multiple ANOVAs and mitigate the risk of type I 355 error. Depending on the window, post-hoc analyses were conducted using t-tests for normally 356 distributed data or Wilcoxon signed-rank tests for non-normally distributed data. Bonferroni 357 corrections were applied to account for multiple comparisons. The statistical results beyond the main effects are not reported due to the significant quantity of statistical analysis conducted. 358 359 However, the last time point in which entrainment was measured, which served as the basis for 360 correlational analyses between entrainment and RT and executive function, require further examination. Specifically, a significant effect of tempo was found on the last time point ( $\chi^2_{(2)}$  = 361 362 9.789, p = 0.007). Post-hoc comparison tests revealed that entrainment was higher for songs at 1.65 Hz compared to both 2.25 Hz ( $t_{(2)} = 163$ , p = 0.010) and 2.85 Hz ( $t_{(2)} = 165$ , p = 0.010). Fig. 363 6 visually depicts the results of the main effects across all time points. 364

# 365 **Brain-behavior correlation analyses**

366 To explore whether the magnitude of entrainment is related to participants' performance in the 367 objective behavioral measures, linear models were applied to the differences in RT, executive 368 function and entrainment between each pair of tempos. Computing the correlations across 369 differences aimed to uncover whether states in which the brain is highly synchronized to the beats 370 of the songs vs. less synchronized is related to the variability in the participant's performance 371 between the two conditions. The significance of the relationships was assessed by testing the 372 significance of the slopes of the linear models. To this aim, only entrainment calculated from the 373 last 10-second-long windows were used. This is because participants' performance in cognitive 374 tasks can be expected to be affected by the brain configuration at the closest moment in time to the 375 task. Also, as the percentage of CR and HT, and the percentage of MS and FS are complementary, 376 correlations with entrainment were only computed for correct rejection and miss responses. The 377 normality of the residuals for each linear model was assessed using Shapiro-Wilk's tests, revealing 378 that all residuals exhibited a normal distribution. The analysis revealed a significant relationship 379 between the differences in RT and entrainment between tempos 1.65 Hz and 2.25 Hz (B = 0.942, 380  $t_{(17)} = 2.276$ , p = 0.027,  $R^2 = 0.086$ ; Fig. 7a). Also, there was a significant relationship between the 381 differences in CR and entrainment between tempos 1.65 Hz and 2.25 Hz (B = -0.018,  $t_{(17)} = -2.196$ ,  $p = 0.032, R^2 = 0.081$ ; Fig. 7b) and between 1.65 Hz and 2.85 Hz ( $B = -0.010, t_{(17)} = -2.118, p = -2.118$ 382 0.039.  $R^2 = 0.075$ ; Fig. 7c). No other significant relationships were observed. 383

To investigate whether the magnitude of entrainment is associated with the subjective experience of participants while listening to the songs, linear models were applied to the differences in participants' scores in the 11D-ASC subscales and the differences in entrainment between each pair of tempos. In contrast to the objective measures, participant-reported measures of their experience during the stimulation can be expected to be influenced by the overall level ofentrainment. No significant relationships were found.

390 **Discussion** 

391 The present study has revealed, using electroencephalography, a relationship between the 392 magnitude of entrainment to the beat of electronic music and some aspects of cognition. In 393 particular, we have shown that the strength of entrainment to the beat of 1-minute-long electronic 394 music can be modulated by the tempo of the music. Our results show that entrainment is higher 395 for stimulation rates at 1.65 Hz compared to faster rates of stimulation, namely 2.85 Hz, but not in 396 comparison to 2.25 Hz. In examining the temporal dynamics of entrainment a similar pattern of 397 results is observed throughout the time course of the songs. Notably, towards the end of the songs, 398 entrainment to the stimulation at 1.65 Hz was higher compared to the other two beat frequencies. 399 The observed neural differences between conditions allowed us to explore whether the magnitude 400 of entrainment is related to differences in cognitive processes or subjective experiences related to 401 altered states of consciousness (ASCs). Although the participants' reaction time, executive 402 function, feelings of disembodiment, and spiritual experiences were not different depending on the 403 tempo of the music they were exposed to, it was found that music at 1.65 Hz aroused more feelings 404 of unity compared to music at 2.85 Hz. We did not observe any significant relationship between 405 the magnitude of entrainment and participants' phenomenological experiences during listening to 406 the songs. However, our findings revealed significant yet weak relationships between the 407 magnitude of entrainment and both participants' reaction time and response inhibition.

