HER2 Prognostic Significance and Therapeutic Implications in Gastric Cardia Adenocarcinoma: Insights from Multi-Omics Profiling

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Abstract:

Therapeutic targets for Gastric Cardia Adenocarcinoma (GCA), particularly for HER2-negative patients, are lacking. Here, we conducted multi-omics profiling on 128 GCA patients using mass spectrometry, whole-exome sequencing, RNA-Seq, and metabolomics. We found HER2 to be a favorable prognostic marker for GCA. Employing molecular counting, we categorized patients into HER2-high, -low, and -negative groups. We uncovered an enrichment of DNA repair features in the HER2-high group, while HER2-low and -negative groups exhibited strong inflammation. We found that tumor mutation burden may not be the distinguishing factor among these three groups. We revealed that the HER2-negative and -low groups have a tumor-suppressive immune microenvironment, and HER2 expression is associated with fatty acid metabolic profiles and inflammation in the blood of patients. Our study revealed anti-inflammatory and immune checkpoint inhibition, targeting PD-L2 and the CD47/SIRPA pair, as therapeutic strategies for HER2-negative GCA patients. These findings highlight promising avenues for personalized treatment for GCA.

Keywords: Gastric cardia adenocarcinoma, HER2-negative, Prognosis, Tumor microenvironment, anti-inflammation, Immune inhibitory checkpoints

Introduction

The cardia is located between the esophagus and the stomach. Gastric cardia adenocarcinoma (GCA) occurs in the Taihang Mountains of north central China at high rates[1-3]. Gastric cancer in this area occurs primarily in the uppermost portion of the stomach and is referred to as GCA, while those in the remainder of the stomach are called gastric noncardia adenocarcinoma (GNCA)[4]. Adenocarcinomas from junction of esophagogastric junction are usually classified as Siewert type II of esophagogastric junction adenocarcinoma in western countries[5-9], where Barrett's esophagus is considered an important precancerous lesion of adenocarcinoma at the esophagogastric junction [10]. However, GCA from a Chinese population in this area has distinct features compared to Western countries[3,10,11], and a very low frequency of Barrett's esophagus is observed[10]. Even GCA presents distinct features compared GNCA[12-16], therapeutic strategies often mirror those for GNCA. Consequently, therapeutic targets specifically for GCA are lacking. Human Epidermal Growth Factor Receptor 2 (HER2), the protein product of the Erb-B2 Receptor Tyrosine Kinase 2 (*ERBB2*) gene, plays a crucial role in regulating cell growth and division[17]. In certain cancers, such as breast cancer[18,19] and gastroesophageal cancer[20], HER2 can become overexpressed or amplified, leading to uncontrolled cell growth. Targeted therapies, such as trastuzumab (Herceptin) and others, have been developed to specifically inhibit HER2 signaling and are effective in treating HER2-positive breast[21] and gastroesophageal[22] cancers. Currently, HER2 targeted therapy has become a vital treatment option for certain types of gastroesophageal cancers, including esophageal Adenocarcinoma (EAC), GCA, GNCA, and stomach adenocarcinoma (STAD), particularly in advanced or metastatic cases[20,23,24]. Anti-HER2 targeted therapies have improved survival outcomes in advanced gastroesophageal cancer patients with HER2 overexpression[23-25]. However, the relatively low prevalence (less than 30%) of HER2-positive cases poses challenges[26-28], particularly for HER2-negative patients. The first-line treatment for advanced HER2-negative gastric cancer and esophagogastric junction adenocarcinoma mainly involves immunotherapy combined with chemotherapy, without specific targets[9]. In solid malignancies, the overexpression or amplification of HER2 is associated with an adverse prognosis[29], paradoxically, independent studies including our recent study, have shown that *ERBB2* gene focal amplification or HER2 overexpression might confer a favorable prognostic impact in patients with esophagogastric junction adenocarcinoma, EAC[30] and GCA[13] . Hence, the role of HER2 as either a therapeutic target or a prognostic marker in GCA remains uncertain. Consequently, conducting a comprehensive multi-omics molecular profiling of GCA patients, stratified by the degree of HER2 expression, is imperative for elucidating this paradox and

gaining a more profound understanding that can inform targeted therapy strategies for these

malignancies, particularly the HER2-negative patients.

In this investigation, we assembled a cohort comprising 128 patients diagnosed with GCA. We

conducted an extensive array of analyses on our GCA cohort, including RNA-Seq for

transcriptome profiling, whole exome sequencing (WES) for examining genetic alterations,

mass spectrometry for the identification and quantification of proteins, and metabolic profiling

to gain insights into metabolic alterations. With a highly sensitive molecular counting approach,

we stratified GCA patients into HER2-high, -low, and -negative groups. This method enabled

us to undertake a comprehensive and detailed characterization of molecular features for each

patient group, including the exploration of gene expression patterns, profiling of proteins, and

examination of genetic alterations. We identified HER2 as a favorite prognostic marker for

esophagogastric junction adenocarcinoma, GCA and EAC. Furthermore, our investigations

unveiled a substantial enrichment of DNA repair pathways in the HER2 high-expression group,

while the HER2 low-expression and negative group prominently exhibited features indicative

of inflammation. We found that tumor mutation burden (TMB) may not be the primary

distinguishing factor among these three groups, but the HER2 high group showed substantially

higher genome instability compared with the HER2 negative group. Notably, we identified

disparate tumor microenvironments and variations in immune inhibitory checkpoints across

the distinct HER2 expression groups. Furthermore, our results also suggested that the HER2

negative and low groups have a tumor suppressive immune microenvironment compared with

the HER2 high group. Our study pinpointed anti-inflammatory and immune checkpoint

inhibition, targeting PD-L2 and the CD47/SIRPA pair, as therapeutic strategies for HER2-

negative GCA patients.

Results

ERBB2 expression as a favorable prognostic marker in esophagogastric junction

adenocarcinoma

Previously, we and others found that *ERBB2* gene focal amplification or HER2 overexpression

might confer a favorable prognostic impact on patients with esophagogastric junction

adenocarcinoma, esophageal adenocarcinoma (EAC) and gastric cardia adenocarcinoma

(GCA)[13,30]. This conclusion is derived from immunohistochemistry (IHC) staining of HER2

or gene amplification detection using whole-genome sequencing. These measurements involve

positive and negative HER2 staining or amplification assessments of patients. The HER2

scoring system typically employs immunohistochemistry (IHC) and/or fluorescence in situ
hybridization (FISH) techniques to evaluate the quantity and distribution of HER2 protein and
gene amplification in cancer tissue samples[31]. A score from 0 to 3+ based on membranous
staining of HER2 protein is assigned by a pathologist following guidelines, and the DNA FISH
method is combined with 2+ samples. Only HER2 2+, *ERBB2* FISH-positive samples, and 3+
patients are defined as HER2 positive samples. In the whole-genome sequencing method,
HER2 amplification is examined within amplification regions[31]. Both of these strategies are
not for quantitative stratification, and bias could be introduced. In addition, it is well known
there is a big heterogeneous expression of HER2 protein in different regions of the tumor from
the same patient[26]. RNA-Seq is a molecular counting method used to calculate the number of
transcripts. Thus, to avoid a biased strategy when evaluating whether *ERBB2* could be a
prognostic marker, we collected data from 54 GCA patients and performed RNA-seq and mass
spectrometry from both tumors and normal tissue adjacent to the tumor (NAT) (**Materials and**
methods). We observed a positive correlation in *ERBB2* RNA expression levels and HER2
protein levels (**Figure 1A**, Pearson correlation = 0.7559), and we stratified GCA patients into
high and low *ERBB2* groups based on the median expression level of *ERBB2* RNA molecules
(**Figure 1B**). In parallel, we downloaded RNA-Seq data for EAC, esophageal squamous-cell
carcinomas (ESCC), and Stomach adenocarcinoma (STAD) from TCGA[32] to stratify patients
into HER2 high and HER2 low group using the median value of normalized *ERBB2* RNA
expression level as the reference point (**Figure 1B**). Then we calculated the survival times of
patients in each cancer type (**Figure 1C**). It clearly showed a significant difference in survival
probabilities between *ERBB2* high and low groups from GCA patients ($p = 0.015$, using Rényi
family test with Fleming-Harrington weights ($p = 0$, $q = 1$)) (**Figure 1C**), where the survival
probability of the *ERBB2* high group was lower than that of the *ERBB2* low group when their
survival time was under 0.9 years. However, the trend reversed when their survival time
exceeded 0.9 years. This trend we observed with the RNA molecule counting strategy is very
similar to what we observed with IHC staining of HER2 in our previous cohort[15]. From the
TCGA cohort, we did not observe a significant survival difference between the *ERBB2* high
and low groups in ESCC ($p = 0.59$, **Figure 1C**) and in STAD ($p = 0.57$, **Figure 1C**). We also
examined the survival difference in subtypes of STAD and found no difference in diffuse
carcinoma and intestinal types (**Figure 1C**). However, there is a tendency for the *ERBB2* high
group to have better survival in STAD tubular adenocarcinoma ($p = 0.19$) and STAD NOS (not
otherwise specified) adenocarcinoma ($p = 0.4$), even though it is not statistically significant
(**Figure 1C**). Nevertheless, there is a significant difference in patient prognosis between the

ERBB2 high and low groups in EAC patients (p = 0.0096, **Figure 1C**), where the *ERBB2* high

group has a better prognosis.

Since there is a clear positive correlation between RNA and protein from ERBB2 (**Figure 1A**),

we enlarged our cohort to include 128 GCA patients to perform protein quantification with

mass spectrometry (MS) of tumors and NAT (**Methods**, **Supplementary Figure 1**,

Supplementary Table 1). With molecular quantification of MS, we observed that 31 patients

are HER2 negative in both tumor and NAT parts, and 97 patients (75.78%) are HER2 positive

(**Figure 1D**). Due to the variation of genetic backgrounds among different patients, we assumed

that the observed HER2 noise differs among patients. Thus, we calculated the ratio of HER2

level between the tumor and its corresponding NAT to quantify the HER2 protein level from

different patients. We first divided HER2-positive patients into HER2 high and HER2 low

groups based on the median of the HER2 ratio between the tumor and NAT. Then we stratified

patients into three groups: HER2 high (n = 48), HER2 low (n = 49), and HER2 negative (n =

31) groups, based on the ratio of HER2 levels between the tumor and corresponding NAT

(**Figure 1D**). Simultaneously, the HER2 scoring system of immunohistochemistry (IHC) was

also applied to the tumor part of these GCA patients (**Figure 1E**). 6.3% of patients in our GCA

cohort are HER2 3+, which is in the similar range as previous reports[26-28]. The HER2 high

group has the highest proportion of HER2 3+ patients (14% in HER2 high group, 2% in HER2

low group, and 0% in HER2 negative group), and IHC HER2 scoring is significantly correlated

with MS HER2 grouping method (p = 0.034), which indicates our MS groups may reflect the

nature of HER2 expression (**Figure 1F**). Strikingly, the HER2 high group has the best survival

rates compared to the other two groups (p = 0.015, **Figure 1G**). The HER2 low group has a

slightly better prognosis compared to that of the HER2 negative group; however, it is not

significant. We also assessed the therapeutic strategies among our GCA cohort after surgery.

