

1 ***Botryococcus braunii* reduces algal grazing losses to *Daphnia* and *Poteroochromonas* through both**
2 **chemical and physical interference**

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9 **Abstract**

10 Crop protection from algal grazers is a key area of concern, as grazing zooplankton and flagellates can
11 decimate algal crops and impede economic viability of cultivation for biofuels and bioproducts. Inhibition
12 of grazing by chemical and physical interference is one promising solution; however, there have been few
13 empirical tests of this approach that use defense traits innate to algal crop species. Here we conduct an
14 experiment to test whether the hydrocarbon excreting alga *Botryococcus braunii* can mitigate losses to
15 grazing by two distinct grazers, *Daphnia magna* and *Poteroochromonas malhamensis*, due to both
16 chemical inhibition and physical interference linked to large/inedible colonies. We show that chemical and
17 physical defenses interactively reduce the total effect of grazing, thus significantly increasing the biomass
18 of cultures of *B. braunii* and *Nannochloropsis limnetica* when either grazer is present. Specifically, *B.*
19 *braunii* filtrate alone inhibits grazing and thus weakens top-down control of *N. limnetica* growth rates and
20 final biomass by both grazers; *B. braunii* colonies alone also inhibit biomass losses; and the combination
21 of filtrate and *B. braunii* colonies reveals an interactive effect of both chemical and physical defenses on
22 grazing. Our study demonstrates how community engineering can identify synergies arising from algal co-
23 cultivation (e.g., by using industrially relevant strains for crop protection). Such ecological discoveries
24 may help to reduce the costs of large-scale deployment of algal cultivation for sustainable foods, fuels,
25 bioproducts (e.g., bioplastics), and carbon capture.

26 **Keywords:** chemical ecology, pest management, synthetic ecology, algal bioproducts, algae milking,
27 allelopathy

28 **1. Introduction**

29 Protecting microalgal crops from losses to grazers, parasites, and pathogens is a key area of concern, as
30 these organisms can cause rapid pond crashes that threaten the sustainability and economic viability of
31 algae cultivation for bioproducts [1,2]. In general, the most productive, fastest-growing microalgae (i.e.,
32 common targets for bioproducts) have small cell sizes which are optimal for maximizing resource uptake
33 and thus growth rates, but are also highly susceptible to grazing by zooplankton including flagellates,
34 rotifers, and cladocerans [3]. Specifically, taxa including *Chlorella*, *Nannochloropsis*, and *Scenedesmus*
35 are promising due to their high productivity of lipids, proteins, and carbohydrates which can be used for a
36 variety of products (e.g., animal feed, omega-3 fatty acid supplements, biofuels, bioplastics). However,
37 they are also highly susceptible to grazing when grown in unprotected monocultures [2,4]; this can create
38 a trade-off between baseline productivity and grazing resistance capacity. There are several described
39 strategies to overcome this trade-off between maximum productivity and increased risk of pond crash due
40 to grazing or parasitism. Specifically, grazers and fungi can be controlled via external inputs like
41 pesticides and fungicides [5–7] or can be managed by applying pH, ammonia, or salinity changes that
42 inhibit pests but not algae [2,4,8]. However, using one of these solutions in isolation is unlikely to exhibit
43 long-term efficacy against all aquatic grazers and parasites, because biological pest populations can
44 respond adaptively, and their communities are dynamic. This calls for using complementary adaptive
45 management strategies to stabilize large-scale production. Ideally, these interventions will not increase
46 operational costs, energy input, or risk of pesticide release to the environment.

47 One approach with the potential to stabilize annual productivity is to use designed polycultures, such that
48 different algae species or strains with complementary traits can overcome trade-offs faced by
49 monocultures [9–12], thus simultaneously maintaining baseline productivity and preventing pond crash.
50 This can take a variety of forms, including, e.g., using chemically-defended strains [13] or large-

51 celled/colonial strains [14] to act as an “associational refuge” capable of protecting undefended but faster-
52 growing strains [9]. Despite the promise of using chemically/physically defended strains for crop
53 protection, we currently lack experimental evidence for the efficacy of this approach using industrially
54 relevant strains.

