Uncovering the Interleukin-12 Pharmacokinetic Desensitization Mechanism and Its Consequences with Mathematical Modeling

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O.V. holds equity and O.A.I has consultant position in Avenge Bio. The authors declare that they have no other competing interests.

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<u>Abstract</u>

The cytokine interleukin-12 (IL-12) is a potential immunotherapy because of its ability to induce

a Th1 immune response. However, success in the clinic has been limited due to a phenomenon

called IL-12 desensitization – the trend where repeated exposure to IL-12 leads to reduced IL-12

concentrations (pharmacokinetics) and biological effects (pharmacodynamics). Here, we

investigated IL-12 pharmacokinetic desensitization via a modeling approach to (i) validate

proposed mechanisms in literature and (ii) develop a mathematical model capable of predicting

IL-12 pharmacokinetic desensitization. Two potential causes of IL-12 pharmacokinetic

desensitization were identified: increased clearance or reduced bioavailability of IL-12 following

repeated doses. Increased IL-12 clearance was previously proposed to occur due to the

upregulation of IL-12 receptor on T-cells that causes increased receptor-mediated clearance in the

serum. However, our model with this mechanism, the accelerated-clearance model, failed to

capture trends in clinical trial data. Alternatively, our novel reduced-bioavailability model

assumed that upregulation of IL-12 receptor on T-cells in the lymphatic system leads to IL-12

sequestration, inhibiting the transport to the blood. This model accurately fits IL-12

pharmacokinetic data from three clinical trials, supporting its biological relevance. Using this

model, we analyzed the model parameter space to illustrate that IL-12 desensitization occurs over

a robust range of parameter values and to identify the conditions required for desensitization. We

next simulated local, continuous IL-12 delivery and identified several methods to mitigate

systemic IL-12 exposure. Ultimately, our results provide quantitative validation of our proposed

mechanism and allow for accurate prediction of IL-12 pharmacokinetics over repeated doses.

Introduction

Inteleukin-12 (IL-12) is a heterodimeric cytokine consisting of the p35 α subunit and the p40 β subunit[1]. The unmodified heterodimer form of IL-12 has a molecular weight of 58.9 kDa; however, it is glycosylated and migrates as a 65-75 kDa protein in SDS PAGE[2]. IL-12's immunological activity causes differentiation of CD4+ helper T-cells (Th-cells) into the Th1 phenotype, leading to the production of pro-inflammatory cytokines and immune system activation[3]. The primary cytokine induced by IL-12 activity is IFNγ, but TNFα, GM-CSF, IL-2, and IL-8 are also known to be produced in response to IL-12 stimulus[1,4]. Immune activation is achieved through the binding of IL-12 to its receptor, which ultimately leads to the activation of STAT4[1,4,5]. IL-12's ability to trigger robust immune system activation motivates its investigation as a cancer immunotherapy.

The first clinical trial investigating IL-12 was initiated in 1997 for the treatment of cancer patients with advanced malignancies[1,6]. Here, patients were first treated with an i.v. test dose of IL-12 two weeks before receiving five times weekly i.v. doses of IL-12 every 28 days[6]. A phase two trial was initiated shortly after following the same dose regimen but without the test dose. In contrast to the phase one, this trial was terminated after severe toxicities, including treatment-related patient deaths[7]. It was apparent that prior exposure to IL-12 desensitizes patients to IL-12 activity, evident through the ~5-fold difference in measured serum IFNγ in patients treated with the same dose between trials.

IL-12 desensitization has been observed in a large number of additional clinical trials, with both IL-12 pharmacokinetic (PK) and pharmacodynamic (PD) desensitization observed[8–17]. PD desensitization is characterized by reduced IFNγ levels following repeated doses[6,7,13,16], whereas PK desensitization causes reduced IL-12 concentrations.[14,15,18] Furthermore, PK and PD

desensitization appear to be caused by separate mechanisms due to a difference in the timescale in

which these phenomena occur. In a study of repeated subcutaneous IL-12 doses in mice, measured

serum IL-12 concentrations decreased with every dose administered, whereas measured serum

IFNγ concentrations decreased after three doses were administered[18]. Despite increasing tolerance

to IL-12 administration, studies have shown that IL-12 efficacy is also hindered by

desensitization[11,13,19].

Several mechanisms have been proposed in the literature to explain IL-12 PK and PD

desensitization; however, none have been validated quantitatively. Importantly, evidence in

literature suggests that IL-12 desensitization is not due to anti-drug antibody (ADA) formation

including low detection rates in the clinic[6,10,14,18], rapid onset compared to typical ADA

timescales[20–22], and a direct link between IL-12 receptor binding and desensitization[18]. For PK

desensitization, the primary molecular mechanisms discussed revolve around the upregulation of

IL-12 receptors on T-cells following IL-12 stimulation[14,23]. Increased expression of IL-12 receptor

leads to higher levels of receptor-mediated clearance and faster serum clearance or increased IL-

12 sequestration during transport into the blood. Overall, this would lead to reduced serum IL-12

concentrations due to increased clearance or lower bioavailability, and these effects are apparent

almost immediately after repeated doses. Conversely, desensitization of IL-12's immunological

effects is delayed and tends to occur after several doses have been administered[14]. Discussed

mechanisms for this effect include downregulation of STAT4 after sustained IL-12 signaling[24] and

adaptive T-cell exhaustion due to IL-12 stimulation[9,17].

While no IL-12 therapies have been yet approved, many IL-12 technologies are in development

with over 10 active or recruiting clinical trials on ClincialTrial.gov and several other technologies

in preclinical development[25–33]. Due to its implications in both tolerability and efficacy of IL-12

treatment, understanding IL-12 desensitization is essential in the successful development of IL-

12-based therapies. Here, we investigated mechanisms for IL-12 PK desensitization via a

modeling approach. We developed mathematical models containing two different mechanisms of

interest for IL-12 PK desensitization and challenged these models to fit clinical trial data.   After

identifying the mechanism that is consistent with the data, we determine the parameter regime

under which significant desensitization can occur and use the model with our proposed mechanism

to identify potential strategies for mitigating systemic toxicity to IL-12 treatment.

<u>Methods</u>

Figure 1: Clinical trial dosing information and schematics of models used in this investigation. A) Timelines illustrating dose regimens and doses where blood measurements are taken in three clinical trials used in this investigation. B) Schematic of IL-12 transport. C) Schematic of IL-12 receptor binding and upregulation.

To validate the models used in this investigation, we conducted a literature search to identify

clinical trials satisfying two conditions: i) data (time-course or PK metrics) describing IL-12 PK

over multiple doses are provided, and ii) clear evidence of IL-12 PK desensitization is present.

Three clinical trials satisfying these conditions were identified (**Table S1**) [14–16,18].   In addition to

satisfying the conditions, these three clinical trials were determined to be well suited for this

investigation because they provide IL-12 PK data after repeated doses of IL-12 with either different

dosing regimens or doses selected for PK analysis (**Figure 1A**).   Two of the clinical trials

investigated report IL-12 time-course measurements, whereas the other trial only reports PK

metrics, including area under the curve (AUC), maximum concentration (C_{max}), and time of

maximum concentration (t_{max}). In trials with time-course data, the numerical values were extracted

from figures using GraphGrabber2.0.2 (Quintessa).

Mathematical Models of IL-12 PK and Numerical Simulation

The absorption, distribution, metabolism, and elimination (ADME) properties of IL-12 are not

characterized in detail, but the large molecular weight of IL-12 (70 kDa) provides insight into its

absorption and elimination characteristics. As molecules with greater molecular weights than 16

kDa are absorbed into the blood primarily by the lymphatic system[34], it follows that IL-12 likely

is absorbed via the same route following administration into peripheral tissues. Similarly,

molecules with molecular weights over 50 kDa are typically eliminated via intracellular lysosomal

proteolytic degradation rather than renal clearance[35,36]. However, renal clearance may still

influence IL-12 elimination[37].

Based on these ADME properties, we developed two mathematical models representing IL-12

dosing, transport, target binding and upregulation, and clearance. The notation and the meaning

for each model parameter are found in **Table S2.** Below, we briefly explain the differential

equations; derivations are provided in the **SI Text**.

Both models include identical equations governing IL-12 transport and non-receptor mediated

clearance. IL-12 transport from the subcutaneous space, through the lymphatic system, and into

the blood via lymph flow **(Figure 1B)** are described by:

$$\frac{dIL12_S}{dt} = -J_{LS}IL12_S - k_{DG}IL12_S \qquad (1)$$

$$\frac{dIL12_L}{dt} = \frac{J_{LS}V_S}{V_L}IL12_S - J_{BL}IL12_L \qquad (2)$$

$$\frac{dIL12_B}{dt} = \frac{J_{BL}V_L}{V_B}IL12_L - k_{CB}IL12_B \qquad (3)$$

where $IL12_i$ is the concentration of IL-12 in compartment i (pmol mL^{-1}), V_i is the volume of compartment i (mL), J_{ij} is the first-order transport rate constant from compartment j to compartment i, k_{DG} is the first-order degradation rate constant for loss of IL-12 in the subcutaneous space (days^{-1}), and k_{CB} is the first-order systemic clearance rate constant (days^{-1}). Degradation in the subcutaneous space is related to the absolute bioavailability, F:

$$k_{DG} = \frac{1-F}{F}J_{LS} \qquad (4)$$

This relationship is derived such that 100% bioavailability leads to a degradation rate constant of zero and vice versa. Bolus IL-12 injection is represented by the initial concentration of IL-12 determined by dose amount (D) and dose compartment volume:

$$IL12_{i,0} = \frac{D}{V_i} \qquad (5)$$

In addition to these terms governing transport of IL-12, both models developed contain IL-12 receptor binding and upregulation (**Figure 1C**). Receptor binding and STAT4 activation occurs in either the lymphatic system or the blood, and the concentration of IL-12:receptor complex is described as follows:

$$\frac{dC_x}{dt} = k_{on}\,IL12_x\,R_x - k_{int}C_x \qquad (6)$$

The first term represents the rate of IL-12:receptor binding, assumed to be irreversible, and the second term describes the first-order internalization of the complex. Formation of complex leads to STAT4 activation that is modeled by the following expression that assumes non-cooperative binding and a quasi-steady state:

$$pSTAT_x = \frac{\widetilde{C_x}}{\widetilde{C_x} + IC_{pSTAT}} \tag{7}$$

Here $\widetilde{C_x}$ is the number of complexes per cell because STAT4 is activated on a single cell basis. $\widetilde{C_x}$

is calculated from the total concentration of complexes by assuming homogenous distribution:

$$\widetilde{C_x} = A\frac{C_x V_x}{N_x}, \tag{8}$$

This equation converts the concentration of receptors in the compartment (pmol mL^{-1}) to the

number of complexes per T-cell (# cells^{-1}) using Avogadro's number ($A = 6e12\frac{\#}{pmol} = $