It clearly shows that HER2 grouping is irrelevant to treatment methods (p = 0.320) ((**Figure**

1H), and different therapeutic strategies did not contribute to different prognosis in our GCA

cohort (p = 0.58) ((**Figure 1I**). We also performed detailed correlation analysis between HER2

groups and clinicopathological features (**Supplementary Figure 2A**, **Supplementary Table**

1). We found that HER2 grouping is relevant to age (p = 0.005046), family history (p =

0.04937), alcohol consumption (p = 0.0313), tumor differentiation (p = 0.029), and Lauren

classification (p = 0.02193), but independent of other clinicopathological features, e.g., sex,

incidence area, smoking, metastasis, stage, etc. (**Supplementary Figure 2B-D**).

In summary, by integrating our findings with RNA-Seq data from TCGA, we have confirmed

that *ERBB2* RNA expression and the quantification of HER2 protein level with MS could serve

as robust prognostic markers for esophagogastric junction adenocarcinoma, GCA and EAC.

Grouping GCA patients based on the ratio of HER2 protein between the tumor and NAT

provides a novel strategy to stratify GCA patients, and the detailed molecular profiling of

different HER2 groups could identify new therapeutic avenues, particularly for the HER2

negative group. To better understand molecular features in each HER2 group from GCA

patients, we also conducted an extensive array of analyses on our GCA cohort (128 patients),

with whole exome sequencing (WES) for the examination of genetic alterations, RNA-Seq for

transcriptome profiling, and metabolic profiling of peripheral blood to gain insights into

metabolic alterations (**Figure 2A**).

Unique protein expression features of different HER2 groups of GCA patients

First, we examined protein expression in our cohort and identified 8,864 proteins in both tumor

and NAT, where a similar number of proteins were identified in both tumor tissues (median

number = 5,088) and NAT (median number = 5,089). Among all identified proteins, 4,929

proteins could be quantified (50% NA cut-off in both tumors and NAT) (**Supplementary**

Table 2). We extracted signature proteins for each HER2 group using two independent

strategies in parallel: comparing protein expression from tumors only in different HER2 groups

and comparing protein expression by evaluating protein levels from the tumor vs. NAT in each

HER2 group.

In the strategy of tumor comparison only (**Methods**), we identified 23 specific proteins for the

HER2 high group, 6 proteins for the HER2 low group, and 92 proteins for the HER2 negative

group (Fold Change > 1.5, $p < 0.05$) (**Supplementary Figure 3A**, **Figure 2B**, **Supplementary**

Table 3). Not surprisingly, the HER2 protein was found in the protein list of the HER2 high

group (**Figure 2B**, **Supplementary Table 3**). Only 6 proteins, from coding genes of

SLC25A19, TTC17, P2RX4, STAT5A, C5orf51, and H3.3A, were specifically enriched in the

HER2 low group. Among these 6 proteins, genes of P2RX4 and STAT5A were reported to be

involved in tumor inflammation[33,34]. The HER2 negative group exhibited the highest number

of differentially expressed proteins, which includes an oncogene of EGFR, and couples of

extracellular proteins, COL3A1, VCAM1, SFRP4, and GJA1. To better understand the

biological functions of HER2 group-specific proteins, we performed gene set enrichment

analysis (GSEA) of hallmark gene sets on each HER2 group comparing with the rest, and

different hallmark gene sets were found in each HER2 group (False discovery rate (FDR) <

0.05) (**Figure 2C**, **Supplementary Figure 3B**, **Supplementary Table 4**). In the HER2 high

group, hallmark gene sets of Myc target v1, Myc targets v2, mTORC1 signaling, Unfolded

protein response, E2F targets, Glycolysis, and Peroxisome were enriched. Enrichments of E2F

targets, Myc targets, and mTORC1 signaling probably reflect the stronger proliferation feature

of high expression of HER2 protein. Similar to the HER2 high group, the hallmark gene set of

E2F targets was also observed in the HER2 low group; it may also reflect the proliferation

features in the HER2 low group. Very strikingly, five of the six hallmark gene sets enriched in

the HER2 low group have strong inflammation features, including Interferon alpha response,

Interferon gamma response, TNFA signaling via NFκB, Inflammatory response, and Allograft

rejection. Such observations may indicate stronger inflammation in the HER2 low group.

In addition, HER2-negative groups are enriched with four hallmark gene sets: Myogenesis,

KRAS signaling DN, Hypoxia, and Epithelial-mesenchymal transition (EMT). The hallmark

enrichments of Myogenesis and EMT in the HER2 negative group agree with the HER2

negative specific proteins list with extracellular proteins, which may also explain the

correlation of HER2 grouping with tumor differentiation and Lauren classification

(**Supplementary Figure 2B-D**).

Since the genetic background for each patient is diverse, extracting protein signatures only

from the tumor part has the potential to filter away tumor features from patients and may also

potentially remove key features due to a statistical cutoff. Thus, we also performed GSEA

hallmark gene sets by using tumors from each HER2 group vs. their corresponding NAT

(**Figure 2D**, **Supplementary Table 5**). In the hallmark gene sets enrichment from GSEA, cell

proliferation-relevant hallmarks, including Myc targets v1, G2/M checkpoint, and E2F targets,

are all enriched in the three HER2 groups. Meanwhile, we observed that the hallmark of Myc

targets v1 is more strongly enriched in HER2 high and low groups compared to the HER2

negative group, and the hallmark of Myc targets v2 is enriched in HER2 high and HER2 low

groups but not in the HER2 negative group. This probably reflects the role of the HER2 protein

in the regulation of the cell cycle. Even though the hallmark of EMT is enriched in all three

HER2 groups, it is much more significantly enriched in the HER2 negative group, which agrees

with the GSEA enrichment from tumor only. Enrichments of inflammation relevant hallmarks

of GSEA terms, including Interferon gamma response, Interferon alpha response, Allograft

rejection, Complement, and Inflammatory response, are observed in all three groups; however,

it clearly shows that the hallmark of interferon-alpha response is much more enriched in the

HER2 low group. This is also consistent with the observation that the HER2 low group exhibits

inflammation features by GSEA enrichment analysis using the tumor only. Very specifically,

hallmarks of DNA repair and Angiogenesis are only enriched in the HER2 high group; the

hallmark of PI3K/Akt/mTOR signaling is only observed in the HER2 low group; and the

hallmark of Apical junction only shows in the HER2 negative group. Since the tumor

differential stage of GCA patients is significantly associated with HER2 groups from clinical

pathological association analysis, and the enrichment of the Apical junction hallmark and

stronger enrichment of EMT in the HER2 negative group from tumor vs. NAT, our GSEA

analysis strongly indicates HER2 expression is associated with cancer differentiation.

Next, we performed differential protein expression analysis between tumor vs. NAT for each

HER2 group (Fold Change > 1.5, p < 0.05) (**Figure 2E-G**, **Supplementary Figure 3C**,

Supplementary Table 6). We identified 167 up-regulated proteins and 299 down-regulated

proteins in tumors of the HER2 high group, 99 up-regulated proteins and 251 down-regulated

proteins in the tumors of the HER2 low group, and 154 up-regulated proteins and 305 down-

regulated proteins in the tumors of the HER2 negative group. The significant difference of

HER2 protein level is only observed in the HER2 high group but not in the low and negative

groups (**Supplementary Table 6**), which further indicates our grouping strategy works well.

Gene ontology (GO) terms enrichment analysis from three HER2 groups using significant up-

regulated proteins from the tumor part as the input (**Figure 2H**, **Supplementary Table 7**). The

top 10 enriched GO terms in the HER2 high group are about extracellular matrix organization

(4 GO terms), DNA replication (2 GO terms), defense response to bacterium (2 GO terms),

protein hydroxylation, and biological process involved in symbiotic interactions (**Figure 2H**).

Minichromosome maintenance (MCM) proteins, MCM2, MCM3, and MCM7, are involved in

replication control[35] and in the differential protein list of the HER2 high group, which further

demonstrates the stronger proliferation features in the HER2 high groups. Very interestingly,

the top 10 GO terms in the HER2 low group are all from immune-response-relevant terms, e.g.,

antigen processing and presentation of peptide antigen, major histocompatibility complex

(MHC) protein complex, and defense response. Seven of the top 10 enriched GO terms in the

HER2 negative groups overlap with the top 10 enriched GO term from the HER2 low group.

However, three GO terms from the Top 10 enriched GO term in the HER2 low group about

response to virus, defense response to virus, defense response to symbiont, and Response to

virus are both significantly enriched in HER2 high and low groups but not in the HER2 negative

group. Interestingly, three GO terms of extracellular matrix, including Extracellular matrix

organization, Extracellular structure organization, External encapsulating structure

organization, are both enriched in HER2 high and negative groups but containing different

gene sets (**Figure 2H**), where TGFB1 is specifically up-regulated in the HER2 negative group.

It is well known that TGFB1 plays an important role in epithelial to mesenchymal transition

(EMT)[36]; thus, the up-regulated of TGFB1 in the HER2 negative group further suggests the

distinct cancer differentiation stage in the HER2 negative group compared with the others.

We also focused on the tumor up-regulated protein analysis and extracted the common and

unique proteins in the three HER2 groups (**Supplementary Figure 4**, **Figure 2E-G**). With

cross-comparison, there are 60 HER2 high group-specific proteins, where HER2 protein is one

of them. MCM2, MCM3, and MCM7, which are included in DNA replication and repair[37,38],

are specifically enriched in the HER2 high group; such observations further demonstrate the

function of HER2 in the regulation of the cell cycle. In the HER2 low group, there are only 15

specific up-regulated proteins, where inflammatory-relevant proteins[39], including TAP1, TAP2,

IFT1, and IFIT3, are specifically enriched in those proteins, which agrees with the findings in

GSEA and GO term enrichment analysis and further indicates the strong inflammation features

in the HER2 low group. In the HER2 negative group, 47 specific proteins are identified, where

extracellular matrix organization proteins, such as FBLN5, TGFB1, FSCN1, VWA1, COL4A1,

MMP2, RB1, and EFEMP2, are included. The MHC is also known as the human leukocyte

antigen (HLA) system, and it plays a pivotal role in the immune system's ability to recognize

and respond to tumors and antigen processing and presentation proteins[40]. It is worth noting

that HLA-DRA and HLA-A are only enriched in HER2 negative groups. Sixty-two common

proteins with higher expression in tumors compared to NAT were also identified with such a

comparison. In summary, the comprehensive analysis of protein expression from MS in

different HER2 GCA patients revealed very distinct protein expression features based on HER2

expression level. HER2 high groups have stronger proliferation and DNA repair features, while

HER2 negative and low groups exhibit stronger inflammation features. EMT features observed

in HER2 negative groups also indicate that HER2 may play important roles in tumor

differentiation. Our detailed characterization of protein expression for each HER2 group may

provide unique guidance for therapeutical design.

