Allele age estimators designed for whole genome datasets show only a modest

decrease in accuracy when applied to whole exome datasets.

Alyssa Pivirotto (1), Noah Peles (1), Jody Hey (1)

(1) Center for Computational Genetics and Genomics, Temple University, Philadelphia, PA

USA

Corresponding Author: hey@temple.edu

Short Title: Allele age estimators perform well on exome data.

Keywords: allele age, whole exome sequencing, exome, WES, personalized genomics

Abstract

Personalized genomics in the healthcare system is becoming increasingly accessible as the costs

of sequencing decreases. With the increase in number of genomes, larger numbers of rare

variants are being discovered and much work is being done to identify their functional impacts in

relation to disease phenotypes. One way to characterize these variants is to estimate the time the

mutation entered the population. However, allele age estimators such as Relate, Genealogical

Estimator of Variant Age, and time of coalescence, were developed based on the assumption that

datasets include the entire genome. We examined the performance of each of these estimators on

simulated exome data under a neutral constant population size model and found that each

provides usable estimates of allele age from whole-exome datasets. To test the robustness of

these methods, analyses were undertaken to simulate data under a population expansion model

and background selection. Relate performs the best amongst all three estimators with Pearson

coefficients of 0.64 and 0.68 (neutral constant and expansion population model) with a 17

percent and 15 percent drop in accuracy between whole genome and whole exome estimations.

Of the three estimators, Relate is best able to parallelize to yield quick results with little

resources, however even Relate is only able to scale to thousands of samples making it unable to

match the hundreds of thousands of samples being currently released. While more work is

needed to expand the capabilities of current methods of estimating allele age, these methods

estimate the age of mutations with a modest decrease in performance.

Article Summary

Increasing availability of whole exome sequencing yields large numbers of rare variants that

have direct impact on disease phenotypes. Many methods of identifying the functional impact of

mutations exist including the estimation of the time a mutation entered a population. Popular

methods of estimating this time assume whole genome data in the estimate of the allele age

based on haplotypes. We simulated genome and exome data under a constant and expansion

population demography model and found that there is a decrease in accuracy in all three methods

for exome data of 15-30% depending on the method. Testing the robustness of the best

performing method, Relate, further simulations introducing background selection and varying the

sample size were also undertaken with similar results.

Introduction

With the rapid advancement in sequencing technology and availability, genomic data has

become integral to investigating the genetic cause of many major diseases. A major benefit of

whole genome sequencing is the identification of mutations across the entire genome including

non-coding regions, however it remains the costlier option in comparison with whole-exome

sequencing (Warr et al. 2015; Schwarze et al. 2020; Almogy et al. 2022). Whole-exome

sequencing (WES) targets the coding regions of the genome allowing for investigation into

functional genomic mutations, which can more easily be associated with phenotypic changes and

found more commonly in databases of disease-causing variants (McLendon et al. 2008; Landrum

et al. 2018; Karczewski et al. 2020; Piñero et al. 2020). This is more easily accomplished for

monogenic diseases where just one gene contributes to a disease phenotype, however, improved

methods have made understanding and identifying potential underlying mutations to polygenic

diseases possible (Cano-Gamez and Trynka 2020). In particular, rare variants have been found to

be associated with complex human diseases and phenotypes (Momozawa and Mizukami 2021)

making variants at low frequency of particular interest to researchers.

As increasing numbers of genomes are sequenced, researchers are finding more rare

variants, some of which have been shown to be causative of human disease (Yang et al. 2013). It

is becoming more common for healthcare providers to perform exome sequencing to better

understand the underlying cause of a patient's condition (Goh and Choi 2012; Ma et al. 2019;

Salfati et al. 2019). However, while these studies can identify potentially causative mutations

through association studies, the history of these mutations are not as easily able to be examined

except through large population studies (Grzymski et al. 2020). Currently, there are initiatives to

expand current genomic resources with corresponding medical information (Carey et al. 2016;

Grzymski et al. 2019; Backman et al. 2021). In the UK, researchers have released nearly 500,000

exome datasets corresponding to other participant information collected over the last fifteen

years (Backman et al. 2021). Other similar biobanks operated primarily by healthcare systems

are also primarily utilizing genotype and exome data in their electronic health record collection

(Carey et al. 2016; Grzymski et al. 2019) with the United States launching the "All of Us"

initiative which just recently was expanded to include 250,000 whole genome sequences (The

"All of Us" Research Program 2019).

One way to better understand the history of a potential causative variant is to estimate

when a mutation was introduced to a population. Combining the approximate age of an allele

along with other information about allele frequency globally allows for a better understanding of

the selective pressure the mutation has undergone (Slatkin 2000). Studies that attempt to

understand the selective pressure on rare causative variants of disease rely on small whole-

genome studies which focus on just a handful of individuals who carry a particular mutation of

interest (Hateley et al. 2021). Many large population studies have worked to expand the database

of WES sequences combating the problem of sample size and yielding very large datasets. This

leads to the obvious avenue of measuring the accuracy of previously used methods on the

growing amount of data.