55 One species of intensive focus in the algae industry is *Botryococcus braunii* due to its high production of
56 extracellular hydrocarbons which can be non-destructively extracted for liquid biofuels (i.e., “algae
57 milking”) [15]; however, it may also have untapped potential in its role for protecting undefended algal
58 crops. Shurin et al. [9] indeed suggested this possibility for crop protection due to *B. braunii*’s ability to
59 chemically inhibit other zooplankton and algae [16], as well as its large colonies offering physical
60 protection from grazing. Therefore, an important step forward in designing robust communities is to test
61 whether *B. braunii* can inhibit grazers without inhibiting undefended target algae (e.g., *Nannochloropsis*).

62 In this study, we tested whether *B. braunii* inhibits two common and important freshwater grazers and one
63 algal species via both chemical and physical interference. We used *Daphnia magna* and
64 *Poteroiochromonas malhamensis* as our two taxonomically and functionally distinct grazer species, and
65 *Nannochloropsis limnetica* as a small (< 4 µm diameter), fast-growing target alga for co-culture with *B.*
66 *braunii*. *Daphnia* was chosen as a representative large-bodied (length < 1 mm as juvenile; 1-5 mm as
67 adult), filter-feeding arthropod zooplankton grazer with a larger prey size, while the golden alga
68 *Poteroiochromonas* was chosen as a representative small-celled (< 10 µm diameter) flagellate with a
69 smaller prey size that consumes cells via phagocytosis; both are common worldwide and are capable of
70 strong top-down control of algal biomass [2,17]. We experimentally tested the following hypotheses: H1)
71 *B. braunii* reduces algal biomass losses due to grazing via a combination of chemical and physical
72 interference; and H2) *B. braunii* does not chemically inhibit *Nannochloropsis* growth.

73 2. Materials and Methods

74 2.1. Algae and zooplankton cultures

75 The strain of *B. braunii* used in this experiment was isolated from a bloom in Eawag's experimental ponds
76 (Dübendorf, Switzerland, 47°24'18.2" N 8°36'31.7" E) in April 2023. According to partial 18S sequence
77 matches it belongs to the A-clade and is closely related to previously described strains including, e.g.,
78 OIT-560, OIT-284, and CCAP 807/1. However, it also exhibits traits similar to some B-clade strains of *B.*
79 *braunii* such as flotation, which is linked to high hydrocarbon content. *Poterioochromonas malhamensis*
80 (CCAP 933/1C) and *Nannochloropsis limnetica* (SAG 18.99) were obtained from culture collections, and
81 *Daphnia magna* was obtained from a clonal culture maintained for several years at Eawag. Prior to the
82 experiment, all cultures were maintained at 20 °C in COMBO medium with double the concentration of
83 all nutrients described in the original medium recipe [18] to allow for higher biomass density (hereafter
84 referred to as 2X COMBO).

85 2.2. Experimental design

86 For each of the three grazer treatments (grazer-free control, *Poterioochromonas*, *Daphnia*), there were
87 four *B. braunii* treatments: 1) control (no *B. braunii*); 2) *B. braunii* culture filtrate only, representing only
88 chemical interference; 3) *B. braunii* colonies only, representing primarily physical interference; and 4) *B.*
89 *braunii* colonies and medium together, representing a combination of both chemical and physical
90 interference of grazing. Treatment 2 (*B. braunii* filtrate only) was made by collecting 0.2-µm filtered
91 medium (i.e., filtrate from Treatment 3) and individually adding 2X COMBO stock nutrients and vitamins
92 to ensure nutrients were initially in excess. Treatment 3 (*B. braunii* colonies) was made by filtering *B.*
93 *braunii* culture through a 0.2-µm PES Stericup filter and resuspending colonies in fresh 2X COMBO
94 medium. Treatment 4 (colonies and medium) was created by adding 2X COMBO stock nutrients and
95 vitamins directly to a dense culture of *B. braunii*. It should be noted that Treatment 3 (colonies only)
96 isolates the effects of physical interference only earlier in the experiment, while later it likely also
97 represents chemical interference as *B. braunii* metabolites accumulate in the medium. Treatments 2 and 4
98 are meant to represent the full chemical fingerprint of *B. braunii* medium (i.e., metabolites as well as

