Reference curves for harmonizing multi-site regional diffusion MRI metrics across the lifespan

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Abstract

Age-related white matter (WM) microstructure maturation and decline occur throughout the human lifespan with a unique trajectory in the brain, complementing the process of gray matter development and degeneration. Normative modeling can establish lifespan reference curves for typical WM microstructural aging patterns by pooling data from many independent studies that span different age ranges. Here, we create such reference curves by harmonizing and pooling diffusion MRI (dMRI)-derived data from ten public datasets (N = 40,898 subjects; age: 3-95 years; 47.6% male). We tested three ComBat harmonization methods to create normative curves for regional diffusion tensor imaging (DTI) based fractional anisotropy (FA), a widely used metric of WM microstructure, extracted using the ENIGMA-DTI pipeline. ComBat-GAM harmonization provided multi-study trajectories most consistent with neuroscientific knowledge regarding WM maturation peaks. Harmonized FA metrics were used to create lifespan reference curves, which were validated with test-retest data and used to assess the effect of the ApoE4 risk factor for dementia in WM across the lifespan. We found significant associations between ApoE4 and FA in WM regions associated with neurodegenerative disease even in healthy individuals across the lifespan, with regional age-by-genotype interactions. Within-study associations were not affected by normative harmonization, ensuring that large-scale harmonized studies can be conducted across the lifespan, even from distinct age-restricted studies, without compromising individual study findings. Our lifespan reference curves and tools to harmonize new dMRI data to the curves are available through our new Python package, eHarmonize (https://github.com/ahzhu/eharmonize).

Keywords: diffusion MRI, DTI, generalized additive model (GAM), harmonization, lifespan modeling

Introduction

Methodological variability and small sample sizes have contributed to poor reproducibility in population studies across many research fields, including neuroscience and brain imaging[1,2]. As brain MRI scanning is costly and time-intensive, large well-powered neuroimaging studies typically require pooling of data from smaller, existing studies or data collection across multiple sites. In either case, two primary sources of variance make it non-trivial to combine multi-site neuroimaging data. First, heterogeneous study design and subject inclusion criteria may yield results that are generalizable only to similar cohorts. Second, MRI scans and derived metrics vary considerably due to differences in scanner hardware and software, acquisition parameters such as spatial or angular resolution, and image processing pipelines[3]. Even when acquisition protocols are harmonized, inter-site differences still persist[4].

Multi-study consortia, such as the Enhancing NeuroImaging Genetics through Meta-Analysis (ENIGMA) consortium[5], may account for study differences using a traditional two-stage (i.e., group-based) meta-analysis. Meta-analyses allow individual studies to account for study-specific covariates and population substructure, but if specific traits or conditions of interest have a low prevalence, e.g., rare copy number variants in the genome, meta-analysis may not be possible as single sites may lack sufficient samples to run statistical models. When small studies are conducted, model assumptions of normally distributed sampling and known variances may be violated[6], and inflated effect sizes from smaller studies may contribute to the meta-analytic results[1]. Meta-analyses may also be unable to distinguish between site differences and confounding biological variables that are correlated with site[7]. Beyond this, in a lifespan study, age-dependent effects (e.g., specifically occurring in development or senescence) may be diluted using a meta-analysis approach.

Brain imaging studies that analyze data from individuals across the lifespan may benefit instead from a "mega"-analysis approach, which pools data or derived imaging features for a single analysis based on all individual data points. Mega-analysis can accommodate a broader range of statistical models and better control of effect size estimation[8]. Growth charts have been established for anthropometric measurements, such as height and weight, but until recently, study differences and the lack of standardization have hindered pooling datasets of sufficiently large sample sizes and age ranges to establish normative models for brain MRI measures. The emergence of large public datasets and data harmonization techniques have made lifespan brain charts possible to create. Initial 'brain charts' using structural MRI measures across the lifespan have combined multi-study data for mega-analyses with different approaches to account for site heterogeneity, including generalized additive models for location, scale, and shape (GAM-LSS) to account for non-linear trajectories of global and regional volume, surface area, and thickness measurements[9], linear and non-linear hierarchical Bayes models of subcortical volume and cortical thickness[10,11], and fractional polynomial regression applied to harmonized cortical thickness measures[12]. Ge et al. compared methods for normative modeling of brain morphometry and chose multivariate fractional polynomial regression models to create sex-specific lifespan charts for subcortical volumes and cortical surface area and thickness measures, available through CentileBrain (www.centilebrain.org)[13].

ComBat is a commonly used harmonization method, initially developed to correct for batch effects in gene expression arrays[14], but later applied to derived neuroimaging measures[15,16]. ComBat expanded upon previous models, implementing an empirical Bayes framework that is robust to small sample sizes. Recent extensions of ComBat include ComBat-GAM[17] and CovBat[18], which account for non-linear effects of covariates on the brain measures, and adjust for site differences in data covariance, respectively. Structural imaging studies have shown that ComBat and ComBat-GAM may improve the detection of case-control group differences in schizophrenia and post-traumatic stress disorder (PTSD) cohorts compared to unharmonized mixed-effects models that model study as a random effect[19,20].

The initial application of ComBat in the neuroimaging literature was to data derived from diffusion-weighted MRI[16]. Diffusion-weighted MRI (dMRI) is sensitive to white matter (WM) microstructure and is influenced by a greater number of acquisition parameters than T1-weighted MRI, including spatial and angular resolution, diffusion times, b-value, and the number of shells[21]. In general, greater angular resolution and number of b-shells (e.g., diffusion weightings) give more stable diffusion metrics[22,23], and smaller voxel sizes are less susceptible to partial voluming but may have more noise [22,24]. The initial application of ComBat to dMRI-derived data was limited to pooling together only two studies that had similar age ranges and acquisition parameters[16]. While it has since been used more widely in studies of epilepsy[25], traumatic brain injury[26], and neurogenetic disorders[27], harmonization of dMRI metrics across age ranges and acquisition protocols has not been explored in depth. Single-site dMRI studies have found lifespan trajectories of WM microstructure to be different to those of T1w-derived gray matter measures: peak WM maturation typically occurs over a decade later than corresponding peaks for gray matter metrics[28,29], necessitating the development of dMRI-specific lifespan curves. Most prior dMRI studies have used measures extracted from the diffusion tensor imaging (DTI) model. The DTI model has been widely adopted in clinical studies to understand WM microstructure because, compared to more advanced models, it can be reliably fit to lower resolution data which is more convenient and faster to collect. This widespread use is particularly advantageous when combining studies with different dMRI acquisitions.