$6e23\frac{\#}{mol}/1e12\frac{pmol}{mol}$), the volume of the compartment, and the number of T-cells in the

compartment. In our models, activation of STAT4 leads to upregulation of IL-12 receptor on T-

cells[15,18,23]. Accordingly, the concentration of IL-12 receptor in compartments is represented by:

$$\frac{dR_x}{dt} = \frac{N_x}{V_x}k_R + \frac{N_x}{V_x}\alpha\,pSTAT_x - k_{on}IL12_x R_x - k_{CR}R_x \tag{9}$$

The first two terms in this ordinary differential equation represent basal and upregulated IL-12

receptor expression, respectively. The production rates, k_R and α, are given in units of pmol cells^{-1}; thus, we scale by the number of cells divided by the volume to convert to pmol mL^{-1} days^{-1}. The

third term represents consumption of IL-12 receptor due to binding, and the last term represents

unbound internalization of IL-12 receptor.

The initial concentration of receptor in compartment x, $R_{SS.x}$ (pmol mL^{-1}), is determined by R_0,

the basal amount of IL-12 receptor on the surface of T-cells (pmol), as follows:

$$R_{SS,x} = \frac{R_0 N_x}{V_x} \tag{10}$$

To ensure that this value is consistent with our model in the absence of IL-12, we constrain the

basal production rate according to:

$$k_R = R_0 k_{CR} \tag{11}$$

All models in this investigation were constructed using this framework, differing in the

compartment in which receptor binding takes place. Models were simulated in MATLAB

(R2020b) using the ODE solver ode15s. All option arguments are set to default except for the non-

negative argument set to one and the absolute tolerance set to 1e-12.

Data Fitting and Parameter Estimation Procedures

Model parameters were estimated by optimizing model fitness to data from each of the three

clinical trials individually. Optimization boundaries are in **Table S3**. Models were fitted to PK

datasets separately to determine the influence of dose regimen, sampling times, and data type on

the variability of PK parameters. Two optimization methods were used: global optimization was

implemented using MATLAB's particleswarm algorithm, and ensemble local optimization was

implemented by randomly sampling parameter sets within optimization boundaries and locally

optimizing using MATLAB's patternsearch algorithm[38]. The global optimization method provides

a single, best-fitting parameter set whereas the ensemble local optimization method provides

distributions of parameter values to account for variability in the datasets used. The fitness

functions used for optimization were modified to account for assay quantification limits and varied

based on the type of PK data presented; for specific details, see the supplementary text.

Results

Two alternative models of desensitization describing literature-proposed mechanisms were

developed.

We first identified proposed mechanisms for IL-12 PK desensitization in literature as a basis for

developing our mathematical models. Analysis of preclinical and clinical trials of IL-12 provided

evidence for two distinct causes of IL-12 PK desensitization: increased clearance or decreased

bioavailability following repeated doses[10,14,15,18]. While both were previously proposed, neither

cause has been validated. Thus, we aimed to formulate molecular mechanisms for the identified

causes of IL-12 desensitization in literature and determine the likelihood for these mechanisms to

account for IL-12 PK desensitization based on their ability to fit clinical trial data.

Two models were constructed based on the framework described in methods to describe molecular

mechanisms for increased clearance or reduced bioavailability after repeated doses. Our first

model, the accelerated-clearance model (**Equations S1-10**) only contains IL-12 receptor

interaction in the blood such that IL-12 clearance from the blood is increased when receptor

upregulation occurs. Conversely, the reduced-bioavailability model (**Equations S11-20**) only

contains IL-12 receptor interaction in the lymphatic system. Here, IL-12 receptor upregulation

leads to increased receptor interaction during transport to the blood, leading to sequestration.

These two models are effectively limiting cases of the same model where receptor binding occurs

in both the blood and lymphatic system with the number of receptor-expressing cells in one

compartment set to zero. These limiting cases were analyzed to assess the likelihood of each

mechanism independently to determine if either is capable of being solely responsible for IL-12

PK desensitization.

The accelerated-clearance model fails to accurately predict IL-12 PK following repeated doses.

Figure 2: Accelerated-clearance model fitness to clinical trial data. A) Accelerated-clearance model fit to clinical trial data presented by Motzer et al. B-C) Accelerated-clearance model fit to clinical trial data presented by Rakhit et al. B) Predictions and data after first dose. C) Predictions and data after sixth dose.

To understand whether the accelerated-clearance model can capture trends of IL-12 desensitization

in published data, we fitted the model to three separate clinical PK datasets of repeated

subcutaneous IL-12 injections. The results are presented in **Figures 2A-C** (see also: **Tables S4-**

5). The accelerated-clearance model can predict the presence of IL-12 PK desensitization;

however, the level of receptor upregulation required for desensitization to occur leads to inaccurate

clearance kinetics. This is especially clear when simulating repeated i.v. doses, where this model

predicts a time dependent clearance rate that is inconsistent with clinical data **(Figure S1A)** [6,7,13].

Therefore, we conclude that IL-12 receptor upregulation in the blood is unlikely to have a

significant impact on IL-12 PK and lead to desensitization. Accordingly, the proposed accelerated-

clearance model is unable to accurately predict IL-12 PK.

We next aimed to further assess whether changes in clearance are consistent with the quantitative

behavior of IL-12 PK desensitization. To this end, we removed feedback from the accelerated-

clearance model (see equation S4), and separately fit IL-12 PK data presented by Motzer and

colleagues after 1.0 µg/kg doses with and without prior exposure to IL-12. We first fit the dataset

without prior exposure to estimate the model parameters (**Figure S2A**) and then fit the dataset with

previous exposure by varying either the IL-12 clearance rate (k_{CB}) or IL-12 bioavailability and

absorption (J_{LS} and F) and keeping all other parameters fixed. As our fitting results suggested, the

trends in data are inconsistent with changes in IL-12 clearance kinetics (**Figure S2B**). However,

by manipulating bioavailability and absorption, the clearance kinetics can be accurately matched.

This further supports the conclusion that increases in systemic clearance are not primarily

responsible for IL-12 PK desensitization.

 The reduced-bioavailability model accurately predicts IL-12 PK across all three clinical trials.

Figure 3: **Reduced-bioavailability model fit to clinical trial data**. A) Reduced-bioavailability model fit to clinical trial data presented by Motzer et al. after global optimization. B-C) Reduced-bioavailability model fit to clinical trial data presented by Rakhit et al. after global optimization B) Predictions and data after first dose. C) Predictions and data after sixth dose. D) Distribution of generated ensemble fit to all three clinical trials following local optimization of randomly sampled parameter sets within boundaries (50 parameter sets per clinical trial).

Next, we sought to determine whether our proposed mechanism influencing IL-12 bioavailability

and transport into the blood can accurately fit IL-12 PK data reported in clinical trials. To this end,

we fit the reduced-bioavailability model to all three clinical trials via global optimization.

Optimization results illustrate that this model can fit these datasets accurately (**Figures 3A-C,**

Figure S3-4, Tables S6-7). Moreover, unlike the accelerated-clearance model, the reduced-

bioavailability model makes accurate predictions regarding i.v. dosing (**Figure S1B**). However,

the 0.5 µg/kg dose in the dataset presented by Motzer et al.[14] is not fit accurately. There is

nonlinearity in the dataset that leads to concentrations at this dose level to be overpredicted in this

parameter regime. Further analyses illustrate parameter sets with slower IL-12 transport from the

lymphatic system to the blood (decreasing J_{BL}) and stronger STAT4 activation (decreasing

IC_{pSTAT}) can capture this nonlinearity more accurately (**Figure S5, Table S8**), but fitness to high

dose data is worsened. Given that the 0.5 µg/kg dataset presented is far below the maximum

tolerated dose of 1.5 µg/kg and exhibited negligible toxicity and efficacy[14], we decided to prioritize

model parameter sets that more accurately fit the clinically relevant doses for the remainder of the

paper.

As PK parameters often vary between patients[39], we sought to determine if our model can

accurately fit IL-12 PK data over a wide range of biologically relevant parameter values. An

ensemble of parameter sets was obtained by locally optimizing many sampled parameter sets to

each clinical trial. This method yields parameter sets with slightly worse fitness to data when

compared to global optimization (**Figure S6, Table S9**) but gives us a distribution of parameter

sets to analyze. Analysis of parameter distributions from all three fits illustrates that model

parameters are widely distributed over the optimization boundaries (**Figure 3D**). Furthermore, the

distribution of parameter values does not vary significantly based on the clinical trial used for

fitting (**Figure S7**). These results illustrate that the ability of our model to predict IL-12 PK

desensitization is not restricted to small regions of the parameter space, suggesting that IL-12 PK

desensitization is not overly sensitive to interpatient variations in PK parameters. Additionally,

the PK datasets available are insufficient to fully constrain PK parameters.

Analysis of model behavior illustrates the effects of dosing regimen and model parameters on

desensitization.

Figure 4: Analysis of dosing regimen on predicted amounts of desensitization. A) Predicted
amount of desensitization after two consecutive IL-12 doses seven days apart at varying dose
levels. Inset: visual representation of AUC ratio. B) Predicted amount of desensitization after
two consecutive 1.0 µg/kg doses with varying time between doses.