99 changes in inorganic chemical parameters such as pH). Aliquots from a stationary phase *Nannochloropsis*
100 culture were added at equal densities to each of the four *B. braunii* treatments (1.3 mL per 50 mL *B.*
101 *braunii* treatment mixture, a ca. 1% v/v dilution). These mixtures were dispensed into sterile 24-well
102 plates with a volume of 2 mL per well, randomized order in well plates, and 6 replicates per treatment
103 (except for 1 rep. lost in *Poterioochromonas* plate), in Infors incubators set to 20 °C with 12h:12h
104 light:dark cycle and $104 \pm 3.2 \mu\text{mol photons m}^{-2} \text{s}^{-1}$. Juvenile *Daphnia* of similar age and size were used
105 for the experiment, with one individual per well; 100 μL of dense *Poterioochromonas* culture was added
106 to each well (i.e., a 5% v/v dilution). Growth was tracked using *in vivo* chlorophyll fluorescence (recorded
107 in terms of relative fluorescence units, RFU) as a proxy for total algal biomass, with excitation/emission at
108 460/685 nm respectively, using a Biotek® Cytation 5 plate reader. We report algal growth for the first 3
109 days for *Daphnia* treatments, as *Daphnia* survival was high during this period (96%) reflecting an
110 effective grazing treatment; however, *Daphnia* survival gradually declined after day 3 across all *B. braunii*
111 treatments, thus reducing efficacy of the grazing treatment. We report 9 days of growth for the other
112 grazing treatments. 2-way ANOVAs were used to test for the individual and interactive effects of
113 chemical and physical interference on the growth rate and biomass of all treatments; detailed ANOVA
114 results are in Table S1. Growth rates were calculated as $\ln(\text{RFU}_{\text{day3}}/\text{RFU}_{\text{day0}})/3$ to capture the initial change
115 in fluorescence during nutrient-replete exponential growth over the first 3 days. We captured images of
116 lugol-preserved cultures for estimating cell densities of each species; however, the large floating colonies
117 of *B. braunii*, as well as flocculation that we observed in *N. limnetica* in treatments containing *B. braunii*
118 medium precluded accurate absolute counts of natural units (cells or colonies). We therefore used *in situ*
119 chlorophyll-a fluorescence as a proxy for total phytoplankton abundance and report growth rates and
120 grazing-induced losses as changes over time or between grazer and no-grazer controls, respectively.
121 Statistics were performed in R version 4.2.2 [19].

