Evolutionary sequence and structural basis for the distinct conformational landscapes of Tyr and Ser/Thr kinases

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Abstract

Protein kinases are molecular machines with rich sequence variation that distinguishes the two
main evolutionary branches – tyrosine kinases (TKs) from serine/threonine kinases (STKs). Using
a sequence co-variation Potts statistical energy model we previously concluded that TK catalytic
domains are more likely than STKs to adopt an inactive conformation with the activation loop in
an autoinhibitory "folded" conformation, due to intrinsic sequence effects. Here we investigated
the structural basis for this phenomenon by integrating the sequence-based model with structure-
based molecular dynamics (MD) to determine the effects of mutations on the free energy difference
between active and inactive conformations, using a novel thermodynamic cycle involving many
(n=108) protein-mutation free energy perturbation (FEP) simulations in the active and inactive
conformations. The sequence and structure-based results are consistent and support the hypothesis
that the inactive conformation "DFG-out Activation Loop Folded", is a functional regulatory state
that has been stabilized in TKs relative to STKs over the course of their evolution via the
accumulation of residue substitutions in the activation loop and catalytic loop that facilitate distinct
substrate binding modes *in trans* and additional modes of regulation *in cis* for TKs.

Introduction

Serine/threonine protein kinases (STKs)[1] are very ancient and ubiquitous signaling enzymes;

despite their common name "eukaryotic protein kinases", these protein domains are also present

in archaea and bacteria [2] suggesting their presence in the last universal common ancestor (LUCA)

3-4 billion years ago.[3] Eukaryotic tyrosine kinases (TKs) appear to have descended from this

lineage much later on, just prior to the emergence of the first metazoans ~600 million years ago [4–

[6]. TKs share a great deal of structural homology with even the most distantly related STKs. Notable

differences however can be seen in catalytic domain motifs that specialize TKs for phosphorylating

tyrosine instead of serine and threonine, in addition to unique domain organizations that make

them critical for multicellular function; the majority of TKs are fused to a receptor domain which

directly facilitates signaling between cells.[7] TKs comprise less than 20% of the ~498 kinases in

the human genome[8], yet these proteins are among the most frequently mutated genes in cancers [9]

emphasizing their important role in multicellular function. Consistent with this view, TKs with

catalytic domains homologous to those in humans are also extensively found in ancient holozoan

lineages that display animal-like multicellular behavior such as choanoflagellates.[6,10]

The catalytic domains of both TKs and STKs contain mobile structural elements that allow

the active state of the enzyme to be dynamically assembled and disassembled, at the cost of a free-

energy penalty that depends on their amino acid sequence; the activation loop, glycine-rich loop

(also called G-loop or P-loop), and αC-helix each contain at-least one conserved residue that is

directly involved in the catalytic process for all kinases in the "active conformation". Kinase

catalytic domains display a large degree of sequence variation at positions which, when viewed in

the tertiary structure, are located at intra-molecular interfaces involving these mobile structural

elements, especially the activation loop where the sequences of TKs and STKs are highly

diverged.[11,12] The activation loop is a flexible ~20 residue-long motif beginning at the conserved

DFG (Asp-Phe-Gly) residues, where the DFG-Asp plays a direct role in catalysis. The primary

sequence of the loop terminates just before the conserved APE (Ala-Pro-Glu) residues in the

enzyme's C-terminal lobe; this C-terminal region of the activation loop (also referred to as P+1

loop in the literature) forms part of the conserved inter-molecular binding surface for Ser, Thr, or

Tyr residues of peptides and proteins targeted for phosphorylation, although it is shaped very

differently in TKs compared with STKs which reflects their evolved specificity for Tyr vs Ser/Thr

substrates [11,13]. In the active conformation, the activation loop interacts non-covalently with the catalytic loop region at two ends via its N- and C-terminal "anchors"[13]. The catalytic loop, like the activation loop, also contains catalytic residues, e.g., the His-Arg-Asp (HRD) motif, but the catalytic loop is comparatively rigid in structure allowing it to serve as a central hub for the organization of the active conformation. There is yet a third anchor point for the activation loop, the "RD-pocket" [13], which is centered on the HRD-Arg sidechain and packs against residues of the activation loop N- and C-terminus. The RD-pocket is a positively charged surface formed by a cluster of basic sidechains which are stabilized by the covalent addition of acidic phosphates to activation loop Ser, Thr, or Tyr residues [14]. The sequences of TKs and STKs differ significantly at all three anchors, which was suggested by our previous computational and structural analysis to result in very different conformational free energy landscapes on-average between TKs and STKs.[15] These anchor points also interact directly with substrate peptides which bind in distinct ways to alternative surfaces surrounding the shared active site of TKs and STKs[16], and therefore the evolutionary divergence in substrate specificity may be intrinsically coupled with that of the conformational landscape.