To gain insight into how the dosing regimen and model parameters influence the amount of IL-12

PK desensitization in the reduced-bioavailability model, we simulated the model under various

dosing conditions and parameter values to determine the effects on PK desensitization. For this

analysis we use the parameters obtained via global optimization to the data presented by Motzer

and colleagues[14]. This parameter set was selected because fitness resulted in a lower squared error

compared to the fit to the data presented by Rakhit et al.[18] or both simultaneously (1.80e-5 over 41

datapoints v. 2.20e-5 over 38 datapoints v. 3.86e-5 over 79 datapoints) and the dataset used for

fitting is more detailed than the Portielje et al. dataset[15]. We first investigated the amount of

desensitization our model predicts following two consecutive doses of IL-12 with varying dose

amounts (**Figure 4A**) and time between doses (**Figure 4B**). Predictions illustrate that

desensitization increases with higher doses and doses that are closer in time. We hypothesized that

desensitization is mainly controlled by the concentration of receptor remaining in the lymphatic

system by the time of the next dose. A larger dose leads to increased STAT4 activation and a more

significant increase in receptor expression, and doses closer in proximity lead to less receptor

clearance back to baseline before the next dose. To expand on this hypothesis, we generated an

ensemble of 10,000 parameter sets varying $k_{CR}, k_{int}, N_{cells}, \alpha, R_0$, and IC_{pSTAT} between 50% and

150% of the optimized values holding all other parameters constant and simulated consecutive s.c.

doses of IL-12 one week apart. Analysis of the ensemble simulation results validated our

hypothesis that the extent of desensitization predicted correlates with the difference in receptor

concentrations between the first and second dose and the sensitivity of IL-12 PK to receptor

binding (**Figure S8**).

We next analyzed how variations in specific parameters related to receptor upregulation influence

PK desensitization. Local sensitivity analyses were implemented for two and three consecutive

doses of varying amounts (**Figure S9**). The only model parameter with negligible impact on

desensitization was k_{CB}. Of interest, parameters related to the amount of receptor initially

(R_0, N_{cells}) and the lifetime of receptor (k_{CR}, k_{int}) had log gain sensitivities greater than one at

some dose levels.

Simulation of local IL-12 delivery reveals potential strategies for limiting systemic exposure to IL-

12 while maintaining desired local concentrations.

Figure 5: Model predictions of strategies for mitigating systemic IL-12 exposure following continuous local delivery.   A) Prediction of continuous, intraperitoneal IL-12 delivery according to three different production rate profiles: constant production (black lines), step-wise production (red lines), and linearly increasing production (green lines). Healthy IL-12 serum concentration taken from Derin et al.[46] B-D) Effects of various IL-12 related parameters on maximum concentrations in the local environment and in the blood. B) Effects of manipulating lymphatic uptake. C) Effects of limiting transport into the blood from the lymphatic system. C) Effects of limiting internalization of IL-12:IL-12 receptor complex.

Next, we sought to explore the consequences of our proposed mechanism in designing IL-12 therapies for limiting systemic exposure to IL-12. As current interest in IL-12 therapy revolves around local cytokine delivery via cell or gene therapy[8,9,12,17,40,41], we modified the reduced-bioavailability model to represent bolus or continuous delivery of IL-12 to the peritoneal cavity (**Figure S10, Equations S21-S39**).   This model is structurally identical to the reduced-bioavailability model, except the volume of the dosing compartment is increased to the volume of

the peritoneal cavity, the transport parameters are re-estimated, and a 0th order intraperitoneal IL-

12 production term is introduced for simulation of continuous delivery. We selected intraperitoneal

administration for these analyses because it is a common site of administration for IL-12-based

therapies[42–44], and a PK dataset is available reporting both intraperitoneal and serum IL-12

concentrations following intraperitoneal IL-12 injection. Using this dataset to estimate transport-

related parameters allows us to have greater confidence in both our predicted serum and

intraperitoneal IL-12 concentrations. Accordingly, we first estimated the parameters describing

IL-12 transport from the intraperitoneal space to the blood by fitting our model to clinical trial data

presented by Lenzi et al.[45] modified to represent intraperitoneal injection of IL-12. Our model was

able to accurately fit both the intraperitoneal and serum IL-12 concentrations (**Figure S11, Table**

S10), giving us confidence in the estimated transport parameters. Additionally, a standard PK

model is unable to fit this data, further supporting the relevance of our model. Since this dataset

only consisted of a single intraperitoneal infusion of IL-12, we used the transport parameters

estimated from the Lenzi et al. data[45] and parameters to characterize receptor-upregulation

feedback estimated from our fits in earlier sections (**Table S11**).

We next used this model to simulate continuous IL-12 delivery to the peritoneal cavity and

predicted intraperitoneal and serum concentrations of IL-12 according to three different production

profiles: 1) constant production, 2) step-wise production, and 3) linearly-increasing production

designed to reach an equivalent concentration of IL-12 in the intraperitoneal space at steady state

(**Figure 5A**). Importantly, our model predicts serum IL-12 concentrations exceeding levels where

toxicity is observed[14] following the constant production profile, whereas both of the dynamic

dosing strategies maintain serum concentrations below the healthy maximum[46]. These results

suggest that systemic exposure to IL-12 may be avoided following local delivery by utilizing

dosing strategies that slowly reach the desired production rate for local concentrations rather than

immediately starting at that level.

In addition to analyzing the predicted effects of dynamic dosing strategies, we used our model to

identify potential protein engineering approaches for limiting systemic exposure. Based on our

simulations, strategies modifying IL-12 to limit uptake into the lymphatic system from the local

environment (**Figure 5B**), limit uptake into the blood from the lymphatic system (**Figure 5C**), or

limit internalization of IL-12:receptor complex (**Figure 5D**) were predicted to have profound

effects due to our mechanism. We found that these aspects of IL-12 could be manipulated such

that the systemic exposure to IL-12 is reduced, and the concentrations in the local environment are

either increased or unchanged. These strategies are a direct result of IL-12 PK desensitization;

without our mechanism, they are not predicted to be effective. Ultimately, these results illustrate

how our proposed mechanism for IL-12 desensitization can be leveraged to identify strategies for

limiting systemic exposure to IL-12 treatment.

<u>Discussion</u>

Here, we employ a modeling approach to assess the relevance of proposed mechanisms for IL-12

PK desensitization. Two models of IL-12 PK containing feedback mechanisms causing increased

clearance or reduced bioavailability following repeated doses of IL-12 were developed. We found

that the level of receptor upregulation/increase in IL-12 clearance required for the accelerated-

clearance model to predict desensitization leads to inaccurate clearance kinetics and inconsistent

predictions of i.v. doses. Conversely, the reduced-bioavailability model accurately fit IL-12 PK

across three separate clinical trials and correctly predicts little desensitization with repeated i.v.

doses[6,7,13]. These results provide quantitative evidence that IL-12 PK desensitization results from

decreased bioavailability with repeated doses rather than increased clearance. Additionally, we

developed a model framework that can accurately predict IL-12 PK over repeated doses, which is

not currently available to the best of our knowledge.

The proposed molecular mechanism for IL-12 PK desensitization is that IL-12 stimulation of T-

cells in the lymphatic system leads to increased expression of the IL-12 receptor and thereby

increased sequestration during transport into the blood. Upregulation of IL-12 receptor following

IL-12 activity is shown both *in vitro* and *in vivo* in the literature[18,23]. Our results provide

quantitative justification for how upregulation of IL-12 receptor affects IL-12 PK. These results

remain a hypothetical but experimentally testable prediction; however, we believe there is evidence

that supports that this proposed mechanism for IL-12 PK is biologically feasible. Because IL-12's

molecular weight is greater than 16 kDa, it cannot enter the blood through capillary diffusion and

must travel through the lymphatic system to enter the blood from peripheral tissue[34]. T-cells are

highly abundant in the lymphatic system[47]; therefore, during lymphatic transport, it is expected

that significant receptor interaction will occur. Furthermore, our hypothesis requires interaction

between IL-12 and its receptor for desensitization to occur. Rakhit and colleagues illustrate that

knocking out the IL-12 receptor in mice prevents IL-12 desensitization[18], illustrating that PK

desensitization does not occur in the absence of receptor binding. Our mechanism also provides a

plausible explanation for why PK desensitization may not occur in some cases. For example,

studies of repeated i.v. administration demonstrate that negligible desensitization occurs[7,13],

suggesting that the responsible mechanism is more significant in peripheral tissues. Additionally,

Ohno et al.[48] report IL-12 PK following repeated subcutaneous doses with a maximum dose of 3

µg/kg where PK desensitization is not clearly observed. We demonstrated that our hypothesis

predicts that IL-12 PK desensitization is dose-dependent, which could perhaps have a threshold

level of activation for occurring that is not included in our model. Altogether, we believe that our

understanding of large molecule PK and evidence in literature suggests our proposed mechanism

is highly realistic.

Due to limitations in the datasets available for model validation, model parameters pertaining to

molecular details of the proposed feedback mechanism could not be estimated with high

confidence. We instead demonstrated that while specific parameter values were not tightly

constrained, the ability of our model to predict PK desensitization was related to the amount of

receptor upregulation between doses and model sensitivity to lymphatic receptor concentrations.

While this does not allow us to specifically determine model parameters, the primary outcome of

this investigation is validation that upregulation of IL-12 receptor during lymphatic transport is a

feasible explanation for IL-12 PK desensitization. This conclusion is not dependent on parameter

identifiability. Furthermore, the ability of a wide range of model parameters to predict

desensitization suggests that this mechanism can be relevant in the context of other biologics as

well. Any biologic that i) upregulates the expression of its receptor, ii) enters the blood via

lymphatic transport following extravascular dosing, and iii) binds a target with high abundance in

the lymphatic system may exhibit similar effects. A potential example is pegfilgrastim, an FDA-

approved granulocyte colony-stimulating factor[49,50].

Despite decades of failure in the clinic, interest in developing IL-12-based therapies remains high

with many technologies in clinical or preclinical development[25–33]. The development of IL-12

therapies today focuses on local delivery of IL-12 through gene or cell-based therapies to minimize

systemic exposure to IL-12[8,9,12,17,40,41]. Importantly, technologies where significant IL-12 exposure

occurs cause severe toxicities, limiting the therapeutic window of treatment[41]. Due to the inherent

role of IL-12 PK desensitization on systemic exposure to IL-12, our results will undoubtedly aid

in the modern development of IL-12-based therapies. The final set of analyses presented in this

investigation quantitatively illustrate how IL-12 PK desensitization can be leveraged to maintain

high local concentrations of IL-12 while minimizing systemic exposure. The effects of variable

IL-12 production rates and protein engineering strategies are illustrated, which are not obvious to

be effective without use of our model. Beyond the analyses completed in this investigation, we

provide a mathematical modeling framework that can be applied to future development of IL-12-

based therapies.