Variations in genome instability across HER2 subgroups in GCA with consistent tumor

mutational burden

Next, we examined genome mutation and amplification with WES of tumors (n = 113),

peripheral blood (n = 112), and NAT (n = 1) among the three HER2 groups (**Figure 3**,

Supplementary Figure 5A, **Supplementary Table 8**). Somatic short variants, including

single nucleotide variants (SNV) and insertions and deletions (InDels), frequencies analysis of

the three distinct HER2 groups showed: the HER2 high group, with a median count of short

variants 96; the HER2 low group, with a median count of short variants 87; and the HER2

negative group, with a median count of short variants 80 (**Supplementary Figure 5B**). It's

worth noting that although a slight reduction in frequencies of SNV and InDels were observed

in the HER2 negative group compared to the other two groups, statistical analysis did not reveal

any significant differences (**Supplementary Figure 5B**). Additionally, our investigation

revealed a high degree of similarity in mutation types across all three groups (**Supplementary**

Figure 5C). Furthermore, our assessment of tumor mutation burden (TMB) in these groups

showed no significant distinctions in either non-synonymous or SNV frequency

(**Supplementary Figure 5D**). Moreover, we delved deeper into the mutation landscape by

ranking the top 15 mutated cancer genes in the whole cohort and within different HER2 groups

(**Figure 3A**, **Supplementary Table 9**). These cancer genes included *TP53* (77.9%), *MUC16*

(27.4%), *CSMD3* (23.0%), *FAT3* (23.0%), *LRP1B* (19.5%), *FAT4* (19.5%), *MUC4* (19.5%),

PREX2 (15.0%), *SPTA1* (14.1%), *ARID1A* (13.2%), *BIRC6* (12.3%), *DCC* (11.5%), *GNAS*

(10.6%), *CTNNA2* (10.6%), *COL5A1* (9.7%), *APOB* (9.7%), *DMD* (8.8%), *NBEA* (8.8%),

ZFHX3 (8.8%), and *PTPRT* (8.0%). Notably, *TP53,* a tumor suppressor gene*,* emerged as the

top-ranked mutated one, with a prevalence of 77.9% across all patients, 88.1% in the high

group, 71.1% in the low group, and 73.1% in the negative group. *MUC16* was present in 27.4%

of all patients, 21.4% in the high group, and higher in both the low (31.1%) and negative (30.8%)

groups. *FAT3* exhibited a higher prevalence in the high group (22.6%) and the low group

(22.4%), but was found in only 15.4% of the negative group. Additionally, we ranked the most

mutated genes based on gene pathways, revealing that genes involved in the Cell cycle, T cell

differentiation and proliferation, Ras signaling, Notch signaling, MAPK, and Histone

modification are prominently featured at the top of the ranking list (**Supplementary Figure**

5E). In summary, our findings suggest that the mutations observed in different HER2 groups

do not exhibit significant variation, underscoring that TMB may not be the primary

distinguishing factor among these three groups.

Subsequently, we conducted an assessment of chromosomal instability (CIN) in three distinct

groups using genome integrity index (GII). The results unequivocally demonstrate

substantially higher genome instability in the HER2 high group when compared to the HER2

negative group (**Figure 3B**, p = 0.015). Even with a higher number of copy number alterations

(CNA) from the HER2 high group, the analysis of CNA reveals a statistically non-significant

difference among the three groups (**Figure 3C**, p = 0.064). To have a better understanding of

genome amplification among the three HER2 groups, we also calculated the amplification

frequency of the genome based on bins of the genome with a 1Mbp window size (**Method**,

Figure 3D, **Supplementary Figure 6**, **Supplementary Table 10**). With such an approach, 158

regions (1Mbp) have a significantly different amplification among these three HER2 groups.

In brief, 142 regions amplify more than the other two groups in the HER2 high group, and 16

regions amplify more than the HER2 high and low groups in the HER2 negative group

(**Supplementary Table 10**). However, there are no regions amplifying more from the HER2

low group compared to the other two. Furthermore, we also observed substantial copy number

amplifications in specific subregions of Chromosome 15 and Chromosome 20 in both the

HER2 high and low groups, which were not as prevalent in the HER2 negative group (**Figure**

2D, **Supplementary Figure 6**). Clearly, subregions of Chromosome 13, 15, and Chromosome

17 in HER2 high amplify more than the other two groups (**Figure 3D**, **3E**). Surprisingly,

subregions of Chromosome 19 have high amplification in both HER2 high and negative groups

but not in the low group (**Figure 3D**). We also checked the occupancy of oncogenes and tumor

suppressor genes (TSG) in the different amplification regions (**Figure 3D**, **3E**). Many

oncogenes presenting subregions, e.g., *CCNE1*, *MLLT1*, *CCND3*, *FGFR3*, et al., are highly

amplified in HER2 high but not the other two groups (**Figure 3D**). Very surprisingly, the

subregion of chromosome 13, containing a TSG, *BRCA2*, amplified higher in the HER2 high

group than that of HER2 low and negative groups (**Figure 3E**, p = 0.0455). We also observed

there is a markedly higher frequency of amplifications observed in the *ERBB2* gene loci within

the HER2 high group (31%, p = 1.4397e-06), whereas such amplifications are not prominent

in the low or negative groups (0%) (**Figure 3D**, **3E**). This observation aligns with our prior

research, which established a link between *ERBB2* focal amplification and improved prognosis

in GCA patients[13]. It is worth noting, another TSG, *BRCA1*, also located on Chromosome 17

and ~2Mbp away from the *ERBB2* gene, is also with a higher number of amplifications in the

HER2 high group comparing with others, even statistically such difference is not significant

(7.14% for high group, 2.27% for low group, and 0% for the negative group, p = 0.249) (**Figure**

3D, **3E**). *BRCA1* and *BRCA2* are both TSGs, and their functions are well-studied in breast

cancer[41], playing an important role in DNA repair in tumors[42]. The high-frequency

amplification of these two TSGs in the HER2 high group may interpret the enrichment of

GSEA and GO terms related to DNA repair in the HER2 high group. High levels of HER2

expression are well-known as a worse prognostic marker for breast cancer[43], which is very

different from GCA patients. The high amplification of *BRCA1* and *BRCA2* in the HER2 high

group may also explain the potential reason for the favorable prognosis in the HER2 high group

of GCA patients. In summary, our findings lead us to conclude that HER2 subgroups in GCA

with consistent tumor mutational burden, but the HER2 high group exhibits a notably higher

frequency of genome amplifications in comparison to the other two groups.

Strong inflammation features are observed in HER2-negative groups

Next, we examined the gene expression features in each HER2 group with RNA-seq

(**Supplementary Figure 7A**), namely the High group (n = 19), Low group (n = 18), and

Negative group (n = 17). Clearly, the RNA expression profiles from tumors are distinct from

NATs (**Supplementary Figure 7B**). We undertook a comprehensive investigation of gene

signatures of each HER2 group, employing two distinct analytical strategies: tumor-only

comparison and tumors comparison with their corresponding NAT within the same group

(**Figure 4**).

In the tumor-only comparison, we first conducted GSEA of cancer hallmarks for the signature

genes specific to each group. This analysis revealed that the HER2 high group exhibited

significant enrichment in six cancer hallmark terms (**Figure 4A**, **Supplementary Table 11**),

including E2F target, MYC targets v1 and v2, G2/M checkpoint, DNA repair, and Oxidative

phosphorylation. The stronger proliferation feature in the HER2 high group agreed with

features captured from GSEA enrichment from the protein assay. It is worth noting that DNA

repair features are extracted from gene expression but not the protein assay; one possibility is

the number of proteins captured in MS is much less than in RNA-seq. Meantime, the strong

DNA repair feature in the HER2 high group further indicates that the HER2 high group has a

better capacity to repair DNA damage. In the HER2 low group, with a notable 20 cancer

hallmarks being enriched, where terms, E2F target, MYC targets v1 and v2, G2/M checkpoint,

and DNA repair, were observed in both the HER2 high and low groups. In addition, TNFA

signaling via NFκB and Interferon gamma response, signifying stronger inflammation, were

also enriched in the HER2 low group. In contrast, the HER2 negative group only displayed

enrichment in Inflammatory response and Allograft rejection. Enrichment of inflammation

feature in both the HER2 low and negative groups suggests there is stronger inflammation in

the HER2 low and negative GCA tumor. Then, we conducted a differential gene expression

analysis across the three different HER2 groups, identifying a total of 572 significantly

differentially expressed genes (Fold Change > 1.5, FDR < 0.05) (**Figure 4B**, **Supplementary**

Figure 7C, **Supplementary Table 12**). These genes were assigned to the HER2 high (n = 35),

HER2 low (n = 34), HER2 negative (n = 89), common HER2 high and low group (n = 322),

common HER2 low and negative group (n = 25), and common HER2 high and negative group

(n = 67) (**Figure 4B**). The presence of the *ERBB2* gene within the signature gene list of the

HER2 high group further corroborates the accuracy of our grouping strategy. Interestingly, we

found oncogenes, *MYC*, *FOSL1*, *EREG*, *EGF*, *IER5*, and *BCL6,* are enriched in the HER2 low

group only. But, *CCNE1*, a cell cycle-controlling gene, is enriched in both HER2 high and low

groups but not in the HER2 negative group, which probably reflects the stronger proliferation

features of HER2 high and low groups. *H2AFX* gene plays an important role in DNA repair[44]

and is also enriched in only HER2 high and low groups, which also agrees with the enrichment

of DNA repair hallmark in the HER2 high group from protein analysis (**Figure 2D**). Infection

and immune response genes[45], e.g., Tumor necrosis factor (TNF) superfamily genes (*TNFSF4*

and *TNFSF18*), and Tripartite motif family genes (*TRIM50*), are only enriched in HER2

negative groups. In addition, the inflammatory cytokine interleukin 6 (*IL-6*) [46] was observed

with a much higher expression level in the HER2 negative group compared with the HER2

high and low groups, even statically not significant (log2 (Fold Change) = 1.52, p = 0.056)

(**Supplementary Table 12**). Such observation further suggests the stronger inflammation in

the HER2 negative group.

We subsequently performed GSEA analysis in each HER2 group with tumor vs. their

corresponding NAT (**Figure 4C**, **Supplementary Table 13**). Notably, we identified common

strong enrichment in cancer hallmarks among these three groups, such as Interferon gamma

response, Myc targets v1, G2/M checkpoint, interferon alpha response, E2F targets, and

allograft rejection. The hallmark of EMT was also observed in all three groups, but there is

much stronger enrichment in the HER2 low and negative groups, similar to the observation in

protein analysis among different groups. Furthermore, terms related to complement,

coagulation, and Kras signaling were enriched in all three HER2 groups. However, specific

enrichment patterns distinguished these groups, with TNFA signaling via NFκB, Apical

junction, IL-6/JAK2/STAT3 signaling, Apoptosis, IL2 STAT5 signaling, UV response UP, and

TGF-beta signaling being unique to HER2 low and negative groups. Myogenesis, Hypoxia,

and UV response DN were only enriched in the HER2 negative group. Meanwhile, terms

related to mitotic spindle, mTORC1 signaling, glycolysis, and unfolded protein response were

exclusively enriched in the HER2 high and low groups. The hallmark of DNA repair is much

more strongly enriched in the HER2 high and low groups. In summation, our analysis

underscores the presence of a robust DNA repair signature in the HER2 high group, potentially

indicative of a positive prognostic indicator for HER2 high patients. Furthermore, the stronger

enrichment of the inflammatory response in the HER2 low and HER2 negative groups suggests

the presence of prominent inflammatory features within these groups.