In living cells, the population of the active conformation relative to the inactive conformations is regulated by the intrinsic free-energy landscape of the catalytic domain as well as external factors such as post-translational modifications, protein-protein binding, domain assemblies, etc.[17] These inactive conformations can be viewed as "basins" of the free-energy landscape in a very high dimensional conformational space. Characterizing these basins computationally or experimentally is challenging, but over the decades there have been thousands of kinase structures in the active and inactive conformations characterized with x-ray crystallography and deposited in the Protein Data Bank (PDB), allowing relevant structural degrees of freedom to be mapped.[18–22] A variety of inactive conformations have been observed in x-ray crystallographic and solution NMR structures involving various disruptions of the catalytic machinery, some more drastic than others in the extent of activation loop displacement. Among these examples are structures of inactive kinases displaying a "classical DFG-out" conformation[18] where the activation loop backbone is reorganized by ~20 Å relative to the active-extended state with the N-terminal anchor completely dislocated. This large backbone reorganization appears to propagate from a "flip" of the DFG motif at the beginning of the activation loop from "DFG-in" to "DFG-out", and in this way downstream residues are placed in proximity to a peptide binding

surface in the C-lobe which has been functionalized by TKs.[13,16,17] Previously it was confirmed [15] that the stability of this "**DFG-out activation loop-folded**" basin for TKs relative to STKs is greatly enhanced by contacts *in cis* that allow the folded/inactive activation loop to mimic a Tyr-containing protein substrate that would otherwise bind to the active conformation *in trans*[17,23]. This appears to be a complex form of TK autoregulation in which the very selection pressures that have optimized TKs for binding tyrosine substrates also stabilize the substrate-competitive DFG-out activation loop-folded conformation. The substrate-mimicry of the activation loop is a notable feature of this basin that sets it apart from alternative, minor activation loop reorganizations; for example, the Src-like inactive conformation which involves a large rotation of the αC-helix but only "partial" folding of the activation loop and, for TKs, appears to be an intermediate state along the transition pathway to DFG-out[22,24]. Some inactive conformations of TKs observed in the PDB display a flip of the DFG motif from "in" to "out", but the rest of the activation loop is minimally perturbed relative the active state, displaying an extended activation loop that is readily capable of binding substrates (e.g., PDB: 2G2F)[22]. This DFG-out conformation where the activation loop remains extended is a tractable target for biased and unbiased MD simulations of active ↔ inactive conformational transitions for TKs.[25,26] However, recent experimental[27] and computational evidence[15] suggests the DFG-out conformation with a fully folded activation loop is the major DFG-out inactive state of TKs in the absence of inhibitors, and is further stabilized by the binding of type-II inhibitors[27,28]. Despite this, there remains a tendency in the computational literature to focus on conformational changes of TKs involving a localized "DFG flip" between "in" and "out" without the large-scale reorganization of the activation loop between "extended" and "folded"[25,29].

Previously we estimated the reorganization free-energy (ΔG_{reorg}) for apo STK catalytic domains to undergo the large-scale active DFG-in → inactive DFG-out conformational change of the activation loop from extended to folded, which we estimated to be 4-6 kcal/mol larger on average than for TKs.[15] This estimate came from a combination of sequence-based "threading" with a machine-learning statistical energy function and a structure-based indirect method using the binding free energies of type-II inhibitors estimated from FEP simulations involving the inactive DFG-out conformation as a computational probe to extract the reorganization free-energy contribution from experimental binding affinities reported in the literature. The sequence-based approach which we have employed in both the previous and current work is based on a maximum-

entropy Potts statistical energy model that parameterizes the fitness of aligned kinase sequences in terms of direct residue-residue interactions.[30] Provided an MSA of sufficient depth, the statistical energy parameters for all possible combinations of residue pairs can be determined using a generative MCMC procedure implemented by the Mi3-GPU software.[31] Through repeated MCMC runs the Mi3-GPU inference algorithm iteratively converges on a network of couplings that are capable of generating sequence ensembles with residue correlations matching what is observed in the protein family MSA. The relationship between Potts statistical energies and fold stability has long been recognized[32,33]; this has motivated the use of Potts models in the study of protein folding landscapes[34] and the effects of mutations on fold stability[35,36] but the extent to which the relative likelihood of occupying individual conformational basins that comprise the folded landscape are captured by the Potts model is a newly developing area of study. Our previous estimates of the relative fold stability of TKs vs STKs in the active vs inactive conformations were obtained by threading the Potts coupling terms onto active and inactive structures sampled from the two conformational basins.[15] This provided a decomposition of the energy landscape in terms of residue-residue interactions which enabled us to identify structural motifs that are responsible for the observed divergence in ΔG_{reorg} between TKs and STKs for the conformational change where the activation loop reorganizes from the extended (active) state to the folded (inactive) and forms distinct substrate binding surfaces occupied by peptides when the kinase is active.

In this current study we sought a direct verification of the correspondence between Potts threaded energies and reorganization free energies of TKs and STKs and the structural motifs which, in nature, have diverged in sequence to "tilt" their active → inactive landscapes in opposite directions. Using mutation FEP simulations and a novel thermodynamic cycle involving two different native protein environments, the effects of activation loop anchor point mutations on the relative stability of the active (DFG-in, activation loop extended) and inactive (DFG-out, activation loop folded) states were calculated. We compared the effects of 54 mutations predicted by the Potts model to result in the largest change in relative stability of the two basins (active vs. inactive) by performing 108 FEP simulations to alchemically mutate sidechain(s) in both the active DFG-in and autoinhibited/"activation loop folded" DFG-out conformations. As described below, our results confirm the correspondence between the Potts statistical energy changes in sequence space and conformational free-energy changes in structure space and support previous hypotheses

about the structural and sequence basis for the evolutionary divergence in conformational landscapes of TKs compared with STKs. By using the Potts model and FEP simulations to directly mutate the activation loop anchor residues of STKs to residues commonly seen in TKs (and vice versa), the role of sidechain interactions in the active basin that form the distinct substrate binding surfaces of TKs and STKs were confirmed to destabilize the active DFG-in basin in TKs relative to STKs. Regarding the inactive basin, the Potts model and FEP simulations recapitulate previous insights into the importance of pseudo-substrate interactions between the activation loop and its own substrate binding surfaces for stabilizing the inactive conformation in TKs.[17,23,37,38]

