Title: Prevalence and preferred niche of small eukaryotes with mixotrophic potentials in the
global ocean
Running head: Prevalence of mixotrophs in global oceans

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Data Availability
All dataset used in this study were archived with Dryad (Forthcoming on Dryad). Original
metabarcode and environmental context data were publicly deposited by the *Tara Oceans*
Project, under Pangaea website (https://doi.pangaea.de/10.1594/PANGAEA.840721) and
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Conflict of Interest
The authors declare no conflict of interest.

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Abstract

Single-celled eukaryotes that are capable of phago-mixotrophy in the ocean compete inorganic nutrients and light with autotrophs and bacterial prey with heterotrophs, yet the role these factors play in shaping the success of mixotrophs remain ambiguous. In this study, we ask what environmental variables could impact the prevalence of small eukaryotic mixotrophs in vast open ocean, and what role does nutritional resource play in these interactions. We used the *Tara Oceans* eukaryotic 18S rRNA gene dataset and environmental variables to conduct large-scale field based analysis, and isolates based culture experiments to verify growth and nutritional resource relationships for representative mixotorphs. The field observation showed that comparing to autotrophic and heterotrophic eukaryotes, mixotrophs were favored by environments with lower nutrients and higher light availability. Bacterial prey and abiotic conditions including temperature and depths of nitracline, maximal chlorophyll and mixed layer also presented somewhat correlations with mixotrophic prevalence but to less significant extent. The culture experiments demonstrated that most mixotrophs could maintain similarly high growth rates when receiving sufficient light and nutrients, either as inorganic form or organic bacterial prey, but not necessarily with low light and high nutrients conditions. This study revealed close relationship between abiotic and biotic nutritional resource and prevalence of mixotrophy, shedding light on the importance of light and nutrients for determining competitive success of mixotrophs versus autotrophic and heterotrophic eukaryotes in the ocean.

Introduction

Pico- and nano-sized small eukaryotes (<3-20 μm) represent the dominant microbial communities in ocean food webs, and through metabolic activities such as photosynthesis and phagocytosis, they control the flux of elements and energy between primary producers and consumers. All protists with inherent chloroplasts were traditionally treated as strict autotrophs (only photosynthesize), and those without inherited chloroplasts were treated as strict heterotrophs (only phagocytose). It has become now increasingly evident that many of those autotrophs/heterotrophs are in fact mixotrophs, phagotrophic mixotrophs in particularly, who are capable of both photosynthesis and phagocytosis (Sher and Sher, 2002; Stoecker et al., 2017; Millette et al., 2023). Depending on whether the organism possesses its own chloroplasts, mixotrophs can be further categorized into constitutive mixotrophs and non-constitutive mixotrophs. Constitutive mixotrophs (the focus of current study) are normally small-size flagellated eukaryotes feeding on mostly bacterial prey (Bock et al., 2021; Li et al., 2022), whereas non-constitutive mixotrophs are larger-sized microplankton (20-200 μm) which graze on both eukaryotic and bacterial prey (Flynn et al., 2013; Mitra et al., 2016). Due to their distinct metabolic activities and trophic efficiencies, trophic (group) compositions can have substantial impact on biogeochemistry and function of the ecosystem.

In a given ecosystem, mixotrophs and autotrophs compete (inorganic) nutrients and light for autotrophic growth, and bacterial prey (including autotrophic bacteria) with bacterivorous heterotrophs for heterotrophic growth. Although results may vary, previous studies have suggested the important role these nutritional resource (nutrients, light and prey) play in terms of physiological performance and competitive advantages for mixotrophs (Rothhaupt,

1996; Thingstad et al., 1996; Anderson et al., 2018; Edwards, 2019). The principle is that
mixotrophy could be highly beneficial when single trophic mode is not sufficiently sustained.
Ward (2019) has proposed a conceptual model to claim 'mixotrophy is more than the sum of
its parts' due to its highly flexible metabolism. Meanwhile, it is necessary to acknowledge the
tradeoff behind this universal mixotrophic trait, that is, photosynthesis and/or phagocytosis
performance alone is very likely penalized (Raven, 1997). Alongside these metabolic
tradeoffs, complex biological interactions including the microbial loop
(bacteria-DOC-nutrients) add on additional uncertainty for competitive outcomes among
those three trophic groups. Nevertheless, it is logical to speculate that nutrients, light and
bacterial prey are among the most crucial drivers affecting growth and fitness of these trophic
groups.
Field observations have shown mixotrophy can be advantageous on community level
when conditions are not ideal for autotrophy, such as mature state (summer season) of
temperate sea (Mitra et al., 2014; Hansen et al., 2019; Leles et al., 2021) and oligotrophic
ocean (Zubkov and Tarran 2008; Hartmann et al., 2012; Edwards, 2019) or lakes (Tittel et al.
2003; Hansson et al., 2019; LeNoac'h et al., 2024). In another word, conditions that are
unfavorable for strict autotrophy or heterotrophy could possibly select for mixotrophy. With
climate change, evidence have shown that mixotrophs may play a more important role due to
their metabolic plasticity (Wilken et al., 2013; Berge et al., 2017; Lepori-Bui et al., 2022; Chu
et al., 2023). All these factors make it challenging yet critical to understand the relationship
between environment and trophic composition of protists in the ocean. Motivated by these
findings, we are particularly interested to delineate the prevalence and favored niche of
mixotrophs versus strict autotrophs and heterotrophs in vast open oceans across the globe.
The goal of this study is to gain insights on ocean environments that could possibly affect
the relative success of mixotrophs and underlying mechanisms behind it. We aim to answer
the following scientific questions, 1) What are the overall composition and distribution
pattern of mixotrophic protists in open oceans? 2) Are there specific environments under
which mixotrophs are favored over autotrophs and heterotrophs; 3) If so, what role does
nutrients, light and bacterial prey play in those selecting processes? We use the eukaryotic
18S rRNA gene dataset from *Tara Oceans Expedition* to assign trophic mode to each
lineage/specie and assess relationship between trophic composition and environmental
variables. We then run culture experiments to confirm relationships between representative
mixotrophic isolates and key variables identified from the field (*Tara Oceans*). The *Tara
Oceans* analysis revealed factors that are potentially important for shaping the trophic
composition, and the experimental results provided detailed and metabolic evidence for it.
Materials and Methods
Study regions
Tara Oceans Data generated by *Tara Oceans expedition* between 2009 and 2013 are
particularly suited to study the genetic and functional diversity of plankton
(http://www.pangaea.de). In this study, a total of 104 stations and 165 samples were
investigated, among which all 104 sites have surface samples and 61 sites had both surface and
chlorophyll maximal depth. A surface dataset (SUR) that had 104 samples and surface and
DCM dataset that include 122-165 samples (SUR&DCM) were generated for different
statistical analysis. These samples were collected during four different seasons (Spring,

