Neurons enhance blood-brain barrier function via upregulating claudin-5

and VE-cadherin expression due to GDNF secretion

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Impact Statement

Neurons and astrocytes enhance the integrity of BBB by releasing GDNF. The

released GDNF upregulated claudin-5 and VE-cadherin expression by the activation

of PI3K/AKT and MAPK/ERK pathways.

Abstract

Blood-brain barrier (BBB) prevents neurotoxins from entering central nervous system. We aimed to establish and characterize an *in vitro* triple co-culture BBB model consisting of brain endothelial cells hCMEC/D3, astrocytoma U251 cells, and neuroblastoma SH-SY5Y cells. Co-culture of SH-SY5Y and U251 cells markedly enhanced claudin-5 and VE-cadherin expression in hCMEC/D3 cells, accompanied by increased transendothelial electrical resistance and decreased permeability. Conditioned medium (CM) from SH-SY5Y cells (S-CM), U251 cells (U-CM), and co-culture of SH-SY5Y and U251 cells (US-CM) also promoted claudin-5 and VE-cadherin expression. Glial cell line-derived neurotrophic factor (GDNF) levels in S-CM and US-CM were significantly higher than CMs from hCMEC/D3 and U-CM. Both GDNF and US-CM upregulated claudin-5 and VE-cadherin expression, which were attenuated by anti-GDNF antibody and GDNF signaling inhibitors. GDNF increased claudin-5 expression via the PI3K/AKT/FOXO1 and MAPK/ERK pathways. Meanwhile, GDNF promoted VE-cadherin expression by activating PI3K/AKT/ETS1 and MAPK/ERK/ETS1 signaling. The roles of GDNF in BBB integrity were validated using brain-specific *Gdnf* silencing mice. The developed triple co-culture BBB model was successfully applied to predict BBB permeability. In conclusion, neurons enhance BBB integrity by upregulating claudin-5 and VE-cadherin expression through GDNF secretion and established triple co-culture BBB model may be used to predict drugs' BBB permeability.

Keywords

Blood-Brain Barrier; *in vitro* BBB model; Glia-derived neurotrophic factor; IVIVC; Co-culture; Tight junction

Introduction

As a dynamic interface between the blood circulatory system and the central nervous system (CNS), the blood-brain barrier (BBB) maintains homeostasis and normal function of CNS by strictly regulating material exchange between the blood and brain (Palmiotti et al., 2014). The maintenance of BBB is mainly attributed to the expression of tight junctions (TJ) and adherent junctions (AJ) between adjacent brain endothelial cells as well as a variety of drug transporters. However, as a double-edged sword that protects CNS function, BBB also restricts the transport of some drugs from blood to brain, leading to poor CNS therapeutic effects and even CNS treatment failure (Banks, 2016).

Several *in silico, in vitro, in situ,* and *in vivo* methods have been developed to assess the permeability of drugs across BBB, but each method has its limitations (Hanafy et al., 2021). The *in situ* brain perfusion (ISBP) is considered the "gold standard" for assessing BBB permeability but there exist limits related to animal ethics. Moreover, ISBP is not suitable for human or high-throughput workflows. Thus, a suitable, accurate, and high-throughput *in vitro* BBB model is required to predict the permeability of drug candidates through BBB.

BBB is formed by neurovascular units (NVU), composed of neural (neurons,

microglia, and astrocytes) and vascular components (vascular endothelial cells,

pericytes, and vascular smooth muscle cells) (Potjewyd et al., 2018). The constant

crosstalk and interactions among these cells contribute to the structural and

signaling-based regulation of transcellular and paracellular transport, control of BBB

permeability, and regulation of cerebral circulation (Arvanitis et al., 2020; Muoio et

al., 2014; Potjewyd et al., 2018). Although brain microvascular endothelial cells

(BMECs) are commonly utilized as an *in vitro* BBB model to assess drug

permeability across BBB, *in vitro* mono-culture of BMECs tends to lose some unique

characteristics without the support of other cell types. To overcome the drawbacks of

mono-culture BBB models, a range of multicellular co-culture BBB models

co-cultured with other NVU elements (such as astrocytes or pericytes) have been

developed. Astrocytes are the most abundant glial cell type in brain (Clasadonte et al.,

2017). Their terminal feet cover >80% of the surface of capillaries to form

interdigitating coverage without slits (Mathiisen et al., 2010). In addition, astrocytes

also contain several proteins related to the tight binding of the basement membrane.

The *in vitro* multicellular co-culture BBB models composed of astrocytes, pericytes,

and endothelial cells are considered to mimic the vascular structure and offer

paracellular tightness in BBB (Ito et al., 2019; Nakagawa et al., 2009; Watanabe et al.,

2021).

Neurons also play a crucial role in the NVU and may be involved in the

regulation of BBB function. The maturation of BBB in mice considerably overlaps

with the establishment of neuronal activity (Biswas et al., 2020). A study revealed that

the conditioned medium collected from primary rat neurons also attenuated cell death

caused by glucose-oxygen-serum deprivation (Lin et al., 2016). Furthermore, the

primary rat neurons were reported to affect the differentiation and formation of

BMECs (Savettieri et al., 2000; Schiera G. et al., 2003; Xue et al., 2013). Recent

research reported that, compared with the double co-culture of hCMEC/D3 and

1321N1 (human astrocytoma cells), the triple co-culture of hCMEC/D3, 1321N1, and

human neuroblastoma SH-SY5Y cells exhibited a higher transendothelial electrical

resistance (TEER) (Barberio et al., 2022). A similar report showed that co-cultivation

of RBE4.B (rat brain capillary endothelial cells) and neurons resulted in the lower

permeability of [^{3}H] sucrose than RBE4.B cells grown alone (Schiera Gabriella. et al.,

2005). All these studies indicate that neurons, as the elements of the NVU, may be

tightly connected to the formation and maintenance of BBB functions.

The aims of the study were: 1) to establish and characterize an *in vitro* triple

co-culture BBB model consisting of human brain endothelial cells (hCMEC/D3),

human astrocytoma cells (U251), and human neuroblastoma cells (SH-SY5Y); 2) to

investigate whether neurons were involved in the formation and maintenance of BBB

integrity and explore their underlying mechanisms. The integrity of BBB was

evaluated by quantifying the leakage of both fluorescein and FITC-Dextran 3–5 kDa

(FITC-Dex); 3) to validate the *in vitro* results through *in vivo* experiments. Finally, the

in vivo/*in vitro* correlation assay (IVIVC) was analyzed to prove that the triple

co-culture BBB model could better predict the BBB penetration of CNS drugs

compared with the mono-culture BBB model.

Result

Establishment and characterization of the *in vitro* triple co-culture BBB

model

TEER values were measured during the co-culture (Figure 1C). TEER values of

the four *in vitro* BBB models gradually increased until day 6. On day 7, the TEER

values showed a decreasing trend. Thus, a 6-day co-culture was used for subsequent

experiments. The highest TEER values were observed in the triple co-culture BBB

model, followed by double co-culture with U251 cells and double co-culture with

SH-SY5Y cells BBB models, hCMEC/D3 cells mono-culture BBB model showed the

lowest TEER values. We also measured the TEER values of U251 monolayer cells,

and the results showed that U251 cells themselves also contributed to the physical

barrier of the model (Figure 1D). The apparent permeability coefficients (P_{app}) values

of fluorescein and FITC-Dex were measured to characterize the integrity of the four

BBB models (Figure 1E, 1F). Consistent with the TEER values, the triple co-culture

BBB model showed the lowest permeability of fluorescein and FITC-Dex, followed

by double co-culture with U251 cells and double co-culture with SH-SY5Y cells BBB

models. It was noticed that the monolayer of U251 cells itself also worked as a barrier,

preventing the leakage of permeability markers, which may explain why the

permeability of FITC-Dex in the double co-culture model with U251 cells is lower

than that in the double co-culture model with SH-SY5Y cells (Figure 1G). Moreover,

co-culture with SH-SY5Y, U251, and U251 + SH-SY5Y cells significantly enhanced

the proliferation of hCMEC/D3 cells by 5.80%, 4.61%, and 10.41%, respectively

(Figure 1H).

The paracellular barrier of BBB is associated with TJs, AJs, and transporters

(Abbott, 2013). The mRNA levels of TJs (claudin-5, ZO-1, and occludin), AJs

(VE-cadherin and β-catenin), and transporters (P-gp, BCRP, OCT-1, OCT-2, OAT-3,

and OATP1A1) in hCMEC/D3 cells from the four BBB models were analyzed using

qPCR (Figure 1I and 1J). Compared with hCMEC/D3 cell mono-culture model,

double co-culture with SH-SY5Y, double co-culture with U251, and triple co-culture

BBB models showed markedly increases in claudin-5, VE-cadherin, and BCRP

mRNA expression. Expression of corresponding proteins was measured using

Western blot (Figure 1K-1N). Notably increased claudin-5 expression was detected in

double co-culture with SH-SY5Y cells and triple co-culture BBB models, while

VE-cadherin expression was markedly increased in double co-culture with U251 cells

and triple co-culture BBB models. Expression levels of other TJ proteins (ZO-1 and

occludin) and AJ protein (β-catenin) were unaltered. The expression of BCRP was

slightly affected by co-cultivation without statistical differences. Significant negative

correlations were found between P_{app} values of fluorescein and the expression of

claudin-5 or VE-cadherin. P_{app} values of FITC-Dex were also negatively correlated to

the expression levels of claudin-5 or VE-cadherin (Figure 1O-1R). These results

indicate that the decreased permeability of fluorescein and FITC-Dex mainly results

148   from the upregulated expression of both claudin-5 and VE-cadherin.

