Stimulated saliva has a distinct composition that influences release of volatiles from
wine

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Abstract

Aroma perception plays an important role in wine preference and evaluation and varies between
groups of wine consumers. Saliva influences the release of aroma in the oral cavity. The composition
of human saliva varies depending on stimulation; however, the compositional differences of
stimulated and unstimulated saliva and their influences on aroma release have not been evaluated. In
this study, we recruited healthy adults, of which 15 were Australian and 15 Chinese. Three types of
saliva were collected from each participant: before, during, and after salivary stimulation. The
collected salivary samples were characterised by flow rate, total protein concentration, esterase
activity and microbiome composition by full-length 16S rRNA gene sequencing. The saliva samples
were mixed with wine to investigate the differences in released volatiles by headspace solid-phase
microextraction gas chromatography–mass spectrometry (HS-SPME-GC-MS). Differences in salivary
composition and specific wine volatiles were found between Australian and Chinese participants, and
amongst the three stimulation stages. Differential species were identified and significant correlations
between the relative abundance of 3 bacterial species and 10 wine volatiles were observed. Our results
confirm the influence of host factors and stimulation on salivary composition. Understanding the
interactions of salivary components, especially salivary bacteria, on the release of aroma during wine
tasting allows nuanced appreciation of the variability of flavour perception in wine consumers.

Keywords: Microbiota, Salivary microbiome, Ethnicity, Aroma perception, Preference,
Interindividual differences

1   Introduction

Aroma perception is at the heart of our understanding of wine preference (Sáenz-Navajas et al., 2016). The aromatic complexity of wine is generally experimentally determined by assaying the concentration and composition of aromatic compounds (Muñoz-González, Cueva, Ángeles Pozo-Bayón, & Victoria Moreno-Arribas, 2015), but this may underestimate a consumers perception of these aromas. We define aroma to the stimulus the olfactory receptors receive during consumption (Piombino, Moio, & Genovese, 2019). While some odorants are perceived by the nose directly, other aroma compounds travel through a retronasal route to nasal receptors after being released in the oral cavity when wine is mixed with saliva (Ployon, Morzel, & Canon, 2017). Besides the original chemical nature of wine, the perception of wine aroma also depends on the partition of volatiles between the wine matrix, oral physiological factors, and air (Buettner, 2002). Among the oral physiological factors, the two critical components of saliva, salivary proteins and salivary microbiota, play an essential role in the aroma that is available to be perceived by wine consumers.

Salivary proteins in the oral cavity interact with volatile compounds in wine (Guichard, 2006). Two abundant proteins in whole human saliva, mucin and α-amylase, modify release of aroma compounds through hydrophobic interactions (Pagès-Hélary, Andriot, Guichard, & Canon, 2014). Pérez-Jiménez, Muñoz-González, and Pozo-Bayón (2021) demonstrated the efficacy of esterase in human saliva to degrade esters by comparing whole saliva with enzymatically inhibited saliva. Compared to salivary proteins, the role of oral bacteria in aroma release is not well characterised. Oral microbiota contribute β-glucosidase activity to human saliva (Mallery et al., 2011), thereby establishing inter-individual variability in the perception of aroma released from glycosides (Parker et al., 2020). In recent years, the advances in culture-independent methods for oral microbiome identification have deepened our understanding of microorganisms in the oral cavity (Pedersen & Belstrøm, 2019; Wade, 2013) and reveal the salivary microbial composition with a stable core bacterial community shared by geographically diverse populations (Ruan, Luo, Zhang, & Howell, 2022). Correlative studies show that the bacterial communities are shaped by intrinsic host physiological factors (Al-Zyoud, Hajjo, Abu-Siniyeh, & Hajjaj, 2019; Fan et al., 2018; Hansen et al., 2018).

The salivary composition shows inter-individual variability, influenced by many host factors, such as age (Mosca et al., 2019), gender (Bhuptani, Kumar, Vats, & Sagav, 2018), body mass index (BMI) (Burcham et al., 2020), dietary intake (Belstrøm et al., 2014), and other lifestyle factors (Morita et al., 2005; Renson et al., 2019). Salivary composition could be dynamic during oral processing of wine. Saliva production switches between stimulated and unstimulated depending on whether red wine is present in the oral cavity. During stimulation evoked by taste or mastication, the saliva secretion volume increases (Emmelin, 1972). More than 90% of saliva comes from three pairs of major salivary glands, including the submandibular, sublingual, and parotid glands. It has been documented that

parotid glands have a higher secretory rate under stimulation than in unstimulated conditions.
Meanwhile, the submandibular and sublingual glands produce relatively more saliva under
unstimulated conditions (Shannon, Suddick, & Chauncey, 1969). The salivary composition also varies
due to the contribution of different glands during the stimulation (Proctor & Carpenter, 2007).

Imbibing wine can be divided into three stages: pre-drinking, where the aroma and colour of the
product are perceived; during drinking, and post-drinking. The wine has not touched the oral cavity in
the first stage, making this process completely orthonasal. During the second stage, the consumer
repeatedly sips and swallows the beverage. Under the stimulation of wine, the volume of secreted
saliva increases. The stimulated saliva mixes with the wine matrix and influences the release of
volatiles, contributing to the retronasal perception. In the post-drinking stage, the last sip of wine has
been swallowed, categorising the saliva as "unstimulated" again. The after-odour generated after the
swallowing or expectoration of wine is considered an essential sensory parameter of wine (Esteban-
Fernández, Rocha-Alcubilla, Muñoz-González, Moreno-Arribas, & Pozo-Bayón, 2016). Recently, the
published literature has offered contradictory findings about the changes in salivary composition
across different oral processing stages (Belstrøm et al., 2016; Goodstein, Bohlscheid, Evans, & Ross,
2014; Jo et al., 2019).

The correlation of a host's ethnicity on the bacterial salivary composition has received considerable
critical attention. Mason, Nagaraja, Camerlengo, Joshi, and Kumar (2013)investigated the oral
microbial profile of 192 participants belonging to Chinese, Latinos, non-Hispanic whites, and non-
Hispanic blacks, finding the lower alpha diversity of non-Hispanic blacks than the other three groups.
Yang et al. (2019) observed the differences in overall microbial composition between African
Americans and European Americans. A recent study documented different microbial alpha and beta
diversity of supragingival plaque microbiome among four ethnic groups, including African American,
Burmese, Caucasian and Hispanic (Premaraj et al., 2020). A study conducted by our group
demonstrated the differences between Australian and Chinese wine experts' salivary protein profile
and their sensory responses (Luo et al., 2023). The mechanism of differences between Australian and
Chinese saliva has not been established, but our preliminary data suggest that dietary differences
might be involved (data not shown).

