Multi-gradient Permutation Survival Analysis Identifies Mitosis and Immune Signatures

Steadily Associated with Cancer Patient Prognosis

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Running title: Mitosis and immune signatures in predicting cancer patient prognosis

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Abstract

The inconsistency of the association between genes and cancer prognosis is often attributed

to many variables that contribute to patient survival. Whether there exist the Genes Steadily

Associated with Prognosis (GEARs) and what their functions are remain largely elusive.

Here we developed a novel method named "<u>M</u>ulti-gradi<u>e</u>nt Per<u>m</u>utati<u>o</u>n Su<u>r</u>vival Anal<u>y</u>sis

" (MEMORY) to screen the GEARs by using RNA-seq data from the TCGA database. We

employed a network construction approach to identify hub genes from GEARs, and

utilized them for cancer classification. In the case of lung adenocarcinoma (LUAD), the

GEARs were found to be related to mitosis. Our analysis suggested that LUAD cell lines

carrying *PIK3CA* mutations exhibit increased drug resistance. For breast invasive carcinoma

(BRCA), the GEARs were related to immunity. Further analysis revealed that *CDH1*

mutation might regulate immune infiltration through the EMT process. Moreover, we

explored the prognostic relevance of mitosis and immunity through their respective scores

and demonstrated it as valuable biomarkers for predicting patient prognosis. In summary,

our study offered significant biological insights into GEARs and highlights their potentials

as robust prognostic indicators across diverse cancer types.

Keywords: Multi-gradient Permutation Survival Analysis (MEMORY), Gene Steadily

Associated with Prognosis (GEAR), Hub Genes, Mitosis, Immune

Introduction

Cancer is one of the leading causes of death worldwide, with over 200 types identified. Despite major advances in the field, accurately predicting cancer patient prognosis remains a significant challenge[1,2]. Previous studies have highlighted the complexity of this task, which is influenced by various factors, including cancer types, clinical stages, therapeutic interventions, nursing care, unexpected comorbidities, and other non-cancer related illnesses that may interplay[3-6]. Furthermore, the gene expression plays a crucial role in predicting cancer patient prognosis, such as *HER2*, *VEGF*, *Ki67*, etc[7-12]. Nevertheless, there is still a need for further improvement in prognostic accuracy to better inform treatment decisions and patient outcomes.

Survival analysis is commonly used to assess the correlation between genes and cancer patient prognosis[13]. However, inconsistent findings are frequently observed, even within the same type of cancer. For instance, studies exploring the correlation between *CCND1* and prognosis in non-small cell lung cancer (NSCLC) reported contrasting results, including positive correlation, inverse correlation, or negligible influence[14-16]. These discrepancies can be attributed to various influential factors, such as differences in sample size, cohort characteristics (including cancer subtypes and tumor staging) and variations in therapeutic approaches[17]. Such observations raise an important question: whether there exist G̲enes St̲e̲adily A̲ssociated with P̲r̲ognosis (hereafter referred to as GEARs) that correlate with patient outcomes across different conditions, particularly considering the varying sample sizes used in different studies? Affirmative answers to this question would have significant implication not only for the development of more accurate prognostic models, but also for enhancing our understanding of cancer biology.

The Cancer Genome Atlas (TCGA) is a comprehensive cancer genomics project initiated in the United States. It consists of transcriptome data, genomic data, and clinical information pertaining to 33 different cancer types, making it the largest cancer clinical sample database currently available. In this study, we developed a novel method named "M̲ulti-gradi̲e̲nt Per̲m̲utati̲o̲n Su̲r̲vival Anal̲y̲sis" (MEMORY) with the utilization of TCGA RNA-seq database,

79   and assessed the potential existence of GEARs across 15 cancer types, each comprising a

80   cohort of over 200 patients. Furthermore, we evaluated the prognostic predictive power of

81   these GEARs and explored their potential biological functions in driving cancer progression.

Results

Multi-gradient permutation survival analysis identifies GEARs associated with mitosis and immune across multiple cancer types

GEARs are a group of genes consistently and significantly correlate with cancer patient survival, independent of the sample size. To identify these GEARs, we developed a novel method called "Multi-gradient Permutation Survival Analysis " (MEMORY). This method allows us to assess the correlation between a specific gene and cancer patient prognosis using available transcriptomic datasets (**Figure 1A, Table 1**). Initially, we started with a sampling number at 10% of the cohort size, then gradually increased the sampling number with each 10% increment, which was analyzed with 1000 permutations. By calculating the statistical probability of each gene's association with patient survival, we can identify a group of GEARs for further analyses.

In this study, we utilized the TCGA datasets for several reasons, including the diversity of cancer types, the availability of gene expression profiles and patient prognosis information. We set a minimum cohort size of 200 and included 15 eligible cancer types for analysis. These cancer types include bladder urothelial carcinoma (BLCA), breast invasive carcinoma (BRCA), cervical squamous cell carcinoma and endocervical adenocarcinoma (CESC), colon adenocarcinoma (COAD), kidney renal papillary cell carcinoma (KIRC), brain lower grade glioma (LGG), liver hepatocellular carcinoma (LIHC), lung adenocarcinoma (LUAD), lung squamous cell carcinoma (LUSC), ovarian serous cystadenocarcinoma (OV), pancreatic adenocarcinoma (PAAD), prostate adenocarcinoma (PRAD), stomach adenocarcinoma (STAD), thyroid carcinoma (THCA), and uterine corpus endometrial carcinoma (UCEC). As the number of samples increases, the significant probability of certain genes gradually approaches 1. Once this score reaches 0.8, previously employed as an empirical standard for survival analyses, and remained above this value consistent with further sample gradient increase, the gene is considered a GEAR (**Figure 1B**)[18]. Remarkably, we successfully identified a set of GEARs across all 15 cancer types (**Supplementary Figure 1-2，Table 2**). The GEAR counts in most cancer types ranged from 100 to1000, with exceptions of CESC,

KIRC, LGG, and PAAD with over 1000 GEARs, and THCA with only 22 GEARS (**Table 2**).