Study Highlights

What is the current knowledge on this topic?

IL-12 desensitization was observed in the earliest clinical trials investigating IL-12

immunotherapy, and several studies have linked the phenomenon to treatment efficacy and

tolerability. Mechanisms have been hypothesized in literature, but none have been validated

quantitatively.

What question did this study address?

What is the desensitization mechanism capable of correctly predicting IL-12 pharmacokinetics

over repeated doses, and what are the therapeutic consequences of that mechanism?

What does this study add to our knowledge?

Here, we developed a mathematical model that attempts to validate the mechanisms causing IL-

12 PK desensitization in the absence of mechanistic data. Our results illustrate that IL-12 PK

desensitization is likely to be a result of decreased bioavailability after repeated exposure to IL-12

rather than increased systemic clearance. We propose a molecular mechanism for this model based

on the upregulation of IL-12 receptor on T-cells in the lymphatic system.

How might this change drug discovery, development, and/or therapeutics?

Pharmacokinetic modeling is essential in predicting the behavior of therapeutics in humans. We

provide a tool for predicting IL-12 pharmacokinetics, which will undoubtedly aid in the

development of IL-12 based therapies.

Author Contributions

J.D., O.A.I., and O.V. wrote the manuscript; J.D. and O.A.I. designed the research; J.D performed

the research; J.D. and O.A.I. analyzed the data.

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Figure Legends

Figure 1: Clinical trial dosing information and schematics of models used in this investigation. A) Timelines illustrating dose regimens and doses where blood measurements are

taken in three clinical trials used in this investigation. B) Schematic of IL-12 transport. C)

Schematic of IL-12 receptor binding and upregulation.

Figure 2: Accelerated-clearance model fitness to clinical trial data. A) Accelerated-clearance

model fit to clinical trial data presented by Motzer et al. B-C) Accelerated-clearance model fit to

clinical trial data presented by Rakhit et al. B) Predictions and data after first dose. C) Predictions

and data after sixth dose.

Figure 3: Reduced-bioavailability model fit to clinical trial data. A) Reduced-bioavailability

model fit to clinical trial data presented by Motzer et al. after global optimization. B-C) Reduced-

bioavailability model fit to clinical trial data presented by Rakhit et al. after global optimization

B) Predictions and data after first dose. C) Predictions and data after sixth dose. D) Distribution

of generated ensemble fit to all three clinical trials following local optimization of randomly

sampled parameter sets within boundaries (50 parameter sets per clinical trial).

Figure 4: Analysis of dosing regimen on predicted amounts of desensitization. A) Predicted

amount of desensitization after two consecutive IL-12 doses seven days apart at varying dose

levels. Inset: visual representation of AUC ratio. B) Predicted amount of desensitization after two

consecutive 1.0 µg/kg doses with varying time between doses.

Figure 5: Model predictions of strategies for mitigating systemic IL-12 exposure following

continuous local delivery. A) Prediction of continuous, intraperitoneal IL-12 delivery according

to three different production rate profiles: constant production (black lines), step-wise production

(red lines), and linearly increasing production (green lines). Healthy IL-12 serum concentration

taken from Derin et al.[46]   B-D) Effects of various IL-12 related parameters on maximum

concentrations in the local environment and in the blood. B) Effects of manipulating lymphatic

uptake. C) Effects of limiting transport into the blood from the lymphatic system. C) Effects of

limiting internalization of IL-12:IL-12 receptor complex.

<u>Supplementary Material</u>

All supplementary tables, figures, and text are included in the attached file titled "Supplementary

Information.pdf".

SUPPLEMENTARY INFORMATION FOR

<u>Uncovering the Interleukin-12 Pharmacokinetic Desensitization and Its Consequences with Mathematical Modeling</u>

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All MATLAB code used in this investigation can be found at the following GitHub repository:
https://github.com/jcd111/IL-12-PK-Desensitization-Model

This PDF file includes:

Supplementary Table S1: Information on clinical trials analyzed in this investigation.

Clinical Trial	Dose Amounts and Regimen	Number of Patients	Patient Indications	Sampling Times
Phase I trial of Subcutaneous Recombinant Human Interleukin-12 in Patients with Advanced Renal Cell Carcinoma[1]	0.1 – 1.5 µg/kg on days 1, 8, and 15 in two different 28-day cycles. Cycle 1: Fixed cycle with constant dose given all three days. Cycle 2: Escalating cycle with incrementally increasing doses until target dose is reached on day 15.	51 total patients. Cycle 1, 0.5 µg/kg: 15 patients; Cycle 1, 1.0 µg/kg: 6 patients; Cycle 2, 0.5 µg/kg target dose: 3 patients; Cycle 2, 1.0 µg/kg target dose: 3 patients; Cycle 2, 1.25 µg/kg target dose: 6 patients; Cycle 2, 1.5 µg/kg target dose: 12 patients.	Advanced renal cell carcinoma. 42 patients received prior therapy.	Baseline and 10, 24, 48, 72, 96, and 168 h after dosing. PK time course data reported for dose 1 in cycle 1 and dose 3 in cycle 2.
Phase I Study of Subcutaneously Administered Recombinant Human Interleukin 12 in Patients with Advanced Renal Cell Cancer[2]	0.1, 0.5, 1.0, 1.25 µg/kg given as a single dose or three times weekly for two weeks following a test dose one week prior for studying repeat administration.	28 total patients initially enrolled, 25 patients included in data analysis. PK dataset includes single dose data for 0.5 µg/kg (n = 12), for 1.0 µg/kg (n = 4) and repeat dose data for 0.5 µg/kg (n = 4) and 1.0 µg/kg (n = 2).	Advanced renal cell carcinoma. 26 patients received prior therapy.	Baseline and 4, 6, 8, 10, 12, 18, 24, 30 , 26, 48, 72, 96 h after test dose and 4, 6, 8, 10, 12, 18, 24, and 36 h after repeat doses. PK metrics are reported for doses one and seven following 0.5 and 1.0 µg/kg doses.
Down-regulation of the pharmacokinetic-pharmacodynamic response to interleukin-12 during long-term administration to patients with renal cell carcinoma and evaluation of the mechanism of this "adaptive response" in mice[3]	0.1, 0.5, 1.0 µg/kg on days 1, 8, and 15 of a 28-day cycle.	24 total patients. 3 patients given 0.1 µg/kg doses, 15 given 0.5 µg/kg doses, and 6 patients given 1.0 µg/kg doses.	Advanced or metastatic renal cell carcinoma. 15 patients received prior therapy.	Baseline and 2, 4, 8, 8, 10, 16, 24, 30, 34, 48 h post dose on dose 1 of cycle 1 and dose 3 of cycle 2. PK time course data reported for dose one of first cycle and dose three of second cycle for all dose levels. Reported concentrations for 0.1 µg/kg are below assay limit of detection so omitted from analysis.

Supplementary Table S2: General model parameters, species, and meanings.

Species	Meaning (Units)
$IL12_x$	Concentration of IL-12 in compartment x (pmol mL^{-1}).
R_x	Concentration of IL-12 receptor in compartment x (pmol mL^{-1})
C_x	Concentration of IL-12:IL-12 receptor in compartment x (pmol mL^{-1}).
$\widetilde{C_x}$	Number of IL-12:IL-12 receptor complexes per T-cell in compartment x (# cells^{-1})
$pSTAT_x$	Fractional of maximum STAT4 activation on T-cells (unitless)

Parameter	Meaning (Units)
D	IL-12 dose administered (pmol).
J_{xy}	Normalized lymphatic fluid flow rate from compartment y to compartment x (days^{-1}).
k_{DG}	First order IL-12 degradation rate constant in the dosing compartment (days^{-1})
F	Absolute IL-12 bioavailability defined as fraction of IL-12 leaving the dosing compartment instead of being degraded (unitless)
k_{CB}	First order IL-12 systemic clearance rate constant from blood compartment (days^{-1})
k_{on}	IL-12:IL-12 receptor association rate constant (mL pmol^{-1} days^{-1})
k_{int}	IL-12 receptor bound internalization rate constant (days^{-1})
k_{CR}	IL-12 receptor unbound internalization rate constant (days^{-1})
IC_{pSTAT}	Number of IL-12:IL-12 receptor complexes per T-cell required for half-maximum STAT4 activation (# cells^{-1})
k_R	Basal IL-12 receptor production rate per T-cell (pmol cells^{-1} days^{-1})
α	Receptor upregulation feedback coefficient (pmol cells^{-1} days^{-1})
N_x	Number of T-cells in compartment x (#)
R_0	Basal amount of IL-12 receptor on T-cell (pmol cells^{-1})
V_x	Volume of compartment x (mL)

Supplemental Table S3: Model parameter information and sources. AC = accelerated-clearance, RB = reduced-bioavailability.

Parameter	Meaning	Optimization Range/Default Value	Sources
$J_{LS}(\text{days}^{-1})$	First order IL-12 transport rate from subcutaneous space to lymphatic system.	0.79 – 2.4	Milewski et al.[6]
J_{BL} (days^{-1})	First order IL-12 transport rate from lymphatic system to blood.	4.3 – 430	Bounds centered around value reported by Varkhede et al.[7]
k_{CB} (days^{-1})	First order IL-12 clearance rate from blood.	0.79 – 2.4	Reported half-life values in clinical trials (Motzer et al.[1], Rakhit et al.[3], Portielje et al.[2])
$k_{DG}(\text{days}^{-1})$	First order degradation rate of IL-12 in the subcutaneous space.	n/a	Determined by equation S18.
$F(\text{unitless})$	Absolute bioavailability, fraction of IL-12 reaching lymphatic system.	0.01 – 1 (AC model) 1 (RB model)	Assumed to be one to isolate bioavailability mechanism.
k_{on} $(\text{mL pmol}^{-1}\text{days}^{-1})$	IL-12:IL-12 receptor association rate.	1.5 – 210	Chizzonite et al.[4], adjusted to have high binding rate for improved fit to data.
$k_R(\text{pmol cells}^{-1}\text{days}^{-1})$	Basal receptor production rate.	n/a	Determined by equation S17.
k_{CR} (days^{-1})	IL-12 receptor unbound internalization rate.	0.46– 1.7	Literature estimate unavailable, estimated based on half life between 10 and 36 hours.
k_{int} (days^{-1})	IL-12 receptor bound internalization rate.	0.46 – 1.7	Literature estimate unavailable, estimated based on half life between 10 and 36 hours.
$\alpha(\text{pmol cells}^{-1}\text{days}^{-1})$	Linear feedback coefficient.	1.0e-8 – 1.0e-5	Estimated, given large range.