Food preference varies individually and is strongly influenced by a consumer's background (Kim,
Petard, & Hong, 2018; Logue & Smith, 1986; Williamson, Robichaud, & Francis, 2012). Therefore,
the variations in salivary composition induced by the host factors could be a reason for inter-
individual variations of wine preference. As a prominent factor that influences the acceptability and
preference of customers, aroma perception bridges wine preference and saliva composition (Muñoz-
González, Rodríguez-Bencomo, Moreno-Arribas, & Pozo-Bayón, 2011). However, much uncertainty
exists about the relationships between host factors, salivary composition, and aroma perception. We

ask if the functional properties of diverse salivary microbiome communities can explain differing
sensory perception amongst wine consumers.

To enhance our understanding of saliva composition and aroma perception, we analysed samples from
30 participants and mixed them with wine to observe the ability of human saliva to release aroma
compounds from wine. Each participant provided three types of saliva samples representing the three
wine consumption phases to extend our knowledge of reactions that occur in the oral cavity during
stages of appreciating wine. Enzymatic activity tests and full-length 16S rRNA sequencing
highlighted the differences of saliva type and ethnicity on salivary composition. Combing these
findings with the wine aroma profile detected by headspace solid-phase microextraction gas
chromatography-mass spectrometry (HS-SPME-GC-MS), we demonstrate the differences in salivary
composition because of the oral processing stages and host's ethnicity and their contribution to the
aroma release from wine.

113 2   Material and Methods

114 2.1   Materials and reagents

All reagents and chemicals used in this study were of analytical grade. Methanol, citric acid, 4-
Nitrophenyl acetate, sodium chloride, sodium phosphate, sodium bicarbonate, potassium chloride,
potassium phosphate dibasic trihydrate, calcium chloride dihydrate, mucin from porcine stomach,
phosphate-buffered saline, Tris-buffered saline, 4-octanol, amylase activity assay kit, and standards
for volatile compounds detected in GC-MS analysis was obtained from Sigma-Aldrich (Castle Hill
NSW, Australia). Micro bicinchoninic acid (BCA) protein assay kit was acquired from Thermo Fisher
Scientific (Waltham, MA). Pure water was obtained from a Milli-Q system (Millipore Australia,
Bayswater, Victoria, Australia). The wines used for GC-MS analysis were purchased from local wine
producers.

124 2.2   Study cohort

This study was approved by the University of Melbourne Human Research Ethics Committees
(Review Reference Number: 2022-24220-29889-3). We recruited 30 healthy adults to meet the
following criteria: (i) self-reported good systemic health (i.e., no diagnosed diabetes, cardiovascular
diseases, endocrine disorders, or hypertension); (ii) no clinically diagnosed oral diseases; (iii) not
receiving antibiotic or steroid hormone use within the previous three months; (iv) no smoking habits
(less than several times a month); (v) not a regular drinker (less than several times a week); (vi) have a
BMI index no higher than 24 kg/m^2. An online questionnaire was provided to all volunteers to ensure
they met the inclusion criteria. Demographics were also acquired using self-reported questionnaires

before the collection. Fifteen Chinese and fifteen Australian participants (aged 18-28) joined the study.
The gender and BMI index of participants were balanced in each group.

2.3   Sample collection

Before collection, sampling procedures were explained in detail to all participants. Participants were
asked to refrain from eating and drinking any beverage except water for an hour prior to providing
saliva samples. Three types of saliva were collected before (Phase A), during (Phase B), and after
(Phase C) stimulation. For Phase A, participants naturally expectorated the unstimulated saliva into a
50 mL sterile collection tube until the volume reached 5 mL. For Phase B, participants were instructed
to chew a piece of paraffin wax and expectorated the stimulated saliva into another collection tube in
5 minutes. Finally, after removing paraffin wax from the oral cavity, the participant repeated saliva
collection for Phase C. Time was recorded in Phases A and C to calculate the flow rate as volume
collected per minute (mL/min). Immediately after the collection, saliva samples were mixed,
separated in smaller aliquots and kept frozen at -80 $^{\circ}$C until analysis.

2.4   Biochemical analyses

Salivary total protein content, and enzymatic activities were tested to find the differences among the
three types of saliva. All analyses were performed in triplicates. Protein concentration was tested by
Micro BCA™ Protein Assay Kit (Thermo Scientific™) using bovine serum albumin as standard for
calibration.

Saliva samples were centrifuged at 15,000 ×g for 15 min at 4 °C to remove insoluble matter for the
enzymatic activity measurements. α-Amylase activity assay was performed following the user manual
of an Amylase Activity Assay Kit (Sigma-Aldrich). Total salivary esterase activity was conducted
according to the method adapted from Pérez-Jiménez et al. (2021). All enzymatic activity assays were
performed in triplicate.

2.5   Full length 16S rRNA gene sequencing

Bacterial genomic DNA was extracted from human saliva using the QIAGEN® DNeasy®
PowerSoil® Kit. The concentration of genomic DNA was measured using a Qubit HS DNA kit
(Thermo Scientific™) and normalised to 1 ng/μL prior to Polymerase Chain Reaction (PCR).
Following PacBio's recommended PCR protocol, full-length 16S amplicons were generated using the
Platinum™ SuperFi II High-fidelity PCR enzyme (Thermo Scientific™), with 2ng of input DNA. The
amplicons generated were visualised on a 2% agarose gel and pooled. SMRTbell™ cleanup
beads (PacBio) were used to purify the pooled amplicons according to the PacBio protocol. The
amplicon library was contracted with the SMRTbell® prep kit 3.0 library prep kit (PacBio) following
the protocol. The library was then polymerase-bounded using the Revio™ Polymerase Kit (PacBio)

and loaded on a single-molecule real-time (SMRT) Cell 25M (PacBio). 16S rRNA full-length
sequencing was performed for 30 hours on the PacBio Revio instrument.