Although harmonization approaches such as ComBat are widely used, limitations remain. ComBat regularizes site-specific harmonization parameters differently depending on sample size, and is sensitive to the input data, such that if a new cohort were to join the study, the harmonization would need to be repeated. To avoid incorrectly modeling true biological variability as a scanner-related effect, datasets must have a sufficient overlap in all covariates, e.g., age ranges. Multi-site mega-analyses often need a centralized database, which may limit participation by sites that cannot share individual level data. Harmonizing data to a template or reference that can be distributed would overcome this limitation. ComBat and its variants typically adjust input data so that the residuals of a statistical model have the same mean and variance, but none provide a reference dataset to perform normalization consistently across studies. However, care is required when choosing the reference dataset, as harmonized results may be biased toward the selected data. An ideal reference for harmonization would include multiple populations across a wide age range, such as the NiChart Reference Dataset aggregating structural MRI data for the iSTAGING consortium[17], and provide a means to account for differences in MRI acquisition protocols. A normative model could be created, incorporating heterogeneous datasets to represent the different sources of variance, and used as a reference for ComBat harmonization. Here, we created a framework that harmonizes input data to built-in lifespan reference curves, allowing for distributed harmonization, easy incorporation of new datasets, and version control of updatable lifespan reference curves.

Here, we harmonized DTI data from ten public datasets, totaling 13,297 subjects (aged 3-95), to create lifespan reference curves for white matter regions extracted with the ENIGMA-DTI pipeline[30,31]. The ENIGMA-DTI pipeline is a well-established pipeline based on tract-based spatial statistics[32] with standardized outputs, which has been run on data from over a hundred cohorts worldwide, in studies of over ten disorders[33]. We evaluated lifespan curves computed using ComBat, ComBat-GAM, and CovBat to determine which methods would most effectively capture the expected non-linear trends[28,29,34]. After creating an optimal set of lifespan reference curves, we harmonized an independent group of test datasets (2,161 subjects, aged 3-85), acquired with dMRI protocols previously unseen in our training data, to our newly established lifespan reference curves. We characterized the impact of acquisition parameters on harmonization parameters, the regional sex differences in our lifespan reference curves, and the performance of our framework on longitudinal data. Lastly, as the effect of genetic risk factors may vary across the lifespan, we evaluated our framework by assessing the effects of Apolipoprotein E (ApoE) genotype on white matter microstructure in a multi-study sample aged 3-85 (N = 30,915). The E4 allele is the major risk

haplotype for late-onset Alzheimer's disease - compared to the most common genotype, E3 - whereas E2 is less common and may have a neuroprotective effect[35]. The overall framework - including the harmonization mechanism and our lifespan reference curves are freely available in an open source Python package called ENIGMA Harmonize (eHarmonize; https://github.com/ahzhu/eharmonize).

Methods

Datasets

Data for Building Reference Curves. Public dMRI datasets collected from individuals across a variety of age ranges were combined to span 3 to 95 years of age (N = 40,898 subjects; 47.6% male; Table 1). The pediatric and adolescent cohorts (age: 3-21) included the Pediatric Imaging, Neurocognition, and Genetics (PING) dataset[36]; Human Connectome Project in Development (HCP-D)[37]; and the Adolescent Brain and Cognitive Development (ABCD)[38] studies. As the ABCD study contains twin and sibling data, one sibling per family was randomly selected to create an unrelated subset. Participants in the ABCD study were recruited from a very narrow age range (9-10 years old), yet at the time of analysis, two-year follow up scans had also been released for most participants. We broadened the age range by including data from half the participants at baseline and an independent half at two year follow-up. No additional exclusion criteria were applied to the PING and HCP-D datasets for this work.

The young adult cohorts (age: 17-36) included baseline data from the Southwest University Longitudinal Imaging Multimodal (SLIM) brain data repository[39,40] and Human Connectome Project (HCP)[41]. Each study acquired MRI data on a single scanner. As with ABCD, HCP is family-based, so only one sibling per family was selected. The Cam-CAN dataset included younger, midlife, and older adults (18 to 87 years)[42]. Mid-adulthood to older adults (age: 30-95) were included from Tier 1 of the Parkinson's Progression Markers Initiative (PPMI)[43], Open Access Series of Imaging Studies (OASIS3) dataset[44], the population-based UK Biobank study[45], and a subset of the third phase of the Alzheimer's Disease Neuroimaging Initiative with single-shell diffusion imaging acquisition (ADNI3)[46]. In the PPMI, OASIS3, and ADNI3 studies, participants with a diagnosis of Parkinson's disease, mild cognitive impairment, or dementia were excluded from the reference training cohort. Exclusion criteria applied to the UK Biobank included neurological and cerebrovascular disorders as well as incidental findings on brain MRI and head injuries.

Table 1. Study demographics and image acquisition parameters of each dataset. In multi-shell acquisitions, the shells used for DTI processing are indicated in **bold**.
* Original acquisition dimensions. GE automatically zero-pads in k-space by default, so processing was performed on resampled data.
† QTIM participants were scanned twice, once with each protocol.
†† Non-zero b-value shells were processed separately, for comparison.