Summer, Autumn, Winter) between 2009-2013 from eight oceanic regions (Northern Atlantic Ocean, Mediterranean Sea, Red Sea, Indian Ocean, Southern Atlantic Ocean, Southern Ocean, Southern Pacific Ocean and Northern Pacific Ocean) with broad latitudinal (64.4ºS-43.7ºN) and longitudinal ranges (159.0ºW-73.9ºE). Bathy depths varied from 13 to 5964 km and distance to coast are between 0.9-1404 km.

Contextual environmental variables

We built an environmental context datasets for further statistical analysis following these three steps. First, representative environmental variables were downloaded from both the Tara Oceans PANGAEA data repository (http://www.pangaea.de) and a variety of publications including Pesant et al., (2015), Picheral et al. (2014), Ardyna et al., (2017); Ibarbalz et al., (2019); Faure et al., (2019); Karlusich et al. (2022) etc. (**Supplementary Dataset S1**). Major approaches of retrieving these variables were in situ sensors, lab analysis of water samples, climatological instruments and model simulations, following standard protocols and analytical methods (details in those previously listed literatures). Second, these over 100 variables were manually filtered down to 77 (by eliminating similar and less relevant ones) to reduce colinearity and inflation of dimensionality. Examples include salinity was chosen over conductivity, density was chosen over Sigma-theta, and moon phase durations and current residual time etc. Lastly, when variables have values from multiple measurements and/or calibration/calculation methods, only the most accurate or suitable one (for explaining community compositions) was selected. For example, median values for photosynthetically available radiation (PAR), averaged on an 8-day interval and calculated based on attenuation coefficient and surface irradiance observed by AMODIS were selected over a 1-day interval to avoid fluctuations caused by short-term weather conditions. Total chlorophyll *a* concentrations derived from sensor measurement and calibrated with non-photosynthetic quenching and discrete water sample measurements were used among other available measurements. Additional comparisons were also done to confirm there were no overall significant differences between the chosen one and other measurements for the same variable, e.g. 8-day averaged PAR vs 30-day averaged PAR, total Chl *a* derived from sensor vs water samples vs MODIS, and nutrients concentrations derived from water samples and model simulation etc.

The final contextual environmental dataset generated 29 variables (**Supplementary Dataset S2)**, representing physical conditions such as temperature, salinity, density, depth of chlorophyll maximum (DCM), mixed layer depth (MLD), nitracline depth and euphotic depth, climatology such as PAR and sunshine duration, biochemical conditions such as total Chl *a*, oxygen, nutrients (NO_3+NO_2, NO_2, PO_4, SiO_4), PH, total alkalinity, total carbon, fluorescence, particulate organic carbon (POC), particulate inorganic carbon (PIC), attenuation coefficient of PAR (Kd PAR), and bacterial abundances including autotrophic (*Prochlorococcus* and *Synechococcus*) and heterotrophic bacteria. Note that longitudes, latitudes, distance to coasts, sampling depths and bathy depths were not included for the analysis to better focus on the aforementioned climatological, biochemical and physical variables.

18S rRNA gene abundances and corrected cell abundances

Detailed genomic sample process methods were described in de Vargas et al. (2015). Briefly, community genomic DNA were extracted from cells filtered through membrane

filters with different pore sizes (0.8μm, 5μm, 20μm) after pre-filtration with nylon sieves (to
retrieve different plankton communities). In this study, we focused on the 0.8-5μm size range
which contributed the majority of eukaryotic community in sampled regions (de Vargas et al.,
2015). Eukaryotic V9-18S rRNA gene region were sequenced through Illumina HiSeq,
assembled, filtered and classified with SWARM for OTUs. The sequence files were
downloaded from the European Nucleotide Archive under project number PRJEB6610. Then
we re-assigned OTUs to the lowest taxonomic rank possible referring to the Protist
Ribosomal Reference (PR2) database (Guillou et al., 2013). Multicellular organisms from
metazoan and larger plankton of Ciliophora, Radiolaria and Foraminifera that were unlikely
the dominant populations for small eukaryotes below 5 μm were removed from further
analysis. This final gene dataset has generated 64,010,687 sequences and 881
lineages/species for further analysis.
Eukaryotic community are known to have substantially different 18S rRNA gene copy
numbers，varying from as low as one copy in flagellates belonging to Ochrophyta (Li et al.,
2022) to thousands of copies per cell in dinoflagellates (Zhu et al. 2005). Overall cellular
biovolume have been shown to be positively correlated with 18S rRNA gene copy numbers
but certain taxa tended to have particularly high (skewed) copy numbers, such as
dinoflagellates (Gong et al., 2019; Martin et al., 2022). To correct for this bias and obtain
gene-converted-cell abundances, we first collected empirical data from broad eukaryotic
populations, focusing on small cells with equivalent diameter <5-10 μm and biovolume
<65-523 μm^3 (**Supplementary Table S1**), then fit a linear correlation equation between
empirical 18S rRNA gene copy numbers and cellular biovolume for individual group of
dinoflagellates, diatom and other small flagellates (haptophytes, dictyochophytes,
chlorophytes etc.). Finally, a correction factor (C.F.) for each group was calculated using
upper limit biovolume of 65 μm^3, that gives 59.3, 10.5 and 4.7 for dinoflagellates, diatom and
all other small flagellates. For comparison, Martin et al. (2022) used 27.1, 4.4 and 0.9 C.F.
for dinoflagellates, diatom and all other flagellates. Gene abundances were converted into cell
abundances by diving gene numbers with C.F. for each group. The corrected cell abundance
was the focus for further analysis but 18S rRNA gene abundances were also assessed
occasionally for comparison purpose.
Assignment of trophic mode
We focus on the trophic strategy potentials which reflect long-term eco-evolutionary
results among different lineage, rather than the active mixotrophic activities which are
associated with in situ physiological and environmental conditions. Therefore, we assigned
each lineage/specie (when possible) into three trophic groups, including (potential)
mixotrophs (pigmented eukaryotes with indicated phagocytosis capability), heterotrophs
(flagellated protists and absence of inherent chloroplasts) and autotrophs (pigmented protists
has no available evidence of phagocytosis) by searching evidence among published database
and references (e.g. Leles et al., 2019; Li et al., 2022; 2023; Martin et al., 2022; Mitra et al.,
2023). When trophic annotation is impossible at lineage or specie level, their affiliated genus
or family was referred to for annotation. A small portion of parasites (e.g., Fungi,
Amoebozoa, Syndiniales) and symbionts (e.g. Dinoflagellata) were assigned as 'others' and
not included for further analysis. Some of the uncharacterized or uncultivated taxa were
assigned based on trophic mode from phylogenetic relatives. The filtration has generated a