Previous research has attempted to estimate the age of alleles in a dataset containing

thousands of exomes using a coalescent method where researchers found that most deleterious

variants arose recently in human history (Fu et al. 2013). In more recent years, improved

methods to estimate allele age have been published that yield more accurate estimates utilizing

haplotype-based estimates of genealogies (Platt et al. 2019; Albers and McVean 2020) and

ancestral recombination graphs (Speidel et al. 2019). These more recent methods of allele age

estimation have been shown to estimate the age of a mutation from WGS with relative accuracy,

however little to no work has been done to establish accuracy of these methods when using WES

data. Each of the three estimators of allele age (Genealogical Estimator of Variant Age, Relate,

time of coalescence), rely on mutation accumulation on shared haplotypes between pairs of

samples to accurately estimate the branch length of the branch containing the focal mutation. The

missing mutations in the non-coding regions from estimates from WES data will introduce

increased bias in comparison with WGS, but if there is enough mutation in the coding regions

the error in estimates may be largely mitigated.

In the methodology for Relate (Speidel et al. 2019), the local genealogy underlying a

focal site is reconstructed based on clustering from a distance matrix of mutation variation

between haplotype pairs computed from a hidden Markov model (HMM). Then using a

coalescent prior, Relate employs a Monte Carlo Markov Chain (MCMC) algorithm to estimate

the time of the branch containing the focal mutation. Similarly, Genealogical Estimator of

Variant Age (GEVA) (Albers and McVean 2020) also employs an HMM to identify shared and

non-shared haplotype pairs, but then subsequently samples from those pairs before calculating

the posterior estimate of the age of the mutation from a joint clock model leveraging

recombination and mutation. Time of coalescence (t_c) (Platt et al. 2019) however calculates the

maximum shared haplotype (*msh*) which can be bounded by either a recombination or mutation

event. Allele age can then be estimated based on a likelihood function leveraging the length of

the shared haplotype.

In this study, three estimators of allele age (GEVA, relate, tc) are assessed whether

accurate estimates of mutation age can be generated from exome data alone. Simulations under

both a constant population size model and a more complex demographic model considering the

expansion of human populations out of Africa are undertaken to test the robustness of these

methods. In addition to simulations under a neutral scenario, data is also simulated with

background selection. For each simulation, the true age of each mutation is compared to the

estimates of the age generated from each program. Because rare variants are so integral to

understanding human disease (Wang et al. 2021), we will additionally assess whether these

estimators of allele age can be used successfully on rare variants found in exome datasets.

Methods
Simulated Datasets

Using stdpopsim version 0.2.0 (Adrion et al. 2020), mutations were generated under both a

simple, constant population model (Model id: PiecewiseConstant) and a complex demography

model (Model id: OutOfAfrica_3G09) (Gutenkunst et al. 2009) with no selection. Both

simulations were performed using msprime version 1.2.0 as the simulator (Kelleher et al. 2016)

generating neutral mutations. From the simple model, a population of 7242 genomes was

sampled, and from the complex model, 7242 CEU genomes were sampled. Full parameters for

each simulation model can be found in the supplement (Tables S1 and S2). From each

simulation, segregating sites were output in VCF format using tskit version 0.5.0 (Kelleher et al.

2018). The output from the simulation will serve as the whole genome dataset for chromosome

22 for downstream analyses. Entire simulation pipeline described in Supplemental Figure 1.

Convert to Exome Data

For each of the simulations (simple and complex), the simulated chromosome 22 files were

filtered for the coding regions. A bed file containing the coding regions for chromosome 22 was

generated from a bed file containing all autosome coding regions from the UK Biobank

(available at: https://biobank.ndph.ox.ac.uk/showcase/refer.cgi?id=3803) (Backman et al. 2021).

Using the BCFtools version 1.10.2-27-g9d66868 (Danecek et al. 2021) filter function, the VCF

outputs from stdpopsim were filtered just for the segregating variants that fell within the regions

in the supplied bed file. These filtered VCF files serve as the WES data in the analyses. Each of

these original simulation runs are annotated as WGS or WES, and then either SIMPLE for

constant population size or COMPLEX for the population expansion model hereafter. In total,

four VCF files were prepared, one for each of the possible conditions of simple and complex

demography, and exome and whole genome (identified as WES_Simple, WES_Complex,

WGS_Simple, and WGS_Complex).

True Values

From the tree output file from stdpopsim (Adrion et al. 2020), corresponding Nodes, Sites,

Edges, and Mutations files were extracted using tskit (Kelleher et al. 2018). Using the extracted

tree and genomic information from these output files, for each site, the position, the time of the

mutation, and the time corresponding to both the parent and child node of the branch containing

the mutation was extracted.

Allele Age Estimates

Genealogical Estimate of Variant Age (GEVA) Estimates (Albers and McVean 2020)

The chromosome column of each of the four VCF files first were converted to number format

using BCFtools version 1.10.2-27-g9d66868 (Danecek et al. 2021) as required by GEVA. Each

VCF file was then converted to a binary file using the GRCh38 HapMap genetic map (The

International HapMap Consortium et al. 2007) supplied from stdpopsim (Adrion et al. 2020)

annotated with the additional map position column in centimorgans (cM). To run the estimator

program for all sites of a frequency of 2 copies or more, a list of all sites (k>= 2) was extracted

and split into batches of 300 variants due to memory constraints as recommended in the

documentation. The estimator was run with default parameters of 10000 for the effective

population size and a mutation rate of 1e-8. Estimates from the Joint Clock model that passed the

supplied heuristic filter were used. This includes the mode of the posterior distribution of the

concordant and discordant sampled haplotype pairs. Conversion and estimator programs

available at https://github.com/pkalbers/geva.