2.6   Gas chromatography-mass spectrometry (GC-MS) analysis for whole human saliva

Different types of saliva samples were pooled together for the headspace solid-phase microextraction
GC-MS analyses (HS-SPME-GC-MS) to overcome the inter-individual variation in saliva
composition and the availability of saliva from each participant. A model saliva solution was prepared
according to the method of Genovese, Piombino, Gambuti, and Moio (2009). The artificial saliva
samples were freshly prepared by dissolving mucin (2.16 g/L), $NaHCO_3$ (5.208g/L), $K_2HPO_4 \cdot 3H_2O$
(1.369 g/L), NaCl (0.877 g/L), KCl (0.477 g/L) and $CaCl_2 \cdot 2H_2O$ (0.441 g/L) in Milli-Q water. A
model wine was prepared by saturating 12% ethanol (v/v) with potassium hydrogen tartrate, adjusting
to pH 3.0 by 40% (w/v) tartaric acid. The static headspace volatile extraction method was conducted
following the method of Muñoz-González et al. (2014) with some modifications. The 20 mL GC vial
was incubated at 37°C for 20 min to stabilise the temperature of the reaction environment. Then 5 mL
of wine and 1 mL of pooled saliva were added to the vial with 10 μL of internal standard (100 mg/L
4-octanol). The wine-saliva mixture was incubated at 37 °C for 12 min. The headspace volatile
extraction from the GC vial was performed by a 65 μL polydimethylsiloxane/divinylbenzene
(PDMS/DVB) SPME fibre with 1 cm fibre length (Supelco, Bellefonte, PA) at 37 °C for 5 min. Each
sample type was measured in triplicate.

GC-MS analysis was carried out with an Agilent 7890A GC system (Agilent Technologies, Mulgrave,
VIC, Australia) coupled with an Agilent 5975C MS (Agilent Technologies). The fibre was desorbed
at 220 °C in splitless mode at 8.7 psi for 30 s. Chromatographic separation of volatile compounds
were performed with a DB-Wax polar capillary column (Agilent Technologies; 30 m × 0.25 mm ×
0.25 μm film thickness). Helium was used as the carrier gas at a flow rate of 0.7 mL/min. After
initially holding the oven temperature at 40°C for 4 mins, it then ramped at 3°C/min to 220 °C, and
held at 220 °C for 10 min. For the MS system, electron ionisation mode at 70 eV was used to record
the electron impact mass spectra. The scanning mass range was from m/z 35 to 350. The temperature
of the MS interface, MS source, MS quadrupole was 250, 230 and 150 °C.

The volatile compounds identification was based on the retention indices (RIs) with the facility of
NIST library (version 11.0). RIs were obtained by calculating the retention times of alkane standards
(C_5–C_{30}) on the same column. Peaks were semi-quantified using the internal standard, 4-octanol. The
concentrations of volatiles were expressed as μg/L 4-octanol equivalence. Among the 27 compounds
identified, sixteen compounds have also been quantified using external standards, facilitated by the
calibration curve of standard compounds as:

$$Concentration \ (g/mL) = \left(\frac{peak \ area \ of \ identified \ compound}{peak \ area \ of \ internal \ standard} \times slope \right) + intercept$$

 2.7   Statistical analyses

The data analyses of salivary biochemical parameters and the volatile compounds generated from the
wine-saliva mixture were conducted in R (version 4.1.0). Student t-test and two-way analysis of
variance ANOVA with Tukey's comparison were performed to test the significant (p < 0.05)
differences among different types of saliva.

For bioinformatic analyses, the demultiplexed PacBio HiFi full-length 16S rRNA gene sequences are
quality filtered using QIIME2 (Bolyen et al., 2019). DADA2 (Callahan et al., 2016) was used to
denoise the sequences into amplicon single variants (ASVs) using the function "dada2 denoise-ccs".
A phylogenetic tree was generated from the *mafft* alignment. The naïve Bayesian machine learning
based classification was performed using the expanded Human Oral Microbiome Database (eHOMD)
version 15.23 (Escapa et al., 2018). If a species-level match was not found, it was further classified
based on three other databases that successively refer to the next one. The three databases are GTDB
r207, Silva v138 (Quast et al., 2013) and the NCBI RefSeq 16S rRNA database supplemented by the
Ribosomal Database Project (RDP). The resulting objects were imported to R (version 4.1.0) for
further analyses. Alpha diversity was calculated with Simpson's diversity index. Wilcoxon rank-sum
tests were used to determine the statistically significant differences. Beta diversity was assessed using
Bray-Curtis dissimilarly. Permutational multivariate analysis of variance (PERMANOVA) with 999
permutations was conducted to examine the statistically significant difference in beta-diversity using
the *adonis2* function of vegan package (Oksanen et al., 2020). The differential taxa were defined from
phylum level to species level by metacoder package (Foster, Sharpton, & Grünwald, 2017) with false
discovery rate-adjusted Wilcoxon rank-sum test. ANCOM-BC2 (Lin & Peddada, 2024) was
performed to identify the differential species with the default parameters.

3   Results

3.1   Simulated saliva (Phase B) has a distinguishable protein and biochemical composition

3.1.1   Differences in saliva composition amongst the three phases

To determine whether human saliva produced at the three stimulation stages is different, the
biochemical properties and microbial composition of human saliva samples were tested. The salivary
flow rates were calculated as volume collected per minute, reflecting the speed of participants to
produce saliva. *Ex vivo* measurements of α-amylase and esterase were conducted to assess enzymatic
release in the whole saliva. The flow rate observed in Phase B and total salivary esterase activity are
significantly higher than the other two phases, while Phase A and C saliva are not significantly
different **(Figure 1A, E)**. For the total protein concentration of saliva samples, the value of Phase A is

significantly higher than that of the other two phases **(Figure 1C)**. No significant differences were

found among the three groups in the amylase activity **(Supplementary Table 1)**.

Full-length 16S rRNA gene sequencing was used to detect the differences in the salivary microbiome.

In contrast to the protein concentration and enzymatic activities, no significant differences were

detected among Phases A, B and C regarding the alpha-diversity and beta-diversity of the microbial

communities. Although several species have been identified as differential taxa **(Supplementary**

Figure 1). Most differential species have the lowest relative abundance in Phase B **(Supplementary**

Figure 1A). The differential species identified in Phase A and C were clustered together in the

heatmap **(Supplementary Figure 1B)**, suggesting the microbial profile is distinct in Phase B at

species level.