Study/Dat aset	Exclusion Criteria	Age Range (years)	Available N (% Male)	Bootstrapped N (% Male)	Country	Manufacturer (Field Strength)	b-values (volumes)	Resolution (mm³)
PING	-	3-21	906 (52.2)	902 (52.1)	United States	Siemens (3T) GE (3T) Philips (3T)	**0 (4), 1000 (32)** **0 (2), 1000 (30)** **0 (3), 1000 (30)**	2.5 x 2.5 x 2.5 2.5 x 2.5 x 2.5* 2.5 x 2.5 x 2.5
HCP-D	-	8-21	617 (48.5)	617 (48.5)	United States	Siemens (3T)	**0 (28), 1500 (93)**, 3000 (92)	1.5 x 1.5 x 1.5
ABCD	One sibling per family	9-13	5611 (52.7)	5000 (52.8)	United States	Siemens (3T)	**0 (7)**, 500 (6), **1000 (15)**, 2000 (15), 3000 (60)	1.7 x 1.7 x 1.7
SLIM	-	17-26	432 (45.1)	432 (45.1)	China	Siemens (3T)	**0 (1), 1000 (30)**	2.0 x 2.0 x 2.0

HCP	One sibling per family	22-36	363 (45.5)	363 (45.5)	United States	Siemens (3T)	**0 (18), 1000 (90)**, 2000 (90), 3000 (90)	1.25 x 1.25 x 1.25
Cam-CAN	-	18-87	305 (35.1)	305 (35.1)	United Kingdom	Siemens (3T)	**0 (3), 1000 (30)**, 2000 (30)	2.0 x 2.0 x 2.0
PPMI	Parkinson's disease	30-81	83 (63.9)	83 (63.9)	International	Siemens (3T)	**0 (1), 1000 (64)**	1.98 x 1.98 x 2.0
UK Biobank	ICD-10 codes: C70-C72, G, I6, Q0, R90, R940, S01-S09; self-report conditions: 1081, 1086, 1266, 1267, 1397, 1434, 1437, 1491, 1626	45-82	31,986 (46.8)	5000 (47.0)	United Kingdom	Siemens (3T)	**0 (8), 1000 (50)**, 2000 (50)	2.0 x 2.0 x 2.0
OASIS3	Cognitive impairment	42-92	225 (48.4)	225 (48.4)	United States	Siemens (3T)	**0 (1), 1000 (64)**	2.5 x 2.5 x 2.5
ADNI3	Cognitive impairment	55-95	370 (41.2)	370 (41.2)	United States Canada	Siemens (3T) Siemens (3T) GE (3T) GE (3T) Philips (3T) Philips (3T)	**0 (1), 1000 (30)** **0 (7), 1000 (48)** **0 (4), 1000 (32)** **0 (6), 1000 (48)** **0 (1), 1000 (32)** **0 (4), 1000 (32)**	2.0 x 2.0 x 2.0 2.0 x 2.0 x 2.0 2.0 x 2.0 x 2.0* 2.0 x 2.0 x 2.0* 2.0 x 2.0 x 2.0 2.0 x 2.0 x 2.0
NIH Pediatric	Age < 3 years	3-21	122 (44.3)	-	United States	Siemens (1.5T) GE (1.5T)	**0 (10), 100 (10), 300 (10), 500 (10), 800 (30), 1100 (50)** **0 (9), 100 (10), 300 (10), 500 (10), 800 (30), 1100 (50)**	2.5 x 2.5 x 2.5 2.5 x 2.5 x 2.5
TAOS	One sibling per family	12-16	232 (49.6)	-	United States	Siemens (3T)	**0 (3), 700 (55)**	1.7 x 1.7 x 3
GOBS	Kinship > 0.125	23-78	189 (37.0)	-	United States	Siemens (3T)	**0 (3), 700 (55)**	1.7 x 1.7 x 3
QTIM-d30 [†]	One twin per family	18-29	317 (35.6)	-	Australia	Bruker (4T)	**0 (3), 1159 (27)**	1.8 x 1.8 x 5.5
QTIM-d105 [†]	One twin per family	18-29	317 (35.6)	-	Australia	Bruker (4T)	**0 (11), 1159 (94)**	1.8 x 1.8 x 2
HUNT	Multiple sclerosis, cysts, meningioma, infarction, post-op changes	50-67	811 (46.7)	-	Norway	GE (1.5T)	**0 (5), 1000 (40)**	2.5 x 2.5 x 2.5*

| ADNI2 | Cognitive impairment | 60-85 | 42 (40.5) | - | United States Canada | GE (3T) | **0 (5), 1000 (41)** | 2.7 x 2.7 x 2.7 |
| ADNI3-S 127[† †] | Cognitive impairment | 61-85 | 64 (34.4) | - | United States | Siemens (3T) | **0 (13), 1000 (48), 2000 (60)** | 2 x 2 x 2 |

Evaluation Datasets. Seven datasets were held out to evaluate the reference curves made from the other datasets, as detailed in the **Reference Curve Evaluation** section (Table 1).

Children scanned as part of the NIH Pediatric MRI study with the extended diffusion MRI protocol were included as the pediatric test cohort[47]. Children younger than 3 years of age were excluded. Brain MRIs from the Teen Alcohol Outcomes Study (TAOS) and the Genetics of Brain Structure and Function (GOBS) study were acquired on the same scanner with the same acquisition protocol[48]. As a result, they were combined to provide a lifespan dataset including both children and adults. One child per family was selected for the TAOS dataset. As the GOBS study is composed of extensive pedigrees, selecting one member per family would have decreased the sample size considerably. Instead, a kinship filter was implemented, where only individuals with less than a first-degree cousin relationship were included (kinship < 0.125). Older adults from the Trøndelag Health Study (HUNT) study were also included[49,50].

To further evaluate our template in handling test data with differences in scan parameters, we included two datasets with which we calculated DTI metrics for the same individuals in different ways. The first dataset, the Queensland Twin IMaging (QTIM) study[51], included individuals scanned with two protocols of differing angular and spatial resolution[52]. The second dataset we used was the subset of multi-shell diffusion MRI data from ADNI3, for which we calculated DTI metrics with both b-shells.

Image processing

A summary of dMRI acquisition parameters for template building and evaluation datasets can be found in Table 1. In the training datasets with a multi-shell acquisition, the most appropriate shell for diffusion tensor imaging (DTI) was chosen for processing, usually $b = 1000$ s/mm^2 [53,54]. As with many large-scale multi-study initiatives in ENIGMA, preprocessing steps varied across studies as datasets were collected and processed over the past 15 years. Preprocessing followed guidelines provided by the ENIGMA-DTI team (on GitHub), which includes steps such as EPI distortion and eddy current distortion correction. FSL's *dtifit* was used to calculate fractional anisotropy (FA) and diffusivity maps. As ADNI3-S127 dMRI acquisitions were multi-shell, *dtifit* was run on volumes from the b=1000 and b=2000 s/mm^2 shells independently, for comparison.

