Ectopic DNA integration and marker-free CRISPR/Cas9 strategies for the

halotolerant black yeast *Hortaea werneckii*.

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Abstract

Hortaea werneckii is a halotolerant black yeast commonly found in hypersaline environments. This yeast is also the causative agent of tinea nigra, a superficial mycosis of the palm of the hand and soles of the feet of humans. In addition to their remarkable halotolerance, this black yeast exhibits an unconventional cell division cycle, alternating between fission and budding cell division. Cell density and the salt concentration in their environment regulate which cell division cycle *H. werneckii* uses. Although *H. werneckii* have been extensively studied due to their unique physiology and cell biology, deciphering the underlying mechanisms behind these remarkable phenotypes has been limited due to the lack of genetic tools available. Here, we report a new ectopic integration protocol for *H. werneckii* using PEG-CaCl$_2$ mediated protoplast transformation. This approach relies on a drug (hygromycin B) resistance gene to select for successful integration of the genetic construct. The same construct was used to express cytosolic green fluorescent protein. Finally, we developed a marker-free CRISPR/Cas9 protocol for targeted gene deletion using the melanin synthesis pathway as a visual reporter of successful transformation. These transformation strategies will allow testing hypotheses related to *H. werneckii* cell biology and physiology.

Importance

Hortaea werneckii is a remarkable yeast capable of growing in high salt concentration,

and its cell division cycle alternates between fission-like and budding. For these unique

attributes, *H. werneckii* has gathered interest in a research program studying

extremophile fungi and cell division. Most of our understanding of *H. werneckii* biology

comes from genomic analyses, usage of drugs to target a particular pathway or

heterologous expression of its gene in *S. cerevisiae*. Nonetheless, *H. werneckii* has

remained genetically intractable. Here, we report on two strategies to transform *H.*

werneckii: ectopic integration of a plasmid and gene deletion using CRISPR/Cas9.

These approaches will be fundamental to expanding the experimental techniques

available to study *H. werneckii,* including live cell imaging of cellular processes and

reverse genetic approaches.

Introduction

Hortaea werneckii (*Dothideales, Ascomycota*) is a black yeast of particular interest due

to its ability to grow in high salinity (1). This black yeast is commonly isolated from

hypersaline environments, including seawater and solar salterns, and can grow in

saturated sodium chloride solution. Not only does this yeast display a remarkable

halotolerance, but it also exhibits an unconventional cell division cycle (2). *H. werneckii*

first grows in a pattern similar to that of fission yeast. After the first septation, it switches

to budding from the poles. This division pattern and cell morphology depend on the

growth environment and cell density (3, 4). *H. werneckii* is also of clinical interest due to

its ability to cause a superficial mycosis of the hands and feet known as tinea nigra (5–

7). Tinea nigra frequently occurs in countries located in the tropics (6). On rare

occasions, *H. werneckii* can cause a systemic infection known as disseminated

phaeohyphomycosis (7). *H. werneckii* isolates exhibit great phenotypic diversity,

including drug susceptibility and pathogenicity variation (3, 8, 9). This phenotypic

diversity also correlates with the genetic diversity of *H. werneckii*. *H. werneckii* isolates

are intraspecific hybrids of two divergent isolates, contributing to their genetic and

phenotypic diversity (10, 11). For these reasons, *H. werneckii* is an emerging model for

understanding eukaryotic adaptation to hypersaline environments and how hybridization

events contribute to fungal adaptation to extreme environments (9).

Most of our understanding of *H. werneckii* biology and adaptation to hypersaline

conditions have been derived from genomics, metabolic, and physiological analyses(1,

9–22). However, the lack of reliable genetic tools has limited the ability to test

mechanistic hypotheses related to *H. werneckii* physiology and cell biology. Different

methods exist to genetically transform fungi, including protoplast-mediated,

Agrobacterium-mediate, electroporation, and biolistic(23, 24). These strategies require

selectable markers, usually a drug-resistance gene or nutritional gene, to isolate cells

that contain the desired construct. Recently, *in vitro* assembled CRISPR/Cas9

transformation systems have been utilized for highly efficient genetic manipulation of

fungi (25–30). Due to its high efficiency, the CRISPR/Cas9 system has been adapted as

a "marker-free" system to perform genome editing without needing a selectable marker

(27).

Here, we adapted a protoplast-mediated transformation protocol to integrate a plasmid

into the genome of *H. werneckii* ectopically. This ectopic integration strategy can be

used to express the green fluorescent protein (GFP) in the cytoplasm of *H. werneckii.*

We also develop a marker-free CRISPR/Cas9 protocol for knocking out genes in *H.*

werneckii. These new strategies will further our understanding of the biology of this

remarkable yeast yeast.

