RNAformer: A Simple yet Effective Model for Homology-Aware RNA Secondary Structure Prediction

Jörg K.H. Franke, Frederic Runge, Ryan Köksal,
Dominika Matus, Rolf Backofen, Frank Hutter
Department of Computer Science
University of Freiburg
Freiburg, Germany

Abstract

Predicting RNA secondary structure is essential for understanding RNA function and developing RNA-based therapeutics. Despite recent advances in deep learning for structural biology, its application to RNA secondary structure prediction remains contentious. A primary concern is the control of homology between training and test data. Moreover, deep learning approaches often incorporate complex multi-model systems, ensemble strategies, or require external data. Here, we present the *RNAformer*, a scalable axial-attention-based deep learning model designed to predict secondary structure directly from a single RNA sequence without additional requirements. We demonstrate the benefits of this lean architecture by learning an accurate biophysical RNA folding model using synthetic data. Trained on experimental data, our model overcomes previously reported caveats in deep learning approaches with a novel homology-aware data pipeline. The RNAformer achieves state-of-the-art performance on RNA secondary structure prediction, outperforming both traditional non-learning-based methods and existing deep learning approaches, while carefully considering sequence and structure similarities.

1 Introduction

Ribonucleic acid (RNA) is a polymer of four nucleotides that plays a critical role in gene expression, protein synthesis, and epigenetic regulation (Morris & Mattick, 2014). The functionality of RNA molecules is intrinsically linked to their structure, which is determined by a hierarchical folding process, dictated by the formation of local geometries of the so-called secondary structure of RNA (Tinoco Jr & Bustamante, 1999). In addition to its impact on the final 3D shape, RNA secondary structures provide insights into RNA functions and can guide the design of RNA-based therapeutics and nanomachines (Kai et al., 2021).

While deep learning methods have achieved experimental accuracy in 3D protein structure prediction (Jumper et al., 2021; Lin et al., 2023; Abramson et al., 2024), RNA structure prediction remains challenging (Kretsch et al., 2023; Das et al., 2023). Specifically, capturing topologies and key secondary structure features appears difficult even for the current best model, *AlphaFold 3* (Bernard et al., 2024). The accurate prediction of an RNA's secondary structure directly from its sequence of nucleotides is still an important unsolved problem in computational biology (Bonnet et al., 2020).

Traditional computational methods for RNA secondary structure prediction minimize free energy using thermodynamic nearest-neighbor energy parameters, typically derived from wet-lab experiments (Delisi & Crothers, 1971; Tinoco et al., 1971). The currently most widely used algorithms, *mfold* (Zuker, 1989), *RNAfold* (Hofacker et al., 1994), and *RNAstructure* (Mathews et al., 1998) use dynamic programming to efficiently calculate these energy minimizations. More recently, learning-based approaches were developed to replace or improve estimates of the thermodynamic parameters (Do et al., 2006; Andronescu et al., 2007; 2010; Zakov et al., 2011).

Inspired by the success of deep neural networks in the field of protein contact map prediction (Hanson et al., 2018), *SPOT-RNA* was proposed, and deep learning entered the field of RNA secondary

Figure 1: Example secondary structure predictions with different algorithms for a yeast tRNA[phe], the first RNA 3D structure determined by X-ray crystallography in the 1970s. (Deng et al., 2023)

structure prediction (Singh et al., 2019). This class of approaches can represent an RNA structure as an adjacency matrix, surmounting the limitations of a restricted set of predictable base intera-tions of previous approaches and thus being able to predict non-canonical base pairs (Olson et al., 2019), pseudoknots (Staple & Butcher, 2005), and even base multiplets (Bhattacharya et al., 2019). The initial success led to additional deep learning-based models in the field (Chen et al., 2020; Sato et al., 2021; Franke et al., 2022; Fu et al., 2022; Chen et al., 2022; Chen & Chan, 2023), including the homology-based modeling approach, *SPOT-RNA2* (Singh et al., 2021b). This, however, has the disadvantage that it requires multiple sequence alignments (MSAs) of a sufficient size to achieve good predictions, which are not often available for RNAs (Singh et al., 2021b; Szikszai et al., 2022; Schneider et al., 2023; Bernard et al., 2024) resulting in poor generalization for orphan RNAs (Singh et al., 2021b; Bernard et al., 2024).

Despite the remarkable results reported by these approaches, deep learning methods are still not con-sidered state-of-the-art for RNA structure prediction due to various problems (Flamm et al., 2021; Schneider et al., 2023; Das et al., 2023). One of these is their complexity; most methods require ad-ditional information such as MSAs (Singh et al., 2021b; Abramson et al., 2024) or thermodynamic parameters (Sato et al., 2021), build ensembles (Singh et al., 2019), depend on a fully trained founda-tion model (Chen et al., 2022), or use sophisticated pre- (Singh et al., 2021b) or post-processing (Fu et al., 2022) methods. Furthermore, many deep learning approaches are based on convolutional neural networks (CNN) Singh et al. (2019; 2021b); Sato et al. (2021); Fu et al. (2022), in which the receptive field of the input depends on the model depth Luo et al. (2016). This means a larger sequence requires a deeper network. While not a problem for data with a fixed-sized input such as pre-processed images, this may be problematic for RNA sequences which have varying lengths. Additionally, some models cannot be retrained due to undisclosed training pipelines (Singh et al., 2019; Flamm et al., 2021), making it difficult to reproduce reported results or build future models on top of these.

A major problem with previous deep learning-based approaches is that they did not sufficiently ad-dress homologies between the training and test data. This led to strong performance on homologous RNAs but poor generalization (Szikszai et al., 2022; Justyna et al., 2023; Qiu, 2023), which raised concerns in the community that the reported results were overly optimistic (Flamm et al., 2021). Typically, training and test data were split solely based on sequence similarity (Singh et al., 2019; Chen et al., 2020; Fu et al., 2022; Chen et al., 2022; Chen & Chan, 2023). However, it has been extensively reported that this method is not sufficient to remove all similarities, as functional RNAs are more conserved in structure than in sequence. For instance, it is well known that tRNAs fold into a conserved clover-leaf structure, while the sequence similarity is low (Szikszai et al., 2024).

In this work, we present the *RNAformer*, an axial-attention-based model for RNA sec-ondary structure prediction from single sequence inputs. The attention mechanism it-self is independent of the input size and the axial (row- and column-wise) attention ar-chitecture allows the RNAformer to process a 2D matrix in the latent space to directly model the adjacency matrix. Our model does not use additional features such as MSAs.

While the single components of the architecture are not new, their usage for RNA secondary structure prediction is novel and outperforms existing approaches. In addition, an important contribution is our novel data curation pipeline. RNAs can be classified into so-called *RNA families*, based on their structure and sequence similarity. Thus, we use sequence- and structure-based alignments of RNAs to split our training and test data in a family-based manner; an essential step to reliably assess the performance of RNA secondary structure prediction methods on unseen data. This allows for a clean split of training and test data, overcoming limitations in previous deep learning approaches for RNA secondary structure prediction.

We trained and evaluated the RNAformer in two settings. First, we study the scalability and learning capabilities of our model by learning a biophysical model of RNA folding. We address the problem of similarity and homology learning by training on a synthetic dataset and testing on unseen families. Our analysis reveals that the RNAformer can accurately capture the sequence and structure features while scaling linearly in the number of predicted base pairs, in contrast to the problematic quadratic scaling behavior in the number of predicted base pairs previously reported for deep learning approaches (Flamm et al., 2021). Second, we pretrain our model with a families-based train-test-split and use a fine-tuning strategy to evaluate

Figure 2: An overview of the neural architecture of the *RNAformer*. It has a lean design consisting of an embedding, axial-attention and convolutional network blocks, and a linear output layer.

on experimentally derived RNA secondary structures from the Protein Data Bank (PDB) (Berman et al., 2000). Here, the RNAformer shows superior performance on unseen PDB samples. In particular, our model seems to capture long-range tertiary interactions and base multiplets very well as exemplified in the prediction of PDB ID 1EHZ shown in Figure 1. These experiments demonstrate that the RNAformer outperforms other approaches, including those that use more sophisticated models, are trained on homologous data, or employ additional information such as MSAs.