Images were then processed through the ENIGMA-DTI pipeline[31]. The FA maps were warped to the ENIGMA template and then skeletonized using tract-based spatial statistics[32]. The mean FA value was extracted from the full skeleton as well as each region of interest (ROI) defined by the JHU atlas[30]. Bilateral ROIs were combined by averaging the measures across hemispheres, and some subregion ROIs were combined to create a single measure for the entire structure, e.g., the three parts of the corpus callosum. In both cases, the combined average was weighted by the number of voxels in each ROI. A lifespan reference curve was created for all measures, lateralized and combined. In subsequent analyses, we report only the results for the combined measures (25 ROIs; Table 2).

Quality Control

We limited the ABCD subset in our training sample to include only data from Siemens scanners, after preliminary quality assurance as detailed in Supplementary Figure 1. In the PPMI dataset, where controls could have prodromal Parkinson's disease, dMRI data underwent visual quality control (QC), and those with

movement artifacts were excluded. Statistical QC was also performed by removing subjects who had any ROI metric fall outside of 5 standard deviations of the site mean.

Harmonization Methods

Regional FA metrics from the training datasets were harmonized using three approaches: ComBat, ComBat-GAM, and CovBat[14,17,18]. ComBat and ComBat-GAM were run using the *neuroHarmonize* package in Python (https://github.com/rpomponio/neuroHarmonize). CovBat was run using the R package of the same name (https://github.com/andy1764/CovBat_Harmonization). Across all methods, age and sex were used as covariates, with the dataset modeled as the batch effect. As the outputs of the ComBat family harmonization methods are weighted by the sample size of each study, harmonization was run using iterative subsampling of all datasets to provide more equal weighting across datasets (25 iterations; 200 subjects per dataset where available; 13,297 subjects in total) as the UK Biobank greatly outnumbered the other datasets. The larger datasets (UK Biobank and ABCD) were sampled without replacement, while the smaller datasets were sampled with replacement.

ComBat[14]: ComBat is a location and scale adjustment model where, for site i and individual j, it assumes that the feature measurements are modeled as a linear combination of site effects and non-site effects, written as:

$$y_{ijv} = \alpha_v + X_{ij}^T \beta_v + \gamma_{iv} + \delta_{iv} e_{ijv}$$

where α_v is the overall mean per feature v, β_v is the vector of corresponding coefficients to the covariate matrix X, γ_{iv} is an offset from the grand mean per site i and feature v, e_{ijv} is the residual vector, and δ_{iv} is the multiplicative site effect of site i on feature v. ComBat removes the additive and multiplicative effects from the residuals using

$$e_{ijv}^{ComBat} = \frac{y_{ijv} - \widehat{\alpha}_v - X_{ij}^T \widehat{\beta}_v - \gamma_{iv}^*}{\delta_{iv}^*}$$

where γ_{iv}^* and δ_{iv}^* are estimated using an empirical Bayes framework. The harmonized data are then calculated by

$$y_{ijv}^{ComBat} = e_{ijv}^{ComBat} + \widehat{\alpha}_v + X_{ij}^T \widehat{\beta}_v$$

where $\widehat{\alpha}_v$ and $\widehat{\beta}_v$ are the respective parameter estimates.

ComBat-GAM[17]: ComBat-GAM extends ComBat by using generalized additive models (GAM[55]) to model non-linear covariate effects. Modeling of the non-linear covariates is achieved by placing one or more covariates within the function, f(x):

$$y_{ijv}^{ComBat-GAM} = \frac{y_{ijv} - f(X_{ijv}) - \gamma_{iv}^*}{\delta_{iv}^*} + f(X_{ijv})$$

where $f(X_{ijv})$ is a smooth function over the covariates[56].

CovBat[18]: CovBat was proposed to harmonize both mean and covariance batch effects in data for multivariate pattern analysis. First, CovBat applies ComBat to normalize the mean and variance of the residuals in a statistical model with for p features. The ComBat-adjusted residuals, e_{ijv}^{ComBat}, are calculated for each feature independently, but may still retain site-specific covariance. Thus in the second step, principal component

analysis is performed on the residuals of the full dataset to identify covariance patterns and reduce the number of dimensions. The ComBat-adjusted residuals can now be expressed as

$$e_{ij}^{ComBat} = \sum_{k=1}^{q} \xi_{ijk} \widehat{\phi}_k$$

where $\widehat{\phi}_k$ are the estimated principal components obtained as the eigenvectors of the full-data covariance matrix, ξ_{ijk} are the principal component scores, and q is the number of orthogonal axes.

Treating the principal component scores analogously to those of the original features, site-specific covariance can be removed via

$$\xi_{ijk}^{CovBat} = (\xi_{ijk} - \widehat{\mu}_{ik})/\widehat{\rho}_{ik}$$

where $\widehat{\mu}_{ik}$ and $\widehat{\rho}_{ik}$ are the site-specific center and scale parameters, respectively, of each principal component.

Finally, the CovBat-adjusted residuals are obtained by projecting the adjusted scores into residual space via

$$e_{ij}^{CovBat} = \sum_{k=1}^{K} \xi_{ijk}^{CovBat} \widehat{\phi}_k + \sum_{l=K+1}^{q} \xi_{ijl} \widehat{\phi}_l$$

where K is the number of principal components chosen to capture the user-specified percent variation. Then the final CovBat-adjusted observations are obtained by adding the intercepts and covariates estimated in the first step using ComBat:

$$y_{ijv}^{CovBat} = e_{ijv}^{CovBat} + \widehat{\alpha}_v + X_{ij}^T \widehat{\beta}_v$$

Creating the Reference Curves

After the training datasets were subsampled and harmonized using the three ComBat methods, the outputs of each method were combined for evaluation. To create reference curves, GAM models were fit for each ROI and harmonization method, covarying for sex and smoothing across age using the *mgcv* package in R(basis function: 10 cubic splines). To evaluate the harmonization methods, we extracted the peak age, i.e., the age of maximum FA, from each region that exhibited a concave down trajectory. We compared our harmonized curves to previous single-site studies of the white matter microstructure that reported FA to peak between the ages of 20 and 40 years old[28,29]. We used these as our "silver standard", i.e., a standard established by *in vivo* models rather than histological studies.

