Uncovering the Interleukin-12 Pharmacokinetic Desensitization Mechanism and Its Consequences with Mathematical Modeling

Jonathon DeBonis[a], Omid Veiseh[a], and Oleg A. Igoshin[a,b]

[a]Department of Bioengineering, Rice University, Houston, Texas, USA.

[b]Center for Theoretical Biological Physics, Department of Chemistry, Department of Biosciences, Rice University, Houston, Texas, USA

Corresponding Author: Oleg Igoshin, igoshin@rice.edu, Department of Bioengineering, Rice University, Houston, Texas, USA.

Acknowledgments

An early version of this work was presented as a late-breaking abstract at the BMES 2023 Annual Meeting.

This work was partly supported by the Welch Foundation (grant C-1995 to O.A.I.) and by the Advanced Research Projects Agency for Health (ARPA-H) under Award Number AY1AX000003. The simulations were performed on the Rice University's Center for Research Computing equipment acquired with the Big-Data Private-Cloud Research Cyberinfrastructure MRI-award funded by the NSF under grant CNS-1338099. The content is solely the responsibility of the authors and does not necessarily represent the official views of the Welch Foundation, the Advanced Research Projects Agency for Health, or the NSF.

Conflicts of Interest

O.V. holds equity and O.A.I has consultant position in Avenge Bio. The authors declare that they have no other competing interests.

Keywords: interleukin-12, pharmacokinetics, mathematical modeling, cancer immunotherapy, drug transport, dynamical systems analysis

<u>Abstract</u>

The cytokine interleukin-12 (IL-12) is a potential immunotherapy because of its ability to induce

a Th1 immune response. However, success in the clinic has been limited due to a phenomenon

called IL-12 desensitization – the trend where repeated exposure to IL-12 leads to reduced IL-12

concentrations (pharmacokinetics) and biological effects (pharmacodynamics). Here, we

investigated IL-12 pharmacokinetic desensitization via a modeling approach to (i) validate

proposed mechanisms in literature and (ii) develop a mathematical model capable of predicting

IL-12 pharmacokinetic desensitization. Two potential causes of IL-12 pharmacokinetic

desensitization were identified: increased clearance or reduced bioavailability of IL-12 following

repeated doses. Increased IL-12 clearance was previously proposed to occur due to the

upregulation of IL-12 receptor on T-cells that causes increased receptor-mediated clearance in the

serum. However, our model with this mechanism, the accelerated-clearance model, failed to

capture trends in clinical trial data. Alternatively, our novel reduced-bioavailability model

assumed that upregulation of IL-12 receptor on T-cells in the lymphatic system leads to IL-12

sequestration, inhibiting the transport to the blood. This model accurately fits IL-12

pharmacokinetic data from three clinical trials, supporting its biological relevance. Using this

model, we analyzed the model parameter space to illustrate that IL-12 desensitization occurs over

a robust range of parameter values and to identify the conditions required for desensitization. We

next simulated local, continuous IL-12 delivery and identified several methods to mitigate

systemic IL-12 exposure. Ultimately, our results provide quantitative validation of our proposed

mechanism and allow for accurate prediction of IL-12 PK over repeated doses.

Introduction

Inteleukin-12 (IL-12) is a heterodimeric cytokine consisting of the p35 α subunit and the p40 β subunit[1]. The unmodified form of IL-12 has a molecular weight of 58.9 kDa; however, its glycosylated form migrates as a 65-75 kDa protein in SDS PAGE[2]. The average value for the molecular weight of 70 kDa is usually reported and therefore the heterodimer form is referred to as IL-12 p70[1,3]. IL-12's immunological activity is characterized by causing differentiation of CD4+ helper T-cells (Th-cells) into the Th1 phenotype, leading to the production of pro-inflammatory cytokines and immune system activation[4]. The primary cytokine induced by IL-12 activity is IFNγ, but TNFα, GM-CSF, IL-2, and IL-8 are also known to be produced in response to IL-12 stimulus[1,5]. Immune activation is achieved through the binding of IL-12 to its receptor, which ultimately leads to the activation of STAT4[1,5,6]. IL-12's ability to trigger robust immune system activation motivates its investigation as a potential cancer immunotherapy.

The first clinical trial investigating IL-12 was initiated in 1997 for the treatment of cancer patients with advanced malignancies[1,7]. Here, patients were first treated with an i.v. test dose of IL-12 two weeks before receiving five times weekly i.v. doses of IL-12 every 28 days[7]. A phase two trial was initiated shortly after following the same dose regimen without the test dose. In stark contrast to the phase one trial, this trial was quickly terminated after severe toxicities, including treatment-related patient deaths[8]. It was apparent that prior exposure to IL-12 desensitizes patients to IL-12's immunological activity, evident through the ~5-fold increase in measured serum IFNγ in patients treated with the same dose in the PII trial.

IL-12 desensitization has been observed in a large number of additional clinical trials, with results illustrating that both IL-12 pharmacokinetics (PK) and pharmacodynamics (PD) are desensitized[9–18]. PD desensitization is characterized by reduced IFNγ levels following repeated doses[7,8,14,17],

whereas PK desensitization causes reduced IL-12 concentrations.[15,16,19]. Furthermore, PK and PD

desensitization appear to be caused by separate mechanisms due to a difference in the timescale in

which these phenomena occur. In a study of repeated subcutaneous IL-12 doses in mice, measured

serum IL-12 concentrations decreased with every dose administered, whereas measured serum

IFNγ concentrations decreased after three doses were administered[19]. Despite increasing tolerance

to IL-12 administration, studies have shown that response to IL-12 treatment is also hindered by

desensitization[12,14,20]. Ultimately, due to its implications in both tolerability and response to IL-12

treatment, understanding the mechanism causing PK and PD desensitization is essential for the

optimization of IL-12 therapies.

Several mechanisms have been proposed in the literature to explain IL-12 PK and PD

desensitization; however, none have been validated quantitatively. Importantly, results from

clinical trials illustrate that anti-drug antibodies (ADAs) are rarely formed against IL-12[7,11,15,19],

suggesting a different mechanism for desensitization. In terms of PK desensitization, the primary

molecular mechanisms discussed revolve around the upregulation of IL-12 receptors on T-cells

following IL-12 stimulation[15,21]. Increased expression of IL-12 receptor leads to higher levels of

receptor-mediated clearance, which can lead to faster serum clearance or higher levels of IL-12

sequestration during transport into the blood. Overall, this would lead to reduced serum IL-12

concentrations due to increased clearance or lower bioavailability, and these effects are apparent

almost immediately after repeated doses. Conversely, desensitization of IL-12's immunological

effects is delayed and tends to occur after several doses have been administered[15]. Discussed

mechanisms for this effect include downregulation of STAT4 after sustained IL-12 signaling[22] and

adaptive T-cell exhaustion due to IL-12 stimulation[10,18].

The absorption, distribution, metabolism, and elimination (ADME) properties of IL-12 are not

characterized in detail, but the large molecular weight of IL-12 (70 kDa) provides insight into its

absorption and elimination characteristics. As molecules with greater molecular weights than 16

kDa are absorbed into the blood primarily by the lymphatic system[23], it follows that IL-12 likely

is absorbed via the same route following administration into peripheral tissues. Similarly,

molecules with molecular weights greater than 50 kDa are typically eliminated via intracellular

lysosomal proteolytic degradation rather than renal clearance due to the relatively small glomeruli

pore size[24,25]. However, renal clearance may still influence IL-12 elimination[26].

Here, focusing on PK desensitization, we investigated proposed mechanisms for IL-12 PK

desensitization in literature via a modeling approach. More specifically, we developed

mathematical models containing two different mechanisms of interest for IL-12 PK desensitization

and challenged these models to fit clinical trial data. Furthermore, after identifying the mechanism

that is consistent with the data, we determine the parameter regime under which significant

desensitization can occur and use the model with our proposed mechanism to identify potential

strategies for mitigating systemic toxicity to IL-12 treatment.

<u>Methods</u>

Clinical Trial Data Collection

Three clinical trials with IL-12 PK measurements over multiple subcutaneous doses of IL-12 were

identified[15–17,19]. **Table 1** contains information regarding the dosing regimens investigated, the

patient populations, and the doses where PK measurements were taken. These three clinical trials

were determined to be well suited for this investigation because they provide IL-12 PK data after

repeated doses of IL-12 with either different dosing regimens or doses selected for PK analysis

(**Figure 1A**).   Two of the clinical trials investigated report IL-12 time-course measurements,

whereas the other trial only reports PK metrics, including area under the curve (AUC), maximum

concentration (C_{max}), and time of maximum concentration (t_{max}). In trials with time-course data,

the numerical values were extracted from figures using GraphGrabber2.0.2 (Quintessa).

Table 1: Information on clinical trials analyzed in this investigation.

Clinical Trial	Dose Amounts and Regimen	Number of Patients	Patient Indications	Sampling Times
Phase I trial of Subcutaneous Recombinant Human Interleukin-12 in Patients with Advanced Renal Cell Carcinoma[15]	0.1 – 1.5 µg/kg on days 1, 8, and 15 in a 28-day cycle.	51	Advanced renal cell carcinoma. 42 patients received prior therapy.	Baseline and 10, 24, 48, 72, 96, and 168 h post dose on doses 1 or 3 in cycle 2.
Phase I Study of Subcutaneously Administered Recombinant Human Interleukin 12 in Patients with Advanced Renal Cell Cancer[16]	0.5, 1.0, 1.25 µg/kg three times weekly for two weeks following a test dose one week prior.	28	Advanced renal cell carcinoma. 26 patients received prior therapy.	Baseline and 4, 6, 8, 10, 12, 18, 24, 30 , 26, 48, 72, 96 h after test dose and 4, 6, 8, 10, 12, 18, 24, and 36 h after repeat doses.
Down-regulation of the pharmacokinetic-pharmacodynamic response to interleukin-12 during long-term administration to patients with renal cell carcinoma and evaluation of the mechanism of this "adaptive response" in mice[19]	0.1, 0.5, 1.0 µg/kg on days 1, 8, and 15 of a 28-day cycle.	24	Advanced or metastatic renal cell carcinoma. 15 patients received prior therapy.	Baseline and 2, 4, 8, 8, 10, 16, 24, 30, 34, 48 h post dose on dose 1 of cycle 1 and dose 3 of cycle 2.

Mathematical Models of IL-12 PK and Numerical Simulation

Mathematical models utilized in this investigation were constructed as a system of ordinary

differential equations governing IL-12 dosing, transport, target binding and upregulation, and

clearance. The notation and the meaning for each model parameter are found in **Table 2.** Below,

we explain the model equations in simple terms; detailed derivations for these equations are

provided in the supplementary text.

Simulation of bolus IL-12 injection is represented by setting the initial concentration of IL-12 in

the dosing compartment i, $IL12_{i,0}$, computed based on the dose amount and the volume of the

compartment:

$$IL12_{i,0} = \frac{D}{V_i} \qquad (1)$$

105   Once in the dosing compartment, IL-12 either leaves the compartment via lymphatic transport or

106   degradation. The concentration of IL-12 in the dosing compartment is therefore described by:

$$\frac{dIL12_i}{dt} = -J_{ki} \, IL12_i - k_{DG} IL12_i \qquad (2)$$

107   The first term accounts for IL-12 transport, which is modeled as unidirectional transport mediated

108   by lymph fluid flow[23] and represented as a first-order process. The second term describes the first-

109   order degradation rate in the subcutaneous space with the rate determined by the absolute

110   bioavailability, F, as follows:

$$k_{DG} = \frac{1 - F}{F} J_{ki} \qquad (3)$$

111   This relationship is derived such that a bioavailability of 100% leads to a degradation rate constant

112   of zero. After leaving the dosing compartment, IL-12 either enters intermediate compartment k

113   then enters the blood or enters the blood directly. In the former case, the concentration of IL-12

114   in compartment k is described by the following equation:

$$\frac{dIL12_k}{dt} = \frac{V_i}{V_k} J_{ki} \, IL12_i - J_{Bk} IL12_k \qquad (4)$$

115   The flux of IL-12 into the intermediate compartment is represented by the first term, which is

116   equal to the total flux of IL-12 out of the dosing compartment scaled by the ratio of the

117   compartment volumes. The second term represents IL-12 transport from the intermediate

118   compartment to the blood compartment. Similarly, the concentration of IL-12 in the blood

119   (denoted by subscript B) compartment is described as follows:

$$\frac{dIL12_B}{dt} = \frac{V_k}{V_B} J_{Bk} IL12_k - k_{CB} IL12_B \qquad (5)$$

As in equation 4, the first term here represents IL-12 flux into the blood. In absence of receptor

binding, IL-12 is cleared from the blood according to the second term which represents general

systemic clearance likely mediated through proteolytic degradation[24,25]. In the case where IL-12

enters the blood directly rather than through an intermediate compartment, V_k, J_{Bk}, and $IL12_k$ in

equation 5 are replaced by V_i, J_{Bi}, and $IL12_i$, respectively.