In summary, our contributions are as follows:

- We present a lean, scalable, and interpretable deep learning architecture for RNA secondary structure prediction directly modeling the 2D pair matrix in latent space in Section 2.
- We introduce a novel data curation pipeline to overcome homology-related caveats raised by the RNA community in Section 3
- We provide an extensive experimental evaluation of both the model and data pipeline, and show state-of-the-art performance on RNA secondary structure prediction in Section 4

2 THE RNAFORMER

The architecture of the *RNAformer* is inspired by the protein folding algorithm *AlphaFold* (Jumper et al., 2021), which models a pair matrix in the latent space and processes it with the use of axial attention (Ho et al., 2019). In contrast to AlphaFold and similar to Lin et al. (2023), we dispense the use of a multi-sequence alignment due to its well-known limitations in RNA (Singh et al., 2021b; Schneider et al., 2023). In contrast to other deep learning based RNA secondary structure prediction models Singh et al. (2019; 2021a); Chen et al. (2022); Sato et al. (2021); Chen et al. (2020); Franke et al. (2022); Jung et al. (2022), we directly model the 2D pair matrix in latent space. This has the benefit, that the latent representation is already capable of representing pseudoknots and multiples

and the final prediction of pairings between all nucleotides, the adjacency matrix, is just a linear operation. In contrast to purely CNN-based approaches Fu et al. (2022); Tan et al. (2024), our receptive field is not sequence length dependent.

2.1 DETAILS OF THE NEURAL ARCHITECTURE

The RNAformer (see Figure 2) inputs a nucleotide sequence $X \in \{A, C, G, U, N\}^l$ of length l and embeds it twice, one row- and one column-wise embedding, to generate a 2D representation in the model's latent space. The embeddings can be represented as $E_{\text{row}} = \text{Embed}_{\text{row}}(X)$ and $E_{\text{col}} = \text{Embed}_{\text{col}}(X)$, where $E_{\text{row}} \in \mathbb{R}^{l \times d}$ and $E_{\text{col}} \in \mathbb{R}^{l \times d}$ are the row-wise and column-wise embeddings respectively, with d being the latent dimension. The broadcasting and combination of these two matrices to form a 2D latent space can be represented as:

$$L^{(0)} = E_{\text{row}} \oplus E_{\text{col}}^T, \tag{1}$$

where $L^{(0)} \in \mathbb{R}^{l \times l \times d}$ is the 2D representation in the d-dimensional latent space, and $\oplus$ denotes the broadcasting and addition operation; i.e., $L^{(0)}[i, j] = E_{\text{row}}[i] + E_{\text{col}}^T[j]$. We use rotary position embedding instead of positional encoding Su et al. (2024). The resulting latent representation will be further processed by a stack of M RNAformer blocks

$$L^{(i)} = \text{RNAformerBlock}(L^{(i-1)}), \quad \text{for } i = 1, 2, \ldots, M. \tag{2}$$

Each block consists of a row-wise and column-wise axial attention network *'AxialAttentionNet'*, followed by a transition network *'TransitionConvNet'*. We apply residual connections, pre-layer norm, and dropout to all three layers. A single RNAformer block can then be represented as:

$$
\begin{aligned}
L^{(i)'} &= L^{(i)} + \text{AxialAttentionNet}_{\text{row}}(L^{(i)}) \\
L^{(i)''} &= L^{(i)'} + \text{AxialAttentionNet}_{\text{col}}(L^{(i)'}) \\
L^{(i+1)} &= L^{(i)''} + \text{TransitionConvNet}(L^{(i)''}).
\end{aligned} \tag{3}
$$

An optimal attention for a 2D latent representation would create a 3D attention tensor, due to memory limitations, this is not feasible and we split the attention into two consecutive row- and column-wise *AxialAttentionNet*. Each *AxialAttentionNet* consists of a linear layer to create the query, key, and value and a linear layer to project its output. The axial attention mechanism (Ho et al., 2019) applies attention mechanisms over each axis independently, enabling memory-efficient multi-dimensional attention. More specifically, the axial attention mechanism can be mathematically represented with indices for rows i and columns j for each 2-dimensional input to the attention mechanism (Vaswani et al., 2017): query $Q \in \mathbb{R}^{l \times l \times d}$, key $K \in \mathbb{R}^{l \times l \times d}$, and value $V \in \mathbb{R}^{l \times l \times d}$ for a sequence length of l and a latent dimension of d. We compute for each column $j = 1, \cdots, l$

$$\text{AxialAttention}_{\text{row}}(Q, K, V, j) = \text{softmax}\left(\frac{Q_{:,j,:} K_{:,j,:}^T}{\sqrt{d}}\right) V_{:,j,:}$$

and for each row $i = 1, \cdots, l$ the respective $\text{AxialAttention}_{\text{col}}(Q, K, V, i)$. Our model achieves a complete receptive field by applying attention consecutively along each axis, in contrast to purely convolutional networks (CNN) that expand this field over multiple layers. Therefore, in a CNN the number of layers required to achieve a full receptive field depends on the input length. This could be harmful for data with highly varied input lengths such as RNA sequences. Our approach may therefore be better suited for secondary structure prediction since each layer accesses the entire sequence and can iteratively refine the structure prediction.

The transition layer in the vanilla transformer is a point-wise feed-forward network. However, we found a convolutional network performs better in our architecture. The convolution helps to model local structures like stem-loops while the axial attention layers capture long-range information across the entire input structure. The *TransitionConvNet* consists of two convolutional layers with a SiLU activation function (Elfwing et al., 2018) in the middle.

To generate a prediction, we apply a single linear layer after the RNAformer stack and output the binary pairing probability matrix $P \in \mathbb{R}^{l \times l}$ of the secondary structure directly:

$$\text{P} = \text{sigmoid}(\text{Linear}(L^{(M)}))$$

This provides several advantages over the more commonly employed dot-bracket notation output (Hofacker et al., 1994; Franke et al., 2022), which in contrast, makes it impractical to predict multiplets, difficult to predict pseudoknots, and requires post-processing to create a pair matrix.

To artificially increase the model depth, we apply recycling in the latent space, similar to Jumper et al. (2021), allowing the model to reprocess and correct its own predictions internally: We apply the RNAformer blocks multiple times by normalizing and adding the block output back to the embedded input and then infer the RNAformer blocks again. During training, gradients are only computed for the last recycling iteration.

2.2 SPARSE ADJACENCY LOSS

The adjacency matrices representing RNA secondary structures tend to be heavily dominated by zero entries as an RNA sequence of length l forms at most $l/2$ base pairs that result in $l^2 - l/2$ non-zero entries, without considering multiplets. We employ a masking technique during training to address the imbalance within such matrix representations. First, we mask everything except of all non-zero entries (all pairings) and a region around these non-zero entries. Next, we randomly select 40% of the remaining zero entries (80% during the fine-tuning stage) to exclude from the mask too, effectively utilizing approximately 40% (80%) of the adjacency matrix entries for training. We treat the prediction of each entry of the masked adjacency matrix as a separate classification problem, for which binary cross-entropy between prediction P and the true value is calculated. The mean of the entry-wise losses is then minimized while the masked regions are ignored. Further details on masking are provided in Appendix A.

3 RNA HOMOLOGY AWARE DATA PIPELINE

A clean split between training and test data is crucial for the success of deep learning training and obtaining a reliable model. The responsible data pipeline consists of data collection, pre-processing, filtering, and splitting. For RNA, this data splitting has to account for both sequence and structure similarity to determine homology and evolutionary conservation (Rivas, 2021), as issues of homology contamination between training and test data are well-known (Rivas et al., 2012). In recent years, several deep learning models have been proposed for RNA secondary structure prediction, each providing state-of-the-art performance on various datasets (Singh et al., 2019; Chen et al., 2020; Fu et al., 2022; Chen et al., 2022; Chen & Chan, 2023; Jung et al., 2022; Tan et al., 2024). However, their results are often misleading due to flawed data processing pipelines that consider only sequence similarity, raising criticism and doubts within the community about the general capabilities of deep learning methods to learn the underlying RNA folding process (Flamm et al., 2021; Szikszai et al., 2022; Qiu, 2023). In this section, we outline our approach to preparing homology-aware RNA data splits. We first describe how we generate a synthetic dataset based on the notion of RNA families (Section 3.1), before we detail our strategy for splitting publicly available datasets into non-homologous subsets, considering both sequence and structure similarity (Section 3.2).

3.1 FAMILY-BASED SYNTHETIC DATA GENERATION

To test our architecture, we construct a independent, synthetic dataset based on selected RNA families from the Rfam database version 14.9. Specifically, we sample sequences from the covariance models of every RNA family and fold each of these sequences with RNAfold (Lorenz et al., 2011) to obtain the secondary structure. We assign the sequences of 30 families to the test set, 25 to the validation set, and the remaining 3796 families to the training set ensuring no overlap of families between the datasets. The resulting datasets contain 410408, 2727, and 3344 samples for training, validation, and testing, respectively. A more detailed description of the sampling process is provided in Appendix B.1.

3.2 HOMOLOGY AWARE RNA DATA SPLITS

In the following, we explain our approach to curating an accurate, homology-aware RNA train/test split for experimental data that is independent of of the synthetic data used before.