Once the optimal harmonization method was selected, GAM models were fit to each ROI, covarying for sex and smoothing across age. We used the *qgam* package in R (basis function: 10 cubic splines) to fit quantile regression GAM models for each centile (0.1-0.99) to generate normative lifespan reference curves for each sex.

The ComBat-GAM Python package (neuroHarmonize) does not allow the user to specify a reference site, so we adapted the code to allow for that functionality (available through neuroHarmonize fork: https://github.com/ahzhu/neuroharmonize). Evaluation datasets were harmonized to the lifespan reference curves, i.e., the location and scale parameters (γ_{iv}^* and δ_{iv}^* respectively) of each site was calculated in relation to the mean and variance of the lifespan reference curves.

Reference Curve Evaluation

Characterizing the Reference Curves. In addition to age-at-peak comparisons, we also characterized the trajectories of our regional reference curves compared to that of the global FA. We calculated the Fréchet distance (FD), a curve similarity metric, between the global FA reference curve and that of each ROI.

We tested for sex differences in bilaterally averaged ROIs. GAM models were fitted to model sex effects on regional FA while covarying for age as a smoothed term (basis function: ten cubic splines). We also tested for smoothed age-by-sex interactions. Multiple comparisons were corrected for using the false discovery rate method (FDR; 25 tests)[57].

Train vs. Test Datasets. Using the adapted ComBat-GAM code, all available data from the training datasets - as well as the seven held-out datasets - were harmonized to the newly created lifespan reference curves. Using each subject's age and sex, the differences between reference-predicted and site-harmonized values were calculated, and the mean absolute error (MAE) was calculated for each protocol and ROI. For each ROI, an unpaired *t*-test was used to compare the performance of our harmonization framework on training vs test datasets (FDR-corrected; 25 tests).

Acquisition Effects on Model Parameters. Spatial resolution, number of diffusion directions, and choice of *b*-value are all known to affect DTI values. We tested for correlations between voxel volume and the ComBat output scale and shift parameters from both training and test datasets. We also analyzed the angular resolution and number of volumes (*b0* and *b*-shells combined); other acquisition parameters such as *b*-value and scanner manufacturer, were highly homogeneous across studies, and we were not able to determine their effects on model parameters.

Longitudinal Studies. To determine how our framework would perform for longitudinal studies, we harmonized longitudinal data in two ways: first, we calculated the scale and shift parameters from the baseline data and applied them to the follow-up data; second, we calculated the scale and shift parameters from the baseline and follow-up data separately. We then ran mixed-effects models to determine how the different methods impacted the modeled age effects on each ROI, covarying for sex, age-by-sex interaction, and age^2 and including subject ID as a random effect. The mixed-effects models were also run in the unharmonized data, which was used for comparison. We used data from the UK Biobank, which has a subset of subjects with follow-up imaging visits acquired approximately two years after baseline (N = 1,384, baseline ages 47-80 years, mean time interval: 2.25 years).

Case Studies. To examine the outcome of case-control analyses after harmonization, we chose to analyze the effects of ApoE across the lifespan as the data was available in most datasets and requires no harmonization of its own. Genetic data was collected in seven of the ten training datasets, and two of the evaluation datasets (Table 3). From datasets that released full genetic data, the ApoE SNPs rs7412 and rs429358 were extracted for analysis. Other datasets focusing on aging populations had only made ApoE genotypes available, and the provided data was used for this study. As genome-wide data was not available for all studies, subjects were filtered for European ancestry using self-provided race or ethnicity information. Only healthy controls as defined in Table 1 were included. Analyses were run separately for E2 and E4 allele counts, each using E3E3 homozygotes as controls and excluding carriers of the other allele. Linear regressions tested for effects of E2 or E4 count on harmonized regional FA, adjusting for age, sex, age-by-sex, and age^2, and multiple comparisons corrected using FDR (25 tests). In secondary analyses, ApoE-by-age interactions were tested in nominally significant ROIs.

Two test sets ADNI3-S127 and QTIM had data available from different dMRI protocols on the same subjects, as described above. For both datasets, we ran the ApoE regressions pre- and post-harmonization with both sets of FA metrics. We then compared the effect sizes to determine how much of an impact the differences in protocol made on the statistical outputs, and if they were different, to determine if harmonization would result in a convergence of the results. In the QTIM study, we ran the ApoE4 analyses in both the low spatial and angular resolution scans and the high spatial and angular resolution scans. In the ADNI3-S127 dataset, we ran the same models in the different diffusion shells: b=1000 vs b=2000 s/mm^2.

eHarmonize

Combining our modified ComBat-GAM code with the lifespan reference curves, we created the eHarmonize Python package (https://github.com/ahzhu/eharmonize), which comes equipped with command line tools to read in FA measures and harmonize them to the included centile reference curves while taking age and sex into account (Figure 6). The eHarmonize command line interface was written to harmonize data from a new site to the built-in lifespan reference curves and apply an existing harmonization model to new data from a known site. To account for dMRI acquisitions that do not cover a full field-of-view, eHarmonize detects which subset of ROIs are provided before calculating harmonization model parameters as the underlying neuroHarmonize does not handle missing data.

<div align="center">Results</div>

Harmonization methods

The lifespan full WM skeleton FA references that were created are shown in Figure 1. Qualitatively, study FA trajectories across age were better aligned after harmonization, regardless of method. The performance of ComBat and CovBat appeared similar. The peak ages for white matter FA in these references were mostly before twenty years of age (Table 2). In the pediatric datasets, the steep increase in FA with age was maintained, but due to the larger age range and number of adult datasets, the linear model resulted in larger harmonized FA values than the outputs from the GAM model. The peak age of white matter FA in almost all of the ComBat-GAM references was between 20 and 40 years old, matching the expected values[28,29]. As a result, we used the ComBat-GAM harmonized data to create the lifespan reference curves.

Figure 1. (A) After harmonization using different methods, average FA in the full WM skeleton is plotted against age and colored by study. Age-binned boxplots of **(B)** unharmonized data and **(C)** data harmonized using ComBat-GAM show the median global FA were quite different between protocols pre-harmonization and were more similar post-harmonization.