Additionally, we conducted a differential gene expression analysis by comparing tumor tissues

with their corresponding NATs (Fold Change > 2, FDR < 0.05). This analysis identified 3409

differentially expressed genes in the HER2 high group, 3603 differentially expressed genes in

the HER2 low group, and 3057 differentially expressed genes in the HER2 negative group

(**Supplementary Figure 8A**, **Figure 4D**, **Supplementary Table 14**). We focused on up-

regulated genes in tumors comparing with NAT and found that 605 genes are commonly up-

regulated in all three groups, 599 genes are HER2 high group-specific up-regulated, 409 genes

are HER2 low group-specific up-regulated, and 649 genes are HER2 negative group-specific

up-regulated (**Supplementary Figure 8B**, **Supplementary Table 15**). The common

upregulated genes among different groups are: 434 genes between HER2 high and low group;

386 genes between HER2 low and negative group; 112 genes between HER2 high and negative

group. As expected, *ERBB2* is significantly expressed in the HER2 high group of tumors

comparing with their NAT but not in low and negative groups. Intriguingly, among these genes,

the MHC class II gene *HLA-DRB1* is exclusively active in the HER2 negative and low groups

(**Figure 4D**). Given the significance of the MHC in immune responses against tumors, our

observations prompted a comprehensive examination of all MHC molecules (**Figure 4E**),

including both class I and class II genes, across the three distinct HER2 groups. Our analysis

revealed that *HLA-A* and *HLA-B* were highly expressed in tumors of all three HER2 groups,

while *HLA-G* exhibited high expression in the tumors of both the HER2 high and low groups.

Interestingly, *HLA-C* displayed high expression exclusively in the HER2 negative groups.

Strikingly, with the remaining MHC class II genes, *HLA-DMB*, *HLA-DRA*, *HLA-DQB1*, and

HLA-DQA1, being active solely in the HER2 low and negative groups.

In our subsequent analysis, we delved into GO term analysis using the list of up-regulated

genes in tumors obtained from the comparison of tumors with NATs (**Supplementary Table**

16). To confirm the strong proliferation and DNA repair features of HER2 high expression,

and the inflammation feature of the HER2 negative group from GSEA analysis, we first

extracted and compared the GO terms from the cell cycle (**Supplementary Figure 9A**), DNA

repair (**Supplementary Figure 9B**), and inflammatory (**Supplementary Figure 9C**)

signatures in three groups. It clearly showed cell cycle and DNA break repair-relevant GO

terms strongly enriched in the HER2 high group and low group (**Supplementary Figure 9**),

but inflammatory terms strongly enriched in the HER2 negative group. We also extracted

exclusively up-regulated genes in each HER2 group for these targeted GO terms

(**Supplementary Table 17**). In the list of exclusive genes from DNA repair GO terms, it is

worth noting that *H2AFX* (known as *H2AX*) and *BRCA2* genes, important genes in DNA

repair[42,44], are significantly expressed in the HER2 high group of tumors comparing with its

corresponding NAT but not in the HER2 low and negative groups (**Figure 4D**, **Supplementary**

Table 17). Strikingly, *BRCA1* gene is expressed significantly higher in the tumor comparing

with NAT in the HER2 high group (Log2(Fold Change) = 0.94, FDR = 0.00013) and low group

(Log2(Fold Change) = 0.97, FDR = 0.00014), but not in the HER2 negative group (Log2(Fold

Change) = 0.28, FDR = 0.3463), even though the Fold Change is slightly lower than the cutoff.

Putting together, we further confirmed that strong DNA repair features are only available when

HER2 is expressed in GCA patients. Combining CNA analysis of *BRCA1* and *BRCA2* loci

from three HER2 groups (**Figure 3D**, **3E**), our results suggested that the amplification of

BRCA1 and *BRCA2* could potentially contribute positively to the higher expression of these

two genes in HER2 positive groups, boost the DNA repair capacities in HER2 expressed group

and further contribute to the better prognosis of GCA patients. Subsequently, we also extracted

the significantly enriched MHC class I and class II GO term from three groups; it clearly

showed MHC class II GO terms are only enriched in the negative group (**Supplementary**

Figure 9D). The list of exclusive genes from the HER2 negative group in such MHC class II

GO terms contains a large number of MHC class II genes and its relevant genes, *TREM2*, *CTSL*,

CTSS, *PYCARD*, *HLA-DRB1*, *HLA-DMB*, *HLA-DRA*, *HLA-DQA1*, *HLA-DQB1*, *HLA-DQB2*,

et al (**Supplementary Table 17**). Such findings further demonstrate the existence of distinct

anti-inflammatory responses from the immune system in the HER2 negative group.

We also examined the top-ranking enriched GO terms for each HER2 group. Notably, our

findings reveal a striking pattern (**Figure 4F**): in the HER2 high group, a remarkable all the

top 15 GO terms pertain to processes associated with the mitotic cycle and chromosome

segregation. This pattern is mirrored in the HER2 low group, where 9 of the top 15 GO terms

similarly center around mitotic cycle processes and chromosome segregation. However, the

HER2 low group exhibits an additional layer of complexity, with terms being relevant to

inflammation, including processes such as leukocyte chemotaxis. In contrast, the top 9 of top

15 GO terms in the HER2 negative group predominantly revolve around inflammation-related

processes, accounting for a substantial 60% of the terms. Furthermore, a noteworthy

observation emerges when comparing the top three GO terms in both the HER2 low and

negative groups, as they overlap and pertain to extracellular matrix organization, extracellular

structure organization, and external encapsulating structure organization. When taken in

conjunction with the GSEA results, this convergence strongly supports the presence of

heightened inflammation and cytokine secretion in the HER2 negative groups. To validate our

hypothesis, we first examined the differential expression levels of all cytokine genes between

tumor and tumor-adjacent tissue within each HER2 group (**Figure 4G**). Our analysis revealed

the presence of commonly active cytokine genes across all three HER2 groups (*IL-11*, *CSF2*,

CXCL6, *CXCL8*, *CXCL9*, et al), as well as shared activation between the high and low groups

(*CMTM7*, *CCL7*, *MET,* et al) and the low and negative groups (*OSM*, *CCL13*, *CCR1*, *CCR8,*

et al). Notably, the HER2 negative groups exhibited a greater number of active cytokine genes

compared to the other two groups. Specifically, 22 cytokine genes were exclusively active in

the tumors of the HER2 negative group, including *IL-6* and *IFNG* (**Figure 4G**). *IL-6* is well-

known for its function in host defense through the stimulation of acute phase responses and

also plays a pathological role in chronic inflammation and autoimmunity[46]. In humans, the

IFN-γ protein, encoded by the *IFNG* gene, is the only known type II class of interferons and

plays an important role in both innate and adaptive immunity[47]. It was also reported that IFN-

γ is able to promote inflammation, antiviral or antibacterial activity, and cell proliferation and

differentiation[48]. The higher gene expression of *IL-6* and *IFNG* genes in tumors of the HER2

negative group further demonstrates the existence of distinct inflammatory responses from the

immune system in the HER2 negative group. While the presence of inflammation features in

all tumors is not surprising, given that inflammation is recognized as one of the hallmarks of

tumors[49], the enhanced inflammation observed in the HER2 negative and low groups is a novel

finding. In our second examination, we assessed the gene expression levels of a reported set of

tumor inflammation genes[50] (**Supplementary Figure 10**) (n = 18). Our analysis revealed that

50% of these genes were significantly higher expressed in tumors of the HER2 negative group,

44.4% in tumors of the HER2 low group, and only 16.6% in tumors of the HER2 high group

(**Supplementary Figure 10**). The heightened inflammation features and the activation of MHC

molecules in the HER2 negative groups strongly suggest the existence of distinct anti-

inflammatory responses and unique tumor microenvironments within these HER2 groups.

These findings provide valuable insights into the complex interplay between the immune

system and HER2 subtypes of GCA cancer

Distinct tumor immune microenvironment and immune checkpoints in different group

of HER2 patients

In order to gain a deeper understanding of the tumor microenvironment within distinct HER2

groups, we employed a versatile computational method, CIBERSORTx[51], to profile tumor-

infiltrating immune cells and quantify their fractions using bulk RNA-Seq data derived from

both tumors and NAT in the different HER2 groups. Our objective was to compare the

composition of immune cells among these three groups. A total of 22 types of immune cells

were identified in both tumors and NAT (**Figure 5A**, **Supplementary Figure 11A**), with no

significantly different proportion of any immune cell components observed in the NAT tissues

(**Supplementary Figure 11B**). In the comparison of tumors among three HER2 groups, the

only significant proportion difference is observed in M2 macrophages (**Figure 5A**, **5B**,

Supplementary Figure 11C). Notably, significant differences emerged only between the

HER2 high and HER2 negative groups in terms of M2 macrophages (p = 0.036) (**Figure 5B**),

with higher numbers of M2 macrophages in the HER2 negative group. At the same time, the

HER2 low group also has a bigger proportion of M2 macrophages comparing with the HER2

high group, even though statistically it is not significant (p = 0.21) (**Figure 5B**). To confirm

our computational predication of the availability of M2 macrophages in the tumor, we

performed immunofluorescent staining of M2 macrophage specific marker CD163[52] in three

different groups (**Figure 5C**, **5D**, **Supplementary Table 18**). The result proved there are more

M2 macrophage in HER2 low (p = 0.016) and negative group (p = 0.18) comparing with the

HER2 high group. M2 macrophages are often considered as anti-inflammatory or

immunoregulatory cells[53]. They help to limit and resolve the inflammatory response by

producing anti-inflammatory cytokines and promoting tissue repair[53-55]. It is not surprising to

observe a larger proportion of M2 macrophage in the HER2 negative and low group, since the

stronger inflammation is observed in them, and a larger proportion of M2 macrophages may

play a role in suppressing excessive inflammation in them. In addition, it was shown that M2

macrophages are associated with tumor-promoting activities and contribute to an

immunosuppressive environment within the tumor[53-56], making it more difficult for the immune

system to mount an effective anti-tumor response. Thus, our result also suggests HER2

negative and low groups are with a tumor-suppressive immune microenvironment and could

contain different immune response systems comparing with the HER2 high group. These

variations in the proportions of M2 macrophages suggest the presence of distinct immune

environments within the different HER2 groups, potentially characterized by differing immune

inhibitory checkpoints.

Given the widespread use of immune checkpoint blockade therapies in gastrointestinal

tumors[23-25,57], we sought to determine whether there were distinct immune inhibitory

checkpoints among three HER2 groups. To achieve this, we compared the RNA expression

levels of key immune inhibitory and stimulatory checkpoint genes, along with their paired

ligands and receptors[58], between tumor and NAT in each HER2 group (**Figure 5E**).

Surprisingly, we did not observe significant differences in the PD-L1 (*CD274*) and PD1

(*PDCD1*) gene pairs. Instead, we noted substantially higher PD-L2 (*PDCD1LG2*) gene

expression in the HER2 low and negative groups, in contrast to the HER2 high group. While

PD1/PD-L1 inhibitors are frequently employed in immune therapy in gastroesophageal cancers,

their outcomes vary among patients[23,24]. Our findings suggest that PD-L2 inhibitors may be a

more suitable option for immune therapy in HER2 low or negative groups. Furthermore, we

identified significantly higher expression of the *SIRPA* gene in tumor tissue compared to NAT

in both the HER2 low and negative groups, but not in the HER2 high group. The interaction

between macrophage checkpoint CD47-SIRPα has garnered attention as a potential target for

cancer therapy[59-61], although it has not been extensively explored in gastrointestinal tumors.

Our findings provide compelling evidence that SIRPA or CD47 inhibitors may hold promise

for therapeutic use in HER2 low and negative groups. Additionally, we observed higher

expression of *FGL1* in the HER2 negative group. *LAG3* is considered one of the most

promising immune checkpoints alongside PD-1 and CTLA-4[62]. Elevated expression of LAG3

and FGL1 promotes tumor growth by inhibiting the immune microenvironment[63,64]. Therefore,

specific LAG3/FGL1 inhibitors may prove to be ideal candidates for the HER2 negative group

in the future. Lastly, we identified higher expression of *HHLA2* in both the HER2 high and low

groups. Among the common active immune checkpoint genes across all three HER2 groups

are *CD86*, *TNFSF18*, *CD86*, *IDO1*, *TNFRSF9*, *TNFRSF4*, and *HAVCR2*. The HER2 low group

displayed specific immune checkpoints in *PVR* and *CD276*. In summary, the identification of

common and unique immune checkpoints within different HER2 groups in GCA offers

valuable insights into potential targets for future clinical trials in immune therapy. These

findings hold promise for the development of tailored immune-based therapeutic strategies to

improve patient outcomes.