Neurons and astrocytes upregulated the expression of claudin-5 and VE-cadherin by GDNF secretion.

The hCMEC/D3 cells did not direct contact with U251 or SH-SY5Y cells in the

double co-culture and triple co-culture BBB models, indicating that cell-cell

interaction between U251, SH-SY5Y, and hCMEC/D3 cells relied on secreted active

factors. To test this hypothesis, the effects of conditioned medium (CM) from

SH-SY5Y cells (S-CM), U251 cells (U-CM), and co-culture of SH-SY5Y and U251

cells (US-CM) on the expression of claudin-5 and VE-cadherin in hCMEC/D3 cells

were analyzed (Figure 2A). Both S-CM, U-CM, and US-CM markedly increased the

expression of claudin-5 and VE-cadherin. US-CM showed the strongest induction

effects on claudin-5 and VE-cadherin.

To investigate which cytokines were involved in the promotion of hCMEC/D3

cell integrity by U251 and SH-SY5Y cells, the mRNA expression levels of various

cytokines in these three types of cells were compared. The results showed that U251

or SH-SY5Y cells exhibited significantly higher expression levels of GDNF, nerve

growth factor (NGF), insulin-like growth factor-1 (IGF-1), vascular endothelial

growth factor (VEGF), basic fibroblast growth factor (bFGF), and transforming

growth factor-β (TGF-β) compared to hCMEC/D3 cells (Figure 2B). Furthermore, the

mRNA expression of GDNF, IGF-1, TGF-β, and bFGF in SH-SY5Y cells was higher

than those in U251 cells

In these cytokines, GDNF (Dong et al., 2018; Igarashi et al., 1999; Shimizu et al.,

2012), bFGF (Shimizu et al., 2011; Wang et al., 2016), IGF-1 (Ko et al., 2009;

Nowrangi et al., 2019), and TGF-β (Fu et al., 2021) have been reported to promote

BBB integrity. Thus, the concentrations of GDNF, bFGF, IGF-1, and TGF-β in the

CMs were measured (Figure 2C). The results showed that levels of GDNF, bFGF, and

IGF-1 in S-CM and US-CM were significantly higher than CMs from hCMEC/D3

cells (H-CM) and U-CM, but levels of TGF-β in S-CM and U-CM were lower than

those in H-CM. Interestingly, the level of IGF-1 in US-CM was remarkably lower

than that in S-CM, indicating that U251 cells suppressed IGF-1 secretion from

SH-SY5Y. The effects of GDNF, bFGF, IGF-1, and TGF-β on the expression of

claudin-5 and VE-cadherin were investigated (Figure 2D-2G). Among the four tested

neurotrophic factors, only GDNF induced the expression of claudin-5 and

VE-cadherin in a concentration-dependent manner (Figure 2D). In contrast, a high

level (5 ng/mL) of TGF-β slightly downregulated claudin-5 and VE-cadherin

expression (Figure 2G). These results demonstrate that upregulation of claudin-5 and

VE-cadherin expression by US-CM are attributed to secreted GDNF.

To provide additional verification of the deduction, anti-GDNF antibody was

used to neutralize exogenous and endogenous GDNF in culture medium. Consistent

with our expectation, the anti-GDNF antibody concentration-dependent reversed the

US-CM-induced claudin-5 and VE-cadherin expression (Figure 2H). Furthermore,

GDNF-induced upregulation of claudin-5 and VE-cadherin expression was also

reversed by the anti-GDNF antibody (Figure 2I). The induction effects of US-CM and

GDNF on the claudin-5 and VE-cadherin expression in hCMEC/D3 cells were also

confirmed in primary rat brain microvascular endothelial cells (Figure 2J)

GDNF forms a heterohexameric complex with two GFRα1 molecules and two

RET receptors to activate the GDNF-GFRα1-RET signaling (Fielder et al., 2018). The

RET receptor tyrosine kinase inhibitor SPP-86 (Bhallamudi et al., 2021) and Src-type

kinase inhibitor PP2 (Morita et al., 2006) were used to further investigate whether

GDNF upregulated the expression of claudin-5 and VE-cadherin in hCMEC/D3 cells

by activating the GDNF-GFRα1-RET signaling pathway. Both SPP-86 and PP2

markedly attenuated claudin-5 and VE-cadherin expression induced by GDNF (Figure

2K) and US-CM (Figure 2L).

The contributions of GDNF-induced claudin-5 and VE-cadherin expression to

TEER and permeability were investigated using hCMEC/D3 cells mono-culture BBB

model. GDNF significantly increased TEER values (Figure 2M, 2O) and decreased

the permeability of fluorescein and FITC-Dex (Figure 2N, 2P), which were almost

abolished by SPP-86 or PP2. Furthermore, treatment with SPP-86 or PP2 completely

reversed the increased TEER values (Figure 2Q, 2S) and decreased permeability of

fluorescein and FITC-Dex (Figure. 2R, 2T) in the triple co-culture BBB model. These

results indicate that neurons but also astrocytes upregulate claudin-5 and VE-cadherin

expression in hCMEC/D3 cells by secreting GDNF. Subsequently, GDNF induces

claudin-5 and VE-cadherin expression by activating GDNF-GFRα1-RET signaling.

GDNF induced claudin-5 and VE-cadherin expression of hCMEC/D3 by

activating the PI3K/AKT and MAPK/ERK pathways

GDNF exerts its biological activities by activating several signaling pathways,

including the phosphatidylinositol-3-kinase (PI3K)/ protein kinase B (AKT),

mitogen-activated protein kinase (MAPK)/ extracellular regulated kinase (ERK),

MAPK/ c-Jun N-terminal kinase (JNK), and MAPK/ p38 pathways (Fielder et al.,

2018). The effects of the PI3K/AKT, MAPK/ERK, MAPK/JNK, and MAPK/p38

pathway inhibitors LY294002 (Figure 3A), U0126 (Figure 3B), SP600125 (Figure

3C), and SB203580 (Figure 3D), respectively, on GDNF-induced claudin-5 and

VE-cadherin expression in hCMEC/D3 cells were investigated. GDNF increased

claudin-5 and VE-cadherin expression, accompanied by the phosphorylation of AKT

(p-AKT) and ERK (p-ERK). However, it did not stimulate the phosphorylation of

JNK and p38. SPP-86, LY29002, and U0126 significantly suppressed GDNF-induced

claudin-5 and VE-cadherin expression, while SP600125 and SB203580 had almost no

effect on GDNF-induced claudin-5 and VE-cadherin expression. GDNF-induced

phosphorylation of AKT and ERK was also markedly attenuated by the anti-GDNF

antibody (Figure 3E). Similarly, US-CM remarkably upregulated the expression of

claudin-5, VE-cadherin, p-AKT, and p-ERK, which were also markedly reversed by

SPP-86, LY29002, U0126, or anti-GDNF antibody (Figure 3F-3J). These findings

indicate that GDNF induces the expression of claudin-5 and VE-cadherin in

hCMEC/D3 cells by activating both the PI3K/AKT and MAPK/ERK pathways.

GDNF upregulated the claudin-5 expression in hCMEC/D3 cells by activating

the PI3K/AKT/FOXO1 pathway

Claudin-5 is negatively regulated by the transcriptional repressor forkhead box

O1 (FOXO1) (Beard et al., 2020). FOXO1 is also an important target of PI3K/AKT

signaling. FOXO1 phosphorylation results in FOXO1 accumulation in the cytoplasm

(Zhang et al., 2011) and lowers its level in the nucleus. Here, we investigated whether

GDNF-induced claudin-5 expression is involved in FOXO1 nuclear exclusion. As

shown in Figure 4A, both GDNF and US-CM significantly enhanced FOXO1

phosphorylation (p-FOXO1). Similarly, GDNF and US-CM increased the levels of

phosphorylated and unphosphorylated FOXO1 in the cytoplasm and decreased the

levels of nuclear FOXO1 (Figure 4B). Whether FOXO1 was involved in the

GDNF-induced regulation of claudin-5 and VE-cadherin expression was investigated

in hCMEC/D3 cells transfected with *FOXO1* siRNA. Silencing *FOXO1* significantly

decreased FOXO1 levels in both whole-cell lysates and nucleus of hCMEC/D3 cells

(Figure 4C), demonstrating *FOXO1* silencing efficacy. Consistent with our

expectation, silencing *FOXO1* upregulated the expression of claudin-5 rather than

VE-cadherin expression (Figure 4D). In contrast, high levels of FOXO1 were

observed in both whole-cell lysates and nucleus of hCMEC/D3 cells that were

transfected with plasmids containing *FOXO1*. Meanwhile, FOXO1 overexpression

resulted in a decrease in both basal and GDNF-induced claudin-5 expression (Figure

4E), consistent with the known role of FOXO1 on claudin-5 expression.

Several reports have demonstrated that nuclear localization of FOXO1 is

modulated by multiple pathways, including the PI3K/AKT (Tang et al., 1999) and

MAPK/ERK (Asada et al., 2007) pathways. The effects of LY294002 and U0126 on

GDNF-induced FOXO1 phosphorylation were measured in hCMEC/D3 cells.