Materials and Methods

Strains, media, culture conditions

H. werneckii EXF-2000 was use for these studies. Yeast cells were grown in Glucose

Minimal Media (GMM [Dextrose 10g/L, Trace Elements, Salt Solution]). Cells were

grown at 30°C for 5 days unless otherwise specified.

Protoplast generation

Hortaea werneckii cells were inoculated in GMM agar plates and incubated at 37°C for

5-7 days. Cells were harvested and washed once with osmotic media (1.2 M

magnesium sulfate, 10 mM sodium phosphate buffer, pH 5.8). Digest the *H. werneckii*

cell wall using osmotic media with 200 mg of Vinotaste for 4-8 hours at 30°C and

shaking at 75 rpm. After digestion, two tubes were combined and overlayed with

trapping buffer (0.6M sorbitol, 0.1 M Tris-HCl pH 7). Tubes then were centrifuged for 15

minutes at 2,500 g at 4°C. The cloudy layer (protoplast) at the interface of the osmotic

media and trapping buffer was carefully moved into a new 15 mL conical and washed

once with ice-cold STC (1.2M sorbitol, 10 mM $CaCl_2$, 10 mM Tris-HCl pH 7.5).

Protoplasts were resuspended with 1 mL of STC and counted using a hemocytometer.

PEG-CaCl₂-mediated transformation of pUCGH plasmid

200 µL of protoplast was transferred into a 1.5 mL and incubated with 1-5 µg of the

pUCGH plasmid for 50 minutes to 1 hour. 1.25 mL of PEG-CaCl$_2$ was added to the

protoplast and incubated for 20 minutes. STC was added to protoplast up to 3 mL, and

300 µL were plated to SMM agar plates. Protoplasts were allowed to recover for 24

hours at room temperature. After 24 hours, the protoplast plates were overlayed with

SMM top agar with 450 µg/ml of hygromycin B. Colonies appeared between 7-15 days

of incubation. Individual colonies were transferred into fresh GMM agar with hygromycin

B (150 µg/mL) and incubated at 30°C.

Imaging EXF-2000

A wet mount of each strain was made by smearing *H. werneckii* cells in 20 µl of distilled

water. Cells were then imaged using a widefield microscope (Leica DMi8) using a 100 X

oil apochromat objective, and images were captured using a Leica K5 microscope

camera.

Assembly of CRISPR/Cas9 RNPs

5 µL of one crRNA (Table 1), tracrRNA, and nuclease-free duplex buffer (Integrated

DNA Technology, IDT) were mixed and heated at 95°C for 5 minutes. Then, it was cool-

down at room temperature to assemble the gRNA. 6 µL of each 33 µM gRNA, 6 µL of

Cas9 nuclease (1µg/µL) (Integrated DNA Technology, IDT), and 8.5 µl of Cas9 working

buffer were mixed and incubated for 5 minutes at room temperature. CRISPR/Cas9

complex was osmotically stabilized by adding an equal volume of 2X STC.

Marker-free CRISPR/Cas9-mediated transformation

Osmotically stabilized CRISPR/Cas9 complex, 70µL of protoplast, and 200 µl of PEG-

CaCl$_2$ were gently mixed in a 50 ml conical tube. STC replacing the osmotically

stabilized CRISPR/Cas9 complex was used as a negative control. The protoplast

mixture was incubated on ice for at least 30 minutes. 1 ml of PEG-CaCl$_2$ was added and

incubated for 15 minutes at room temperature. 325 µl of the protoplast were inoculated

into 3 large SMM agar plates (150mm x 15mm) and incubated overnight at room

temperature. Then, plates were incubated at 30˚C until colonies started appearing on

the plates.

PCR and sequencing of* alb1a *and* alb1b *locus

200 mg of yeast cells were harvested from GMM plates and beadbeated for three 60s

beadbeating and 30s resting cycles. Beadbeated cells were resuspended in 500µl

CTAB, 20µl RNAase A, and 40 µl of Proteinase K. Resuspended cells were in

incubated for 1 hour at 65˚C. After incubation, cells were spun down for 10 minutes at

16,000g. DNA was purified using Promega MAXWELL RSC PureFood GMO and

Authentication Kit following manufacture protocol. ProNex Size Selective Purification

was used to remove the occasional melanin carryover. Paralog-specific primers were

designed to amplify and sequence the *alb1a* or *alb1b* genes (Table 2). Amplicons were

run in 0.8% agarose gels for 45 min at 150 V. Bands were cut and purified. Purified

amplicons were sent to the Virginia Tech Genomics Sequencing Center for Sanger

sequencing. Sequences were aligned using local MAFFTv7(31).