In model compartments in which receptor binding and STAT4 activation occur, the concentration

of IL-12:receptor complex is described as follows:

$$\frac{dC_x}{dt} = k_{on} IL12_x R_x - k_{int} C_x \qquad (6)$$

127   The first term represents the rate of IL-12:receptor binding, which we assume to be irreversible for

128   simplicity, and the second term describes the first-order internalization of the complex. Formation

129   of complex leads to STAT4 activation that is modeled by the following expression that assumes

130   non-cooperative binding and a quasi-steady state:

$$pSTAT_x = \frac{\widetilde{C_x}}{\widetilde{C_x} + IC_{pSTAT}} \qquad (7)$$

131   In this equation, we use the number of complexes per cell $(\widetilde{C_x})$ because our model predicts the

132   total concentration of complexes in all the cells of the compartment, but STAT4 is activated on a

133   single cell basis. To predict the level of STAT4 activation in each cell, $\widetilde{C_x}$ is calculated by assuming

134   homogenous distribution of complex across the population of cells in the compartment according

135   to the following:

$$\widetilde{C_x} = A \frac{C_x V_x}{N_x}, \tag{8}$$

136 This equation converts the concentration of receptors in the compartment (pmol mL^{-1}) to the

137 number of complexes per T-cell (# cells^{-1}) using Avogadro's number ($A = 6\mathrm{e}12 \frac{\#}{\mathrm{pmol}} =$

138 $6\mathrm{e}23 \frac{\#}{\mathrm{mol}} / 1\mathrm{e}12 \frac{\mathrm{pmol}}{\mathrm{mol}}$), the volume of the compartment, and the number of T-cells in the

139 compartment. In our models, activation of STAT4 leads to upregulation of IL-12 receptor on T-

140 cells. Accordingly, the concentration of IL-12 receptor in compartments is represented by:

$$\frac{dR_x}{dt} = \frac{N_x}{V_x} k_R + \frac{N_x}{V_x} \alpha\, pSTAT_x - k_{on} IL12_x R_x - k_{CR} R_x \tag{9}$$

The first two terms in this ordinary differential equation represent basal and upregulated IL-12

receptor expression, respectively. The production rates, k_R and α, are given in units of pmol cells^{-}

^{1}; thus, we scale by the number of cells divided by the volume to convert to pmol mL^{-1} days^{-1}. The

third term here represents consumption of IL-12 receptor due to binding, and the last term

represents unbound internalization of IL-12 receptor.

The initial concentration of receptor in compartment x, $R_{SS.x}$ (pmol mL^{-1}), can be set based on R_0,

the basal amount of IL-12 receptor on the surface of T-cells (pmol), as follows:

$$R_{SS,x} = \frac{R_0 N_x}{V_x} \tag{10}$$

148 To ensure that this value is consistent with our model in the absence of IL-12, we constrain the

149 basal production rate according to:

$$k_R = R_0 k_{CR} \tag{11}$$

150 All models in this investigation were constructed using this framework, differing in the

151 compartment in which receptor binding takes place. Models were simulated in MATLAB

152   (R2020b) using the ODE solver ode15s. All option arguments are set to default except for the non-

153   negative argument set to one and the absolute tolerance set to 1e-12.

154   **Table 2: General model parameters, species, meanings.**

Species	Meaning (Units)
$IL12_x$	Concentration of IL-12 in compartment x (pmol mL^{-1}).
R_x	Concentration of IL-12 receptor in compartment x (pmol mL^{-1})
C_x	Concentration of IL-12:IL-12 receptor in compartment x (pmol mL^{-1}).
$\widetilde{C_x}$	Number of IL-12:IL-12 receptor complexes per T-cell in compartment x (# cells^{-1})
$pSTAT_x$	Fractional of maximum STAT4 activation on T-cells (unitless)
Parameter	**Meaning (Units)**
D	IL-12 dose administered (pmol).
J_{xy}	Normalized lymphatic fluid flow rate from compartment y to compartment x (days^{-1}).
k_{DG}	First order IL-12 degradation rate constant in the dosing compartment (days^{-1})
F	Absolute IL-12 bioavailability defined as fraction of IL-12 leaving the dosing compartment instead of being degraded (unitless)
k_{CB}	First order IL-12 systemic clearance rate constant from blood compartment (days^{-1})
k_{on}	IL-12:IL-12 receptor association rate constant (mL pmol^{-1} days^{-1})
k_{int}	IL-12 receptor bound internalization rate constant (days^{-1})
k_{CR}	IL-12 receptor unbound internalization rate constant (days^{-1})
IC_{pSTAT}	Number of IL-12:IL-12 receptor complexes per T-cell required for half-maximum STAT4 activation (# cells^{-1})
k_R	Basal IL-12 receptor production rate per T-cell (pmol cells^{-1} days^{-1})
α	Receptor upregulation feedback coefficient (pmol cells^{-1} days^{-1})
N_x	Number of T-cells in compartment x (#)
R_0	Basal amount of IL-12 receptor on T-cell (pmol cells^{-1})

V_x	Volume of compartment x (mL)

Data Fitting and Parameter Estimation Procedures

We utilized two methods to fit our model to clinical trial data. Global optimization was

implemented using MATLAB's particleswarm algorithm, and ensemble local optimization was

implemented by randomly sampling parameter sets within optimization boundaries and locally

optimizing using MATLAB's patternsearch algorithm[27]. The fitness functions used for

optimization varied based on the type of PK data presented; for specific details, see the

supplementary text.

<u>Results</u>

Two alternative models of desensitization describing literature-proposed mechanisms were

developed.

We first identified proposed mechanisms for IL-12 PK desensitization in literature as a basis for

developing our mathematical models. Analysis of preclinical and clinical trials of IL-12 provided

evidence for two distinct causes of IL-12 PK desensitization: increased clearance or decreased

bioavailability following repeated doses[11,15,16,19]. While both were proposed in the literature,

neither cause has been validated quantitatively. Thus, we aimed to formulate molecular

mechanisms for the identified causes of IL-12 desensitization in literature and validate whether

these mechanisms can account for trends in clinical trial data.

Two models were constructed containing molecular mechanisms for increased clearance or

reduced bioavailability after repeated IL-12 doses, respectively. Both models contain equations

representing IL-12 dosing, transport, and degradation in the subcutaneous space. Furthermore, IL-

12 binding to IL-12 receptors on T-cells also occurs in both models. Formation of IL-12:IL-12

receptor complex activates STAT4 based on a quasi-steady state assumption, and STAT4 activation

upregulates the production of IL-12 receptor[16,19,21].

Figure 1: Clinical trial dosing information and schematics of models used in this investigation. A) Timelines illustrating dose regimens and doses where blood measurements are taken in three clinical trials used in this investigation. B) Schematic of accelerated-clearance model. C) Schematic of reduced-bioavailability model.

The main difference between the two models is the compartment where receptor binding and

upregulation occur. Our first model was designed such that upregulation of the IL-12 receptor on

T-cells leads to accelerated clearance of IL-12 from the serum. Accordingly, IL-12 binds its

receptor in the blood compartment such that clearance occurs from receptor binding and standard

first-order clearance/degradation. As no significant interactions occur in the lymphatic system

based on this mechanism, the lymphatic system compartment is omitted from this model.

Conversely, our second model was designed such that receptor upregulation leads to reduced

bioavailability. In this case, receptor binding and upregulation occur in the lymphatic system

compartment during transport into the blood; therefore, upregulation of the receptor leads to IL-

12 sequestration in the lymphatic system. Overall, both models are based on the principle that

STAT4 activation in T-cells leads to upregulated IL-12 receptor expression, with the key difference

being whether this leads to accelerated clearance or reduced bioavailability. As such, we

correspondingly term our models as the accelerated-clearance model (**Equations S1-S9, Figure**

1B) and the reduced-bioavailability model (**Equations S10-S19, Figure 1C**).

The accelerated-clearance model fails to accurately predict IL-12 PK following repeated doses.

To understand if the accelerated-clearance model can capture trends of IL-12 desensitization in

published clinical trial data, we fitted the model to three separate clinical PK datasets of repeated

subcutaneous IL-12 injections with global optimization. The results are presented in **Figures 2A-**

B (see also: **SI Text, Supplementary Tables S1-2**) and demonstrate that this model captures the

relative magnitude of IL-12 desensitization, but the overall shape of time-course curves is

inaccurate. More specifically, the data from clinical trials suggests that neither maximal time nor

half-life changes significantly with repeated exposure to IL-12[15,16]. Accelerated-clearance with

repeated doses would lead to changes in both of these PK metrics, causing this model to be

incapable of accurately predicting IL-12 PK. Therefore, we conclude that IL-12 PK

desensitization is unlikely to directly result from IL-12 upregulation in the blood. Accordingly,

the proposed mechanism in the accelerated-clearance model alone cannot account for IL-12 PK

desensitization.

Figure 2: Accelerated-clearance model fitness to clinical trial data with and without feedback. A) Accelerated-clearance model fit to clinical trial data presented by Motzer et al. B) Accelerated-clearance model fit to clinical trial data presented by Rakhit et al. Black arrows represent dose administration C) Accelerated-clearance model fit to IL-12 time course data following 1.0 µg/kg administration with no prior doses from Motzer et al. D) Accelerated-clearance model refit to IL-12 time course data 1.0 µg/kg administration with two prior doses from Motzer et al. All model parameters other than bioavailability and absorption (solid line) or systemic clearance rate (dashed line) fixed to values in C).

To determine the shifts in the model parameter space associated with desensitization, we next

analyzed the accelerated-clearance model without feedback. To do this, we removed feedback

from the accelerated-clearance model by setting the feedback parameter α equal to zero (see

supplementary equation S3), and separately fit IL-12 PK data from patients with and without prior

IL-12 exposure.   The data presented by Motzer and colleagues contains IL-12 serum

concentrations at various time points after 1.0 µg/kg doses with and without prior exposure to IL-

12. To determine the shifts in the PK parameter associated with prior exposure to IL-12, we first

fit the dataset without prior exposure to estimate the model parameters in the absence of

desensitization (**Figure 2C**). Leaving all other parameters fixed, we then fit the dataset with

previous exposure only varying either the IL-12 clearance rate (k_{CB}) or IL-12 bioavailability and

absorption (J_{BS} and F). Manipulating IL-12 clearance did not allow for the desensitized dataset to

be captured with high fitness but altering bioavailability and absorption accurately fit both the

magnitude of desensitization and the shape of the data (**Figure 2D**).   Ultimately, these results

suggested that a mechanism related to the bioavailability and absorption of IL-12 in the blood is

more likely to contribute to IL-12 PK desensitization.

The reduced-bioavailability model accurately predicts IL-12 PK across all three clinical trials.

Next, we sought to determine whether our proposed mechanism influencing IL-12 bioavailability

and transport into the blood can accurately fit IL-12 PK data reported in clinical trials. To this end,

we fit the reduced-bioavailability model to all three clinical trials via global optimization.