LY294002, but not U0126, significantly reversed the GDNF-induced alterations in

total p-FOXO1, cytoplasmic p-FOXO1, cytoplasmic FOXO1, and nuclear FOXO1

(Figure 4F). Furthermore, LY294002 reversed the upregulation of claudin-5

expression induced by *FOXO1* siRNA and GDNF (Figure 4G).

GDNF upregulated VE-cadherin expression in hCMEC/D3 cells by

activating the PI3K/AKT/ETS1 and MAPK/ERK/ETS1 signaling

pathways.

E26 oncogene homolog 1 (ETS1) is a transcription factor that binds to the

ETS-binding site located in the proximal region of the VE-cadherin promoter, hence

regulating the expression of VE-cadherin (Lelie`vre et al., 2000; Luo et al., 2022).

Activation of the PI3K/AKT (He et al., 2023; Hui et al., 2018) and MAPK/ERK

(Watanabe et al., 2004) pathways was reported to upregulate the expression of ETS1.

The previous results showed that GDNF induced VE-cadherin and claudin-5

expression in hCMEC/D3 cells by activating the PI3K/AKT and MAPK/ERK

pathways. Therefore, we hypothesized that GDNF modulated ETS1 levels to promote

VE-Cadherin and claudin-5 expression through PI3K/AKT and MAPK/ERK

signaling pathways. Both US-CM and GDNF significantly increased total (Figure 5A)

and nuclear ETS1 expression (Figure 5B). LY294002 and U0126 markedly attenuated

GDNF-induced total (Figure 5C) and nuclear ETS1 expression (Figure 5D). To

further confirm the involvement of the PI3K/AKT/ETS1 and MAPK/ERK/ETS1

pathways in GDNF-induced VE-cadherin expression, ETS1 in hCMEC/D3 cells was

knocked down using *ETS1* siRNA. *ETS1* silencing saliently declined the expression

levels of total (Figure 5E) and nuclear (Figure 5F) ETS1 in hCMEC/D3 cells,

demonstrating silencing efficacy. In *ETS1* silencing hCMEC/D3 cells, GDNF no

longer induced the expression of total (Figure 5E) and nuclear (Figure 5F) ETS1.

Moreover, *ETS1* silencing substantially downregulated VE-cadherin expression and

attenuated GDNF-induced VE-cadherin expression (Figure 5G and 5H), while having

minimal impact on both basal and GDNF-induced claudin-5 expression (Figure 5G

and 5I).

Brain GDNF deficiency increased BBB permeability partly due to the

impairment of claudin-5 and VE-cadherin expression

To further demonstrate the positive effects of GDNF on BBB maintenance,

GDNF in mice brains was knocked down via intracerebroventricular injection of

AAV-PHP.eB packaged with *Gdnf* short hairpin RNA (shRNA) (Figure 6A).

Knockdown efficiency was confirmed through Western blotting (Figure 6B).

Consistent with *in vitro* results, GDNF knockdown greatly downregulated the

expression of claudin-5 and VE-cadherin in the brains of mice (Figure 6B). The

integrity of BBB was assessed by examining the brain distributions of fluorescein and

FITC-Dex. The results showed that specifically knocking down brain GDNF little

affected plasma levels of fluorescein (Figure 6C) and FITC-Dex (Figure 6F), but

significantly elevated the concentrations of fluorescein (Figure 6D) and FITC-Dex

(Figure 6G) in the brains, leading to notable increases in the brain-to-plasma

concentration ratios of two probes (Figure 6E and 6H). These alterations were

consistent with the decline in claudin-5 and VE-cadherin expression. In addition,

significant reductions in the levels of p-AKT (Figure 6I), p-ERK (Figure 6J),

p-FOXO1 (Figure 6K), and ETS1 expression (Figure 6L) were observed in the brains

of *Gdnf* knockdown mice.

The triple co-culture BBB model better predicted the permeabilities of

drugs across BBB

This study demonstrated that the developed triple co-culture BBB model displays

better BBB characteristics compared with the hCMEC/D3 mono-culture BBB model.

In this study, 18 drugs were utilized to further investigate the superiority of the

developed triple co-culture BBB model over the hCMEC/D3 mono-culture BBB

model. The P_{app} of the 18 drugs from the apical to the basolateral side based on the

hCMEC/D3 mono-culture ($P_{app, Mono}$) and triple co-culture ($P_{app, Triple}$) BBB models are

measured and listed in Table 5. The results showed that $P_{app, Triple}$ values of all tested

drugs were lower than the $P_{app, Mono}$ values. Significant differences were observed in

14 out of 18 drugs. The predicted permeability coefficient-surface area product values

(PS) of the tested drugs were respectively calculated based on their $P_{app, Mono}$ values

($PS_{Pre, Mono}$), and $P_{app, Triple}$ values ($PS_{Pre, Triple}$). The predicted PS values were further

compared to their corresponding observations (PS_{obs}). The results showed that the

predictive accuracy of $PS_{Pre, Triple}$ was superior to $PS_{Pre, Mono}$. Except for verapamil,

amitriptyline, fluoxetine, and clozapine, the predicted $PS_{Pre, Triple}$ values of the other

14 drugs in the triple co-culture BBB models were within the 0.5–2 folds of PS_{obs}

(Figure 7B). However, in the hCMEC/D3 mono-culture BBB model, only 7 predicted

$PS_{Pre, Mono}$ values were within the 0.5–2 folds range of their observations (Figure 7A).

Discussion

The main findings of the study were to successfully develop an *in vitro* triple

co-culture BBB model consisting of hCMEC/D3, U251, and SH-SY5Y cells and to

confirm the involvement of neurons in BBB maintenance as well as the possible

mechanisms. Co-culture with U251 and/or SH-SY5Y cells markedly promoted the

TEER of hCMEC/D3 cells and reduced the leakage of fluorescein and FITC-Dex due

to the upregulation of claudin-5 and VE-cadherin expression.

The roles of claudin-5 and VE-cadherin in the maintenance of BBB function

have been demonstrated (Dejana et al., 2008; Hashimoto et al., 2023; Li W. et al.,

2018; Ohtsuki et al., 2007). It was reported that *Cld-5*–deficient mice exhibited BBB

impairment, allowing the transport of small molecules (<800 D) across BBB (Nitta et

al., 2003). In contrast, claudin-5 overexpression significantly restricted the

permeability of inulin across the conditionally immortalized rat brain capillary

endothelial cell monolayer (Ohtsuki et al., 2007). Moreover, the claudin-5 expression

in hCMEC/D3 is much lower than in human brain microvessels (Eigenmann et al.,

2013; Ohtsuki et al., 2013; Weksler et al., 2013), which may cause the low TEER

values. VE-cadherin, a major member of the cadherin family in endothelial cells, is

also required for BBB integrity (Dejana et al., 2008; Li W. et al., 2018). The absence

of VE-cadherin resulted in faulty cell-to-cell junctions and disrupted distribution of

ZO-1 (Sauteur et al., 2017; Tunggal et al., 2005). Strongly negative correlations

between P_{app} values of fluorescein or FITC-Dex and the expression of claudin-5 or

VE-cadherin further demonstrated the importance of claudin-5 and VE-cadherin in

BBB integrity.

Neurons and astrocytes, as important components of the NVU, may be involved

in the formation and maintenance of BBB function. Several reports showed that

co-culture with astrocytes CC-2565 or SC-1810 enhanced the TEER of hCMEC/D3

cells (Hatherell et al., 2011), whereas co-culture of RBE4.B cells with primary rat

neurons and astrocytes showed a lower permeability of [^{3}H] sucrose than

mono-culture of RBE4.B cells (Schiera Gabriella. et al., 2005). Similarly, co-cultured

with hCMEC/D3 cells and 1321N1 (astrocytes) or 1321N1+SH-SY5Y cells possess

higher TEER values and lower permeability to Lucifer yellow than hCMEC/D3 alone.

Compared with double co-culture of hCMEC/D3 and 321N1 cells, the triple

co-culture of hCMEC/D3, 1321N1, and SH-SY5Y cells showed higher TEER values

(Barberio et al., 2022). Our study also demonstrated that co-culture with U251 and/or

SH-SY5Y cells significantly lowered BBB permeability and upregulated VE-cadherin

or claudin-5 expression in hCMEC/D3 cells.

Next, we focused on the molecular mechanisms by which neurons and possibly

astrocytes upregulated the expression of VE-cadherin and claudin-5 in BMECs.

Co-culture with SH-SY5Y cells significantly upregulated claudin-5 and VE-cadherin

expression in hCMEC/D3 cells. In the double co-culture with SH-SY5Y cells or triple

co-culture BBB models, hCMEC/D3 cells were not in direct contact with SH-SY5Y

cells, indicating that the interaction between SH-SY5Y and hCMEC/D3 cells

depended on the release of some active compounds. It was consistent with the above

deduction that the S-CM also markedly induced claudin-5 and VE-cadherin

expression. Different from S-CM, U-CM mainly upregulated the expression of

VE-cadherin and just had a slight impact on claudin-5 expression. In general, neurons

but also astrocytes secrete some neurotrophic factors (Lonka-Nevalaita et al., 2010;

Sweeney et al., 2019) that could contribute to the maintenance of structural stability

of BBB. High levels of bFGF, GDNF, IGF-1, and TGF-β were detected in U-CM,

S-CM, and US-CM. Further research showed that only GDNF induced VE-cadherin

and claudin-5 expression in hCMEC/D3 cells in a concentration-dependent manner,

and the anti-GDNF antibody attenuated claudin-5 and VE-cadherin expression

induced by US-CM or GDNF. These results indicated that neurons upregulated

claudin-5 and VE-cadherin expression through GDNF secretion. Levels of GDNF in

S-CM were higher than those in U-CM, which seemed to partly explain why S-CM

has a stronger promoting effect on claudin-5 than U-CM. The roles of GDNF in BBB

maintenance and the regulation of claudin-5 and VE-cadherin expression were further

confirmed using brain-specific *Gdnf* knockdown C57BL/6J mice. Consistent with our

in vitro results, brain-specific *Gdnf* silencing greatly increased BBB penetration of

fluorescein and FITC-Dex, accompanied by the downregulation of claudin-5 and

VE-cadherin expression.

