Low-dose cryo-electron ptychography

of proteins at sub-nanometer resolution

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Abstract

Cryo-transmission electron microscopy (cryo-EM) of frozen hydrated specimens is an efficient method for the structural analysis of purified biological molecules. However, for particles smaller than 50kDa, single particle cryo-EM often fails due to the limited imaging contrast of individual micrographs. For dose-resilient samples often studied in the physical sciences, electron ptychography – a coherent diffractive imaging technique using 4D scanning transmission electron microscopy (4D-STEM) – has recently demonstrated resolution down to tens of picometers for thin specimens imaged at room temperature.

Here we applied ptychographic data analysis to frozen hydrated single protein particles, reaching sub-nanometer resolution 3D reconstructions. We employed low-dose cryo-EM with an aberration-corrected, convergent electron beam to collect 4D-STEM data for our reconstructions. The high speed of the electron detector allowed us to record large datasets of electron diffraction patterns with substantial overlaps between the interaction volumes of adjacent scan position, from which the scattering potentials of the samples were iteratively reconstructed. The reconstructed micrographs show strong contrast enabling the reconstruction of the structure of apoferritin protein at up to 5.8 Å resolution. Ptychography is a promising tool to study the structure of smaller frozen hydrated protein particles, and, once combined with electron tomography tilt series, bears the potential to provide unique insight into the ultrastructure of vitrified biological tissue.

Main

Cryo-transmission electron microscopy (cryo-EM) has revolutionized life sciences and pharmaceutical research in academia and industry. Proteins as single particles in thin vitrified, aqueous layers can be structurally analyzed at near-atomic resolution by transmission electron microscopy (TEM) in a matter of hours, if the proteins are available in sufficiently large quantities and homogeneity, and if the protein particles have a molecular weight larger than 50 kDa [1]. If these conditions are not met, cryo-EM often fails. Cryo-EM analysis of proteins suffers from the low signal-to-noise ratio (SNR) in the images because proteins are weak-phase objects and highly fragile under the electron beam. Typically, the electron fluence must be limited to below 20 $e^-/Å^2$, if a protein is to be imaged at high resolution. Data can be recorded with slightly higher doses if fractionation of the electron dose is used, and recorded frames are resolution weighted with dose-dependent frequency filters before averaging, to obtain higher-contrast images [2]. Cryo-EM furthermore suffers from particle movements under the electron beam, which can be corrected partly during image processing through motion correction in dose-fractionated "movies" [3–5]. Finally, conventional cryo-EM suffers from the oscillating contrast transfer function (CTF), which is dampened towards higher resolution from the limited spatial and temporal coherence of the beam, the detector modulation transfer function (MTF), and non-corrected specimen movements, among others. Phase plates, such as Volta or laser phase plates [6,7] partly improve the CTF for low-resolution components, but so far have not yet shown improvements in final resolution.

An alternative data acquisition scheme is scanning transmission electron microscopy (STEM), which uses a focused electron probe that is scanned across the sample, while electron detectors record the number of electrons scattered to a certain angle covered by the detector pixel(s) as a function of the probe position [8]. Bright-field (BF) STEM images provide only weak phase contrast [9], and dark-field (DF) STEM yields high mass-thickness contrast, yet at low dose efficiency, so that STEM was until recently of limited use in the life sciences. STEM Z-contrast imaging, employing annular detectors covering high scattering angles, is based on Rutherford scattering, where the detector signal is approximately proportional to the square of the atomic number and linear to the number of atoms within the interaction volume. This linearity of the high-angle annular DF (HAADF) STEM signal has been exploited for mass measurements of protein particles that had been freeze-dried on ultra-thin carbon film supports [10,11]. Early attempts of high-resolution low-dose aberration-corrected HAADF STEM imaging showed only amplitude contrast and was found unsuitable for life sciences imaging [12]. However, cryo-STEM tomography, combining BF and DF STEM with sample tilt series to compute a 3D reconstruction of the vitrified specimen [13], has shown promising results for thicker biological specimens up to 1 μm diameter. More recently, integrated differential phase-

contrast (iDPC) STEM was applied to cryo-EM specimens, reaching 3.5 Å resolution for
protein 3D reconstructions from data recorded with a quadrant-detector [8,14].

Beyond monolithic or segmented detectors, the use of pixelated detectors in STEM allows to
record a 2D image of the diffracted probe for each 2D probe position, enabling advanced
analysis in this so-called 4D-STEM method [15]. For example, electron dose-efficient recovery
of the specimen's scattering phase can be achieved by ptychographic processing of the
recorded diffraction patterns [16]. For materials sciences specimens, this method has recently
established resolution records in the picometer range [17,18]. Besides the inverse multi-slice
schemes used in that work, which consider multiple scattering events during reconstruction
but require comparably high electron doses, several other algorithms exist that employ the
single-scattering projection assumption. The latter can be divided into direct methods, such
as single-sideband ptychography [19,20], Wigner distribution deconvolution [21,22], or parallax
reconstructions from tilt-corrected BF STEM data [23–25], to provide phase information with real-
time capabilities, or iterative methods, such as the computationally more demanding proximal
gradient algorithms (*e.g.*, difference map [26] and ePIE [27]).