IC_{pSTAT} (# cells^{-1})	Complex formation required for 50% maximum STAT4 activation.	9.1e2 – 1.8e6	Estimated, given large range.
N_L (cells)	Number of IL-12R+ T-cells in the lymphatic system	1.0e9 – 5.0e11	Sender et al.[5]
N_B (cells)	Number of IL-12R+ T-cells in the blood	8e8 – 8e10	Sender et al.[5]
$IL12_{S,0}$ (pmol mL^{-1})	Initial concentration of IL-12 in the subcutaneous space.	n/a	Determined by equation S19.
D (pmol)	Dose of IL-12 administered	Variable based on clinical trial.	Based on clinical trial information.
V_S (mL)	Volume of injection in subcutaneous space.	Variable based on clinical trial.	Based on volume of injection for clinical trials. Assumed to be 1 mL if not specified
V_L (mL)	Volume of lymphatic system IL-12 enters in transport to blood.	33	Varkhede et al.[7]
V_B (mL)	Volume of blood.	5100	Average human blood volume.

Supplementary Table S4: Reported IL-12 C$_{max}$, AUC, and t$_{max}$ by Portielje et al. following repeated s.c. injections compared to accelerated-clearance model predictions after global optimization. Predicted values are reported to two significant digits.

Dose Information	Predicted C_{max}	Reported C_{max}	Predicted AUC	Reported AUC	Predicted t_{max}	Reported t_{max}
0.5 $\mu g/kg$, dose 1	430 pg/mL	362 (214) pg/mL	7,600 pg*h/mL	7,400 (5,400) pg*h/mL	9.1 h	9.7 (5) h
0.5 $\mu g/kg$, dose 7	240 pg/mL	255 (200) pg/mL	4,000 pg*h/mL	3,300 (1,600) pg*h/mL	7.4 h	9.5 (2.2) h

| 1.0 $\mu g/kg$, dose 1 | 1,100 pg/mL | 1131 (1051) pg/mL | 21,000 pg*h/mL | 31,800 (22,300) pg*h/mL | 12 h | 17 (7) h |
| 1.0 $\mu g/kg$, dose 7 | 350 pg/mL | 376 (49) pg/mL | 5,900 pg*h/mL | 6,000 (2,400) pg*h/mL | 7.6 h | 4 (0) h |

*Values in () are reported standard deviation.

Supplementary Table S5: Accelerated-clearance model parameter values following global optimization to each clinical trial individually. Values are reported to two significant digits.

Parameter	Value fit to Motzer data	Value fit to Rakhit data	Value fit to Portielje data
$k_{CB}(\text{days}^{-1})$	2.3	2.3	0.8
$k_{CR}(\text{days}^{-1})$	0.46	0.46	0.46
$k_{on}(\text{mL pmol}^{-1}\text{days}^{-1})$	210	200	210
$k_{int}(\text{days}^{-1})$	0.46	0.49	0.46
$N_L(\text{cells})$	8.0e10	2.6e10	6.3e10
$\alpha(\text{pmol cells}^{-1}\text{days}^{-1})$	08.8e-6	4.2e-6	2.6e-6
R_0 (pmol cells^{-1})	2.1e-9	8.1e-9	8.1e-9
J_{LS} (days^{-1})	0.79	0.78	2.9
J_{BL} (days^{-1})	4.33	6.2	4.4
F (unitless)	1	1	0.77
IC_{pstat} (# cells^{-1})	1.8e6	1.1e6	1.8e6

Supplementary Table S6: Reported IL-12 C$_{max}$, AUC, and t$_{max}$ by Portielje et al. following repeated s.c. injections compared to reduced-bioavailability model predictions after global optimization. Predicted values are reported to two significant digits.

Dose Information	Predicted C_{max}	Reported C_{max}	Predicted AUC	Reported AUC	Predicted t_{max}	Reported t_{max}
0.5 µg/kg, dose 1	330 pg/mL	362 (214) pg/mL	7,400 pg*h/mL	7,400 (5,400) pg*h/mL	10 h	9.7 (5) h
0.5 µg/kg, dose 7	170 pg/mL	255 (200) pg/mL	4,100 pg*h/mL	3,300 (1,600) pg*h/mL	10 h	9.5 (2.2) h

1.0 µg/kg, dose 1	1,300 pg/mL	1131 (1051) pg/mL	26,000 pg*h/mL	31,800 (22,300) pg*h/mL	9.8 h	17 (7) h
1.0 µg/kg, dose 7	290 pg/mL	376 (49) pg/mL	6,800 pg*h/mL	6,000 (2.4) pg*h/mL	10 h	4 (0) h

*Values in () are reported standard deviation.

Supplementary Table S7: Reduced-bioavailability model parameter values following global optimization to each clinical trial individually and Motzer and Rakhit data simultaneously. Values are reported to two significant digits.

Parameter	Value fit to Motzer data	Value fit to Rakhit data	Value fit to Motzer and Rakhit Data	Value fit to Portielje data
$k_{CB}(\text{days}^{-1})$	1.6	2.4	2.0	2.4
$k_{CR}(\text{days}^{-1})$	0.46	0.50	0.50	1.7
$k_{on}(\text{mL pmol}^{-1}\text{days}^{-1})$	200	200	140	200
$k_{int}(\text{days}^{-1})$	0.56	0.59	0.61	0.66
$N_L(\text{cells})$	3.8e10	3.3e10	9.3e10	1.7e11
$\alpha(\text{pmol cells}^{-1}\text{days}^{-1})$	5.0e-6	3.1e-6	6.7e-6	4.4e-6
$R_0 \, (\text{pmol cells}^{-1})$	5.6e-9	5.5e-9	1.7e-9	1.8e-9
$J_{LS} \, (\text{days}^{-1})$	1.6	1.2	1.1	2.9
$J_{BL} \, (\text{days}^{-1})$	70	130	70	150
$IC_{pstat} \, (\text{\# cells}^{-1})$	1.4e6	9.8e5	1.8e6	1.8e6

Supplementary Table S8: Reduced-bioavailability parameter set that predicts nonlinearity observed in Motzer data at expense of fitness to high dose dataset.

Parameter	Value
$k_{CB}(\text{days}^{-1})$	1.3
$k_{CR}(\text{days}^{-1})$	1.7
$k_{on}(\text{mL pmol}^{-1}\text{days}^{-1})$	170
$k_{int}(\text{days}^{-1})$	0.61
$N_L(\text{cells})$	2.9e9
$\alpha(\text{pmol cells}^{-1}\text{days}^{-1})$	5.7e-6
$R_0 \, (\text{pmol cells}^{-1})$	1.5e-8
$J_{LS} \, (\text{days}^{-1})$	0.80
$J_{BL} \, (\text{days}^{-1})$	12
$IC_{pstat} \, (\text{\# cells}^{-1})$	9.5e5

Supplementary Table S9: Reported IL-12 C_max, AUC, and t_max by Portielje et al. following repeated s.c. injections compared to reduced-bioavailability model predictions after ensemble local optimization. Predicted values are reported to two significant digits.

Dose Information	Predicted C_{max}	Reported C_{max}	Predicted AUC	Reported AUC	Predicted t_{max}	Reported t_{max}
0.5 µg/kg, dose 1	350 (30) pg/mL	362 (214) pg/mL	8,700 (570) pg*h/mL	7,400 (5,400) pg*h/mL	9.6 (1.1) h	9.7 (5) h
0.5 µg/kg, dose 7	170 (15) pg/mL	255 (200) pg/mL	4,500 (180) pg*h/mL	3,300 (1,600) pg*h/mL	9.7 (0.86) h	9.5 (2.2) h
1.0 µg/kg, dose 1	940 (190) pg/mL	1131 (1051) pg/mL	21,000 (4,200) pg*h/mL	31,800 (22,300) pg*h/mL	9.3 (1.1) h	17 (7) h
1.0 µg/kg, dose 7	240 (20) pg/mL	376 (49) pg/mL	6,300 (290) pg*h/mL	6,000 (2,400) pg*h/mL	9.8 (0.94) h	4 (0) h

*Values in () are reported/estimated standard deviation.

Supplementary Table S10: Modified reduced-bioavailability for intraperitoneal IL-12 injection parameters fit to Lenzi et al. dataset.

Parameter	Value
$k_{CB} (\text{days}^{-1})$	2.0
$k_{CR} (\text{days}^{-1})$	0.46
$k_{on} (\text{mL pmol}^{-1}\text{days}^{-1})$	5.4
$k_{int} (\text{days}^{-1})$	0.46
$N_L (\text{cells})$	1.0e9
$\alpha (\text{pmol cells}^{-1}\text{days}^{-1})$	1.0e-5
$R_0 (\text{pmol cells}^{-1})$	1.7e-9
$J_{LS} (\text{days}^{-1})$	0.94
$J_{BL} (\text{days}^{-1})$	43
$IC_{pstat} (\# \text{ cells}^{-1})$	9.1e2
β (unitless)	5.3e-3

Supplementary Table S11: Combined parameter set used to predict long-term, continuous dosing of IL-12 using local IL-12 production model.

Parameter	Value
$k_{CB}(\text{days}^{-1})$	1.6
$k_{CR}(\text{days}^{-1})$	0.46
$k_{on}(\text{mL pmol}^{-1}\text{days}^{-1})$	200
$k_{int}(\text{days}^{-1})$	0.56
$N_L(\text{cells})$	3.8e10
$\alpha(\text{pmol cells}^{-1}\text{days}^{-1})$	5.0e-6
$R_0 \ (\text{pmol cells}^{-1})$	5.6e-9
$J_{LP} \ (\text{days}^{-1})$	0.94
$J_{BL} \ (\text{days}^{-1})$	43
$IC_{pstat} \ (\text{\# cells}^{-1})$	1.4e6

Supplementary Figure S1: Simulation of repeated i.v. IL-12 dosing with model parameters fit to Motzer et al. dataset[1]. A) Predictions of repeated i.v. IL-12 dosing with the accelerated-clearance model. B) Predictions of repeated i.v. IL-12 dosing with the reduced-bioavailability model.