Results

Polyethylene glycol (PEG) calcium chloride protoplast-mediated transformation

allows for ectopic integration of the pUCGH plasmid.

H. werneckii susceptibility to drugs is determined by the environment (3, 4). We noticed

that *H. werneckii* is susceptible to hygromycin B when grown on glucose minimum

media, the same media commonly used to grow *Aspergillus fumigatus* (28)*.* Based on

this, we used hygromycin B as our selectable marker and used a sorbitol-stabilized

glucose minimum media (SMM) to perform our transformations. We consistently

obtained protoplast after 4-8 hours of digesting the cell wall using vinotaste

(Novozymes). We then transformed the protoplast using a pUCGH vector, which

contains the hygromycin B resistant gene (*hph*) under the control of *Aspergillus nidulans*

gpdA promoter and eGFP under the control of the *Aspergillus oryzae* TEF1 promoter

(32). We used a high quantity of plasmid to induce ectopic integration (5-15µg) of the

plasmid. Protoplasts were allowed to recover for 24 hours before overlaying them with

10 ml of SMM top agar containing hygromycin B (450µg/ml). Hygromycin B-resistant

colonies emerged after 7 days of incubation at 30˚C (Fig 1A). Colonies were picked and

streaked into a small petri dish containing GMM supplemented with hygromycin B

(150µg/ml). Transformants expressed eGFP even after 5 passages in

GMM+hygromycin B (Fig 1B). Thus, this approach can be utilized for ectopic integration

of markers for cell biology studies.

CRISPR/Cas9 marker-free transformation.

More targeted genetic approaches are needed to further our understanding of *H.*

werneckii biology. Similar to other ascomycetes, adapting the PEG-CaCl2 mediated

transformation protocol has proven challenging for targeted gene manipulation due to

the low homologous recombination rate (33). This challenge is further exacerbated due

to the EXF-2000 strain having a significant portion of its genome duplicated due to an

intraspecific hybridization event (10). Due to this challenge, we decided to adopt a

CRISPR/Cas9 marker-free approach and target the *H. werneckii* melanin synthesis

pathway to have a visual phenotype we could screen(27). We identified 2 copies of the

alb1 gene–*alb1a* (BTJ68_00107) and *alb1b* (BTJ68_01291)–using FungiDB(34). We

targeted a conserved region between *H. werneckii's* two *alb1* paralogs: using 2 gRNAs

(Table 1). We generated protoplast using the same approach for the ectopic integration,

and without standardizing the number of protoplasts, we obtained approximately 3-5

colonies that exhibited an albino phenotype (Fig. 2A) per transformation. We decided to

determine if the concentration of protoplasts might impact the efficiency of our

CRISPR/Cas9 approach (Fig. 2B). We observed that transforming a mix containing 10^4

protoplasts led to approximately 6.5% success rate, compared to the ~1.9% success

rate when transforming 10^5 protoplasts. We did not observe any spontaneous albino

mutant arising from the protoplast generation or the exposure of the protoplast to STC.

The albino mutants could still not produce melanin after 5 passages, indicating that the

deletion of the *alb1* genes was stable (Fig. 2C). These albino mutants retain the same

cell morphology as the wild-type when grown in GMM (Fig 2D), indicating that melanin

does not impact cell morphology in these conditions. A 1.5 kb genomic region that

covers the site targeted by CRISPR/Cas9 was amplified using primers specific to each

alb1 paralog (Table S1). Gel electrophoresis for the *alb1a* PCR reaction showed bands

of similar size between the three independent mutants and the wild-type EXF-2000

strain, ~1.5 kb (Fig 3A). Similarly, the Δ*alb1.1* and Δ*alb.3* had *alb1b* amplicons of

comparable size to the EXF-2000 band (Fig. 3B). In contrast, the Δ*alb1.2* had a smaller

amplicon of ~1kb, and this mutant had a deletion in their *alb1b* gene of ~500bp. In the

alb1a locus, we found that all the Δ*alb1*strains had similar mutations constrained

between the Cas9 cut sites (Fig 3C). The Δ*alb1.1* and Δ*alb1.3* followed a similar pattern

for the *alb1b* locus (Fig.3D). In concordance with the amplicon size, the Δ*alb1.2 alb1b*

locus had a 528 bp deletion. The EXF-2000 *alb1a* and *alb1b* loci sequences are in the

reference genome.