In LUAD, the top 10 GEARs with the highest significance probabilities were *TLE1*, *GNG7*,

ERO1A, *ANLN*, *DKK1*, *TMEM125*, *S100A16*, *KNL1*, *STEAP1*, and *BEX4*. Most of these

genes are known to promote LUAD malignant progression except for S100A16[19-27]. In other

cancer types, *BEX4* was identified as a common GEAR in KIRC, LGG, PAAD, and STAD

(Table 2). *BEX4* is reported as a proto-oncogene promoting cancer onset and malignant

progression in multiple cancers, including LUAD, glioblastoma multiforme and oral

squamous cell carcinoma[26,28,29]. We also identified the top 1 GEAR in individual cancer types,

such as *TLL1* (BLCA), *PGK1* (BRCA), *RFXANK* (CESC), *DPP7* (COAD), *VWA8* (KIRC),

SCMH1 (LGG), *HILPDA* (LIHC), *TLE1* (LUAD), *CD151* (LUSC), *ANKRD13A* (OV),

SOCS2 (PAAD), *DRG2* (PRAD), *ADAMTS6* (STAD), *PSMB8* (THCA), *ASS1* (UCEC)

(**Table 3**). Many of these genes were previously reported to be associate with

tumorigenesis[19,30-41]. For example, *TLE1* is known as a transcriptional repressor that promotes

cell proliferation, migration, and inhibits apoptosis in LUAD[42,43]. Additionally, *PGK1*, a key

enzyme in the glycolytic process, has been shown to promote cell proliferation, migration,

and invasion in multiple cancers[44,45]. These findings demonstrate the intricate link between

the functionality of GEARs and the initiation and progression of cancer.

To gain deep insights into the biological functions of these identified GEARs, we conducted

Gene Ontology (GO) enrichment analysis (**Figure 1C**). Interestingly, we found the mitosis-

related pathways were enriched in LICH, LUAD, LGG, and PAAD, and the immune-related

pathways were enriched in BRCA and UCEC[46]. Additionally, other cancer types exhibited

enrichment in various pathways, such as organic acid metabolism pathway in KIRC,

oxidative phosphorylation pathway in CESC, organ development-related pathways in LUSC,

neurogenesis-related pathways in BLCA, and sustenance metabolism pathways in THCA.

Given the crucial role of mitosis in cancer progression and the significance of the immune

system in cancer-host interactions, we specifically focused on the mitosis and immune-related

GERAs, which accounted for approximately 40% of all the analyzed cancers.

Identification of hub genes in mitosis and immune-related cancers

To identify crucial genes within the GEARs, we conducted and extracted the higher-ranked

edges to construct the core survival network (CSN) (**Figure 2A, Table 4**). The top 10 GEARs

with the highest degree in these networks, selected from those with mean expression >10

TPM, were defined as hub genes[47]. We then classified the samples using the hub genes

derived from these networks and evaluated their clinical relevance (**Supplementary Figure**

3A-O, Supplementary Figure 4A-O). A significant correlation with cancer stages (TNM

stages) is observed in most cancer types except for LUSC, THCA and PAAD

(**Supplementary Figure 5A-K**). Furthermore, we conducted GO enrichment analysis on the

hub genes selected from CSNs and found that the results were consistent with the GEARs

analysis (**Table 5**). Specifically, the hub genes in LIHC, LGG, and LUAD were enriched in

mitosis-related pathways, whereas the hub genes in BRCA and UCEC were enriched in

immune-related pathways (**Figure 2B**). For instance, the 9 hub genes in LUAD were

associated with functions related to mitosis, whereas the 8 hub genes of BRCA were

associated with immune-related functions (**Figure 2C-D**).

Next, we conducted survival-dependent analyses on the hub genes of LGG, LIHC, and

LUAD. These analyses revealed that mitosis-related hub genes were closely associated with

cancer cell viability, especially those hub genes that were correlated with multiple cancer

types, such as *CDC20*, *TOP2A*, *BIRC5* and *TPX2*[48-51] (**Supplementary Figure 6A-C**). The

importance of these genes is well established. For instance, *TPX2*, a hub gene in all three

cancers, is known to play a crucial role in normal spindle assembly during mitosis and is

essential for cell proliferation[52]. The significance of these hub genes for the survival of cancer

cells suggests that the expression levels of these hub genes could be used for inhibitors

screening of tumor growth. By integrating the Genomics of Drug Sensitivity in Cancer

(GDSC) and Connectivity Map (cMAP) databases, we identified 76 individual compounds

that were able to effectively suppress the expression of the 10 hub genes in LUAD cell lines

(**Figure 2E, Supplementary Figure 6D-E**). These compounds also significantly inhibited

LUAD cell growth and might serve as potential therapeutic agents for LUAD.