4D-STEM with an aberration-free electron beam that is focused onto the specimen typically
scans the sample with a narrow Airy disk profile, limited to only a short vertical extension,
depending on the convergence semi-angle (CSA) used to form the probe [8]. 4D-STEM can
also be performed with a strongly defocused electron probe (**Figure 1**), so that the convergent
electron beam illuminates the specimen with a disk large enough to expose an entire typical
single-particle protein in the vitrified cryo-EM specimen as one single scattering experiment.
The probe can then be scanned across the specimen surface at a step size in the nm range,
so that diffraction patterns are recorded from probe positions that share significant overlap in
the (x,y) sample plane. This overlap enables phasing of the recorded data for structure
reconstruction, and it eliminates the twin-image problem or mirrored reconstructions.

4D-STEM has recently gained significant momentum from the availability of ultrafast pixelated
electron detectors. Extending 4D-STEM to study beam-sensitive biological cryo-EM
specimens, however, faces several experimental and computational hurdles. For low-dose
experiments, scan strategies must be optimized for most efficient handling of specimen motion
and specimen charging. Data processing workflows have to be established that can deal with
the very low signal-to-noise ratio in the low-dose datasets and with the enormous quantity of
recorded data, reaching terabytes in size for one sample. Nevertheless, significant progress
in life sciences 4D-STEM has recently been demonstrated by the application of 4D-STEM
ptychography to frozen-hydrated viruses [16,28].

Here, we performed low-dose 4D-STEM studies with a defocused beam and ptychographic

data processing of frozen-hydrated single particle protein samples (**Fig. 1**) and show that
structures of proteins at sub-nm resolution can be achieved from less particles than usually
imaged in cryo-EM. We implement cryo-electron ptychography with an aberration corrected
cryo-EM instrument and fast hybrid pixel detector, and we discuss the current state of
automated data collection and data processing.

Fig. 1: Experimental setup of 4D-STEM.

a, A vitrified life sciences sample is illuminated with a convergent electron beam. Electrons are recorded using a pixelated detector. The convergence semi angle (CSA) is in the range of a few mrad. The beam is focused to a plane above or below sample with a defocus in the micrometer range. **b**, An example ptychographic reconstruction of apoferritin. Scale bar: 50 nm. **c**, The sum of three 4D-STEM diffraction patterns. A typical diffraction pattern shows most of the electrons in the bright field (BF) disk of the radius corresponding to the CSA of 6.1 mrad, and some electrons are scattered to higher angles to the dark field (DF)

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Ptychography of cryo-EM samples

Ptychographic data were recorded with a probe-corrected electron microscope with a CSA of 4 to 6 mrad and a defocus of ~2 μm, resulting in a beam diameter on the sample of ~16 nm for the 4 mrad CSA. Data were collected with a beam dwell time of 250 μs, and a STEM step size of 2 nm, corresponding to 84% beam overlap for two adjacent circular probes. Using a fluence of ~0.5 $e^-/Å^2$ per probe position, an average total electron fluence of ~35 $e^-/Å^2$ was applied to the sample during one scan of 128x128 positions. The low electron dose and parameters used in our experiments meant that on average less than 20% of the detector pixels received an electron for one probe position, making the recorded diffraction patterns very noisy.

A dataset of gold nanoparticles on a carbon film was collected before beginning experiments to calibrate rotation angles and CSA, and a diffraction pattern with the specimen removed from the beam path was recorded to serve as estimation for the probe-forming aperture in the iterative reconstruction algorithm. Data collection was then performed with a setup as shown in **Fig. 2**. The software SerialEM [29] was used to control the Titan Krios instrument, take real-space TEM images of the grid, and compile a table of X,Y coordinates, indicating the specimen locations that have ice holes with suitable ice thickness and a high particle density. After this, the instrument was switched to STEM mode with a convergent beam, and a custom Python script was used for automated 4D-STEM data collection. Data collection was performed at approximately 144 specimen locations per hour, each comprising a 128x128 position 4D-STEM scan, and resulted in approximately 310 GB of raw data per hour before compression.

Data collection was monitored in real-time by computing a parallax (or tilt-corrected BF-STEM) reconstruction with the py4DSTEM software [30]. This enabled fast, yet reliable, phase reconstructions to guide acquisition, and provided the probe estimate necessary for the more computationally-intensive ptychographic reconstructions. Higher-resolution ptychography reconstructions of the scattering potentials were calculated offline with py4DSTEM on a 4-GPU computer, obtaining up to 70 ptychographic reconstructions per hour.

Fig. 2: The ptychography data collection workflow.

A python client controls the electron microscope via SerialEM and coordinates the TVIPS USG scan generator, the Dectris ELA camera recording, and the multi-GPU processing of recorded diffraction patterns. Dotted line: The parallax reconstruction is used to provide on-the-fly feedback about the data collection and serve as aberration estimate.