Results

1. <u>Conformational preferences predicted by FEP match Potts sequence-based predictions</u>

Potts statistical energy models can be used to compare the relative stability of individual conformational basins that comprise the native ensemble for individual sequences.[15,39] The sequence-based statistical energy predictions of relative conformational stability can then be directly compared with thermodynamic observables calculated from all-atom MD free energy simulations. Here we describe and validate the consistency of these two distinct approaches, which we leverage to investigate the sequence and structural basis for the evolutionary divergence in an "active → inactive" conformational change for TKs versus STKs.

1.1. Choosing kinases for targeted analysis of conformational differences between TKs and STKs

The following analysis required experimental structures of kinases which have both an active

structure in the PDB where the activation loop is "extended", and an inactive structure in a classical

DFG-out conformation with an autoinhibitory "folded" activation loop (Figure 1). For conciseness,

we refer to these conformational states as "active" and "inactive", respectively.

To analyze the evolutionary divergence in the active → inactive free energy landscapes of TKs

versus STKs, three kinases were chosen with structures in both conformations: INSR which is a

TK, and two STKs, BRAF and CDK6 which belong to the TKL and CDK families, respectively

(Figure 2, top). The active, extended conformation (Figure 1, top left) of the activation loop is

characterized by three anchor points:

(i) "N-terminal anchor" [13] – a structural motif formed by a pair of antiparallel β-strands

at the activation loop N-terminus (β9: residues 145_{DFG+1} through 147_{DFG+3}) and the N-

terminal region of the catalytic loop (β6: residues 119_{HRD-3} through 121_{HRD}). TKs and

STKs have notable sequence differences in the β6 strand.

(ii) "RD-pocket" [13,14] – a cluster of basic sidechains involving the activation loop

(residues 147_{DFG+3}, 154_{DFG+10} and/or 155_{DFG+11}) and Arg of the conserved HRD motif

(residue 123_{HRD}). Residue 160 from the activation loop C-terminal region is tightly

packed against $R123_{HRD}$ in STKs but decoupled from the RD-pocket in TKs.

(iii) "C-terminal anchor" [13] – a structural motif characterized by non-covalent interactions

between the C-terminus of the activation loop and the catalytic loop. The C-terminal

anchor forms a binding surface for Ser, Thr, or Tyr substrates.

All three of these motifs (*i-iii*) may be disassembled in the inactive conformation where the

activation loop is folded. However, TKs are unique in that they maintain an intact C-terminal

anchor in the inactive conformation. This allows a Tyr residue from the middle-segment of the

activation loop (e.g., $Y154_{DFG+10}$) to occupy the substrate binding site *in cis*[17], a phenomenon we

refer to as "substrate mimicry" (Figure 1, *right*).

Figure 1. Overview of the kinase active and inactive conformations and sequence features that distinguish TKs (Tyr Kinases) from STKs (Ser/Thr Kinases). (top) Illustrations of the active and inactive conformation of the activation loop for a representative TK, INSR. The active conformation is characterized by three main structural motifs – the N-terminal anchor, RD-pocket, and C-terminal anchor. In the inactive conformation, the C-terminal anchor of TKs remains intact while the rest of the activation loop is "folded up", with the DFG+10 residue Y154 mimicking the binding mode of Tyr substrates. (bottom) Sequence logo visualization of key motifs in our MSA of kinase catalytic domains, where the vertical axes represent the raw residue frequency for STKs (blue) and TKs (orange) in our MSA, calculated separately. The residue numbering in this MSA is displayed on the horizontal axis. Only key motifs are displayed for clarity. The conserved triads "HRD", "DFG", and "APE" were used as reference points for a more general numbering scheme: for example, DFG+10 refers to residue 154 in our alignment (written as 154_{DFG+10} in the main text) and is located ten residues C-terminal from the DFG motif, and the Gly of DFG is located at position 144 in our MSA. Sequence logos were plotted using Logomaker[40].

1.2. Potts threaded-energy prediction of conformational preference

Next we establish, based on Potts calculations, that TKs have an increased bias for the inactive

state relative to STKs. For an aligned protein sequence (S), a corresponding protein family Potts

model (in this case, the kinase superfamily) can be used to compare the stability of two

conformational ensembles, e.g., active (labeled $\mathbb{A}$) and inactive (labeled $\mathbb{B}$), by "threading" that

sequence's statistical energy couplings $J_{S_i S_j}^{ij}$ over pairs of residues i and j which change their

contact frequency c^{ij} between the two ensembles,

7
$$\Delta T(S)_{\mathbb{A} \to \mathbb{B}} = \langle T(S) \rangle_{\mathbb{B}} - \langle T(S) \rangle_{\mathbb{A}}$$

8
$$= -\sum_{i<j}^{L} J_{S_i S_j}^{ij} \left(c_{\mathbb{A}}^{ij} - c_{\mathbb{B}}^{ij} \right) = -\sum_{i<j}^{L} J_{S_i S_j}^{ij} \Delta c_{\mathbb{A} \to \mathbb{B}}^{ij} ,$$