3.1.2   Effect of stimulation on the release of aroma from wine

To evaluate the ability of different saliva samples to release aroma compounds from wine, HS-SPME-

GC-MS analyses were performed on the same wine sample spiked with saliva samples. Saliva

samples were pooled into six groups: Australia-Phase A, Australia-Phase B, Australia-Phase C,

Chinese-Phase A, Chinese-Phase B and Chinese-Phase C. After mixing the six pooled saliva samples

with wine, twenty-seven volatile compounds were identified, consisting of 12 esters, 6 alcohols, 3

acids, 2 benzenoids and 4 terpenes **(Table 1)**. The wine sample was also mixed with water and

artificial saliva as the control groups **(Supplementary Figure 2A)**. For many compounds, especially

esters, the addition of artificial saliva induced a significant decrease in their concentration compared

to the wine-water mixture. Depending on the type of pooled saliva added, the decrease caused by

artificial saliva can either be counteracted or further decreased **(Supplementary Figure 2B)**. It

suggests the whole human saliva induces other reactions with the wine matrix other than the effect of

mucin.

As the volatiles may also have originated from the human oral cavity, another control was performed

by mixing each pooled saliva sample with model wine. Among the detected volatiles, (–) – clovene

was found in the mixture of saliva and wine and also the mixture of saliva and model wine. Therefore,

it was excluded from further analysis. For the 26 included volatiles, twenty-one volatiles were

constantly detected across all six groups, while the other 5 volatiles were only occasionally

found **(Table 1)**. Except for methyl hexanoate, the occasionally detected compounds are benzenoids

and terpenes. These compounds are largely absent in unstimulated saliva in Phases A and C excepting

limonene, which was not detected after mixing with Australian participants' Phase B saliva. The one-

way ANOVA conducted with the concentration of 16 volatiles quantified using external standards is

compatible with the results calculated using the internal standard equivalent concentration **(Table 1;**

Supplementary Table 2).

The dataset was divided into two subgroups, Australian **(Figure 2A)** and Chinese **(Figure 2B)**, to show the changing trend for 21 constant-detected compounds among Phases A, B and C. The statistical analyses suggest that the Australian and Chinese groups individually have 9 and 8 compounds with significantly higher concentrations in Phase B than in the other two phases. Most of the distinguishing compounds are esters, while octanoic acid and 1,3-ditertiary butyl benzene show the same trend in either the Australian or both groups. In addition, several compounds demonstrated significant differences between Phase A and C, including diethyl succinate, 3-methylbutyl acetate, 3-ethyl-2-pentanol and acetic acid. 3-Ethyl-2-pentanol and acetic acid only showed a significant difference among phases in the Australian group, with significantly lower concentration in Phase A. Further, a significant difference was observed between the Australian and Chinese groups of these two compounds in Phase A **(Figure 2C)**.

To better understand the differential volatiles that contribute to the differences among the three phases, a principal component analysis (PCA) was performed on the 21 constantly detected compounds **(Figure 2D)**. The internal standard equivalent concentration of the aroma compounds, (expressed as μg/L 4-octanol equivalents), distinguished the saliva samples from different phases. The first two principal components explained 68.57% of the variance. The first principal component (PC1) separated the samples of Phase B from those of Phase A and C. The samples of Phase B were highly associated with most esters, 1,3–ditertiarybutylbenzene and 3–ethyl–2–pentanol, positioned in the space defined by negative PC1. On the contrary, 1-butanol was positively related to PC1. The second principal component (PC2) was negatively associated with most alcohols, including 2-methyl-1-propanol, 3-methyl-1-butanol, 1-hexanol and 2,3-butanediol. Phase A and C samples were separated on PC2 with some overlap retained, suggesting the interaction between the two investigated covariates, phase, and ethnicity **(Supplementary Table 1)**. In addition, PCAs were conducted using a total 27 compounds and 16 compounds quantified using external standards, separately **(Supplementary Figure 3)**. These results are essentially in agreement with the PCA constructed by the semi-quantified compounds.

3.2   The composition of saliva from Australian and Chinese participants is different

3.2.1   *Differences in salivary proteins between two groups*

The flow rate, total protein concentration, and activities of alpha-amylase and esterase of the saliva samples collected from Australian and Chinese participants were compared to investigate the influence of the host's cultural background on salivary composition. Although no differences were found between the flow rate of Australian and Chinese participants' saliva **(Figure 1B)**, the saliva of Australian participants demonstrated higher total protein concentration **(Figure 1D)**. For the enzymatic activities, the two groups demonstrated no statistical differences in either amylase or esterase activity **(Supplementary Table 1; Figure 1F)**.

3.2.2   Differences in salivary microbiome profiles

For the comparison of the salivary microbial profile of participants from Australia and China, the full-

length 16rRNA gene was sequenced. After denoising by DADA2, the samples were rarefied to 5,232,

resulting in 11,052 amplicon sequence variants (ASVs). The Australian and Chinese groups differ in

the alpha-diversity characterised by Simpson Index **(Figure 4A)**. Beta-diversity analysis conducted

using Bray-Curtis dissimilarity also demonstrated the significant differences between these two

groups (PERMANOVA, p = 0.001). The principal coordinate analysis (PCoA) result showed the

separation between the microbial composition of samples from two groups **(Figure 4B)**.

When investigating the taxa that discriminate saliva samples from Australian and Chinese participants,

we first compared the relative abundance of the two groups at phyla **(Figure 3A)** and genus

levels **(Figure 3B)**. Firmicutes, the phylum with the highest relative abundance in both groups,

showed higher relative abundance in the saliva samples from Australian participants. A higher relative

abundance of *Veillonella* in Australian saliva was observed. We performed a Wilcoxon Rank Sum test

with a false discovery rate correction to each taxon, spanning all taxonomic levels **(Figure**

3C). Genus *Neisseria*, *Lautropia*, *Porphyromonas*, *Capnocytophaga*, *Cardiobacterium*, *Filifactor* and

Parvimonas were higher in Chinese participants' saliva, with several species of these genre. All taxa

belong to phyla Synergistetes and Spirochaetes, except the species were more prevalent in the Chinese

group. In contrast, the saliva microbiota of Australian participants was distinguished mainly by taxa

under the order *Veillonellales*, especially the genus *Veillonella* and *Megasphasera*. The genus with

high abundances, such as *Streptococcus*, *Prevotella* and *Haemonphilus*, contain both species

identified as biomarkers of Chinese and Australian participants.