Table 2. The age at which regional white matter skeleton FA trajectories peaked fell mostly below 20 years of age in the ComBat and CovBat reference curves. In the ComBat-GAM reference, the peak ages largely fell between the ages of 20 and 40 years old, which Kochunov and Lebel had both previously reported. One exception is the SCC which had a peak age of 68 across methods. The CST from the JHU atlas has shown low reliability[58], so the results for this ROI should be interpreted with caution. The *tapetum* and uncinate fasciculus reported here reflect the current JHU atlas labels rather than the ENIGMA-DTI lookup table, which was based on a previous JHU atlas version.

ROI Peak FA Ages (years)				
ROI Name	**Abbreviation**	**ComBat**	**ComBat-GAM**	**CovBat**
Global	AverageFA	16	30	16
Anterior corona radiata	ACR	15	25	14
Anterior limb of internal capsule	ALIC	17	35	17
Body of corpus callosum	BCC	15	29	15
Corpus Callosoum	CC	15	27	15
Cingulum (cingulate gyrus)	CGC	16	32	16
Cingulum (hippocampus)	CGH	17	35	17
Corona Radiata	CR	16	25	15
Corticospinal tract	CST	92	34	71
External capsule	EC	16	34	16
Fornix	FX	14	29	14
Fornix (crus) / Stria terminalis	FXST	15	34	15
Genu of corpus callosum	GCC	14	22	13
Internal Capsule	IC	17	35	17
Posterior corona radiata	PCR	16	20	16
Posterior limb of internal capsule	PLIC	17	34	75
Posterior thalamic radiation	PTR	16	26	15
Retrolenticular part of internal capsule	RLIC	16	35	16
Splenium of corpus callosum	SCC	68	68	68
Superior corona radiata	SCR	17	26	17
Superior fronto-occipital fasciculus	SFO	17	34	17
Superior longitudinal fasciculus	SLF	16	27	16
Sagittal stratum	SS	16	30	16
Tapetum	TAP	16	31	16
Uncinate fasciculus	UNC	17	32	17

The Lifespan Reference

As our harmonization was conducted with iterative sampling, we were able to plot a prospective reference for each iteration (Figure 2A). The iterations produced largely consistent results, although the sparse sampling of older subjects (age > 85 years old) resulted in larger confidence intervals at the older ages. Using the outputs from all iterations, we created one set of centile reference curves per sex, covering much of the lifespan (3-95 yrs) (Figure 2B).

Figure 2. (A) Iteration-specific reference curves of the global FA measure as created by iterative subsampling of ~200 participants from each study and ComBat-GAM harmonization are displayed (25 iterations; mean in black). **(B)** Sex-specific centile curves derived from the results of iterative subsampling harmonization make up the final lifespan reference curve. **(C)** After applying our framework (eHarmonize) to held-out evaluation datasets, the harmonized datasets fall in line with the global FA lifespan reference curve.

Characterizing the Reference Curves. With the exception of the splenium of the corpus callosum (SCC), the age peaks of all lifespan reference curves fell between 20 and 40 years of age. Rather than peaking in the 20-40 age range, the SCC plateaus at that age range before rising again for its later age peak. In comparison to the global FA reference curve, most ROIs had lifespan trajectories with a high curve similarity (FD between 0.005 and 0.04). The exception was the fornix (FX), which had a Fréchet distance of 0.14 and a steeper slope of decline after the peak. Lifespan trajectories for SCC and FX can be found in Supplementary Figure 2.

Higher FA was found in females as compared to males in six ROIs: the fornix, the fornix/*stria terminalis*, posterior *corona radiata*, posterior thalamic radiation, sagittal *stratum*, and the *tapetum*. No significant sex differences were found in the body, splenium, or whole corpus callosum. The remaining ROIs showed higher FA in males compared to females. Effect sizes for all FA measures are reported in Supplementary Table 1. We found no significant age-by-sex interactions.

Post-Harmonization Analyses

Train vs. Test Datasets. We harmonized the FA values of all training and test datasets to the newly created lifespan reference curves (Figure 2C). The MAE comparison between training and test datasets found no significant differences across ROIs (p > 0.09).

Acquisition Effects on Model Parameters. We extracted the scale and shift parameters for all protocols. Of the tested acquisition parameters, voxel size showed significantly negative correlations with the shift parameter ($\gamma*$; $-0.57 < r < -0.27$) but no correlation with scale ($\delta*$) across most ROIs (Figure 3). Neither the number of directions nor volumes showed a significant impact on either the shift or scale parameters.

Figure 3. For most ROIs, the shift parameter extracted from the ComBat-GAM model was significantly correlated with voxel volume. The negative correlation between the global FA shift parameter and voxel volume is shown here ($r = -0.60$; $p < 0.001$).

Case Studies. Nine datasets had ApoE data available for analysis. In total, 26,902 subjects (3 to 85 years of age) were included in the E4 analyses (Table 3) and 22,760 aged 3-85 years in E2 analyses. No significant

associations were found between E2 count and regional FA. In E4 carriers, significantly lower FA was found in the hippocampal cingulum (CGH; β = -0.027, p = 4.1x10^{-6}), posterior thalamic radiation (PTR; β = –0.022, p = 1.6x10^{-4}), overall skeleton (β = -0.020, p = 6.2x10^{-4}) and splenium of the corpus callosum (SCC; β = -0.016, p = 6.8x10^{-3}). Effect sizes for all ROIs may be found in Supplementary Table 2. Nominally significant ROIs included the sagittal *stratum*, overall corpus callosum, *genu* of the corpus callosum, retrolenticular part of the internal capsule, and the fornix (crus)/*stria terminalis* (FX/ST). Secondary analyses in all ROIs passing the nominal significance threshold showed a significant age-by-ApoE4 interaction in the FX/ST (β = -7.7x10^{-4}; p = 0.014).

Table 4. Demographics and ApoE4 information for the datasets included in the regression models. The included subjects are healthy controls filtered for European ancestry.