(1)

9 where S denotes a single kinase domain sequence aligned to a MSA of length L (number of

10 columns). ΔT can be considered a Potts statistical energy analog of the reorganization free-energy

11 ΔG_{reorg}, a thermodynamic quantity that reflects the relative free-energy of the two conformational

12 basins,

13
$$\Delta G_{reorg}^{S}(\mathbb{A} \to \mathbb{B}) = \Delta G_{u \to f}^{o}(S, \mathbb{B}) - \Delta G_{u \to f}^{o}(S, \mathbb{A}) .$$

(2)

14 In this expression $\Delta G_{u \to f}^{o}(S, \mathbb{A})$ is the free energy change associated with the "protein unfolded $\to$

15 protein folded" transition where the definition of "folded protein" is restricted to a particular

16 conformational basin $\mathbb{A}$ (**active DFG-in, activation loop extended**), and likewise for $\Delta G_{u \to f}^{o}(S, \mathbb{B})$

17 which instead restricts the folded state definition to basin $\mathbb{B}$ (**inactive DFG-out, activation loop**

18 **folded**).

Figure 2. Choosing kinase targets (top) to analyze differences in the conformational landscapes of TKs and STKs. CDKs are STKs belonging to the "CMGC" group[8], which are distantly related to TKs. BRAF, an STK from the "Tyrosine Kinase-Like" (TKL) group, is more closely related to TKs. INSR is a typical receptor TK, with active and inactive conformations that are representative of both cytoplasmic and receptor TKs. Icons are displayed to the left to mark the divergence of kinase-containing taxa (from top to bottom – H. sapiens[8] and porifera[41] (animals), choanoflagellates[10], archaea and bacteria[3]). The Potentials of Mean Force (PMFs) shown on the right are artistic illustrations to help visualize the free-energy landscapes of TKs and TKs and STKs described previously[15] – while the barrier heights are unknown, the relative depths of the two basins at the end-states are accurately depicted. (*bottom*) The Potts DFG-out penalties of 90 human TKs (receptor and non-receptor families) and 58 STKs (41 TKL kinases and 21 CDKs) were estimated by threading over structural ensembles of the active and inactive (DFG-out) conformations (see *Methods*), showing a bias for human TKs (orange) towards inactive relative to STKs (blue).

MD simulations of the three target kinases in their active and inactive states, CDK6, BRAF, and INSR, were used to calculate contact frequencies (see *Methods*), and these contact frequencies were used to thread homologous kinase sequences from the three families (human TKs, TKLs, and CDKs) using Equation (1). Plotted in Figure 2, the ΔT distributions for these families recapitulate our previous observations over a much larger set of sequences[15] that, on-average, TKs are

intrinsically more balanced between the active → inactive conformational basins due to common features of their sequences that distinguish them from STKs (including TKLs and CDKs, among other groups). Specifically, we found that the average difference in Potts threaded energy scores between TKs and STKs is approximately 4 kcal/mol when scaled to physical free-energy units.[15] At this scale, the most populated bin in the ΔT histogram of TKs from Figure 2 ($\Delta T \approx 1$) is approximately 1.3 kcal/mol. This corresponds to a 1:10 ratio of inactive:active conformers in solution (10% inactive), consistent with experimental NMR populations for an isolated TK catalytic domain[27] (7% inactive).

1.3. Potts-generated library of mutational effects on conformational bias

Proteins can explore functional space by accruing mutations that shift the conformational equilibrium of the folded protein between different native-like conformations.[12,42,43] This can be caused by changes in non-covalent interactions involving the mutated residue and nearby sidechains in the two different protein environments (e.g., active vs inactive conformations) and changes in the physicochemical nature of these interactions upon mutation. In terms of Potts threaded energy, we define the net mutational effect on the conformational preference as $\Delta\Delta T$,

$$\Delta\Delta T_{\mathbb{A}\mathbb{B}}(w, m) = \Delta T_{\mathbb{A}\mathbb{B}}(m) - \Delta T_{\mathbb{A}\mathbb{B}}(w)$$

$$= \sum_{i<j}^{L} \left(J_{m_i m_j}^{ij} - J_{w_i w_j}^{ij} \right) \Delta c_{\mathbb{A}\mathbb{B}}^{ij},$$

(3)

where w and m denote two distinct sequences of length L which differ at one or more positions. Only positions i or j which are "mutated" in sequence m relative to sequence w contribute to $\Delta\Delta T$ (the net shift in ΔT), depending on how the threaded couplings of these positions with other residues in the protein are changed upon mutation. In this way, the Potts model can be used to scan for mutations which have significant effects on the conformational free-energy landscape.

For our target TKs and STKs (CDK6, BRAF and INSR) we scanned for mutations in the Potts model that are predicted by Equation 3 to induce the largest changes in conformational preference for the active vs inactive state (Figure 3A) and find that the largest projected mutational effects are mostly observed in association with structural motifs that are important for distinguishing the functional landscapes of TKs vs STKs.[15] To predict mutations with the most

significant effects on the active ($\mathbb{A}$) $\rightleftharpoons$ inactive ($\mathbb{B}$) conformational equilibrium of TKs and STKs, $\Delta\Delta T$ (Equation 3) was evaluated for $\approx 10^4$ mutations of STKs and TKs, using CDK6, BRAF and INSR as representative systems.