We then focused on our analysis on LUAD dataset, which had a larger sample size compared

to LGG and LIHC. According to the expression of the hub genes, we classified the LUAD

samples into three subgroups: mitosis low (ML), mitosis medium (MM), and mitosis high

(MH) (**Figure 2F, Table 6**). Previous study has reported three classic subgroups of LUAD

known as the terminal respiratory unit (TRU), the proximal-proliferative (PP), and the

proximal-inflammatory (PI) subgroups[53]. Our analysis revealed that the ML subgroup was

primarily enriched with TRU subgroup and a small number of samples from the PP subgroup,

but not the PI subgroup. The MH subgroup showed high expression of mitosis-related genes

and predominantly encompassed PI and PP subgroups, whereas the MM group exhibited

intermediate levels of mitosis-related gene expression (**Figure 2F-G**). This suggests that the

new categorization method may help identify new factors that influence patient prognosis

(**Supplementary Figure 6F-G**).

Distinct genetic mutation landscapes characterize different clusters of LUAD

The expression of hub genes provided crucial indicators for distinguishing various subgroups

of LUAD. However, the underlying mechanisms driving these variations in expression

patterns remain unknown. In our subsequent research, we aimed to explore the genomic

differences, particularly in terms of gene mutations, among different LUAD subgroups.

Initially, we analyzed the variations of genetic mutation landscapes of different LUAD

subgroups by assessing the tumor mutation burden (TMB). Interestingly, we found significant

differences in TMB among the various subgroups of LUAD (**Figure 3A**). Common driver

genes were heterogeneously distributed across the three molecular subgroups. *ALK* and *ROS1*

fusions were most enriched in the prognosis-favorable ML and MM clusters, while *KRAS*,

EGFR, *BRAF* and *ERBB2* mutations were more evenly distributed or enriched in the less

prognosis-favorable MH group (**Figure 3B**). Due to limited counts for some fusion events,

formal statistical comparison was not performed, but the distributional patterns suggested

distinct subtype preferences across different driver events. Moreover, low tumor mitotic

activity was associated with better prognosis in LUAD subgroups with *EGFR* mutations or

pan-negative (no oncogenic alteration in genes including *KRAS*, *EGFR*, *BRAF*, *ERBB2*,

PIK3CA, *ALK* and *ROS1*) but not in those with *KRAS* or *BRAF* mutations (**Figure 3C-D,**

Supplementary Figure 6H-I). Besides, we observed unique mutation characteristics in each

of these three subgroups (**Figure 3E-G**). For example, *TP53* mutation, a prevalent tumor

suppressor gene mutation, was frequently observed in the MH subgroup with a mutation rate

of 73%, compared to mutation rates of 14% in the ML subgroup and 39% in the MM

subgroup. While genes, such as *CSMD3*, *RP1L1* and *ZFHX4,* exhibited similar trend in

mutation frequency across the three subgroups. These findings indicate that substantial

genomic differences among these three LUAD subgroups are based on the hub genes.

We identified gene mutations that showed significant changes through gene dependence

analysis (**Figure 4A**). To further explore the functional implications of these mutations, we

enriched them using a pathway system called Nested Systems in Tumors (NeST)[54]. The

results revealed notable differences in multiple functional pathways, including androgen

receptor, cell cycle, *TP53*, *EGFR*, IL-6, and *PIK3CA*-related pathways (**Figure 4B**). To gain

further insights into the functional implications of these different mutations, we assessed the

gene dependency of cells with these mutations by using the DepMap database. Importantly,

we observed that the pathway differences between the MM and MH clusters were primarily

associated with *PIK3CA*-related pathway. Previous studies have reported that *PIK3CA*

mutation confers resistance in colorectal cancer, lung cancer, and breast cancer[55-57]. Therefore,

we aimed to validate the role of *PIK3CA* mutations in drug resistance by using public

databases. We analyzed two lung adenocarcinoma cell lines, A549 cells and SW1573,

harboring *KRAS* mutation and both *KRAS* and *PIK3CA* mutations, respectively, and identified

five potentially effective inhibitors (BMS-345541, Dactinomycin, Epirubicin, Irinotecan, and

Topotecan) from the previously screened set of 76 LUAD cell growth inhibitors by using

GDSC data. Strikingly, SW1573 cells exhibited increased resistance to all these 5 inhibitors

when compared to A549 cells (**Figure 4C**). In line with this, clinical data supported the notion

that concurrent *PIK3CA* mutation is a poor prognostic factor for LUAD patients[58]. These

findings suggest that *PIK3CA* mutation may contribute to drug resistance in LUAD.

Distinct clusters of BRCA exhibit different immune infiltration landscapes

In the meantime, we conducted a comprehensive analysis on BRCA, which has the largest

sample size among immune-related cancers (**Figure 1C**). We hierarchically grouped the

BRCA into three distinct immune subgroups based on the expression of hub genes: immune

low (IL), immune medium (IM), and immune high (IH) (**Figure 5A, Table 7**). Interestingly,

each PAM50 subtype included tumors from the IL, IM, and IH immune subgroups, with only

minor differences in their relative frequencies[59] (**Figure 5B, Supplementary Figure 7A-B**).

Next, we investigated the relationship among PAM50 classification, immune subtypes, and

patient prognosis. Our data revealed significant prognostic differences among immune

subgroups of the luminal B subtypes but not the luminal A, basal or HER2 subtypes

(**Supplementary Figure 7C-F**), highlighting that integrating our method with traditional

classification may enable a more detailed stratification of breast cancer samples. Mutation

analysis showed that distinct patterns of gene mutations among the three subgroups had

significant differences in mutation frequencies of genes such as *TP53*, *CDH1*, and *LRP2*

(**Figure 5C-E**). We conducted a comparison of the proportions of immune cells across these

three subgroups and observed a strong association between the overall immune cell

proportion and the clustering results based on hub genes (**Figure 5F, Supplementary Figure**

8A-J). Specifically, the IH subgroup exhibited significantly higher proportions of $CD8^+$ T

cells and Treg cells, with median percentages at 3.9% and 5.5%, respectively, compared to 1.2%

and 2.8% in the IM subgroup, and 0.2% and 1.6% in the IL subgroup. Together, these findings

suggest that distinct immune responses across the three subgroups potentially link genetic

mutation patterns to differences in the immune microenvironment.