Discussion

Hortaea werneckii has a unique cell cycle division and can grow at high salt

concentrations (3, 4, 35). Due to most isolates being intraspecific hybrids with significant

phenotypic variation, *H. werneckii* can become a model for understanding how

hybridization leads to novel phenotypes (3, 9). However, the lack of genetic tools has

limited the ability to study this organism. Here, we develop two different genetic

transformation approaches to further our studies of this unconventional yeast. First, we

have developed a plasmid-based ectopic integration protocol. This system can be used

to express cellular markers for live cell imaging. For example, we could use it to insert a

histone-GFP plasmid and monitor nuclear dynamics. Second, we develop a marker-free

CRISPR/Cas9 protocol to target specific genes.

One caveat is that our current CRISPR/Cas9 protocol still needs to be more effective

and would not be practical for genes that would not have a visual readout. On average,

we had a 6-7% success rate on targeting both gene paralogs, while other organisms

had a 50% to 90% success rate (27–29). However, these organisms were haploid, and

only one copy of the gene needed to be mutated, not the 2 copies of most genes in *H.*

werneckii. Lastly, as there is a marker system and CRISPR/Ca9 can overcome the

homologous recombination rate, it is possible to combine both methods to develop a

marker-based CRISPR/Cas9 approach and select those mutants with successful

integration of our cassette of interest (28). At the moment of this publication, only one

marker has been developed (hygromycin) for *H. werneckii.* Thus*,* this approach might

only be limited to protein tagging or deletion of genes with only 1 copy. Nonetheless,

other drugs can be explored to expand the available range of selectable markers.

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Figure Legends

Figure 1. Integration of the pUCGH plasmid in *H. werneckii*. (A) *H. werneckii* EXF-

200 is susceptible to hygromycin in GMM media, and hygromycin allows for the

selection of ectopic integration of the pUCGH plasmid. Plates were streaked into the

respective media, incubated at 37°C, and photographed after 5 days. **(B)** *H. werneckii*

with integrated pUCGH expresses eGFP under the *A. oryzae* tef1 promoter.

Micrographs were obtained using a 100X objective. Scale bar, 20 μm.

Figure 2. Generation of albino mutants using CRISPR/Cas9. (A) Albino mutant in

transformation plate (white arrow) next to melanized EXF-2000 (green arrow) **(B)** A

lower amount of protoplast increases the efficiency of CRISPR/Cas9 transformation. 10^4

or 10^5 protoplasts were transformed with the CRISPR/Cas9 complex with each crRNA

(circles) or STC (inverted triangle). STC-only protoplasts did not result in spontaneous

albino mutants. Using 10^4 protoplasts led to an average of 6.5% albino mutants, in

contrast to the 1.9% when using 10^5 protoplasts. 2-way ANOVA followed by Tukey's

post hoc test to compare the means of each experimental condition. Statistical

significance was determined by $p \le 0.05$ **(C).** The Δ*alb1.1* fails to melanize after

prolonged incubation in GMM. Plates were streaked and incubated at 30°C for 5 days

before being photographed. **(D)** Δ*alb1.1* has a similar morphology as the parent EXF-

2000 strain when grown in GMM agar. Micrographs were obtained using a 100X

objective. Scale bar, 20 μm.

Figure 3 *alb1a* and *alb1b* loci are mutated in the Δ*alb1* strains. (A-B) Gel

electrophoresis of the PCR amplicons of the *alb1a* **(A)** and *alb1b* **(B)** 5' gene region. M,

marker (GeneRuler 1KB plus). **(C-D)** Alignment of the *alb1a* **(C)** and *alb1b* **(D)** loci. The

gray arrow points at the expected cut site for Cas9 loaded with alb1-1 gRNA, and the

black arrow points at the predicted cut site for Cas9 loaded with alb1-2 gRNA. Red

highlights point to areas of divergence between the sequence and the reference

genome (*alb1a* or *alb1b*).

Table 1: crRNAs used in this study

crRNA	Target	Sequence
alb1-gRNA-1	5' of *alb1*	AAGCGCUUGACGGUGGUUGGCGG
alb1-gRNA-2	*5' of alb1*	AGCGUUGCGCGAAAGCGCUGCGG

282   **Table S1: Primers used in this study**

Primer	Target	Sequence
alb1a-seq-F	*alb1a*	GACGGACGATCACAGCAATA
alb1a-seq-R	*alb1a*	GTTCCAATACGGTGGAGCTT
alb1b-seq-F	*alb1b*	TCACGAACTGAATACGGACG
alb1b-seq-R	*alb1b*	TACGATGGAGCTTGGTAGAC

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Figure 2

Figure 3