final trophic composition dataset with 58,001,584 sequences and 842 species
(**Supplementary Dataset S3**). We acknowledge the limitation of this trophic annotation
methodology, and address them further in the Discussion.

Trophic index and trophic composition

The relative prevalence or success of each trophic group at a given site was expressed as
the trophic index of each group (TI_g), where g represents three trophic groups of mixotroph
(M), autotroph (A) and heterotroph (H). Therefore, TI_g of TI_M, TI_A, and TI_H can be quantified
as their relative abundance against total eukaryotic community (T), either in cell or gene
abundances (expressed as M:T, A:T and H:T). The combined three index of TI_M, TI_A and TI_H
can represent the overall picture of trophic composition at a given site.

Statistical analysis

Surface dataset (SUR) were used for redundancy analysis (RDA) to retrieve an overall
distribution pattern of trophic groups among 104 stations, and potential relationship against
environmental variables. The 29 filter contextual variables were all considered for the
analysis, with missing values for each variable replaced with means and then log-transformed
for standardization prior to analysis. Hellinger transformation was done to species
abundances and escoufier selection with a 0.9 threshold was applied to the trophic species.
Environmental variables passed significance test and colinearity test were presented on the
RDA plot. The RDA was carried out in R with the vegan package, following Faure et al.'s
script (2019). Principal components analysis (PCA) was applied to extract the major
components that explaining the environmental gradients from both SUR and SUR&DCM
datasets with R package pcaMethods (Stacklies et al., 2007). PCA was carried out for both
SUR (104 samples) and SUR&DCM dataset (165 samples) separately to achieve the most
comprehensive results. After main variable components were extracted, TI_g index were
plotted over two axes of PC1 and PC2 with generalized additive model. Stepwise regression
between all variables and TI_M were conducted to retrieve important variables explaining
mixotrophic prevalence, and linear regression between single variables and TI_g were carried
out to provide additional correlation results. ANOVA was conducted for the culture
experiments to reveal factorial impact on growth rates and grazing rates among various
mixotrophic isolates.

Culture experiments

Methods for isolating and cultivating mixotrophs from North Pacific Subtropical gyre
was decribed in Li et al. (2021; 2022). Briefly, mixotrophs were isolated from euphotic zone
samples at Station ALOHA (22° 45' N, 158° 00' W) by enriching in nitrogen-depleted Keller
medium (K-N medium) that supplemented with bacterial prey. Six identified isolates
belonging to different classes and phylogenetically close to the abundant *Tara Oceans*
lineages were selected for grazing and growth experiments. Prior to experiments, all isolates
were acclimated for 1-2 months (duplicated over 10 generations) under corresponding
treatment conditions, i.e., low nutrients (K-N medium) and high light, low nutrients and low
light, high nutrients (K medium) and high light, and high nutrients and low light. The high
and low light treatments were receiving ~100 and ~10 μM photons m^{-2} s^{-1} irradiance on a 12
h:12 h light:dark cycle. All treatments were supplemented with gently centrifuged and
washed bacterial prey of *Prochlorococcus* (str. MIT9301) at final concentrations of 10^6 cells
mL^{-1} to estimate grazing capabilities of examined mixotrophs. Controls without added prey

or grazers were also set up at each condition for comparison purposes. Cell concentrations of
prey and grazers were measured every 12–24 hours by flow cytometry and ingestion
evidences were occasionally obtained via microscopic observation.
Ingestion rates, I (prey grazer^{-1} h^{-1}) for each grazer were calculated as the amount of prey
removed between sampling time t+1 and t, divided by averaged grazer concentrations over
the same time period. Clearance rates were calculated by dividing the ingestion rate by
average prey concentration over the same interval, and specific clearance rate (body volume
grazer^{-1} h^{-1}) was calculated by dividing the clearance rate by cellular biovolume (μm^3) of
each grazer (measured under microscopy). Clearance rates reported in the results were
averaged over all sampling intervals prior to grazers reaching stationary density. Growth rates
presented in the results were averaged mean rates during exponential growth phase (slope of
Ln transformed cell abundance vs time).