Generally, GDNF activates several signal transduction pathways, such as the

PI3K/AKT and MAPK signaling pathways (Fielder et al., 2018) by forming a

heterohexameric complex with two GFRα molecules and RET receptors. It was also

reported that GDNF improved BBB barrier function due to the activation of

MAPK/ERK1 (Dong et al., 2018) and PI3K/AKT (Liu D. et al., 2022) signaling.

Consistent with previous reports, we found that signaling inhibitors SPP-86, PP2,

LY294002, and U0126 markedly attenuated US-CM- and GDNF-induced claudin-5

and VE-cadherin expression in hCMEC/D3 cells, inferring that GDNF promoted

claudin-5 and VE-cadherin expression via activating both the PI3K/AKT and

MAPK/ERK pathways.

Signal transduction pathways control gene expression by modifying the function

of nuclear transcription factors. The nuclear accumulation of FOXO1 negatively

regulates claudin-5 expression (Beard et al., 2020; Taddei et al., 2008). FOXO1 is an

important target of the PI3K/AKT signaling axis (Zhang et al., 2011), and

AKT-induced phosphorylation of FOXO1 results in cytoplasmic FOXO1

accumulation and decreases nuclear FOXO1 accumulation (Zhang et al., 2011). From

these results, we inferred that GDNF-induced claudin-5 expression in hCMEC/D3

cells may be involved in the activation of PI3K/AKT/FOXO1 pathway. Similarly,

both US-CM and GDNF increased cytoplasmic p-FOXO1 and decreased nuclear

FOXO1 in hCMEC/D3 cells, which was reversed by LY29002 rather than U0126.

Roles of FOXO1 in GDNF-induced claudin-5 were verified through silencing and

overexpressing *FOXO1* in hCMEC/D3 cells. *FOXO1* silencing enhanced claudin-5

but not VE-cadherin expression, accompanied by a decline in total and nuclear

FOXO1. In contrast, *FOXO1* overexpression significantly decreased claudin-5

expression. In hCMEC/D3 cells overexpressing *FOXO1*, GDNF lost its promotion

effect on claudin-5 expression. It was noticed that U0126 attenuated the

GDNF-induced upregulation of claudin-5 but had minimal impact on

GDNF-mediated FOXO1 phosphorylation and the decline of nuclear FOXO1. In

Sertoli cells, it was found that testosterone stimulated claudin-5 expression by

activating the RAS/RAF/ERK/CREB pathway (Bulldan et al., 2016). The

transcriptional regulation of claudin-5 by CREB was confirmed in bEnd.3 (mouse

brain endothelial cell). CREB overexpression significantly increased both gene and

protein expression of claudin 5. In contrast, depletion of CREB decreased claudin-5

expression in gene and protein levels (Li Y. et al., 2022). However, another report

showed that in human lung microvascular endothelial cells, U0126 attenuated

phosphorylation of ERK and lipopolysaccharide-stimulated claudin-5 damage,

indicating activation of MAPK/ERK pathway impaired rather than promoted

claudin-5 expression (Liu Y. et al., 2019). Thus, the real mechanisms that

GDNF-induced activation of the RET/MAPK/ERK pathway promotes claudin-5

expression need further investigation.

ETS1 is a member of the ETS family that plays an important role in cell

adhesion, migration, and blood vessel information. ETS1 binds to an ETS-binding site

located in the proximal region of the VE-cadherin promoter, controlling VE-cadherin

expression (Lelie`vre et al., 2001). Several studies have demonstrated the role of

ETS1 in the regulation of VE-cadherin expression. For example, IFN-γ and TNF-α

impaired BBB integrity by decreasing ETS1-induced VE-cadherin expression (Luo et

al., 2022). *ETS1* silencing reduced VE-cadherin expression in umbilical vein

endothelial cells (Colas-Algora et al., 2020). In contrast, *ETS1* overexpression

induced VE-cadherin expression in mouse brain capillary endothelial cells and

fibroblasts (Lelie`vre et al., 2000). As expected, *ETS1* silencing resulted in a decrease

in the expression of VE-cadherin in hCMEC/D3 cells, but claudin-5 expression

remained unaffected. Additionally, *ETS1* silencing removed the inductive effect of

GDNF on VE-cadherin expression while unaffecting the upregulation of claudin-5

induced by GDNF. The activation of the PI3K/AKT (He et al., 2023; Hui et al., 2018)

and MAPK/ERK (Watanabe et al., 2004) pathways promotes ETS1 expression. Our

findings also demonstrated that US-CM and GDNF significantly increased total and

nuclear ETS1 levels, which were eliminated by signaling inhibitors LY294002 and

U0126. Both our results and previous research provide evidence that GDNF

upregulates ETS1 expression via the activation of PI3K/AKT and MAPK/ERK

signaling to promote VE-cadherin expression.

The present study showed that the characteristics of the *in vitro* triple co-culture

BBB model were superior to those of the hCMEC/D3 mono-culture BBB model. The

hCMEC/D3 mono-culture and triple co-culture BBB models were used to try to

predict the *PS* values of 18 drugs by comparing them with their observations. The

prediction success rate (14/18) of triple co-culture BBB model was greater than that

of hCMEC/D3 mono-culture BBB model (7/18). However, poor predictions were

observed for verapamil, amitriptyline, fluoxetine, and clozapine, which may partly be

due to inaccuracies in their $f_{u, brain}$ values. These four drugs are high protein-binding

drugs. Due to the methodological discordance and the limitations of the historic

devices for these drugs, the $f_u \leq 0.01$ maybe with low confidence and accuracy

(Bowman et al., 2018; Di et al., 2017). For example, $f_{u,\,brain}$ values of amitriptyline

from different reports display large differences (0.002 (Sanchez-Dengra et al., 2021),

0.0071 (Weber et al., 2013)). The same large differences of $f_{u,\,brain}$ values were also

found in fluoxetine (0.0023 (Maurer et al., 2005), 0.004 (Summerfield et al., 2007),

0.00094 (Liu X. et al., 2005)), and clozapine (0.0056 (Bhyrapuneni et al., 2018),

0.014 (Cremers et al., 2012), 0.0094 (Summerfield et al., 2007)).

In summary, the developed triple co-culture BBB model outperformed the

mono-culture or double co-culture BBB models, mainly attributing to the fact that

neurons and possibly astrocytes upregulated claudin-5 and VE-cadherin expression by

secreting GDNF. GDNF upregulates claudin-5 expression by activating the

PI3K/AKT/FOXO1 and MAPK/ERK pathways, and the activation of PI3K/AKT and

MAPK/ERK signaling also promotes ETS1 to modify VE-cadherin expression.

Additionally, the developed *in vitro* triple co-culture BBB model accurately predicted

the *in vivo* BBB permeability of CNS drugs. This suggests the potential of our triple

co-culture BBB model for utilization in CNS candidates screening during the drug

development process (Figure 8).

Materials and Methods

Key Resources Table				
Reagent type (species) or resource	**Designation**	**Source or reference**	**Identifiers**	**Additional information**
Antibody	β-actin (mouse monoclonal)	Proteintech	66009 RRID:AB_2883475	1:10000
Antibody	GAPDH (mouse monoclonal)	Absin	Abs830030ss RRID:AB_2811228	1:50000
Antibody	β-Tubulin (mouse monoclonal)	Fdbio Science	FD0064 RRID: AB_3076327	1:10000
Antibody	Lamin B (mouse monoclonal)	Proteintech	66095 RRID:AB_2721256	1:10000
Antibody	Claudin-5 (rabbit polyclonal)	Wanleibio	WL03731 RRID: AB_3076320	1:1000
Antibody	Occludin (rabbit polyclonal)	Wanleibio	WL01996 RRID:AB_3076325	1:500
Antibody	ZO-1 (mouse polyclonal)	Proteintech	21773-1-AP RRID:AB_10733242	1:5000
Antibody	VE-cadherin (rabbit polyclonal)	Wanleibio	WL02033 RRID:AB_3076321	1:1000
Antibody	β-catenin (rabbit polyclonal)	Wanleibio	WL0962a RRID: AB_3076323	1:5000
Antibody	BCPR (rabbit polyclonal)	CST	4477S RRID:AB_10544928	1:1000
Antibody	P-gp (rabbit	CST	13978S RRID:AB_2798357	1:1500