Time of Coalescence (t_c) Estimates (Platt et al. 2019)

Time of coalescence, t_c, maximizes the likelihood of recombination and mutation events over

the maximum shared haplotype, msh, as determined by the shared haplotypes tract surrounding a

focal allele in a sample of genomes. However, in the case of missing data due to only

sequencing the coding regions, the msh may start or end due to mutation or recombinational

events in the intronic or intergenic regions. In the case of exome sequence data, the dataset is

missing mutations between the exons. Since this data is missing, the end of the shared haplotype

would not be identified until the next closest exon. To account for this we implemented a

modified estimator in which the shared haplotype terminates at a random position between the

exon where the haplotype was found to end and the next closest exon to the focal mutation. With

modified msh value measured to include this random distance, the maximum likelihood

estimator of t_c is calculated as originally described (Platt et al. 2019). Also following Platt et al.

(2019) prior to estimating coalescent times of singletons (variants with just one copy in a

population) VCF files were first phased for singletons by placing the mutation on the longer of

the two possible haplotypes for each singleton in the sample.

The estimator was run with groups based on their k (copy frequency number) value using the

flag --k-range to speed up the estimation pipeline, and was run with default values of 1e-8 for

mutation rate and 10000 for effective population size. The same genetic map as used for the

simulations and other estimates for GRCh38 was used with the --map flag. This was done on all

variants across the frequency spectrum. Phasing and estimator programs available at

https://github.com/jaredgk/runtc.

Relate Estimates (Speidel et al. 2019)

Using Relate version 1.1.9, each of the four VCF files were converted to haps/sample format.

Using Relate's supplied parallelization script, each simulation was run using 12 threads with a

mutation rate of 1e-8 and an effective population size of 10000. The same genetic map as used

for the simulations and other estimates for GRCh38 was used with the --map flag. Relate is

available at https://myersgroup.github.io/relate/index.html.

Statistics

For every combination of estimator and simulation, four statistics were calculated: bias,

Pearson's R, Spearman's R, and Root Mean Square Log Error (RMSLE) for each set of

mutational ages in comparison with the true age of the mutation as calculated from the

simulation.

Comparisons across Sample Size

For both the simple and complex models described above, a spectrum of sampled genomes was

extracted to compare accuracy across sample sizes. Sample sizes of 100 genomes, 1000

genomes, and 10000 genomes were used in addition to the 7242 genomes sampled in the first set

of simulations. The same parameters as described above for each simple and complex model

were used. Following the same pipeline as above (Figure S1), the Tree file for each simulation

was extracted and converted to a VCF which was then filtered for exon sites. Using just Relate,

allele ages for the WES versions of the simulation samples were estimated.

Simulating sites with background selection

Following the pipeline described in Figure S1, stdpopsim version 0.2.0 (Adrion et al. 2020) was

utilized to simulate mutations under background selection using SLiM version 4.1 (Haller et al.

2019) and the Gamma distribution of fitness effects based on Kim et al (Kim et al. 2017). Relate

was then used to estimate the ages of mutations from both the original entire chromosome output

from the simulation (WGS) and the filtered exome version (WES) with the same parameters as

described above.

Results

To better understand the accuracy of current methods of estimating allele age on whole-

exome data, mutations were simulated under both a simple, constant population size model and a

more complex model based on Gutenkunst's out-of-Africa demography (Gutenkunst et al. 2009)

with no selection. Simulations were generated using msprime (Kelleher et al. 2016) from

stdpopsim (Adrion et al. 2020) using HapMap GRCh38 genetic map (The International HapMap

Consortium et al. 2007). Complete simulation parameters can be found in the methods and

Supplemental Tables 1 and 2. The SNP data directly generated from these simulations serve as

the whole-genome dataset (WGS). For the simulated whole-exome data (WES), the generated

simulated data was filtered based on the exome regions sequenced from the UK Biobank

(Backman et al. 2021). For each of the simulations (simple and complex, WES and WGS), the

ages of mutations were estimated with Relate (Speidel et al. 2019), Genealogical Estimation of

Variant Age (GEVA) (Albers and McVean 2020), and time of coalescence (t_c) (Platt et al. 2019).

Each method provided estimates for a different subset of variants depending on the

filtering mechanism implemented. Relate estimated the age of all mutations that passed the

filtering threshold with all sites identified as non-mapping or flipped being excluded. t_c estimated

the age of all mutations including both singletons and derived mutations found in all but one

genome. GEVA estimates the age of mutations of a frequency of 2 copies or more, and

additionally several other sites were excluded due to proximity to the end of the chromosome.

Relate estimated allele age the quickest, completing the estimation of allele age for the

WES dataset in less than one hour for both demography models, and for the WGS in less than 12

hours for the simple model and around 13.5 hours for the complex model (Table S3). Both

GEVA and t_c took much longer as neither can easily parallelize. In the case of both estimators,

sites can be separated into batches to allow for each batch to be run separately, however if forced

to run sequentially both estimators would take on the magnitude of days to complete even for the

much smaller WES dataset. Both GEVA and Relate (when run in parallel with multiple threads)

required large amounts of memory and required dedicated computational resources.