HER2 group-specific ligand-receptor signaling between tumors and NAT macro-environment

The distinct inflammation features and unique tumor immune microenvironments observed within the various HER2 groups prompted us to investigate ligand-receptor signaling between tumor and NAT from paracrine pathways (**Figure 6**, **Supplementary Figure 12**). Our analysis focused on growth factors, inflammation-related factors, and fibrosis-related ligand-receptor pairs in these tissues. We visualized these interactions by considering their directionality and connectivity strength (**Figure 6A**). We identified different ligand-receptor signaling patterns for each HER2 group (**Figure 6B**). Specifically, ACTIVIN, NODAL, SEMA3, and BMP were unique to the HER2 negative group. It is worth noting that NODAL and BMP, members of the TGF-beta superfamily[65,66], were both specific to the HER2 negative group. However, NODAL was secreted from the NAT to the tumor tissue, while BMP is secreted from the tumor to NAT. In the HER2 low group, we found specific pathways involving AVP and SOMATOSTATIN. The HER2 high group exhibited six unique pathways, including EGF, WNT, EDA, AGT, PMCH, and BRADYKININ. Notably, the common pathways between the HER2 low and negative groups were LIFR, IL10, IFN-I, ENHO, CALCR, which are inflammation-relevant factors[67], underscoring shared inflammation features in these groups. There is no shared pathway among all three HER2 groups (**Figure 6B**). NRG pathway, which belongs to the epidermal growth factor family and interacts with receptors of ERBB family[68], is only observed in HER2 high and negative groups. However, the directionality and connectivity features within the NGR pathway were notably distinct among them (**Figure 6C**). This pathway initiated from the NAT with NRG1 and targeted the tumor tissue through ERBB2 and ERBB3 receptors in the HER2 high group. In the HER2 negative group, where ERBB2 was absent, the receptor in the tumor was ITGAV and ITGB3, activated by the signal from NRG1 in the NAT. However, in the HER2 low group, the pathway began with NRG4 in the NAT, targeting NAT through ERBB2 and ERBB4 receptors. Our newly unveiled HER2 group-specific interactions between the tumor microenvironment and macroenvironment further support the distinct tumor features present in the different HER2 groups. Additionally, these findings provide potential molecular targets for future therapeutic applications.

Negative HER2 expression is associated with fatty acid metabolic profiles and inflammation in blood of GCA patients

Next, we conducted a meticulous metabolic profiling of peripheral blood mononuclear cells (PBMC) utilizing the ultrasensitive liquid chromatography with tandem mass spectrometry

(LC-MS-MS) method in our cohort of 128 GCA patients. The objective was to perform a

comprehensive comparison among three HER2 groups, aiming to identify potential peripheral

metabolic features or biomarkers. From this analysis, we identified a total of 784 components

from PBMC (**Supplementary Figure 13**, **Supplementary Table 19-21**).

We proceeded with paired comparisons, including HER2 high group vs. HER2 low group,

HER2 high group vs. HER2 negative group, and HER2 low group vs. HER2 negative group.

When comparing HER2 high vs. HER2 low groups, only a few significantly different

components were identified (Fold Change > 1.3, p < 0.05) (**Figure 7A**). Specifically, three

components (2-Phenylacetamide, Benzoic Acid, and Norambreinolide) were unique to the

HER2 high group, while eight components (1-Aminopropan-2-ol, Trimethylamine-N-Oxide,

Carnitine-C20-2, Arachidyl glycine et al) were specific to the HER2 low group (**Figure 7B**).

This observation indicated a high degree of similarity between the metabolic profiles of PBMC

in the HER2 high and low groups. Notably, the three specific components found in the HER2

high group were natural products in the blood with unclear biological functions.

Trimethylamine-N-Oxide, previously reported to be highly relevant to inflammation in the

intestine[69], likely reflects inflammation features in the HER2 low group. Carnitine-C20-2 is

associated with fatty acid metabolism[70], suggesting different fatty acid metabolic mechanisms

between the HER2 high and low groups. In the comparison between HER2 low and negative

groups (**Figure 7A**, **7B**), 16 specific components were identified for the HER2 low group, and

9 specific components were found for the HER2 negative group (Fold Change > 1.3, p < 0.05).

Notably, components related to chronic inflammation, such as prostaglandin F2 alpha (PGF2

alpha) and thromboxane B2 (TXB2), were enriched in the HER2 negative group, corroborating

the presence of inflammation features in this group. Among the 9 specific components in the

negative group, Glycocholic Acid, Glycine deoxycholic acid, and 3-Methylcrotonyl glycine

were products of fatty acid metabolism. In contrast, products of carbohydrate metabolism,

including Kojibiose, D-positive Turanose, and D-Glucose, were only enriched in the HER2

low group. This divergence indicated an association between HER2 expression and distinct

metabolic mechanisms in PBMC. This association was further observed in the comparison

between HER2 high and negative groups (**Figure 7A**, **7B**), where 12 specific components were

identified for the HER2 high group, and 34 specific components were found for the HER2

negative group (Fold Change > 1.3, p < 0.05). Within the HER2 negative-specific components,

11 out of 34 components, such as Carnitine ph C14, glycine deoxycholic acid, and glycocholic

acid, were related to fatty acid metabolism[71]. Conversely, fatty acid metabolism-related

products were not significantly enriched in the HER2 high group, while carbohydrate

metabolic-relevant products, such as D-glucose, D-positive Turanose, Kojibiose, and D

Glucoronic Acid, were specific to the HER2 high group. Additionally, components relevant to

inflammation, PGF2 and TXB2[72], were also observed in the HER2 negative-specific list.

Remarkably, lysophosphatidylcholine (LPC) family products, including LysoPC-15, -17, and

-20, were significantly enriched in the HER2 negative group. LPC is reported to play a

significant role in atherosclerosis and inflammatory diseases[73].

To confirm this intriguing finding, we cross-checked metabolic components by comparing

PBMC metabolic lists between HER2-positive GCA patients (IHC HER2 3+, n = 6) and HER2-

negative GCA patients (IHC HER2 0+, n = 69) from another GCA cohort (**Figure 7C**,

Supplementary Table 22). We identified 10 HER2 3+ specific metabolic products and 46

HER2 0+ specific metabolic products. The results clearly demonstrated that LPC family

products, such as LysoPC-18, were specifically significantly enriched in HER2 0+ GCA

patients. Therefore, the higher enrichment of LPCs in HER2-negative groups and HER2 0+

GCA patients strongly indicates the association between inflammation features and the absence

of HER2 expression. Furthermore, our metabolic analysis in PBMC strongly indicates an

association between HER2 expression, metabolic types, and inflammation in patients' blood.

Blood tests are common procedures in clinical diagnosis, and our findings could be valuable

for revealing potential prognostic biomarkers in PBMC to identify HER2-relevant groups of

GCA patients. In the future, conducting studies with a larger sample size of GCA patients could

further elucidate valuable HER2-relevant prognostic markers during the early blood tests for

GCA patients.

Discussion

In this study, we employed a highly sensitive molecular counting method utilizing mass

spectrometry to assess HER2 expression in GCA patients. The assessment categorized patients

into HER2-high, -low, and -negative groups, leading to the acquisition of novel insights into

GCA and the identification of new therapeutic targets, particularly within the HER2-negative

subgroup. Our analysis revealed HER2 as a significant prognostic marker for esophagogastric

junction adenocarcinoma, GCA, and EAC. The HER2-high group exhibited a better prognosis

independent of therapeutic strategies, contrary to the typically worse prognosis associated with

high HER2 expression in other tumors, such as breast cancer[43]. This intriguing observation

suggests a distinctive role of HER2 in GCA compared to other cancer types. While anti-HER2

therapy combined with immune therapy shows promise for HER2-positive patients with IHC

scoring[23,24,31], the limited positive rate of HER2 IHC staining necessitates alternative

therapeutic approaches, such as chemotherapy and immune therapy[26-28], for HER2-negative

groups. Our multiple omics molecule profiling and HER2 grouping method uncovered new

therapeutic targets for HER2-low and -negative groups. Despite observing no significant

difference in mutation burdens among the three HER2 groups, we found heightened genome

instability in the HER2-high group. Notably, there was a significant enrichment of DNA repair

pathways in the HER2-high GCA group, possibly contributed by the amplification and

overexpression of TSGs, *BRCA1*, and *BRCA2* genes, potentially explaining the improved

prognosis in this subgroup. Strong proliferation features in the HER2-high group could serve

as targets for therapeutic designs. Conversely, HER2-low, particularly HER2-negative groups,

displayed significantly stronger inflammation features. Thus, it is reasonable to consider anti-

inflammatory drugs as part of the treatment regimen for HER2-low and -negative group

patients, in conjunction with other therapeutic methods.

Furthermore, our result also suggested HER2-negative and low groups have a tumor

suppressive immune microenvironment compared with the HER2-high group. Our work delves

into the response variability of GCA patients to specific immune inhibitory checkpoint

treatments, such as anti-PD-1 and PD-L1[23,24]. Identifying distinct tumor microenvironments

and variations in immune inhibitory checkpoints across HER2 expression groups, we propose

PD-L2 and the CD47/SIRPA pair as potential targets for HER2-negative and low groups. These

newly identified immune inhibitory checkpoints offer prospective therapeutic avenues for

individualized treatment in GCA patients. We also unveiled HER2 group-specific interactions

between the tumor microenvironment and macroenvironment and provide potential molecular

targets for future therapeutic applications. Lastly, our work indicated negative HER2

expression is associated with fatty acid metabolic profiles and inflammation in the blood of

GCA patients, and a larger sample size of GCA patients could further elucidate valuable HER2-

relevant prognostic markers during the early blood tests for GCA patients. In summary, our

study not only validates HER2 as a prognostic marker for GCA but also identifies molecular

targets tailored to specific GCA subsets. These insights hold the potential to guide future

clinical trials and advance targeted therapy approaches in gastroesophageal cancers.

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Author contributions

L.D.W. and X.C conceived and designed the study. P.X analyzed all omics data. X.K.Z and

X.S analyzed the correlation of omics and clinic-pathological data and follow-up data. X.K.Z

and L.L.L analyzed the immunohistochemistry. All the other authors collected clinical samples

and related clinical information, performed experiments, and interpreted data. P.X and M.X.W

wrote the materials and methods. X.C wrote the manuscript by collecting information from all

authors. L.D.W. made critical comments and suggestions to finalize the manuscript. All authors

read the manuscript.

Declaration of interests

There is no conflict of interest from all authors.

Materials and Methods

Study population, tissue and blood collection

All the 128 patients clinically diagnosed with GCA from January 2019 to February 2020 were

enrolled in this study, including 102 males with an mean age ± SD of 64.6 ± 7.5, and 26 females

with an mean age of 64.7 ± 6.3. All the patients were from Henan, Hebei, and Shanxi provinces,

northern China, the highest incidence areas for both GCA and esophageal cancer. None of these

patients had undergone preoperative radiation therapy or chemotherapy, and they had no

history of other malignant tumors. All the patients were performed radical cardiectomy of GCA.