Structural analysis of proteins

The cryo-electron ptychography pipeline was applied to apoferritin (AF) protein, the phi92 bacteriophage, and the tobacco mosaic virus (TMV). To test the quality of the AF protein and its suitability for high-resolution data collection, apoferritin was first imaged by conventional TEM (CTEM), before being studied using the 4D-STEM pipeline.

Conventional Cryo-EM imaging of Apoferritin

This spherical apoferritin protein particle of octahedral 24-fold symmetry has a diameter of approx. 12 nm and a particle weight of 485 kDA. It shows a highly robust structural integrity when prepared for cryo-EM imaging, making it the ideal test specimen for high-resolution assays [31,32]. Conventional TEM (CTEM), using dose-fractionated cryo-EM on a Titan Krios equipped with a 300kV cold-FEG electron source and a Falcon4i direct electron detector was

used to record 9,846 dose-fractionated real-space images, from which 1'899'133 AF particles
were automatically selected and analyzed with the software cryoSPARC [33], resulting in a 3D
map from 411'705 particles at a resolution of 1.09Å (**Extended Data Figs. 2 and 3**). Data
showed a ResLog B-factor of 28 Å^2, indicating that the AF sample and the obtained cryo-EM
grid preparations were of outstanding quality and not limiting resolution for a structural analysis
(**Supplementary Table 1).**

Cryo-electron ptychography of Apoferritin, Phi92 bacteriophage, and TMV
4D-STEM was then used on the same AF specimen, but with a different, Cs probe corrected
Titan Krios, recording data with a CSA of 4 mrad. Online parallax reconstructions computed
during data collection provided instant feedback on the quality of the data collection. Offline
computed higher-resolution reconstructions were done by ptychography computation of the
sample scattering potentials, which are obtained when assuming an amplitude function of unity
and computing the resulting image only based on the reconstructed phase information [23].
Obtained 2D potential images were subjected to automated particle picking, resulting in 13'655
particles. Particle classification, alignment and 3D reconstruction using cryoSPARC [33] resulted
in a 3D map of the protein from 11'552 particles at a resolution of 5.8 Å (**Fig. 3a, Extended**
Data Figs. 4 and 7, and **Supplementary Table 1**).

4D-STEM was also used to study the Phi92 bacteriophage, using a CSA of 5.1 mrad. Data
processing, using helical reconstruction for the bacteriophage's sheath was conducted using
cryoSPARC [33], while the capsid reconstruction was performed using RELION [34,35], resulting in
a reconstruction of the Phi92 sheath from 1'600 particles at 8.4 Å resolution, and of the Phi92
capsid from 182 particles at 12.1 Å resolution (**Fig. 3b, Extended Data Figs. 5 and 7,** and
Supplementary Table 1).

4D STEM was also applied to study the tobacco mosaic virus (TMV), using a CSA of 6.1 mrad.
Processing of the ptychography potential maps with RELION [34] resulted in a reconstruction
from 2'120 particles at 6.4 Å resolution (**Fig. 3c, Extended Data Figs. 6 and 7,** and
Supplementary Table 1).

Fig. 3: Cryo-electron ptychography reconstructions of proteins.

a, Apoferritin. **b**, Bacteriophage Phi92 tail protein. **c**, Tobacco mosaic virus (TMV). From left to right: Ptychographic image reconstruction (Scale bars are 30 nm); The same image Fourier transformed, showing circular amplitude oscillations (Scale bars are 0.5 nm^{-1}); The 3D reconstruction of the protein; A cross-section of the 3D reconstruction for **a** and a bottom view of the 3D reconstructions for **b** and **c**.

Discussion

Semi-automated 4D-STEM was implemented as a cryo-electron ptychography pipeline and
applied to several biological test specimens. For reconstructing micrographs from recorded
datasets, the single-slice ptychography reconstruction implemented in the py4DSTEM
software was used. This algorithm refines the estimate for the *Probe* and the *Object* from the
measured intensities in the recorded diffraction patterns as amplitude constraints in Fourier
space, and by exploiting the knowledge about the position overlap in real space. The *Probe*
and *Object* are iteratively refined, using a stochastic gradient descent algorithm. In the
initiation of the projection algorithm, an empirically determined hyper-parameter tuning is also
integrated, which improves convergence [23]. For details, see the **Online Methods**.

For CTEM imaging, the contrast transfer function (CTF) of the instrument is well characterized
and its correction provides access to the highest resolution, including correction of higher-
order aberrations [35]. Leidl et al. (2023) recently demonstrated that for thicker biological
specimens, multiple electron scattering needs to be taken into account if structural data
significantly beyond 1.2 Å resolution were to be achieved [36].

For 4D-STEM ptychography, the CTF of the iterative, gradient-based, regularized,
ptychography in the presence of noise as employed here, is not established. The CTF is
dependent on the 4D-STEM reconstruction algorithm as-well as acquisition parameters used.
Computed Fourier transforms of the 2D ptychography potential maps obtained in this work
show strong, ring-like amplitude oscillations for the background noise (**Fig. 3**). Our protein
reconstructions corroborate the assumption that the employed 4D STEM data collection
scheme and ptychography reconstruction algorithm transfers the specimen information to the
reconstructed potential maps via a purely positive transfer function without zero crossings, but
with varying amplitude, characterized by a contrast that is low for lowest spatial frequencies
terms, rising to a broad maximum at medium resolution, and falling off with amplitude
oscillations towards higher resolution [16,28,37]. Here, we ignored the effect of any CTF during
image processing. Further work in analyzing, understanding and correcting the contrast in
cryo-electron ptychography will be required.