Data Collection We collect a large training data pool from the following public sources: the bpRNA-1m meta-database (Danaee et al., 2018), the ArchiveII (Sloma & Mathews, 2016) and RNAS-trAlign (Tan et al., 2017) datasets provided by (Chen et al., 2020), all data from RNA-Strand (Andronescu et al., 2008), as well as all RNA-containing data from the Protein Data Bank (PDB) (wwp, 2019), downloaded on September 23, 2023. After removing redundant sequences, our initial data pool consists of $107,098$ samples. We use the commonly used test sets `TS1`, `TS2`, `TS3`, and `TS-Hard`, and the sets `VL0`, `VL1`, and another 50 randomly selected PDB samples for validation. All four test sets as well as VL0 and VL1 are originally provided by (Singh et al., 2019) and (Singh et al., 2021b). For our evaluations, we gather `TS1`, `TS2`, and `TS3` into a single test set, *TS-PDB*, containing 125 samples, and keep the `TS-Hard` set separate for further analysis.

Data Pre-processing Secondary structures for PDB samples were derived from the 3D structure information using DSSR (Lu et al., 2015). For NMR-solved structures, such as those in `TS2`, model-1 structures were considered as the reference structure. For annotation of pseudoknots, we use bpRNA (Danaee et al., 2018), while ignoring base multiplets.

Data Filtering Our filtering pipeline consists of three steps: 1) We use CD-HIT-EST (Fu et al., 2012) to remove sequence similarity between training, validation, and test samples at the strictest threshold of 80%. 2) We perform a subsequent BLAST-N search (Altschul et al., 1997) to remove hits from the training and validation data for every test sequence at a very high e-value of 10. 3) We build covariance models, similar to those used for the RNA family database (Rfam) (Kalvari et al., 2020), for every test sample considering sequence and structure similarity using LocaRNA-P (Will et al., 2012) and Infernal (Nawrocki & Eddy, 2013), and remove every training and validation sample with a hit against any of the covariance models at an e-value of 0.1. In line with the recent literature, we apply a general length cutoff at 500 nucleotides to reduce computational costs during training (Singh et al., 2019; 2021b; Fu et al., 2022). For a more detailed description of this step, please see Appendix B.2.

Data Splitting For training the RNAformer, we curate three datasets: (1) Following the strictest data processing pipeline used in previous work (Singh et al., 2021b), we curate a pre-training dataset of $66,242$ samples that is non-homologous with respect to `TS-Hard`, while considering sequence similarity (80% similarity cutoff and BLAST-N search; see Appendix B.2) only for `TS-PDB`. This procedure ensures that the RNAformer is comparable to all other methods after pre-training. (2) To compare against AlphaFold 3, we create a non-filtered fine-tuning set, *FT-Homolog*, consisting of 4244 samples drawn from all PDB entries in the initial pool, using the same cutoff date for data selection as AlphaFold 3 (September 30, 2021) without considering homologies but excluding sequences with an exact match in `TS-PDB` or `TS-Hard`. (3) Lastly, we create a fine-tuning set, *FT-Non-Homolog*, of 3432 PDB samples without sequence and structure similarity between them and the test samples in `TS-PDB` and `TS-Hard` ensured by using our three-step-pipeline. We provide an overview of the datasets in Appendix Table B.2.

4 EXPERIMENTS

We perform two types of experiments: first, on synthetic data to test the architecture's capabilities (Section 4.1), and then on experimental data to show the impact of data homologies and performance on PDB samples (Section 4.2).

All experiments are implemented in PyTorch (Paszke et al., 2019). We train the smallest RNAformer on a single NVIDIA A10 GPU and the others on 4-8 A100 GPUs. We use AdamW (Loshchilov & Hutter, 2019) with weight decay 0.1 as the optimizer for pre-training and AdamCPR (Franke et al., 2023) with an L_2-norm constraint of 0.8 of the initial parameter L_2 norm for fine-tuning. In both cases, we apply a cosine learning rate schedule and a learning rate warm-up. Due to the two-dimensional latent space, we have a higher memory footprint. We adress this by limiting the sequence length to 500, using FlashAttention for a memory-efficient implementation (Dao et al., 2022), and using gradient accumulation. We provide additional hyperparameters in Appendix Table C. We treat RNA secondary structure prediction as a binary classification task over the base pairs and use the masking technique described in Section 2.2 to address the class imbalance.

Table 1: We train models in three different sizes, with (↺) and without recycling, on a dataset created with RNAfold predictions of the Rfam sequences. We evaluate on a family-based test set split and report the mean F1 score (see Appendix D) for the predicted base pairs across three runs with different random seeds. A structure is considered solved if the prediction matches the ground truth exactly. The scores increase with model size, demonstrating the scalability of the RNAformer architecture. High scores indicate that our model is capable of learning a biophysical model, similar to RNAfold.

Model	Rfam TS	
	F1 score	Solved
RNAformer 32M ↺	**0.967**	**83.5**%
RNAformer 32M	0.948	68.1%
RNAformer 8M	0.919	49.7%
RNAformer 2M	0.846	22.9%

4.1 LEARNING A BIOPHYSICAL MODEL

It was disputed in the RNA community whether deep learning approaches are generally capable of learning a biophysical model of RNA folding, or if the strong performance results from similarity between training and test samples only (Flamm et al., 2021; Szikszai et al., 2022; Qiu, 2023). We address this by learning a biophysical model implemented in the thermodynamic model of RNAfold (Hofacker et al., 1994) as recently suggested (Flamm et al., 2021). A straightforward approach for our experiment is to use synthetic data, where we can generate large amounts of training samples while having full control over sequence length and family affinity as described in detail in Section 3.1. This simplified setup allows us to analyze different aspects of our model without restrictions on the number of available training samples and to assess the general ability of the RNAformer to learn reasonable features of RNA structures across families.

RNAformer's Performance Scales with Model Size An important property of modern deep learning algorithms is that their performance scales with data and model size (Kaplan et al., 2020). To test the scalability of the RNAformer, we train multiple RNAformer model sizes with $2M$, $8M$, and $32M$ parameters. We train each model three times with random seeds and report the mean results over the runs. As shown in Table 1, we increasingly approach RNAfold's "ground truth" results as we increase the model size. Our largest model achieves a high mean F1 score of 0.948 (±0.026; see Table 1 on the test set). This could be further improved by using latent space recycling (Jumper et al., 2021) to an F1 Score of 0.967 ± 0.017 and 84% correct structure predictions.

RNAformer Learns Sequence and Structure Features To further analyze the structure predictions learned by the RNAformer, we compare its output to the ground truth data with respect to different structural features like stems or multi-loops and analyze the ratios of base pairs. The RNAformer captures all the structural features nearly perfectly with all base pair frequencies matched exactly, see Appendix Table E.1. In accordance with the underlying data generated with RNAfold, which only contains canonical base pairs, the RNAformer does not predict any non-canonical base pairs across three independent runs. Furthermore, our model strongly reduces false predictions of pseudoknots and multiplets. Previous work reported 48.8% pseudoknot and 75.6% multiplet predictions for the samples in a similar experiment without pseudoknots and multiplets in the datasets (Flamm et al., 2021). Here, only 1.9% of the predictions of the RNAformer contained pseudoknots and 5.3% included multiplet predictions.

It was recently shown that some deep learning methods scale quadratically in the number of predicted base pairs with increasing sequence length, a considerable flaw since a structure of length l must form less than $l/2$ base pairs (ignoring multiplets) (Flamm et al., 2021). We, therefore, analyze the number of predicted base pairs of the RNAformer as a function of the sequence length when training on the synthetic data provided by RNAfold predictions. The results are summarized in Figure 3. Although the RNAformer slightly overestimates the number of base pairs, our analysis reveals robust support for linear scaling behavior and no heteroscedasticity of the residuals, we report details in Appendix F.

Figure 3: Analysis of the number of predicted base pairs of the RNAformer over different sequence lengths. a) Linear regression fit of the RNAformer predictions (blue) and the ground truth RNAfold (yellow). The number of predicted base pairs scales linearly with the sequence length for both the RNAformer predictions and RNAfold. b) Analysis of the residuals (errors). The residuals show no heteroscedasticity, albeit there are a few outliers for the RNAformer without statistical significance, indicating equal variance of the predictions over the sequence lengths. c) The distributions of the residuals. The error distributions of the linear regression models for RNAfold and RNAformer predictions appear visually similar and close to a normal distribution.

Table 2: The mean F1-score of the base pair predictions from three fine-tuning runs with different random seeds of the RNAformer compared to AlphaFold 3 on `TS-PDB` and `TS-Hard`.