Optimization results illustrate that this model can fit these datasets accurately (**Figures 3A-B, SI**

Text, Supplementary Tables S3-4). Importantly, unlike the accelerated-clearance model, this

model captures both the magnitude and overall shape of the datasets for all doses except the 0.5

µg/kg level in the dataset presented by Motzer and colleagues[15]. There is nonlinearity in the dataset

that leads to concentrations at this dose level to be overpredicted. We suspect that this is due to the

presence of target mediated drug disposition (TMDD)[28], which is not currently included in our

model. Indeed, if we add target binding and dynamics into the blood compartment of the reduced-

bioavailability model this nonlinearity is able to be captured (**SI Text, Supplementary Figure**

S1). As our model is still able to predict the PK at larger doses accurately, we continued our

analyses with the unmodified reduced-bioavailability model. Overall, the ability of the reduced-

bioavailability model to fit all three datasets with different dose regiments supports its robustness.

Figure 3: Reduced-bioavailability model fit to clinical trial data. A) Reduced-bioavailability model fit to clinical trial data presented by Motzer et al. after global optimization. B) Reduced-bioavailability model fit to clinical trial data presented by Rakhit et al. after global optimization. C) Distribution of generated ensemble fit to all three clinical trials following local optimization of randomly sampled parameter sets within boundaries (50 parameter sets per clinical trial).

As PK parameters often vary between patients[29], we sought to determine if our model can

accurately fit IL-12 PK data over a wide range of biologically relevant parameter values. An

ensemble of parameter sets was obtained by locally optimizing many sampled parameter sets to

each clinical trial. This method yields model parameter sets with slightly worse fitness to data

when compared to global optimization (**SI Text, Supplementary Figure S2**) but gives us a

distribution of parameter sets to analyze. Analysis of parameter distributions from all three fits

illustrates that model parameters are not tightly constrained and are widely distributed over the

optimization boundaries (**Figure 3C**). Furthermore, the distribution of parameter values does not

vary significantly based on the clinical trial used for fitting (**SI text, Supplementary Figure S3).**

These results illustrate that the prediction of IL-12 PK desensitization occurs over a large range of

parameter values and that the available data does not sufficiently restrict the PK parameters.

Analysis of model behavior illustrates the effects of dosing regimen and model parameters on

desensitization.

To gain insight into how the dosing regimen and model parameters influence the amount of IL-12

PK desensitization in the reduced-bioavailability model, we simulated the reduced-bioavailability

model under various dosing conditions and parameter values to determine the effects on the

amount of desensitization predicted. For this analysis we use the parameters obtained via global

optimization to the data presented by Motzer and colleagues[15]. We first investigated the amount

of desensitization our model predicts following two consecutive doses of IL-12 with varying dose

amounts (**Figure 4A**) and time between doses (**Figure 4B**). Predictions illustrate that the extent

of desensitization increases with higher doses and doses that are closer in time. We hypothesized

that desensitization is mainly controlled by the concentration of receptor remaining in the

lymphatic system by the time of the next dose. A larger dose leads to increased STAT4 activation

and a more significant increase in receptor expression, and doses closer in proximity lead to less

receptor clearance back to baseline before the next dose. To expand on this hypothesis, we

generated an ensemble of 10,000 parameter sets varying $k_{CR}, k_{int}, N_{cells}, \alpha, R_0,$ and IC_{pSTAT}

between 50% and 150% of the optimized values holding all other parameters constant and

simulated consecutive s.c. doses of IL-12 one week apart. Analysis of the ensemble simulation

results validated our hypothesis that the extent of desensitization predicted correlates with the

difference in receptor concentrations between the first and second dose (**SI Text, Supplementary**

Figure S7).

Figure 4: Analysis of model and dosing parameters influence on predicted amounts of desensitization. A) Predicted amount of desensitization after two consecutive IL-12 doses seven days apart at varying dose levels. Inset: visual representation of AUC ratio. B) Predicted amount of desensitization after two consecutive 1.0 µg/kg doses with varying time between doses.

We next analyzed how variations in specific parameters related to receptor upregulation influence

the amount of desensitization predicted. First, local sensitivity analyses were implemented for two

and three consecutive doses of 0.5, 1.0, and 1.5 µg/kg, revealing which model parameters were

most sensitive to the predicted amount of desensitization (**SI Text, Supplementary Figure S3)**.

The only model parameter with negligible impact on desensitization was k_{CB}, the clearance rate

of IL-12 from the blood. All other parameters had log gain sensitivities that were close to one in

some doses simulated.   Of interest, parameters related to the amount of receptor initially

(R_0, N_{cells}) and the lifetime of receptor (k_{CR}, k_{int}) had log gain sensitivities greater than one at

some dose levels.

Simulation of local IL-12 delivery reveals potential strategies for limiting systemic exposure to IL-

12 while maintaining desired local concentrations.

Next, we sought to explore the consequences of our proposed mechanism in designing IL-12

therapies for limiting systemic exposure to IL-12. As current interest in IL-12 therapy revolves

around local cytokine delivery via cell or gene therapy, we modified the reduced-bioavailability

model to represent bolus or continuous delivery of IL-12 to the peritoneal cavity (**SI Text,**

Supplementary Figure S5). This model is structurally identical to the reduced-bioavailability

model, except the volume of the dosing compartment is increased to the volume of the peritoneal

cavity, the transport parameters are re-estimated, and a 0th order intraperitoneal IL-12 production

term is introduced for simulation of continuous delivery.   We selected intraperitoneal

administration for these analyses because it is a common site of administration for IL-12-based

therapies[30–32], and a PK dataset was available reporting both intraperitoneal and serum IL-12

concentrations following intraperitoneal IL-12 injection. Using this dataset to estimate transport-

related parameters allows us to have greater confidence in both our predicted serum and

intraperitoneal IL-12 concentrations. Accordingly, we first estimated the parameters describing

IL-12 transport from the intraperitoneal space to the blood by fitting our model to clinical trial data

presented by Lenzi et al.[33] modified to represent intraperitoneal injection of IL-12. Our model was

able to accurately fit both the intraperitoneal and serum IL-12 concentrations (**SI Text,**

Supplementary Figure S6), giving us confidence in the estimated transport parameters.

Additionally, a standard PK model is unable to fit this data, further supporting the relevance of our

model. Since this dataset only consisted of a single intraperitoneal infusion of IL-12, we used the

Figure 5: Model predictions of strategies for mitigating systemic IL-12 exposure following continuous local delivery. A) Prediction of continuous, intraperitoneal IL-12 delivery according to three different production rate profiles: constant production (black lines), step-wise production (red lines), and linearly increasing production (orange lines). Healthy IL-12 serum concentration taken from Derin et al.[34] B-D) Effects of various IL-12 related parameters on maximum concentrations in the local environment and in the blood. B) Effects of manipulating lymphatic uptake. C) Effects of limiting transport into the blood from the lymphatic system. C) Effects of limiting internalization of IL-12:IL-12 receptor complex.

transport parameters estimated from the Lenzi et al. data[33] and parameters to characterize receptor-

upregulation feedback estimated from our fits in earlier sections.

We next used this model to simulate continuous IL-12 delivery to the peritoneal cavity and

predicted intraperitoneal and serum concentrations of IL-12 according to three different production

profiles: 1) constant production, 2) step-wise production, and 3) linearly-increasing production

(**Figure 5A**). All three production profiles were designed to reach an equivalent concentration of

IL-12 in the intraperitoneal space at steady state. Importantly, our model predicts serum IL-12

concentrations far above normal levels[34] following the constant production profile that does not

occur in either of the dynamic dosing strategies. Additionally, the concentration of IL-12 in the

intraperitoneal space at long times is indeed equivalent for all three strategies. These results

suggest that systemic exposure to IL-12 may be avoided following local delivery by utilizing

dosing strategies that slowly reach the desired production rate for local concentrations rather than

immediately starting at that level.

In addition to analyzing the predicted effects of dynamic dosing strategies, we used our model to

identify potential protein engineering approaches for limiting systemic exposure. Based on our

simulations, strategies modifying IL-12 to limit uptake into the lymphatic system from the local

environment (**Figure 5B**), limit uptake into the blood from the lymphatic system (**Figure 5C**), or

limit internalization of IL-12:receptor complex (**Figure 5D**) were predicted to have profound

effects due to our mechanism. We found that these aspects of IL-12 could be manipulated such

that the systemic exposure to IL-12 is reduced, and the concentrations in the local environment are

either increased or unchanged. These strategies are a direct result of IL-12 PK desensitization;

without our mechanism, they are not predicted to be effective. Ultimately, these results illustrate

how our proposed mechanism for IL-12 desensitization can be leveraged to identify strategies for

limiting systemic exposure to IL-12 treatment.

<u>Discussion</u>

Here, we employ a modeling approach to validate proposed mechanisms for IL-12 PK

desensitization. Two models of IL-12 PK containing feedback mechanisms causing increased

clearance or reduced bioavailability following repeated doses of IL-12 were developed. The

accelerated-clearance model failed to capture IL-12 PK accurately, whereas the reduced-

bioavailability model accurately fit IL-12 PK across three separate clinical trials. Ultimately, our

results allowed us to develop a model that can accurately predict IL-12 PK desensitization, which

is not currently available to the best of our knowledge.

The molecular mechanism we propose for IL-12 PK desensitization is that IL-12 stimulation of T-

cells in the lymphatic system leads to increased expression of the IL-12 receptor and IL-12

sequestration during transport into the blood. This mechanism is based on the observation in

literature that IL-12 activation of STAT4 in T-cells leads to increased IL-12 receptor expression in

both *in vitro* and *in vivo* experiments[19,21]. These studies illustrated the presence of this feedback

loop; however, its specific effects on IL-12 desensitization have yet to be fully elucidated. Our

results provide quantitative justification for how upregulation of IL-12 receptor affects IL-12 PK.

Given that our investigation is purely theoretical, these results remain a hypothesis; however, we

believe there is evidence that supports that this proposed mechanism for IL-12 PK is biologically

feasible. First, in clinical trials detailing repeated i.v. administration of IL-12, little to no IL-12

PK desensitization is observed[8,14], suggesting that the mechanism causing IL-12 PK

desensitization occurs to a more significant extent in peripheral tissues. Furthermore, because IL-

12's molecular weight is greater than 16 kDa, it cannot enter the blood through capillary diffusion

and must travel through the lymphatic system to enter the blood from peripheral tissue[23]. T-cells

are highly abundant in the lymphatic system[35]; therefore, during lymphatic transport, it is expected

that significant IL-12:IL-12 receptor interaction will occur. Together with the previously

mentioned upregulation of IL-12 receptor on T-cells following stimulation, these pieces of

evidence support that our proposed mechanism is highly realistic.

We next sought to explore the implications of our proposed mechanism on the design of IL-12

therapies. The development of IL-12 therapies today focuses on local delivery of IL-12 through

gene or cell-based therapies[9,10,13,18,36,37]. These technologies are designed to maintain high levels

of IL-12 near the tumor while limiting systemic IL-12 exposure. Importantly, technologies where

significant IL-12 exposure occurs cause severe toxicities, limiting the therapeutic window of

treatment[37]. Based on these observations, we used our model to identify potential strategies

leveraging IL-12 PK desensitization to maintain low levels of IL-12 exposure. We found that

designing IL-12 therapies to begin with lower production rates and slowly increase until reaching

the desired dose can prevent initial spikes in IL-12 exposure. Furthermore, we identified several

protein engineering approaches that can be utilized to maximize concentrations in the local

environment while limiting serum exposure to IL-12.