For each method, the estimated age of each variant was compared to the variants'

corresponding true value for variants under both the simple and complex model. True mutation

time was extracted from the tree files from the simulation output. For the simple model,

comparing the true age of the mutation to the estimated age from WES data for each of the three

methods, a Pearson's correlation coefficient of 0.64 was found for the Relate method with both

GEVA and t_c following with correlations of 0.45 and 0.26 respectively (Figure 1). All three

estimators underestimated mutations that were exceptionally old, with t_c having the largest

discrepancy. Each estimator did perform better estimating the ages of the mutations from WGS

dataset with correlations of 0.77 for Relate (WES: 0.64), 0.65 for GEVA (WES: 0.45), and 0.36

for t_c (WES: 0.26) (Figure S2). GEVA saw the biggest drop in performance between WGS

estimates and WES with a 31% drop (Table 1) with t_c seeing the next biggest drop in

performance at a 29% drop and Relate with lowest drop in performance at a 17% deficit.

Figure 1. Estimator comparison on WES data for simple model.

The estimated age of mutations for each of the three estimators Relate (A), GEVA (B), and time of coalescence (C) were compared to true age values for a simple constant population size simulation. Results are plotted on a log scale with the dotted black line representing perfect recapitulation of true age values. Points are colored by density calculated by a Gaussian density gradient. Pearson's r, Spearman's ρ, RMSLE, and Bias are reported for each comparison. WGS comparison found in Figure S2.

Table 1. Pearson's correlation coefficients for simple and complex model for WES and WGS datasets.

	Simple Model		Complex Model	
	WES	WGS	WES	WGS
Relate (12 threads)	0.6394	0.7743	0.6799	0.7994
GEVA	0.4467	0.6476	0.4510	0.6290
t_c	0.2564	0.3623	0.3691	0.3693

Each of the three allele age estimators (Relate, GEVA, t_c) were run on neutral simulations of the simple constant population size model and the out-of-Africa expansion population size model for both the whole exome sequences (WES) and the whole genome sequences (WGS). For each comparison between estimator and true value, the Pearson's correlation coefficient was calculated.

To investigate whether estimators of mutational age are robust to more complicated demography models, a more complex out-of-Africa model was simulated (Gutenkunst et al. 2009). Again, ages of all polymorphic mutations were estimated using the three estimators and compared with true values. A similar pattern of estimates from the simple model emerged with all three methods underestimating old mutations. Relate remained the most accurate with a Spearman's correlation coefficient of 0.68 with GEVA and t_c with correlations of 0.45 and 0.37 respectively (Figure 2). Again, Relate and GEVA were better able to estimate the ages of the mutations from WGS data with correlations of 0.80 for Relate (WES: 0.68) and 0.63 for GEVA (WES: 0.45) (Figure S3). However, t_c performed similarly with Pearson's correlation coefficients of 0.37 for both WES and WGS datasets with the smallest drop in performance at only 0.05% (Table 1). GEVA had the largest drop in performance again at 28% with Relate having a 15% drop in performance.

Figure 2. Estimator comparison on WES data for a complex model.

Estimated age of mutations for each of the three estimators Relate (A), GEVA (B), and time of coalescence (C) were compared to true age values for a complex demography simulation. Results are plotted on a log scale with the dotted black line representing perfect recapitulation of true age values. Points are colored by density calculated by a Gaussian density gradient. Pearson's r, Spearman's ρ, RMSLE, and Bias are reported for each comparison. WGS comparison found in Figure S3.

To address the accuracy of allele age estimators for rare alleles in WES datasets in

comparison to more common variants, an analysis of the root mean square log error (RMSLE)

averaged across frequency bins was undertaken. Variants were binned into 1% frequency bins

and for each estimator method the RMSLE was calculated for that bin and then normalized by

the average true age of the bin (Figure 3). While it was found that the RMSLE was much lower

for rare variants without the normalization for true age (Figure S4), once normalized it was found

that all methods did better at estimating the age of more common variants in comparison with

rare variants (less than a 1% frequency) (Figure 3A). Similar trends in error rates were found in

the complex model (Figure S5). While GEVA is unable to estimate the age of singletons, both

Relate and t_c were able to estimate the age of mutations appearing once in the population as well

as other low frequency mutations (Figure 3B).

Figure 3. Error in estimates across spectrum of frequency values for the simple model.
For each estimator, sites generated under the simple model are binned by 1% and an average
root mean square log error (RMSLE) for that bin was normalized by the average true mutation
age of that bin (A). Focusing on just k number of copies for 1% or less, the RMSLE is average
for k values ranging from 0 to 72.

Further testing the robustness of allele age estimation on exome data, the estimator Relate

was used to estimate the age of mutations in a sample size of 100 genomes, 1000 genomes, and

10000 genomes. Relate was used as it was the most tractable for the larger sample size of 10000

genomes, however estimation of mutations in a sample of 100000 genomes was also attempted

but required over 15 TB of storage and high memory requirements making it not easily tractable

even with dedicated computing resources. With increasing sample size, the Pearson coefficient

between estimated age of mutations and true age increased (Figure 4, Figure S6). This is

expected as Relate depends on the surrounding mutations around a focal site to estimate the age

of the mutation of interest, and as the sample size increases as does the number of mutations

present.

Figure 4. Correlation between true and estimated allele age increases with sample size.

Samples of 100, 1000, and 10000 genomes are sampled from simulations. The true age of

mutations is compared with the estimated age of mutations estimated with Relate for each of

the three sampled set of mutations. A regression line is fit for both the simple (orange) and

complex (purple) simulation estimates.