Results

Trophic composition of eukaryotes across the *Tara Oceans* sampling sites

The 104 surface samples encompassed eight ocean habitats on large spatial scale (**Fig.**
1a). Geographically they span roughly four latitudinal regions, from low (0º-20º) to
low-medium (20º-30º), medium (30º-40º), and medium-high latitude (40º-60º), with overall
decreasing PAR (from 27.3 to 14.7 mol m^{-2} d^{-1}), increasing nutrients (from 0.05 to 17.3 μM
NO3+NO2), and over stable heterotrophic bacterial abundances (4.3-5.3 ×10^5 cells mL^{-1})
(**Table 1; Fig. 1b**). The globally averaged means were 21.3 mol m^{-2} d^{-1}, 0.14 μM and 4.5
×10^5 cells mL^{-1} for PAR, NO3+NO2 and heterotrophic bacteria, demonstrating typical open
ocean environments. Smaller-regional variations were also present. The higher nutrients
concentrations in low latitude regions were possibly due to Peruvian coast and eastern
equatorial upwelling, and lower PAR in medium latitudes was because Mediterranean Sea
were sampled in winter. Three trophic index of TI$_M$, TI$_A$, and TI$_H$ varied from 0.23-0.34,
0.10-0.32 and 0.43-0.51 in cell abundance, and 0.29-0.45, 0.08-0.24 and 0.38-0.46 in gene
abundance, respectively. TI$_M$ in cell abundance were overall somewhat lower than gene
abundance. Nevertheless, the highest TI$_M$ median values were both retrieved from
low-medium latitude, and the lowest values were from low latitude (cell abundance) and
medium-high latitudes (gene abundance), respectively (**Table 1; Fig. 1c**). In comparison,
high and low TI$_A$ median values were from low and medium-high latitude and low-medium
latitude (both cell and gene abundance). TI$_H$ did not change as much across latitudinal
gradients, but highest median values in cell and gene abundance were shown in low-medium
and medium-high latitudes, respectively.
Before the gene-cell correction, mixotrophs were mostly composed of Dinoflagellata,
Haptophyta and Ochrophyta, and with corrected cell abundances, dominant mixotrophs were
retrieved from Haptophyta and Ochrophyta (**Fig. 1d**). Proportions of dinoflagellates
decreased for heterotrophic community after gene-cell conversion, and the rest abundant
heterotrophs were belonging to phyla of Telonemia, Opalozoa and Discoba, both in cell and
gene abundances. Differences for autotrophic compositions on phyla level in cell and gene
abundances were insignificant, which were both dominated by Chlorophyta and Ochrophyta.
Redundancy analysis (**Fig. 1e; Supplementary Fig. S1**) suggested stations from the same
ocean or latitudinal regions tended to cluster together. Certain species were more abundant in
lower latitudes, such as Chrysophyceae_Clade G_sp. of Ochrophyta, *Chrysochromulina* sp.

and Haptophyta_HAP2_XXX_sp. of Haptophyta, and *Karlodinium veneficum* of
Dinoflagellata for mixotrophs, MOCH.2_XXX sp. and Chlorophyta_XXXX_sp. of
Chlorophyta, and MAST.4C_XX sp. and Telonemia Goup2_X sp. for heterotrophs. Those
taxa were positively affiliated with PAR and DCM, and negatively related with nutrients
(NO3+NO2 and NO2) and other variables located on the right side of the RDA plot. Other
species, including *Geminigera Cryophila* of Cryptophyta and *Triparma Mediterranean* of
Ochrophyta for mixotrophs and Telonemia Group1 for heterotrophs, were abundant at higher
latitude and positively correlated with oxygen and sunshine duration. *Micromonas* A2 and
Bacillariophyta_XXX sp. for autotrophs were associated with high MLD, POC and NO2; and
Cryptomonadales_XX_sp. for mixotrophs and NPK2 lineage_X_sp. for heterotrophs were
affiliated with high abundances of *Prochlorococcus*.
Variables contributing to trophic composition
Among the 29 selected predictors, availability of nutrients (including NO3+NO2 and
silicate), PAR and temperature were the top variables revealed by principle component
analysis explaining environmental variations for both surface and surface & DCM datasets
(**Table 2; Figs. 2&3**). Specifically, both PC axes (R^2 of 0.30) for the surface and DCM
samples were mostly explained by PAR and nutrients (loading coefficients varied from -0.42
to 0.17). When only considering surface samples, PC1 axis (R^2 of 0.35) was mostly
contributed by nutrients and temperature, while PC2 axis (R^2 of 0.24) were mostly explained
by MLD, DCM and PAR.
Generalized additive model fitted smoothers have demonstrated the most substantial
responses by mixotorphs to changing principle components. Specifically, there was a
decreasing trend of TI_M along PC1 for both datasets, indicating decreasing fitness of
mixotroph with increasing nutrients (surface) (**Fig. 2a**), as well as decreasing PAR and
increasing nutrients (surface & DCM) (**Fig. 2d**). TI_M did not demonstrate changes with the
PC2 axis from surface samples so results were not shown. It is noteworthy that the slope for
decreasing TI_M when nutrients are increasing and PAR were decreasing was most steep
among all plots. For PC2 axes of surface &DCM dataset, TI_M presented a unimodal shape
with changing nutrients and PAR conditions, with maximal values obtained around zero PC2
scores (**Fig. 2g**). These results may suggest a more complex non-linear relationship between
TI_M and co-varying nutrients and light conditions. TI_A overall increased with increasing
nutrients for the surface dataset (**Fig. 2b**), and increasing nutrients and decreasing PAR for
the surface & DCM dataset (**Fig. 2e**). When nutrients and PAR changed in the same direction
(PC2 for surface & DCM dataset), TI_A did not show clear variation (**Fig. 2h**). TI_H overall
varied much less with changing PAR and nutrients availability comparing to TI_M and TI_A
(**Figs. 2c, f, i**), among which a weak unimodal shape was observed with PC1 from surface
dataset (**Figs. 2c**). For the gene abundances, overall similar trends were found
(**Supplementary Fig. 3)**. Somewhat steeper decreasing slope for TI_M on PC1 for surface
samples and increasing slope (before the peak) on PC2 for surface & DCM samples
(**Supplementary Fig. 3a, g**). In comparison, the weak increasing trend of TI_H over surface
sample PC1 disappeared (**Supplementary Fig. 3c**), and a somewhat stronger increase of TI_H
were demonstrated along both axes of surface & DCM dataset (**Supplementary Fig. 3f, i).**
Consistent with the PCA results, clear differences were observed for TI_g between surface
and DCM samples, accompanied with distinct resources availability of nutrients, light, and

bacterial prey (**Fig. 4**). Significantly higher TI_M were seen in surface where PAR and heterotrophic bacteria were higher and N (NO3+NO2) were lower (median 0.29 vs 0.18, P<0.001, df=60). In comparison, TI_A were slightly higher in DCM comparing to surface but not statistical significant (median 0.30 vs 0.29, P>0.05, df=60), and TI_H were significantly higher in DCM than surface (median 0.52 vs 0.47, P<0.05, df=60) (**Fig. 4a, b**). Linear regression between TI_M and these single variables have suggested a consistently positive relationship with PAR and negative correlation with nutrients (NO3+NO2), from both surface and surface & DCM dataset (**Fig. 4c, d**). TI_A demonstrated significantly positive and negative correlations with nutrients and PAR, whereas neither was significantly associated with TI_H (**Supplementary Figs. S2a-h**). For heterotrophic bacteria, a positive but weaker relationship (P=0.02) were found between TI_M and the surface & DCM dataset but not the surface dataset (**Fig. 4c, d**). In contrast, both datasets have demonstrated strong negative associations between heterotrophic bacteria and TI_H, and a weaker but positive correlation with TI_A in the surface (P<0.05) (**Supplementary Fig. S2i-l**). Other single variables showed significant regressions with TI_M were all shown in **Supplementary Fig. S3**.