The molecular mechanism we suggest for IL-12 PK desensitization provides an example of how

regulation of a biologic's target can influence the bioavailability of the therapeutic. Unlike small

molecules, biologics are known to have complex PK due to their large molecular weights, strong

binding to their targets, and connection between PK and PD[38]. The influence of target binding on

biologic PK is known as target-mediated drug disposition (TMDD)[28]. More specifically, TMDD

involves target-mediated clearance of the biologic, and since the abundance of targets is finite

these effects become dose-dependent. Additionally, cases have been identified where a biologic

upregulates the abundance of its target, leading to an increase in clearance[28]. For example,

pegfilgrastim is a colony-stimulating factor targeting neutrophils, and activity leads to neutrophil

proliferation. As a result, pegfilgrastim stimulation leads to increased target abundance and an

increase of drug clearance[39]. While these effects are typically related to increased clearance of a

biologic, our results provide a quantitative explanation for how target upregulation can also affect

bioavailability. Despite our focus on IL-12, our results provide insight into complex PK of other

biologics. In cases where a biologic's target is highly abundant in the lymphatic system and

upregulated by the biologic's action, we show that the bioavailability of the therapeutic can

decrease with repeated dosing.

Study Highlights

<u>What is the current knowledge on this topic?</u>

IL-12 desensitization was observed in the earliest clinical trials investigating IL-12

immunotherapy, and several studies have linked the phenomenon to treatment efficacy and

tolerability. Mechanisms have been hypothesized in literature, but none have been validated

quantitatively.

<u>What question did this study address?</u>

What is the desensitization mechanism capable of correctly predicting IL-12 pharmacokinetics

over repeated doses, and what are the therapeutic consequences of that mechanism?

<u>What does this study add to our knowledge?</u>

Here, we developed a mathematical model that attempts to validate the mechanisms causing IL-

12 PK desensitization in the absence of mechanistic data. Our results illustrate that IL-12 PK

desensitization is likely to be a result of decreased bioavailability after repeated exposure to IL-12

rather than increased systemic clearance. We propose a molecular mechanism for this model based

on the upregulation of IL-12 receptor on T-cells in the lymphatic system.

<u>How might this change drug discovery, development, and/or therapeutics?</u>

Pharmacokinetic modeling is essential in predicting the behavior of therapeutics in humans. We

provide a tool for predicting IL-12 pharmacokinetics, which will undoubtedly aid in the

development of IL-12 based therapies.

<u>Author Contributions</u>

J.D., O.A.I., and O.V. wrote the manuscript; J.D. and O.A.I. designed the research; J.D performed

the research; J.D. and O.A.I. analyzed the data.

<u>References</u>

1.   Cirella A, Luri-Rey C, Di Trani CA, et al. Novel strategies exploiting interleukin-12 in
cancer immunotherapy. *Pharmacology & Therapeutics*. 2022;239:108189.
doi:10.1016/j.pharmthera.2022.108189

2.   Jalah R, Rosati M, Ganneru B, et al. The p40 Subunit of Interleukin (IL)-12 Promotes
Stabilization and Export of the p35 Subunit. *J Biol Chem*. 2013;288(9):6763-6776.
doi:10.1074/jbc.M112.436675

3.   Hamza T, Barnett JB, Li B. Interleukin 12 a Key Immunoregulatory Cytokine in Infection
Applications. *Int J Mol Sci*. 2010;11(3):789-806. doi:10.3390/ijms11030789

4.   Berger A. Th1 and Th2 responses: what are they? *BMJ*. 2000;321(7258):424.

5.   Gately MK, Renzetti LM, Magram J, et al. THE INTERLEUKIN-12/INTERLEUKIN-12-
RECEPTOR SYSTEM: Role in Normal and Pathologic Immune Responses. *Annual Review*
of Immunology. 1998;16(1):495-521. doi:10.1146/annurev.immunol.16.1.495

6.   Athie-Morales V, Smits HH, Cantrell DA, Hilkens CMU. Sustained IL-12 Signaling Is
Required for Th1 Development 1. *The Journal of Immunology*. 2004;172(1):61-69.
doi:10.4049/jimmunol.172.1.61

7.   Atkins MB, Robertson MJ, Gordon M, et al. Phase I evaluation of intravenous recombinant
human interleukin 12 in patients with advanced malignances. *Clin Cancer Res*.
1997;3(3):409-417.

8.   Leonard JP, Sherman ML, Fisher GL, et al. Effects of Single-Dose Interleukin-12 Exposure
on Interleukin-12–Associated Toxicity and Interferon-γ Production. *Blood*. 1997;90(7):2541-
2548. doi:10.1182/blood.V90.7.2541

9.   Algazi AP, Twitty CG, Tsai KK, et al. Phase II Trial of IL-12 Plasmid Transfection and PD-1
Blockade in Immunologically Quiescent Melanoma. *Clinical Cancer Research*.
2020;26(12):2827-2837. doi:10.1158/1078-0432.CCR-19-2217

10. Algazi A, Bhatia S, Agarwala S, et al. Intratumoral delivery of tavokinogene telseplasmid
yields systemic immune responses in metastatic melanoma patients. *Ann Oncol*.
2020;31(4):532-540. doi:10.1016/j.annonc.2019.12.008

11. Bajetta E, Del Vecchio M, Mortarini R, et al. Pilot study of subcutaneous recombinant
human interleukin 12 in metastatic melanoma. *Clinical Cancer Research*. 1998;4(1):75-85.

12. Bekaii-Saab TS, Roda JM, Guenterberg KD, et al. A phase I trial of paclitaxel and
trastuzumab in combination with interleukin-12 in patients with HER2/neu-expressing
malignancies. *Molecular Cancer Therapeutics*. 2009;8(11):2983-2991. doi:10.1158/1535-
7163.MCT-09-0820

13. Bhatia S, Longino NV, Miller NJ, et al. Intratumoral Delivery of Plasmid IL12 Via
Electroporation Leads to Regression of Injected and Noninjected Tumors in Merkel Cell
Carcinoma. *Clinical Cancer Research*. 2020;26(3):598-607. doi:10.1158/1078-0432.CCR-
19-0972

14. Gollob JA, Mier JW, Veenstra K, et al. Phase I Trial of Twice-Weekly Intravenous
Interleukin 12 in Patients with Metastatic Renal Cell Cancer or Malignant Melanoma: Ability
to Maintain IFN-γ Induction Is Associated with Clinical Response1. *Clinical Cancer
Research*. 2000;6(5):1678-1692.

15. Motzer RJ, Rakhit A, Schwartz LH, et al. Phase I trial of subcutaneous recombinant human
interleukin-12 in patients with advanced renal cell carcinoma. *Clinical Cancer Research*.
1998;4(5):1183-1191.

16. Portielje JEA, Kruit WHJ, Schuler M, et al. Phase I Study of Subcutaneously Administered
Recombinant Human Interleukin 12 in Patients with Advanced Renal Cell Cancer. *Clinical
Cancer Research*. 1999;5(12):3983-3989.

17. Portielje JEA, Lamers CHJ, Kruit WHJ, et al. Repeated Administrations of Interleukin (IL)-
12 Are Associated with Persistently Elevated Plasma Levels of IL-10 and Declining IFN-γ,
Tumor Necrosis Factor-α, IL-6, and IL-8 Responses. *Clinical Cancer Research*.
2003;9(1):76-83.

18. Barton KN, Siddiqui F, Pompa R, et al. Phase I trial of oncolytic adenovirus-mediated
cytotoxic and interleukin-12 gene therapy for the treatment of metastatic pancreatic cancer.
Molecular Therapy - Oncolytics. 2021;20:94-104. doi:10.1016/j.omto.2020.11.006

19. Rakhit A, Yeon MM, Ferrante J, et al. Down-regulation of the pharmacokinetic-
pharmacodynamic response to interleukin-12 during long-term administration to patients
with renal cell carcinoma and evaluation of the mechanism of this "adaptive response" in
mice. *Clinical Pharmacology & Therapeutics*. 1999;65(6):615-629. doi:10.1016/S0009-
9236(99)90083-8

20. Coughlin CM, Wysocka M, Trinchieri G, Lee WMF. The Effect of Interleukin 12
Desensitization on the Antitumor Efficacy of Recombinant Interleukin 121. *Cancer*
Research. 1997;57(12):2460-2467.

21. Becskei A, Grusby MJ. Contribution of IL-12R mediated feedback loop to Th1 cell
differentiation. *FEBS Letters*. 2007;581(27):5199-5206. doi:10.1016/j.febslet.2007.10.007

22. Wang KS, Zorn E, Ritz J. Specific down-regulation of interleukin-12 signaling through
induction of phospho-STAT4 protein degradation. *Blood*. 2001;97(12):3860-3866.
doi:10.1182/blood.V97.12.3860

23. Supersaxo A, Hein WR, Steffen H. Effect of Molecular Weight on the Lymphatic Absorption
of Water-Soluble Compounds Following Subcutaneous Administration. *Pharm Res*.
1990;7(2):167-169. doi:10.1023/A:1015880819328

24. Datta-Mannan A. Mechanisms Influencing the Pharmacokinetics and Disposition of
Monoclonal Antibodies and Peptides. *Drug Metab Dispos*. 2019;47(10):1100-1110.
doi:10.1124/dmd.119.086488

25. Zhao L, Ren T hua, Wang DD. Clinical pharmacology considerations in biologics
development. *Acta Pharmacol Sin*. 2012;33(11):1339-1347. doi:10.1038/aps.2012.51

26. Tibbitts J, Canter D, Graff R, Smith A, Khawli LA. Key factors influencing ADME
properties of therapeutic proteins: A need for ADME characterization in drug discovery and
development. *mAbs*. 2016;8(2):229-245. doi:10.1080/19420862.2015.1115937

27. Martin JP, Rasor BJ, DeBonis J, et al. A dynamic kinetic model captures cell-free metabolism
for improved butanol production. *Metabolic Engineering*. 2023;76:133-145.
doi:10.1016/j.ymben.2023.01.009

28. An G. Concept of Pharmacologic Target-Mediated Drug Disposition in Large-Molecule and
Small-Molecule Compounds. *The Journal of Clinical Pharmacology*. 2020;60(2):149-163.
doi:10.1002/jcph.1545

29. Bensalem A, Ternant D. Pharmacokinetic Variability of Therapeutic Antibodies in Humans:
A Comprehensive Review of Population Pharmacokinetic Modeling Publications. *Clin*
Pharmacokinet. 2020;59(7):857-874. doi:10.1007/s40262-020-00874-2

30. Thaker PH, Brady WE, Lankes HA, et al. A phase I trial of intraperitoneal GEN-1, an IL-12
plasmid formulated with PEG-PEI-cholesterol lipopolymer, administered with pegylated
liposomal doxorubicin in patients with recurrent or persistent epithelial ovarian, fallopian
tube or primary peritoneal cancers: An NRG Oncology/Gynecologic Oncology Group study.
Gynecologic Oncology. 2017;147(2):283-290. doi:10.1016/j.ygyno.2017.08.001

31. Alvarez RD, Sill MW, Davidson SA, et al. A phase II trial of intraperitoneal EGEN-001, an
IL-12 plasmid formulated with PEG–PEI–cholesterol lipopolymer in the treatment of
persistent or recurrent epithelial ovarian, fallopian tube or primary peritoneal cancer: A

Gynecologic Oncology Group study. *Gynecologic Oncology*. 2014;133(3):433-438. doi:10.1016/j.ygyno.2014.03.571

32. Bella Á, Arrizabalaga L, Di Trani CA, et al. Intraperitoneal administration of a modified vaccinia virus Ankara confers single-chain interleukin-12 expression to the omentum and achieves immune-mediated efficacy against peritoneal carcinomatosis. *J Immunother Cancer*. 2023;11(11):e006702. doi:10.1136/jitc-2023-006702

33. Lenzi R, Rosenblum M, Verschraegen C, et al. Phase I Study of Intraperitoneal Recombinant Human Interleukin 12 in Patients with Müllerian Carcinoma, Gastrointestinal Primary Malignancies, and Mesothelioma1. *Clinical Cancer Research*. 2002;8(12):3686-3695.