The tumor tissues and normal tissue adjacent to the tumor (NAT) from GCA patients were all

collected in the operating room, and all the samples were processed within half an hour after

removal, and each sample of tumor tissue and NATs was certified through pathological

examination by two well-trained pathologists separately. The clinical characteristics and

survival data were summarized in the analysis (**Supplementary Table 1**). Staging of GCA was

determined by two pathologists based on the eighth edition of the AJCC (American Joint

Committee on Cancer's Staging System). This study was approved by the Ethics Committee of

Zhengzhou University (Approval No: 2021-0019). The last follow-up was at the end of March

2023.

Fasting blood samples were meticulously obtained from GCA patients before surgical

interventions during their hospitalizations. Each patient contributed two whole blood samples,

with distinct processing purposes. One sample was drawn into a non-anticoagulant tube to

facilitate metabolomic analysis following serum separation. The second sample was drawn into

an anticoagulant tube containing ethylenediaminetetraacetic acid (EDTA) for Whole Exome

Sequencing (WES). This study implemented an integrative approach, encompassing whole

exome sequencing, RNA sequencing, proteomics, and metabolomics analyses. The

investigation utilized formalin-fixed paraffin-embedded (FFPE) tumor tissue blocks for

immunohistochemistry and immunofluorescence staining.

DNA extraction, library preparation, and whole exome sequencing

Whole exome sequencing (WES) was performed on 113 pairs of fresh-frozen tissues and blood

(n = 112) or NAT (n = 1) from GCA. Genomic DNA from tumor tissue and blood was isolated

using the DNeasy Blood & Tissue Kit (69504, Qiagen, Netherlands), following the

manufacturer's instructions. Subsequently, precise quantification of DNA concentration was

carried out using the Qubit 2.0. DNA samples with a concentration of ≥ 20 ng/µL and a total

amount of at least 0.6 µg were used for library construction by Novogene (Novogene

Bioinformatics Technology Co. Ltd, Beijing, China). Whole exome library construction was

generated using the NEB Next® Ultra RNA Library Prep Kit for Illumina (E7530L, NEB, USA)

after DNA shearing, end repair, adenylate 3' ends, adapter ligation, size selection, and PCR

amplification, similar to the previous study12. High-fidelity polymerase was then used to

amplify the original library to ensure a sufficient total exome library. After library construction

was completed, Qubit 3.0 (Invitrogen, USA) and NGS3K/Caliper were used for quantification.

Following cluster generation, the DNA libraries were sequenced on the Illumina NovaSeq 6000

platform, and 150 bp paired-end reads were generated.

RNA isolation, library preparation, and sequencing

RNA sequencing involved 54 pairs of fresh-frozen tissues and corresponding normal adjacent

tissues (NAT) from GCA patients. Total RNA extraction from each sample employed the RNA

Easy Fast Cell Total RNA Extraction Kit (DP451, Tiangen, Beijing), following the

manufacturer's protocol. RNA integrity and total amount were assessed using the Agilent 2100

Bioanalyzer (G2939A, Agilent, USA). For mRNA library construction, the NEB Next® Ultra

RNA Library Prep Kit for Illumina (E7530L, NEB, USA) was used. Polyadenylated mRNA

was enriched from total RNA using oligo(dT) magnetic beads, followed by random

fragmentation. The first cDNA strand was synthesized using random oligonucleotides as

primers in the M-MuLV reverse transcriptase system. Subsequently, RNaseH degraded the

RNA strand, and the second cDNA strand was synthesized using dNTPs in the DNA

polymerase I system. The double-stranded cDNA underwent end-repair, A-tailing, and ligation

to sequencing adapters. AMPure XP beads were employed to select cDNA fragments of

approximately 370~420 bp, followed by PCR amplification and purification to obtain the final

library. Following library construction, initial quantification used the Qubit 2.0 Fluorometer,

with subsequent library dilution to 1.5 ng/µl. The Agilent 2100 Bioanalyzer was then utilized

to confirm the insert size of the library. Upon meeting the specified criteria, qRT-PCR

quantified the effective library concentration (ensuring it exceeded 2nM) for quality

verification. The final library underwent sequencing on the Illumina NovaSeq6000, generating

150 bp paired-end reads.

Protein extraction and proteomics

Qualitative and quantitative assessment of proteins were conducted on 128 pairs of fresh-frozen

tissues and corresponding NAT from GCA. Initial processing involved the cryogenic grinding

of GCA tissues into cell powder using liquid nitrogen, followed by centrifugation.

Subsequently, the cell powder underwent sonication on ice, employing a high-intensity

ultrasonic processor (Scientz), and the resultant lysate was treated with lysis buffer (8 M urea,

1% Protease Inhibitor Cocktail). Centrifugation was employed to eliminate residual debris, and

the supernatant, containing proteins, was collected. Protein concentration was determined

using a BCA kit following the manufacturer's protocol. The subsequent steps encompassed

trypsin digestion, TMT/iTraq labeling, high-performance liquid chromatography (HPLC)

fractionation utilizing a Thermo Betasil C18 column (5 μm particles, 10 mm ID, 250 mm

length), and liquid chromatography-tandem mass spectrometry (LC-MS/MS) analysis. The

acquired data were processed using the MaxQuant search engine (v.1.5.2.8) against the

Homo_sapiens_9606 database.

Metabolites extraction and metabolomics

Qualitative and quantitative profiling of metabolites were conducted on 115 serum samples

obtained from patients diagnosed with GCA. Initial processing involved the separation of

serum from whole blood samples, followed by metabolite extraction utilizing a pure methanol

internal standard extraction solution. Subsequently, the extracted metabolites underwent

analysis using Ultra Performance Liquid Chromatography Tandem Mass Spectrometry (UPLC-

MS/MS) employing an UPLC system (ExionLC AD, https://sciex.com.cn/) and MS/MS

QTRAP® (https://sciex.com/). The acquired data from UPLC-MS/MS were subjected to

analysis using Analyst 1.6.3 software.

Immunohistochemistry (IHC) of HER2 protein and HER2 Immunohistochemical

Scoring Criteria:

IHC of HER2 protein was according to the same method as our previous study[13]. In brief, 5 μm

thick formalin fixed paraffin-embedded GCA tissue sections were first deparaffined with

xylene 15 min for three times, then were dehydrated through 100% alcohol, 85% alcohol and

75% alcohol for 5 min each, followed by distilled water rinsing for 5 min. The epitope retrieval

is performed in the microware by putting the tissue into citrate buffer (pH 6.0). After the epitope

retrieval, the tissue section is rinsed in Phosphate-Buffered Saline buffer (PBS, pH 7.4). After

blocked with 3% bovine serum albumin (BSA) 30 min at room temperature, the tissues were

incubated with ERBB2 antibody (1:100 dilution, K00125, Roche) overnight at 4 °C. In the next

day, the washing is performed with PBS buffer for three times, 15 min each. The secondary

antibody (1:1000 dilution, Horseradish Peroxidase, HRP marked, PV-9000, ZSGB-BIO) was

incubated for 50 min at room temperature. After the secondary antibody incubation, the

washing is performed with PBS buffer three times on shaker, 15 min each. The tissue is stained

with the Harris Hematoxylin for 3 min. At last, the tissue section was mounted and imaged.

The HER2 scoring criteria were established and validated by two senior pathologists. Tumor

specimens were evaluated for membranous HER2 staining intensity using the following criteria:

HER2 0+: No reaction or less than 10% of tumor cells exhibited membranous HER2 staining.

HER2 1+: Faint or faintly visible membranous HER2 staining in at least 10% of tumor cells,

with only partial cell membrane HER2 staining observed.

HER2 2+: Weak to moderate basolateral, lateral, or complete membranous HER2 staining

observed in at least 10% of tumor cells. HER2 3+: Basolateral, lateral, or complete

membranous HER2 staining present in at least 10% of tumor cells.

Note: The HER2 scoring criteria were meticulously applied to assess membranous HER2

staining intensity in tumor cells, ensuring consistency through validation by two senior

pathologists.

Immunofluorescence staining of CD163

The immunofluorescence assay was conducted on paraffin-embedded (FFPE) sections

obtained from GCA specimens. Initially, FFPE slices of GCA paraffin-embedded sections

underwent baking in an oven for paraffin fixation. Immediate dewaxing of the paraffin slices

followed, involving sequential treatments with an eco-friendly dewaxing solution, anhydrous

ethanol, 90% alcohol, 70% alcohol, and distilled water. Dewaxed slices were then subjected to

low-temperature fixation. The fixing solution was meticulously prepared in a dedicated fixing

box and heated in a microwave until boiling, ensuring the absence of air bubbles. Following

fixation, rapid cooling of the slices was achieved using ice cubes. Subsequent steps included

the removal of the fixing solution with TBST, application of an appropriate sealing solution,

removal of excess sealing solution, and sequential addition of primary and secondary

antibodies targeting CD163 (ab182422, Abcam, UK). Incubation at room temperature allowed

for antibody binding. Afterwards, residual primary and secondary antibody solutions were

washed off using TBST. The cleaned slides were treated with corresponding fluorescent dyes,

followed by incubation at room temperature. Subsequent TBST washes removed any excess

dye. DAPI working solution was applied for nuclear staining, with thorough cleaning to

eliminate residual DAPI, dewaxing solution, xylene, or debris. The slides were then sealed for

further analysis.

The image acquisition was performed using the FUISON model full-spectrum scanner from

the American company Akoya, capturing all image information of the entire tissue on the slides.

Image analysis was conducted using VISIOPHARM software, initially focusing on tissue

contour recognition. Experienced pathology experts further delineated tumor tissue regions by

overlaying the mIHC-stained images onto the corresponding HE images. During this process,

the software calculated the areas of the entire tissue and tumor regions. The VISIOPHARM

software employed its inherent deep learning algorithms for cell segmentation, facilitating the

determination of total cell counts within the tissue.

The Phenplex Guided Workflow functionality in VISIOPHARM software was utilized for cell

phenotype identification, enabling the quantification of individual positive cells for each

channel and the determination of co-positive cells between channels. Subsequently, statistical

calculations leveraging the obtained data on the entire tissue and tumor area, total cell count,

positive cell counts for each channel, and co-positive cell counts between channels were

conducted. These calculations included the determination of positivity rates, area density, as

well as mean, standard deviation, and inter-batch P-values among samples.

TCGA data processing

Transcriptome profiling data from The Cancer Genome Atlas Program (TCGA) were

downloaded from the GDC Data Portal with the project IDs ESCA (Esophageal Carcinoma)

and STAD (Stomach Adenocarcinoma). The expression data, represented by TPM (Transcripts

Per Million) normalized values, were extracted from each sample. Subsequently, all samples

were merged into a unified TPM matrix. The samples were stratified into two groups based on

the median value of ERBB2 RNA levels, distinguishing between ERBB2 high and low

expression groups. The categorization was determined by the median values of ERBB2 across

the samples.

Proteomics data processing and statistical analysis

The raw LC-MS datasets were initially searched against a database and converted into matrices

containing the reporter intensity of peptides across samples. Subsequently, the relative

quantitative value for each modified peptide was determined through the following procedures:

First, the intensities of modified peptides (I) were centralized and transformed into relative

quantitative values (U) for each modified peptide in each sample: $U_{ij} = I_{ij} / Mean(I_j)$, where i

represents the sample, and j denotes the modified peptide. Second, the relative quantitative

values (Q) of modified peptides were corrected by the median value: $Q_{ij} = U_{ij} / Median(U_i)$.