	monoclonal)			
Antibody	p-AKT (mouse monoclonal)	Huaan Biotechnology	ET1607 RRID:AB_2940863	1:2000
Antibody	AKT (mouse monoclonal)	Huaan Biotechnology	ET1609 RRID:AB_3069857	1:2000
Antibody	p-ERK (rabbit polyclonal)	Proteintech	28733-1-AP RRID:AB_2881202	1:1000
Antibody	ERK (rabbit polyclonal)	Proteintech	11257-1-AP RRID:AB_2139822	1:1000
Antibody	p-p38 (rabbit monoclonal)	CST	4511S RRID:AB_10890701	1:250
Antibody	p38 (rabbit monoclonal)	CST	8690S RRID:AB_10999090	1:250
Antibody	p-JNK (rabbit polyclonal)	Wanleibio	WL01813 RRID:AB_2910628	1:1000
Antibody	JNK (rabbit polyclonal)	Wanleibio	WL01295 RRID:AB_3064853	1:1000
Antibody	FOXO1 (rabbit polyclonal)	Proteintech	18592 RRID:AB_2934932	1:1000
Antibody	p-FOXO1 (rabbit polyclonal)	Wanleibio	WL03634 RRID: AB_3076326	1:1000
Antibody	ETS1 (mouse monoclonal)	Santa Cruz	sc-55581 RRID:AB_831289	1:500
Antibody	ETS1 (mouse monoclonal)	Proteintech	66598 RRID:AB_2881958	1:3000
cell line (*Homo sapiens)*	hCMEC/D3 cells	JENNIO Biological Technology	Cat#JNO-H0520	Authenticated (STR profiling)

cell line (*Homo sapiens*)	U251 cells	Cellcook Biological Technology	Cat#CC1701	Authenticated (STR profiling)
cell line (*Homo sapiens*)	SH-SY5Y cells	Cellcook Biological Technology	Cat#CC2101	Authenticated (STR profiling)
Software, algorithm	GraphPad Prism	Version 8.0.2	RRID:SCR_002798	
Software, algorithm	BioTek Cytation 5 Cell Imaging Multi-Mode Reader	BioTek Cytation 5	RRID:SCR_019732	
Software, algorithm	QuantStudio 3 Real Time PCR System	QuantStudio 3	RRID:SCR_018712	
commercial assay or kit	GDNF-Elisa kit	R&D system	Cat#212-GD	
commercial assay or kit	bFGF-Elisa kit	Elabscience	Cat#E-EL-H6042	
commercial assay or kit	IGF-1-Elisa kit	Elabscience	Cat#E-EL-H0086	
commercial assay or kit	TGF-β-Elisa kit	Elabscience	Cat#E-EL-0162	
peptide, recombinant protein	GDNF	R&D system	Cat#212-GD	
peptide, recombinant protein	bFGF	MedChemExpress	Cat#HY-P7331	
peptide, recombinant protein	IGF-1	MedChemExpress	Cat#HY-P70783	
peptide, recombinant	TGF-β	MedChemExpress	Cat#HY-P70543	

protein				
chemical compound, drug	SPP-86	MedChemExpress	Cat#HY-110193	
chemical compound, drug	PP2	MedChemExpress	Cat#HY-13805	
chemical compound, drug	LY294002	MedChemExpress	Cat#HY-10108	
chemical compound, drug	U0126	MedChemExpress	Cat#S1102	
chemical compound, drug	SP600125	Selleck	Cat#HY-12041	
chemical compound, drug	SB203580	MedChemExpress	Cat#HY-10256	

Cell culture

Rat brain microvascular endothelial cells were isolated from Sprague-Dawley

rats (male, 7–10 days old, Sino-British Sippr/BKLaboratory Animal Ltd, Shanghai,

China) as the described method (Ji et al., 2013; Li M. S. et al., 2013) and cultured in

Dulbecco's Modified Eagle Media (DMEM)/F12 (#12500-039, Gibco, Carlsbad, CA,

USA) containing 10% fetal bovine serum (FBS) (#10100147C, Gibco, Carlsbad, CA,

USA) and 62.5 μg/mL penicillin and 100 μg/mL streptomycin (SunShine

Biotechnology Co., ltd. Nanjing, China). Then, hCMEC/D3, U251, and SH-SY5Y

cells were cultured in DMEM/F12 containing 10% FBS, 62.5 μg/mL penicillin and

100 μg/mL streptomycin.

Establishment of the triple co-culture model

The establishment process of the triple co-culture model is illustrated in Figure 1A. SH-SY5Y cells were seeded at a density of 4.5×10^4 cells/cm^2 in plates and differentiated with 10 μM retinoic acid (Sigma-Aldrich, St. Louis, MO, USA) for 72 h. The differentiated SH-SY5Y cells were cultured in the fresh DMEM/F12 medium containing 10% FBS. U251 cells were seeded at 2×10^4 cells/cm^2 on the bottom of Transwell inserts (0.4 μm pore size, SPL Life Sciences, Pocheon, Korea) coated with rat-tail collagen (Corning Inc., Corning, NY, USA). Next, the inserts were suspended in plate wells containing the culture medium after 5 h of incubation. After 24 h of incubation, hCMEC/D3 cells were seeded on the apical side of the inserts at 3×10^4 cells/cm^2 and cultured for another 48 h. Then, the inserts seeded with U251 and hCMEC/D3 cells were suspended in plates seeded with differentiated SH-SY5Y cells and co-cultured for another 6 days. The culture medium was replaced every 24 h.

Three other types of BBB *in vitro* models, namely the hCMEC/D3 cell mono-culture model, the double co-culture with SH-SY5Y cells BBB model, and the double co-culture with U251 cells BBB model were also established (Figure 1B). TEER was periodically measured using a Millicell-ERS (MERS00002) instrument (Millipore, Billerica MA, USA) to monitor cell confluence and development of tight junctions.

In vitro BBB permeability study

The culture medium was removed from the apical and basolateral sides of the inserts and washed twice with preheated Hank's balanced salt solution (HBSS). Fresh

HBSS was then added to both the apical and basolateral chambers. After 15 min of

preincubation, HBSS in the apical and basolateral chambers was replaced with HBSS

containing FITC-dextran 3–5 kDa (FITC-Dex) (Sigma-Aldrich, St. Louis, MO, USA),

fluorescein sodium (Sigma-Aldrich, St. Louis, MO, USA), or other tested agents and

blank HBSS, respectively. Next, 200 μL aliquots were collected from the basolateral

chamber after 30 min of incubation at 37 ℃. The concentrations of the tested agents

in the basolateral chamber were measured.

The apparent permeability coefficients (P_{app}, cm/s) of the tested agents across the

in vitro BBB model were calculated using the equation (Tavelin et al., 2002):

$$P_{app}=(Q/1800)/(S \times C_0) \tag{1}$$

where S is the surface area of the insert membrane (0.33 cm^2 for 6.5 mm inserts, 4.46

cm^2 for 24 mm inserts), Q is the transported amount of the tested agents transported

from the donor chamber to the receiver chamber for 30 min (1800 s), and C_0 is the

initial concentration of the tested agents in the donor chamber.

The quantification methods of prazosin, verapamil, lamotrigine

Prazosin, verapamil, and lamotrigine (Aladdin, Shanghai, China) were analyzed

by high-performance liquid chromatography (Shimadzu, Kyoto, Japan) with

YMC-Triart C18 column (5 μm, 150 × 4.6 mm, YMC America Inc., Allentown, PA,

USA). Prazosin and verapamil were detected using the RF-20A fluorescence detector.

Lamotrigine was detected using the SPD-20A ultraviolet detector. Samples were

centrifuged at 12000 rpm for 10 mins, then 150 μL supernatant was taken and used

for analysis. The run temperature was set at 40 ℃, the injection volume was 20 μL

and the flow rate was 1 mL/min. Initial concentrations in donor chamber and other

chromatographic conditions of drugs are summarized in Table 1.

The quantification methods of clozapine, venlafaxine, bupropion,

amantadine, carbamazepine, fluoxetine, amitriptyline, gabapentin,

midazolam, risperidone, olanzapine, mirtazapine, metoclopramide,

doxepin, donepezil

All compounds were purchased from Aladdin (Shanghai, China). Except for

prazosin, verapamil, and lamotrigine, the other compounds were analyzed by using

liquid chromatography-mass spectrometry (Shimadzu, Kyoto, Japan) with

YMC-Triart C18 column (5 μm, 150 × 2.0 mm, YMC America Inc., Allentown, PA,

USA). Each sample was mixed with 10 μL internal standard. Then 1 mL extraction

was added to each sample. The samples were vortex vibrated on the oscillator for 10

min, and then centrifuged at 4 °C and 12000 rpm for 10 mins. The supernatant solvent

was evaporated with nitrogen flow, then redissolved with 100 μL 40 % (v/v)

acetonitrile, and centrifuged at 4 °C and 15000 rpm for 10 min. The supernatants were

injected into LC-MS for analysis. The injection volume of each sample was 5 μL. The

mass charge ratio, extraction, and initial concentrations in donor chamber of drugs

were summarized in Table 2.

Cell proliferation analysis

On day 6 after co-culture, hCMEC/D3 cells were fixed with 4%

paraformaldehyde for 15 min and washed with phosphate buffered saline (PBS) for

three times. Next, the fixed cells were blocked with 5% goat serum for 2 h and

washed with PBS for four times. The blocked cells were incubated with DAPI

(Invitrogen, Carlsbad, CA, USA) and washed with PBS for four times. Cell numbers

were counted using Cytation5 (BioTek, Winooski, VT, USA).

Reverse transcription and Quantitative real-time PCR (qPCR)

Total RNA of cells was extracted using RNAiso Plus reagent (Takara Bio Inc.

