Title: Human promoter CpG islands contain primate-specific repeats, cluster

and resist reprogramming

Authors: K Naga Mohan[1], Anuhya Anne[1], Lov Kumar[2], J Richard Chaillet[3]*

Affiliations:

[1]Molecular Biology and Genetics laboratory, Department of Biological Sciences, Birla Institute

of Technology and Science, Centre for Human Disease Research, Birla Institute of Technology

and Science, Pilani, Hyderabad Campus; Hyderabad, India.

[2]Department of Computer Engineering, National Institute of Technology; Kurukshetra, India.

[3]Department of Obstetrics, Gynecology and Reproductive Sciences, University of Pittsburgh

School of Medicine; Pittsburgh, PA, USA.

*Corresponding author. Email: Chaillet@pitt.edu

Abstract:

Imperfect tandem repeats (TRs) of ≥400nt are associated with 365 human 5'-genic CpG islands

(TR-CGIs). Most are clustered at chromosome ends, with a high density across chromosome 19.

These genes are enriched in neurodevelopmental/behavioral disorders and show interindividual

variation in methylation levels. A subset of TR-CGIs is highly methylated and remains so during

reprogramming to primed iPSCs, but become unmethylated in naïve PSCs, as do imprinting

control regions (ICRs). Transcript levels correlate with methylation for some TR-CGI genes. TR-

CGIs occur as orthologs in primates, but the corresponding mouse promoter-CGIs are without

TRs and unmethylated. Thus, non-imprinted TR-CGIs accompanied primate evolution, with

unique ability to acquire methylation during embryonic development and resist reprogramming

to a pluripotent stem cell state.

One-Sentence Summary:

DNA unique to human and non-human primate genes can acquire lifelong CpG methylation mid-

course to redirect fetal development.

Main Text:

Introduction

About 50% of mammalian gene regulation at the transcription initiation level takes place via CpG-rich promoter regions known as CpG islands (CGIs) that are mostly unmethylated in preimplantation, embryonic development and post-natal life. The others acquire methylation during embryonic development and tend to be transcriptionally silent. A notable exception to the general rule is the parental origin-specific methylation of approximately 20 CG-rich autosomal imprinting control regions (ICRs), ~1-5 Kb in length. Methylation of these sequences is established during gametogenesis reflecting the parental sex by *de novo* methyltransferases and maintained post-fertilization by the DNA methyltransferase DNMT1 (*1*). As a result, in eutherian mammals, one parental ICR allele is methylated and the counterpart unmethylated. Although there is uncertainty on the sequence requirements for establishing methylation, ICRs contain tandem repeat (TR) sequences, some of which have been experimentally shown to be essential imprinting elements (*2-3*). The absence of sequence similarities between ICRs strongly suggests that repetitiveness majorly contributes to the imprinted state (*4*).

A second feature of monoallelic ICR methylation is its longevity. Transcription of imprinted genes in a dose-dependent manner is required for normal development in mammals, yet generally not required after birth. For example, many imprinted genes are only expressed in the placenta but monoallelic ICR methylation persists for the lifetime of the individual (*5*). From this, we reasoned that CGI methylation akin to ICR methylation may occur on non-imprinted sequences, initiated as *de novo* methylation at some developmental stage post-fertilization, and thereafter maintained by DNMT1. Such sequences might differ from invariant ICR methylation because post-zygotic *de novo* methylation may be cell type-specific or differ between individuals.

Results

To test these hypotheses, we first generated and analyzed dotplots of human promoter-proximal CGIs (see Materials and Methods) and identified 365 with tandem repeats measuring ≥400bp each, containing more than two copies of a perfect or imperfect repeating unit. These repeats occur either within or adjacent to the CGIs. Of these, 349 were autosomal that were investigated in detail in the context of DNA methylation, epigenetic reprogramming, individual-to-individual variation, and its influence on the transcript levels (Figure 1A). Dotplots of two TR-CGIs and one ICR are shown in Figure 1B. As is the case for repeats in ICRs, DNA sequence of each TR

is unique. Thus, if TRs were to provide similar properties to all TR-CGIs, it must be through

their repetitiveness rather than due to a sequence.