In nature, mutations are not just experiencing genetic drift, but often also natural

selection, particularly negative selection because of a mutation being deleterious. To simulate

this, keeping with the simple and complex model, each model is simulated using SLiM with

background selection with a distribution of selection coefficients pulled from a gamma

distribution. Relate was then used to estimate the ages of the mutations both using filtered exon

dataset (WES) and the entire simulated chromosome (WGS). Relate performed slightly worse on

data generated from a simulation with background selection, realtive to its performance with no

selection, for both the simple and complex models (Table 1, Figure 5). Relate had an 18.6%

decrease in accuracy for the simple model with the WES dataset in comparison with the WGS

and a 26.7% decrease in accuracy with the WES dataset for the complex model. This is on

average a 22% decrease in accuracy, higher than the 16% average decrease in accuracy on

mutations under a simulation with no selection.

Figure 5. Relate allele age estimates compared to true values under background selection. The allele age of mutations from simulations of sampled genomes under a model which incorporates background selection are estimated using Relate. Upper panels have estimates from whole-exome datasets while lower panels contain estimates from the entire chromosome of mutations.

Discussion

As sequencing becomes more cost efficient and thus more accessible (Schwarze et al.

2020; Almogy et al. 2022), personalized genomics will also become increasingly accessible. In

personalized genomics, a large portion of resources is spent identifying causative mutations for

disease (Landrum et al. 2018; Karczewski et al. 2020), and in doing so, it becomes important to

not only understand the functional impacts of the mutation but also the demographic history.

Currently, there are many methods to characterize a mutation (McLendon et al. 2008; Eilbeck et

al. 2017; Landrum et al. 2018; Biddanda et al. 2020), with one subset being the estimation of the

time of the mutation entered the population (Slatkin and Rannala 2000; Platt et al. 2019; Speidel

et al. 2019; Albers and McVean 2020). These methods are modeled and tested with whole

genome sequence data. However, since WES data remains the more cost-efficient option making

it more likely to be used in routine clinical diagnostics (Goh and Choi 2012; Salfati et al. 2019),

a comparison of several methods of allele age estimation to ascertain whether the methods can

accurately be applied to whole exome sequence data is required.

Of the three estimators, all had the highest accuracy in identifying the ages of mutations

from WGS data for both the simple and complex demography models with Relate performing the

best across all estimators. For WES data, we find that of all three methods Relate again performs

best with the highest correlation and lowest bias with a loss of between 15 to 17 percent in

comparison of the estimates on the WGS data. However, all three methods slightly underestimate

the oldest of alleles with t_c underestimating them to the highest degree with the RMSLE tripling

between 100 generations to 10000 generations. t_c greatly underestimates the ages of very old

variants indicating that the modified estimator, that attempts to account for when a haplotype

ends in non-coding regions, does not totally mitigate the issue of haplotype tracks ending in

intergenic or intronic regions. As a trend, each method slightly overestimated the youngest of

alleles as the bias values flip in signs from the youngest (less than 100 generations) to the oldest

(greater than 100 generations). This is expected, as coalescent events that happen further back in

time allow for more mutations to accumulate along that branch. Thus, in estimating allele age

from a dataset missing some substantial proportion of the mutations means that the estimators are

observing fewer mutations on a branch and estimating it shorter than its true length. The

overestimation of the youngest variants and underestimation of the oldest variants has been

previously identified in comparison of whole genome sequence data estimates from both Relate

and GEVA (Brandt et al. 2022; Ragsdale and Thornton 2023). In the comparison examining the

robustness of the methods on simulations expanding beyond just a neutral model to also include

deleterious mutations, Relate had a larger decrease in accuracy in the estimates between exome

and genome data in comparison with the neutral model (simple: 19%, complex: 27%) (Figure 5).

All three estimators assume an infinite-site model where only a single mutation is able to

arise at each site, however in nature this is not always the case (Karlin and McGregor 1967;

Kimura 1969). For simulation data, this is not a concern as sites where a site had more than one

mutation occur can be excluded or not allowed to occur. However, for empirical data, repeated

mutations may occur especially in regions of the genome where the mutation rate is particularly

high such as in CpG sites (Lek et al. 2016). If the infinite-site model is violated with repeated

mutation at a given loci with multiple common ancestral haplotypes, then haplotypes with

differing genealogical histories would then be pooled together artificially inflating the estimated

ages. Relate deals with this issue by excluding sites where mutations cannot be mapped onto the

local genealogy (Speidel et al. 2019) while t_c takes a composite of the estimated age of each copy

of allele allowing for repeated mutations at a single locus not to skew the estimated ages too high

(Platt et al. 2019). However, it is likely that the majority of human variant have arisen by way of

single mutations, with violations of the infinite-site model by multiple mutations constituting a

very small proportion of sites, given the few known examples (McVean et al. 2002; Blumenfeld

and Patnaik 2004; Tishkoff et al. 2007).

While common variants have long been identified as potential pools of variants affecting

human phenotypes (Burton et al. 2007; Claussnitzer et al. 2020), rare variants are often less well

understood and harder to be studied and characterized. Investigation of rare alleles using

methods such as mutation age estimators allows for these mutations to better characterized in a

population. This is particularly important because these mutations much less likely to be found in

published large population data such as 1KGP (Auton et al. 2015) leading to very few

opportunities to learn more about their history except through individualized studies. When

normalized for the true age, rare variants are less able to be accurately aged, however since many

rare variants are extremely young the overall error in estimates is small for these sites especially

in comparison to common variants which may be extremely old and have a wide range of

variation in estimated values for alleles of the same frequency.