Model (trained without homology awareness)	TS-PDB	TS-Hard
RNAformer	**0.855**	**0.845**
Alphafold 3 (Abramson et al., 2024)	0.817	0.688

4.2 Learning Homology Aware RNA Secondary Structure Prediction

RNA secondary structures derived from 3D structures from PDB are considered the gold standard of secondary structure data. Most of the derived structures contain pseudoknots and base multiplets, which are typically excluded from most RNA secondary structure datasets and not considered by traditional methods. Since high-quality RNA data is scarce, recent deep learning methods typically use a fine-tuning strategy by first pre-training a model on large amounts of secondary structure data that was predominantly derived from comparative sequence analysis (Choudhary et al., 2017) and then fine-tuning the model on the high-quality experimental data (Singh et al., 2019; 2021b; Fu et al., 2022). We apply the fine-tuning strategy for the RNAformer by first pre-training a model on a large corpus of data collected from several publicly available databases (see Section 3) and then fine-tuning our model on high-quality experimental data collected from the PDB as described in Section 3. For evaluation, we use the two test sets `TS-PDB` and `TS-Hard`. Both test sets contain all types of base interactions, including non-canonical base pairs, pseudoknots, and base multiplets.

4.2.1 Prediction Quality Without Homology Awareness

We first use the pre-trained model to finetune an RNAformer on the non-filtered dataset `FT-Homolog`. This experiment provides an upper bound for the performance by allowing homology between training and test data. We compare RNAformer with the current best RNA 3D structure prediction model, AlphaFold 3 (Abramson et al., 2024). This setup is a fair comparison

Table 3: The mean F1-score on the base pair prediction of three runs on `FT-Non-Homolog` with different randomly chosen seeds of the RNAformer in comparison to other methods on the `TS-PDB` and `TS-Hard` benchmarks. We use the following abbreviations for additional requirements: ES – Ensemble, TP – Thermodynamic Parameters, MSA – Multi-sequence Alignment, PRE – Pre-processing, PO – Postprocessing, EM – Embeddings. Only ss80 refers to methods with data pipelines that only consider sequence similarity at a cutoff of 80% during data splitting. We observe that the RNAformer outperforms existing methods, despite having a stricter data pipeline and without additional data requirements such as MSAs. Please find additional metrics in Appendix G

Model	Only ss80	Additional Requirements	TS-PDB F1-Score	TS-Hard F1-Score
RNAformer finetuned		–	**0.764**	**0.679**
RNAformer pretrain		–	0.723	0.601
SPOT-RNA2 (Singh et al., 2021b)		PRE, MSA	0.754	0.666
UFold (Fu et al., 2022)	✗	PO	0.738	0.587
SPOT-RNA (Singh et al., 2019)	✗	ES	0.734	0.663
RNA-FM (Chen et al., 2022)	✗	EM	0.729	0.665
MXFold2 (Sato et al., 2021)		TP	0.691	0.667
ContraFold (Do et al., 2006)		–	0.669	0.625
SPOT-RNA2 w/o MSA		PRE	0.668	0.637
RNAFold (Lorenz et al., 2011)	n/a	TP	0.659	0.636
LinearFold-V (Huang et al., 2019)	n/a	–	0.657	0.633
IPknot (Sato et al., 2011)	n/a	PO	0.652	0.611
RNAstructure (Reuter & Mathews, 2010)	n/a	TP	0.642	0.606
LinearFold-C (Huang et al., 2019)	n/a	–	0.632	0.610
PKiss (Janssen & Giegerich, 2015)	n/a	TP	0.615	0.613

because AlphaFold 3 did not consider data homologies either. Since Alphafold 3 predicts the entire 3D structure, we use DSSR (Lu et al., 2015) to extract the secondary structures from their tertiary predictions. The results comparing the RNAformer and AlphaFold 3 on RNA secondary structure prediction are shown in Table 2. Surprisingly, the RNAformer outperforms Alphafold 3 on both test sets, achieving high F1 scores of 0.855 and 0.845 on `TS-PDB` and `TS-Hard`, respectively, compared to 0.817 and 0.688 by AlphaFold 3. While the prediction of RNA 3D structures as done with AlphaFold 3 is arguably more challenging and typically would not allow a direct comparison, our results highlight that the RNAformer is capable of successfully learning the features of experimentally derived RNA secondary structures with high accuracy.

4.2.2 STATE-OF-THE-ART HOMOLOGY AWARE RNA SECONDARY STRUCTURE PREDICTION

We finetune the base model on the `FT-Non-Homolog` dataset to get a homology-aware RNA secondary structure prediction model. Table 3 provides an overview of results in comparison to other approaches. We report the mean performance across three training runs with different random seeds for the RNAformer. Despite other methods using less strict homology criteria in the data pipeline or additional requirements, they are outperformed by the RNAformer on the two test sets after finetuning with F1 Scores of 0.764 on `TS-PDB` and 0.679 on `TS-Hard`. We found the fine-tuning strategy crucial to achieving state-of-the-art results on experimental data. While solely pre-training achieved on-par performance with RNA-FM on the test set `TS-PDB`, fine-tuning further increased performance by roughly 6%. This improvement is even stronger for `TS-Hard`, where we observe an increase in the F1 Score of roughly 12%. We provide a visual comparison of the RNAformer to SPORT-RNA2 and RNAfold in Figure 1 and in Appendix Figure H.1. We find that the RNAformer captures the topology of the structure nearly exactly. We further analyze the predictions on `TS-PDB` as a function of the sequence length, we compare the RNAformer with the most commonly used methods. Appendix Figure I.1 shows the results of a linear regression fit for each of the models. The performance of the RNAformer only slightly decreases with the length of the input sequence, while we observe a stronger decrease RNAfold and RNAstructure.

Figure 4: We analyze the attention matrices of different layers of the RNAformer, followed by its final predicted adjacency matrix, and the true structure for PDB ID 1EHZ (XRD, 1.93 Å). We observe a gradual refinement of the adjacency matrix in the latent space beginning with the row and column embedding in the first layer and gradually contributing to the final prediction. Each point in the final predicted matrix represents the probability that a base pair exists.

Our evaluations on the test set `TS-Hard`, suggest that the performance of the RNAformer still correlates with the homology between training and test samples, since we apply the strictest data processing pipeline in the pre-training stage to `TS-Hard`. This is in line with the benefits from homologous data in the experiments in Section 4.2.1. However, our analysis of experimentally derived structures supports our finding that the RNAformer generalizes better to unseen RNA families. A key contributor to this achievement is our problem domain-specific architecture, which allows the RNAformer to successively refine its own prediction across layers, as indicated by the attention heatmaps shown in Figure 4. We provide additional plots of different samples in Appendix Figure J.1.

5 DISCUSSION & CONCLUSION

Our experiments show that the RNAformer architecture can learn a biophysical model as indicated by very high F1 Scores of 0.967 when remodeling the predictions of RNAfold as well as nearly perfect reconstruction of the sequence and structure features (see Table 1 and Table E.1, respectively). Furthermore, our approach overcomes the quadratic scaling behavior in the number of predicted base pairs with increasing sequence length that was reported previously for deep learning-based approaches, scaling linearly in the number of predicted base pairs. Since we learn our model across families, we preclude that the strong performance results from learning data homologies, but rather, that it indicates RNAformer has learned the underlying biophysical model of the RNAfold modeling of the folding process.

Our study for the predictions on experimentally derived structures with all types of base interactions revealed that fine-tuning appears to be crucial to achieving strong performance. Compared to our pre-training model, the performance improved substantially after fine-tuning, resulting in state-of-the-art performance while using much stricter criteria to avoid homology between training and test data compared to previous work (Table 3). Notably, we observe that the RNAformer even outperforms the current state-of-the-art homology modeling method SPOT-RNA2 without the use of MSAs. The RNAformer is thus capable of predicting the structures of RNA for completely unseen families. The importance of the data processing is further supported by our strong performance on homologous data, outperforming AlphaFold 3.

On the downside, the additional performance of the RNAformer comes with a large memory requirement due to the two-dimensional representation in the latent space. However, an inference step is still very fast since it requires only one forward pass and advancements in tensor and graphic processing units increase the accessibility to larger deep learning models despite increasing computational demands. While the matrix representation of secondary structures enables deep learning methods to predict all types of base pairs, the sparsity of base pairs in the matrix bears the risk of incorrect classifications. The potential space of incorrect predictions scales quadratically with sequence length, while the number of base pairs only scales linearly. This achievement could be attributed to our loss masking technique which reduces the class imbalance. Nevertheless, in our experiments on synthetic data, the RNAformer strongly reduces the number of incorrectly predicted pseudoknots from roughly 50% reported in previous work to roughly 2% (Flamm et al., 2021).

For multiplets, this reduction is even stronger where RNAformer predicts roughly 5% of multiplets compared to 75% reported previously (Flamm et al., 2021).