We also used ANCOM-BC2 to identify the differential species between Australian and Chinese saliva

to accomplish more precise results at the species level. We found 8 differential species for comparing

two groups, mostly under the phylum *Bateroidetes* and *Firmicutes* **(Figure 4C)**. Among

them, *Porphyromonas pasteri* and *Neisseria subflava* have higher relative abundance in the Chinese

group, which agrees with the results of the Wilcoxon Rank Sum tests **(Figure 3C)**. Similarly, the six

differential species enriched in Australian samples identified by ANCOM-BC2 are also found in the

Wilcoxon Rank Sum tests. In the Australian group, the differential species of Phase A and C were

clustered together, suggesting they are closer to each other than Phase B. Meanwhile, the differential

species in the Chinese Phase A and B samples were clustered.

3.2.3   Differences in saliva composition between groups

To investigate the aroma consequences of compositional differences in the saliva of saliva samples

collected from Australian and Chinese participants, the volatile profile was collected by HS-SPME-

GC-MS and compared. The result of two-way ANOVA showed that 11 compounds were released

differently after mixing with saliva samples from two groups. Different concentrations due to the

saliva samples collected at different phases were observed **(Figure 3D)**. All differential compounds
showed higher concentration in the Chinese group, especially in unstimulated Phases A and C.
Meanwhile, ethyl heptanoate released after mixing with Chinese saliva samples demonstrated higher
concentration in Phases A and B.

We suspected that the salivary bacteria contributed to aroma release, and so we calculated the
Spearman's rank correlation between the relative abundance of the species in pooled saliva samples
and the aroma compounds released after mixing the wine samples with those saliva samples. We
found three species significantly correlated to wine aroma profile, including *Streptococcus sp.*
HMT006, *Prevotella shahii* and an unclassified species belongs to *Selenomonas*. The concentration of
three differential esters, ethyl propanoate, 3-methylbutyl acetate and ethyl hexanoate, are positively
associated with the relative abundance of *Streptococcus sp. HMT006*, while negatively associated
with the relative abundance of the unclassified species of *Selenomonas*. The higher concentration of
3-ethyl-2-pentanol and several esters in the Chinese group has been positively correlated with the
increased relative abundance of *Streptococcus sp. HMT006* in saliva samples from Chinese
participants in Phase A. As the only benzenoid identified, the concentration of 1,3-
ditertiarybutylbenzene showed a negative correlation with the unclassified species
of *Selenomonas*. Although two-way ANOVA concluded that the concentration of 2,3-butanediol was
not different between the Chinese and Australian groups, it was positively associated with the higher
relative abundance of *Prevotella shahii* in the Chinese saliva.

4   Discussion

4.1   The human saliva at different oral processing phases

During the retronasal perception of wine, two distinct types of aroma release are perceived by
consumers: the immediate impression produced when swallowing and the prolonged perception after
swallowing (Buettner, 2004). The first type of perception has been widely investigated by various
studies, especially by *in vitro* simulating the mixing procedure of human saliva and wine (Genovese et
al., 2009; Mayr et al., 2014). The prolonged retronasal aroma perception, also called 'after-odour' or
'aroma persistence', has received increased attention. Several real-time *in vivo* monitoring methods,
such as intra-oral SPME sampling (Esteban-Fernández et al., 2016), have been introduced to measure
the aroma release in this phase. Our study focused on the role of human saliva in immediate and
retronasal perceptions of aroma and introduced unstimulated saliva as a control.

Stimulated and unstimulated saliva were different in composition. We observed a higher total protein
concentration in the saliva samples produced in Phase A. The lower protein concentration in Phase B
and C may be due to the dilution of the substantial water content secreted in Phase B (Lee & Linden,

1992). Interestingly, even though the flow rate dropped significantly after removing the stimulus from

the oral cavity, the total protein concentration in saliva did not return to the level when the stimulus

was not received. In contrast, a higher total salivary esterase activity was found in Phase B, while the

esterase activity of Phases A and C had no significant difference. The esterase in saliva is an

important enzyme for hydrolysing esters into carboxylic acids and alcohols (Buettner, 2002). The

higher esterase activity in stimulated saliva than in unstimulated saliva has also been observed by

Pérez-Jiménez et al. (2021).

The association between oral microbiota and the type of saliva has been widely investigated but

remains controversial. A previous study suggested a significant difference between unstimulated and

stimulated saliva in both alpha and beta diversity (Gomar-Vercher, Simón-Soro, Montiel-Company,

Almerich-Silla, & Mira, 2018). Meanwhile, Belstrøm et al. (2016) and Jo et al. (2019) reported no

significant differences between the Shannon diversity index of bacteria in unstimulated and stimulated

saliva samples. However, several species have been identified as differential among the mouth-rinse

water, unstimulated saliva, and stimulated saliva by Jo et al. (2019), which agrees with our results. It

is also possible that even if salivary microorganisms' composition differed during these three

immediately adjacent phases, the differences could be too subtle to detect.

4.2   The influences of host's ethnicity on the composition of saliva

Emerging evidence has demonstrated the influence of the host's ethnicity on salivary composition,

especially the oral microbiome. The differences induced by ethnicity have been attributed to genetic

or external factors, such as diet, altitude, climate, or other lifestyle factors (Hao et al., 2021; Liu et al.,

2021; Luo et al., 2023; Ruan et al., 2022). Differences in cultural background and lifestyle habits

between Asian countries and Western countries have led to interest in understanding the differences in

salivary composition between different countries. Kim et al. (2009) compared the oral microbiome of

90 South Korean participants and 88 German participants, concluding that the microbial profiles of

Caucasian and Asian ethnic-origin participants are significantly different. Our previous study

collected salivary microbiome data from 47 publicly available datasets, reporting the differences in

beta diversity of saliva samples from three geographic locations, including North America, Europe,

and China (Ruan et al., 2022). Notably, the variance explained in the previous study could be

confounded by external factors other than the differences among three populations, such as climate

and collection methods. To better understand the differences between two participant groups, the

current study effectively eliminated the variance caused by geographic location by collecting all the

saliva samples from the same location within a short period. *Veillonella* spp. was identified as the

differential taxa that was higher in Western samples, which was consistent with the result of our

current study. Among species in this genus, *Veillonella atypica* was identified as a biomarker to

differentiate people with obesity from normal-weight individuals (López-Dávalos, Requena, Pozo-

Bayón, & Muñoz-González, 2023)，underlying a potential association among diet, body weight and
ethnicity.