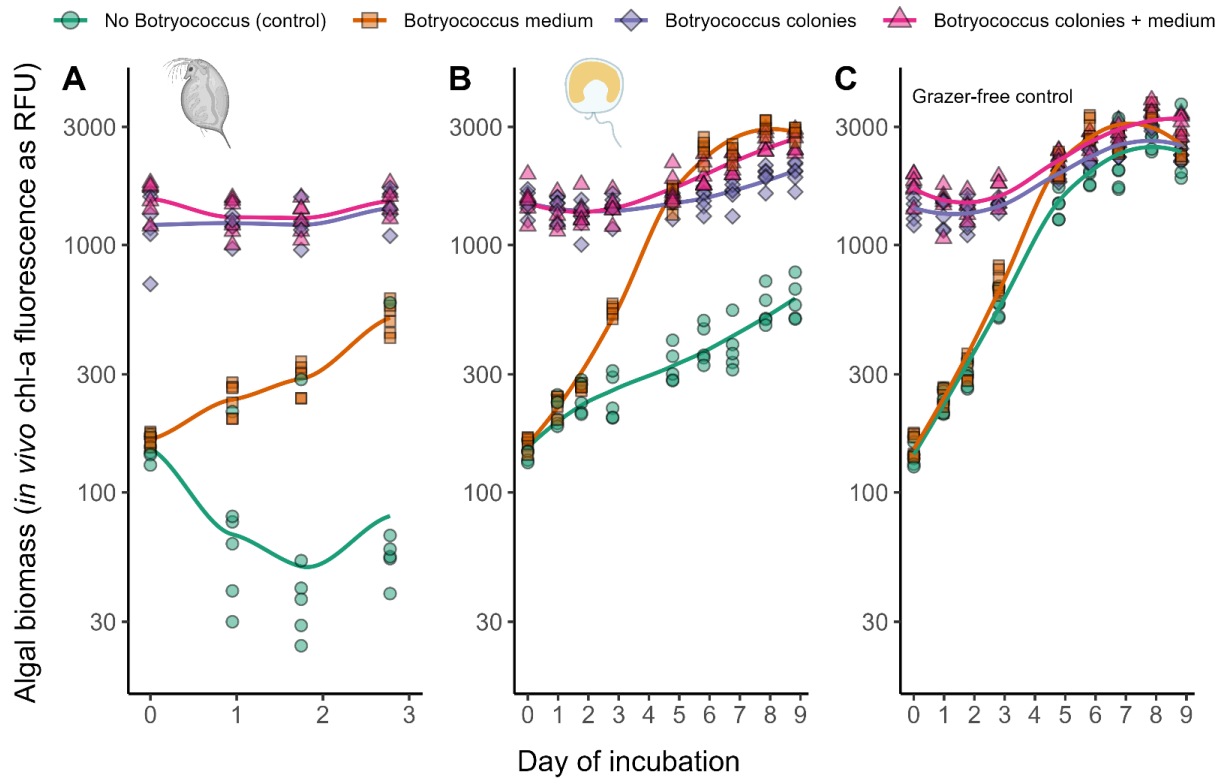
122 3. Results

123 3.1. *B. braunii* inhibits grazing by *Daphnia* through both chemical and physical interference

124 In the control treatment with no *B. braunii* present, *Daphnia* rapidly reduced the *Nannochloropsis* density
125 to a low level (Fig. 1A) over the course of the first three days, with a mean 62% decrease in chl-a
126 fluorescence (Fig. 2A; 74.4% loss if one outlier is excluded). Conversely, the presence of *B. braunii*
127 filtrate inhibited grazing by *Daphnia*, allowing *Nannochloropsis* density to increase despite the presence
128 of the grazers, though chl-a fluorescence was still significantly reduced (mean 29% loss) compared to
129 grazer-free controls. The treatments applying *B. braunii* colonies and colonies + medium provided even
130 further protection from *Daphnia* grazing, with total algal biomass losses of only 4.6% and 10.1%,
131 respectively, compared to grazer-free controls. The 95% CIs overlapping zero indicate no significant
132 declines in biomass (Fig. 2A) or growth rate (Fig. 2C) when *B. braunii* colonies are present. ANOVA
133 revealed significant effects of adding *B. braunii* medium and colonies, as well as a significant interactive
134 effect between the two, in terms of both algae biomass loss ($p < 0.02$) and growth rate change ($p < 0.001$);
135 i.e., the effect of adding *B. braunii* medium is reduced when *B. braunii* colonies are present, and vice
136 versa (see Table S1 for ANOVA tables).