Electron ptychography has established resolution records for materials sciences specimens,
while the algorithms for signal reconstruction and our understanding of the mechanism of
contrast formation are still under active development. For life sciences samples, cryo-electron
ptychography promises to also give access to the highest resolution and contrast. However,
significant technical challenges remain that should be addressed to further improve the
resolution of the method. These include the implementation of dose fractionation during data
collection, which would further reduce the signal-to-noise ratio of the collected diffraction

pattern; reconstructed sub-frames should then be resolution-weighted and averaged, as
commonly done in real-space cryo-EM [2,3]. Further required optimizations concern the level of
scan precision (noise in the scan coordinates), data collection speed (camera speed and data
transfer speed), and post-processing algorithms under the very low dose constraints for life
sciences specimens.

The frozen hydrated preparations of the proteins apoferritin, phi92 phage, and TMV studied
here by 4D-STEM and ptychography resulted in 3D reconstructions at sub-nm resolutions,
obtained from very few imaged particles. The ResLog B-factor analysis of the recorded data
(**Online Methods** and **Supplementary Table 1**) suggests that a larger 4D-STEM dataset of
the apoferritin protein might be able to reach 3.1 Å resolution. Nevertheless, this resolution
would still be worse than what is achievable by conventional cryo-EM. However, here we
applied 4D-STEM with a CSA of only 4 mrad to increase specimen height variation tolerance
and data collection efficiency; a higher CSA would increase resolution. We also applied 4D-
STEM without correcting for the resolution-limiting effects of specimen beam damage or
beam-induced particle motion, and we did not correct for the CTF of the ptychography method,
which would be required to fulfill the assumptions of equal noise contributions across all
resolution ranges for the maximum likelihood processing underlying the cryoSPARC and
RELION software packages. Dose fractionation and dose-dependent frequency weighting,
combined with correction for particle movement and a CTF correction that normalizes the
noise profile, would all increase the resolution performance of the 4D-STEM ptychography
method.

The strong contrast of cryo-electron ptychography evident in the reconstructed images, the
low numbers of particles needed to obtain sub-nm reconstructions, and the trustworthiness of
the projections obtained from the purely positive CTF suggests that 4D-STEM combined with
cryo-electron ptychography tomography bears great potential for 3D reconstructions of
biological tissue samples. For cellular samples, where the uniqueness of the sample does not
allow the averaging of signal from multiple particles, and where thicker slices of the sample
are often of interest, the cryo-electron ptychography is especially promising to gain insight into
the structural arrangement of smaller structures in cells and tissue.

Online content

264 Methods, additional references, Nature Portfolio reporting summaries, source data,
265 supplementary information, acknowledgements, peer review information, details of author
266 contributions and competing interests, and statements of data and code availability are
267 available at https://doi.org/…..

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Online Content

Methods

Protein expression and purification

For apoferritin, the plasmid encoding the mouse heavy chain apoferritin was graciously donated by Masahide Kikkawa [38]. The plasmid was transformed into *E. coli* BL21 (DE3) cells. A saturated overnight culture was inoculated into 500 mL LB media containing Kanamycin, and grown at 37 ºC and induced with 1 mM IPTG for 3 hours after reaching an OD600 of 0.6. The pellet was harvested at 5000 x g and resuspended in 20 mL Buffer A (300 mM NaCl, 20 mM HEPES 7.5, 10 mM EDTA), lysed by sonication and then centrifuged for 30 minutes at 20000 x g at 4 ºC. The supernatant was collected and heated at 70 ºC for 10 minutes in a 50 mL tube on a heatblock until milky. The supernatant was mixed by inversion and heated for 5 more minutes. The mixture was centrifuged for 30 minutes at 20000 x g at 4 ºC. The supernatant (~20mL) was precipitated with 6.65 g of ammonium sulfate, stirring at 4 ºC for 10 minutes. This corresponds to ~50-55% ammonium sulfate saturation at 20 ºC. The mixture was centrifuged for 30 minutes at 20000 x g at 4 ºC. The pellet was then resuspended in 2 mL of Buffer A and dialyzed with a 12-14 kDa membrane in 2 L of Buffer A but containing only 2 mM EDTA. The sample was further purified by size exclusion chromatography and loaded directly onto a Superose 6 10/300 GL column with a mobile phase of 150 mM NaCl, 10 mM HEPES 7.5. Peak fractions that corresponded to 24-mer-sized apoferritin were pooled and reinjected for a second round of size exclusion chromatography. Peak fractions were concentrated to 8 mg/mL, using a 100 kDa concentrator and frozen at -80 ºC.