Supplementary Figure S2: Modified accelerated clearance model without feedback fit to 1.0 µg/kg datasets with and without prior exposure presented by Motzer et al. A) Model fit to dataset with no prior exposure estimating all parameters. B) Model fit to dataset with prior exposure varying only bioavailability and absorption (solid line) or clearance rate (dashed line) from the parameters in panel A).

Supplementary Figure S3: Reduced-bioavailability model fit to Motzer et al. and Rakhit et al. datasets simultaneously with global optimization. A) Model predictions compared to Motzer et al. data. B-C) Model predictions compared to Rakhit et al. data. B) Predictions and data after first dose. C) Predictions and data after sixth dose.

Supplementary Figure S4: Reduced-bioavailability model predictions compared to clinical trial data presented by Motzer et al. with y-axis normalized by dose amount in ng/kg.

Supplemental Figure S5: Addition of IL-12 receptor binding and upregulation in the blood compartment to the reduced-bioavailability model allows for capturing non-linearity in dose escalation.

Supplemental Figure S6: Reduced-bioavailability model fitness to clinical trial data following ensemble-based optimization. A) Model predictions fit to clinical trial data presented by Motzer et al.[1]. B-C) Model predictions fit to clinical trial data presented by Rakhit et al.[3]. Solid lines: mean predicted concentrations. Shaded area: raw 95% confidence interval.

Supplemental Figure S7: Distribution of locally optimized parameters fit to individual clinical trials. A) Distribution of 50 parameter sets locally optimized to Motzer et al.[1] data. B)

Distribution of 50 parameter sets locally optimized to Rakhit et al.[3] data. C) Distribution of 50 parameter sets locally optimized to Portielje et al.[2] data.

Supplemental Figure S8: Relationship between binding flux ratio and amount of desensitization predicted by AUC ratio. A) Predicted amount of desensitization as quantified by AUC ratio v. flux ratio determined from numerical simulations. Inset: visual representation of flux ratio. B) Predicted amount of desensitization as quantified by AUC ratio v. flux ratio determined by analytical approximation of receptor dynamics (see SI Text).

Supplemental Figure S9: Amount of desensitization predicted by reduced-bioavailability model sensitivities to relevant model parameters. A) Desensitization log gain sensitivities after two consecutive 1.0 µg/kg doses. B) Desensitization log gain sensitivities comparing desensitization after three consecutive 1.0 µg/kg doses. Sensitivities are calculated according to equation S64.

Supplemental Figure S10: Schematic of local IL-12 production model. This model is identical to the reduced-bioavailability model with the dosing compartment volume, method of dosing, and transport parameters modified to represent continuous IL-12 production in the i.p. space.

Supplemental Figure S11: Reduced-bioavailability model modified to represent IP injection of IL-12 fit to clinical trial data.

Supplemental Figure S12: Analytical approximation for receptor concentrations compared to numerical simulations for reduced-bioavailability model. Solid blue curve: analytical approximation (see equations S74-S78). Dashed orange curve: numerical simulations.

Supplemental Figure S13: Comparison of determined IL-12 binding flux ratio (equations S65 and S66) as calculated by numerical simulation and our analytical approximation for receptor dynamics.

Supplemental Figure S14: Comparison of analytical approximation for the concentration of IL-12:IL-12 receptor and STAT4 activation in the reduced-bioavailability model. A) Predictions of complex concentrations. B) Predictions of STAT4 Activation. Blue curves: Scaled analytical approximations. Orange Curves: Unscaled analytical approximations. Dashed yellow curves: Numerically simulated values. See equations S71-S72 for analytical expressions.

List of Mathematical Models and Equations

Accelerated-Clearance Model Equations

$$\frac{dIL12_S}{dt} = -J_{LS}IL12_S - k_{DG}IL12_S \tag{S1}$$

$$\frac{dIL12_L}{dt} = \frac{J_{LS}\,V_S}{V_L}\,IL12_S - J_{BL}\,IL12_L \tag{S2}$$

$$\frac{dIL12_B}{dt} = \frac{J_{BL}\,V_L}{V_B}\,IL12_L - k_{on}\,IL12_B\,R_B - k_{CB}\,IL12_B \tag{S3}$$

$$\frac{dR_B}{dt} = \frac{N_B}{V_B}\,k_R + \frac{N_B}{V_B}\,\alpha\,pSTAT_B - k_{on}\,IL12_B\,R_B - k_{CR}\,R_B \tag{S4}$$

$$\frac{dC_B}{dt} = k_{on}\,IL12_B\,R_B - k_{int}\,C_B \tag{S5}$$

$$\widetilde{C_B} = \frac{6.023e11\,V_B}{N_B}\,C_B \tag{S6}$$

$$pSTAT_B = \frac{\tilde{C}_B}{\tilde{C}_B + IC_{pSTAT}} \tag{S7}$$

$$k_R = k_{CR}\,R_0 \tag{S8}$$

$$k_{DG} = \frac{(1-F)}{F}\,J_{LS} \tag{S9}$$

$$IL12_{S,0} = \frac{D}{V_S} \tag{S10}$$

Reduced-Bioavailability Model Equations

$$\frac{dIL12_S}{dt} = -J_{LS}IL12_S - k_{DG}IL12_S \tag{S11}$$

$$\frac{dIL12_L}{dt} = \frac{J_{LS} V_S}{V_L} IL12_S - k_{on} IL12_L R_L - J_{BL} IL12_L \tag{S12}$$

$$\frac{dR_L}{dt} = \frac{N_L}{V_L} k_R + \frac{N_L}{V_L} \alpha\, pSTAT_L - k_{on} IL12_L R_L - k_{CR} R_L \tag{S13}$$

$$\frac{dC_L}{dt} = k_{on} IL12_L R_L - k_{int} C_L \tag{S14}$$

$$\frac{dIL12_B}{dt} = \frac{J_{BL} V_L}{V_B} IL12_L - k_{CB} IL12_B \tag{S15}$$

$$\widetilde{C_L} = \frac{6.023e11\, V_L}{N_L} C_L \tag{S16}$$

$$pSTAT_L = \frac{\tilde{C}_L}{\tilde{C}_L + IC_{pSTAT}} \tag{S17}$$

$$k_R = k_{CR} R_0 \tag{S18}$$

$$k_{DG} = \frac{(1-F)}{F} J_{LS} \tag{S19}$$

$$IL12_{S,0} = \frac{D}{V_S} \tag{S20}$$

Intraperitoneal Injection Model Equations

$$\frac{dIL12_P}{dt} = -J_{LP} IL12_P - k_{DG} IL12_P \tag{S21}$$

$$\frac{dIL12_L}{dt} = \frac{J_{LP} V_P}{V_L} IL12_P - k_{on} IL12_L R_L - J_{BL} IL12_L \tag{S22}$$

$$\frac{dR_L}{dt} = \frac{N_L}{V_L} k_R + \frac{N_L}{V_L} \alpha\, pSTAT_L - k_{on} IL12_L R_L - k_{CR} R_L \tag{S23}$$

$$\frac{dC_L}{dt} = k_{on}\,IL12_L\,R_L - k_{int}C_L \tag{S24}$$

$$\frac{dIL12_B}{dt} = \frac{J_{BL}V_L}{V_B}\,IL12_L - k_{CB}\,IL12_B \tag{S25}$$

$$\widetilde{C_L} = \frac{6.023e11V_L}{N_L}C_L \tag{S26}$$

$$pSTAT_L = \frac{\tilde{C}_L}{\widetilde{C_L} + IC_{pSTAT}} \tag{S27}$$

$$k_R = k_{CR}R_0 \tag{S28}$$

$$k_{DG} = \frac{(1-F)}{F}J_{LS} \tag{S29}$$

$$IL12_{P,0} = \beta\frac{D}{V_P} \tag{S30}$$

Local Production Model Equations

$$\frac{dIL12_P}{dt}\,k_{pr}(t) - J_{LP}\,IL12_P - k_{DG}\,IL12_P \tag{S31}$$

$$\frac{dIL12_L}{dt} = \frac{J_{LP}V_P}{V_L}\,IL12_P - k_{on}\,IL12_L\,R_L - J_{BL}\,IL12_L \tag{S32}$$

$$\frac{dR_L}{dt} = \frac{N_L}{V_L}k_R + \frac{N_L}{V_L}\,\alpha\,pSTAT_L - k_{on}IL12_LR_L - k_{CR}R_L \tag{S33}$$

$$\frac{dC_L}{dt} = k_{on}\,IL12_L\,R_L - k_{int}C_L \tag{S34}$$

$$\frac{dIL12_B}{dt} = \frac{J_{BL}V_L}{V_B}\,IL12_L - k_{CB}\,IL12_B \tag{S35}$$

$$\widetilde{C_L} = \frac{6.023e11 V_L}{N_L} C_L \tag{S36}$$

$$pSTAT_L = \frac{\widetilde{C_L}}{\widetilde{C_L} + IC_{pSTAT}} \tag{S37}$$

$$k_R = k_{CR} R_0 \tag{S38}$$

$$k_{DG} = \frac{(1 - F)}{F} J_{LS} \tag{S39}$$

<u>Supplementary Text</u>

Contents

Derivation of Mathematical Models

The models used in this investigation are based on the same principles. Both the accelerated-

clearance model and the reduced-bioavailability model consist of ordinary differential equations

representing IL-12 transport via lymph flow from the subcutaneous space to the lymphatic

system and to the blood and IL-12 receptor binding and upregulation. The key difference

between the two models is the compartment where receptor binding occurs. The models used for

the simulation of intraperitoneal IL-12 delivery are extensions of the reduced-bioavailability

model. In the following sections, we derive the equations describing our models. The

descriptions and values for the parameters used in each model are in **Supplementary Table S3.**

IL-12 Dosing

IL-12 dosing occurs in the subcutaneous compartment. As the duration of injections is negligible

compared to the simulation period, we model IL-12 dosing by setting the initial concentration of

IL-12 in the subcutaneous space according to the following:

$$IL12_{S,0} = \frac{D}{V_S} \qquad \text{(S40)}$$

Here, D is the dose amount administered in pmol and V_S is the volume of the subcutaneous space

in mL.