34. Derin D, Soydinc HO, Guney N, et al. Serum IL-8 and IL-12 levels in breast cancer. *Medical Oncology*. 2007;24(2):163-168. doi:10.1007/BF02698035

35. Sender R, Weiss Y, Navon Y, et al. The total mass, number, and distribution of immune cells in the human body. *Proceedings of the National Academy of Sciences*. 2023;120(44):e2308511120. doi:10.1073/pnas.2308511120

36. Greaney SK, Algazi AP, Tsai KK, et al. Intratumoral Plasmid IL12 Electroporation Therapy in Patients with Advanced Melanoma Induces Systemic and Intratumoral T-cell Responses. *Cancer Immunology Research*. 2020;8(2):246-254. doi:10.1158/2326-6066.CIR-19-0359

37. Zhang L, Morgan RA, Beane JD, et al. Tumor-Infiltrating Lymphocytes Genetically Engineered with an Inducible Gene Encoding Interleukin-12 for the Immunotherapy of Metastatic Melanoma. *Clinical Cancer Research*. 2015;21(10):2278-2288. doi:10.1158/1078-0432.CCR-14-2085

38. Shi S. Biologics: An Update and Challenge of Their Pharmacokinetics. *Current Drug Metabolism*. 2014;15(3):271-290.

39. Roskos LK, Lum P, Lockbaum P, Schwab G, Yang BB. Pharmacokinetic/Pharmacodynamic Modeling of Pegfilgrastim in Healthy Subjects. *The Journal of Clinical Pharmacology*. 2006;46(7):747-757. doi:10.1177/0091270006288731

Figure Legends

Figure 1: Clinical trial dosing information and schematics of models used in this investigation. A) Timelines illustrating dose regimens and doses where blood measurements are taken in three clinical trials used in this investigation. B) Schematic of accelerated-clearance model. C) Schematic of reduced-bioavailability model.

Figure 2: Accelerated-clearance model fitness to clinical trial data with and without

feedback. A) Accelerated-clearance model fit to clinical trial data presented by Motzer et al. B)

Accelerated-clearance model fit to clinical trial data presented by Rakhit et al. Black arrows

represent dose administration C) Accelerated-clearance model fit to IL-12 time course data

following 1.0 µg/kg administration with no prior doses from Motzer et al. D) Accelerated-

clearance model refit to IL-12 time course data 1.0 µg/kg administration with two prior doses from

Motzer et al. All model parameters other than bioavailability and absorption (solid line) or

systemic clearance rate (dashed line) fixed to values in C).

Figure 3: Reduced-bioavailability model fit to clinical trial data. A) Reduced-bioavailability

model fit to clinical trial data presented by Motzer et al. after global optimization. B) Reduced-

bioavailability model fit to clinical trial data presented by Rakhit et al. after global optimization.

C) Distribution of generated ensemble fit to all three clinical trials following local optimization

of randomly sampled parameter sets within boundaries (50 parameter sets per clinical trial).

Figure 4: Analysis of model and dosing parameters influence on predicted amounts of

desensitization. A) Predicted amount of desensitization after two consecutive IL-12 doses seven

days apart at varying dose levels. Inset: visual representation of AUC ratio. B) Predicted amount

of desensitization after two consecutive 1.0 µg/kg doses with varying time between doses.

Figure 5: Model predictions of strategies for mitigating systemic IL-12 exposure following

continuous local delivery. A) Prediction of continuous, intraperitoneal IL-12 delivery according

to three different production rate profiles: constant production (black lines), step-wise production

(red lines), and linearly increasing production (orange lines). Healthy IL-12 serum concentration

taken from Derin et al.[34] B-D) Effects of various IL-12 related parameters on maximum

concentrations in the local environment and in the blood. B) Effects of manipulating lymphatic

uptake. C) Effects of limiting transport into the blood from the lymphatic system. C) Effects of

limiting internalization of IL-12:IL-12 receptor complex.

<u>Supplementary Material</u>

550   All supplementary tables, figures, and text are included in the attached file titled "Supplementary

551   Information.pdf".

SUPPLEMENTARY INFORMATION FOR

Uncovering the Interleukin-12 Pharmacokinetic Desensitization and Its Consequences with Mathematical Modeling

Jonathon DeBonis, Omid Veiseh, and Oleg Igoshin

Oleg Igoshin

Email: igoshin@rice.edu

All MATLAB code used in this investigation can be found at the following GitHub repository:
https://github.com/jcd111/IL-12-PK-Desensitization-Model

This PDF file includes:

Supplemental Table S1: Reported IL-12 C$_{max}$, AUC, and t$_{max}$ by Portielje et al. following repeated s.c. injections compared to accelerated-clearance model predictions after global optimization. Predicted values are reported to two significant digits.

Dose Information	Predicted C_{max}	Reported C_{max}	Predicted AUC	Reported AUC	Predicted t_{max}	Reported t_{max}
0.5 $\mu g/kg$, dose 1	430 pg/mL	362 (214) pg/mL	8,200 pg*h/mL	7,400 (5,400) pg*h/mL	5.1 h	9.7 (5) h
0.5 $\mu g/kg$, dose 7	250 pg/mL	255 (200) pg/mL	4,000 pg*h/mL	3,300 (1,600) pg*h/mL	2.8 h	9.5 (2.2) h
1.0 $\mu g/kg$, dose 1	1000 pg/mL	1131 (1051) pg/mL	22,000 pg*h/mL	31,800 (22,300) pg*h/mL	8.4 h	17 (7) h
1.0 $\mu g/kg$, dose 7	360 pg/mL	376 (49) pg/mL	5,600 pg*h/mL	6,000 (2,400) pg*h/mL	2.3 h	4 (0) h

*Values in () are reported standard deviation.

Supplemental Table S2: Accelerated-clearance model parameter values following global optimization to three clinical trials individually. Values are reported to two significant digits.

Parameter	Value fit to Motzer data	Value fit to Rakhit data	Value fit to Portielje data
k_{CB} (days^{-1})	0.80	0.80	0.80
k_{CR} (days^{-1})	0.75	0.46	0.46
k_{on} (mL pmol^{-1}days^{-1})	200	200	210
k_{int} (days^{-1})	0.49	0.49	0.46
α (days^{-1})	3.5e-6	3.9e-6	2.8e-6
F (unitless)	0.83	0.41	0.60
J_{BS} (days^{-1})	0.25	0.27	1.2
R_0 (pmol/cell)	1.8e-9	1.7e-9	6.7e-9
N_B (cells)	4.2e10	4.2e10	5.2e10
IC_{pSTAT} (#/cell)	6.6e5	8.2e5	1.8e6

Supplemental Table S3: Reported IL-12 C_{max}, AUC, and t_{max} by Portielje et al. following repeated s.c. injections compared to reduced-bioavailability model predictions after global optimization. Predicted values are reported to two significant digits.

Dose Information	Predicted C_{max}	Reported C_{max}	Predicted AUC	Reported AUC	Predicted t_{max}	Reported t_{max}
0.5 µg/kg, dose 1	330 pg/mL	362 (214) pg/mL	7,400 pg*h/mL	7,400 (5,400) pg*h/mL	10 h	9.7 (5) h
0.5 µg/kg, dose 7	170 pg/mL	255 (200) pg/mL	4,100 pg*h/mL	3,300 (1,600) pg*h/mL	10 h	9.5 (2.2) h
1.0 µg/kg, dose 1	1,300 pg/mL	1131 (1051) pg/mL	26,000 pg*h/mL	31,800 (22,300) pg*h/mL	9.8 h	17 (7) h
1.0 µg/kg, dose 7	290 pg/mL	376 (49) pg/mL	6,800 pg*h/mL	6,000 (2.4) pg*h/mL	10 h	4 (0) h

*Values in () are reported standard deviation.

Supplemental Table S4: Reduced-bioavailability model parameter values following global optimization to three clinical trials individually. Values are reported to two significant digits.

Parameter	Value fit to Motzer data	Value fit to Rakhit data	Value fit to Portielje data
$k_{CB}(\text{days}^{-1})$	1.6	2.4	2.4
$k_{CR}(\text{days}^{-1})$	0.46	0.50	1.7
$k_{on}(\text{mL pmol}^{-1}\text{days}^{-1})$	200	200	200
$k_{int}(\text{days}^{-1})$	0.56	0.59	0.66
$N_L(\text{cells})$	3.8e10	3.3e10	1.7e11
$\alpha(\text{pmol cells}^{-1}\text{days}^{-1})$	5.0e-6	3.1e-6	4.4e-6
$R_0 \text{ (pmol cells}^{-1})$	5.6e-9	5.5e-9	1.8e-9
$J_{LS} \text{ (days}^{-1})$	1.6	1.2	2.9
$J_{BL} \text{ (days}^{-1})$	70	130	150
$IC_{pstat} \text{ (\# cells}^{-1})$	1.4e6	9.8e5	1.8e6

Supplemental Table S5: Reported IL-12 C_{max}, AUC, and t_{max} by Portielje et al. following repeated s.c. injections compared to reduced-bioavailability model predictions after ensemble local optimization. Predicted values are reported to two significant digits.

Dose Information	Predicted C_{max}	Reported C_{max}	Predicted AUC	Reported AUC	Predicted t_{max}	Reported t_{max}
0.5 µg/kg, dose 1	350 (30) pg/mL	362 (214) pg/mL	8,700 (570) pg*h/mL	7,400 (5,400) pg*h/mL	9.6 (1.1) h	9.7 (5) h
0.5 µg/kg, dose 7	170 (15) pg/mL	255 (200) pg/mL	4,500 (180) pg*h/mL	3,300 (1,600) pg*h/mL	9.7 (0.86) h	9.5 (2.2) h
1.0 µg/kg, dose 1	940 (190) pg/mL	1131 (1051) pg/mL	21,000 (4,200) pg*h/mL	31,800 (22,300) pg*h/mL	9.3 (1.1) h	17 (7) h
1.0 µg/kg, dose 7	240 (20) pg/mL	376 (49) pg/mL	6,300 (290) pg*h/mL	6,000 (2,400) pg*h/mL	9.8 (0.94) h	4 (0) h

*Values in () are reported/estimated standard deviation.

Supplemental Table S6: Accelerated-clearance model parameter information.

Parameter	Meaning	Optimization Range/Default Value	Source
J_{BS} (days^{-1})	First order transport rate for IL-12 to the blood.	1.0e-4 – 5.0	Estimated, given large range.
k_{CB} (days^{-1})	IL-12 first order clearance rate from blood.	0.79 – 2.4	Reported half-life values in clinical trials (Motzer et al.[1], Rakhit et al.[2], Portielje et al.[3])
k_{DG} (days^{-1})	First order degradation rate of IL-12 in the blood.	n/a	Determined by equation S8
F (unitless)	Absolute bioavailability, fraction of	0.010 – 1.0	Estimated, given range of reasonable values.

	administered IL-12 reaching blood.		
k_{on}(mL pmol^{-1}days^{-1})	IL-12 IL-12 receptor association rate.	1.5 – 210	Chizzonite et al.[4], adjusted to have high binding rate for improved fit to data.
k_R(pmol cells^{-1}days^{-1})	Basal receptor production rate.	n/a	Determined by equation S7
k_{CR} (days^{-1})	IL-12 receptor unbound internalization rate	0.46 – 1.1	Literature estimate unavailable, estimated based on half life between 10 and 36 hours.
k_{int}(days^{-1})	IL-12 receptor bound internalization rate.	0.46 – 1.1	Literature estimate unavailable, estimated based on half life between 10 and 36 hours.
α(pmol cells^{-1}days^{-1})	Linear feedback coefficient.	1.0e-8 – 1.0e-5	Estimated, given large range.
IC_{pSTAT}(# cells^{-1})	Complex formation required for 50% maximum STAT4 activation.	9.1e2 – 1.8e6	Estimated, given large range.
N_B(cells)	Number of IL-12R+ T-cells in the blood	8e8 – 8e10	Sender et al.[5]
R_0(pmol cells^{-1})	Initial amount of receptor per T-cell in lymphatic system.	1.7e-9 – 1.5e-8	Chizzonite et al[4].
$IL12_{S,0}$ (pmol mL^{-1})	Initial concentration of IL-12 in the subcutaneous space.	n/a	Determined by equation S9
D(pmol)	Dose amount.	n/a	Given based on dose information.
V_S (mL)	Volume of injection in subcutaneous space.	n/a	Based on volume of injection for clinical trials. Assumed to be 1 mL if not specified
V_B (mL)	Volume of the blood.	5100	Assumed as average human blood volume.