All patients were categorized into three groups based on HER2 expression level. Those with

NA values for HER2 expression were assigned to the HER2-Negative group. The remaining

samples were divided into HER2-High and HER2-Low groups based on the median value.

Proteins with 50% NA values across all samples were excluded. The protein expression ratio

(R) between tumor samples (T) and normal adjacent samples (NAT) was calculated with Rmn

= Tmn/NATmn, where m denotes each protein, and n denotes each sample.

Principal component analysis (PCA) was conducted on T and NAT matrices using the R

packages "FactoMineR" [74] and "factoextra" [75]. Differential analysis among the three groups

with the "One v.s. Rest" strategy was conducted on the Rmn matrix using the wilcox.test

function in R. Additionally, differential analysis between tumors and NATs was performed

based on T and NAT using the wilcox.test function in R. Proteins were considered differentially

expressed if their |FoldChange| was greater than or equal to 1.5, and the p-value was less than

or equal to 0.05.

Metabolomics data analysis

The categorization of groups in the metabolomics data aligned with the HER2-High, HER2-

Low, and HER2-Negative groups identified in proteomics. Differential analysis among the

three groups was conducted using the "t.test" function in R. Metabolites were considered

differentially expressed if their |FoldChange| was greater than or equal to 1.5, and the p-value

was less than or equal to 0.05.

Whole exome sequencing data processing

The pair-end sequencing data generated from Illumina NovaSeq 6000 were trimmed using fastp

v0.23[76]. Subsequently, the trimmed data were mapped to the hg38 reference genome using bwa

mem v0.7.17[77]. The SAM files were converted into BAM format and sorted by samtools

v1.16.1[78]. Duplicate reads were marked using "GATK4.3 MarkDuplicates." Base quality scores

were recalibrated using "GATK4.3 BaseRecalibrator" and "GATK4.3 ApplyBQSR[79],"

employing known variants from the dbSNP database (build 146) [8] and the 1000 Genomes

project[80].

Somatic short variant calling

The somatic short variants, including SNVs and InDels, were called using GATK Mutect2[81],

leveraging matched normal samples as reference background and utilizing the "af-only-

gnomad" file from the Gnomad datasets as germline resources. Subsequently, "GATK

GetPileupSummaries" and "GATK CalculateContamination" were employed to compute cross-

sample contamination. Finally, "GATK FilterMutectCalls" was used to filter short variants with

the contamination table, retaining mutations with a "PASS" filter flag for subsequent analysis.

Copy number alterations (CNAs) were identified using both CNVkit/0.9.8[82] and FREEC-11.6[83],

utilizing tumor-matched normal samples as the reference background. Copy numbers equal to

or less than 1 were categorized as CNA loss, while copy numbers equal to or greater than 3

were considered as CNA gain. CNAs identified by both software tools were retained for

subsequent analysis.

1006

SNVs and InDels analysis

All SNVs and InDels identified by GATK were annotated using snpEff v5.1[84]. Subsequently,

the annotated SNVs and InDels were transformed into Mutation Annotation Format (MAF)

using a custom script which is deposited in https://github.com/pengweixing/GCA_multi-omics,

following the guidelines provided in

https://docs.gdc.cancer.gov/Encyclopedia/pages/Mutation_Annotation_Format_TCGAv2/.

For each sample, variant types including "Missense_Mutation", "Nonsense_Mutation",

"Splice_Site", "Frame_Shift_Del", "Frame_Shift_Ins", "In_Frame_Del", and "In_Frame_Ins"

were selectively extracted. The identified SNVs and InDels from all samples were subsequently

aggregated. The distribution of mutated genes within three groups was visualized using the R

package "ComplexHeatmap"[85] through a waterfall plot. Only mutated genes that align with

entries in the Cancer Gene Database (COSMIC) were retained for further analysis. The tumor

mutation burden (TMB) was quantified as the number of SNVs per million nucleotides in the

coding region. Synonymous alterations were also counted separately for the purpose of

reducing sampling noise[86].

1022

CNA analysis

Copy number alterations (CNAs) across the entire genome for each group were depicted using

the R package Circlize[87]. Additionally, CNAs in specific regions for each group were visualized

utilizing the R package karyoploteR[88]. The genome was divided into bins with a 1Mb window,

and the CNAs were counted in each bin for three groups, with a subsequent application of the

Chi-square test. CIN was estimated through genome integrity index (GII), the proportion of

altered genome segments[89].

1030

RNA-seq data processing and differential analysis

The paired-end sequencing data were processed through the nf-core/rnaseq 3.10.1[90] pipeline.

Briefly, fastq files were subjected to trimming using Trim Galore v0.6.10[91], followed by

alignment to the hg38 genome using STAR v2.7.0[92]. Sorting and indexing of the resulting BAM

file were performed using samtools v1.16.1[78] as. Subsequently, Salmon was employed to

quantify the expression levels of individual genes. The gene expression levels were further

normalized using transcripts per million (TPM).

The categorization of groups in RNA-seq data aligned with the HER2-High, HER2-Low, and

HER2-Negative groups identified in proteomics. Differential analysis was conducted within

tumor samples, comparing HER2-High versus Rest, HER2-Low versus Rest, and HER2-

Negative versus Rest. A cutoff of |FoldChange| greater than or equal to 1.5 and a p-value less

than or equal to 0.05 was applied to identify differentially expressed genes. Additionally,

differential analysis was performed between HER2-High versus NATs, HER2-Low versus

NATs, and HER2-Negative versus NATs, with a cutoff of $|\log2(\text{FoldChange})| >= 1$ and FDR

$<= 0.05$ to filter differentially expressed genes.

1046

Cellular composition analysis

1048　CIBERSORTx[51] analysis was conducted in accordance with previously established procedures.

1049　The docker-based tool of CIBERSORTx was utilized to estimate the relative fractions of 22

1050　immune cell sub-populations, employing the LM22 reference gene expression signatures.

1051　Comparisons were conducted among three groups for each cell type. Statistical differences

1052　were calculated with "t-test" function in R.

1053

Gene Ontology (GO) enrichment and Gene set enrichment analysis (GSEA)

Differentially expressed genes and proteins identified in transcriptomics and proteomics

datasets were chosen for Gene Ontology (GO) enrichment analysis. The enrichGO function

within the R package clusterProfiler v4.0[93] was employed for conducting gene ontology

analysis, with FDR of 0.05 applied to filter significant GO terms.

For Gene Set Enrichment Analysis (GSEA), 50 hallmark gene sets from the Human MSigDB[94]

collections were adopted. The genes for input were ranked based on their weights which

calculated with "$Gene_{weight} = sign(foldchange) * -\log10(FDR)$". "GSEA" function in

clusterProfiler package was adopted for GSEA analysis. A significance threshold of FDR =

0.05 was applied to filter GSEA hallmarks.

1064

Cell-cell communication inference

Cell-cell communication was estimated using bulk RNA-seq data, treating each sample as an

individual cell. The tumor group and NAT group were designated as distinct cell types. The R

package CellChat v2[95] was employed to identify ligand-receptor signaling pathways.

Visualization of signaling roles was achieved using the "netAnalysis_signalingRole_heatmap"

function. Additionally, circle plots illustrating tumor–NAT interactions for each organ were

generated using the "netVisual_chord_gene" function.

1072

1073 **Survival time analysis**

1074 Survival analysis was conducted using the R package survival v.3.5-7[96] and survminer v.0.4.9[97].

1075 The Kaplan-Meier method was employed to estimate overall survival time, and statistical

1076 differences were assessed with log-rank test.

1077

1078 **Data processing and visualization**

1079 In addition to the previously mentioned R packages, the data processing and visualization also

1080 incorporated other tools, including dplyr v1.1.4[98], stringr v1.5.1[99], pheatmap v1.0.12[100],

1081 ggplot2 v3.4.4[101], ggpubr v0.6.0[102], and ggvenn v0.1.10[103].

1082

1083 **Code availability**

1084 The code to perform all analyses and regenerate all the figures in this study is provided at

1085 https://github.com/pengweixing/GCA_multi-omics.

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Data availability

All raw data is deposited in the China National Center for Bioinformation with access

number of HRA006640.

TCGA data that used in this study were downloaded from

https://portal.gdc.cancer.gov/projects/TCGA-ESCA and

https://portal.gdc.cancer.gov/projects/TCGA-STAD.

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Figure legends

Figure 1: **The expression of *ERBB2*/HER2 could be a favorable prognosis marker for**

esophageal adenocarcinoma (EAC) and gastric cardia adenocarcinoma (GCA).

A. The Pearson correlation of *ERBB2* RNA expression and HER2 protein expression from

GCA cohort. N = HER2 protein level detected with mass spectrometry.

B. The distribution of *ERBB2* RNA expression in GCA cohort, EAC and STAD from

TCGA. ESCC = Esophageal squamous-cell carcinomas; STAD = Stomach

adenocarcinoma; TPM = Transcripts Per Million.

C. The survival analysis of different patient groups based on the level *ERBB2* RNA

expression.

D. The strategy for dividing the GCA cohort into three groups based on the HER2 protein

expression level.

E. Representative immunohistochemistry (IHC) staining of HER2 for score 3+, 2+, 2+, and

0+.

F. Relationship between IHC staining HER2 scores and HER2 groups with quantification of

HER2 protein expression using mass spectrometry.

G. The survival analysis of GCA patient groups in three HER2 groups.

H. Therapeutic treatments in three HER2 groups.

I. Surviving analysis of different therapeutic treatments.

Figure 2: **Protein expression landscape of different HER2 groups from GCA patients.**

A. The illustration of multiple omics data from GCA patients.

B. Unique protein profiles of different HER2 GCA patient groups from tumor only.

C. Gene set enrichment analysis (GSEA) with protein of different HER2 GCA patient groups

from tumor only.

D. GSEA of different HER2 GCA patient groups by comparing tumors with corresponding

normal tissue adjacent to the tumor (NAT).

E. Differential protein expression in HER2 high group by comparing tumors with

corresponding NAT.

F. Differential protein expression in HER2 low group by comparing tumors with

corresponding NAT.

G. Differential protein expression in HER2 negative group by comparing tumors with

corresponding NAT.

H. Top 15 enriched Gene oncology (GO) term of differential protein expression comparing

tumor with NAT in different HER2 groups.

Figure 3: **Somatic mutation and amplification landscape of different HER2 groups from**

GCA patients.

A. Top 20 mutation oncogenes and tumor suppressor genes captured in the GCA cohort and

its mutation frequency in different HER2 groups.

B. Comparison of chromosome instability (CIN) among three different HER2 groups using

the genome integrity index.

C. Comparison of copy number alterations (CNAs) among three different HER2 groups.

D. The significant different CNAs regions in three different HER2 groups with bin size of

1Mbp; Oncogenes and tumor suppressor genes were labeled on the side.

E. Examples of significant CNAs regions in three different HER2 groups on chromosome

13, 15 and 17.

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Figure 4: **Gene expression signature of different HER2 groups from GCA patients**

A. Gene set enrichment analysis (GSEA) using RNA expression from tumors of different

HER2 GCA patient groups.

B. Gene expression signatures from tumors of different HER2 GCA patient groups.

C. GSEA with RNA expression of different HER2 GCA patient groups by comparing tumors

with corresponding normal tissue adjacent to the tumor (NAT).

D. Left: Differential RNA expression in HER2 high group by comparing tumors with

corresponding NAT.