Next, we explored the specific genomic factors influencing immune infiltration in BRCA.

Mutation analysis revealed that *CDH1* gene mutation ranked as the top two genetic alteration

in BRCA samples, following *TP53* mutation (**Figure 5C-E**). Previous study has reported that

CDH1 is involved in the mechanisms regulating cell-cell adhesions, mobility, and

proliferation of epithelial cells[60]. We found that *CDH1*-mutant samples exhibited significantly

lower *CDH1* expression compared to *CDH1*-wildtype samples, and *CDH1* expression showed

a close correlation with immune cell infiltration (**Figure 5G, Supplementary Figure 8K**).

These results were consistent with clinical observations of *CDH1* mutation and high immune

infiltration in invasive lobular carcinoma of the breast[61].

The above result encouraged us to investigate how *CDH1* mutation influenced immune

infiltration. In BRCA, we observed a significant correlation between the expression of *CDH1*

and EMT marker genes *VIM* and *TWIST2* (**Supplementary Figure 8L-M**)[62,63]. This suggests

that the regulation of *CDH1* is intricately linked with EMT process. EMT score analysis

showed that there's a positive correlation between the EMT score and the proportion of

immune infiltration (**Figure 5H**), which suggests that *CDH1* might influence immune

infiltration through the EMT process.

Mitotic and immune signatures predict patient prognosis at pan-cancer level

Finally, we analyzed the association of *CDH1* and *PIK3CA* with specific biological processes

at pan-cancer level. Our data showed that *PIK3CA* level was positively correlated with

mitotic scores in most of the cancer types, whereas the correlation between *CDH1* expression

and the proportion of immune cell infiltration was positive in PRAD, LGG, OV, Uveal

Melanoma (UVM), THCA, LIHC and LUAD but negative in BRCA, Testicular Germ Cell

Tumors (TGCT), Thymoma (THYM), LUSC, BLCA, Head and Neck squamous cell

carcinoma (HNSC), Sarcoma (SARC), Esophageal carcinoma (ESCA), PAAD and STAD

(**Figure 6A-B**). Eventually, we sought to explore the prognostic relevance of mitosis and

immune to patient outcomes at the pan-cancer level. The scores for these biological processes

were computed using RNA-seq data from the TCGA database, and the median score was used

as a cut-off to categorize patients into different subgroups. Out of 33 cancer types, 19 showed

a statistically significant correlation ($p < 0.05$) with at least one pathway score (**Figure 6C**).

Specifically, 10 cancer types were exclusively associated with the mitosis score, 4 cancer

types were exclusively associated with the immune score, and 5 cancer types exhibited a

correlation with both mitosis and immune scores simultaneously. Overall, the identification of

mitosis and immune-related biological processes as significant prognostic factors at the pan-

cancer level suggests their potential utility as valuable biomarkers for predicting patient

prognosis.

Discussion

Due to the multifaceted nature of variables affecting cancer patient prognosis, it remains

uncertain whether there exist a set of genes steadily associated with cancer prognosis ,

regardless of sample size and other factors. Here, we utilized the MEMORY method to

address this question and discovered that all the cancer types have GEARs. We observed

significant variation in the number of GEARs among different cancer types, indicative of

cancer type-specific patterns. The substantial heterogeneity in driver genes and mortality rates

among various cancer types could potentially explain this phenomenon. For example, THCA,

known for its low malignancy, displays the fewest genetic expression alterations among all

the studied cancer types. This observation could be correlated with the relatively high five-

year survival rate in THCA, which exceeds 50% even in advanced stage[64]. In contrast, PRAD,

despite of a favorable prognosis similar to THCA, exhibits a significantly higher number of

genetic expression alterations. We hypothesize that the positive prognosis in PRAD cases

might be largely attributed to early diagnosis, which enables timely treatment for the majority

of PRAD patients[65]. This discrepancy between the number of genetic alterations and

prognosis in THCA and PRAD highlights the intricate nature of cancer genetics and

emphasizes the importance of personalized considerations in cancer treatment and prognosis

evaluation. Furthermore, certain genes are commonly found in the GEARs of various cancer

types, indicating their potential significance in cancer development. For instance, *BEX4* is

present in the GEARs of LUAD, KIRC, LGG, PAAD, and STAD, and known to play

oncogenic role in inducing carcinogenic aneuploid transformation via modulating the

acetylation of α-tubulin[66,67]. Aneuploidy is a hallmark characteristic of cancer and can lead to

alterations in the dosage of oncogenes or tumor suppressor genes, thereby influencing tumor

initiation and progression[68-71]. The regulation of aneuploid transformation by *BEX4* may

represent a common mechanism through which this gene impacts prognosis across different

cancer types. Subsequently, we discovered that GEARs across different cancers displayed

distinct functional characteristics. Notably, a recurrent theme was the prominence of mitosis-

related and immune-related features. Specifically, the GEARs of LGG, LIHC, and LUAD

were enriched in mitosis-related pathways, whereas BRCA and UCEC showed the enrichment

of immune-related pathways. Mitosis and immune processes have been widely recognized as