IL-12 Transport Between Compartments

IL-12 transport between compartments is modeled based on a mass balance around each

compartment and convective transport via lymph fluid flow. As the molecular weight of IL-12 is

greater than 16 kDa, transport to the blood from the subcutaneous space primarily occurs through

lymph flow[8] and, therefore, is unidirectional. Consider a simple two-compartment system where

lymph flow moves from compartment 1 to compartment 2 with a volumetric flow rate j_{21} in mL

days^{-1}. The mass balance around these two compartments is given by:

$$\frac{dV_1 C_1}{dt} = -j_{21} C_1 \tag{S41}$$

$$\frac{dV_2 C_2}{dt} = j_{21} C_1 \tag{S42}$$

39 where V_i is the volume of compartment i in mL and C_i is the concentration of IL-12 in

40 compartment i in pmol mL^{-1}. We assume that the volume of each compartment remains constant,

41 i.e., fluid moving from each compartment is instantly replaced or removed. Accordingly, we can

42 rearrange the two differential equations to become:

$$\frac{dC_1}{dt} = -\frac{j_{21}}{V_1} C_1 \tag{S43}$$

$$\frac{dC_2}{dt} = \frac{j_{21}}{V_2} C_2 \tag{S44}$$

44 Making the substitution $j_{21} = J_{21} V_1$, we further simplify to:

$$\frac{dC_1}{dt} = -J_{21} C_1 \tag{S45}$$

$$\frac{dC_2}{dt} = \frac{V_1}{V_2} J_{21} C_2 \tag{S46}$$

In these equations, J_{21} is the effective first order transport rate constant in days^{-1}. Conceptually,

this parameter refers to the fluid flow leaving compartment 1 normalized by the volume of

compartment 1. This framework is generalized to represent transport between all compartments

in our model.

IL-12 Receptor Binding and Complex Formation

IL-12 binds its receptor either in the serum (accelerated-clearance model) or the lymphatic

system (reduced-bioavailability model). For simplicity, we assume that binding is irreversible

and occurs according to the rate law:

$$r_{binding} = k_{on}\ IL12_i\ R_i \qquad\qquad (S47)$$

Here, k_{on} is the IL-12:IL-12 receptor association rate in mL pmol^{-1} days^{-1}, $IL12_i$ is the

concentration of IL-12 in compartment i where receptor binding occurs, and R_i is the

concentration of receptor in compartment i both in pmol mL $^{-1}$. In our model both IL-12 and IL-

12 receptor are consumed according to $r_{binding}$, and IL-12:IL-12 complex is generated at the

same rate. Accordingly, the differential equation describing the concentration of IL-12:IL-12

receptor complex is given by:

$$\frac{dC_i}{dt} = k_{on}\ IL12_i\ R_i - k_{int}C_i \qquad\qquad (S48)$$

Here, C_i is the concentration of IL-12:IL-12 receptor complex in compartment i and k_{int} is the

first order complex internalization rate constant in days^{-1}.

STAT4 Activation

In both models, the formation of IL-12:IL-12 receptor complex leads to the activation of STAT4

based on the number of complexes per T-cell. Accordingly, we first calculate this quantity

according to:

$$\tilde{C}_i = 6.023e11\frac{V_i C_i}{N_i} \qquad\qquad (S49)$$

66   where $\tilde{C}_i$ is the number of complexes per T-cell in # cells^{-1} in compartment i, V_i is the volume of

67   compartment i in mL, and N_i is the number of T-cells in compartment i. The differential

68   equation describing STAT4 activation is given by the following:

$$\frac{dpSTAT_i}{dt} = k_P \tilde{C}_i (1 - pSTAT_i) - k_{DP}\, pSTAT_i \qquad \text{(S50)}$$

69   Here, $pSTAT_i$ is the fraction of phosphorylated (activated) STAT4, k_p is the first order

70   phosphorylation rate in cell #$^{-1}$ days^{-1}, and k_{DP} is the first order dephosphorylation rate constant

71   in days^{-1}. Furthermore, as intracellular processes are much faster than physiological processes

72   such as transport and clearance, we assume that STAT4 activation is in quasi-steady state in our

73   models. Thus, we can solve for $pSTAT_i$ activation as the following:

$$pSTAT_i = \frac{k_P \widetilde{C_i}}{k_P \widetilde{C_i} + k_{DP}} = \frac{\widetilde{C_i}}{\widetilde{C_i} + \dfrac{k_{DP}}{k_P}} = \frac{\tilde{C}_i}{\widetilde{C_i} + IC_{pSTAT}} \qquad \text{(S51)}$$

where IC_{pSTAT} is the number of complexes per T-cell required for half-maximum STAT4

activation and is equivalent to $\frac{k_{DP}}{k_P}$.

IL-12 Receptor Dynamics

In the compartment where receptor binding occurs, we represent the concentration of receptor

based on a basal production rate, an upregulated production rate based on STAT4 activation,

consumption via binding, and unbound internalization/clearance. Accordingly, the differential

equation describing the concentration of receptor is given by:

$$\frac{dR_i}{dt} = \frac{N_i}{V_i} k_R + \frac{N_i}{V_i}\, \alpha\, pSTAT_i - k_{on}\, IL12_i\, R_i - k_{CR} R_i \qquad \text{(S52)}$$

81   where k_R is the basal production rate per T-cell in pmol cells^{-1} days^{-1}, k_{CR} is the unbound

82   receptor internalization rate in days $^{-1}$, and α is the feedback parameter representing upregulated

83   receptor production by STAT4 activation in pmol cells^{-1} days^{-1}. Furthermore, we set the steady-

84   state concentration of receptor according to the following relationship:

$$R_{SS} = \frac{R_0 N_i}{V_i} \tag{S53}$$

85   Here, R_{SS} is the steady-state/initial concentration of receptor in the absence of any IL-12 and R_0

86   is the basal number of IL-12 receptors per T-cell in the absence of IL-12 and STAT4 activation.

87   To ensure that the concentration of receptor remains at this level at steady state, we constrain the

88   basal production rate k_R according to:

$$k_R = R_0 k_{CR} \tag{S54}$$

IL-12 Clearance

In addition to receptor-mediated clearance, IL-12 can exit the body in our model via degradation

in the subcutaneous space or traditional serum clearance. Clearance from the serum is   ·

determined by the first order clearance rate constant k_{CB} in days^{-1}. Instead of directly specifying

the degradation rate of IL-12 in the subcutaneous space, we specify the absolute bioavailability

(fraction of IL-12 in the subcutaneous space that does not get degraded) and determine the

corresponding degradation rate. Accordingly, the degradation of IL-12 in the subcutaneous space

is determined by:

$$k_{DG} = \frac{1 - F}{F} J_{xS} \tag{S55}$$

97   where k_{DG} is the first-order subcutaneous degradation rate constant and J_{xS} is the first-order

98   transport rate constant from the subcutaneous space to compartment *x,* both in days^{-1}. In the

99   accelerated-clearance model, this transport rate is that of IL-12 to the blood and in the reduced

100 bioavailability model it is that of IL-12 to the lymphatic system.

Model of Intraperitoneal IL-12 Injection

We developed the reduced-bioavailability model to simulate subcutaneous dosing of IL-12; thus, we modified our model slightly to fit intraperitoneal injection data presented by Lenzi et al.[9]. All model equations here are identical to the original model equations (other than slight notation changes) except the equation determining the initial concentration of IL-12 in the intraperitoneal cavity. In the clinical trial data presented by Lenzi and colleagues, the initial concentration of IL-12 in the intraperitoneal cavity is two orders of magnitude lower than expected given the reported dose amount. To account for this, we add the scaling factor β to our expression for the initial concentration of IL-12 in the intraperitoneal cavity to obtain:

$$IL12_{P,0} = \beta \frac{D}{V_P} \tag{S56}$$

Here, β is a factor between zero and one that accounts for the discrepancy between the reported dose and initial concentrations of IL-12. Adding in this change and changes in notation to reflect IL-12 administration to the peritoneal cavity, we obtain equations S20-29

Model of Continuous, Local IL-12 Delivery

We further modified our model to represent continuous, local delivery of IL-12 within the intraperitoneal cavity. All equations in this model are identical to that of intraperitoneal injection of IL-12 except for the equations governing IL-12 in the intraperitoneal space. Here, the ordinary differential equation describing the concentration of IL-12 in the intraperitoneal space is now given by:

$$\frac{dIL12_P}{dt} = k_{pr}(t) - J_{LP}\,IL12_P - k_{DG}\,IL12_P \tag{S57}$$

Here, $k_{pr}(t)$ is the 0th-order production rate of IL-12 that varies with time according to the dosing strategy being simulated in pmol days^{-1}. We test three different dosing strategies

121 designed to reach equivalent i.p. concentrations at long times: 1) constant production, 2) stepwise

122 production, and 3) linearly increasing production. These production profiles are given by:

$$k_{prod}(t) = \begin{cases} 0, & t < t_1 \\ k_{pr}^f, & t \geq t_1 \end{cases} \tag{S58}$$

$$k_{prod}(t) = \begin{cases} \dfrac{1}{3}k_{pr}^f, & t_1 \leq t \leq t_2 \\ \dfrac{1}{2}k_{pr}^f, & t_2 < t \leq t_3 \\ k_{pr}^f, & t > t_3 \end{cases} \tag{S59}$$

$$k_{prod}(t) = \begin{cases} 0, & t < t_1 \\ \dfrac{k_{pr}^f - k_{pr}^0}{t_2 - t_1}(t - t_1), & t_1 \leq t \leq t_2 \\ k_{prod}^f, & t > t_2 \end{cases} \tag{S60}$$

In the equations above k_{pr}^f is the final production rate reached by each production profile, and t_1

is the time dosing begins. The stepwise production profile is further characterized by t_2 and t_3,

which are the times when IL-12 production is increased. Similarly, the linearly increasing

production profile is characterized by t_1 and t_2, the time in which the increase in production rate

begins and ends, respectively. All characteristic times of dosing profiles are given in days.