The bacteriophage Phi92 sample, initially obtained by Petr Leiman (Texas University Medical Branch, TX, USA), was a kind gift from Davide Demurtas (EPFL, Lausanne, Switzerland).

The tobacco mosaic virus (TMV) sample, initially obtained by Ruben Diaz-Avalos (La Jolla Institute for Immunology, CA, USA), was a kind gift from Philippe Ringler (University of Basel, Switzerland).

Real-space cryo-EM data collection and processing

3 µL aliquots of the apoferritin at 80 mg/ml were applied onto Ultrafoil gold 1.2/1.3 grids, and plunge frozen in liquid ethane with a Leica GP2 plunger (4 °C, 100% rel. humidity, 30 s waiting time, 3 s blotting time).

For conventional (real-space) cryo-EM, data were collected in automated manner using EPU v.3.0 on a cold-FEG fringe-free Thermo Fisher Scientific (TFS) Titan Krios G4 TEM, operating at 300 kV with aberration-free image shift (AFIS), and recording data with a Falcon IV (TFS) electron counting direct detection camera in electron counting mode. The data were collected at a nominal magnification of 250 kx (pixel size is 0.3 Å at the specimen level) and a total dose of 40 ($e^-/Å^2$) for each exposure. The data from the 1.5 s exposures were stored as electron

event recording (EER) files. The data from 3 × 3 neighboring ice holes in the carbon films were

collected using beam and/or image shifting, while compensating for the additional coma

aberration (AFIS). The data were collected with the nominal defocus range of −0.3 to −0.9 μm.

With an average throughput of 922 images per hour, a total number of 9'846 movies were

collected within one 12-h session.

In total, 9'846 movies were imported into cryoSPARC v4.4.1 (eer_upsamp_factor=2,

eer_num_fractions=80). Upsampled EER movies were drift-corrected and dose-weighted with

Patch Motion Correction (res_max_align=4, output_fcrop_factor=1/2), and the contrast

transfer function (CTF) was estimated with Patch CTF Estimation (amp_contrast=0.07,

res_max_align=3, df_search_min=1000, df_search_max=20000).

After rejection of bad images based on CTF Fit resolution (2 - 5 Å) and Relative Ice Thickness

(1 - 1.2) parameters, 8'609 images were used for further processing. Initial particle picking

was performed on a subset of 50 images with Blob Picker, using ring blobs of 110-120 Å

diameters, NCC score of 0.3, Power Score of 261–712, and a minimum relative separation

distance (diameter) of 0.5. Picked particles were extracted with a box size of 720 pixels,

Fourier cropped to 120 pixels (binning 6x6 times) and subjected to the streaming reference-

free 2D classification.

Two best 2D class averages were selected as templates for the Template Picker, using a

particle diameter of 126 Å and an angular sampling of 10 degrees. In total, 1'899'133 particles

were picked. Picked particles were inspected with Inspect Particle Picks, and the best

1'104'665 particles were extracted with a box size of 720 pixels, Fourier cropped to 120 pixels,

and subjected to the 3 rounds of reference-free 2D classification and Heterogeneous

Refinement. A small subset of the 5'005 particles was used for the ab-initio structure building

with 3 classes.

The best 544'845 particles were re-extracted with a box size of 800 pixels and further refined

with Homogeneous Refinement to the resolution of 1.21 Å (B-factor = 23 Å^2). Custom

parameters of Homogeneous Refinement included the application of octahedral (O)

symmetry, per-particle defocus optimization using a Defocus Search Range of 4000 Å, per-

group CTF parameter optimization with fitting the beam tilt, beam trefoil, spherical aberration,

anisotropic magnification, and correction for the curvature of the Ewald sphere.

Refined particles were split into 9 optical groups with Exposure Group Utilities using Action

"split". Grouped particles were further refined with Homogeneous Refinement to the resolution

of 1.15 Å (B-factor = 19 Å^2). Custom parameters of Homogeneous Refinement include

application of octahedral (O) symmetry, an initial low-pass filter of 15 Å, a dynamic mask

threshold of 0.3, a dynamic mask near value of 4 and far value of 8, defocus refinement, global

CTF refinement, and correction for the curvature of the Ewald sphere.

Refined particles were used as an input to Local Motion correction using a maximum alignment

resolution of 2 Å and a B-factor of 20 $Å^2$ during alignment. Overlapping particles were removed

with a minimum separation distance of 120 Å. The remaining 411'705 particles were subjected

to Reference Based Motion Correction with default settings and further refined with

Homogeneous Refinement (defocus refinement, global CTF refinement, and correction for the

curvature of the Ewald sphere) to the resolution of 1.09 Å (B-factor = 18 $Å^2$).

An atomic model for apoferritin was re-built manually from the previously deposited model

(PDB ID 8J5A) with COOT v0.9.6 [39], iterated with rounds of refinement with Servalcat [40] and

Molprobity v4.5.2 [41]. After each round of refinement, side-chains were manually inspected and

adjusted in COOT with Molprobity.