Otsu, Shiga, Japan) and reverse transcribed using HiScript III RT SuperMix (Vazyme,

Shanghai, China) as the described method (Yang et al., 2023). Paired primers were

synthesized by Tsingke Biotech Co., Ltd (Beijing, China), and their sequences were

listed in Table 3. The SYBR Master Mix was purchased from Yeasen (Shanghai,

China). Then, qPCR was performed on the Applied Biosystems QuantStudio 3

real-time PCR system (Thermo Fisher Scientific, Waltham, MA, USA). The mRNA

levels of related genes were normalized to *ACTB* or *GAPDH* using the comparative

cycle threshold method.

Western blotting analysis

Whole-cell and tissue lysates, nucleoprotein, and cytoplasmic protein were

prepared using RIPA Lysis Buffer (Beyotime, Shanghai, China) as the described

method (Wu et al., 2021). Proteins were separated through sodium dodecyl

sulfate-polyacrylamide gel electrophoresis and transferred onto nitrocellulose or

polyvinylidene difluoride membranes. The membranes were blocked with 5% skim

milk and incubated with corresponding primary antibodies at 4 ℃ overnight. After

being washed with Tris-buffered saline Tween buffer, the membranes were incubated

with secondary antibodies (Cell Signaling Technology, MA, USA) at 1:3000 dilution:

Anti-mouse IgG, HRP-linked Antibody (#7076), Anti-rabbit IgG, HRP-linked

Antibody (#7074). Protein levels were visualized using a highly sensitive ECL

western blotting substrate and a gel imaging system (Tanon Science & Technology,

Shanghai, China).

Preparation of conditioned medium

Conditioned medium (CM) of U251 cells (U-CM), SH-SY5Y cells (S-CM), or

co-culture of U251 and SH-SY5Y cells (US-CM) were prepared. U251 cells were

seeded at the top of the insert membrane and suspended on 6-well plates seeded with

differentiated SH-SY5Y cells to co-culture U251 cells with SH-SY5Y cells. The

medium was collected every 24 h. The CMs were subsequently used for hCMEC/D3

cell culture after filtrating with 0.2 μm filters for 144 h. The levels of glia-derived

neurotrophic factor (GDNF), basic fibroblast growth factor (bFGF), insulin-like

growth factor-1 (IGF-1), and transforming growth factor-β (TGF-β) in CMs were

measured using corresponding ELISA kits according to the manufacturers'

instructions.

Neutralization of GDNF with Anti-GDNF Antibody

Exogenous and endogenous GDNF in the medium was neutralized with

anti-GDNF antibody (#AF-212-NA, R&D system, Minneapolis, MN, USA). 0.25, 0.5,

1.0 μg/mL anti-GDNF antibody was added into the US-CM or medium containing

200 pg/mL GDNF, and then the medium was preincubated at 4 °C for 1 h. The

hCMEC/D3 cells were incubated with 200 pg/mL GDNF or US-CM containing

anti-GDNF antibody or not for 6 days, and then cell lysate was collected for western

blot. The medium was replaced every 24 h.

Transfection of hCMEC/D3

Here, hCMEC/D3 cells were plated in the plates or culture dishes at 6×10^4

cells/cm^2 and transfected with 10 nM of negative control or human *FOXO1* and *ETS1*

small interfering RNA (siRNA) (Tsingke Biotechnology, Beijing, China) for 12 h

using Lipofectamine™ 3000 (Invitrogen, Carlsbad, CA, USA) reagent according to

the manufacturer's instructions. Cells were then incubated with a medium containing

GDNF for 72 h. The siRNA sequences of human *FOXO1* and *ETS1* were summarized

in Table 4.

FOXO1 Overexpression by plasmids

The plasmids encoding FOXO1 (EX-Z7404-M02) were constructed by

GeneCopoeia (Rockville, MD, USA). The hCMEC/D3 cells were plated in plates or

dishes at 6×10^4 cells/cm^2. They were subsequently transfected with 1 µg of negative

control or plasmids encoding FOXO1 6 h using Lipofectamine™ 3000 reagent

according to the manufacturer's instructions. Transfected cells were then incubated

with the medium containing GDNF for 72 h.

Animals

C57BL/6J mice (male, 4–5 weeks old, 16–18 g, 12 mice) were obtained from

Sino-British Sippr/BKLaboratory Animal Ltd (Shanghai, China). Mice were

maintained in groups under standard conditions with free access to food and water.

Animal studies were performed in accordance with the Guide for the Care and Use of

Laboratory Animals (National Institutes of Health) and approved by the Animal

Ethics Committee of China Pharmaceutical University (Approval Number:

202307003).

Brain-specific *Gdnf* knockdown and evaluation of BBB permeability

Mice were randomly divided into control (shNC) and *Gdnf* silencing (sh*Gdnf*)

groups (6 mice each group). The shRNA sequence of mice *Gdnf* was listed in Table 4.

The 2×10^9 viral genome each of pAAV-U6-shRNA (NC2)-CMV-EGFP or pAAV-

U6-shRNA (*Gdnf*)-CMV-EGFP (OBio Technology, Beijing, China) were injected into

the bilateral lateral ventricle area (relative to the bregma: anterior-posterior -0.3 mm;

medial-lateral ±1.0 mm; dorsal-ventral -3.0 mm) through intracerebroventricular (*i.c.v*)

infusion. Three weeks following *i.c.v* injection, BBB permeability and expression of

corresponding targeted proteins were measured in the mice.

A mixture of FITC-Dex (50 mg/kg) and fluorescein sodium (10 mg/kg) was

intravenously administered to experimental mice. Thirty minutes after the injection,

the mice were euthanized under isoflurane anesthesia, and brain tissue and plasma

samples were obtained quickly. The concentrations of FITC-Dex and fluorescein in

the plasma and brain were measured as previously described (Li P. et al., 2022; Zhou

Y. et al., 2019). No blinding was performed in animal studies.

The prediction of drug permeability across BBB using the developed in

vitro BBB model

The P_{app} values of 18 drugs – prazosin, verapamil, lamotrigine, clozapine,

venlafaxine, bupropion, amantadine, carbamazepine, fluoxetine, amitriptyline,

gabapentin, midazolam, risperidone, olanzapine, mirtazapine, metoclopramide,

doxepin, and donepezil – across the hCMEC/D3 cells mono-culture and triple

co-culture models were measured. The predicted *in vivo* permeability-surface area

product (*PS*, μL/min/g brain) values across BBB were calculated using the following

equation:

$$641 \qquad PS_{Pre} = \frac{P_{app} \times 60 \times VSA \times 1000}{f_{u,brain}} \qquad\qquad (2)$$

where VSA is the luminal area of the vascular space of brain, which was set to 150

cm^2/g (Fenstermacher et al., 1988), and $f_{u,\,brain}$ is the unbound fraction of brain.

The published *in vivo* brain permeability values were unified to observed *PS* (*PS*

Obs) by multiplying by VSA equal to 150 cm^2/g. The *PS Obs* and $f_{u,\,brain}$ of these 18

drugs were summarized in Table 5. If *PS Pre* values were within 0.5–2.0 folds of

observations, the prediction was considered successful.

Statistical analyses

All results are expressed as mean ± S.E.M. Data were analyzed using GraphPad

Prism software version 8.0.2 (GraphPad Software, La Jolla, CA, USA). *P* < 0.05 was

considered statistically significant. The unpaired Student's t-test or unpaired t-test

with Welch's correction for two-group comparisons, and one-way ANOVA followed

by Fisher's LSD test or Welch's ANOVA test was used for multiple group

comparisons. The simple linear regression analysis was used to examine the presence

of a linear relationship between two variables.

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Author Contributions

Lu Yang: Conceptualization, Methodology, Investigation, Data curation, and Writing–original draft preparation; **Zijin Lin:** Data curation, Formal analysis; **Ruijing Mu**: Visualisation, Software; **Wenhan Wu**: Formal analysis; **Hao Zhi**: Data curation; **Xiaodong Liu**: Supervision, Conceptualization, Project administration, Funding acquisition; **Hanyu Yang**: Supervision, Conceptualization, Funding acquisition; **Li Liu**: Supervision, Conceptualization, Project administration, Funding acquisition, Resources. All authors reviewed and approved the final version of this manuscript.

Declaration of interests

The authors declare no competing interests.

Data availability

All data are available in the manuscript and supporting files; source data files for western blots have been provided for all figures.

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Figure 1. The effects of co-culture with U251 and/or SH-SY5Y cells on the integrity of

hCMEC/D3 and BBB function.

(**A**): Schematic diagram of the establishment process of the triple co-culture BBB model. (**B**):

Four types of BBB models: h: hCMEC/D3 cells mono-culture model; h+S: double co-culture

with SH-SY5Y cells BBB model; h+U: double co-culture with U251 cells BBB model;

h+S+U: triple co-culture model. (**C**): The transendothelial electrical resistance (TEER) of four

models, and the TEER values in day 6 were compared. Blank: the inserts without seeding

cells. n=4. (**D**): The TEER of hCMEC/D3 and U251 cells monolayer. U: U251 cells

mono-culture model. n=4. (**E** and **F**): The apparent permeability coefficient (P_{app}, $\times 10^{-6}$ cm/s)

of fluorescein (NaF) and FITC-Dextran 3–5 kDa (FITC-Dex) of four BBB models. n=4. (**G**):

The P_{app} ($\times$ 10^{-6} cm/s) of NaF and FITC-Dex across the blank inserts, and hCMEC/D3 or

U251 mono-culture models. n=4. (**H**): The cell density of hCMEC/D3 cells after

mono/co-culturing. n=3. (**I** and **J**): The mRNA levels of tight junction proteins, adherent

junction proteins, and transporters. n=4. (**K** and **L**): The protein expression levels of claudin-5

(CLDN-5), ZO-1, occludin in hCMEC/D3 cells. n=4. (**M** and **N**): The protein expression

levels of VE-cadherin (VE-Cad), β-catenin, and BCRP in hCMEC/D3 cells. n=4. (**O** and **P**):

The correlations between the P_{app} ($\times$ 10^{-5} cm/s) of NaF and claudin-5 expression (O), or

VE-cadherin expression (P). (**Q** and **R**): The correlation between P_{app} ($\times$ 10^{-5} cm/s) of

FITC-Dex and claudin-5 expression (Q), or VE-cadherin expression (R). The above data are

shown as the mean $\pm$ S.E.M. Statistical significance was determined using one-way ANOVA

with Fisher's LSD test or Welch's ANOVA test. The simple linear regression analysis was

used to examine the presence of a linear relationship between two variables.