having a significant impact on patient prognosis[72-76]. However, current clinical guidelines do

not yet recommend the use of transcriptomic data to assess scores related to mitotic and

immune pathways for predicting patient outcomes, despite the well-established association

between these pathways and prognosis in cancer[77-82]. Cancers enriched with mitosis pathways

often exhibit heterogeneous tumor growth kinetics across individuals, with tumor size being

one of the crucial factors influencing patient survival[83-85]. For instance, in the case of LGG,

the growth rate of tumors directly impacts the patient's prognosis due to the primary location

in the brain. Consequently, alterations in the expression levels of genes related to mitosis are

often indicative of the prognosis of LGG[86]. Cancer types that are enriched in immune-related

pathways, such as BRCA and UCEC, are closely associated with deficiencies in DNA

mismatch repair (MMR)[87,88]. Cancers enriched in immune-related pathways often exhibit

heterogeneous tumor growth kinetics across individuals, where tumor size is closely

correlated with patient survival.

To explore the underlying differences between samples associated with distinct mitotic and

immune-related pathways, we employed GEAR analysis to identify hub genes for further

molecular classification and mutation analysis. Specifically, we focused on LUAD and BRCA,

two representative cancer types exhibiting enriched mitosis and immune signatures in GEARs.

By classifying the hub gene, we divided LUAD into three subgroups: ML, MM and MH,

which displayed significant difference in survival outcomes. Subsequently, we utilized NeST

to analyze the mutations within these subgroups. Notably, there were significant differences

observed in cell cycle and signal transduction-related pathways among ML, MM and MH

subgroups. Of particular importance, the *PIK3CA*-related pathway emerged as a key

differentiating pathway between the MH and MM subgroups. Our analyses suggest that

LUAD cell line carrying *PIK3CA* mutation may exhibit increased drug resistance. This aligns

with previous studies demonstrating that targeting the PI3K pathway can overcome drug

resistance[89,90]. Of course, future research is warranted to elucidate the exact functional role of

PIK3CA mutations in LUAD.

To investigate the factors influencing immune infiltration in BRCA, we classified the samples

based on hub genes and analyzed the differentially mutated genes. Interestingly, we found that

CDH1 mutation occurred at a high frequency in the IH subgroups. This led us to speculate

that *CDH1* plays a crucial role in the regulation of immune infiltration in BRCA. Furthermore,

previous studies have reported that *CDH1* inactivation promotes immune infiltration in breast

cancer[61]. *CDH1* is a vital gene associated with EMT, and various studies have demonstrated

the close relationship between EMT and the tumor immune microenvironment in different

cancers[91]. Consistently, our results also supported the correlation between EMT score and

immune infiltration in BRCA. These findings suggest that the mutations in *PIK3CA* and

CDH1, identified through GEAR analysis, have significant impacts on cancer development

and hold potential value in improving clinical therapies. This further emphasizes the

importance of GEARs in understanding cancer biology and guiding treatment strategies.

Lastly, we investigated the prognostic predictive capabilities of the mitosis score and immune

score at the pan-cancer level. Surprisingly, we found that approximately half of the cancer

types exhibited significant correlations between these two scores and patient prognosis.

Interestingly, even for cancers originating from the same primary location, their correlations

with these scores could differ, indicating the potential diversity of mechanisms underlying

cancer-related mortality. For instance, both LGG and GBM are brain tumors, but the primary

risk factor for patient prognosis in LGG is closely linked to tumor diameter, whereas the main

risk factor for GBM patients lies in its high invasiveness and challenge of surgical

resection[92,93].

Although the present study defined a preliminary catalogue of GEARs and supported their

relevance with extensive biological data, we recognize several outstanding methodological

limitations at both the algorithmic and analytical levels. Chief among them is the need for

rigorous, large-scale multiple-testing adjustment before any GEAR list can be considered

clinically actionable. Because this work was conceived as an exploratory screen, such

correction was intentionally deferred; nonetheless, forthcoming versions of MEMORY will

incorporate a dedicated false-positive–control module that will be applied to the consolidated

GEAR catalogue prior to any translational use. Although GEARs show robust prognostic

associations, the CSN edges are undirected, and hub genes serve primarily as stable

biomarkers. Future work will explore causality and therapeutic implications. As a result, the

hub genes identified from GEAR in the CSN may primarily serve as stable and effective

biological markers. Additionally, through multi-omics analysis, we obtained some functional

mutations, but the therapeutic significance of these mutations remains to be elucidated. For

example, further study is needed to understand the exact role of *PIK3CA* mutations in

promoting tumor cell proliferation and drug resistance. Similarly, the association of *CDH1*

mutations with the infiltration of multiple immune cell types also warrants additional

experimental investigation. In our future studies, we plan to utilize protein-protein interaction

networks and pathway databases to construct a novel network based on the CSN. This

approach will allow us to directly screen genes from GEARs that could potentially serve as

therapeutic targets. Undoubtedly, future efforts are still required to utilize protein-protein

interaction networks and pathway databases to construct a new network based on CSN.

In conclusion, our study utilized the MEMORY algorithm to identify GEARs in 15 cancer

types, highlighting the significance of mitosis and immunity in cancer prognosis. Our findings

demonstrate that GEARs possess substantial biological significance beyond their role as

prognostic biomarkers. This study provides valuable guidance for establishing standards for

survival analysis evaluation and holds potential for the development of novel therapeutic

strategies.

Methods

Datasets

The gene expression profiles and clinical information of 33 cancers were obtained from the

TCGA database and downloaded by the GDC data website (https: //portal.gdc.cancer.gov/).