4D-STEM data collection

Ptychographic data were recorded with a probe-corrected TFS Titan Krios, equipped with a

cold FEG electron source, TVIPS Universal Scan Generator (USG), Falcon 4i camera (TFS),

and a Dectris ELA hybrid pixel detector. The microscope was operated in STEM mode with a

CSA of 4 to 6 mrad and a defocus of ~2 µm, resulting for the 4 mrad CSA in a beam diameter

on the sample of ~16 nm. Data were collected with a beam dwell time of 250 µs, and a STEM

step size of 2 nm, corresponding to 84% beam overlap for two adjacent circular probes. Using

a fluence of ~0.5 $e^-/Å^2$ per probe position, an average total electron fluence of ~35 $e^-/Å^2$ was

applied to the sample during one scan. To avoid the physical gaps between the segments of

the ELA detector, data were recorded on only one of the detector segments of 256x256 pixels.

The STEM camera length was set so that the edge of that 256x256 px square was at 6.58

mrad. The low dose regime and parameters used in our experiments resulted in less than 20%

of the detector pixels, on average, recording an electron at all. Dose fractionation, not

employed here, could have been implemented by repeatedly scanning the same specimen

location several times with an even lower dose, which would have further reduced the dose

per pattern.

To improve convergence of the ptychographic reconstruction, especially under low-dose

conditions, we optimized the alignment of the STEM probe before data acquisition, using the

Probe Corrector S-CORR software by TFS on a standard gold cross-grating sample.

Optimization of the aberrations up to twice the CSA that was later used for data collection,

here to a semi-angle of 8 mrad, took approximately 10 minutes. We then collected a calibration

dataset before beginning experiments to aid the optimization in the py4DSTEM software.

Finally, a vacuum image of the probe was recorded in diffraction space to serve as estimate

for the probe-forming aperture in the iterative reconstruction algorithm.

For data collection, the software SerialEM [29] was used to control the Titan Krios instrument
and record a grid atlas at low magnification in image mode. Suitable grid squares on that atlas
were manually selected, and for each of these, SerialEM was used still in conventional TEM
mode with parallel illumination, to record grid square maps. These maps were then used to
semi-automatically compile a table of X,Y coordinates, indicating the specimen locations
where ice holes had suitable ice thickness and a high particle density. After this, the instrument
was switched to STEM mode with a convergent beam, and a home-built Python script was
used for automated 4D-STEM data collection, performing the following steps:

1. Controlling the microscope via SerialEM commands to position the instrument
specimen stage.
2. Preparing the ELA detector for capturing frames.
3. Activating the TVIPS scan generator for acquisition.
4. Compressing and saving the recorded diffraction patterns for further analysis.

Data collection was performed at approx. 144 specimen locations per hour and resulted in
approx. 310 GB of raw data per hour before compression. Data collection could be further
accelerated by recording larger ptychography scans at each stage position, and by further
accelerating the camera recording and data storage speeds, which all would have increased
the amount of data recorded.

Data analysis

Data collection was monitored in real-time by computing a parallax reconstruction [23] with the
py4DSTEM software [30]. In addition, a higher resolution ptychography reconstruction was
calculated offline with py4DSTEM, with the following steps:

1. **Data loading and flat-field correction:** Electron diffraction patterns from one scan in
h5 format were loaded, flat-field normalized, and hot/dead pixel corrected by replacing
values of identified non-functional pixels with median values computed from their
neighbors. Scan metadata such as scan step size were stored separately.

2. **Organizing 4D-STEM data:** The dataset was then reorganized into a four-dimensional
array based on the scanning coordinates. Binning of diffraction patterns was not
performed.

3. **Extraction of key parameters:** The py4DSTEM package was then used to compute
the average diffraction pattern, identify the brightfield disk, and calculate the reciprocal
space pixel size.

4. **Parallax reconstruction:** A parallax reconstruction was computed to give a first
reconstruction image and to estimate probe defocus.

5. **Running ptychographic reconstruction:** Starting from the parallax reconstruction, a ptychographic reconstruction was initiated and iterated until convergence, typically reached in 30 iterations, as monitored by an L2 norm of the difference between modeled and measured diffraction intensities as error metric for single-slice ptychography. The probe function in the ptychography reconstruction was initialized based on provided aberrations (C1 in our case) for the phase, and the known amplitudes from the vacuum measurements of the probe, which were recorded for each data collection session. The probe's Fourier amplitude was replaced after each iteration with the amplitude from the vacuum probe measurements. The phase of the probe was also regularized after each update step by fitting a low-order surface expansion (up to radial and angular order 3) and removing residuals [23].

6. **Image storage:** Finally, the reconstructed micrograph was cropped if necessary and saved for subsequent analysis.

The workload was distributed across four GPUs, each processing a single dataset. This parallel approach allowed the processing of 70 datasets per hour with a 4-GPU computer.

4D-STEM ptychography structure determination of proteins

For apoferritin, ninety cryo-electron ptychography datasets (i.e., 4D-STEM scans) were acquired using SerialEM. A grid atlas was initially acquired using the Falcon4i camera, from which suitable grid squares were manually identified to compile grid square maps and identify suitable acquisition locations. These locations had an ice thickness between 50 and 100 nm. At these locations, automated ptychography scans with a CSA of 4 mrad were started, using an average total electron fluence of ~35 e$^-$/Å^2 per scan.