Supplemental Table S7: Reduced-bioavailability model parameter information.

Parameter	Meaning	Optimization Range/Default Value	Sources
$J_{LS}(\text{days}^{-1})$	First order IL-12 transport rate from subcutaneous space to lymphatic system.	0.79 – 2.4	Milewski et al.[6]
$J_{BL}(\text{days}^{-1})$	First order IL-12 transport rate from lymphatic system to blood.	4.3 – 430	Bounds centered around value reported by Varkhede et al.[7]
$k_{CB}(\text{days}^{-1})$	First order IL-12 clearance rate from blood.	0.79 – 2.4	Reported half-life values in clinical trials (Motzer et al.[1], Rakhit et al.[2], Portielje et al.[3])
$k_{DG}(\text{days}^{-1})$	First order degradation rate of IL-12 in the subcutaneous space.	n/a	Determined by equation S18.
$F(\text{unitless})$	Absolute bioavailability, fraction of IL-12 reaching lymphatic system.	1	Assumed to be one to isolate bioavailability mechanism.
$k_{on}\ (\text{mL pmol}^{-1}\text{days}^{-1})$	IL-12:IL-12 receptor association rate.	1.5 – 210	Chizzonite et al.[4], adjusted to have high binding rate for improved fit to data.
$k_R(\text{pmol cells}^{-1}\text{days}^{-1})$	Basal receptor production rate.	n/a	Determined by equation S17.
$k_{CR}\ (\text{days}^{-1})$	IL-12 receptor unbound internalization rate.	0.46– 1.7	Literature estimate unavailable, estimated based on half life between 10 and 36 hours.
$k_{int}\ (\text{days}^{-1})$	IL-12 receptor bound internalization rate.	0.46 – 1.7	Literature estimate unavailable, estimated based on half life between 10 and 36 hours.
$\alpha(\text{pmol cells}^{-1}\text{days}^{-1})$	Linear feedback coefficient.	1.0e-8 – 1.0e-5	Estimated, given large range.

IC_{pSTAT} (# cells^{-1})	Complex formation required for 50% maximum STAT4 activation.	9.1e2 – 1.8e6	Estimated, given large range.
N_L (cells)	Number of IL-12R+ T-cells in the lymphatic system	1.0e9 – 5.0e11	Sender et al.[5]
R_0 (pmol cells^{-1})	Initial amount of receptor per T-cell in lymphatic system.	1.7e-9 – 1.5e-8	Chizzonite et al[4].
$IL12_{S,0}$ (pmol mL^{-1})	Initial concentration of IL-12 in the subcutaneous space.	n/a	Determined by equation S19.
D (pmol)	Dose of IL-12 administered	Variable based on clinical trial.	Based on clinical trial information.
V_S (mL)	Volume of injection in subcutaneous space.	Variable based on clinical trial.	Based on volume of injection for clinical trials. Assumed to be 1 mL if not specified
V_L (mL)	Volume of lymphatic system IL-12 enters in transport to blood.	33	Varkhede et al.[7]
V_B (mL)	Volume of blood.	5100	Average human blood volume.

Supplemental Figure S1: Addition of IL-12 receptor binding and upregulation in the blood compartment to the reduced-bioavailability model allows for capturing non-linearity in dose escalation.

Supplemental Figure S2: Reduced-bioavailability model fitness to clinical trial data following ensemble-based optimization. A) Model predictions fit to clinical trial data presented by Motzer et al.[1]. B) Model predictions fit to clinical trial data presented by Rakhit et al.[2]. Solid lines: mean predicted concentrations. Shaded area: raw 95% confidence interval.

Supplemental Figure S3: Distribution of locally optimized parameters fit to individual clinical trials. A) Distribution of 50 parameter sets locally optimized to Motzer et al.[1] data. B)

Distribution of 50 parameter sets locally optimized to Rakhit et al.[2] data. C) Distribution of 50 parameter sets locally optimized to Portielje et al.[3] data.

Supplemental Figure S4: Amount of desensitization predicted by reduced-bioavailability model sensitivities to relevant model parameters. A) Desensitization log gain sensitivities after two consecutive 1.0 µg/kg doses. B) Desensitization log gain sensitivities comparing desensitization after three consecutive 1.0 µg/kg doses. Sensitivities are calculated according to equation S64.

Supplemental Figure S5: Schematic of local IL-12 production model. This model is identical to the reduced-bioavailability model with the dosing compartment volume, method of dosing, and transport parameters modified to represent continuous IL-12 production in the i.p. space.

Supplemental Figure S6: Reduced-bioavailability model modified to represent IP injection of IL-12 fit to clinical trial data.

Supplemental Figure S7: Relationship between binding flux ratio and amount of desensitization predicted by AUC ratio. A) Predicted amount of desensitization as quantified by AUC ratio v. flux ratio determined from numerical simulations. Inset: visual representation of flux ratio. B) Predicted amount of desensitization as quantified by AUC ratio v. flux ratio determined by analytical approximation of receptor dynamics.

Supplemental Figure S8: Analytical approximation for receptor concentrations compared to numerical simulations for reduced-bioavailability model. Solid blue curve: analytical approximation. Dashed orange curve: numerical simulations.

Supplemental Figure S9: Comparison of determined IL-12 binding flux ratio (equations S65 and S66) as calculated by numerical simulation and our analytical approximation for receptor dynamics.

Supplemental Figure S10: Comparison of analytical approximation for the concentration of IL-12:IL-12 receptor and STAT4 activation in the reduced-bioavailability model. A) Predictions of complex concentrations. B) Predictions of STAT4 Activation. Blue curves: Scaled analytical approximations. Orange Curves: Unscaled analytical approximations. Dashed yellow curves: Numerically simulated values. See supplemental text for more information.

List of Mathematical Models and Equations

Accelerated-Clearance Model Equations

$$\frac{dIL12_S}{dt} = -J_{BS}\ IL12_S - k_{DG}\ IL12_S \tag{S1}$$

$$\frac{dIL12_B}{dt} = \frac{J_{BS}\ V_S}{V_B}\ IL12_S - k_{on}\ IL12_B\ R_B - k_{CB}\ IL12_B \tag{S2}$$

$$\frac{dR_B}{dt} = \frac{N_B}{V_B}\ k_R + \frac{N_B}{V_B}\ \alpha\ pSTAT_B - k_{on}\ IL12_B\ R_B - k_{CR}\ R_B \tag{S3}$$

$$\frac{dC_B}{dt} = k_{on}\ IL12_B\ R_B - k_{int}\ C_B \tag{S4}$$

$$\widetilde{C_B} = \frac{6.023e11\ V_B}{N_B}\ C_B \tag{S5}$$

$$pSTAT_B = \frac{\widetilde{C_B}}{\widetilde{C_B} + IC_{pSTAT}} \tag{S6}$$

$$k_R = k_{CR}\ R_0 \tag{S7}$$

$$k_{DG} = \frac{(1-F)}{F}\ J_{BS} \tag{S8}$$

$$IL12_{S,0} = \frac{D}{V_S} \tag{S9}$$

Reduced-Bioavailability Model Equations

$$\frac{dIL12_S}{dt} = -J_{LS}IL12_S - k_{DG}IL12_S \tag{S10}$$

$$\frac{dIL12_L}{dt} = \frac{J_{LS}\ V_S}{V_L}\ IL12_S - k_{on}\ IL12_L\ R_L - J_{BL}\ IL12_L \tag{S11}$$

$$\frac{dR_L}{dt} = \frac{N_L}{V_L}\,k_R + \frac{N_L}{V_L}\,\alpha\,pSTAT_L - k_{on}\,IL12_L\,R_L - k_{CR}\,R_L \tag{S12}$$

$$\frac{dC_L}{dt} = k_{on}\,IL12_L\,R_L - k_{int}\,C_L \tag{S13}$$

$$\frac{dIL12_B}{dt} = \frac{J_{BL}\,V_L}{V_B}\,IL12_L - k_{CB}\,IL12_B \tag{S14}$$

$$\widetilde{C_L} = \frac{6.023e11\,V_L}{N_L}\,C_L \tag{S15}$$

$$pSTAT_L = \frac{\tilde{C}_L}{\tilde{C}_L + IC_{pSTAT}} \tag{S16}$$

$$k_R = k_{CR}\,R_0 \tag{S17}$$

$$k_{DG} = \frac{(1-F)}{F}\,J_{LS} \tag{S18}$$

$$IL12_{S,0} = \frac{D}{V_S} \tag{S19}$$

Intraperitoneal Injection Model Equations

$$\frac{dIL12_P}{dt} = -J_{LP}\,IL12_P - k_{DG}\,IL12_P \tag{S20}$$

$$\frac{dIL12_L}{dt} = \frac{J_{LP}V_P}{V_L}\,IL12_P - k_{on}\,IL12_L\,R_L - J_{BL}\,IL12_L \tag{S21}$$

$$\frac{dR_L}{dt} = \frac{N_L}{V_L}k_R + \frac{N_L}{V_L}\,\alpha\,pSTAT_L - k_{on}IL12_L R_L - k_{CR}R_L \tag{S22}$$

$$\frac{dC_L}{dt} = k_{on}\,IL12_L\,R_L - k_{int}C_L \tag{S23}$$

$$\frac{dIL12_B}{dt} = \frac{J_{BL}V_L}{V_B} IL12_L - k_{CB} IL12_B \tag{S24}$$

$$\widetilde{C_L} = \frac{6.023e11V_L}{N_L} C_L \tag{S25}$$

$$pSTAT_L = \frac{\tilde{C}_L}{\widetilde{C_L} + IC_{pSTAT}} \tag{S26}$$

$$k_R = k_{CR}R_0 \tag{S27}$$

$$k_{DG} = \frac{(1-F)}{F} J_{LS} \tag{S28}$$

$$IL12_{P,0} = \beta \frac{D}{V_P} \tag{S29}$$

Local Production Model Equations

$$\frac{dIL12_P}{dt} k_{pr}(t) - J_{LP} IL12_P - k_{DG} IL12_P \tag{S30}$$

$$\frac{dIL12_L}{dt} = \frac{J_{LP}V_P}{V_L} IL12_P - k_{on} IL12_L R_L - J_{BL} IL12_L \tag{S31}$$

$$\frac{dR_L}{dt} = \frac{N_L}{V_L} k_R + \frac{N_L}{V_L} \alpha \, pSTAT_L - k_{on}IL12_L R_L - k_{CR}R_L \tag{S32}$$

$$\frac{dC_L}{dt} = k_{on} IL12_L R_L - k_{int} C_L \tag{S33}$$

$$\frac{dIL12_B}{dt} = \frac{J_{BL}V_L}{V_B} IL12_L - k_{CB} IL12_B \tag{S34}$$

$$\widetilde{C_L} = \frac{6.023e11V_L}{N_L} C_L \tag{S35}$$

$$pSTAT_L = \frac{\widetilde{C_L}}{\widetilde{C_L} + IC_{pSTAT}} \qquad \text{(S36)}$$

$$k_R = k_{CR} R_0 \qquad \text{(S37)}$$

$$k_{DG} = \frac{(1 - F)}{F} J_{LS} \qquad \text{(S38)}$$

1 **<u>Supplementary Text</u>**

2 **Contents**

<u>Derivation of Mathematical Models</u>

The models used in this investigation are based on the same principles. The accelerated-

clearance model consists of subcutaneous and serum compartments with receptor dynamics in

the serum compartment. Similarly, the reduced-bioavailability model consists of the same two

compartments with the addition of a lymphatic system compartment where IL-12 must travel

before reaching the blood and receptor binding occurs. The models used for the simulation of

intraperitoneal IL-12 delivery are extensions of the reduced-bioavailability model. The

equations governing IL-12 dosing, transport, clearance, binding, and signaling are equivalent for

both models. In the following sections, we derive these terms. The descriptions and values for

the parameters used in each model are located in **Supplemental Tables S6 and S7**.