This analysis highlights both the potential uses and limitations of utilizing allele age

estimators on whole exome data to better understand the history of focal mutations. Expanding

the methods of characterization for mutations of interest remains an important question as

clinicians and researchers are still attempting to understand mechanisms of many disease

phenotypes (Claussnitzer et al. 2020). While these estimators, in particular Relate, demonstrate

reasonable accuracy in estimates based on whole exome data in comparison with whole genome

estimates, users of these methods must also acknowledge the biases that appear in estimating the

oldest and youngest of the mutations. However, utilization of these tools is still able to offer

valuable insights into the history of potentially causative alleles to human disease. As the number

of sequenced exomes increase as the field of personalized genomics becomes more widespread,

these methods can play a vital role in advancing our understanding of the genetic basis of

disease, enabling more precise diagnostics.

Data Availability Statement
Simulations and code are available at https://github.com/ampivirotto/wes_alleleAge.

Acknowledgments
We would like to thank Drs. Alexander Lucaci and Jordan Zehr for their thoughtful editorial

insights.

Funding
This work was supported in part by grant R01 GM144468 from the National Institutes of Health

to Jody Hey and Sergei Pond and grant 1564659 from the National Science Foundation to Jody

Hey and Arun Sethuraman. This research includes calculations carried out on HPC resources

supported in part by the National Science Foundation through major research instrumentation

grant number 1625061 and by the US Army Research Laboratory under contract number

W911NF-16-2-0189.

Conflict of Interest
Authors declare no conflicts of interest.

References

Adrion JR, Cole CB, Dukler N, Galloway JG, Gladstein AL, Gower G, Kyriazis CC, Ragsdale AP, Tsambos G, Baumdicker F, et al. 2020. A community-maintained standard library of population genetic models. Coop G, Wittkopp PJ, Novembre J, Sethuraman A, Mathieson S, editors. eLife. 9:e54967. doi:10.7554/eLife.54967.

Albers PK, McVean G. 2020. Dating genomic variants and shared ancestry in population-scale sequencing data. PLOS Biology. 18(1):e3000586. doi:10.1371/journal.pbio.3000586.

Almogy G, Pratt M, Oberstrass F, Lee L, Mazur D, Beckett N, Barad O, Soifer I, Perelman E, Etzioni Y, et al. 2022. Cost-efficient whole genome-sequencing using novel mostly natural sequencing-by-synthesis chemistry and open fluidics platform. :2022.05.29.493900. doi:10.1101/2022.05.29.493900. [accessed 2024 Jan 19]. https://www.biorxiv.org/content/10.1101/2022.05.29.493900v4.

Auton A, Abecasis GR, Altshuler DM, Durbin RM, Abecasis GR, Bentley DR, Chakravarti A, Clark AG, Donnelly P, Eichler EE, et al. 2015. A global reference for human genetic variation. Nature. 526(7571):68–74. doi:10.1038/nature15393.

Backman JD, Li AH, Marcketta A, Sun D, Mbatchou J, Kessler MD, Benner C, Liu D, Locke AE, Balasubramanian S, et al. 2021. Exome sequencing and analysis of 454,787 UK Biobank participants. Nature. 599(7886):628–634. doi:10.1038/s41586-021-04103-z.

Biddanda A, Rice DP, Novembre J. 2020. A variant-centric perspective on geographic patterns of human allele frequency variation. Goldberg A, Perry GH, editors. eLife. 9:e60107. doi:10.7554/eLife.60107.

Blumenfeld OO, Patnaik SK. 2004. Allelic genes of blood group antigens: A source of human mutations and cSNPs documented in the Blood Group Antigen Gene Mutation Database. Human Mutation. 23(1):8–16. doi:10.1002/humu.10296.

Brandt DYC, Wei X, Deng Y, Vaughn AH, Nielsen R. 2022. Evaluation of methods for estimating coalescence times using ancestral recombination graphs. Genetics. 221(1):iyac044. doi:10.1093/genetics/iyac044.

Burton PR, Clayton DG, Cardon LR, Craddock N, Deloukas P, Duncanson A, Kwiatkowski DP, McCarthy MI, Ouwehand WH, Samani NJ, et al. 2007. Genome-wide association study of 14,000 cases of seven common diseases and 3,000 shared controls. Nature. 447(7145):661–678. doi:10.1038/nature05911.

Cano-Gamez E, Trynka G. 2020. From GWAS to Function: Using Functional Genomics to Identify the Mechanisms Underlying Complex Diseases. Frontiers in Genetics. 11. [accessed 2023 Nov 3]. https://www.frontiersin.org/articles/10.3389/fgene.2020.00424.

Carey DJ, Fetterolf SN, Davis FD, Faucett WA, Kirchner HL, Mirshahi U, Murray MF, Smelser DT, Gerhard GS, Ledbetter DH. 2016. The Geisinger MyCode community health initiative: an electronic health record–linked biobank for precision medicine research. Genet Med. 18(9):906–913. doi:10.1038/gim.2015.187.

Claussnitzer M, Cho JH, Collins R, Cox NJ, Dermitzakis ET, Hurles ME, Kathiresan S, Kenny
EE, Lindgren CM, MacArthur DG, et al. 2020. A brief history of human disease genetics.
Nature. 577(7789):179–189. doi:10.1038/s41586-019-1879-7.

Danecek P, Bonfield JK, Liddle J, Marshall J, Ohan V, Pollard MO, Whitwham A, Keane T,
McCarthy SA, Davies RM, et al. 2021. Twelve years of SAMtools and BCFtools. Gigascience.
10(2):giab008. doi:10.1093/gigascience/giab008.