Figure 1—source data1 (Raw and annotated data files for western blot)

Figure 1—source data2 (Summary of original data and analysis)

1029

Figure 2. Neurons and astrocytes upregulated claudin-5 and VE-cadherin expression in hCMEC/D3 cells due to GDNF secretion.

(**A**): Effects of conditioned medium (CM) on claudin-5 and VE-cadherin expression. Con: the normal medium; S-CM: the CM from SH-SY5Y cells; U-CM: the CM from U251 cells;

US-CM: the CM from SH-SY5Y cells co-culture with U251 cells. (**B**): The mRNA expression

levels of neurotrophic factors in hCMEC/D3, U251, and SH-SY5Y cells. (**C**): Concentrations

of GDNF, bFGF, IGF-1, and TGF-β in the CMs. H-CM: the CM from hCMEC/D3 cells.

(**D-G**): Effects of GDNF (D), IGF-1 (E), bFGF (F), and TGF-β (G) on the expression of

claudin-5 and VE-cadherin. The dosages have been marked in the figure. (**H** and **I**): Effects of

anti-GDNF antibody on the upregulation of claudin-5 and VE-cadherin expression induced by

US-CM (**H**) or 200 pg/mL GDNF (**I**). (**J**): Effects of 200 pg/mL GDNF and US-CM on

claudin-5 and VE-cadherin expression in primary rat brain microvascular endothelial cells. (**K**

and **L**): Effects of 3 μM RET tyrosine kinase inhibitor SSP-86 (SPP), and 5 μM Src family

kinases inhibitor PP2 on the upregulation of claudin-5 and VE-cadherin induced by 200

pg/mL GDNF (**K**) and US-CM (**L**). (**M** and **N**): Effects of SPP on the TEER (M), the

permeability of NaF, and FITC-Dex (N) of the hCMEC/D3 mono-culture BBB model treating

200 pg/mL GDNF. The TEER values of day 6 were compared. (**O** and **P**): Effects of PP2 on

the TEER (O), the permeability of NaF, and FITC-Dex (P) of the hCMEC/D3 mono-culture

BBB model treating 200 pg/mL GDNF. The TEER values of day 6 were compared. (**Q** and **R**):

Effects of SPP on the TEER (Q), the permeability of NaF, and FITC-Dex (R) of the triple

co-culture BBB model. The TEER values of day 6 were compared. (**S** and **T**): Effects of PP2

on the TEER (S), the permeability of NaF, and FITC-Dex (T) of the triple co-culture BBB

model. The TEER values of day 6 were compared. The above data are shown as the mean ±

S.E.M.; n=4. Statistical significance was determined using one-way ANOVA with Fisher's

LSD test or Welch's ANOVA test.

Figure 2—source data1 (Raw and annotated data files for western blot)

Figure 2—source data2 (Summary of original data and analysis)

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Figure 3. GDNF induced claudin-5 and VE-cadherin expression in hCMEC/D3 cells by activating the PI3K/AKT and MAPK/ERK signaling.

(**A**): Effects of 3 μM LY294002 (LY) on the levels of claudin-5, VE-cadherin, and p-AKT/AKT in hCMEC/D3 cells stimulated by 200 pg/mL GDNF. (**B**): Effects of 2 μM U0126 (U0) on the levels of claudin-5, VE-cadherin, and p-ERK/ERK in hCMEC/D3 cells stimulated by 200 pg/mL GDNF. (**C**): Effects of 5 μM SP600125 (SP) on the levels of claudin-5, VE-cadherin, and p-JNK/JNK in hCMEC/D3 cells stimulated by 200 pg/mL GDNF. (**D**): Effects of 2 μM SB203580 (SB) on the levels of claudin-5, VE-cadherin, and p-p38/p38 in hCMEC/D3 cells stimulated by 200 pg/mL GDNF. (**E**): Effects of anti-GDNF antibody on the GDNF-induced p-AKT/AKT and p-ERK/ERK ratios. (**F**): Effects of 3 μM LY on the levels of claudin-5, VE-cadherin, and p-AKT/AKT in hCMEC/D3 cells stimulated by US-CM. (**G**): Effects of 2 μM U0 on the levels of claudin-5, VE-cadherin, and p-ERK/ERK in hCMEC/D3 cells stimulated by US-CM. (**H**): Effects of 5 μM SP on the levels of claudin-5,

VE-cadherin, and p-JNK/JNK in hCMEC/D3 cells stimulated by US-CM. (**I**): Effects of 2

μM SB on the levels of claudin-5, VE-cadherin, and p-p38/p38 in hCMEC/D3 cells

stimulated by 2 US-CM. (**J**): Effects of anti-GDNF antibody on the US-CM-induced

p-AKT/AKT and p-ERK/ERK ratios. The above data are shown as the mean ± S.E.M.; n=4.

Statistical significance was determined using one-way ANOVA with Fisher's LSD test or

Welch's ANOVA test.

Figure 3—source data1 (Raw and annotated data files for western blot)

Figure 3—source data2 (Summary of original data and analysis)

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Figure 4. GDNF induced the claudin-5 expression in hCMEC/D3 cells by activating the PI3K/AKT/FOXO1 pathway.

(**A** and **B**): Effects of US-CM and GDNF on the phosphorylated FOXO1 (p-FOXO1)/FOXO1 ratio, total FOXO1 expression (A), cytoplasmic p-FOXO1, cytoplasmic FOXO1, and nuclear FOXO1 expression (B). (**C** and **D**): The expression levels of total and nuclear FOXO1 (C), claudin-5, and VE-cadherin (D) in hCMEC/D3 cells transfected with *FOXO1* siRNA (siFOXO1). NC: negative control. (**E**): Effects of *FOXO1* overexpression (FOXO1-OE) and GDNF on the expression levels of claudin-5, total FOXO1, and nuclear FOXO1. FOXO1-NC: negative control plasmids. (**F**): Effects of LY and U0 on GDNF-induced alterations of total p-FOXO1/FOXO1 ratio, cytoplasmic p-FOXO1, cytoplasmic FOXO1, and nuclear FOXO1 expression. (**G**): Effects of LY on the claudin-5 expression upregulated by siFOXO1. The above data are shown as the mean ± S.E.M.; n=4. Statistical significance was determined using one-way ANOVA with Fisher's LSD test.

Figure 4—source data1 (Raw and annotated data files for western blot)

Figure 4—source data2 (Summary of original data and analysis)

Figure 5. GDNF induced VE-cadherin expression in hCMEC/D3 cells by activating the PI3K/AKT/ETS1 and MAPK/ERK/ETS1 pathways.

(**A** and **B**): Effects of US-CM and GDNF on total (A) and nuclear (B) ETS1 expression. (**C** and **D**): Effects of LY and U0 on 200 pg/mL GDNF-induced total (C) and nuclear (D) ETS1 expression. (**E** and **F**): Expression levels of total (E) and the nuclear ETS1 (F) in hCMEC/D3 cells after knocking down *ETS1* with siRNA (siETS1). (**G-I**): Effects of GDNF and siETS1 on the expression of VE-cadherin and claudin-5. The above data are shown as the mean ± S.E.M.; n=4. Statistical significance was determined using one-way ANOVA with Fisher's LSD test.

Figure 5—source data1 (Raw and annotated data files for western blot)

Figure 5—source data2 (Summary of original data and analysis)

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Figure 6. The deficiency of brain GDNF in mice increased the permeability of BBB and

reduced claudin-5 and VE-cadherin expression in mice brains.

(**A**): Experimental configuration of AAV-GFP (shNC) or AAV-sh*Gdnf* (sh*Gdnf*)

intracerebroventricular injection. (**B**): Effects of brain-specific *Gdnf* silencing on the

expression levels of GDNF, claudin-5, and VE-cadherin in the brains. (**C**-**E**): Effects of

brain-specific *Gdnf* silencing on NaF levels in plasma (C), brain (D), and the ratio of brain to

plasma (E). (**F**-**H**): Effects of brain-specific *Gdnf* silencing on FITC-Dex levels in plasma (F),

brain (G), and the ratio of brain to plasma (H). (**I**-**K**): The expression ratios of p-AKT/AKT

(I), p-ERK/ERK (J), and p-FOXO1/FOXO1 (K) in the brains of *Gdnf* silencing mice. (**L**):

The expression level of ETS1 in the brains of *Gdnf* silencing mice. The above data are shown

as the mean ± S.E.M.; n=6. Statistical significance was determined using the unpaired t-test or

unpaired t-test with Welch's correction.