IL-12 Dosing

In both models, IL-12 dosing occurs in the subcutaneous compartment. As the duration of

injections is negligible compared to the simulation period, we model IL-12 dosing by setting the

initial concentration of IL-12 in the subcutaneous space according to the following:

$$IL12_{S,0} = \frac{D}{V_S} \tag{S39}$$

Here, D is the dose amount administered in pmol and V_S is the volume of the subcutaneous space

in mL.

IL-12 Transport Between Compartments

IL-12 transport between compartments is modeled based on a mass balance around each

compartment and convective transport via lymph fluid flow. As the molecular weight of IL-12 is

greater than 16 kDa, transport to the blood from the subcutaneous space primarily occurs through

lymph flow[8] and, therefore, is unidirectional. Consider a simple two-compartment system where

lymph flow moves from compartment 1 to compartment 2 with a volumetric flow rate j_{21} in mL

days[-1]. The mass balance around these two compartments is given by:

$$\frac{dV_1 C_1}{dt} = -j_{21} C_1 \tag{S40}$$

$$\frac{dV_2 C_2}{dt} = j_{21} C_1 \tag{S41}$$

40   where V_i is the volume of compartment i in mL and C_i is the concentration of IL-12 in

41   compartment i in pmol mL[-1]. We assume that the volume of each compartment remains constant,

42   i.e., fluid moving from each compartment is instantly replaced or removed. Accordingly, we can

43   rearrange the two differential equations to become:

$$\frac{dC_1}{dt} = -\frac{j_{21}}{V_1} C_1 \tag{S42}$$

$$\frac{dC_2}{dt} = \frac{j_{21}}{V_2} C_2 \tag{S43}$$

45   Making the substitution $j_{21} = J_{21} V_1$, we further simplify to:

$$\frac{dC_1}{dt} = -J_{21} C_1 \tag{S44}$$

$$\frac{dC_2}{dt} = \frac{V_1}{V_2} J_{21} C_2 \tag{S45}$$

In these equations, J_{21} is the effective first order transport rate constant in days[-1]. Conceptually,

this parameter refers to the fluid flow leaving compartment 1 normalized by the volume of

compartment 1. This framework is generalized to represent transport between all compartments

in our model.

IL-12 Receptor Binding and Complex Formation

IL-12 binds its receptor either in the serum (accelerated-clearance model) or the lymphatic

system (reduced-bioavailability model). For simplicity, we assume that binding is irreversible

and occurs according to the rate law:

$$r_{binding} = k_{on} \ IL12_i \ R_i \tag{S46}$$

Here, k_{on} is the IL-12:IL-12 receptor association rate in mL pmol^{-1} days^{-1}, $IL12_i$ is the

concentration of IL-12 in compartment *i* where receptor binding occurs, and R_i is the

concentration of receptor in compartment *i* both in pmol mL $^{-1}$. In our model both IL-12 and IL-

12 receptor are consumed according to $r_{binding}$, and IL-12:IL-12 complex is generated at the

same rate. Accordingly, the differential equation describing the concentration of IL-12:IL-12

receptor complex is given by:

$$\frac{dC_i}{dt} = k_{on} \ IL12_i \ R_i - k_{int}C_i \tag{S47}$$

Here, C_i is the concentration of IL-12:IL-12 receptor complex in compartment *i* and k_{int} is the

first order complex internalization rate constant in days^{-1}.

STAT4 Activation

In both models, the formation of IL-12:IL-12 receptor complex leads to the activation of STAT4

based on the number of complexes per T-cell. Accordingly, we first calculate this quantity

according to:

$$\tilde{C}_i = 6.023e11\frac{V_iC_i}{N_i} \tag{S48}$$

67   where $\tilde{C}_i$ is the number of complexes per T-cell in # cells^{-1} in compartment i, V_i is the volume of

68   compartment i in mL, and N_i is the number of T-cells in compartment i. The differential

69   equation describing STAT4 activation is given by the following:

$$\frac{dpSTAT_i}{dt} = k_P \tilde{C}_i (1 - pSTAT_i) - k_{DP}\, pSTAT_i \qquad \text{(S49)}$$

70   Here, $pSTAT_i$ is the fraction of phosphorylated (activated) STAT4, k_p is the first order

71   phosphorylation rate in cell #$^{-1}$ days^{-1}, and k_{DP} is the first order dephosphorylation rate constant

72   in days^{-1}. Furthermore, as intracellular processes are much faster than physiological processes

73   such as transport and clearance, we assume that STAT4 activation is in quasi-steady state in our

74   models. Thus, we can solve for $pSTAT_i$ activation as the following:

$$pSTAT_i = \frac{k_P \widetilde{C_i}}{k_P \widetilde{C_i} + k_{DP}} = \frac{\widetilde{C_i}}{\tilde{C}_i + \frac{k_{DP}}{k_P}} = \frac{\tilde{C}_i}{\widetilde{C_i} + IC_{pSTAT}} \qquad \text{(S50)}$$

where IC_{pSTAT} is the number of complexes per T-cell required for half-maximum STAT4

activation and is equivalent to $\frac{k_{DP}}{k_P}$.

IL-12 Receptor Dynamics

In the compartment where receptor binding occurs, we represent the concentration of receptor

based on a basal production rate, an upregulated production rate based on STAT4 activation,

consumption via binding, and unbound internalization/clearance. Accordingly, the differential

equation describing the concentration of receptor is given by:

$$\frac{dR_i}{dt} = \frac{N_i}{V_i} k_R + \frac{N_i}{V_i}\, \alpha\, pSTAT_i - k_{on}\, IL12_i\, R_i - k_{CR} R_i \qquad \text{(S51)}$$

82   where k_R is the basal production rate per T-cell in pmol cells^{-1} days^{-1}, k_{CR} is the unbound

83   receptor internalization rate in days $^{-1}$, and α is the feedback parameter representing upregulated

84   receptor production by STAT4 activation in pmol cells^{-1} days^{-1}. Furthermore, we set the steady-

85   state concentration of receptor according to the following relationship:

$$R_{SS} = \frac{R_0 N_i}{V_i} \tag{S52}$$

86   Here, R_{SS} is the steady-state/initial concentration of receptor in the absence of any IL-12 and R_0

87   is the basal number of IL-12 receptors per T-cell in the absence of IL-12 and STAT4 activation.

88   To ensure that the concentration of receptor remains at this level at steady state, we constrain the

89   basal production rate k_R according to:

$$k_R = R_0 k_{CR} \tag{S53}$$

IL-12 Clearance

In addition to receptor-mediated clearance, IL-12 can exit the body in our model via degradation

in the subcutaneous space or traditional serum clearance. Clearance from the serum is   ·

determined by the first order clearance rate constant k_{CB} in days^{-1}. Instead of directly specifying

the degradation rate of IL-12 in the subcutaneous space, we specify the absolute bioavailability

(fraction of IL-12 in the subcutaneous space that does not get degraded) and determine the

corresponding degradation rate. Accordingly, the degradation of IL-12 in the subcutaneous space

is determined by:

$$k_{DG} = \frac{1 - F}{F} J_{xS} \tag{S54}$$

where k_{DG} is the first-order subcutaneous degradation rate constant and J_{xS} is the first-order

transport rate constant from the subcutaneous space to compartment *x,* both in days^{-1}. In the

accelerated-clearance model, this transport rate is that of IL-12 to the blood and in the reduced

bioavailability model it is that of IL-12 to the lymphatic system.

Model of Intraperitoneal IL-12 Injection

We developed the reduced-bioavailability model to simulate subcutaneous dosing of IL-12; thus,

we modified our model slightly to fit intraperitoneal injection data presented by Lenzi et al.[9]. All

model equations here are identical to the original model equations (other than slight notation

changes) except the equation determining the initial concentration of IL-12 in the intraperitoneal

cavity. In the clinical trial data presented by Lenzi and colleagues, the initial concentration of

IL-12 in the intraperitoneal cavity is two orders of magnitude lower than expected given the

reported dose amount. To account for this, we add the scaling factor β to our expression for the

initial concentration of IL-12 in the intraperitoneal cavity to obtain:

$$IL12_{P,0} = \beta \frac{D}{V_P} \tag{S55}$$

Here, β is a factor between zero and one that accounts for the discrepancy between the reported

dose and initial concentrations of IL-12. Adding in this change and changes in notation to reflect

IL-12 administration to the peritoneal cavity, we obtain equations S20-29

Model of Continuous, Local IL-12 Delivery

We further modified our model to represent continuous, local delivery of IL-12 within the

intraperitoneal cavity. All equations in this model are identical to that of intraperitoneal injection

of IL-12 except for the equations governing IL-12 in the intraperitoneal space. Here, the

ordinary differential equation describing the concentration of IL-12 in the intraperitoneal space is

now given by:

$$\frac{dIL12_P}{dt} = k_{pr}(t) - J_{LP}\,IL12_P - k_{DG}\,IL12_P \tag{S56}$$

120   Here, $k_{pr}(t)$ is the 0th-order production rate of IL-12 that varies with time according to the

121   dosing strategy being simulated in pmol days^{-1}. We test three different dosing strategies

122   designed to reach equivalent i.p. concentrations at long times: 1) constant production, 2) stepwise

123   production, and 3) linearly increasing production. These production profiles are given by:

$$k_{prod}(t) = \begin{cases} 0, & t < t_1 \\ k_{pr}^f, & t \geq t_1 \end{cases} \tag{S57}$$

$$k_{prod}(t) = \begin{cases} \dfrac{1}{3}k_{pr}^f, & t_1 \leq t \leq t_2 \\ \dfrac{1}{2}k_{pr}^f, & t_2 < t \leq t_3 \\ k_{pr}^f, & t > t_3 \end{cases} \tag{S58}$$

$$k_{prod}(t) = \begin{cases} 0, & t < t_1 \\ \dfrac{k_{pr}^f - k_{pr}^0}{t_2 - t_1}(t - t_1), & t_1 \leq t \leq t_2 \\ k_{prod}^f, & t > t_2 \end{cases} \tag{S59}$$

In the equations above k_{pr}^f is the final production rate reached by each production profile, and t_1

is the time dosing begins. The stepwise production profile is further characterized by t_2 and t_3,

which are the times when IL-12 production is increased. Similarly, the linearly increasing

production profile is characterized by t_1 and t_2, the time in which the increase in production rate

begins and ends, respectively. All characteristic times of dosing profiles are given in days.

Additionally, the initial production rate here is defined by k_{pr}^0.

<u>Parameter Optimization Error Functions</u>

The error functions used for optimization depend on the type of data and the number of

compartments for which data was available. For time course data presented for a single

compartment, we used a modified version of the sum of standard squared error to account for the

assay's limit of detection:

$$SSE = \sum_i \begin{cases} (P_i - E_i)^2; P_i > D, E_i > D & \text{(S60)} \\ (P_i - D)^2; P_i > D, E_i \leq D \\ 0; P_i \leq D, E_i \leq D \end{cases}$$

137   where E_i is the value of experimental datapoint i, P_i is the model predicted value of that datapoint,

138   and D is the lowest limit of detection for the quantification assay. For time course data where data

139   is presented for more than one compartment, i.e., blood and intraperitoneal IL-12 measurements,

140   the error function was further modified to normalize to the magnitude of the experimental

141   measurement according to:

$$NSE = \sum_i \begin{cases} \dfrac{(P_i - E_i)^2}{E_i^2}; P_i > D, E_i > D & \text{(S61)} \\ \dfrac{(P_i - D)^2}{D^2}; P_i > D, E_i \leq D \\ 0; P_i \leq D, E_i \leq D \end{cases}$$

142   The error function used for optimizing to PK metric data is given by the following:

$$SSE = \sum_i \frac{(P_i - E_i)^2}{E_i^2 + \sigma_i^2} \qquad \text{(S62)}$$

Here, E_i is the value of the PK metric measured in the clinical trial, σ_i is the reported standard

deviation of the PK metric, and P_i is the model predicted value.