The association between ethnicity and salivary protein composition is far from conclusive. Mosca et
al. (2019) compared protein concentration, flow rate, amylolytic activity, and lipolytic activity of
unstimulated saliva samples from 15 Chinese and 15 Caucasian participants living in the Netherlands.
Similar to the current study, they focused on the difference in saliva samples between Chinese and
residents of a country defined as "Western countries". In contrast to our results, they found higher
total salivary protein concentrations in Chinese than in Caucasian participants. This discrepancy
between two studies could be attributed to the differences between the Western countries selected.
Australian and Dutch residents could have specific differences in diets and lifestyles, leading to the
different biochemical parameters of saliva. Meanwhile, no significant difference in flow rate and
amylolytic activity was detected, which is consistent with the current findings. A recent study
conducted by our group observed a significant difference in the concentration of proline-rich proteins
and lipocalin-1 in saliva samples from Chinese and Australian participants by proteomics (Luo et al.,
2023). Further proteomic analyses on samples collected in the current study would facilitate the
observation of the differences on specific protein concentrations; we suspect that differences in
published studies might also be due to the collection of stimulated or unstimulated saliva (or merging
of these two classes).

4.3   The contribution of saliva on the release of diverse aromas

This study demonstrates the association between host factors, salivary composition, and aroma release
during wine consumption. For the two parameters controlled in this study, the phase of saliva
production and the host's ethnic background, we simultaneously observed the differences in salivary
composition and the aroma profiles of wine after mixing with saliva samples. The salivary phase and
subject ethnicity both influence aroma perception as the composition of saliva is different.

The relationship between the oral processing stages and aroma perception was first found to be
focused on the esters in wine and the esterase activity in human saliva. Esters are an essential group of
aromatic compounds to contribute fruitiness to wine (Ferreira, López, Escudero, & Cacho, 1998).
Most esters detected in this study have the odour activity values greater than 1 **(Supplementary**
Table 2) meaning that these compounds are likely to have a sensory impact. Saliva samples produced
in Phase B demonstrated the highest esterase activity and induced the highest concentration of esters
released from wine samples. However, the presence of esterase was previously associated with the
hydrolysis of esters (Ployon et al., 2017) and the generation of carboxylic acids (Pérez-Jiménez,
Rocha-Alcubilla, & Pozo-Bayón, 2019). Esters are relatively less persistent in the oral cavity after
swallowing, probably due to the modification by oral microbiota directly or with enzymatic action
(Goodstein et al., 2014; Muñoz-González, Pérez-Jiménez, & Pozo-Bayón, 2020). This rather

contradictory result may be explained by the presence of reactions other than enzymatic hydrolysis of
the esters. In addition, the total salivary esterase activity could be attributed to different types of
enzymes, such as carboxylesterases, carbonic anhydrases, trypsin, and cholesterol esterases (Pérez-
Jiménez et al., 2021). The catalytic effect of specific enzymes could be accompanied by concurrent
synthesis of esters. Interestingly, the same phenomenon was reported in our recent study by Luo et al.
(2023), which strengthens our assumption that perhaps more than one type of reaction involving
esterases occurs when human saliva mixes with esters in wine.

Our study demonstrates that it is important to specify the type of saliva collected and used in *in*
vitro experiments. For example, stimulated saliva needs to be specified for the research targeting the
reactions in Phase B. The proportion of wine and saliva used in our static headspace SPME method
does not reflect all the consequences of oral processing to explain aroma production in the 'after-taste'
period. Further analytic approaches to simulate the relationships between post-stimulated saliva and
wine, include modelling the oral mucosa (Ployon, Brulé, Andriot, Morzel, & Canon, 2020), and
should be used to further understand the role of saliva in the after-taste phase.

Our in vitro results show that it is likely that Australian and Chinese have different aroma compounds
in the oral cavity. Our previous study reported that people from Australia and China have different
sensory responses to the same wine (Luo et al., 2023) and reported increased levels of esters in the
mixture of Chinese saliva and wine. According to the results of this study, the prevalence of
Streptococcus sp. HMT 066 has been associated with the concentration of esters released. It has been
reported that *Streptococcus* spp. have the ability to release aroma from odourless precursors (Muñoz-
González et al., 2015). 2,3-butanediol is the second most abundant by-product generated during the
alcoholic fermentation of wine, contributing to its bitterness (Romano, 1997). The higher 2,3-
butanediol concentration found in our study using wine and saliva from the Chinese group positively
correlates with the relative abundance of *Prevotella shahii* (**Figure 4F**). *Prevotella* spp. has been
described as a potential contributor to aroma perception by enzymatic activity (Parker et al., 2020).
Nevertheless, within genera like *Streptococcus* and *Prevotella*, the function of different species varies.
Further experiments, such as strain isolation, are required to verify the function of specific species.

5   Conclusion

The two critical components of human saliva, salivary proteins and microbiota, contribute to the
aroma perception of wine. In this study, we demonstrated that saliva secreted at three phases during
wine consumption differs in composition and the ability of the three types of saliva to release aroma
compounds from wine varies. Although Phase A and C have more in common than Phase B, there are
still some differences in their composition and the role in aroma release. The enzymatic activity of
esterase may explain the variation in the aroma perceived by consumers at three phases of wine
drinking. Another important finding of this study was the difference in salivary composition,

especially salivary microbiota, between Australian and Chinese participants. Several differential

species were identified by full-length 16S rRNA sequencing. The relative abundance

of *Veillonella* spp. contribute additional evidence that suggests its potential to work as a biomarker to

differentiate Chinese and Western people. The volatiles released from the wine-saliva mixture of

these two groups highlight the associations between salivary microbiota and aroma perception. These

findings will serve as the base for future studies targeting the relationship between salivary

composition, aroma perception, and wine preference. A better understanding of the variations in wine

preference would give new insight into designing products for specific consumer groups and should

be complemented in the future by sensory tests to verify the findings in the natural human oral cavity.