Eilbeck K, Quinlan A, Yandell M. 2017. Settling the score: variant prioritization and Mendelian
disease. Nat Rev Genet. 18(10):599–612. doi:10.1038/nrg.2017.52.

Fu W, O'Connor TD, Jun G, Kang HM, Abecasis G, Leal SM, Gabriel S, Rieder MJ, Altshuler
D, Shendure J, et al. 2013. Analysis of 6,515 exomes reveals the recent origin of most human
protein-coding variants. Nature. 493(7431):216–220. doi:10.1038/nature11690.

Goh G, Choi M. 2012. Application of Whole Exome Sequencing to Identify Disease-Causing
Variants in Inherited Human Diseases. Genomics Inform. 10(4):214–219.
doi:10.5808/GI.2012.10.4.214.

Grzymski JJ, Elhanan G, Morales Rosado JA, Smith E, Schlauch KA, Read R, Rowan C,
Slotnick N, Dabe S, Metcalf WJ, et al. 2020. Population genetic screening efficiently identifies
carriers of autosomal dominant diseases. Nat Med. 26(8):1235–1239. doi:10.1038/s41591-020-
0982-5.

Grzymski JJ, Elhanan G, Smith E, Rowan C, Slotnick N, Dabe S, Schlauch K, Read R, Metcalf
WJ, Lipp B, et al. 2019. Population Health Genetic Screening for Tier 1 Inherited Diseases in
Northern Nevada: 90% of At-Risk Carriers are Missed. [accessed 2022 Jan 7].
https://www.biorxiv.org/content/10.1101/650549v1.

Gutenkunst RN, Hernandez RD, Williamson SH, Bustamante CD. 2009. Inferring the Joint
Demographic History of Multiple Populations from Multidimensional SNP Frequency Data.
PLOS Genetics. 5(10):e1000695. doi:10.1371/journal.pgen.1000695.

Haller BC, Galloway J, Kelleher J, Messer PW, Ralph PL. 2019. Tree-sequence recording in
SLiM opens new horizons for forward-time simulation of whole genomes. Molecular Ecology
Resources. 19(2):552–566. doi:10.1111/1755-0998.12968.

Hateley S, Lopez-Izquierdo A, Jou CJ, Cho S, Schraiber JG, Song S, Maguire CT, Torres N,
Riedel M, Bowles NE, et al. 2021. The history and geographic distribution of a KCNQ1 atrial
fibrillation risk allele. Nat Commun. 12(1):6442. doi:10.1038/s41467-021-26741-7.

Karczewski KJ, Francioli LC, Tiao G, Cummings BB, Alföldi J, Wang Q, Collins RL, Laricchia
KM, Ganna A, Birnbaum DP, et al. 2020. The mutational constraint spectrum quantified from
variation in 141,456 humans. Nature. 581(7809):434–443. doi:10.1038/s41586-020-2308-7.

Karlin S, McGregor J. 1967. The number of mutant forms maintained in a population. In:
Proceedings of the Fifth Berkeley Symposium on Mathematical Statistics and Probability. Vol.
4.

Kelleher J, Etheridge AM, McVean G. 2016. Efficient Coalescent Simulation and Genealogical
Analysis for Large Sample Sizes. PLOS Computational Biology. 12(5):e1004842.
doi:10.1371/journal.pcbi.1004842.

Kelleher J, Thornton KR, Ashander J, Ralph PL. 2018. Efficient pedigree recording for fast
population genetics simulation. PLOS Computational Biology. 14(11):e1006581.
doi:10.1371/journal.pcbi.1006581.

Kim BY, Huber CD, Lohmueller KE. 2017. Inference of the Distribution of Selection
Coefficients for New Nonsynonymous Mutations Using Large Samples. Genetics. 206(1):345–
361. doi:10.1534/genetics.116.197145.

Kimura M. 1969. The Number of Heterozygous Nucleotide Sites Maintained in a Finite
Population Due to Steady Flux of Mutations. Genetics. 61(4):893–903.

Lander ES, Linton LM, Birren B, Nusbaum C, Zody MC, Baldwin J, Devon K, Dewar K, Doyle
M, FitzHugh W, et al. 2001. Initial sequencing and analysis of the human genome. Nature.
409(6822):860–921. doi:10.1038/35057062.

Landrum MJ, Lee JM, Benson M, Brown GR, Chao C, Chitipiralla S, Gu B, Hart J, Hoffman D,
Jang W, et al. 2018. ClinVar: improving access to variant interpretations and supporting
evidence. Nucleic Acids Research. 46(D1):D1062–D1067. doi:10.1093/nar/gkx1153.

Lek M, Karczewski KJ, Minikel EV, Samocha KE, Banks E, Fennell T, O'Donnell-Luria AH,
Ware JS, Hill AJ, Cummings BB, et al. 2016. Analysis of protein-coding genetic variation in
60,706 humans. Nature. 536(7616):285–291. doi:10.1038/nature19057.

Ma Y, Jun GR, Zhang X, Chung J, Naj AC, Chen Y, Bellenguez C, Hamilton-Nelson K, Martin
ER, Kunkle BW, et al. 2019. Analysis of Whole-Exome Sequencing Data for Alzheimer Disease
Stratified by APOE Genotype. JAMA Neurology. 76(9):1099–1108.
doi:10.1001/jamaneurol.2019.1456.