Ptychography reconstructions of the sample potential maps, which are obtained when assuming an amplitude function of unity and computing the resulting image only based on the reconstructed phase information, were obtained at a pixel size of 1.5 Å/px. These potential maps were imported as MRC image files into cryoSPARC [33]. Micrographs were subjected to automated particle picking, resulting in 38'425 particles (**Extended Data Fig. 4**). 2D classification identified 10 classes. The class averages were used as templates to refine the automated particle picking, resulting in 13'665 particles. After another 2D classification and selection of the best classes, a total of 11'552 particles were used for ab-initio 3D structure determination. No further correction for any remaining contrast transfer function was applied.

The resulting 3D map was analyzed by gsFSC with the 0.143 cutoff criterion, showing a final resolution of 5.8 Å of the map (**Fig. 3a,** and **Extended Data Figs. 4 and 7**, and **Supplementary Table 1**).

For the Phi92 bacteriophage, eighty-three 4D-STEM datasets were acquired using the same

methodology as before, but at a CSA of 5.1 mrad. The micrographs were recorded at a total electron dose of approximately 49 e$^-$/Å². The pixel size of the final micrographs was 1.44 Å/px.

The 2D ptychographic micrographs obtained were imported as MRC image files into both cryoSPARC [33] and RELION [34,35] for subsequent analysis. The helical reconstruction process of the bacteriophage's sheath was conducted using cryoSPARC, while the capsid reconstruction was performed using RELION.

Initial manual particle picking of the bacteriophage sheath in cryoSPARC yielded 2'420 particles. Following 2D classification and selection of the best classes, 1'600 particles were utilized for ab-initio 3D reconstruction. The helical parameters employed in this process included a helical rise of 35.30 Å and a twist of 26.3 degrees. The final processing stage involved non-uniform refinement (NU-Refine) with a Z fraction set at 50%, resulting in an 8.4 Å resolution map of the Phi92 sheath.

Concurrently, manual particle picking of the capsid in RELION resulted in the identification of 356 particles. After a similar 2D classification process, 182 particles were selected for the final 3D reconstruction. This approach yielded a 12.1 Å resolution map of the Phi92 capsid (**Fig. 3b**, **Extended Data Figs. 5 and 7,** and **Supplementary Table 1**).

For the tobacco mosaic virus (TMV), forty-three 4D-STEM datasets were acquired, following the same protocol as before, but with a CSA of 6.1 mrad. The datasets were acquired at a total dose of approximately 32 e$^-$/Å² per micrograph, and with a pixel size of 1.15 Å/px.

These 2D ptychographic micrographs were imported as MRC image files into the RELION software. The initial phase of manual particle picking of TMV in RELION resulted in 3'400 particles. Subsequent 2D classification and the selective process for the best classes reduced the number to 2'120 particles, which were then used for the ab-initio 3D reconstruction. Using helical parameters for the processing of a helical rise of 1.38 Å and a twist of 22.036 degrees resulted in a 6.4 Å resolution map of the TMV (**Fig. 3c, Extended Data Fig. 6 and 7,** and **Supplementary Table 1**).

ResLog B-factor estimation

The information content of the recorded data from conventional TEM and 4D-STEM ptychography imaging was evaluated by calculation of the $FSC_{0.143}$, the Guinier-plot amplitude falloff B-factor, and the Reconstruction "ResLog" B-factor [31,42]. With the latter, the number "#" of particles required to reach a resolution of "d" Angstrom, can be calculated as:

$$\# = \left(\frac{1}{N_{\text{asym}}}\right) \cdot \left[\left(\frac{\langle S \rangle}{\langle N \rangle}\right)^2 \cdot \frac{30\,\pi}{N_e \cdot \sigma_e \cdot d}\right] \cdot e^{\frac{B}{2 \cdot d^2}}$$

564 with N_{asym} being the number of asymmetric units in the particles, $\frac{\langle S \rangle}{\langle N \rangle}$ being the signal-to-noise

565 ratio of the electrons in the recorded data, $\sigma_e = 0.004 \ \text{Å}^2$ being the elastic cross-section of

566 carbon, N_e being the used electron dose, d being the real-space resolution in Å, and B being

567 the ResLog B-factor in Å^2.

568 This can be simplified to:

569
$$\# = \text{const} \cdot e^{\frac{B}{2 \cdot d^2}}.$$

570 The ResLog B-factor in Å^2 can be calculated, based on the relation:

571
$$\ln(\#) = B \cdot \frac{1}{2 \cdot d^2} + \text{offset}, \quad \text{with} \quad \text{offset} = \ln(\text{const}).$$

572 When computing the resolution d of a reconstruction with smaller subsets of # number of

573 particles and plotting $\ln(\#)$ as a function of $\frac{1}{2 \cdot d^2}$, the ResLog B-factor becomes apparent as

574 the slope of a line fitted through the experimental data points.