Approximately 60% of TR-CGIs are in 33 autosomal clusters, each with more than two genes,

ranging from ~2.5-23 Mb in length with ~0.5-6.0 TR-CGIs/Mb (Figure 1C and Table S1). Many

autosomes contain more than one cluster, predominantly at the ends of chromosomes except

chromosome 19 with 51 TR-CGIs (14% of total) intermingled with *ZFP* and *ZNF* genes (*6*).

Clustering to chromosome ends is not seen for mouse syntenic regions (Table S1). Importantly,

TR-CGI clusters do not overlap clusters of imprinted genes.

Bioinformatic analyses of the autosomal TR-CGI genes identified 25 biological processes such

as chromosome condensation, chromosome separation, nuclear envelope organization, and

regulation of glutamate receptor (Figure 2A). Protein-protein interaction analysis yielded a

single cluster involving proteins involved in ubiquitinylation, and nuclear receptor corepressor

(Figure 2B). GTex analysis confirmed significant association of TR-CGI gene expression in the

amygdala (Fig. 2C and Table S2). Among the 25 diseases associated with the TR-CGI genes, 11

are neurological, neurodevelopmental, or behavioral disorders (Figure 2A) wherein there is a

significant overrepresentation for epilepsy, but underrepresentation in case of schizophrenia

(Figure 2D and Table S2).

Given the similarities in the structural features of ICRs and non-imprinted TR-CGIs we studied

TR-CGI methylation in different tissue types, preimplantation development (blastocysts) and

induced pluripotent stem cells (iPSCs). In 68 normal prefrontal cortex samples (*7*), 48 of TR-

CGIs (~15%) showed $\geq$ 40% methylation of which ~9% are highly methylated (~75-95%

methylation). In contrast, methylation levels in ICRs were ~35-75% whereas a vast majority of

other promoter CGIs (~86%) were generally unmethylated (Figure 3A and Table S3). When the

methylation levels of the 48 TR-CGIs were compared among the 68 prefrontal cortex samples,

sequences showed lesser or greater methylation (~40%) than the average (~79%) in just six

individuals (arrows in Figure 3B).

To test whether the observed differences in the three categories of sequences are also displayed

in other tissues within an individual, we analyzed the data on embryonic tissues as well as

primed iPSCs derived from them (*8*). ICR methylation was invariant across tissues, whereas a

large fraction of non-TRs & non-ICR CGIs are differentially methylated regions (DMRs).

Between these two expected extremes of CGI methylation features are the 48 TR-CGIs of which

a small fraction are tissue DMRs (Figure 3C and Table S4). Interestingly, the five TR-CGIs

noted in Figure 3B are among these DMRs. With respect to cellular reprogramming of tissues,

there is no significant difference in the proportion of TR-CGI and non-TR & non-ICR CGIs that

are DMRs upon reprogramming; only four out of 48 TR-CGIs with $\geq$ 40% methylation in the

tissues showed significant changes in the levels of methylation after reprogramming. However,

in the case of ICRs, a surprisingly large proportion are DMRs in the derived iPSCs. This

represents some degree of instability of the allelic methylated or allelic unmethylated states.

These differences between the three CGI types are apparent in the principal component analyses

(Figure 3D). Further analysis of the methylated subset of TR-CGIs showed no alterations in

methylation levels in motor neurons differentiated from the piPSCs (*9*). Taken together, these

data lead us to conclude that methylation of TR-CGIs is resistant to reprogramming into piPSCs,

and, in turn, likely resistant to change with differentiation. In this feature, these TR-CGIs are like

the ICRs that are also resistant to reprogramming and differentiation events.

Previous studies established that ICR methylation is not affected in primed iPSCs and primed

ESCs but affected in their naïve counterparts (*10,11*). To test whether the methylated TR-CGIs

show a similar pattern, methylation data from terminally differentiated cell lines, their primed

iPSC (piPSC) and naïve iPSC (niPSC) derivatives (*10*), as well as primed and naïve embryonic

stem cells (ESCs) (*11*), were used. Consistent with the previous observation, resistance of TR-

CGI and ICR methylation to reprogramming was again observed in primed iPSCs (Figures 3E,

3F; Table S5). However, methylation observed in the differentiated cells is not maintained upon

reprogramming to naïve iPSCs (Figures 3E, 3F; Table S5), nor is TR-CGI methylation

maintained upon conversion of primed ESCs into naïve ESCs (Table S6). Thus, both TR-CGI

and ICR methylation levels in somatic tissues and differentiated cell lines are much more similar

to the levels of piPSCs than niPSCs.