Middle: Differential RNA expression in HER2 low group by comparing tumors with

corresponding NAT.

Right: Differential RNA expression in HER2 negative group by comparing tumors with

corresponding NAT.

E. Differential RNA expression from genes of major histocompatibility complex (MHC) class

I and II in different HER2 groups by comparing tumors with corresponding NAT.

F. Top 15 enriched Gene oncology (GO) term of differential RNA expression comparing

tumor with NAT in different HER2 groups.

G. Differential RNA expression from genes of cytokines from different HER2 groups by

comparing tumors with corresponding NAT.

FDR = false discovery rate.

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Figure 5: **Deciphering tumor microenvironment of different HER2 groups from GCA**
patients

A. Cell component comparison from tumor bulk RNA-seq prediction from different HER2
groups.

B. Quantitative comparison of M2 macrophages in tumor only from different HER2 groups.

C. Representative images from immunohistochemistry (IHC) staining of CD163 from tumor
in different HER2 groups.

D. Quantification of IHC staining of CD163 from tumor in different HER2 groups.

E. Comparison of differential gene expression between tumors and corresponding normal
tissue adjacent to the tumor (NAT) for paired immune checkpoints receptors and ligands
in different HER2 groups; FC = Fold Change; white block = False discovery rate (FDR)
is larger than 0.05; Star indicates |log2(Fold Change)| >= 1 and FDR is less than 0.05.

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Figure 6: **HER2 group-specific ligand-receptor signaling between tumors and normal**
tissue adjacent to the tumor (NAT) macroenvironment

A. Gene expression level of incoming and outgoing signal from tumor and its corresponding
NAT in different HER2 groups.

B. Group-specific and common signal transduction among the three HER2 groups.

C. Signal transduction of ERBB family genes and its ligands among three HER2 groups.

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Figure 7: **Negative HER2 expression is associated with inflammation in blood of GCA**
patients

A. Volcano plots show differential metabolic comparisons of different HER2 groups; the
number of significant different subtracts determined in each pair comparison is labeled in
each plot.

B. Bubble plots show significant differential subtracts among different comparison.

C. Metabolic comparison in GCA patients with immunohistochemistry (IHC) staining for
HER2 3+ and HER2 0+.

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Figure 3

Figure 4

Supplementary information:

HER2 Prognostic Significance and Therapeutic Implications in Gastric Cardia Adenocarcinoma: Insights from Multi-Omics Profiling

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Supplementary Figures 1-13

Supplementary Figure 1: Normalized protein levels in tumor (A) and normal tissue adjacent to the tumor (NAT) (B).

Supplementary Figure 2: Clinical pathological correlation with HER2 grouping from GCA cohort.

A. Distribution of clinical pathological features in GCA cohort.

B. Relationship of HER2 grouping and clinical pathological features in GCA cohort.

C. Representative Haematoxylin & Eosin (H&E) staining of different Lauren classification from the GCA cohort.

D. Representative H&E staining of different tumor differentiation from GCA cohort.

Supplementary Figure 3: Identification of differential protein expression in three HER2 groups of GCA.
A. Volcano plots represent identified differential proteins expressions in each HER2 group from tumor only; number of proteins is indicated.
B. Heatmaps show the enrichment of hallmark genes for each HER2 group.
C. Identification of differential protein expression by comparing tumors with normal tissue adjacent to the tumor (NAT) in each HER2 group.

A

B

High		Low	Negative		High & Low	High & Negative	Low & Negative	High & Low & Negative	
HSP90AB1	LAMC2	IFIT3	ARPC1B	ARHGDIB	DDX21	RCC2	FEN1	IKBIP	MCM4
SSB	SMPDL3B	IFIT1	CNN2	MFGE8	XPO5	FKBP9	ITGB2	AGMAT	VCAN
GGCT	HSP90AB2P	TAP1	FBLN5	FILIP1L	FAP	PLOD3	SERPINB9	IGFBP7	P3H1
PON2	KPNA2	TAP2	PLBD2	FBN1	CDK1	PLOD1	LXN	NNMT	ISG15
MCM3	ACSL5	FGL2	TGFBI	CBFB	MX1	CRTAP	LIPA	STAT1	PLBD1
CAPRIN1	CEACAM6	FCGR2A	LDHA	MMP2	NXT1	NCL	NPL	EFEMP1	IGF2BP3
NOP2	SERPINB8	H1-5	IL4I1	POLD2	TMEM164	STMN1	TAPBP	FHL3	FTL
RALY	DPP4	DCK	FSCN1	SAAL1	RETN	CTSB	LCP1	FKBP10	FN1
PPA1	RRM2	SQSTM1	ITGAM	HAT1	MPO	SET	TNC	CTHRC1	THBS2
MCM2	NEU1	NIP7	CNN3	CORO1A	AZU1	CPNE1	DOK2	SERPINH1	CDH17
ERBB2	ISLR	USP11	GUSB	EMILIN2	MMP8	LPCAT1	HLA-DPB1	GBP1	COL12A1
HSPH1	LCN2	PRTN3	KIF3B	C1QC		CTSA		ITGAX	CEACAM5
DDX27	MMP9	SLCO1B7	CTSC	NOP14		SLC16A3		TPM4	PLSCR1
PYCARD	PIGR	LPXN	VWA1	C20orf27		MXRA5		EPCAM	PLOD2
LACTB2	BPI	FAM117A	MFAP2	HTRA1		DCTPP1		TYMP	SLC2A3
CBX3	ELANE		SUMO1	EVL		MMP14		VIL1	TOP2A
GAR1	CTSG		AIF1	FASTKD5		CTSS		MUC13	HLA-DMB
HSD17B11	MUC5B		HLA-DRA	RB1		GDA		PCNA	FCER1G
TFRC	PDAP1		NASP	EFEMP2		HK3		LTBP1	SASH1
S100A11	LTF		HLA-A	P3H3		PPIC		CYBB	GPRC5A
RSL1D1	SDCBP2		CACYBP	WDR3		ASS1		CTSZ	CAMP
S100A6	PLPP2		COL4A1	SERPINE2		GRN		CPVL	THBS3
ANXA4	NCAPH		APOE	MAP1LC3B		PUS7		SPARC	OLFM4
SMC2	S100A8		DPYSL3			L1RE1		ANP32E	THBS4
LGALS3BP	FUT6					GPNMB		CD55	STMN2
SERPINB5	MIEN1					BRIX1		GGH	SFRP4
LGALS4	SPARCL1					MISP		MNDA	DMBT1
CCNK	GRB7					AOC1		TIMP1	DEFA3
EPS8L3	ANXA13					TMSB10		CYBA	S100A12
MAGED2	NEURL4					ITPRID2		MCM6	SPINT2
						FABP1			CPS1
						ANPEP			
						GCNT3			
						HLA-DRB3			

Supplementary Figure 4: Number (A) and names (B) of proteins specific to HER2 groups and those common among them.

Supplementary Figure 5: comparison of somatic mutations in different HER2 groups.
A. Quantification and comparison of sequencing coverage of whole exon sequencing from GCA cohort.
B. Comparison of somatic short variant types (single-nucleotide variant (SNV) and insertions and deletions (InDels)) in three HER2 groups.
C. Comparison of mutation types in three HER2 groups.
D. Frequency comparison of non-synonymous and SNV in three HER2 group.
E. Ranking plots of the top mutated oncogenes and tumor suppressor genes involved in key signal pathways.

Supplementary Figure 6: Copy number alterations in different HER2 groups.

Supplementary Figure 7: RNA expression signature in each HER2 groups.
A. Quantification of mapped sequencing reads from tumor and NAT.
B. Principal component analysis (PCA) of RNA expression in tumors and NAT.
C. Volcano plots show the identification of differential RNA expression by comparing of each HER2 group with rest of groups.

Supplementary Figure 8: Identification of differential RNA expression by comparing tumor with its corresponding NAT in each HER2 group.
A. Volcano plots show the identification of differential RNA expression by comparing of tumor with its corresponding NAT.
B. Venn diagram shows the unique and common differential RNA expression in each HER2 group.

Supplementary Figure 9: Enrichment gene ontology (GO) term comparison in different HER2 groups.

A. GO terms from cell cycle relevant terms.
B. GO terms from DNA repair relevant terms.
C. GO terms from inflammation relevant terms.
D. GO terms from major histocompatibility complex (MHC) relevant terms.

Supplementary Figure 10: Comparison of RNA expression from 18 immune genes between tumors and NAT in different HER2 groups.

* = False discovery rate (FDR) < 0.05; ** = FDR < 0.01; *** = FDR < 0.001; TPM = Transcripts Per Million.

Supplementary Figure 11: Deciphering tumor microenvironment in different HER2 group.

A. Quantitative comparison of different cell types in tumors only from different HER2 groups.

B. Cell component comparison from bulk RNA-seq predictions of NAT in different HER2 groups.

C. Quantitative comparison of different cell types in NAT from different HER2 groups.

Supplementary Figure 12: Ligand-receptor signaling between tumors and tumor-adjacent tissue macro-environment in different HER2 group.
HER2 high group (**A**), HER2 low group (**B**), and HER2 negative group (**C**).

Supplementary Figure 13: Distribution of metabolic substrates detected from periphery blood of GCA patients.

Tables 1-22
Table 1: List of GCA cohort with 128 patients.

Table 2: Protein expression matrix of the GCA cohort.

Table 3: Uniquely identified proteins for each HER2 group.

Table 4: Gene set enrichment analysis of proteins by comparing each HER2 group with the other two groups.

Table 5: Hallmark gene sets analysis of protein expression for each HER2 group in GCA patients.

Table 6: Differential protein expression in each HER2 group by comparing tumors and their corresponding normal tissue adjacent to the tumor (NAT).

Table 7: Gene ontology (GO) terms enrichments from differential protein expression in each HER2 group by comparing tumors and their corresponding normal tissue adjacent to the tumor (NAT).

Table 8: Mapping summary of whole exome sequencing (WES) from tumors and their corresponding peripheral blood.

Table 9: Mutation frequency detected in genes in different HER2 groups.

Table 10: Copy number alteration (CNA) of whole genome with 1Mbp bin size from whole exome sequencing (WES).

Table 11: Hallmark gene sets analysis of RNA expression for each HER2 group in GCA patients from tumors only.

Table 12: Signature gene list for each HER2 group from the expression of tumors only.

Table 13: Hallmark gene sets analysis of RNA expression for each HER2 group by comparing tumors with their corresponding normal tissue adjacent to the tumor (NAT).

Table 14: List of differential genes for each group by comparing tumors with their corresponding normal tissue adjacent to the tumor (NAT).

Table 15: Group specific and common differentially expressed genes from the comparison of tumors and their corresponding normal tissue adjacent to the tumor (NAT) among the three HER2 groups.

Table 16: Gene ontology (GO) terms enrichments from differential RNA expression in each HER2 group by comparing tumors and their corresponding normal tissue adjacent to the tumor (NAT).

Table 17: list of exclusive genes in each HER2 group from GO terms of cell cycle, DNA repair, inflammation and major histocompatibility complex (MHC).

Table 19: Quantification of CD163 immunofluorescent staining in each HER2 group.

Table 19: Metabolic comparison between HER2 high group and HER2 low group from peripheral blood.

Table 20: Metabolic comparison between HER2 high group and HER2 negative group from peripheral blood.

Table 21: Metabolic comparison between HER2 low group and HER2 negative group from peripheral blood.

Table 22: Metabolic comparison between HER2 3+ group and HER2 0+ group from peripheral blood.