Figure 3. Potts statistical energy $\Delta\Delta T$s for the effects of mutations are consistent with corresponding $\Delta\Delta G_{reorg}$ from FEP. (**A**) Results of scanning double and single mutations in the Potts model, plotted as a histogram of raw mutant ΔTs ($\Delta T_{mut} = \Delta\Delta T + \Delta T_{wt}$) for $> 10^4$ mutations from each kinase (see *Methods*). Mutations chosen for FEP simulations are marked with triangles and scored with vertical lines. (see Table S3 for a full list of mutations chosen for FEP). (**B**) Thermodynamic cycle (left) which we used to calculate each of the 54 $\Delta\Delta G$s in A. The vertical legs represent the alchemical transformations performed in FEP simulations in the active basin $\mathbb{A}$ and the inactive basin $\mathbb{B}$, while the horizontal legs represent the physical free energy of reorganization between the two basins for wildtype (top) and mutant (bottom). (**C**) Plot of $\Delta\Delta T$ calculated from the Potts model vs $\Delta\Delta G_{reorg}$ for 54 mutations calculated from 108 FEP simulations in the active and inactive conformations (see *Methods*). $\Delta\Delta G_{reorg}$ and $\Delta\Delta T$ share a sign convention; positive values indicate a shift in conformational stability towards the active conformation, and negative values indicate a shift towards the inactive conformation.

1.4. FEP predictions of mutational effects on conformational stability

To validate the Potts model predicted effects of these mutations, FEP simulations were employed using a novel thermodynamic cycle to calculate $\Delta\Delta G_{reorg}$ (Figure 3B) – a structural thermodynamic observable for which $\Delta\Delta T$ is a sequence-based analog.[14] $\Delta\Delta G_{reorg}$ represents the change in free-energy difference between active ($\mathbb{A}$) and inactive ($\mathbb{B}$) that occurs upon mutation, and can be calculated by alchemically mutating the target residue(s) in-place within the two conformational basins:

$$\Delta\Delta G_{reorg}^{w\to m}(\mathbb{A}\to\mathbb{B}) = \Delta G_{reorg}^{m} - \Delta G_{reorg}^{w}$$

$$= \Delta G_{w\to m}^{\mathbb{B}} - \Delta G_{w\to m}^{\mathbb{A}}.$$

(4)

In this notation, the subscript of ΔG indicates the free-energy change associated with an equilibrium process, e.g., protein conformational reorganization or mutation of a sidechain via alchemical FEP simulations, and the superscript identifies the protein sequence (wildtype or mutant) or protein conformation (basin $\mathbb{A}$ or $\mathbb{B}$) that the process is performed on. It is technically possible to use the first line of Equation (4) to calculate $\Delta\Delta G_{reorg}$, but in practice the free-energy term ΔG_{reorg} is very difficult (if not impossible) to converge from direct MD free-energy simulations of the activation loop reorganization along a physical path. When using the second line to calculate $\Delta\Delta G_{reorg}$, only a structural perturbation of the sidechain in two different protein environments, basins $\mathbb{A}$ and $\mathbb{B}$, is required (Figure 3B). Hence, the alchemical approach is more reliable and computationally efficient, provided the physical end states are stable at the simulated timescales or the appropriate restraint procedures are deployed.

1.5. Correspondence of sequence- and structure-based methods

By calculating $\Delta\Delta G_{reorg}$ for landscape-altering mutations and comparing with the sequence-based Potts model analogue $\Delta\Delta T$ (Figure 3C), we were able to confirm that the structural basis for the divergent conformational landscapes of TKs and STKs originates from residues that are associated with their distinct substrate binding functionalities. As described in *Methods,* 56 mutations with the largest magnitude $\Delta\Delta T$s were selected for comparison with $\Delta\Delta G_{reorg}$ from FEP simulations, resulting in 108 free-energy simulations for mutations of TKs and STKs in the active and inactive

conformations. As shown in Figure 3C, results from the sequence based / Potts and structure based / FEP approaches are highly consistent (Pearson correlation of 0.81 with p-value $\approx 10^{-15}$).

The slope of the linear fit can be considered an approximate conversion factor from statistical energy units to kcal/mol which from Figure 3C is ≈ 1.3 kcal/mol, close to our previous estimate[15]. This suggests the Potts coupling terms in Equation 3 are capturing physical information about the free-energy balance of sidechain interactions between two different conformational basins. This correspondence is noteworthy considering the Potts Hamiltonian is a strictly sequence-based information theoretic model, i.e., the potential function is not explicitly trained on structural information. The only structural information used in the Potts threading calculation is the definition of active and inactive macrostates from PDB or MD ensembles, and the determination of residue-residue contacts that break and form between the two ensembles (Δc^{ij}, see *Methods*). It is the threaded energy terms from Equation 3 that provide information about how the two distinct protein environments, active and inactive, respond differently to mutations.

2. <u>Confirming the structural basis for the evolutionarily divergent conformational landscapes of TKs vs STKs</u>

The mutations with the largest effects on the active $\rightarrow$ inactive free-energy landscape from Figure 3C tend to cluster in structural motifs in the active and inactive conformations that were previously identified to shape the distinctive landscapes of TKs compared with STKs due to sequence differences.[15] Mutations of these motifs can increase the bias in the free-energy landscape towards the active conformation, characteristic of STKs, or decrease the relative stability of the active conformation, a signature phenotype of TKs.