575 The predicted theoretically achievable resolution when expanding the dataset to more

576 particles could then be obtained with:

577
$$d = \sqrt{\frac{B}{2 \cdot (\ln(\#) - \text{offset})}} \ .$$

578 The computed $FSC_{0.143}$, Guinier-plot B-factors, ResLog B-factors, and the predicted resolution

579 for 1'000'000 particles are given in **Supplementary Table 1**.

Data availability

For the apoferritin analysis by real-space cryo-transmission electron microscopy, the raw cryo-

EM images were deposited to the Electron Microscopy Public Image Archive (EMPIAR) with

accession code EMPIAR-XXX. The cryo-EM map was deposited to the Electron Microscopy

Data Bank (EMDB) with accession code EMDB-19436. The atomic model was deposited to

the Protein Data Bank (PDB) with accession code PDB-8RQB.

For the apoferritin analysis by cryo-electron ptychography, raw data are available EMPIAR

XXX and the reconstructed volume at EMD-19425.

For the Phi92 analysis by cryo-electron ptychography, raw data are available at EMPIAR XXX.

The reconstructed sheath volume is at EMDB-19430 and the reconstructed capsid volume at

EMD-19423.

For the TMV analysis by cryo-electron ptychography, raw data are available EMPIAR XXX

and the reconstructed volume at EMD-19413.

Code availability

The py4DSTEM package is available at https://github.com/py4dstem/py4DSTEM. The data processing Jupyter Notebook utilized here is available at https://github.com/LBEM-CH/4D-BioSTEM.

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Author Contributions

B.K. designed the data acquisition and processing workflow, and integrated the components of the experimental setup. B.K., I.M., and R.C.G. collected ptychography data. I.M. performed the single particle analysis of the ptychographically acquired data. S.R., G.V., and C.O., are the developers of the py4DSTEM software and provided essential support in the processing of ptychographic data. K.L. purified and prepared the Apoferritin sample. S.N. and A.M. collected TEM images and ran Single Particle Analysis (SPA) on the Apoferritin sample. M.L.L., C.S. and K. M.-C. provided expertise and support on diffractive imaging. H.S. designed the experiments, provided resources, and oversaw data analysis and interpretation. B.K. and H.S. wrote the manuscript with input from all authors.

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Competing Interest

The authors declare no competing interests.

Extended Data

Extended Data Fig. 1: The 4D-STEM electron probe from a micrograph recorded at 6.1
mrad CSA and -758 nm defocus. a, Amplitude and phase of the reconstructed real-space
electron probe on the sample. **b**, Amplitude and phase of the reconstructed Fourier probe on
the detector.

Extended Data Fig. 2: Real-space cryo-transmission electron microscopy structural
analysis of Apoferritin at 1.09 Å resolution.

Extended Data Fig. 3: Real-space cryo-transmission electron microscopy of apoferritin

and its data processing details.

Extended Data Fig. 4: Ptychography structure determination of Apoferritin, using 4D-

STEM data. The atomic model of Apoferritin used for the fitting was PDB 8J5A.

Extended Data Fig. 5: Ptychography structure determination of the bacteriophage
Phi92, using 4D-STEM data.

Extended Data Fig. 6: Ptychography structure determination of tobacco mosaic virus

(TMV), using 4D-STEM data.

Extended Data Fig. 7: FSC curves belonging to each individual dataset.

Supplementary Information

	Apoferritin	Apoferritin	Phi92 Sheath	Phi92 Capsid	TMV
Microscope	TFS Titan Krios, CFEG, Falcon 4i	TFS Titan Krios, CFEG, Probe corrector, TVIPS USG, Dectris ELA detector			
Voltage [kV]	300				
Nominal Magnification	250'000	——			
Electron exposure [e-/A2]	40	35	49		32
CSA [mrad]	——	4.0	5.1		6.1
Detector outer angle [mrad]	——	6.58	6.85		8.61
Step size [Å]	——	20.0			
Probe positions per micrograph	——	128 x 128			
Micrographs	9'846	90	83		43
Defocus [µm]	0.5 - 0.9	1.4 - 2.3	1.3 - 2.1		0.8 - 2.0
Pixel size [Å]	0.3	1.50	1.44		1.15
Initial particle numbers	1'899'133	13'665	2'420	356	3'400
Final particle numbers	411'705	11'552	1'600	182	2'120
Symmetry imposed	O	O	Helical	I	Helical
Guinier Plot B-factor [Å^2]	18	642	350	——	283
FSC threshold	0.143				
Map resolution [Å]	1.09	5.8	8.4	12.1	6.4
EMPIAR ID	TBD	TBD	TBD	TBD	TBD
EMDB ID	EMD-19436	EMD-19425	EMD-19430	EMD-19432	EMD-19413
PDB ID	8RQB	——	——	——	——
ResLog B-factor [Å^2]	28	174	739	——	358
Predicted resolution if expanding to 1'000'000 particles [Å]	1.05	3.11	6.08	——	4.38

659 **Supplementary Table 1: Cryo-EM and cryo-electron ptychography data collection and map**
660 **statistics**