408 Previous empirical evidence showed that entrainment is maximum for periodic auditory stimuli at 409 a rate of 2 Hz within a range of frequencies from 1 to 10 Hz in steps of 1 Hz<sup>[29]</sup>. Our results align 410 with and build upon these findings, having employed stimulus frequencies within a range from 1

411 to 3 Hz. Specifically, we found more entrainment for music with a beat frequency closer to 2 Hz, 412 namely at 1.65 Hz, compared to music closer to 3 Hz, this is, at 2.85 Hz. We observed that this 413 pattern remains consistent when considering the temporal dynamics of entrainment over the time 414 course of the 60-s musical excerpts. Nevertheless, it is important to consider the large fluctuations 415 in entrainment across time (Fig. 6). These fluctuations could be potentially attributed to stimulus 416 properties, namely variations in the beat accent in the electronic music. However, we contend 417 against this notion due to two main reasons. Firstly, the presence of the beats within the excerpts 418 are quite consistent across time, as visually depicted in Fig. 3a. Secondly, two songs were added 419 for each tempo to lower the effect of the idiosyncratic musical characteristics of each song on the 420 measures of entrainment, attenuating the influence of beat-related stimulus properties. It remains 421 unclear why entrainment to beat frequencies of 2.25 Hz, which is closer to 2 Hz compared to 1.65 422 Hz, do not exhibit the highest level of entrainment across the tempos used in the study. The 423 predominantly fronto-central scalp distribution of entrainment also aligns with previous 424 findings<sup>[52]</sup>. This pattern can be attributed to the proximity of fronto-central channels to neural 425 generators involved in sensorimotor processing, such as the sensorimotor cortex, and the 426 supplementary motor area, as well as the auditory system.

Given that entrainment is modulated by the tempo of music, we suggest that complex brain mechanisms might be tuning entrainment, most probably in favor of brain function<sup>[19,20]</sup>. The stimulation frequency of 1.65 Hz partially matches the human optimal rate for sensorimotor behavior<sup>[53–56]</sup>. Previous research discussing this match has proposed the existence of a brain mechanism facilitating auditory-motor behavior through entrainment when being presented with auditory stimuli at rates around 2 Hz<sup>[29]</sup> that could also explain part of our results. However, the likelihood of small unintentional body movements while participants were listening to the music 434 cannot be discounted. Body movement may account for the differences in entrainment between
435 tempos, as such movement might be amplified for the songs at the tempo closer to 2 Hz. Upcoming
436 studies should monitor small body movements, especially head movement, during music listening
437 when exploring entrainment.

438 To the best of our knowledge, no previous studies had explored how the rate of repetitive auditory 439 stimuli might modulate ASCs' characteristics. Here, we measured proxies of ASCs both in terms 440 of cognitive function (i.e., reaction time and executive function) and in terms of subjective experience (i.e., by using three subscales of the 11D-ASC)<sup>[28]</sup> while listening to the music at 441 442 different tempos. We found that the tempo of electronic music did not affect participants' overall 443 reaction time or executive capacities. Similarly, participants' experience of unity and feelings of 444 disembodiment did not change depending on the tempo of the music. These results suggest that 445 the presentation rate of repetitive stimuli does not affect differently these aspects of cognitive 446 function and human experience. Noteworthy, these results do not indicate whether cognition and 447 human experience are altered under the conditions participants where in, as only the rate of 448 stimulation is being accounted for. However, participants felt more experiences of unity for the 449 music at 1.65 Hz compared to the music at 2.85 Hz, mirroring the entrainment findings and 450 suggesting a potential brain-behavior relationship. One limitation of the study is the potential 451 impact of the course of the experimental procedure on the participants' phenomenological ratings. 452 Specifically, possible confound effects on the phenomenological experiences reported might rise from having participants conducting the go/no-go task in between listening to the music extracts 453 454 and filling out the retrospective 11D-ASC questionnaire.