McLendon R, Friedman A, Bigner D, Van Meir EG, Brat DJ, M. Mastrogianakis G, Olson JJ,
Mikkelsen T, Lehman N, Aldape K, et al. 2008. Comprehensive genomic characterization
defines human glioblastoma genes and core pathways. Nature. 455(7216):1061–1068.
doi:10.1038/nature07385.

McVean G, Awadalla P, Fearnhead P. 2002. A Coalescent-Based Method for Detecting and
Estimating Recombination From Gene Sequences. Genetics. 160(3):1231–1241.
doi:10.1093/genetics/160.3.1231.

Momozawa Y, Mizukami K. 2021. Unique roles of rare variants in the genetics of complex
diseases in humans. Journal of Human Genetics. 66(1):11–23. doi:10.1038/s10038-020-00845-2.

Piñero J, Ramírez-Anguita JM, Saüch-Pitarch J, Ronzano F, Centeno E, Sanz F, Furlong LI.
2020. The DisGeNET knowledge platform for disease genomics: 2019 update. Nucleic Acids
Research. 48(D1):D845–D855. doi:10.1093/nar/gkz1021.

Platt A, Pivirotto A, Knoblauch J, Hey J. 2019. An estimator of first coalescent time reveals
selection on young variants and large heterogeneity in rare allele ages among human populations.
PLOS Genetics. 15(8):e1008340. doi:10.1371/journal.pgen.1008340.

Ragsdale AP, Thornton KR. 2023. Multiple Sources of Uncertainty Confound Inference of
Historical Human Generation Times. Molecular Biology and Evolution. 40(8):msad160.
doi:10.1093/molbev/msad160.

Salfati EL, Spencer EG, Topol SE, Muse ED, Rueda M, Lucas JR, Wagner GN, Campman S,
Topol EJ, Torkamani A. 2019. Re-analysis of whole-exome sequencing data uncovers novel
diagnostic variants and improves molecular diagnostic yields for sudden death and idiopathic
diseases. Genome Medicine. 11(1):83. doi:10.1186/s13073-019-0702-2.

Schwarze K, Buchanan J, Fermont JM, Dreau H, Tilley MW, Taylor JM, Antoniou P, Knight
SJL, Camps C, Pentony MM, et al. 2020. The complete costs of genome sequencing: a
microcosting study in cancer and rare diseases from a single center in the United Kingdom.
Genetics in Medicine. 22(1):85–94. doi:10.1038/s41436-019-0618-7.

Slatkin M. 2000. Allele age and a test for selection on rare alleles. Charlesworth B, Harvey PH,
editors. Phil Trans R Soc Lond B. 355(1403):1663–1668. doi:10.1098/rstb.2000.0729.

Slatkin M, Rannala B. 2000. Estimating Allele Age. Annual Review of Genomics and Human
Genetics. 1(1):225–249. doi:10.1146/annurev.genom.1.1.225.

Speidel L, Forest M, Shi S, Myers SR. 2019. A method for genome-wide genealogy estimation
for thousands of samples. Nature Genetics. 51(9):1321–1329. doi:10.1038/s41588-019-0484-x.

Takahata N. 1993. Allelic genealogy and human evolution. Molecular Biology and Evolution.
10(1):2–22. doi:10.1093/oxfordjournals.molbev.a039995.

The "All of Us" Research Program. 2019. New England Journal of Medicine. 381(7):668–676.
doi:10.1056/NEJMsr1809937.

The International HapMap Consortium, Frazer KA, Ballinger DG, Cox DR, Hinds DA, Stuve
LL, Gibbs RA, Belmont JW, Boudreau A, Hardenbol P, et al. 2007. A second generation human
haplotype map of over 3.1 million SNPs. Nature. 449(7164):851–861. doi:10.1038/nature06258.

Tian X, Browning BL, Browning SR. 2019. Estimating the Genome-wide Mutation Rate with
Three-Way Identity by Descent. The American Journal of Human Genetics. 105(5):883–893.
doi:10.1016/j.ajhg.2019.09.012.

Tishkoff SA, Reed FA, Ranciaro A, Voight BF, Babbitt CC, Silverman JS, Powell K, Mortensen
HM, Hirbo JB, Osman M, et al. 2007. Convergent adaptation of human lactase persistence in
Africa and Europe. Nat Genet. 39(1):31–40. doi:10.1038/ng1946.

Tremblay M, Vézina H. 2000. New Estimates of Intergenerational Time Intervals for the
Calculation of Age and Origins of Mutations. The American Journal of Human Genetics.
66(2):651–658. doi:10.1086/302770.

Wang Q, Dhindsa RS, Carss K, Harper AR, Nag A, Tachmazidou I, Vitsios D, Deevi SVV,
Mackay A, Muthas D, et al. 2021. Rare variant contribution to human disease in 281,104 UK
Biobank exomes. Nature. 597(7877):527–532. doi:10.1038/s41586-021-03855-y.

Warr A, Robert C, Hume D, Archibald A, Deeb N, Watson M. 2015. Exome Sequencing:
Current and Future Perspectives. G3 Genes|Genomes|Genetics. 5(8):1543–1550.
doi:10.1534/g3.115.018564.

Yang Y, Muzny DM, Reid JG, Bainbridge MN, Willis A, Ward PA, Braxton A, Beuten J, Xia F,
Niu Z, et al. 2013. Clinical Whole-Exome Sequencing for the Diagnosis of Mendelian Disorders.
New England Journal of Medicine. 369(16):1502–1511. doi:10.1056/NEJMoa1306555.