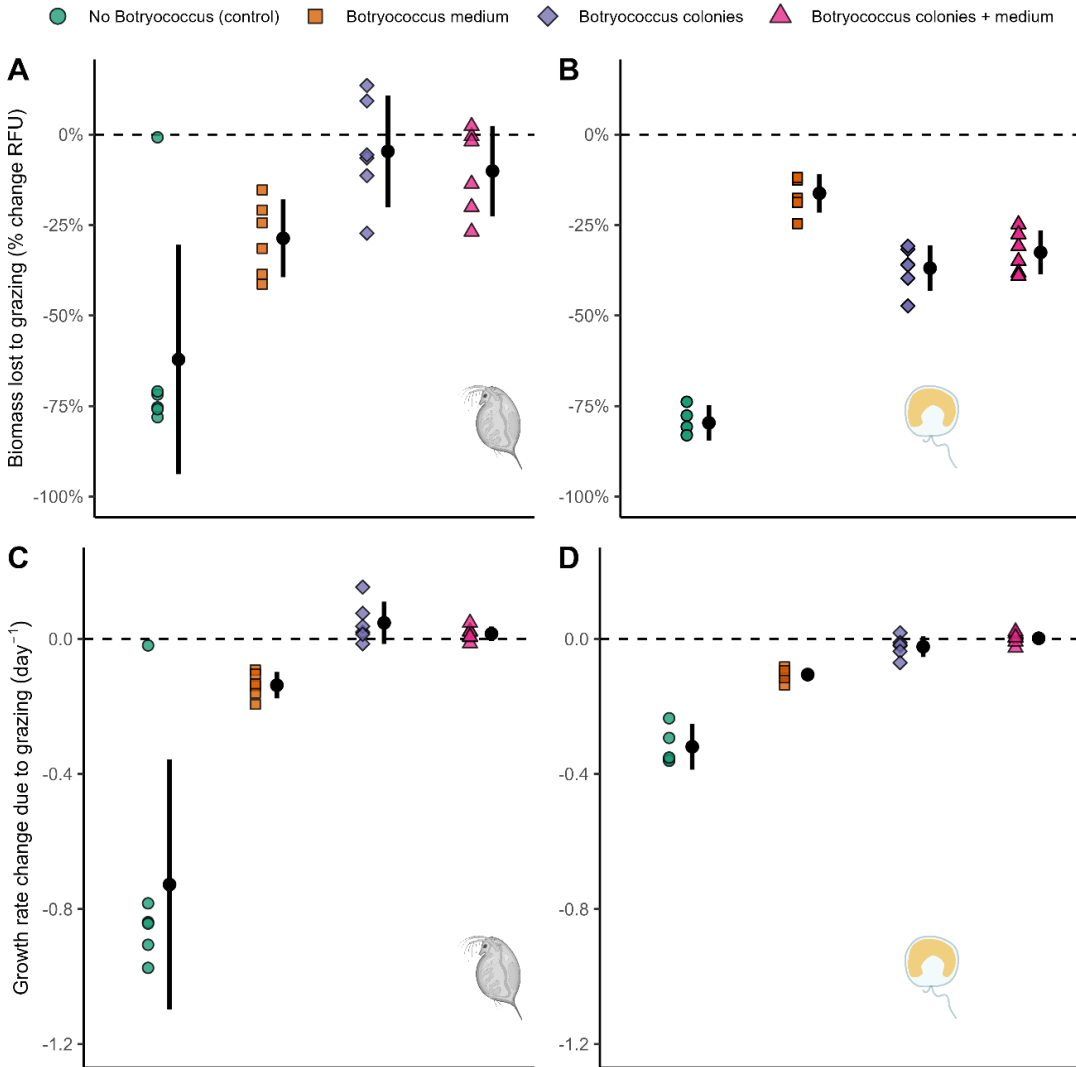
137 3.2. *B. braunii* inhibits grazing by *Poteroiochromonas* through both chemical and physical interference