In previous literature on ASCs, the usage of repetitive stimulation to trigger altered mental states<sup>[7–</sup>
<sup>9,14,15]</sup> has been explained by entrainment<sup>[17]</sup>, but with no direct evidence to support that claim.

457 Critically, for the first time this study has explored a relationship between the magnitude of 458 entrainment and ASCs. We found three weak, yet significant, brain-behavior associations. Given 459 the modest strength of these relationships, caution should be exercised in interpreting the results 460 and further investigation is warranted to elucidate potential underlying mechanisms. To explore 461 these associations, the neural metric employed was entrainment observed during the final 10 462 seconds of stimulation, which was higher for songs at 1.65 Hz compared to both songs at 2.25 Hz 463 and 2.85 Hz. First, we found that the more differences in entrainment between songs at 1.65 Hz 464 and 2.25 Hz, the more differences in participants' reaction time to the offset of these songs. In our 465 study, reaction time was used as a measure related to the level of absorption to the music, indicating 466 participants' cognitive responsiveness and engagement with the auditory stimuli. Because 467 rhythmically-induced ASCs are characterized by a selective focus on environmental stimuli<sup>[11]</sup>, 468 reaction time to the offset of the songs is a potential proxy of ASCs. While entrainment was higher 469 for songs at 1.65 Hz compared to songs at 2.25 Hz, the differences in reaction time between these 470 tempos did not exhibit a consistent trend among participants. This is evidenced by the null 471 differences in reaction time across tempos. Also, Fig. 7 shows that differences in reaction time 472 between songs at 1.65 Hz and songs at 2.25 Hz are distributed above and below zero. In other 473 words, our results show that higher levels of neural synchronization are related to both faster and 474 slower reaction times, depending on the participant. These findings invite further investigation into 475 the relationship among entrainment, reaction times, and personality traits and/or individual 476 cognitive characteristics. One such significant trait could be musical training, as it was significant 477 in explaining a portion of the observed variance in the strength of entrainment across conditions. 478 However, the limited representation of participants with musical training within our sample 479 precluded a comprehensive investigation into its potential influence on the observed associations.

480 Additional brain-behavior associations revealed that the more differences in entrainment 481 participants showed between tempos 1.65 Hz and 2.25 Hz, the more similar participants' inhibition 482 responses between the two conditions were. The same pattern was found between tempos 1.65 Hz 483 and 2.85 Hz. The inhibition responses were measured as participants' correct rejections in the 484 go/no-go task performed after listening to the musical extracts. Empirical evidence suggests that 485 the inhibition response relies on neural activity involving the pre-supplementary motor area<sup>[57]</sup>. 486 Also, previous research has shown persistent effects of oscillatory entrainment on cognition<sup>[58]</sup>. In 487 our study, the fronto-central scalp distribution of entrainment observed during listening to the 488 songs suggest that motor areas, including the supplementary motor area, might be recruited. 489 Therefore, it is a possibility that a higher strength of entrainment in motor areas during listening 490 to the songs might decrease neural variability during correct rejection responses in the go/no-go 491 task, resulting in fewer differences in participants' inhibition response. While this holds 492 physiological interest, its significance in relation to the hypothesized correlation between 493 entrainment and ASCs appears limited. Nevertheless, it does imply that repetitive stimulation 494 effectively entrains regions associated with inhibitory responses, potentially influencing related 495 behavioral outcomes. Consequently, future investigations should delve into the potential 496 correlation between entrainment, inhibitory responses, and ASCs.

## 497 **Conclusions**

To our knowledge, this is the first report of a relationship between entrainment and ASCs, although this relationship has been suggested in previous literature. In summary, this article has argued that entrainment and the phenomenological aspects of ASCs induced by repetitive stimuli are related. Our results showing that entrainment is higher for stimulation rates at 1.65 Hz are broadly consistent with previous findings. We found an association between entrainment and absorption

503 to the music, as measured with participants' reaction times to the offset of the stimulation. 504 Specifically, we observed that the more the strength of participants' entrainment to the music, as 505 measured by differences in entrainment between songs at 1.65 Hz and 2.25 Hz, the more 506 differences in participants' reaction time to the offset of these songs. While entrainment was higher 507 for songs at 1.65 Hz compared to 2.25 Hz, reaction time was the same across tempos. Therefore, 508 we suggest that individual personality or cognitive traits might be modulating whether the strength 509 of entrainment is related to more or less time to respond and, subsequently, to whether participants 510 are more or less absorbed by the songs. Although musical training emerged as a significant factor 511 explaining variance in the magnitude of entrainment, the small subset of participants with musical 512 training in our sample limits deeper exploration of its impact on this brain-behavior association. 513 Additionally, given the weak strength of this relationship, caution is advised when interpreting 514 these findings.