As expected, ICRs show intermediate levels of methylation in blastocysts (~50%; Figure 3F and

Table S7), but only seven of the 48 TR-CGIs show high methylation (Figure 3E and Table S7);

the remaining are unmethylated. Because the seven TR-CGIs methylated in blastocysts are not

ICRs, their methylation likely initiates in early preimplantation. These data suggest peri- or post-

implantation origins of a majority of TR-CGI methylation.

There is no evidence of sequence variation among humans based on the presence or absence or

overall length of the TRs in the TR-CGIs. This precluded us from directly observing a TR

requirement for TR-CGI methylation. However, all CGIs in mouse orthologs do not have TRs.

Therefore, we indirectly addressed the association of TRs with methylation by comparing

methylation levels in TR-CGIs and corresponding mouse orthologs. For the methylated TR-CGIs

in *Rgpd1*, *Fam178B*, *Pcgf3*, *Dnaaf5*, *Shtn1*, *Grtp1*, *Stub1*, *Kcng2*, *Shc2*, and *Mob3A/Izumo4,* the

corresponding CGIs in both mouse embryonic fibroblasts and mouse iPSCs showed an overall

unmethylated state. Only the *C5orf47* CGI showed ~50% methylation in mouse embryonic

fibroblasts but was less than 10% methylated in mouse iPSCs and ESCs (Figure 3G and Table

S5).

Since methylation accompanied acquisition of TRs in these orthologous regions after human –

rodent divergence, we tested whether the methylated states of the TR-CGIs have a relationship

with their transcript levels. We used cortex methylation data among normal individuals to test as

well as analyze TR-CGIs that show interindividual variation in the levels of methylation.

Fourteen TR-CGIs show $\geq$ 20% difference in methylation levels in a subset of individuals. This

nature of variation and the large differences in methylation between the piPSCs and niPSCs

prompted us to compare the transcript levels with methylation levels of the TR-CGIs for these

genes. Two examples for TR-CGIs, their methylation and expression levels in oral tissues are

shown in Figure 4A. Similar analyses using primed and naïve ESCs are shown in Figure 4B

(Table S7). Four genes show an inverse relationship between methylation and expression levels

whereas one had a direct relationship. Interestingly, three of the four genes (*RGPD1, RGPD3,*

and *RGPD4*) are among the eight-member *RGPD* gene family that rapidly evolved in primates

via duplications of the highly conserved RAN binding protein 2 gene (*RanBP2/NUP358)*

(*12,13*). No such relationships could be established for the remaining TR-CGIs differentially

methylated in piPSCs and niPSCs (Supplemental Data). Notably, correlations between

methylation and transcript levels in iPSCs could be established for only a minority of ICRs,

despite meaningful *in vivo* correlations of transcript levels to methylation for all ICRs.

To gain more insights into the evolutionary origins of the TR-CGIs, we compared orthologs in

different eutherians. Orthologous CGIs contained TRs only in primates. The latest evolutionary

appearance varies, ranging from the presence of TRs specifically in humans, some in all apes and

monkeys, whereas others only in primates (Supplement for Figure 1C). Almost all genomic TR-

CGI clusters acquired TRs at different times during evolution. A similar pattern of evolution was

also reported for orthologs of the zinc finger genes (*6*).

Discussion

We postulate that TR-CGI methylation, acquired after fertilization is stably maintained for the

entire lifespan of the individual. Taken together, similarities in ICR and TR-CGI structures,

individual-to-individual variability in TR-CGI methylation, expression in brain regions and

presence of TR-CGIs in primates but not in other mammals suggest that primates usurped

features of the invariant mammalian genomic (gametic) imprinting process for post-zygotic

epigenetic modification of genes and for guiding embryonic development.

There are many TR-CGIs that are unmethylated in the tissues and cell lines that were examined.

The shared clustering and primate-specificity of the 365 TR-CGIs suggest a shared propensity to

acquire methylation during embryogenesis. Methylated versions would have eluded detection if

their *de novo* methylation occurred later in development and in specific cell types.