<u>Local Model Sensitivity Analyses</u>

Local sensitivity analyses were implemented to determine the impact of model parameters on the

extent of IL-12 PK desensitization. For the simulation of multiple repeated doses of the same

amount, we quantify the amount of desensitization predicted as the ratio of IL-12 serum AUC after

the last and first dose according to:

$$\chi = \frac{AUC_{last}}{AUC_{first}} \qquad \text{(S63)}$$

150   To determine the sensitivity of the quantity χ to a given parameter p, we calculated the log gain

151   sensitivity according to:

$$S = \frac{\partial \ln{(\chi)}}{\partial \ln{(p)}} = \frac{\Delta \ln{(\chi)}}{\Delta \ln{(p)}} \tag{S64}$$

Ensemble Analysis of Desensitization Requirements

To expand on the influence of the parameters related to receptor lifetime, initial receptor

concentrations, and feedback dynamics we generated an ensemble of 10,000 parameter sets

varying $k_{CR}, k_{int}, N_{cells}, \alpha, R_0,$ and IC_{pSTAT} between 50% and 150% of the optimized values

holding all other parameters constant. Each parameter set was simulated for two consecutive 1.0

µg/kg doses on days 1 and 8 of a 15-day cycle, and the amount of desensitization predicted was

quantified based on equation 24. Analysis of these simulations illustrated that the amount of

desensitization that occurs is correlated to the increase in binding flux at the time of the second

dose (t = τ) normalized by the total flux of IL-12 out of the lymphatic system at the time of the

first dose (t = 0), determined by equation 30. More specifically, we found that the value Ψ was

inversely correlated to the AUC ratio and, therefore, positively correlated with the extent of

desensitization predicted (**Supplemental Figure S7A**)

$$\Psi = \frac{R(\tau) - k_{on}R(0)}{J_{BL} + k_{on}R(0)} \tag{S65}$$

To gain deeper insight into the role specific parameters have on the amount of receptor remaining

at the time of the second dose and the amount of desensitization predicted, we derived an analytical

approximation for the concentration of receptor in the lymphatic system following a single dose

(equations S74-78) This approximation of receptor concentrations is in reasonable agreement with

numerical simulations, especially at longer time points where the receptor concentration is close

to baseline levels (**Supplemental Figure S8**). Using this approximation to calculate the increase

in receptor binding flux at the time of second dose based on equation S65, we obtain:

$$\Psi = \frac{k_{on}[e_1(\tau) + e_2(\tau) + e_3(\tau)]}{J_{BL} + k_{on}R(0)} \qquad \text{(S66)}$$

Here, the three exponential terms $e_1(t), e_2(t)$, and $e_3(t)$ are given by equations S75-77. When

used to predict the magnitude of IL-12 desensitization that occurs, equation S66 yields similar

results to those extracted from numerical simulations (**Supplemental Figures S7B and S9**). Our

analytical expression illustrates how specific parameters influence the amount of desensitization

predicted without numerical simulation. We see that terms in the numerator of ϕ will lead to a

larger peak in receptor concentration, and vice versa for the denominator. Additionally, increases

in the three exponential decay parameters, J_{LS}, k_{int}, and k_{CR}, lead to faster receptor clearance. If

all three decay timescales are sufficiently short, upregulated receptor will clear by the time of the

second dose and desensitization will not be predicted. Furthermore, we predict that multiple

processes in our model contribute to the presence of desensitization, and that desensitization will

be predicted over a variety of different parameter combinations. Generally, the requirement for

desensitization in our model is that the upregulated of IL-12 receptor remains present when the

next dose is administered. We show here that this behavior is not specific to a small subset of

parameter values, supporting the robustness of our model to predict desensitization despite the

inherent variability in our model parameters.

<u>Derivation of Analytical Approximation for Receptor Dynamics</u>

To approximate the concentration of IL-12 receptor in the reduced-bioavailability model over

time with an analytical expression, we aimed to approximate species in the model with

exponential expressions. As the upregulation of receptor is regulated by the amount of STAT4

activation in T-cells, which is in turn regulated by the amount of IL-12:IL-12 receptor, we start

by approximating the amount of complex formation that occurs in the lymphatic system. The

ordinary differential equation describing the concentration of complex formed is given in

equation S13. Importantly, this differential equation is nonlinear due to the $k_{on}IL12_L R_L$ term.

As a linear approximation, we assume that the binding flux is equal to the flux into the lymphatic

system such that $k_{on}\ IL12_L\ R = \frac{J_{LS}V_S}{V_L}\ IL12_S$, giving us:

$$\frac{dC_L}{dt} = \frac{J_{LS}V_S}{V_L}\ IL12_S - k_{int}C_L \tag{S67}$$

197   The concentration of IL-12 in the subcutaneous space, $IL12_S$, is described by a single

198   exponential decay since for this model we assume bioavailability (F) is one. The exponential

199   decay describing the concentration of IL-12 in the subcutaneous space is given by:

$$IL12_S(t) = \frac{D}{V_S}\exp\{-J_{LS}t\} \tag{S68}$$

200   Making this substitution allows us to solve equation S67 analytically to obtain the dual

201   exponential decay given by:

$$C_L(t) = \frac{J_{LS}D}{(k_{int} - J_{LS})V_L}[\exp\{-J_{LS}t\} - \exp\{-k_{int}t\}] \tag{S69}$$

202   Based on equations S15 and S16, we can now approximate the level of STAT4 activation

203   according to:

$$pSTAT(t) = \frac{\dfrac{6.023e11V_L}{N_{cells}}C(t)}{\dfrac{6.023e11V_L}{N_{cells}}C(t) + IC_{pSTAT}} \tag{S70}$$

These approximations for the concentration of complex and STAT4 activation are in reasonable

agreement with numerical simulations (**Supplemental Figure S10**). However, there is a slight

overprediction of both species, likely due to our assumption that binding flux is equal to the total

flux of IL-12 into the lymphatic system. To account for this difference, we rescale our

approximation for the amount of complex formed by the initial ratio of binding flux to total flux,

such that $C_L(t)$ is now given by:

$$C_L(t) = \frac{\frac{k_{on}R_0N_{cells}}{V_L}}{\frac{k_{on}R_0N_{cells}}{V_L} + J_{BL}} \frac{J_{LS}D}{(k_{int} - J_{LS})V_L} [\exp\{-J_{LS}t\} - \exp\{-k_{int}t\}] \tag{S71}$$

As seen in **Supplemental Figure S10** (blue curves), this slightly increases the accuracy of our

analytical approximations compared to numerical simulations. Using equations S12 and S70, we

can now solve for an analytical approximation for the concentration of receptor in the lymphatic

system after linearizing the equation. Here, we make an important assumption that at long times

(around the time of the next dose, which is our time span of interest), the amount of IL-12 in the

lymphatic system is negligible such that $k_{on}IL12_L R \approx 0$. Additionally, our expression for

$pSTAT(t)$ is non-linear; however, in the parameter regime we are operating $IC_{pSTAT} \gg$

$\frac{6.023e11V_L}{N_{cells}}C_L(t)$, thus we can assume this function is approximately linear as given by:

$$pSTAT(t) = \frac{6.023e11V_L}{N_{cells}\,IC_{pSTAT}}C(t) \tag{S72}$$

218   Thus, our ordinary differential equation for receptor concentrations in the lymphatic system

219   becomes linear, given by:

$$\frac{dR_L}{dt} = \frac{N_L}{V_L}k_R + \frac{N_L}{V_L}\,\alpha\,\frac{6.023e11V_L}{N_{cells}\,IC_{pSTAT}}C_L(t) - k_{CR}R_L \tag{S73}$$

221   This ordinary differential equation can be solved analytically and making the important

222   substitution $k_R = k_{CR}R_0$, we obtain:

$$R(t) = \frac{R_0N_{cells}}{V_L} + e_1(t) + e_2(t) + e_3(t) \tag{S74}$$

$$e_1(t) = \frac{\Phi exp\,(-J_{LS}t)}{(J_{LS} - k_{int})(J_{LS} - k_{CR})} \tag{S75}$$

$$e_2(t) = \frac{\Phi \exp(-k_{int}t)}{(k_{int} - J_{LS})(k_{int} - k_{CR})} \tag{S76}$$

$$e_3(t) = \frac{\Phi \exp(-k_{CR}t)}{(k_{CR} - k_{int})(k_{CR} - J_{LS})} \tag{S77}$$

$$\Phi = \frac{6.023e11 J_{LS}\alpha D}{V_L IC_{pSTAT}} \frac{\dfrac{k_{on}R_0 N_{cells}}{V_L}}{\dfrac{k_{on}R_0 N_{cells}}{V_L} + J_{BL}} \tag{S78}$$

References

1. Motzer RJ, Rakhit A, Schwartz LH, et al. Phase I trial of subcutaneous recombinant human
interleukin-12 in patients with advanced renal cell carcinoma. *Clinical Cancer Research*.
1998;4(5):1183-1191.

2. Rakhit A, Yeon MM, Ferrante J, et al. Down-regulation of the pharmacokinetic-
pharmacodynamic response to interleukin-12 during long-term administration to patients with
renal cell carcinoma and evaluation of the mechanism of this "adaptive response" in mice.
Clinical Pharmacology & Therapeutics. 1999;65(6):615-629. doi:10.1016/S0009-
9236(99)90083-8

3. Portielje JEA, Kruit WHJ, Schuler M, et al. Phase I Study of Subcutaneously Administered
Recombinant Human Interleukin 12 in Patients with Advanced Renal Cell Cancer. *Clinical
Cancer Research*. 1999;5(12):3983-3989.

4. Chizzonite R, Truitt T, Desai BB, et al. IL-12 receptor. I. Characterization of the receptor on
phytohemagglutinin-activated human lymphoblasts. *The Journal of Immunology*.
1992;148(10):3117-3124. doi:10.4049/jimmunol.148.10.3117

5. Sender R, Weiss Y, Navon Y, et al. The total mass, number, and distribution of immune cells in
the human body. *Proceedings of the National Academy of Sciences*.
2023;120(44):e2308511120. doi:10.1073/pnas.2308511120

6. Milewski M, Manser K, Nissley BP, Mitra A. Analysis of the absorption kinetics of
macromolecules following intradermal and subcutaneous administration. *European Journal of
Pharmaceutics and Biopharmaceutics*. 2015;89:134-144. doi:10.1016/j.ejpb.2014.11.013

7. Varkhede N, Forrest ML. Understanding the Monoclonal Antibody Disposition after
Subcutaneous Administration using a Minimal Physiologically based Pharmacokinetic Model.
J Pharm Pharm Sci. 2018;21(1 Suppl):130s-148s. doi:10.18433/jpps30028

8. Supersaxo A, Hein WR, Steffen H. Effect of Molecular Weight on the Lymphatic Absorption
of Water-Soluble Compounds Following Subcutaneous Administration. *Pharm Res*.
1990;7(2):167-169. doi:10.1023/A:1015880819328

9. Lenzi R, Rosenblum M, Verschraegen C, et al. Phase I Study of Intraperitoneal Recombinant
Human Interleukin 12 in Patients with Müllerian Carcinoma, Gastrointestinal Primary
Malignancies, and Mesothelioma1. *Clinical Cancer Research*. 2002;8(12):3686-3695.

257