Overall, our comprehensive analysis clearly demonstrates that our lean deep neural network architecture achieves state-of-the-art performance on RNA secondary structure prediction without the need for additional features. The RNAformer overcomes the flaws reported for previous deep learning based approaches, making it a strong alternative to commonly used, non-deep learning based methods in the field.

REFERENCES

Protein data bank: the single global archive for 3d macromolecular structure data. *Nucleic acids research*, 47(D1):D520–D528, 2019.

Josh Abramson, Jonas Adler, Jack Dunger, Richard Evans, Tim Green, Alexander Pritzel, Olaf Ronneberger, Lindsay Willmore, Andrew J Ballard, Joshua Bambrick, et al. Accurate structure prediction of biomolecular interactions with alphafold 3. *Nature*, pp. 1–3, 2024.

Stephen F Altschul, Thomas L Madden, Alejandro A Schäffer, Jinghui Zhang, Zheng Zhang, Webb Miller, and David J Lipman. Gapped blast and psi-blast: a new generation of protein database search programs. *Nucleic acids research*, 25(17):3389–3402, 1997.

Mirela Andronescu, Anne Condon, Holger H Hoos, David H Mathews, and Kevin P Murphy. Efficient parameter estimation for rna secondary structure prediction. *Bioinformatics*, 23(13):i19–i28, 2007.

Mirela Andronescu, Vera Bereg, Holger H Hoos, and Anne Condon. RNA STRAND: the RNA secondary structure and statistical analysis database. *BMC bioinformatics*, 9:1–10, 2008.

Mirela Andronescu, Anne Condon, Holger H Hoos, David H Mathews, and Kevin P Murphy. Computational approaches for rna energy parameter estimation. *RNA*, 16(12):2304–2318, 2010.

Helen M Berman, John Westbrook, Zukang Feng, Gary Gilliland, Talapady N Bhat, Helge Weissig, Ilya N Shindyalov, and Philip E Bourne. The protein data bank. *Nucleic acids research*, 28(1): 235–242, 2000.

Clément Bernard, Guillaume Postic, Sahar Ghannay, and Fariza Tahi. Has alphafold 3 reached its success for rnas? *bioRxiv*, pp. 2024–06, 2024.

Sohini Bhattacharya, Ayush Jhunjhunwala, Antarip Halder, Dhananjay Bhattacharyya, and Abhijit Mitra. Going beyond base-pairs: topology-based characterization of base-multiplets in rna. *RNA*, 25(5):573–589, 2019.

Édouard Bonnet, Paweł Rzążewski, and Florian Sikora. Designing RNA secondary structures is hard. *Journal of Computational Biology*, 27(3):302–316, 2020.

Chun-Chi Chen and Yi-Ming Chan. Redfold: accurate RNA secondary structure prediction using residual encoder-decoder network. *BMC bioinformatics*, 24(1):1–13, 2023.

Jiayang Chen, Zhihang Hu, Siqi Sun, Qingxiong Tan, Yixuan Wang, Qinze Yu, Licheng Zong, Liang Hong, Jin Xiao, Irwin King, et al. Interpretable rna foundation model from unannotated data for highly accurate rna structure and function predictions. *arXiv preprint arXiv:2204.00300*, 2022.

Xinshi Chen, Yu Li, Ramzan Umarov, Xin Gao, and Le Song. RNA secondary structure prediction by learning unrolled algorithms. In *International Conference on Learning Representations*, 2020.

Krishna Choudhary, Fei Deng, and Sharon Aviran. Comparative and integrative analysis of rna structural profiling data: current practices and emerging questions. *Quantitative Biology*, 5(1): 3–24, 2017.

Padideh Danaee, Mason Rouches, Michelle Wiley, Dezhong Deng, Liang Huang, and David Hendrix. bpRNA: large-scale automated annotation and analysis of RNA secondary structure. *Nucleic acids research*, 46(11):5381–5394, 2018.

Tri Dao, Daniel Y. Fu, Stefano Ermon, Atri Rudra, and Christopher Ré. FlashAttention: Fast and memory-efficient exact attention with IO-awareness. In *Advances in Neural Information Processing Systems*, 2022.

Rhiju Das, Rachael C Kretsch, Adam J Simpkin, Thomas Mulvaney, Phillip Pham, Ramya Rangan, Fan Bu, Ronan M Keegan, Maya Topf, Daniel J Rigden, et al. Assessment of three-dimensional rna structure prediction in casp15. *Proteins: Structure, Function, and Bioinformatics*, 91(12): 1747–1770, 2023.

Charles Delisi and Donald M Crothers. Prediction of rna secondary structure. *Proceedings of the National Academy of Sciences*, 68(11):2682–2685, 1971.

Jie Deng, Xianyang Fang, Lin Huang, Shanshan Li, Lilei Xu, Keqiong Ye, Jinsong Zhang, Kaiming Zhang, and Qiangfeng Cliff Zhang. Rna structure determination: From 2d to 3d. *Fundamental Research*, 3(5):727–737, 2023.

Chuong B Do, Daniel A Woods, and Serafim Batzoglou. CONTRAfold: RNA secondary structure prediction without physics-based models. *Bioinformatics*, 22(14):e90–e98, 2006.

Stefan Elfwing, Eiji Uchibe, and Kenji Doya. Sigmoid-weighted linear units for neural network function approximation in reinforcement learning. *Neural networks*, 107:3–11, 2018. Special issue on deep reinforcement learning.

Christoph Flamm, Julia Wielach, Michael T Wolfinger, Stefan Badelt, Ronny Lorenz, and Ivo L Hofacker. Caveats to deep learning approaches to RNA secondary structure prediction. *Biorxiv*, pp. 2021–12, 2021.

Jörg Franke, Frederic Runge, and Frank Hutter. Probabilistic transformer: Modelling ambiguities and distributions for RNA folding and molecule design. *Advances in Neural Information Processing Systems*, 35:26856–26873, 2022.

Jörg KH Franke, Michael Hefenbrock, Gregor Koehler, and Frank Hutter. Constrained parameter regularization. *arXiv preprint arXiv:2311.09058*, 2023.

Laiyi Fu, Yingxin Cao, Jie Wu, Qinke Peng, Qing Nie, and Xiaohui Xie. Ufold: fast and accurate RNA secondary structure prediction with deep learning. *Nucleic acids research*, 50(3):e14–e14, 2022.

Limin Fu, Beifang Niu, Zhengwei Zhu, Sitao Wu, and Weizhong Li. Cd-hit: accelerated for clustering the next-generation sequencing data. *Bioinformatics*, 28(23):3150–3152, 2012.

Jack Hanson, Kuldip Paliwal, Thomas Litfin, Yuedong Yang, and Yaoqi Zhou. Accurate prediction of protein contact maps by coupling residual two-dimensional bidirectional long short-term memory with convolutional neural networks. *Bioinformatics*, 34(23):4039–4045, 2018.

Jonathan Ho, Nal Kalchbrenner, Dirk Weissenborn, and Tim Salimans. Axial attention in multidimensional transformers. *arXiv preprint arXiv:1912.12180*, 2019.

Ivo Hofacker, Walter Fontana, Peter Stadler, Sebastian Bonhoeffer, Manfred Tacker, and Peter Schuster. Fast Folding and Comparison of RNA Secondary Structures. *Monatshefte fuer Chemie/Chemical Monthly*, 125:167–188, 02 1994.

Liang Huang, He Zhang, Dezhong Deng, Kai Zhao, Kaibo Liu, David A Hendrix, and David H Mathews. Linearfold: linear-time approximate RNA folding by 5'-to-3'dynamic programming and beam search. *Bioinformatics*, 35(14):i295–i304, 2019.

Stefan Janssen and Robert Giegerich. The RNA shapes studio. *Bioinformatics*, 31(3):423–425, 2015.

John Jumper, Richard Evans, Alexander Pritzel, Tim Green, Michael Figurnov, Olaf Ronneberger, Kathryn Tunyasuvunakool, Russ Bates, Augustin Žídek, Anna Potapenko, et al. Highly accurate protein structure prediction with alphafold. *Nature*, 596(7873):583–589, 2021.

Andrew J Jung, Leo J Lee, Alice J Gao, and Brendan J Frey. Rtfold: Rna secondary structure prediction using deep learning with domain inductive bias. In *The 2022 ICML Workshop on Computational Biology. Baltimore, Maryland, USA*, 2022.

Marek Justyna, Maciej Antczak, and Marta Szachniuk. Machine learning for RNA 2d structure prediction benchmarked on experimental data. *Briefings in Bioinformatics*, 24(3):bbad153, 2023.