Ethics approval and consent to participate

The study is under the approval of the University of Melbourne Human Research Ethics Committees (Review Reference Number: 2022-24220-29889-3). All participants provided written informed consent.

Data availability

The sequencing data generated and analysed in the present study are available in NCBI BioProject database, PRJNA1077481(https://www.ncbi.nlm.nih.gov/bioproject/1077481).

CRediT authorship contribution statement

Xinwei Ruan: Conceptualization, Data curation, Formal analysis, Investigation, Methodology, Visualization, Writing – original draft, Writing – review & editing. **Yipeng Chen:** Data curation, Formal analysis, Investigation, Methodology, Writing – review & editing. **Aafreen Chauhan:** Data curation, Formal analysis, Investigation, Writing – review & editing. **Kate Howell:** Conceptualization, Methodology, Project administration, Supervision, Writing – review & editing.

Declaration of Competing Interest

The authors declare that they have no competing financial interest.

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Figure Legends

Figure 1 The differences of salivary composition amongst three phases of collection and two

participant groups. Boxplots showing the flow rate of **A)** Phase A, B, C and **B)** Australian and

Chinese participants; the total protein concentration of **C)** Phase A, B, C and **D)** Australian and

Chinese participants; the total salivary esterase activity of **E)** Phase A, B, C and **F)** Australian and

Chinese participants. Significant differences ($p< 0.05$, Student's t-test) were tested and are marked

with "*" ; with NS denoting no significant differences observed.

Figure 2 The aroma released from wine with saliva samples from three collection phases are

different. Point plots with lines showing the change of each volatile released from **A)** wine-Australia

saliva mixture and **B)** wine-Chinese saliva mixture. The green lines represent the compounds showing

highest concentration in Phase B and have no significant differences between Phase A and C (one-

way ANOVA, $p < 0.05$). Among them, light green lines represent compounds only significantly

higher than Phase A, while dark green lines represent compounds significantly higher than both Phase

A and C. The pink lines represent the compounds that also demonstrate significant differences

between Phase A and C. The dark pink lines showing the compounds have significant differences

among all three comparisons. The light pink lines showing the compounds have differential

concentration between Phase A and B, and Phase A and C. The volatiles with significantly changes

are indicate by letters: a) Ethyl acetate; b) Diethyl succinate; c) Ethyl hexanoate; d) 3-

Methylbutyl acetate; e) Ethyl butanoate; f) Ethyl 3-methylbutanoate; g) Ethyl propanoate; h) Ethyl 2-

methylbutanoate; i) Hexyl acetate; j) 3-Ethyl-2-pentanol; k) Acetic acid; l) 1,3-Ditertiarybutylbenzene;

m) Octanoic acid. **C)** Bar plots showing the concentration of 3-Ethyl-2-pentanol and Acetic acid in six

subgroups. Significant differences ($p< 0.05$, Student's t-test) between Australian and Chinese group in

Phase A are marked with "*". **D)** Principal component analysis (PCA) plot demonstrating the wine

aroma release after spiking with samples from three groups.

Figure 3 The microbial profiles of Australian and Chinese participants' saliva samples are

different. Comparison of the salivary microbial composition between two groups in stacked bar plots

at **A)** phylum level and **B)** genus level. **C)** Taxonomic hierarchies showing the enrichment of taxa

from two groups from phylum to species level. The taxa with significant differences (false discovery

rate-adjusted Wilcoxon rank-sum $q\square<\square0.05$) were coloured with green (higher in Australian group)

and pink (higher in Chinese group).

Figure 4 The differences in salivary microbial composition between Australian and Chinese
participants associate with the differences in aroma released after mixing with wine. A)
Comparison of the alpha-diversity between two groups, representing by Simpson's Diversity Index
(Wilcoxon rank-sum test; $p = 0.029$). B) Principal coordinate analysis (PCoA) with Bray-Curtis
dissimilarity showing the difference between two groups (PERMANOVA; $p = 0.001$). C) and D)
Heatmap represents the mean relative abundance of C) eight differential species identified by
ANCOM-BC2 and D) three species that significantly correlated ($p < 0.01$, FDR-adjusted
Spearman's rank correlation) with aroma compounds in six pooled saliva groups. E) Bar plots
showing the log-transformed concentration (μg/L 4-octanol equivalence) of aroma compounds
detected in two groups. The coloured squares on the bottom indicate the result of two-way ANOVA.
F) Spearman's correlation heatmap between aroma compounds and relative abundance of species.
Significant correlated pairs ($p < 0.01$, FDR-adjusted Spearman's rank correlation) are labelled with
"*".

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Figure 1

Figure 2

Figure 3

Figure 4

Table 1 Aroma compounds detected by headspace solid-phase microextraction gas chromatography mass spectrometry (HS-SPME-GC-MS) from the mixture of six types of pooled saliva samples and wine.