# 515 **Data availability**

516 The data and analysis code will be publicly available here upon publication (https://osf.io/nkzcg/).

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RAT: Conceptualization, Methodology, Software, Formal analysis, Investigation, Data curation,
Writing – original draft, Writing – review & editing, Visualization, Supervision, Project
administration. SLM: Conceptualization, Methodology, Writing – review & editing. MDA:
Conceptualization, Writing – review & editing, Supervision, Project administration, Funding

- 657 acquisition. CE: Conceptualization, Methodology, Writing review & editing, Supervision,
- 658 Project administration, Funding acquisition.

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# 666 Additional information

#### 667 **Competing interests**

668 The authors declare no competing interest.

## 669 Figures and tables





676	Figure 2. Customizable spider charts used to obtain ratings for the subscales of the
677	11D-ASC questionnaire. Each spider chart represents a subscale, with as many radii
678	as items. The spider charts were displayed on a touch-sensitive tablet, where
679	participants filled in the subscales by drawing their finger.



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Figure 3. Sound patterns and frequency and spatial representations of the neural responses. (a) Waveforms of each song. (b) Spectral amplitude of the waveforms.
(c) Spectral amplitude of the grand average EEG responses to each song. Gray vertical lines represent the beat frequency and harmonics lower than 20 Hz. (d) Scalp topographies of the grand average multi-harmonic SNRs.



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**Figure 4.** Violin plots showing participants' scores in the subscale Experience of unity for each tempo condition. Individual data points for each condition are displayed as circular markers. \* indicates a p-value lower than 0.05.



Figure 5. Violin plots showing entrainment to each tempo condition. Entrainment
is shown as a multi-harmonic signal-to-noise ratio response normalized by the
spectral variations in the musical extracts. Individual data points for each condition
are displayed as circular markers. \*\* indicates a p-value lower than 0.01.



Figure 6. Time-series of entrainment to each tempo condition. Entrainment is
shown as a multi-harmonic signal-to-noise ratio response normalized by the
spectral variations in the musical extracts. Gray shaded windows represent
significant main effects of tempo. \* indicates p-value lower than 0.01.



701 702 Figure 7. Brain-behavior significant correlations. Relationship between (a) the 703 difference in RT and the difference in entrainment between tempos 1.65 Hz and 704 2.25 Hz, (b) the difference in CR and the difference in entrainment between tempos 705 1.65 Hz and 2.25 Hz, and (c) the difference in CR and the difference in entrainment 706 between tempos 1.65 Hz and 2.85 Hz. The regression lines representing the 707 relationships are represented by solid lines, while the shaded areas depict the 708 confidence ranges for each regression at their respective tempos.

	Coef <b>B</b>	SE	t	95% CI	р
Intercept	1.052	0.023	44.865	[1.008 1.096]	< 0.001 ***
Tempo (1.65 Hz – 2.25 Hz)	-0.065	0.030	-2.182	[-0.123 -0.007]	0.036 *
Tempo (1.65 Hz – 2.85 Hz)	-0.106	0.030	-3.546	[-0.164 -0.048]	0.001 **
Musical Training	-0.040	0.040	-1.007	[-0.116 0.036]	0.329
Years of Musical Training	0.0128	0.005	2.644	[0.003 0.022]	0.018 **

- Table 1. Results of the mixed effect model including the main effect of tempo, musical training and years of musical training. Participant identification codes were added as a 711 random effect. Coefficient comparisons for main effects are given as entrainment to 1.65 712 Hz vs. 2.25 Hz and entrainment to 1.65 Hz vs. 2.85 Hz. \* indicates significance level.
- 713