Mixotrophic growth with varying nutritional resource

The top abundant *Tara Oceans* mixotrophs were belonging to classes of Prymnesiophyceae, Dictyochophyceae, Chrysophyceae, Dinophyceae and Cryptophyceae (**Fig. 5a**). Mixotrophs we isolated from North Pacific Subtropical Gyre that have been shown to be efficient bacteria (including *Prochlorococcus*) grazers are closely affiliated to those abundant *Tara Oceans* lineages, i.e., Chrysochromulina sp. (Chrysochr.) and Prymnesiophyceae_XXX_sp. (PrymXXX) of Prymnesiophyceae, Chrysophyceae_Clade-H_X_sp. (ChrysoH) of Chrysophyceae, and *Florenciella Parvula* (F. Parvula), Dictyochales_X_sp. (DictyX) and Florenciellales_X_sp. (FloX) of Chrysophyceae (Li et al., 2021; 2022). The strain of FloX was not shown in Fig. 5a but ranked as the 26th most abundant lineage across all stations. The relative abundances of those isolates retrieved from surface were all higher than DCM except for DictyX (**Fig. 5b**), presenting similar patterns as the entire mixotroph community (TI_M results in **Fig. 4a**). Considering the consistent and substantial responses of TI_M to nutrients and PAR derived from statistical analysis and field observations, we conducted experiments to assess impacts of different light (high and low) and nutrients (inorganic and organic nutrients) availability on growth of those six representative mixotrophs (**Fig. 5c**).

Distinct growth curves (increase slopes and final abundances) were presented among different treatments for the six isolates (**Fig. 6a**), accompanied by different disappearance rates of prey (**Fig. 6b**). Prey in controls (without mixotrophic grazers) all presented slower disappearance rates comparing to cultures with grazers but to different levels among strains, and grazers in control (without prey) grew to much lower concentrations, i.e, all $<1\times10^3$ cells mL^{-1} in low nutrients (NO3) medium and similar concentrations to those with supplemented prey in high nutrients medium (data not shown). Shown as exponential growth rates (bars in **Fig. 6c**), the majority isolates presented the highest values when grown under high light (100 $\mu E\ m^{-2}\ s^{-1}$), either receiving high nutrients or sufficient prey. Lower growth rates were seen with low light treatments for certain isolates, including Chrysochr., PrymXXX and FloX. For grazing rates, estimated as clearance rates (biovolume $grazer^{-1}\ h^{-1}$), both low nutrients or low-light conditions could stimulate the overall grazing rates, indicated by longer bars and

treatment cartons in **Fig. 6d**. In contrast, when mixotrophs were limited by both nutrients and
light, clearance rates could be significantly lower for some strains, such as DictyX and FloX.
ANOVA results have suggested that significant effects of light were observed among all
six isolates, i.e, to increase growth rates with increasing light, either alone, or together with
nutrients and species. Nutrients availability did not show significant impact on mixotrophic
growth rates as cultures were supplemented with prey. Less significant effects were seen for
clearance rates, as strains responded differently to changing light and nutrients conditions,
indicated by the high P (>0.05) values from both single and multi-factorial ANOVA (**Table**
3).

Discussion

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In this study, we used large-scale field survey to retrieve prominent variables that
possibly affect the trophic composition of eukaryotic community in the field. We then
conducted finely controlled lab experiments using various mixotroph isolates to verify the
field observation. While acknowledging species-specific variations, field and experimental
results were overall consistent, proving insights into the close relationship between trophic
composition and nutritional resource (including nutrients, light and bacterial prey), as well as
well distinct niche preferences of mixotrophic eukaryotes versus autotrophs and heterotrophs
in the ocean.

Key variables affecting mixotrophic prevalence

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Indicated by our field analysis, higher nutrients and/or lower light can promote the
overall success of autotrophs and oppositely, mixotrophs could possibly proliferate from low
nutrients and high light environments. Physical conditions of DCM, nitracline depths and
MLD also seemed to affect mixotrophic prevalence, though they were more or less associated
with nutrients and light conditions. For example, deeper nitraclines can potentially suppress
nutrients supply in the surface and therefore increase TI_M. Similarly, deeper chlorophyll
maxima could be indicative of higher light availability and lower nutrients in photic depths
and can thus enhance TI_M as well. In contrast, deeper mixed layer depths (stronger mixing)
can reduce light availability and increase nutrients concentration, which both weakens TI_M.
The overall positive association between DCM and nitracline depths and TI_M, and negative
correlation between MLD and TI_M were in line with their overall relationships with nutrients
and light.
Heterotrophic bacteria maintained relatively consistent abundances in the sampled
oceans and was not selected as main explanatory factor for TI_g by RDA or PCA. Nevertheless,
they demonstrated as one of the predictors explaining TI_M based on stepwise regression
model (**Supplementary Table S2**). When treated as a single variable, a strong negative
correlation was found between TI_H and heterotrophic bacteria. Comparatively, the correlation
was positive for TI_A and less insignificant for TI_M. This interesting shifts likely suggested
distinct interactions between bacteria and three trophic groups. Heterotrophic bacteria benefit
from the dissolved organic carbon (DOC) excreted by autotrophs and recycle nutrients for
autotrophic growth which forms a positive relationship. Whereas for heterotrophs, this
negative association could be due to high grazing pressure exerted on heterotrophic bacteria
(Fuhrman and Noble, 1995; Pernthaler, 2005). The weaker but positive correlation between
heterotrophic bacteria and TI_M could be explained by the more complex relations among
bacteria (prey and decomposer) and mixotrophs (grazer and DOC provider), as well as the