No.	Aroma Compound	RI-NIST*	RI-Actual	Internal standard (4-octanol) equivalence (µg/L)					
				Phase A		Phase B		Phase C	
				Australian	Chinese	Australian	Chinese	Australian	Chinese
	Esters								
1	Ethyl acetate	888	887	4646.99 ± 691.59^{c}	7449.38 ± 35.97^{a}	8822.61 ± 158.22^{a}	8606 ± 759.79^{a}	5935.32 ± 842.17^{bc}	7368.83 ± 103.25^{ab}
2	Ethyl propanoate	960	958	60.23 ± 4.97^{bc}	74.47 ± 2.46^{ab}	89.82 ± 2.16^{a}	87.58 ± 10.23^{a}	56.58 ± 7.30^{c}	71.78 ± 2.21^{bc}
3	Ethyl isobutyrate	962	959	153.31 ± 37.03^{a}	171.05 ± 54.71^{a}	120.65 ± 38.81^{a}	128.48 ± 38.89^{a}	123.29 ± 34.79^{a}	70.75 ± 61.53^{a}
4	Ethyl butanoate	1039	1035	49.91 ± 43.35^{d}	121.16 ± 3.14^{b}	151.59 ± 5.06^{a}	149.92 ± 17.20^{a}	93.75 ± 11.98^{cd}	120.11 ± 4.30^{bc}
5	Ethyl 2-methylbutanoate	1055	1050	41.49 ± 6.72^{c}	79.85 ± 2.41^{b}	102.68 ± 4.39^{a}	102.34 ± 11.04^{a}	56.79 ± 8.78^{c}	76.28 ± 2.18^{b}
6	Ethyl 3-methylbutanoate	1072	1067	48.24 ± 9.48^{d}	107.18 ± 5.42^{b}	137.85 ± 2.7^{a}	134.99 ± 11.07^{a}	72.17 ± 13.35^{c}	101.04 ± 4.98^{b}
7	3-Methylbutyl acetate	1126	1122	545.84 ± 89.42^{d}	1084.34 ± 9.03^{bc}	1302.7 ± 21.06^{ab}	1347.1 ± 143.51^{a}	765.84 ± 108.53^{d}	1066.07 ± 59.63^{c}
8	Methyl hexanoate	1190	1184	n.d.	2.88 ± 0.57^{bc}	3.58 ± 0.37^{ab}	4.06 ± 0.39^{a}	0.96 ± 0.32^{d}	2.36 ± 0.25^{c}
9	Ethyl hexanoate	1235	1233	851.85 ± 223.93^{d}	1977.03 ± 53.02^{bc}	2391.76 ± 66.67^{ab}	2478.48 ± 252.05^{a}	1259.94 ± 253.43^{d}	1830.77 ± 80.92^{c}
10	Hexyl acetate	1235	1232	7.02 ± 6.64^{c}	15.17 ± 3.00^{abc}	20.62 ± 0.86^{a}	21.65 ± 1.20^{a}	10.06 ± 2.16^{bc}	16.05 ± 1.80^{ab}
11	Ethyl heptanoate	1334	1332	0.09 ± 0.01^{b}	4.29 ± 3.68^{ab}	2.7 ± 4.18^{ab}	8.01 ± 2.27^{a}	0.2 ± 0.11^{b}	0.21 ± 0.16^{b}
12	Diethyl succinate	1681	1681	2752.88 ± 136.55^{a}	2256.51 ± 215.43^{b}	2471.91 ± 151.36^{ab}	2314.02 ± 68.01^{ab}	2557.77 ± 315.12^{ab}	2695.89 ± 66.46^{ab}
	Alcohols								
13	2-Methyl-1-propanol	1098	1096	493.94 ± 24.95^{a}	468.86 ± 20.24^{a}	521.16 ± 20.25^{a}	492.19 ± 50.54^{a}	491.19 ± 35.89^{a}	515.29 ± 17.78^{a}
14	1-Butanol	1144	1141	12.36 ± 0.42^{a}	7.74 ± 6.67^{a}	8.97 ± 7.73^{a}	12.93 ± 1.23^{a}	12.3 ± 0.37^{a}	12.59 ± 0.86^{a}
15	3-Methyl-1-butanol	1204	1205	10562.24 ± 491.79^{a}	9444.18 ± 259.00^{a}	10666.84 ± 311.22^{a}	10051.84 ± 1014.17^{a}	10159.14 ± 712.50^{a}	10557.02 ± 315.42^{a}

#	Compound	RI	RI-NIST*					
16	1-Hexanol	1348	1346	319.34 ± 15.53^{a}	305.42 ± 12.75^{a}	346.57 ± 13.21^{a}	331.82 ± 31.04^{a}	315.04 ± 19.65^{a}	335.08 ± 13.11^{a}
17	3-Ethyl-2-pentanol	N/A	1531	19.18 ± 1.58^{b}	32.62 ± 2.77^{a}	40.21 ± 6.14^{a}	39.85 ± 5.86^{a}	29.76 ± 4.30^{ab}	36.01 ± 4.12^{a}
18	2,3-Butanediol	1542	1540	43.59 ± 1.18^{a}	43.61 ± 13.28^{a}	37.71 ± 5.61^{a}	47.51 ± 6.63^{a}	41.67 ± 6.48^{a}	51.4 ± 14.36^{a}
	Acids								
19	Acetic acid	1461	1454	94.23 ± 21.35^{c}	165.63 ± 34.94^{b}	221.29 ± 12.79^{ab}	205.82 ± 25.96^{ab}	228.81 ± 13.09^{ab}	230.26 ± 23.85^{a}
20	Pentanoic acid	1744	1732	8.81 ± 0.10^{a}	7.26 ± 0.85^{a}	8.37 ± 0.78^{a}	8.39 ± 0.49^{a}	7.94 ± 0.63^{a}	8.4 ± 1.55^{a}
21	Octanoic acid	2087	2079	3.91 ± 0.82^{a}	5.9 ± 2.16^{a}	7.74 ± 0.66^{a}	8.52 ± 2.42^{a}	4.63 ± 1.53^{a}	7.33 ± 3.15^{a}
	Benzenoid								
22	Styrene	1256	1254	n.d.	2.56 ± 0.23^{b}	4.47 ± 0.42^{a}	4.79 ± 0.77^{a}	n.d.	1.19 ± 1.03^{b}
23	1,3-Ditertiarybutylbenzene	N/A	1424	17.57 ± 1.55^{bc}	32.37 ± 3.73^{b}	56.56 ± 4.08^{a}	69.43 ± 4.61^{a}	14.76 ± 9.41^{c}	29.05 ± 7.84^{bc}
	Terpenes								
24	D-Limonene	1199	1196	4.5 ± 3.55^{a}	n.d.	n.d.	0.92 ± 0.70^{a}	n.d.	n.d.
25	p-&m-Cymene	1269	1272	n.d.	n.d.	5.16 ± 0.65^{a}	6.96 ± 1.21^{a}	n.d.	n.d.
26	(-)-Clovene	N/A	1304	190.44 ± 38.62^{a}	40.73 ± 9.73^{b}	45.69 ± 19.52^{b}	65.47 ± 12.48^{b}	16.35 ± 0.60^{b}	27.51 ± 3.92^{b}
27	1,1,6-Trimethyl-1,2-dihydronaphthalene (TDN)	1712	1704	22.88 ± 5.95^{a}	15.8 ± 1.76^{ab}	15.54 ± 1.19^{ab}	22.88 ± 5.95^{a}	n.d.	9.23 ± 1.57^{b}

Superscripts letters for the same compound indicate significant differences ($p < 0.05$; Tukey test) on the aroma release from wine after spiking with different saliva samples.

RI = Retention index.

*RI-NIST was obtained from NIST Webbook (2018).