All gene expression data and survival data were integrated and normalized.

Multi-gradient permutation survival analysis

RNA-seq count data were normalized by TPM form the gene expression matrix (genes ×

samples) for each cancer type. We randomly sampled the gene expression data according to

the gradient. The sampling strategy was as follows: Ten gradient increases in sample size

were pre-set, ranging from approximately 10% to about 100%, with intervals of 10%.

Random samples were taken from total samples of each cancer 1000 times, based on each

pre-set sample size. Multiple sampling matrix was obtained after the sampling strategy was

performed in each gradient. Then survival analysis was performed using the R package

"survival". Gene expression values were dichotomized based on the median expression level

of each gene in the sampled matrix. The survival analysis method was as follows according to

the median expression value of every gene, every sampling matrix was divided into a high

and low expression group. This approach divides the samples into high and low expression

groups with equal size, providing a standardized grouping strategy for survival analysis. We

used 1 for significant survival analysis results ($P < 0.05$) and 0 for non-significant results ($P >$

0.05). The survival analysis matrices were obtained after these processes. This generated a

binary matrix of shape (genes $\times$ 1000) per gradient, representing the significance profile

across permutations. The binary matrix was integrated to a significant-probability matrix by a

formula:

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$$A_{ij} = \frac{\sum_{n=1}^{1000} k_{j \times i \times n}}{1000} \qquad (1)$$

where the A_{ij} is the value from row i and column j in the significant-probability matrix. We

defined the sampling size k_j reached saturation when the max value of column j was equal to 1

in a significant-probability matrix. The least value of k_j was selected, and the genes with their

corresponding A_{ij} greater than 0.8 were extracted as GEAR.

Construction of core survival network

We also defined survival analysis similarity (*SAS*) as the similarity of the effect on patient

prognosis in two genes. For each gene, the 1 000 permutation tests performed at the first

sampling gradient yield a binary vector $A = (A_1, \cdots, A_{1000})$, $B = (B_1, \cdots, B_{1000})$ (1 =

significant, 0 = non-significant). Vectors from the first sampling gradient (k□, approximately

10% of the cohort) were used as input. At this sample size, significance patterns exhibited

high variability, with many genes not yet consistently significant. This variability was utilized

to enable SAS to differentiate gene pairs with concordant versus discordant behavior.

Let $a = \sum_{i=1}^{1000} A_i$, $b = \sum_{i=1}^{1000} B_i$, $c = \sum_{i=1}^{1000} A_i B_i$

where c is the number of permutations in which both genes are significant and a, b are the

total significant counts for each gene.

SAS is calculated as

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$$SAS(A, B) = \frac{c}{a+b-2c+1} \qquad (2)$$

a Jaccard-like metric bounded between 0 (no overlap) and 1 (complete overlap); the "+1"

term prevents division by zero.

Pair-wise SAS values were computed between every GEAR and all other genes. The 1 000

SAS were selected to construct a core survival network (CSN) in Cytoscape[94]. Node degrees

were calculated, and the top 10 GEARs with the highest degree in these networks, selected

from those with mean expression >10 TPM, were defined as hub genes. We constructed the

Core Survival Network (CSN) using the top 1000 gene pairs ranked by SAS values. As the

CSN was designed as a heuristic similarity network to prioritize genes for exploration, edge

selection was based on empirical ranking rather than formal statistical thresholds.

Gene ontology enrichment analysis

Gene ontology has been used to classify genes based on functions. The gene functions were

divided three types, includes molecular function (MF), biological process (BP), and cellular

component (CC). ClusterProfiler is an R package for gene set enrichment analysis[95]. The gene

ontology (GO) enrichment analysis was processed in GEARs and hub genes by

ClusterProfiler.

Hub gene classification

Tumor samples were genotyped using RNA-seq data. The following steps outline the

genotyping and clustering methods used in this study. The expression matrix of hub genes

corresponding to various cancer types was extracted from the RNA-seq data. This involved

filtering the RNA-seq data to retain only the expression levels of the identified hub genes. To

normalize the expression data and reduce the impact of extreme values, a pseudo count of 1

was added to each expression value, and the resulting matrix M was log-transformed using

the formula: $M' = log_2(M + 1)$. The log-transformed matrix M' was then subjected to

hierarchical clustering. This clustering was performed in R (v4.3) using the Ward's method

(hclust, method = "ward.D2") to minimize the variance within clusters. The distance metric

used was the Euclidean distance (dist, method = "euclidean"). The number of clusters was set

to 3 using the cutree function (cutree(model, k = 3)), based on visual inspection of the

dendrogram structure.

Calculation of tumor mutation burden (TMB) and differential mutation

The TMB and differential mutation gene analysis were carried out to explore the differences

between different genotyped samples at the genomic level. The data are from the gene

mutation data of TCGA tumor samples, and the grouping information is from the hub gene

hierarchical clustering results. Maftools is an R package for the analysis of somatic variant

data, which can export results in form of charts and graph[96]. The calculation of TMB and

difference mutation analysis were used by the maftools.

Quantifying the effect of gene mutations for tumor cell viability

Gene dependence refers to the extent to which genes are essential for cell proliferation and

survival. The Cancer Dependency Map (Depmap, https://depmap.org/portal/) database

provides genome-wide gene dependence data for large number of tumor cell lines[97]. Gene

mutation data of cell lines were obtained from the CCLE database

(https://sites.broadinstitute.org/ccle). The genes appearing in the gene mutation data of cell

lines were extracted and sorted into mutation list. Each gene in the list was then analyzed for

survival-dependent differences. For each gene in the mutation list, we compared the gene

dependence score (S) between cell lines with and without the gene mutation. Specifically, cell

lines from the CCLE mutation dataset harboring a mutation in a given gene were classified

into one group, while cell lines without the mutation constituted the control group. S for the

mutation (S_m) and wild-type (S_{wt}) cell lines were then extracted from the DepMap database.