Additionally, the initial production rate here is defined by k_{pr}^0.

<u>Parameter Optimization Error Functions</u>

The error functions used for optimization depend on the type of data and the number of

compartments for which data was available. For time course data presented for a single

compartment, we used a modified version of the sum of standard squared error to account for the

assay's limit of detection:

$$SSE = \sum_i \begin{cases} (P_i - E_i)^2; P_i > D, E_i > D \\ (P_i - D)^2; P_i > D, E_i \le D \\ 0; P_i \le D, E_i \le D \end{cases} \qquad \text{(S61)}$$

136 where E_i is the value of experimental datapoint i, P_i is the model predicted value of that datapoint,

137 and D is the lowest limit of detection for the quantification assay. For time course data where data

138 is presented for more than one compartment, i.e., blood and intraperitoneal IL-12 measurements,

139 the error function was further modified to normalize to the magnitude of the experimental

140 measurement according to:

$$NSE = \sum_i \begin{cases} \dfrac{(P_i - E_i)^2}{E_i^2}; P_i > D, E_i > D \\ \dfrac{(P_i - D)^2}{D^2}; P_i > D, E_i \le D \\ 0; P_i \le D, E_i \le D \end{cases} \qquad \text{(S62)}$$

141 The error function used for optimizing to PK metric data is given by the following:

$$SSE = \sum_i \frac{(P_i - E_i)^2}{E_i^2 + \sigma_i^2} \qquad \text{(S63)}$$

Here, E_i is the value of the PK metric measured in the clinical trial, σ_i is the reported standard

deviation of the PK metric, and P_i is the model predicted value.

<u>Local Model Sensitivity Analyses</u>

Local sensitivity analyses were implemented to determine the impact of model parameters on the

extent of IL-12 PK desensitization. For the simulation of multiple repeated doses of the same

amount, we quantify the amount of desensitization predicted as the ratio of IL-12 serum AUC after

the last and first dose according to:

$$\chi = \frac{AUC_{last}}{AUC_{first}} \qquad \text{(S64)}$$

149 To determine the sensitivity of the quantity χ to a given parameter p, we calculated the log gain

150 sensitivity according to:

$$S = \frac{\partial \ln (\chi)}{\partial \ln (p)} = \frac{\Delta \ln (\chi)}{\Delta \ln (p)} \qquad \text{(S65)}$$

Ensemble Analysis of Desensitization Requirements

To expand on the influence of the parameters related to receptor lifetime, initial receptor

concentrations, and feedback dynamics we generated an ensemble of 10,000 parameter sets

varying $k_{CR}, k_{int}, N_{cells}, \alpha, R_0,$ and IC_{pSTAT} between 50% and 150% of the optimized values

holding all other parameters constant. Each parameter set was simulated for two consecutive 1.0

µg/kg doses on days 1 and 8 of a 15-day cycle, and the amount of desensitization predicted was

quantified based on equation 24. Analysis of these simulations illustrated that the amount of

desensitization that occurs is correlated to the increase in binding flux at the time of the second

dose (t = τ) normalized by the total flux of IL-12 out of the lymphatic system at the time of the

first dose (t = 0), determined by equation 30. More specifically, we found that the value Ψ was

inversely correlated to the AUC ratio and, therefore, positively correlated with the extent of

desensitization predicted (**Supplemental Figure S8A**)

$$\Psi = \frac{R(\tau) - k_{on}R(0)}{J_{BL} + k_{on}R(0)} \qquad \text{(S66)}$$

To gain deeper insight into the role specific parameters have on the amount of receptor remaining

at the time of the second dose and the amount of desensitization predicted, we derived an analytical

approximation for the concentration of receptor in the lymphatic system following a single dose

(equations S74-78) This approximation of receptor concentrations is in reasonable agreement with

numerical simulations, especially at longer time points where the receptor concentration is close

to baseline levels (**Supplemental Figure S12**). Using this approximation to calculate the increase

in receptor binding flux at the time of second dose based on equation S65, we obtain:

$$\Psi = \frac{k_{on}[e_1(\tau) + e_2(\tau) + e_3(\tau)]}{J_{BL} + k_{on}R(0)} \tag{S67}$$

Here, the three exponential terms $e_1(t), e_2(t)$, and $e_3(t)$ are given by equations S75-77. When

used to predict the magnitude of IL-12 desensitization that occurs, equation S66 yields similar

results to those extracted from numerical simulations (**Supplemental Figures S8B and S13**). Our

analytical expression illustrates how specific parameters influence the amount of desensitization

predicted without numerical simulation. We see that terms in the numerator of ϕ will lead to a

larger peak in receptor concentration, and vice versa for the denominator. Additionally, increases

in the three exponential decay parameters, J_{LS}, k_{int}, and k_{CR}, lead to faster receptor clearance. If

all three decay timescales are sufficiently short, upregulated receptor will clear by the time of the

second dose and desensitization will not be predicted. Furthermore, we predict that multiple

processes in our model contribute to the presence of desensitization, and that desensitization will

be predicted over a variety of different parameter combinations. Generally, the requirement for

desensitization in our model is that the upregulated of IL-12 receptor remains present when the

next dose is administered. We show here that this behavior is not specific to a small subset of

parameter values, supporting the robustness of our model to predict desensitization despite the

inherent variability in our model parameters.

<u>Derivation of Analytical Approximation for Receptor Dynamics</u>

To approximate the concentration of IL-12 receptor in the reduced-bioavailability model over

time with an analytical expression, we aimed to approximate species in the model with

exponential expressions. As the upregulation of receptor is regulated by the amount of STAT4

activation in T-cells, which is in turn regulated by the amount of IL-12:IL-12 receptor, we start

by approximating the amount of complex formation that occurs in the lymphatic system. The

ordinary differential equation describing the concentration of complex formed is given in

equation S13. Importantly, this differential equation is nonlinear due to the $k_{on} IL12_L R_L$ term.

As a linear approximation, we assume that the binding flux is equal to the flux into the lymphatic

system such that $k_{on} \, IL12_L \, R = \frac{J_{LS} V_S}{V_L} \, IL12_S$, giving us:

$$\frac{dC_L}{dt} = \frac{J_{LS} V_S}{V_L} \, IL12_S - k_{int} C_L \tag{S68}$$

196   The concentration of IL-12 in the subcutaneous space, $IL12_S$, is described by a single

197   exponential decay since for this model we assume bioavailability (F) is one. The exponential

198   decay describing the concentration of IL-12 in the subcutaneous space is given by:

$$IL12_S(t) = \frac{D}{V_S} \exp\{-J_{LS} t\} \tag{S69}$$

199   Making this substitution allows us to solve equation S67 analytically to obtain the dual

200   exponential decay given by:

$$C_L(t) = \frac{J_{LS} D}{(k_{int} - J_{LS}) V_L} [\exp\{-J_{LS} t\} - \exp\{-k_{int} t\}] \tag{S70}$$

201   Based on equations S15 and S16, we can now approximate the level of STAT4 activation

202   according to:

$$pSTAT(t) = \frac{\frac{6.023e11 V_L}{N_{cells}} C(t)}{\frac{6.023e11 V_L}{N_{cells}} C(t) + IC_{pSTAT}} \tag{S71}$$

These approximations for the concentration of complex and STAT4 activation are in reasonable

agreement with numerical simulations (**Supplemental Figure S14**). However, there is a slight

overprediction of both species, likely due to our assumption that binding flux is equal to the total

flux of IL-12 into the lymphatic system. To account for this difference, we rescale our

approximation for the amount of complex formed by the initial ratio of binding flux to total flux,

such that $C_L(t)$ is now given by:

$$C_L(t) = \frac{\frac{k_{on}R_0N_{cells}}{V_L}}{\frac{k_{on}R_0N_{cells}}{V_L} + J_{BL}} \frac{J_{LS}D}{(k_{int} - J_{LS})V_L}[\exp\{-J_{LS}t\} - \exp\{-k_{int}t\}] \tag{S72}$$

As seen in **Supplemental Figure S14** (blue curves), this slightly increases the accuracy of our

analytical approximations compared to numerical simulations. Using equations S13 and S71, we

can now solve for an analytical approximation for the concentration of receptor in the lymphatic

system after linearizing the equation. Here, we make an important assumption that at long times

(around the time of the next dose, which is our time span of interest), the amount of IL-12 in the

lymphatic system is negligible such that $k_{on}IL12_LR \approx 0$. Additionally, our expression for

$pSTAT(t)$ is non-linear; however, in the parameter regime we are operating $IC_{pSTAT} \gg$

$\frac{6.023e11V_L}{N_{cells}}C_L(t)$, thus we can assume this function is approximately linear as given by:

$$pSTAT(t) = \frac{6.023e11V_L}{N_{cells}\ IC_{pSTAT}}C(t) \tag{S73}$$

217   Thus, our ordinary differential equation for receptor concentrations in the lymphatic system

218   becomes linear, given by:

$$\frac{dR_L}{dt} = \frac{N_L}{V_L}k_R + \frac{N_L}{V_L}\ \alpha\ \frac{6.023e11V_L}{N_{cells}\ IC_{pSTAT}}C_L(t) - k_{CR}R_L \tag{S74}$$

220   This ordinary differential equation can be solved analytically and making the important

221   substitution $k_R = k_{CR}R_0$, we obtain:

$$R(t) = \frac{R_0N_{cells}}{V_L} + e_1(t) + e_2(t) + e_3(t) \tag{S75}$$

$$e_1(t) = \frac{\Phi exp\ (-J_{LS}t)}{(J_{LS} - k_{int})(J_{LS} - k_{CR})} \tag{S76}$$

$$e_2(t) = \frac{\Phi \exp(-k_{int}t)}{(k_{int} - J_{LS})(k_{int} - k_{CR})} \tag{S77}$$

$$e_3(t) = \frac{\Phi \exp(-k_{CR}t)}{(k_{CR} - k_{int})(k_{CR} - J_{LS})} \tag{S78}$$

$$\Phi = \frac{6.023e11 J_{LS}\alpha D}{V_L IC_{pSTAT}} \frac{\frac{k_{on}R_0 N_{cells}}{V_L}}{\frac{k_{on}R_0 N_{cells}}{V_L} + J_{BL}} \tag{S79}$$

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