A fundamental feature of stable ICRs' methylated and unmethylated states is their stable

inheritance in a parent- and gamete-specific manner in the zygote and the adult. Neither the

methylated state of a parental ICR nor the unmethylated state of the homologous allele is

affected throughout life in the somatic tissues. Any loss of a parental specific methylation mark

will result in an unmethylated state of the methylated parental allele. For example, removal of

DNMT1 from a single S-phase of mouse preimplantation results in a permanent loss of ICR

methylation (*14*).   A few TR-CGIs are methylated in iPSCs and in preimplantation whereas

many more are unmethylated in the blastocysts but methylated in the iPSCs. This difference in

methylated states needs to be examined in the context of *in vivo* and *in vitro* origins of

pluripotency. In addition, experiments that remove methylation in TR-CGIs will help test the

stability of the induced unmethylated state.

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Competing interests:

Authors declare that they have no competing interests.

Data and materials availability: All data are available in the main text or the supplementary

materials.

Supplementary Materials

Materials and Methods

Tables S1 to S8:

Table S1 TR-CGI coordinates and evolutionary appearances

Table S2 TR-CGI gene expressions and disease relationships

Table S3 CGI classes and methylation levels

Table S4 Fetal tissues and iPSC methylation

Table S5 RRBS studies of blastocysts, fibroblasts and iPSCs

Table S6 Methylation and transcripts in niPSCs and piPSCs

Table S7 TR-CGI and ICR methylation in blastocysts

Table S8 DNA methylation and transcript levels datasets

References: (*17,18*)

Fig. 1. Identification and characterization of human CpG islands (CGIs) upstream or

overlapping transcription start sites and containing tandem repeat sequences. (**A**) Schema

for determining features of tandem repeat (TR)-containing CGIs at promoter regions. (**B**) Two

examples of non-imprinted genes with TRs and their comparison with those in the KCNQ1OT1

imprinted region. (**C**) Chromosomal locations of the identified non-imprinted genes with TRs in

CGI promoters are indicated by horizontal lines for individual genes and filled rectangles for

gene clusters.

Fig. 2. Bioinformatic analyses of TR-CGI genes (**A**) DisGenet Analysis showing top 20

disorders associated with the TR-CGI genes, Wikipathway analysis, and Biological Processes.

Dashed red line represents a pvalue of 0.05. (**B**) Protein-protein interaction analysis of genes

with TR-CGI promoters. (**C**) Proportions of genes associated with autism spectrum (ASD),

bipolar (BPD), epilepsy (EPD) and schizophrenia (SZ). (**D**) GTEx-analysis of genes with TR-

CGIs in promoters.

Fig. 3. Developmental features of TR-CGI methylation (**A**) Methylation levels (X-axis) of

three CGI categories in human cerebellum: imprint control regions (ICR), TR-CGIs, and Non-

TR-CGI & non-ICRs (Non-TR & non-ICR). Y-axis: percentages of CGIs at different

methylation levels. 0.0 and 1.00 correspond to 0% and 100% methylation, respectively. Arrows

and horizontal line indicate significant differences in the proportion of TR-CGIs and Non-TR +

non-ICRs with the indicated methylation values. (**B**) Heatmap of methylation levels of 47 TR-

CGIs in 68 frontal cortex specimens (*7*). (**C**) Proportion of the three categories of CGIs that are

differentially methylated regions (DMRs) in embryonic tissues and iPSCs derived from them.

(**D**) PCA of methylation levels observed in imprinted ICRs and TR-CGIs in tissues and iPSCs

(GSE). (**E-F**) Heatmap of methylation levels of (**E**) TR-CGIs and (**F**) ICRs between naïve

pluripotent cells, primed pluripotent cells, parental fibroblasts (left panels, ref *10*), and three

pools of blastocysts (right panels, ref *15*). (**G**) Comparisons of human TR-CGI methylation

levels in fibroblasts (*10*) with those in mouse CGI orthologs in fibroblasts (*16*).

Fig. 4. Effects of TR-CGI methylation on gene expression Plots of methylation levels (x-

axis) and transcript levels (y-axis) for TR-CGI genes in (**A**) Normal oral tissues and (**B**) Naïve

and primed human embryonic stem cells (*11*).