Characteristic	Overall, N = 26,902[1]	ABCD, N = 2,408[1]	ADNI2, N = 37[1]	ADNI3, N = 331[1]	HCP, N = 209[1]	OASIS3, N = 115[1]	PING, N = 535[1]	PPMI, N = 67[1]	PPMI, N = 67[1]	QTIM, N = 320[1]	UKB, N = 22,880[1]
Age											
Mean	58	11	74	73	29	71	12	62	62	23	64
Range	3 - 85	9 - 14	61 - 85	55 - 84	22 - 36	43 - 85	3 - 21	31 - 81	31 - 81	18 - 29	45 - 82
Sex											
F	14,026 (52%)	1,119 (46%)	21 (57%)	194 (59%)	109 (52%)	60 (52%)	239 (45%)	26 (39%)	26 (39%)	207 (65%)	12,051 (53%)
M	12,876 (48%)	1,289 (54%)	16 (43%)	137 (41%)	100 (48%)	55 (48%)	296 (55%)	41 (61%)	41 (61%)	113 (35%)	10,829 (47%)
e4_count											
0	18,863 (70%)	1,697 (70%)	24 (65%)	222 (67%)	154 (74%)	75 (65%)	422 (79%)	47 (70%)	47 (70%)	238 (74%)	15,984 (70%)
1	7,373 (27%)	663 (28%)	13 (35%)	100 (30%)	49 (23%)	37 (32%)	111 (21%)	19 (28%)	19 (28%)	75 (23%)	6,306 (28%)
2	666 (2.5%)	48 (2.0%)	0 (0%)	9 (2.7%)	6 (2.9%)	3 (2.6%)	2 (0.4%)	1 (1.5%)	1 (1.5%)	7 (2.2%)	590 (2.6%)

[1] n (%)

In datasets with multiple protocols, a comparison of regional ApoE4 standardized beta estimates from regression models run pre-harmonization found similar results between the ADNI3-S127 protocols differing only in *b*-value (r_β = 0.97) and less similar results between the QTIM protocols differing in both spatial and angular resolution (r_β = 0.60). In the ADNI3-S127 dataset (N = 56), the CGH was found to be nominally significant in both protocols (*b*=1000: β = -0.30, p = 0.016; *b*=2000: β = -0.30, p = 0.019), but in the posterior corona radiata, a nominally significant result was only found in the *b*=2000 dataset (*b*=1000: β = -0.26, p = 0.060; *b*=2000: β = -0.28, p = 0.046). There were no significant associations in the QTIM dataset (N = 316). After harmonization, the ApoE4 standardized betas of all individual datasets and protocols remained almost identical, ensuring harmonization does not change individual dataset findings (Figure 4).

Figure 4. Age associations, residualized by sex, age-by-sex, and age^2, are plotted for the **(A)** CGH and **(B)** FXST. In the CGH, E4 carriers had significantly lower FA compared to their E3E3 counterparts. In the FXST, the FA of E4 carriers was higher at younger ages but after approximately age 55 years, dropped below that of non-carriers in older ages. A comparison of protocols in the **(C)** ADNI3-S127 and **(D)** QTIM datasets showed that harmonization does not converge the results of the same subjects acquired with different protocols. Each scatter point reflects the association (standardized beta) between ApoE4 and an ROI, corrected for age, sex, age-by-sex, and age^2.

Longitudinal Studies. In the UK Biobank, mixed-effects models detected insignificant differences in associations between age and regional FA from regressions run on raw unharmonized data and data harmonized by baseline parameters; correlations of the age effects between methods, calculated across ROIs, approximated to $r \sim 1.0$ (Figure 5). When baseline and follow-up data were harmonized independently, the age effect correlation with the raw unharmonized models was 0.92.

Figure 5. Standardized betas for age associations with each WM ROI either pre-harmonization (x-axis) or post-harmonization (y-axis). Harmonization was either performed by **(A)** applying baseline parameters to follow-up data, or **(B)** modeling the ComBat parameters for each time point separately.

eHarmonize

Our package is set up to be adaptable. In addition to the lifespan reference curves, a JSON file is included containing meta information about the reference curves (e.g., version number, datasets used in their creation). This feature allows for updated references to be implemented in the future while preserving previous versions for ongoing studies to maintain consistency. References for other diffusion measures, or measures from any other modality (imaging or non), can easily be implemented by adding the appropriate information to the meta JSON.

The outputs of eHarmonize include the harmonized data, model parameters, and a text log file for provenance, reflecting a timestamp of when the tool was run and by whom, and the reference and ROIs that were used. If a study includes cases and controls, eHarmonize will harmonize the measures based on the controls and then apply the model to the cases as is done in the neuroHarmonize package. A QC image per ROI is also output showing a line plot of the study data vs. age before and after harmonization, with the reference in the background (Figure 6C).

Figure 6. (A) The eHarmonize command line interface comes with two subcommands: *harmonize-fa* for harmonizing data from a new site, and *apply-harmonization* for applying an existing harmonization model to a known site. **(B)** The ENIGMA-DTI template with the skeleton and ROIs overlaid. **(C)** QC output showing data before and after harmonization in relation to the reference curve, shown in gray.

Discussion

In this work, we combined dMRI data from ten public datasets to create lifespan reference curves for global and regional white matter (WM) fractional anisotropy (FA). We found that ComBat-GAM best matched the previously reported non-linear age trends across the lifespan and expected age peaks seen in single cohort studies of WM development. Across most regions, our reference curves show a steep increase in FA during development, peaking between the ages of 20 and 40, followed by a continuous and gradual decrease for the rest of the lifespan. One notable exception was the splenium of the corpus callosum. While the FA also increases steeply during development, it plateaus in the early 20s and then peaks later at 68 years. Previous studies have found the FA of the splenium of the corpus callosum (SCC) to be relatively stable with age in adulthood[59], possibly due to posterior-to-anterior development and anterior-to-posterior aging trends[60,61]. The widely diverging projections of the splenium may account for the late peak as the loss of diverging fibers increases FA followed by an overall decline[62]. Another outlier region is the fornix, which has the same overall trends, but a much sharper decline. Given its location, this likely reflects greater misregistration and partial voluming associated with age-related atrophy and nearby ventricular expansion[63].

We found sex differences across the WM skeleton across the lifespan. Most regions showed higher FA in males than in females, and the opposite effect was found in six ROIs. Prior studies of sex differences in white matter microstructure have also reported regional variation[50,64,65]. These sex effects may be affected by covariates, such as intracranial volume (ICV). In a study using the HUNT dataset, analyses without an ICV covariate found regionally varying sex effects, but after including ICV, only females had regions with significantly higher FA[50]. We did not incorporate ICV as a covariate in our harmonization framework as it is not an output of the ENIGMA-DTI pipeline and is generally estimated from T1-weighted MRI, as opposed to dMRI.