lower grazing capability/pressure from mixotrophic grazers than heterotrophs (Raven 1997;
Berge et al., 2017; Edwards et al., 2023; Mitra and Flynn, 2023).
Other field studies have suggested besides nutrients (Stukel et al., 2011; LeNoac'h et al.,
2024) and light (Ptacnik et al. 2016; Millette et al., 2017; Anderson, et al., 2019), temperature
(Lambert et al., 2021) and carbon composition (colored DOM and CO2) (Hansson et al.,
2019) were also likely important for mixotrophic prevalence. Those variables were also
included in our analysis and yielded varying relationships with TI_g (sometimes significant).
These differences could be possibly due to the different environmental gradients covered
among studies (global open oceans vs north Pacific vs boreal Canadian lakes). Stepwise
regression model has suggested PAR, nutrients, density, PIC, DCM, heterotrophic bacteria
and *Prochlorococcus* were the top predictors explaining TI_M with the *Tara Oceans* dataset
(**Supplementary Table S2**). Future studies focusing on smaller regions with in situ
experiments will provide additional insights into the trophic niche-environment relationships.
Mixotrophic physiology and fitness
Suggested by our field and experimental results, mixotrophy can likely function as an
efficient strategy for compensating nutrients deficiency (through ingestion of bacterial prey),
but not necessarily for supplementing light limitation. Other studies have also argued the
important role light play for determining the competitive advantages of mixotrophs versus
others. Derived from culture experiments and theoretical models, Rothhaupt (1996) and
Edwards et al. (2019; 2022) have suggested that mixotorphs are proliferated under high light
because they can outcompete heterotrophic grazers using extra energy gained from
photosynthesis which suppress bacterial prey to a lower threshold. Both mechanisms could be
true, and it is worthy to notice that variations exist among different strains and taxa. These
globally dominant mixotrophs from small eukaryotes possibly align with the traditionally
categorized Type II mixotrophs, i.e., primarily phototroph but phagocytose for nutrients
(Stoecker, 1998). Based on resource allocation and plastid evolution theories, many of these
small constitutive mixotrophs gain energy and carbon through photosynthesis and additional
nutrients from prey (Jones, 1997; Raven, 1997; Mitra et al., 2014; Ward, 2019). Penalized
photosynthetic performance, or higher requirements for light seems to be a significant trait
tradeoff for them. Nevertheless, good mixotrophs (such as ChrysoH) performs better under
both low light and low nutrients conditions due to higher grazing capabilities comparing to
others (such as FloX), and are thus less likely penalized by light and nutrients deficiency.
Instead, their success could be possibly depending on bacterial availability and other factors.
Methodology caveats
One potential caveat in our methodology is that mixotrophs were annotated based on
published literature at species or higher taxonomic ranks. These trophic annotations were not
necessarily aligning with in situ metabolic activities. Nevertheless, the potential or
historically evolved capability of being mixotrophs are sufficient to delineate their ecological
niche which was the focus of this study. We also recruited longer-term averaged values for
some of the key variables (e.g. 8-day and 30-day PAR) as well as a variety of parameters (e.g.
NO3, NO2, PO4 and SiO4 for nutrients) to reduce the false relationship. Lack of trophic
evidence for some of the species/lineages could on the other hand, cause false annotation, and
variations against other studies. For example, we annotated *Micromonas* belonging to
Chlorophyta as autotrophs based on the best knowledge of ours (Jimenez et al., 2021;

Edwards et al., 2022) but others have argued possible phagotrophic evidence from the
Micromonas Polaris (McKie-Krisberg and Sanders, 2014). We also acknowledge the
gene-cell abundance correction factors could be inaccurate for certain groups, as we did not
have first-hand cellular abundance data from in situ samples. Nevertheless, these calibrated
abundance data could improve accuracy for some heavily over-estimated groups such as
dinoflagellates and under-estimated small flagellates.
Combing various approaches including in situ grazing experiments, field observation,
model simulation and single-cell tools can be powerful to retrieve the most reliable data for
future mixotrophy research (Beisner et al. 2019; Millette et al., 2023).

Conclusion

This study provides the first *Tara Oceans* analysis for niche partitioning among
mixotrophs, autotrophs and heterotrophs of the eukaryotic community. Our results suggested
that in vast open oceans, phagotrophic mixotrophy is an efficient trophic strategy that small
eukaryotes have evolved to survive nutrients impoverish, deepening our understanding about
their prevalence and distinct niche in comparison to autotrophs and heterotrophs.

Table 1. Oceanographic characters and trophic index of surface water samples.
Abbreviations for PAR, N+N, Hbac stands for photosynthetically available radiation,
dissolved nitrate and nitrite (NO3+NO2), and heterotrophic bacteria, respectively. M:T, M:A
and M:H denotes rations of either cell abundance (cell) or 18S rRNA gene abundance (gene)
among mixotroph (M), autotroph (A), heterotroph (H) and total eukaryotes (T).

Latitude	Oceanic Region[†]	Station	Temp (°C)	Salinity	PAR (mol m^{-2} d^{-1})	N+N (µM)	Hbac (cells mL^{-1})	M:T (Cell /Gene)	A:T (Cell /Gene)	H:T (Cell /Gene)
0-20º	SPO, IO, NPO, SAO, NAO	36	26.6	35.1	25.4	0.89	4.9e5	0.23/ 0.38	0.32/ 0.24	0.43/ 0.38
20-30º	IO, NPO, SPO, SAO, RS	17	24.7	36.2	24.3	0.03	3.9e5	0.34/ 0.45	0.10/ 0.08	0.51/ 0.44
30-40º	MS, SAO, NAO, SO	37	19.5	36.4	14.7	0.13	5.3e5	0.32/ 0.38	0.19/ 0.16	0.48/ 0.43
40-60º	SO, SAO, MS	14	7.1	34.3	18.8	17.3	4.5e5	0.30/ 0.29	0.18/ 0.22	0.47/ 0.46
Global	SPO, IO, NPO, SAO, NAO, SO, RS, MS	104	23.8	35.4	21.3	0.14	4.5e5	0.29/ 0.38	0.21/ 0.19	0.47/ 0.42

498   [†] Oceanic regions follow the Tara Oceans project standards. NAO: North Atlantic Ocean; MS:
499   Mediterranean Sea; RS: Red Sea; IO: Indian Ocean; SAO: South Atlantic Ocean; SO:
500   Southern Ocean; SPO: South Pacific Ocean; NPO North Pacific Ocean.