Fig. 5. Evolutionary origins of TRs in TR-CGI genes. For each gene, dotplots of CGIs and

surrounding sequences from five species are displayed in a column. Blue rectangles are TR-CGIs

and black rectangles are CGIs without TRs. Arrows are transcriptional start sites and directions

of transcription.

Figure 1

A

Human genome: ≥ 400 bp

CGIs upstream/overlap with transcriptional start sites

↓

365 TR-CGIs near promoters of 410 genes

↓

• Classification: low, moderate, high methylation
• Expression in different brain regions
• Effects of *in vitro* reprogramming
• Measurements of individual variability
• Functional effects on gene expression
• Evolutionary relationships in orthologous genes

B

Imprinted *KCNQ1OT1*　　Non-imprinted *AXIN1*　　Non-imprinted *MCRIP2*

1677 bp CGI　　　　1492 bp CGI　　　　1103 bp CGI

C

Figure 2

Figure 3

Figure 4

A

B

Methylation and expression changes between piPSC and niPSC

Gene	Methylation Change	Log 2 Fold change
KLHL17	0.13	-1.21691150
MED16	0.43	1.43465191
RGPD1(3')	0.69	-2.13445016
RGPD3	0.54	-1.09363984
RGPD4	0.66	-1.11001

Figure 5

Supplementary Materials for

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resist reprogramming

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*Corresponding author: Email: Chaillet@pitt.edu

The PDF file includes:

Materials and Methods

References specific to Materials and Methods

Materials and Methods

Identification of TR-CGIs

Promoter-proximal CGIs were identified from the annotated CGI track in the UCSC browser of hg19 and hg38

assemblies using visual inspection of dotplots. In case of an annotation in just one track, or different CGI lengths in

the two tracks, the sole or longer CGI was used to deduce the coordinates of the other track 's CGI via sequence

comparisons. The BLASTN (default) variables for generating dotplots that are then scored for presence of tandem

repeats in hg19 and hg38 assemblies: somewhat similar sequences; expect threshold 0.05; word size 11;

match/mismatch score 2,-3; existence: 5 extension: 2. TRs within or immediately adjacent to promoter-proximal

CGIs ranged in size from <100bp to ≥400bp. We chose to limit our studies to the promoter-proximal TRs ≥400bp

(defined as TR-CGIs) because of the length similarities to ICRs (example shown in Figure 1B).

Determining methylation levels in TR-CGI, ICR and non-TR & non-ICR sequences

CGI methylation values as percents or fractions were calculated from human 450K and EPIC Illumia microarrays or

reduced representation bisulfite sequencing (RRBS) datasets using annotated CGI coordinates (see above for details

concerning TR-CGI coordinates). For comparisons, coordinates for human imprinting control regions (ICRs; ref *11*)

and for the remaining (non-TR-CGI and non-ICR -associated CGIs), coordinates were derived from the manifest

files of the 450K and EPIC array manifest files.

Absolute values of calculated methylation levels were used in graphs or heatmaps involving methylation levels. Cut-

off values were used to define "unmethylated", intermediate" and "methylated". Minimum values were set to define

significant methylation changes with reprogramming.

Generation of transcriptome and DNA methylome analyses

This work was approved by the Institutional Human Ethics Committee of BITS Pilani Hyderabad Campus. After

obtaining informed consent, DNA from oral tissues of six individuals were used to generate methylation data using

Infinium Human EPIC arrays. RNAs isolated from these samples were used to generate transcriptome data as

previously described (*17*). The method used for identification of differentially methylated regions (DMRs) was

previously described (*18*). Briefly, three consecutive CpG sites showing an increased methylation of $\geq$ 20% were

taken as representing a hypermethylated DMR whereas a decreased methylation of $\leq$ 20% were taken as

representing a hypermethylated DMR. For methylation and transcriptome comparisons, FPKM values of the genes

of interest from the transcriptome data were used.

Evolutionary appearance of TRs in CGI

Promoter-proximal CGI sequences from non-human primate and rodent species, corresponding to human TR-CGIs,

were studied to determine the latest evolutionary appearance of each human TR-CGI. Given the limited number of

available annotated vertebrate genome sequences, we approximated latest evolutionary appearance to all primates,

new-world monkeys (NW), old-world monkeys (OW), apes or humans.

Sources of genome-wide data

Datasets used are listed in Table S8.

363
364 **References specific to Materials and Methods**
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