138 In the control treatment with no *B. braunii* medium or colonies, *Poteroiochromonas* significantly reduced
139 the total algae biomass (mean 80 % decrease in chl-a fluorescence, Fig. 1B, 2B) and growth rates (Fig.
140 2D) compared to the grazer-free controls. However, the presence of *B. braunii* medium mitigated grazing
141 losses by *Poteroiochromonas*, as *Nannochloropsis* chl-a fluorescence only decreased by a mean of 16.2%
142 compared to grazer-free control. In contrast to treatments with *Daphnia* as grazer, the *B. braunii* colonies
143 (37% decrease) and colonies + medium (33 % decrease) treatments allowed for greater grazing losses to
144 *Poteroiochromonas* than *B. braunii* medium alone over the full 9-day incubation (Fig. 2B). However, their
145 initial (day 0-3) growth rates did not differ from those of the grazer-free controls (Fig. 2D). ANOVA again
146 yielded a significant interaction term between *B. braunii* medium and colony presence biomass and
147 growth rate ($p < 10^{-5}$ for both; Table S1).1



148

149 **Fig. 1.** Chlorophyll-a fluorescence (as a proxy for total algal biomass, log-scale) over time in the four
150 *Botryococcus braunii* treatments, with (A) *Daphnia* as grazer, (B) *Poterioochromonas* as grazer, and (C)
151 no grazer added. Both grazers caused strong reductions in biomass (circles in A, B) compared to the
152 grazer-free controls (C) when *Nannochloropsis* was grown alone in fresh medium; however, loss of total
153 algal biomass was mitigated with the addition of *B. braunii*'s filtered culture medium, *B. braunii* colonies
154 alone, and the combination of *B. braunii* medium and colonies. Point show data for individual replicates;
155 lines represent loess fits.



156

157 Fig. 2. Effects of *B. braunii* treatment on changes in (A, B) maximum chl-a fluorescence (as a proxy for
158 total algal biomass) and (C, D) initial growth rates from day 0-3, due to the presence of grazers (*Daphnia*
159 in A, C; *Poterioochromonas* in B, D). Biomass loss calculations show the % change in fluorescence in
160 each experimental unit relative to the mean grazer-free control in the corresponding *B. braunii* treatment;
161 growth rate changes show the difference in growth rate in each experimental unit compared to the mean
162 grazer-free control. Points are data for individual replicates; black bars show mean and 95% CI per
163 treatment.

164 3.3. *B. braunii* medium enhances *Nannochloropsis* growth while *B. braunii* colonies reduce
165 *Nannochloropsis* growth
166 Compared to *Nannochloropsis* grown alone in fresh medium (max. fluorescence of 2965 RFU \pm 699 SD
167 and growth rate of 0.50 \pm 0.01 day⁻¹), *Nannochloropsis* grown in the used medium of *B. braunii* had both
168 significantly higher max. fluorescence (3624 RFU \pm 371 SD) and initial growth rates (0.54 \pm 0.01 day⁻¹),
169 indicating an overall enhancement of growth due to *B. braunii* medium (Fig. 1C, Fig. S1). However,
170 presence of *B. braunii* colonies had no overall effect on the maximum fluorescence attained ($p = 0.2$),
171 indicating that there was not significant overyielding of biomass in co-cultures relative to
172 *Nannochloropsis* monoculture. *B. braunii* colonies had a strong negative effect ($p < 0.001$) on the initial
173 growth rates of the *Botryococcus-Nannochloropsis* co-cultures (which had higher total initial densities)
174 when compared to cultures where only *Nannochloropsis* was present (at low initial densities). These
175 biomass and growth rate results suggest that, as expected, there is niche overlap due to resource
176 competition between the two species. However, this requires further study (i.e., individually tracking *B.*
177 *braunii* and *Nannochloropsis* cell densities over time, which was impractical in our microcosm study).

178 4. Discussion

179 The results of our study provide support for our hypotheses that (H1) *B. braunii* inhibits both grazers via a
180 combination of chemical and physical factors, and (H2) *B. braunii* does not chemically inhibit
181 *Nannochloropsis* growth. Our results provide a precedent for engineering algal communities with multiple
182 synergies. Specifically, we propose that *B. braunii* could simultaneously function as a crop protection
183 agent for fast-growing algae such as *Nannochloropsis* that can be harvested for higher-value products,
184 while also producing extracellular hydrocarbons [15].