Table 2. Major environmental variables retrieved by principle component analysis for surface
and surface & DCM datasets. Top variables from each principle component axis (PC1 and
PC2) for both datasets were shown with their loading coefficients (marked in bold). Symbols
of '+' and '−' explain the direction of changing variable values from left to right on the axes.

| | Surface | | Surface & DCM | | |
	PC1	PC2	PC1	PC2	sum[†]
PAR	-0.08	**-0.14**	**-0.42**	**-0.35**	0.99
NO3+NO$_2$	**0.31**	**-0.14**	**0.23**	**-0.25**	0.93
Silicate	**0.23**	-0.10	**0.17**	**-0.17**	0.67
Temp	**-0.24**	0.08	-0.14	0.13	0.59
Nitracline	-0.20	-0.11	-0.15	0.12	0.58
DCM	0.06	**-0.17**	-0.10	0.07	0.40
MLD	0.06	**-0.22**	-0.04	-0.06	0.38
R^2	0.35	0.24	0.30	0.25	

506   [†] summed absolute values from PC1 and PC2 for both datasets

Table 3. ANOVA of different growth rates and clearance rates among six species cultivated under different light (L) and nutrients (N) conditions (results shown in Fig. 6). Individual and interactive effects of light, nutrients and species were analyzed via single factor and multi-factors ANOVA, respectively.

| | Growth rate | | | | | Clearance rate | | | | |
| | single factor | | | multi-factors | | single factor | | | multi-factors | |
	L	N	sp.	L&N	sp.&N&L	L	N	sp.	L&N	sp.&N&L
P[†]	*	>0.05	>0.05	*	*	>0.05	>0.05	>0.05	*	0.13
F[‡]	6.5	1.7	2.1	5.1	3.6	0.00	2.98	1.35	3.96	2.02
Dfn[§]	1	1	5	3	5	1	1	5	3	5
Dfd[‖]	20	20	17	18	18	20	20	17	18	18
Effect[¶]	+	+	/	/	/	+	−	/	/	/

[†] Significance level of ANOVA, '*' denotes $P<0.05$.

[‡] Variation between sample means / variation within the samples.

[§] Degrees of freedom in the numerator, equals to the groups (of factors) – 1.

[‖] Degrees of freedom in the denominator, equals to the total number of subjects in the experiment – the groups (of factors).

[¶] Symbols of + and – indicate a positive and negative impacts from increasing light and nutrients availability, and / denotes analysis unavailable. Only those marked with * P values in the first row had significant impact.

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Figure legends
Fig. 1. Map of studied sites (**a**), median resource availability of nutrients (NO3+NO2; N for
abbreviation), light (PAR) and heterotrophic bacteria (**b**) and TI$_M$ (Mixo:Total) (**c**) across
different latitudinal regions. Panel **d** presents composition of autotrophic (Auto), mixotrophic
(Mixo) and Heterotrophic (Hetero) protists on phyla level derived from 18S rRNA gene and
corrected cell abundances. Panel **e** shows redundancy analysis between environmental
variables and distribution of trophic groups on species level. Selected RDA variables and

Tara Oceans lineage full names can be found in Supplementary Fig. S1. Latitudinal region
categories in b and c were shown in the legend of panel e.
Fig. 2. Variation patterns of $TI_{A/M/H}$ in cell abundances along PC1 axis for surface dataset
(**a-c**) and PC1 and PC2 axes for surface & DCM dataset (**d-i**). Cartoon symbols of nutrients
(N) of NO3+NO2, PAR and temperature marked on each panel denotes they are the main
components of each axis, and the location of each cartoon symbol indicates higher values.
Blue lines and shaded bands were fitted generalized additive model smoother and 95%
confidence interval.
Fig. 3. Variation patterns of $TI_{A/M/H}$ in 18S rRNA gene abundances along PC1 axis for
surface dataset (**a-c**) and PC1 and PC2 axes for surface & DCM dataset (**d-i**). Cartoon
symbols of nutrients (N) of NO3+NO2, PAR and temperature marked on each panel denotes
they are the main components of each axis, and the location of each cartoon symbol indicates
higher values. Blue lines and shaded bands were fitted generalized additive model smoother
and 95% confidence interval.
Fig. 4. Comparison of TI_g (**a**) and nutritional resource availability (**b**) between surface (SUR)
and chlorophyll maximum depths (DCM). Panel c and d demonstrate linear regressions
between TI_M and three resource variables of PAR, NO3+NO2 (N), and heterotrophic bacteria
(Hbac) with surface (**c**) and surface & DCM dataset (**d**), respectively. Variables were
sometimes Log-transformed for the best representation purpose. Significance codes were
shown in red stars (one star if $0.001<P<0.05$ and two stars if $P<0.001$), and shaded bands are
pointwise 95% confidence interval on the fitted linear regression (blue lines).
Fig. 5. Relative abundances of top 15 most abundant *Tara Oceans* mixotrophic lineages (cell
abundances), and closely related strains isolated from North Pacific Subtropical Gyre (**a**).
Comparison of relative abundances in surface (SUR) and maximal chlorophyll depths (DCM)
(**c**), and an illustrative flow chart of different treatments set up for the culture experiments (**c**).
Fig. 6. Growth curve of six mixotrophic isolates under high light (HL), low light (LL) and
high inorganic nitrogen (HN), low inorganic nitrogen (LN) conditions (**a**). Removal of
Procholorococcus prey in all cultures; green lines indicate prey control without grazers and
black lines were cultures with grazers (**b**). Panel (**c**) and (**d**) demonstrate estimated growth
rates during exponential phase and biovolume calibrated clearance rates, respectively. Errors
bars in c and d denote standard deviation among replicates for each treatment which were not
shown in panel a and b for more readability. *Grazer abundances in HNHL were divided by
50000 cells mL^{-1} for *Chrysochromulina* sp. and 5000 cells mL^{-1} for other sp., and 1000 cells
mL^{-1} for all the rest treatments. *Prey abundances in all HN were divided by 10^7 cells mL^{-1},
and all LN were divided by 10^6 cells mL^{-1}. Cartoon symbols in **c** and **d** indicate treatments
that showed significantly higher growth and grazing rates, respectively.
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Fig.1

Fig. 2

Fig. 3

Fig. 4

Fig. 5

Fig. 6

Supplementary Table S1. Species and cellular 18S rRNA gene copy numbers used in this study to retrieve gene-cell abundance correction factors for small eukaryotes.