A two-sample t-test was used to assess the statistical significance of differences between S_m

and S_{wt}. The test yielded a P-value, with an alpha level set at 0.05, to determine the

significance of the difference in means. D_m was defined as gene mutation dependence, the

computational formula was as follows:

$$D_m = |\bar{s}_m - \bar{s}_{wt}| \qquad (3)$$

Subsequently, we conducted a standardization assessment of D_m, employing the following

formula:

$$S_{dm} = \frac{2(\bar{s}_m - \bar{s}_{wt})}{\bar{s}_m + \bar{s}_{wt}} \qquad (4)$$

In this study, we categorized mutations identified in cell lines into three groups based on their

impact on gene dependency. Functional mutations refer to the mutations that exhibit

significant changes in gene dependency scores, with a P-value < 0.05 and $S_{dm} > 0.1$. Non-

functional mutations were those without significant changes in gene dependency scores

compared to wildtype. Subtype-associated functional mutations were a subset of functional

mutations that show statistically significant differences in occurrence frequency across

different LUAD subtypes.

Identification of drugs downregulating hub gene expression

The IC50 data of 76 compounds of LUAD cell lines were obtained from Genomics of Drug

Sensitivity in Cancer (GDSC) database[98]. The hub gene expression data of A549 cell lines

after treatment with 76 compounds was obtained from Connectivity MAP (cMAP,

https://clue.io/) database, and the data were grouped by hierarchical clustering[99]. The drugs

which were presented only in the hub gene expression suppression group were considered to

effective against the LUAD cell.

Immune infiltration analysis

Immune infiltration data was calculated by quantiseq method[100]. Immunedeconv was an R

package for unified access to computational methods for estimating immune Cell fractions

from bulk RNA-seq data[101]. The data were from the gene expression data of TCGA tumor

samples after TPM was standardized. Then the immune cell fractions of tumor samples were

calculated by quantiseq method invoking immunedeconv.

Biological function score calculation

GSVA is an R package for calculate biological function score based on a single sample[102].

The data was from TCGA in the calculation process, and the software package was GSVA.

The immune score was T-cell infiltration rate of that calculated by quantiseq[100]. The gene sets

that calculated the mitosis score were obtained from gene ontology (GO:0140014).

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Author Contributions

H.J. and F.L. conceived the idea and designed the analysis. X.C. performed all bioinformatics analyses. Y.Y., X.L., and L.C. provided technical assistance and helpful comments. H.J., F.L. and X.C. wrote the manuscript. All authors approved the final version.

Disclosure of conflicts of interest

The authors declare that there is no conflict of interests.

Online content

Code availability are available at https://github.com/XinleiCai/MEMORY.

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Figure Legends

Figure 1: MEMORY uncovers the enrichment of mitosis and immune signatures in multiple

cancers. (**A**) Algorithm of MEMORY. Sample sizes, ranging from 10% to 100% with 10%

intervals ("gradient"), were used for 1000 permutations of survival analyses of all 15 cancer

types. Each matrix was divided into high and low expression groups based on median gene

expression values, and survival analyses were performed. Log-rank test significance results

were coded as 1 for significant ($P < 0.05$) and 0 for non-significant ($P > 0.05$) outcomes,

forming survival analysis matrices and the summarized significant probability matrix, which

allowed the identification of GEARs. (**B**) The maximum of significant probability for each

gradient sample size of every cancer type. Sample number rate refers to the percentage of

samples in each sampling gradient compared to the total number of samples. (**C**) The

pathways were enriched by GOEA based on the GEARs of each cancer type. The displayed

pathways represent the top 5 most significant pathways for each cancer type. Mitosis-related

pathways were marked in red whereas immune-related pathways were marked in blue. Only

pathways with a Benjamini–Hochberg–adjusted $p < 0.05$ are displayed.

Figure 2: Identification of hub genes in mitosis or immune-related cancers. (**A**) GEARs and

core survival networks. The SAS of all GEARs was calculated, and CSN was constructed. (**B**)

Cancers and their hub gene pathways. The left nodes represent five different cancers, while

the right nodes detail the functional types of hub-gene pathways. The height of the edges in

the middle represents the proportion of hub-gene pathways corresponding to a specified

functional type. Only pathways with a Benjamini–Hochberg–adjusted $p < 0.05$ are displayed.

(**C-D**) The CSN of LUAD and BRCA. The enlarged section represents the hub gene. (**E**) Heat

map showing the hub gene expression of A549 after compounds treatment from cMAP

database[99]. Blue annotations meant 76 compounds that can inhibit hub gene expression. (**F-G**)

LUAD clustering based on hub gene expression in comparison with the classic classification.

Figure 3: Different LUAD subgroups were characterized by unique genetic mutation profiles.

(**A**) TMB analysis in three groups of LUAD samples. ***$P < 0.0005$. (**B**) Proportion of

different oncogenic drivers, including *KRAS*, *EGFR*, *BRAF* and *ERBB2* mutations, and *ALK* and *ROS1* fusions in three LUAD subgroups. (**C**) Kaplan-Meyer overall survival curves for EGFR-mutation samples of LUAD by hub gene subgroups. (**D**) Kaplan-Meyer overall survival curves for pan-negative LUAD samples by hub gene subgroups. (**E-G**) Comparison of top gene mutations in three LUAD clusters, including ML vs. MM (**C**), MM vs. MH (**D**) and ML vs MH (**E**).