For longitudinal studies, we showed that harmonization parameters modeled on baseline data can be applied to follow-up data or parameters can be modeled independently in each timepoint. Results from our comparisons to unharmonized data were largely consistent between the two methods. In the UK Biobank, which we used for the analyses, the time interval between visits was much smaller than the age range of the study population. In some studies, the follow-up age range may fall outside the modeled age range at baseline, and a separate follow-up model may be advisable. This may be particularly advantageous for data in the non-linear ranges of the lifespan reference curves.

One major benefit of a lifespan dMRI reference is that it can be used to study subtle effects, such as genetic influences, on brain WM microstructure throughout life. Here, we harmonized FA data from the healthy controls of ten datasets to our lifespan reference curves and found an effect of lower global FA in subjects with the ApoE4 genotype compared to E3E3 homozygotes. The same effect was found regionally in the hippocampal cingulum, the posterior thalamic radiation, and the splenium of the corpus callosum. As a genetic risk factor for Alzheimer's disease (AD), our findings overlap with regions previously implicated in DTI studies of dementia[66,67]. Previous studies of white matter microstructure in cognitively healthy controls have also found E4 carriers to have lower FA than non-carriers, though published results are inconsistent and some studies have also reported null findings[68]. Most previous studies were either limited by smaller sample sizes (N < 200) or a limited age range with most focusing on individuals aged 60 years and above, and fewer than five studies incorporating younger individuals. Our study was conducted in over 30,000 subjects and across the lifespan.

We also found a significant age-by-E4 interaction in the fornix (crus)/*stria terminalis* whereby the FA of the E4 carriers was higher than that of E3 homozygotes early in life until approximately age 55 years, after which E4 carriers showed lower FA than noncarriers. The fornix and the *stria terminalis* are major output tracts of the hippocampus and amygdala, respectively. In a longitudinal lifespan study of structural imaging measures, age-dependent associations were found between E4 and rates of volume change in the hippocampus and the amygdala[7]. In both structures, E4 was associated with prolonged growth into adulthood and faster atrophy later in life. This age-by-E4 interaction may reflect the 'antagonistic pleiotropy' hypothesis that the ApoE4 genotype may be advantageous earlier in life[69].

As part of our ApoE analyses, we further evaluated datasets that had subjects acquired with multiple dMRI protocols. Diffusion-weighted MRI, and FA in particular, is affected by many acquisition parameters[21]. We found that the use of our lifespan harmonization framework does not converge the statistical results of the same population acquired on different protocols. However, we note that differences in FA due to acquisition protocols may reflect different underlying anatomy or biological processes. We found that voxel size was negatively correlated with the harmonization shift parameter, i.e., sites with larger voxels generally have lower FA values. Larger voxels are more likely to contain crossing fibers, which would result in lower FA values. In such a case, convergence of FA values between protocols at the expense of biological interpretability may not be desired.

We combined our lifespan reference curves and harmonization mechanism into a Python package called eHarmonize. Dissemination of the package will allow collaborators to harmonize their data on-site and then share either the harmonized measures, or - in situations where raw data cannot be shared (e.g., genetics studies) - results of an agreed upon analysis. In addition, new sites will be free to join existing projects without requiring re-harmonization of the previously collected and harmonized data. We also created the package framework to be flexible and adaptable to update our existing reference curves and include reference curves for other measures, such as diffusivity measures or even those extracted from another modality. Version control ensures appropriate provenance for reproducibility.

Our lifespan reference curves have a few limitations that we plan to address in future versions. First, we had uneven sampling across the age range. In particular, children younger than 8 years old and adults in the range of 35 to 45 years old were underrepresented. We also acknowledge that the protocols of our training data were largely homogeneous, good quality data, and our test sets were similar. For the next iteration of lifespan curves, we aim to include more data from our underrepresented age ranges and acquisition protocols. To address the connection between acquisition protocol and biological interpretation between studies, we will also evaluate the added value of separate lifespan reference curves for different acquisition parameters.

To expand on eHarmonize's functionality, future steps will include modeling functions that can take into account population-based sources of variability or additional model parameters. For the current study, we took care to only include unrelated and cross-sectional subsets of all studies. In the future, it would be important to examine nested random effects that account for a covariance (kinship) structure of the study population. There is currently one nested-ComBat package from[70] that implements both nested and Gaussian mixture model versions of ComBat; however, the functions are currently hard-coded for bi-modal or binary data. With regard to model parameters, the World Health Organization (WHO) recommends GAM-LSS for creating lifespan charts[71]. GAM-LSS models may fit the first four moments of a distribution, adding in skew and kurtosis parameters, which GAM does not. Fitting more parameters robustly requires more data, and as many studies have small sample sizes, we elected to start with GAM models. For future studies wishing to combine only larger datasets, we will examine the effect of incorporating the ability to fit a GAM-LSS model. Additional features we will test include combining ComBat-GAM with CovBat to account for covariance between measures as well as the non-linear age trend.

Overall, we successfully created lifespan reference curves for regional FA measures and made progress in applying ComBat-GAM to these references. Our framework provides studies with the ability to standardize their dMRI measures. Collaborators from different sites can also harmonize their data to our lifespan reference curves without worrying about their covariate overlap or differences in sample size. The framework is now available as a Python package at https://github.com/ahzhu/eharmonize.

Data Availability

All datasets used in building the lifespan reference and most of the test datasets are from publicly available datasets. For inquiries about the TAOS dataset, please contact Peter Kochunov. For inquiries about the GOBS dataset, please contact John Blangero and David Glahn.

Code Availability

eHarmonize is an open-source package. The code may be read in full at https://github.com/ahzhu/eharmonize.

Author Contributions

AHZ, TMN, PMT, and NJ conceptualized the study. AR, LS, GIdZ, KLM, MJW, SEM, JB, DCG, PK, and AKH provided access to study data. AHZ, TMN, and JEV-R processed images and aggregated data from the numerous datasets. AHZ created the lifespan reference curves, coded eHarmonize, and performed all statistical analysis. SJ helped with methods testing. AHZ, TMN, SJ and NJ wrote the manuscript.

Competing Interests

The authors have no competing interests to disclose for this work.

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