In the active conformation, we find there are three main structural motifs which, due to average sequence differences between the two clades, broadly contribute to the divergent conformational landscapes of TKs vs STKs: the N-terminal anchor, RD-pocket, and C-terminal anchor. Here, we describe the structural basis for these effects on the stability of the active conformational basin, focusing on prominent examples of mutations which introduce a TK-prevalent residue into a wildtype STK sequence (CDK6), and test the effect of mutations at these locations using the Potts model and FEP. By comparison of observed residue positioning and

physical interactions in PDB structures of TKs versus STKs, we establish structural rationales for

the predicted mutational effects.

Figure 4. Mutating STK residues to those found in TKs destabilizes the active conformation
relative to inactive. (**A**) *Top*: surface representation of wildtype CDK6 (PDB: 1XO2 chain B) in
the active conformation (see Methods), with the N-terminal anchor highlighted. Peptides from TK
(light green) and STK (dark green) holoenzymes are superimposed for reference – STK
holoenzymes rely on interactions between peptides and the N-terminal anchor, in contrast to TKs
which bind peptides further away. *Bottom*: van der Waals (vdW) space-filling models of the CDK6
wildtype (left) and mutant (right) N-terminal anchor residue 146_{DFG+2} and its interaction partner
120_{HRD-2}, showing the loss of favorable vdW contacts between the C_β atom of 146_{DFG+2} and $C\gamma$ of
120_{HRD-2}. Backbone hydrogen bonding patterns that define the β-strands are shown with dashed
lines. (**B**) *Top*: same as *A* but with the RD-pocket highlighted. As before, peptides from TK and
STK co-crystal structures are superimposed for reference. *Bottom*: vdW space-filling models of
residue 160_{APE-7} in the wildtype (left) and mutant structure (right), located in the activation loop
C-terminus, which stabilizes the RD-pocket in STKs. Small aliphatic residues like $V160_{APE-7}$ in
CDK6 pack favorably against the HRD-Arg, while bulky sidechains, e.g., $K160_{APE-7}$ (seen in TKs),
decouple from the RD-pocket and flip "out" in our MD free-energy simulations to interact with
solvent (or peptides in the TK holoenzyme only).

2.1. The N-terminal anchor is destabilized in the active conformation in TKs relative to STKs

The N-terminal anchor (Figure 4A) is a motif formed in the active-state by a pair of antiparallel β-

strands involving the activation loop N-terminus (β9) and the stretch of three residues located N-

terminal to the HRD motif (β6).[13] The N-terminal anchor can be identified by sequence positions

120 (HRD-2) and 121 (HRD-1) in the β6 strand, and 146 (DFG+2) through 148 (DFG+4) in the

β9 strand in our MSA numbering (see Table S2 for equivalent PDB residue numbering). This

strand pairing only occurs when the activation loop is "extended" as in the active conformation.[13,44]

In STKs, the N-terminal anchor is located inside the "cleft" between the N-lobe and C-lobe

where residues of the holenzyme interact with bound peptides and protein substrates in co-crystal

structures[16] as illustrated in Figure 4A. By contrast, substrates co-crystallized with TK

holoenzymes adopt a different orientation which places residues of the bound substrate further

away from the N-terminal anchor.[16] Consistent with this paradigm, our results based on MD free

energy simulations and Potts calculations described next suggest the N-terminal anchor (β6-β9

pairing) evolved under weaker stability constraints in TKs compared with STKs. The β6 and β9

strands contain divergent sequence features which, as shown in TKs is relatively unstable

compared with STKs.

Cross-strand sidechain interactions between position 120_{HRD-2} in the β6 strand and

146_{DFG+2} in the β9 strand are diverged between TKs and STKs due to sequence differences[15]; 77%

of STKs in our MSA have, simultaneously, a branched aliphatic sidechain at 120_{HRD-2} (Val or Ile)

and a small unbranched sidechain at 146_{DFG+2} (Ala or Ser), whilst fewer than half of TKs (39%)

contain these residue pairs. Many TKs (23%) instead have a large aromatic sidechain (Phe) at

β6/HRD-2, which by contrast is very rare for STKs (4%) and predicted by the Potts model to

destabilize the N-terminal anchor. Specifically, when using the Potts model to mutate the β6-strand

from $V120_{HRD-2}$ to Phe in CDK6, or $I120_{HRD-2}$ to Phe in BRAF, there is a large predicted shift in

conformational equilibrium that results from destabilizing the N-terminal anchor ($\Delta\Delta T \approx -2$).