Figure 4: PIK3CA mutation associates with mitosis and drug resistance in LUAD. (**A**) Cancer-related gene mutations were annotated based on gene dependency scores data from Depmap database. Functional mutations were indicated in green; functional (subtype-associated) mutations were highlighted in orange; non-functional mutations were indicated in gray. (**B**) The analysis of the NeST differential pathways across three groups including ML vs. MM, MM vs. MH, ML vs MH. Only pathways with a Benjamini–Hochberg–adjusted p < 0.05 are displayed. (**C**) Comparison of the IC50 z-score of five tumor cell growth inhibitors for A549 (*KRAS* mutation) and SW1573 (*KRAS* and *PIK3CA* mutation).

Figure 5: The hub gene classification of BRCA revealed a significant association between EMT and immune infiltration. (**A**) Hub gene expression heatmap. The heatmap contains the result of hub gene classification and PAM50 classification of BRCA[59]. (**B**) Comparison of molecular classification based on hub genes with PAM50 classification. (**C-E**) Comparison of gene mutations in three groups of BRCA samples, including IL vs. IM (**C**), IM vs. IH (**D**), IL vs. IH (**E**). (**F**) Comparison of the total immune cell rate in three BRCA clusters. ***P < 0.0005. (**G**) Comparison of *CDH1* expression in BRCA samples with wildtype or mutant *CDH1*. ***P < 0.0005. (**H**) A schematic diagram illustrating the correlation between the EMT score and immune cell rate. The red line represents the fitting curve. The correlation analysis was performed using Pearson correlation coefficient. Statistical significance for all pairwise group comparisons was assessed with the Wilcoxon test.

Figure 6: Mitosis and immune signatures predict patient prognosis at the pan-cancer level. (**A**) The correlation of mitosis scores of 33 TCGA cancer types with *PIK3CA* expression. (**B**) The correlation of immune cell infiltration rate of 33 TCGA cancer types with *CDH1* expression.

(**C**) The mitosis and immune-related pathway scores of 33 cancer types. Median score was

utilized as a threshold to categorize patients for survival analysis. Among the 33 cancer types

examined, 10 cancer types were exclusively associated with the mitosis score, 2 cancer types

were exclusively associated with the immune score, and 5 cancer types showed a correlation

with both mitosis and immunity scores concurrently. Dots represent $-log_{10}(p)$ values from

log-rank tests comparing high vs. low score groups for each cancer type. The dashed line

marks the nominal significance threshold (p = 0.05).

Figure 1

A

B

C

D

E

F

G

A

B

A

B

C

Multi-gradient Permutation Survival Analysis Identifies Mitosis and Immune Signatures Steadily Associated with Cancer Patient Prognosis

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Running title: Mitosis and immune signatures in predicting cancer patient prognosis

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Supplementary Figure 1: (A) to (O) The number of genes significantly related to prognosis which were obtained through MEMORY was positively correlated with sample size. The vertical value of the dotted line is 0.8.

Supplementary Figure 2: (A) to (O) The significant probability of GEAR at each gradient.

The vertical value of the dotted line is 0.8.

Supplementary Figure 3: (A) to (O) The core survival networks of 15 cancer types. Red nodes are hub genes.

Supplementary Figure 4

Supplementary Figure 4: (A) to (O) 15 cancer types were genotyped through hierarchical clustering based on the expression of hub genes, and the samples of each cancer were divided into three clusters

Supplementary Figure 5: (A) The proportion of hub gene classifications contained in different stages of pan-cancer level. (B) to (K) The proportion of cancers at different stages contained in different hub gene classifications.

Supplementary Figure 6: (A) to (C) The gene dependency analysis of hub genes for LGG (A), LIHC (B), LUAD (C). (D) The number of compounds associated with A549 in the cMAP and GDSC databases. (E) Relative sensitivity of A549 cell line to all compounds from the GDSC database, calculated based on IC50 values. (F) Kaplan-Meyer overall survival curves for LUAD classic subgroups. (G) Kaplan-Meyer overall survival curves for LUAD hub gene subgroups. (H) Kaplan-Meyer overall survival curves for *KRAS*-mutation samples of LUAD by hub gene subgroups. (I) Kaplan-Meyer overall survival curves for *BRAF*-mutation samples of LUAD by hub gene subgroups.

Supplementary Figure 7: (A) Kaplan-Meyer overall survival curves for BRCA classic subgroups. (B) Kaplan-Meyer overall survival curves for BRCA PAM50 subgroups. (C-F) Kaplan-Meyer overall survival curves for BRCA samples by hub gene subgroups in LumA (C), LumB (D), Basal (E), Her2 (F).

Supplementary Figure 8: (A) to (J) Comparison of infiltration of different types of immune

cells in three group BRCA samples. ***P < 0.0005, **P < 0.005 and *P < 0.05. (K) Comparison

of the proportion of immune cells in the total cells in BRCA samples with high *CDH1* expression and low *CDH1* expression. ***P < 0.0005. (L) Schematic diagram of the correlation between *CDH1* expression and *VIM* expression. The red line was a fitting curve. Pearson correlation coefficient was used for correlation analysis. (M) Schematic diagram of the correlation between *CDH1* expression and *TWIST2* expression. The red line was a fitting curve. Pearson correlation coefficient was used for correlation analysis.