Taxa	Group	Reference	Method	Biovolume (µm3)	Gene Copies per Cell
Ostreococcus tauri		Gong et al. 2019	bioinformatics	0.5	3.4
Trebouxia sp.		Gong et al. 2019	bioinformatics	1767.1	78.1
Bathycoccus prasinos		Zhu et al. 2005	qPCR	3.8	5.2
Emiliania huxleyi		Zhu et al. 2005	qPCR	64.3	2.5
Mesopedinella arctica		Zhu et al. 2005	qPCR	252.8	7.4
Micromonas pusilla		Zhu et al. 2005	qPCR	4.0	3.0
Nannochloropsis salina		Zhu et al. 2005	qPCR	4.0	1.0
Ostreococcus tauri		Zhu et al. 2005	qPCR	0.3	2.5
Pelagomonas calceolata		Zhu et al. 2005	qPCR	7.7	1.3
Rhodomonas salina	other	Zhu et al. 2005	qPCR	217.0	28.8
Tetraselmis sp.	flagellates	Zhu et al. 2005	qPCR	208.7	7.6
Chrysochromulina sp.		Li et al., 2022	qPCR	20.6	2.0
Chrysochromulina sp.		Li et al., 2022	qPCR	41.6	2.0
ChrysoH		Li et al., 2022	qPCR	5.6	1.0
DictyX		Li et al., 2022	qPCR	78.0	4.5
Florenciella Parvula		Li et al., 2022	qPCR	26.5	1.2
Hap2		Li et al., 2022	qPCR	47.7	3.0
Rhizochromulina		Li et al., 2022	qPCR	14.1	1.2
Triparma		Li et al., 2022	qPCR	8.2	0.8
Florenciella sp.		Li et al., 2022	qPCR	31.1	2.0
Symbiodinium kawagutii		Gong et al. 2019	bioinformatics	288.7	160.5
Symbiodinium minutum	dinoflagellate	Gong et al. 2019	bioinformatics	220.9	116.0
Amphidinium carterae		Zhu et al. 2005	qPCR	432.0	83.7
Prorocentrum nux		Zhu et al. 2005	qPCR	79.9	22.1
Extubocellulus spinifer		Godhe et al. 2008	qPCR	150.0	19.0
Phaeodactylum triconutum		Gong et al. 2019	bioinformatics	101.6	4.2
Thalassiosira oceaniaca	diatom	Gong et al. 2019	bioinformatics	179.5	17.2
Nitzschia closterium		Zhu et al. 2005	qPCR	640.0	28.0
Thalassiosira weissflogii		Zhu et al. 2005	qPCR	471.0	14.8

Supplementary Table S2. Stepwise regression analysis reveal significant variables
contributing to the prevalence of mixotrophs in both surface & DCM (depth of chlorophyll
maxima) samples, and surface samples alone. Significance of P values and coefficient for 8
selected predictors were given in each row.

Surface & DCM			Surface		
Predictor[†]	P[‡]	Coefficient	Predictor[†]	P[‡]	Coefficient
PAR	**	0.1	DCM	***	0.15
NO3+NO2	***	-0.08	*Prochlorococcus*	**	-0.82
Density	***	0.80	NO3+NO2	***	-0.15
PIC	**	207.5	PIC	*	218.3
DCM	***	0.10	PO4	*	0.28
Hbac	**	1.25	Density	*	0.78
Prochlorococcus	*	-0.53	PAR	**	0.12
/	/	/	NPP	.	0.08

[†]Abbreviations: PAR, photosynthetically available radiation; PIC, particulate inorganic
carbon; Hbac, heterotrophic bacteria

[‡] Significant codes: '***' $P<0.001$, '**' $P<0.01$, '*' $P<0.05$, '.' $P<0.1$

Supplementary figures

Fig. S1. Redundancy analysis for environmental impact on the distribution of trophic groups in surface oceans, derived from 18S rRNA gene corrected cell abundances. Only 17 *Tara Oceans* lineages passed Escoufier selectin (0.9 threshold) were shown, and adjusted R^2 of the analysis is of 0.54. Environmental variables selected were denoted in cyan-blue arrows and text. Abbreviations: PAR, photosynthetically active radiations; DCM, depth of chlorophyll a max; MLD, mixed layer depth; POC, particulate organic carbon; PIC, particulate inorganic carbon; Pro, *Prochlorococcus*.

Fig. S2. Linear regression between $TI_{A/H}$ (all in cell abundances) and resource variables retrieved from the surface (less sample dots) and surface & DCM dataset (more sample dots). Different significance levels were marked with different number of red stars (one star if $0.001 < P < 0.05$ and two stars if $P < 0.001$), and shaded bands are pointwise 95% confidence interval on the fitted values (the line). Abbreviations: PAR, photosynthetically active radiations; N: inorganic nutrients of nitrate (NO3) and nitrite (NO2); Hbac: heterotrophic bacteria.

Fig. S3. Linear regression between TI_g (all in cell abundances) and single environmental variables that have shown significant correlations, retrieved from both surface & DCM samples. Different significance levels were marked with different number of red stars (one star if $0.001 < P < 0.05$ and two stars if $P < 0.001$), and shaded bands are pointwise 95% confidence interval on the fitted values (the line). Abbreviations: DCM, depth of chlorophyll a max; Chla, total chlorophyll a, Pro, *Prochlorococcus*; sal, salinity.

Fig. S1

Fig. S2

Fig. S3

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