This appears to be a consequence of mutations abrogating favorable cross-strand contacts between

the branched $V120_{HRD-2}$ and unbranched $A146_{DFG+2}$ or $S146_{DFG+2}$ sidechains that are frequently

present in wildtype STKs and display complementary packing between the C_β atom of $A146_{DFG+2}$

or $S146_{DFG+2}$ and the branched $C\gamma$ atom of $I120_{HRD-2}$ or $V120_{HRD-2}$ (Figure 4A). These

complementary combinations of residue pairs are rare in TKs[15], making their wildtype N-terminal

anchors intrinsically less-stable relative to STKs. The Potts model predictions of these β6-β9 cross-strand interaction constraints were validated using FEP simulations; for CDK6 (an STK which contains A146$_{DFG+2}$), mutating V120$_{HRD-2}$ from a branched sidechain to Phe (V120F) abrogates favorable Cγ-Cβ sidechain interactions with A146$_{DFG+2}$, destabilizing the N-terminal anchor in the active conformation by nearly 4 kcal/mol relative to the inactive conformation ($\Delta\Delta G_{reorg} = -3.7 \pm 0.1$ kcal/mol), consistent with the Potts threaded-energy predictions ($\Delta\Delta T \approx -2$). As a further validation of this selection rule, for INSR (a TK bearing F120$_{HRD-2}$ and T146$_{DFG+2}$ as the wildtype) we find that substituting F120$_{HRD-2}$ with a branched sidechain, F120I, stabilizes the active conformation as observed for STKs ($\Delta\Delta G_{reorg} = 2.5 \pm 0.2$ kcal/mol) only if the activation loop residue T146$_{DFG+2}$ is first mutated to Ala (the STK-prevalent residue) to satisfy the interaction constraint described above, otherwise the Cγ atoms of wildtype T146$_{DFG+2}$ and mutant F120I will clash, resulting in $\Delta\Delta G_{reorg} \approx -0.8 \pm 0.2$ kcal/mol.

2.2. The RD-pocket is destabilized in the active conformation in TKs relative to STKs

The "RD-pocket" (Figure 4B) plays a distinctive functional role in STKs compared with TKs. Similar to what is seen for the N-terminal anchor in STKs, the RD-pocket in the active conformation of STKs directly interfaces with co-crystallized substrates, in contrast to TKs which bind peptides in a different binding mode[16]. The RD-pocket is a dynamically assembled and positively charged pocket formed by a cluster of basic and hydrophobic sidechains originating from the HRD-Arg (R123$_{HRD}$), the activation loop N-terminus (145$_{DFG+1}$ through 147$_{DFG+3}$) and C-terminal anchor (159$_{APE-8}$ − 161$_{APE-7}$). The αC-helix also contributes basic residues to the RD-pocket in some kinases. For both TKs and STKs, the net-charge of this pocket places an additional contingency on the stability of the active conformation relative to inactive that is controlled by the biological environment: when Tyr, Ser, or Thr residues in the activation loop (153$_{DFG+9}$ − 155$_{DFG+11}$) are phosphorylated by another kinase, the acidic/phosphorylated sidechain buries itself into this pocket *in cis* and stabilizes the active conformation.[14] For unphosphorylated kinases, this pocket can also be stabilized *in trans* by anions from the surrounding buffer as observed in our simulations (Figure 4B).

The apo, active conformation of STKs is stabilized by favorable packing between RD-pocket residues, namely those originating from the activation loop N-terminal and C-terminal residues (e.g., L145$_{DFG+1}$ and V160$_{APE-7}$ in CDK6: see Figure 4B). This is enabled in-part by

residue 160_{APE-7} which, in STKs, has a small aliphatic sidechain, allowing it to pack tightly against the sidechain of residue 145_{DFG+1} and $R123_{HRD}$, stabilizing the RD-pocket. In TKs however, residue 160_{APE-7} located in the C-terminal anchor is usually a bulky and/or positively charged residue (Lys or Arg) with the sidechain "flipped out" from the RD-pocket and exposed to solvent, e.g, K400 in Abl kinase numbering (PDG: 2G2I). Using FEP simulations of CDK6 to perform these substitutions, $V160K_{APE-7}$ and $V160R_{APE-7}$ which are frequently observed in TKs, we find an (unfavorable) increase in the free energy of the active conformation relative to the inactive conformation, resulting in $\Delta\Delta G_{reorg} = -1.6 \pm 0.1$ and -2.6 ± 0.1 kcal/mol, respectively. This appears due to the structural decoupling of residue 160_{APE-7} from the RD-pocket, in concordance with the Potts calculated $\Delta\Delta T$s ($\Delta\Delta T = -2.3$ and -3.1, respectively). These results suggest the RD-pocket is relatively less stable when TKs are in the active conformation compared with STKs.

2.3. The C-terminal anchor stabilizes the active conformation in STKs

The third structural motif in the activation loop, the C-terminal anchor, is directly involved with the binding of substrates to the active conformation *in trans* for both STKs and TKs[11,13] by providing a complementary surface that stabilizes catalytic interactions between the substrate hydroxyl and the conserved HRD-Asp residue ($D124_{HRD}$) in the catalytic loop.[37] In STKs, this surface is formed in the active conformation by a conserved hydrogen bond between $T162_{APE-5}$ (or $S162_{APE-5}$) and the catalytic base $K126_{HRD+2}$ (HRDx<u>K</u>xxN) (Figure 5A).