Jiao Kai, Hao Yaya, Wang Fei, Wang Lihua, Fan Chunhai, and Li Jiang. Structurally reconfigurable designer RNA structures for nanomachines. *Biophysics Reports*, 7(1):21–34, 2021.

Ioanna Kalvari, Eric P Nawrocki, Nancy Ontiveros-Palacios, Joanna Argasinska, Kevin Lamkiewicz, Manja Marz, Sam Griffiths-Jones, Claire Toffano-Nioche, Daniel Gautheret, Zasha Weinberg, Elena Rivas, Sean R Eddy, Robert D Finn, Alex Bateman, and Anton I Petrov. Rfam 14: expanded coverage of metagenomic, viral and microRNA families. *Nucleic Acids Research*, 49(D1):D192–D200, 11 2020. ISSN 0305-1048.

Jared Kaplan, Sam McCandlish, Tom Henighan, Tom B Brown, Benjamin Chess, Rewon Child, Scott Gray, Alec Radford, Jeffrey Wu, and Dario Amodei. Scaling laws for neural language models. *arXiv preprint arXiv:2001.08361*, 2020.

Rachael C Kretsch, Ebbe S Andersen, Janusz M Bujnicki, Wah Chiu, Rhiju Das, Bingnan Luo, Benoît Masquida, Ewan KS McRae, Griffin M Schroeder, Zhaoming Su, et al. Rna target highlights in casp15: Evaluation of predicted models by structure providers. *Proteins: Structure, Function, and Bioinformatics*, 91(12):1600–1615, 2023.

Michael H Kutner, Christopher J Nachtsheim, John Neter, and William Li. *Applied linear statistical models*. McGraw-hill, 2005.

Zeming Lin, Halil Akin, Roshan Rao, Brian Hie, Zhongkai Zhu, Wenting Lu, Nikita Smetanin, Robert Verkuil, Ori Kabeli, Yaniv Shmueli, Allan dos Santos Costa, Maryam Fazel-Zarandi, Tom Sercu, Salvatore Candido, and Alexander Rives. Evolutionary-scale prediction of atomic-level protein structure with a language model. *Science*, 379(6637):1123–1130, 2023.

Ronny Lorenz, Stephan H. Bernhart, Christian Höner zu Siederdissen, Hakim Tafer, Christoph Flamm, Peter F. Stadler, and Ivo L. Hofacker. ViennaRNA package 2.0. *Algorithms for Molecular Biology*, 6(1):26, Nov 2011. ISSN 1748-7188.

Ilya Loshchilov and Frank Hutter. Decoupled weight decay regularization. In *International Conference on Learning Representations*, 2019.

Xiang-Jun Lu, Harmen J Bussemaker, and Wilma K Olson. Dssr: an integrated software tool for dissecting the spatial structure of RNA. *Nucleic acids research*, 43(21):e142–e142, 2015.

Wenjie Luo, Yujia Li, Raquel Urtasun, and Richard Zemel. Understanding the effective receptive field in deep convolutional neural networks. *Advances in neural information processing systems*, 29, 2016.

David H Mathews. How to benchmark RNA secondary structure prediction accuracy. *Methods*, 162: 60–67, 2019.

David H Mathews, Troy C Andre, James Kim, Douglas H Turner, and Michael Zuker. An updated recursive algorithm for rna secondary structure prediction with improved thermodynamic parameters. ACS Publications, 1998.

Kevin V Morris and John S Mattick. The rise of regulatory RNA. *Nature Reviews Genetics*, 15(6): 423–437, 2014.

Eric P Nawrocki and Sean R Eddy. Infernal 1.1: 100-fold faster RNA homology searches. *Bioinformatics*, 29(22):2933–2935, 2013.

Wilma K Olson, Shuxiang Li, Thomas Kaukonen, Andrew V Colasanti, Yurong Xin, and Xiang-Jun Lu. Effects of noncanonical base pairing on rna folding: structural context and spatial arrangements of g· a pairs. *Biochemistry*, 58(20):2474–2487, 2019.

Adam Paszke, Sam Gross, Francisco Massa, Adam Lerer, James Bradbury, Gregory Chanan, Trevor Killeen, Zeming Lin, Natalia Gimelshein, Luca Antiga, et al. Pytorch: An imperative style, high-performance deep learning library. *Advances in neural information processing systems*, 32, 2019.

Xiangyun Qiu. Sequence similarity governs generalizability of de novo deep learning models for rna secondary structure prediction. *PLOS Computational Biology*, 19(4):e1011047, 2023.

Jessica S Reuter and David H Mathews. RNAstructure: software for RNA secondary structure prediction and analysis. *BMC bioinformatics*, 11(1):1–9, 2010.

Elena Rivas. Evolutionary conservation of rna sequence and structure. *Wiley Interdisciplinary Reviews: RNA*, 12(5):e1649, 2021.

Elena Rivas, Raymond Lang, and Sean R Eddy. A range of complex probabilistic models for rna secondary structure prediction that includes the nearest-neighbor model and more. *RNA*, 18(2): 193–212, 2012.

Kengo Sato, Yuki Kato, Michiaki Hamada, Tatsuya Akutsu, and Kiyoshi Asai. Ipknot: fast and accurate prediction of RNA secondary structures with pseudoknots using integer programming. *Bioinformatics*, 27(13):i85–i93, 2011.

Kengo Sato, Manato Akiyama, and Yasubumi Sakakibara. RNA secondary structure prediction using deep learning with thermodynamic integration. *Nature communications*, 12(1):1–9, 2021.

Bohdan Schneider, Blake Alexander Sweeney, Alex Bateman, Jiri Cerny, Tomasz Zok, and Marta Szachniuk. When will rna get its alphafold moment? *Nucleic Acids Research*, 51(18):9522–9532, 2023.

J. Singh et al. Improved RNA secondary structure and tertiary base-pairing prediction using evolutionary profile, mutational coupling and two-dimensional transfer learning. *Bioinformatics*, 37 (17):2589–2600, 2021a.

Jaswinder Singh, Jack Hanson, Kuldip Paliwal, and Yaoqi Zhou. RNA secondary structure prediction using an ensemble of two-dimensional deep neural networks and transfer learning. *Nature communications*, 10(1):1–13, 2019.

Jaswinder Singh, Kuldip Paliwal, Tongchuan Zhang, Jaspreet Singh, Thomas Litfin, and Yaoqi Zhou. Improved RNA secondary structure and tertiary base-pairing prediction using evolutionary profile, mutational coupling and two-dimensional transfer learning. *Bioinformatics*, 37, 2021b.

Michael F Sloma and David H Mathews. Exact calculation of loop formation probability identifies folding motifs in RNA secondary structures. *RNA*, 22(12):1808–1818, 2016.

David W Staple and Samuel E Butcher. Pseudoknots: RNA structures with diverse functions. *PLoS biology*, 3(6):e213, 2005.

Jianlin Su, Murtadha Ahmed, Yu Lu, Shengfeng Pan, Wen Bo, and Yunfeng Liu. Roformer: Enhanced transformer with rotary position embedding. *Neurocomputing*, 568:127063, 2024.

Marcell Szikszai, Michael Wise, Amitava Datta, Max Ward, and David H Mathews. Deep learning models for RNA secondary structure prediction (probably) do not generalize across families. *Bioinformatics*, 38(16):3892–3899, 2022.

Marcell Szikszai, Marcin Magnus, Siddhant Sanghi, Sachin Kadyan, Nazim Bouatta, and Elena Rivas. Rna3db: A structurally-dissimilar dataset split for training and benchmarking deep learning models for rna structure prediction. *Journal of Molecular Biology*, pp. 168552, 2024.

Cheng Tan, Zhangyang Gao, Hanqun Cao, Xingran Chen, Ge Wang, Lirong Wu, Jun Xia, Jiangbin Zheng, and Stan Z. Li. Deciphering RNA secondary structure prediction: A probabilistic k-rook matching perspective. In Ruslan Salakhutdinov, Zico Kolter, Katherine Heller, Adrian Weller, Nuria Oliver, Jonathan Scarlett, and Felix Berkenkamp (eds.), *Proceedings of the 41st International Conference on Machine Learning*, volume 235 of *Proceedings of Machine Learning Research*, pp. 47564–47578. PMLR, 21–27 Jul 2024.

Zhen Tan, Yinghan Fu, Gaurav Sharma, and David H Mathews. Turbofold ii: RNA structural alignment and secondary structure prediction informed by multiple homologs. *Nucleic acids research*, 45(20):11570–11581, 2017.

Ignacio Tinoco, Olke C Uhlenbeck, and Mark D Levine. Estimation of secondary structure in ribonucleic acids. *Nature*, 230(5293):362–367, 1971.

Ignacio Tinoco Jr and Carlos Bustamante. How RNA folds. *Journal of molecular biology*, 293(2): 271–281, 1999.

Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Ł ukasz Kaiser, and Illia Polosukhin. Attention is all you need. In I. Guyon, U. Von Luxburg, S. Bengio, H. Wallach, R. Fergus, S. Vishwanathan, and R. Garnett (eds.), *Advances in Neural Information Processing Systems*, volume 30. Curran Associates, Inc., 2017.

Sebastian Will, Tejal Joshi, Ivo L Hofacker, Peter F Stadler, and Rolf Backofen. LocARNA-P: accurate boundary prediction and improved detection of structural RNAs. *RNA*, 18(5):900–914, 2012.

Shay Zakov, Yoav Goldberg, Michael Elhadad, and Michal Ziv-Ukelson. Rich parameterization improves rna structure prediction. *Journal of Computational Biology*, 18(11):1525–1542, 2011.

Michael Zuker. On finding all suboptimal foldings of an rna molecule. *Science*, 244(4900):48–52, 1989.

APPENDIX

A MASKING

(a)   (b)   (c)

Figure A.1: Mask construction process for a sequence of 23 nucleotides (nts) with padding of 2 due to a batch with a maximum length of 25 nts. (a) Original adjacency matrix with 4 base pairs (BPs). Black entries represent BPs, white-unpaired regions, and red entries indicate padding. (b) Mask after the first step (white area will be ignored during training): selecting regions with vicinity of 3 from the BPs. (c) Mask after second step: expanding mask to 60% of entries with random unpaired bases.

B DATA

This section details the process of dataset creation used for learning the RNA biophysical model as well as the homology-aware secondary structure prediction. In the latter case, we consider both sequence and structure similarity between the training and test sets to avoid data leakage due to structural homology, which is recognized as a significant factor in the model's performance. (Flamm et al., 2021; Szikszai et al., 2022; Qiu, 2023)

B.1 SYNTHETIC DATASET

The synthetic dataset is created based on the Rfam database version 14.9 (Kalvari et al., 2020). First, we select Rfam families with covariance model (CM) characterized by the maximum number of matching position in the alignment (*CLEN*) of ≤ 500 and generate a large set of sequences from CM of each selected family using Infernal. We then combine sampled sequences into the initial dataset in a way that guarantees two-thirds being generated from CMs with *CLEN* ≤ 200 and one-third from CMs with *CLEN* > 200, with the latter used to increase family diversity. To obtain the corresponding secondary structures, the sequences are folded using *RNAfold*. Prior to that, we apply a length cutoff at 200 nucleotides because RNAfold's prediction accuracy drops on longer sequences and this reduces computational costs. The resulting dataset contains 410408, 2727, and 3344 samples from 3796, 25, and 30 families for the training, validation, and test sets, respectively. Further details can be found in Table B.1.

B.2 EXPERIMENTAL DATASET

A common way of ensuring a fair comparison between RNAformer and its competitors would be to retrain and evaluate them on the same datasets. However, this would be computationally infeasible and in some cases impossible due to the undisclosed training pipelines (Singh et al., 2019; 2021b; Abramson et al., 2024). Hence, we propose an alternative strategy, in which we group methods based on the level of homology between their training and test sets defined by the sequence and structure similarity.

Recent publications report three different ways of assessing similarity between sets and following that, our data processing pipeline consists of three steps: 1) We use CD-HIT-EST (Fu et al., 2012) to remove sequence similarity between training, validation, and test samples, 2) a subsequent BLAST-N search (Altschul et al., 1997) removes hits from the training and validation sets for any sequence

in the test set, and 3) we implement a pipeline that considers sequence and structure similarity to ensure non-homologous data splits.

Sequence Similarity   We remove sequence similarity between the training, validation, and test sets by applying CD-HIT-EST with a similarity cutoff at 80% between all sets. This pipeline is commonly used in previous works (Singh et al., 2019; Sato et al., 2021; Fu et al., 2022; Chen et al., 2022; Franke et al., 2022)

BLAST-N Search   In addition to removing similar sequences via CD-HIT-EST, we apply a BLAST-N-search (Altschul et al., 1997) at a high e-value of 10 to further remove training and validation samples that are recognized by BLAST-N as hits for any of the test samples. This pipeline was applied by SPOT-RNA and SPOT-RNA2 to further reduce sequence similarity (Singh et al., 2019; 2021b).

Covariance Models   We use BLAST-N (Altschul et al., 1997) to search for homologs for each sample of the test sets `TS-PDB` and `TS-Hard` using NCBI's nt database as a reference. We then create sequence- and structure-aware alignments using LocARNA-P (Will et al., 2012). For each of the resulting alignments, we build a covariance model using Infernal (Nawrocki & Eddy, 2013) and remove training and validation samples with a hit to the covariance model at an e-value of 0.1. A similar data pipeline was used for SPOT-RNA2 (Singh et al., 2021b), however, in that work the consensus structures for alignments were predicted using SPOT-RNA instead of an appropriate sequence- and structure-based alignment tool like LocARNA-P.

Table B.1: Overview of datasets used in the biophysical model experiment. This dataset is generated by inferring RNAfold.

Dataset	# Samples	Length				# Families
		Minimum	Maximum	Mean	Median	
Train	410408	22	200	95.2	85.0	3796
Valid	2727	34	160	80.2	78.0	25
Test	3344	37	182	79.4	74.0	30

Table B.2: Overview of datasets derived from experimental structures and comparative sequence analysis.

		Minimum	Maximum	Mean	Median
Pre-training	66242	13	500	129.0	99.0
FT-Homolog	4244	4	200	57.9	47.0
FT Non-Homolog	3432	11	200	61.7	48.0
Valid (Pre-Training)	1302	33	497	131.0	105.0
Valid (FT-Homolog)	105	33	189	68.0	58.0
Valid (FT Non-Homolog)	35	33	159	76.4	64.0
TS-PDB	125	33	189	68.0	61.0
TS-Hard	28	34	189	65.6	50.5

C HYPERPAREMTERS

Table C.1: RNAformer Hyperparameters for Pretraining

Hyperparameter	Homology-Aware Base Model	Biophysical Model
Model Architecture		
Model Dimension	256	256
Number of RNAformer Blocks	6	6
Number of Attention Heads	4	4
ConvNet Dimonsion	1024	1024
ConvNet Kernel Size	3	3
Embedding Dropout	0.4	0.1
Residual Dropout	0.4	0.1
Layer Normalization Epsilon	1.0e-05	1.0e-05
Initializer Range	0.02	0.02
Maximum Sequence Length	500	200
Minimum Sequence Length	10	10
Optimizer (AdamW)		
Learning Rate	0.001	0.001
Weight Decay	0.1	0.1
Beta 1	0.9	0.9
Beta 2	0.98	0.98
Learning Rate Scheduler		
Schedule	Cosine	Cosine
Decay Factor	0.01	0.01
Warmup Steps	1000	2000
Total Training Steps	20000	100000
Training Configuration		
Batch Token Size	400	600
Latent Recycling	1	6
Gradient Accumulation Steps	8	0
Number of Devices	4	4
Gradient Clipping Value	1.0	1.0
Number of Nodes	2	2
Precision	BF16 Mixed	BF16 Mixed

Table C.2: RNAformer Hyperparameters for Finetuning

Hyperparameter	Homology-Aware Finetuning	AF3-like Finetuning
Batch Size	128	128
Effective Batch Size	4	4
Maximum Sequence Length	200	200
Maximum Training Steps	1200	4000
Warmup Steps	800	2000
Learning Rate	1.0e-06	1.0e-04
Learning Rate Scheduler	Constant	Constant
Gradient Clipping Value	0.1	0.1
Number of Devices	4	4
Precision	BF16 Mixed	BF16 Mixed
Cycling	8	8
CPR Initialization	Dependent	Dependent
CPR Parameter	0.8	0.8

D   METRICS

We employ commonly used measures for RNA secondary structure prediction: F1 score, MCC, and F1-shift which are calculated based on a confusion matrix that describes the number of true positives (TP), true negatives (TN), false positives (FP), and false negatives (FN) of a given prediction.

F1 score   The F1 score describes the harmonic mean of precision ($PR = TP/(TP + FP)$) and recall ($RC = TP/(TP + FN)$ written as $F1 = 2 \cdot TP/(2 \cdot TP + FP + FN)$.

Matthews Correlation Coefficient   While the F1 score emphasizes positives, the MCC is a more balanced measure defined as:

$$MCC = \frac{(TP \cdot TN) - (FP \cdot FN)}{\sqrt{(TP + FP) \cdot (TP + FN) \cdot (TN + FP) \cdot (TN + FN)}} \qquad (4)$$

F1-shift   The F1-shift accounts for structural dynamics in RNAs (Mathews, 2019) and is computed similarly to the F1 score with the difference that for a given pair (i, j) all pairs $(i, j + 1)$, $(i + 1, j)$, $(i, j - 1)$, and $(i - 1, j)$ are also considered correct.