Figure 6—source data1 (Raw and annotated data files for western blot)

Figure 6—source data2 (Summary of original data and analysis)

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Figure 7. *In vitro*/*in vivo* correlation (IVIVC) assay of BBB permeability.

(**A**): The comparison of the estimated permeability coefficient-surface area product (PS_{Mono})

recalculated from $P_{app, Mono}$ with the observed *in vivo* PS values (PS_{Obs}). (**B**): The comparison

of the estimated permeability coefficient-surface area product (PS_{Triple}) recalculated from $P_{app, Triple}$

with the observed *in vivo* PS values (PS_{Obs}). The solid line represents a perfect prediction,

and the dashed lines represent the 0.5-2 folds of their observations. The PS_{Obs} values were

determined by *in situ* brain perfusion in rodents, which were collected from the literature.

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Figure 8. The mechanism of neurons and astrocytes induced the integrity of brain

endothelial cells. Neurons but also astrocytes trigger the activation of PI3K/AKT and

MAPK/ERK pathways in brain endothelial cells by GDNF secretion, which in turn regulates

transcription factors of claudin-5 (FOXO1) and VE-cadherin (ETS1) to promote claudin-5

and VE-cadherin expression and leads to the enhancement of BBB integrity. Meanwhile, with

the increase in barrier integrity, the *in vitro* BBB model also obtained a stronger *in vivo*

1139 correlation.

1140

1141 **Table 1** Initial concentrations in donor chamber and chromatographic conditions of prazosin,

1142 verapamil, and lamotrigine

Compound	Concentration	Wavelength
Prazosin	5 μM	Ex: 250 nm
		Em: 390 nm
Verapamil	5 μM	Ex: 280 nm
		Em: 310 nm
Lamotrigine	6 μM	220nm

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1144 **Table 2** The summary of mass charge ratio, extraction, initial concentrations in donor

1145 chamber

Compound	Concentration	Mass charge ratio $[M+H]^+$	Extraction
Amantadine	3 μM	181	Water-saturated N-butanol
Amitriptyline	1.5 μM	278	Ethyl acetate
Bupropion	3 μM	240	Ethyl acetate
Carbamazepine	3 μM	237	Ethyl acetate
Clozapine	4 μM	327	Ethyl acetate
Donepezil	3 μM	380	Methyl tert-butyl ether
Doxepin	4 μM	317	Methyl tert-butyl ether
Fluoxetine	3 μM	310	Ethyl acetate
Gabapentin	10 μM	172	Ethyl acetate
Metoclopramide	4 μM	301	Ethyl acetate
Midazolam	3 μM	327	Ethyl acetate
Mirtazapine	3 μM	266	Methyl tert-butyl ether
Olanzapine	3 μM	313	Methyl tert-butyl ether
Risperidone	4 μM	427	Methyl tert-butyl ether
Venlafaxine	10 μM	278	Ethyl acetate

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Table 3 Primer sequences for qPCR for indicted genes

Gene (protein)	Forwards Primer, 5'→3'	Reverse Primer, 3'→5'
ACTB (*β*-actin)	GGACTTCGAGCAAGAGATGG	AGCACTGTGTTGGCGTACAG
GAPDH (GAPDH)	TGTGGGCATCAATGGATTTGG	ACACCATGTATTCCGGGTCAAT
CLDN5 (claudin-5)	CTCTGCTGGTTCGCCAACAT	CAGCTCGTACTTCTGCGACA
OCLN (occludin)	ACAAGCGGTTTTATCCAGAGTC	GTCATCCACAGGCGAAGTTAAT
TJP1 (ZO-1)	ACCAGTAAGTCGTCCTGATCC	TCGGCCAAATCTTCTCACTCC
CDH5 (VE-cadherin)	AAGCGTGAGTCGCAAGAATG	TCTCCAGGTTTTCGCCAGTG
ABCB1 (P-gp)	TTGCTGCTTACATTCAGGTTTCA	AGCCTATCTCCTGTCGCATTA
ABCG2 (BCRP)	ACGAACGGATTAACAGGGTCA	CTCCAGACACACCACGGAT
SLC22A1 (OCT1)	ACGGTGGCGATCATGTACC	CCCATTCTTTTGAGCGATGTGG
SLC22A2 (OCT2)	CATCGTCACCGAGTTTAACCTG	AGCCGATACTCATAGAGCCAAT
SLC22A8 (OAT3)	ATGGCCCAGTCTATCTTCATGG	GACGGTGCTCAGGGTAATGC
SLCO1A1 (OATP1A1)	TAATGTGGGTGTACGTCCTAGT	GCTCCTGTTTCTACAAGCCCAA
GDNF (GDNF)	GCAGACCCATCGCCTTTGAT	CCACACCTTTTAGCGGAATGC
BDNF (BDNF)	CTACGAGACCAAGTGCAATCC	AATCGCCAGCCAATTCTCTTT
NGF (NGF)	TGTGGGTTGGGGATAAGACCA	GCTGTCAACGGGATTTGGGT
IGF1 (IGF-1)	GCTCTTCAGTTCGTGTGTGGA	GGTCATGGATGGACCTTACTGT
VEGFA (VEGF)	CCCACTGAGGAGTCCAACAT	AAATGCTTTCTCCGCTCTGA
FGF2 (bFGF)	AGAAGAGCGACCCTCACATCA	CGGTTAGCACACACTCCTTTG
TGFB1 (TGF-*β*)	GGCCAGATCCTGTCCAAGC	GTGGGTTTCCACCATTAGCAC

Table 4 The target sequences for siRNA or shRNA

Gene	Target sequence
ETS1	CGCTATACCTCGGATTACT
FOXO1	AATCTCCTAGGAGAAGAGCTG
Gdnf	GCCAGTGTTTATCTGATAC

1151 **Table 5.** The unbound fraction in brain ($f_{u, brain}$), the observed PS_{Obs}, and the predicted PS (PS_{Pre}), P_{app} across the hCMEC/D3 mono-culture model ($P_{app, Mono}$) and triple co-culture model ($P_{app, Triple}$) of the tested drugs. n=4.

Compounds	$f_{u, brain}$	PS_{Obs} μl/min/g	$P_{app, Mono}$ cm/s×10⁻⁶	$PS_{Pre, Mono}$ μl/min/g	$P_{app, Triple}$ cm/s×10⁻⁶	$PS_{Pre, Triple}$ μl/min/g
Amantadine	0.1985[a]	11.61[b]	6.84±1.90	31.02	3.64±0.53	16.52
Amitriptyline	0.01[c]	459.00[k]	15.24±1.28	1371.60	14.61±0.54	1314.90
Bupropion	0.12[d]	151.92[b]	15.19±0.40	113.96	11.34±0.88	85.06
Carbamazepine	0.116[e]	95.94[b]	34.37±2.51	266.69	11.71±0.30	90.87
Clozapine	0.014[f]	226.08[b]	38.97±1.08	2505.26	12.87±4.11	827.27
Donepezil	0.07[g]	158.13[b]	20.91±1.49	268.84	14.47±1.69	186.04
Doxepin	0.025[b]	219.24[b]	16.88±2.17	607.68	10.66±1.84	383.76
Fluoxetine	0.004[b]	269.82[b]	11.48±1.69	2583.00	9.97±2.05	2243.25
Gabapentin	0.782[b]	16.29[b]	16.75±3.23	19.28	8.78±0.46	10.10
Lamotrigine	0.273[b]	12.60[b]	14.26±0.74	47.01	5.97±0.22	19.69
Metoclopramide	0.365[b]	12.51[b]	14.14±2.87	34.87	6.63±0.84	16.34
Midazolam	0.045[h]	272.70[b]	25.30±1.99	506.00	19.09±0.48	381.80
Mirtazapine	0.08[b]	191.25[b]	22.96±0.89	258.30	17.86±0.43	200.93
Olanzapine	0.034[b]	227.97[b]	24.33±7.60	644.03	12.49±1.06	330.62
Prazosin	0.09[i]	16.92[j]	5.61±0.76	56.09	2.81±1.04	28.10
Risperidone	0.099[b]	84.96[b]	16.10±5.74	146.36	11.70±0.50	106.36
Venlafaxine	0.205[a]	58.41[b]	9.58±0.56	42.06	8.25±0.71	36.20
Verapamil	0.033[c]	33.57[c]	7.21±0.81	196.52	5.56±0.12	151.77

1154 [a](Esaki et al., 2019); [b](Summerfield et al., 2007); [c](Friden et al., 2011); [d](Bhattacharya et

1155 al., 2021); [e](Maurer et al., 2005); [f](Cremers et al., 2012); [g](Di et al., 2011); [h](Kodaira et

1156 al., 2011); [i](Zhou L. et al., 2009); [j](Di Marco et al., 2019); [k](Avdeef et al., 2010).

1157 **Table 5—source data (Summary of original data and analysis)**

MEK → ERK

Raf

Ras

ETS1

CDH5

VE-cadherin

FOXO1

CLDN5

Claudin-5

PI3K → PDK1 → AKT

P

FOXO1

GDNF Secretion

GDNF

GFRα1

RET

Permeability ↓

TEER ↑

Triple co-culture
BBB Model

IVIVC

$PS_{Pre, Triple}$ (μl/min/g)

10^4

10^3

10^2

PS_{Obs} (μl/min/g)

10^2 10^3 10^4

hCMEC/D3

U251

SH-SY5Y