185 While we use *Nannochloropsis* as a focal ‘fast-grower’ we imagine that this principle could also apply to
186 other small undefended taxa, e.g., *Synechocystis* that can be used for sustainable PHB bioplastic
187 production [20] or other small strains of interest for feed or fuel. Although in this study we use freshwater
188 organisms, the general principle should apply equally well to brackish or saltwater cultivation. The fact

189 that biomass loss was not completely prevented by *B. braunii* also suggests that this approach should be
190 used in conjunction with complementary approaches [2,4–8,21] to modify the biology and chemistry of
191 pond systems for crop protection to more comprehensively manage complex pond communities. Although
192 the compounds in recycled medium may tend towards overall inhibitory effects [22], there is also potential
193 to identify dissolved metabolites (e.g., plant growth hormones) that stimulate algal growth [23,24].

194 Although this microcosm study provides a clear proof of concept for a community engineering strategy
195 using *B. braunii* to inhibit grazers and stabilize culture biomass, there are several factors that may
196 determine whether it would be equally effective at industrial scales. For example, we assess only one
197 genotype of each organism; other distinct genotypes of each organism studied here are likely to have
198 differences in key traits (e.g., chemical exudate profiles or colony size across strains/clades of *B. braunii*,
199 differential tolerance to allelopathic inhibition in target algae such as *Nannochloropsis*), which could
200 either enhance or diminish the net protective effect of *B. braunii*. While free fatty acid excretion has been
201 linked to chemical inhibition by *B. braunii* [16,25], there is likely much more to uncover regarding the
202 mechanisms of inhibition caused by the complex suite of bioactive metabolites excreted by different *B.*
203 *braunii* strains. Different species or genotypes of grazers (or combinations of grazers), and other types of
204 pests like viral, fungal, or bacterial pathogens may (or may not) be inhibited in a similar manner as the two
205 grazers used here. Additionally, effects of other abiotic factors (e.g., temperature, salinity, pH, CO₂
206 addition), which are outside the scope of this study, could significantly alter the effects of chemical
207 inhibition and thus be optimized in tandem with the crop protection strategy described in our study. We
208 suggest that an expanding branch of research should explore the potential of chemical and physical
209 inhibition of pests by industrially relevant algae in order to enhance the stability of sustainable bioproduct
210 generation.

211 **Conclusions**

212 Our study provides a proof of concept that *B. braunii*, an alga that excretes extracellular hydrocarbons, can
213 also protect small, fast-growing algae from grazing pressure, thus providing early evidence for a novel

214 mechanism of protecting algal crop productivity in designed polycultures at mass scale for a suite of
215 complementary renewable bioproducts.

216 **Supplementary materials:** Table S1 and Fig. S1

217 **CRedit authorship contribution statement**

218 **Patrick K. Thomas:** Conceptualization, Methodology, Software, Formal analysis, Investigation, Data

219 curation, Writing – original draft, Visualization. **Finn Arn:** Conceptualization, Methodology,

220 Investigation, Writing – review & editing. **Micha Freiermuth:** Conceptualization, Methodology,

221 Investigation. **Anita Narwani:** Conceptualization, Methodology, Writing – review & editing, Supervision,

222 Funding acquisition.

223 **Declaration of competing interest:** The authors declare that they have no conflicts of interest.

224 **Data availability**

225 All data and code used will be publicly available on the Mendeley Data platform as well as Eawag's

226 institutional repository (<https://opendata.eawag.ch/>) upon acceptance.

227 **Acknowledgements**

228 We thank Silvana Käser for maintaining *Daphnia* cultures and for assistance with the *B. braunii* isolation,

229 Marta Reyes for maintaining phytoplankton cultures, Raphaël Bossart for 18S sequencing of *B. braunii*,

230 and Eawag teaching staff for facilitating our collaboration. This manuscript is dedicated to the late Ginger

231 Scales, an omnivorous grazer ca 4.8×10^5 μm in length who exhibited size-selective feeding on kibble and

232 carrots. This work was funded by a Seed grant from Eawag.

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