E   QUALITATIVE ANALYSIS OF BIOPHYSICAL MODEL EXPERIMENT

Table E.1: Analysis of structural elements and base pair predictions of the RNAformer and the RNAfold algorithm. We use the following abbreviations: S – Stem, HL – Hairpin Loop, EL – External Loop, IL – Internal Loop, BL – Bulge Loop. The prediction frequencies of the elements are nearly identical.

Relative frequency of bases in structural context						
Model	S	HL	ML	EL	IL	BL
RNAformer	0.602	0.132	0.016	0.089	0.109	0.030
RNAfold Lorenz et al. (2011)	0.607	0.131	0.015	0.090	0.105	0.031

Relative frequency of base pair types						
Model	AU	UA	GC	CG	GU	UG
RNAformer	0.170	0.177	0.265	0.267	0.062	0.058
RNAfold Lorenz et al. (2011)	0.170	0.177	0.265	0.267	0.062	0.058

F RNAFORMER'S NUMBER OF PREDICTED BASE PAIRS SCALES LINEARLY WITH SEQUENCE LENGTH

Using Ordinary Least Squares (OLS) regression, we observe that both the number of predicted base pairs of the RNAformer and RNAfold, scale linearly with sequence length (Figure 3 a)) with coefficients of 0.3251 and 0.3408 for RNAfold and the RNAformer, respectively. These results indicate a consistent increase in base pairs with longer sequences, albeit the RNAformer seems to slightly overestimate the number of base pairs as indicated by the slightly higher coefficient. The linear trend is further substantiated by high $\mathcal{R}^2$-values of 0.819 and 0.818 for the RNAformer and RNAfold, respectively, demonstrating a strong goodness of fit of the linear models, which explain a substantial portion of the variance in the data. Importantly, the residual analysis of the RNAformer predictions (Figure 3 b)) revealed no heteroscedasticity as indicated by a non-significant p-value of 0.152 using Levene's test.

Despite robust support for linearity, the residual distributions (Figure 3 c)) visually suggest normality; however, this hypothesis is statistically rejected as indicated by very low Shapiro-Wilk test p-values for the RNAformer as well as RNAfold (near zero). Typically, this deviation from normality could compromise the reliability of regression standard errors, influencing confidence intervals and hypothesis tests (Kutner et al., 2005). To address this, we employed a robust regression model using the Huber T norm with a MAD scale estimate, which reduces the influence of outliers and leverages points that could distort OLS regression estimations. This approach confirmed significant linear terms (coefficients of 0.3392 for RNAformer and 0.3284 for RNAfold), closely aligning with OLS regression results and bolstering our confidence in the linear model despite potential data anomalies.

Furthermore, we implemented a Generalized Linear Model (GLM) with a Poisson distribution, apt for modeling the count nature of base pairs which inherently accommodates their discrete and non-negative distribution. The Poisson GLM, with its log link function, facilitated a transformation aligning with the expected count data behavior, reinforcing a consistent linear relationship when back-transformed to the original scale (coefficients of 0.0109 for RNAformer and 0.0106 for RNAfold). The excellent model fit, indicated by pseudo $\mathcal{R}^2$ values exceeding 0.93, validates the linear trend across sequence lengths.

In conclusion, the comprehensive analysis employing OLS regression, robust regression, and Poisson GLM robustly confirms the linear scalability of the RNAformer's predicted number of base pairs with sequence length, providing a compelling alternative to previous models that were flawed by quadratic scaling.

G PERFORMANCE COMPARISON ON ADDITIONAL METRICS

To provide further insights into our evaluation, we list below the results of the different approaches in our comparison with more metrics from Section D.

Table G.1: The mean performance of three fine-tuning runs with different random seeds of the RNAformer in comparison to other methods on the `TS-PDB` benchmark.

Model	TS-PDB				
	F1-Score	F1-Shift	MCC	Precision	Recall
RNAformer finetuned	**0.764**	**0.793**	**0.767**	0.834	**0.720**
RNAformer pretrained	0.723	0.750	0.733	0.846	0.655
SPOT-RNA2 (Singh et al., 2021b)	0.754	0.790	0.759	0.850	0.692
UFold (Fu et al., 2022)	0.738	0.770	0.741	0.816	0.686
SPOT-RNA (Singh et al., 2019)	0.734	0.758	0.742	0.851	0.665
RNA-FM (Chen et al., 2022)	0.729	0.752	0.741	**0.878**	0.643
MXFold2 (Sato et al., 2021)	0.691	0.718	0.704	0.856	0.593
ContraFold (Do et al., 2006)	0.669	0.697	0.678	0.803	0.588
SPOT-RNA2 w/o MSA	0.668	0.700	0.671	0.745	0.620
RNAFold (Lorenz et al., 2011)	0.659	0.682	0.667	0.792	0.576
LinearFold-V (Huang et al., 2019)	0.657	0.682	0.665	0.790	0.574
IPknot (Sato et al., 2011)	0.652	0.667	0.666	0.820	0.558
RNAstructure (Reuter & Mathews, 2010)	0.642	0.668	0.650	0.774	0.559
LinearFold-C (Huang et al., 2019)	0.632	0.650	0.648	0.809	0.537
PKiss (Janssen & Giegerich, 2015)	0.615	0.639	0.621	0.727	0.545

Table G.2: The mean performance of three fine-tuning runs with different random seeds of the RNAformer in comparison to other methods on the `TS-Hard` benchmark.

Model	TS-Hard				
	F1-Score	F1-Shift	MCC	Precision	Recall
RNAformer finetuned	**0.679**	**0.703**	**0.684**	0.762	**0.631**
RNAformer pretrain	0.601	0.629	0.616	0.747	0.537
MXFold2 (Sato et al., 2021)	0.667	0.695	0.676	0.800	0.588
SPOT-RNA2 (Singh et al., 2021b)	0.666	0.700	0.673	0.757	0.620
RNA-FM (Chen et al., 2022)	0.665	0.691	0.683	**0.845**	0.575
SPOT-RNA (Singh et al., 2019)	0.663	0.686	0.674	0.796	0.593
SPOT-RNA2 w/o MSA	0.637	0.667	0.639	0.692	0.613
RNAFold (Lorenz et al., 2011)	0.636	0.659	0.641	0.752	0.561
LinearFold-V (Huang et al., 2019)	0.633	0.659	0.638	0.749	0.558
ContraFold (Do et al., 2006)	0.625	0.659	0.636	0.756	0.557
PKiss (Janssen & Giegerich, 2015)	0.613	0.640	0.620	0.715	0.558
IPknot (Sato et al., 2011)	0.611	0.617	0.624	0.767	0.528
LinearFold-C (Huang et al., 2019)	0.610	0.630	0.628	0.796	0.514
RNAstructure (Reuter & Mathews, 2010)	0.606	0.633	0.611	0.722	0.533
UFold (Fu et al., 2022)	0.587	0.623	0.591	0.657	0.553

H ADDITIONAL SAMPLES OF RNA SECONDARY STRUCTURE PREDICTIONS

Figure H.1: Example secondary structure predictions for RNA samples with PDB IDs (top to bottom): 2LHP (NMR, 100%, E-Value: 2.264e-15), 2N6S (NMR, 100%, E-Value: 8.362e-15), 6PMO (XRD, 2.657 Å), 2NQP (XRD, 3.5 Å), and 4WJ3 (XRD, 3.705 Å). We find that the RNAformer captures the topology of the structure nearly exactly.

I PERFORMANCE ANALYSIS OVER SEQUENCE LENGTH

Figure I.1: Analysis of the base pair predictions of the RNAformer fine-tuned on PDB data (mean over three random seeds) in comparison to those of the competitors. The plot shows the F1 Scores of the single sequences in the `TS-PDB` test set over the sequence lengths. The line shows a linear regression fit and indicates that the performance of the RNAformer scales well with the sequence length.

J   ADDITIONAL ATTENTION MATRIX FIGURES

Figure J.1: Attention matrices of RNAformer predictions for RNA samples with PDB IDs: (a) 2LHP (NMR, 100%, E-Value: 2.264e-15), (b) 2N6S (NMR, 100%, E-Value: 8.362e-15), (c) 6PMO (XRD, 2.657 Å), (d) 2NQP (XRD, 3.5 Å), and (e) 4WJ3 (XRD, 3.705 Å). The first 3 matrices show the attention matrix of an attention head through RNAformer layers 1, 3, and 5. The final predicted adjacency matrix is then shown, followed by the adjacency matrix of the true structure.