When an STK is reorganized to the inactive DFG-out activation loop-folded conformation[15] (Figure 5B), this hydrogen bond is broken as in CDK6 (active PDB 1XO2:B, inactive PDB 1G3N:A). This is due to a large torsion of the activation loop backbone about 162_{APE-5}, an effect which can be seen by comparing Figure 5A and Figure 5B. We previously found that breaking this hydrogen bond is suggested by the Potts model to come at a large free-energy cost.[15] Additionally, we found other sidechain interactions in the C-terminal anchor which are also broken upon reorganization of the activation loop in STKs; due to rotation of the backbone about 162_{APE-5}, 161_{APE-6} is "swung" outwards in the inactive conformation (Figure 5B) and breaks its contact with 166_{APE-1} which incurs an additional energetic penalty according to the Potts model.[15]

Figure 5. Divergent features of the active → inactive conformational change for TKs vs STKs. Key residues are displayed with α-carbon spheres and "sticks" representation. (**A**) Active conformation of CDK6 (PDB: 1XO2), an STK. In the active state the activation loop (dark blue) is extended and the C-terminal anchor is formed by a hydrogen bond between K126$_{HRD+2}$ in the catalytic loop and T162$_{APE-5}$ in the activation loop C-terminus. The activation loop C-terminus is also anchored in-place via the stacked residues 161$_{APE-7}$ and 166$_{APE-6}$. (**B**) Viewing the inactive DFG-out activation loop of CDK6 (PDB: 1G3N) – a large rotation about T162 can be seen which distorts the C-terminal anchor and breaks the contact between 161 and 166. (**C**) Viewing the active holoenzyme of INSR (PDB: 3BU3) with a peptide substrate bound to the active kinase in the C-lobe binding mode. (**D**) Viewing the inactive activation loop of INSR (PDB: 3ETA). Unphosphorylated Y154 in the activation loops of TKs (light blue) acts as a pseudo-substrate, forming the same interactions as the substrate phosphoacceptor in *C*. (**E**) Depiction of the active ↔ inactive landscape suggested by the Potts model and structural observations, for STKs (solid line) and TKs (dashed). The barrier heights are unknown and were drawn for the sake of illustration, while the relative depths of the active and inactive free energy basins were drawn descriptively, based on estimates of ΔG_{reorg} (see ref. 15) and $\Delta\Delta G_{reorg}$.

2.4. The C-terminal anchor stabilizes both the active and inactive conformation in TKs

Role of the C-terminal anchor in the active conformation of TKs.

The C-terminal anchor of TKs is strikingly different from STKs; TKs have a conserved Pro at

position 162_{APE-5} which rigidifies the local backbone and simultaneously provides a

complementary surface in the active conformation for the binding of bulky Tyr sidechains *in trans*

(Figure 5C). In TKs, the "catalytic base" is typically $R128_{HRD+4}$ in place of the STK-conserved

$K126_{HRD+2}$. Additionally, in TKs the sidechains of contact-pair 161_{APE-6} and 166_{APE-1} in the C-

terminal anchor form part of the "C-lobe" binding site located "underneath" the activation loop

where substrate peptides are found co-crystallized with TKs in the active conformation.[16]

The distinct C-terminal anchors of TKs enable substrate mimicry in the inactive conformation.

Unlike STKs, the C-terminal anchors of experimentally solved TKs in the inactive conformation

are generally seen intact while the activation loop N-terminus and middle-region are "folded over"

(Figure 5D), likely due to the enhanced rigidity of the C-terminal anchor in TKs. This allows the

flexible middle-region of the activation loops to fold onto the C-terminal anchor *in cis* as a

pseudosubstrate. The flexible middle-region of TK activation loops typically contain one or more

Tyr residues, e.g., $Y154_{DFG+10}$ which, in the inactive conformation, stacks onto $P162_{APE-5}$ in the C-

terminal anchor and interacts with the kinase's own catalytic machinery.[17] For inactive INSR

structures (e.g., PDB: 3ETA), *cis* pseudo substrate interactions are identified by hydrogen bonds

between the $Y154_{DFG+10}$ hydroxyl and $D124_{HRD}$ and $R128_{HRD+4}$ in the catalytic loop (Figure 5D).

The stability of these interactions was corroborated at the sequence level using threaded energies

from the Potts model which predict favorable interactions between $Y154_{DFG+10}$, the TK catalytic

base ($R128_{HRD+4}$), and $P162_{APE-5}$ in the C-terminal anchor. As described below, the sequence-based

predictions were confirmed at the structural level using FEP simulations to mutate $Y154_{DFG+10}$ to

residues seen in STKs.

STK activation loops are rarely observed with Tyr at the 154_{DFG+10} position and instead

have a variable residue, Leu being the most frequent which appears in nearly 10% of STKs and

only 3% of TKs. By contrast, $Y154_{DFG+10}$ is highly prevalent in the activation loops of TKs (~30%).

The mutation Y154L in INSR was predicted by the Potts model to result in one of the largest

possible single-mutant shifts in stability away from the inactive conformation and towards the

active conformation. This predicted effect was confirmed by performing FEP simulations in both

the active and inactive conformations, resulting in $\Delta\Delta G_{reorg} = 3.3 \pm 0.5$ kcal/mol which is consistent with the Potts-calculated $\Delta\Delta T = 1.1$ (Figure 5E). A large fraction of this free-energy penalty to the inactive state may be due to the elimination of pseudo-substrate hydrogen bonds with $D124_{HRD}$ and $R128_{HRD+4}$, as suggested by the result of mutating $Y154_{DFG+10}$ to Phe (Y1162F in INSR numbering). Similar to Y154L, Y154F tilts the free energy balance of the active and inactive basins in favor of the active basin, consistent with experiments that report an increase in basal activity for this mutant[17,38]. These results validate the proposed regulatory role[17] of unphosphorylated activation loop Tyr for optimizing the stability of the inactive "substrate mimetic" conformation commonly seen in x-ray diffraction[23] and NMR structures[27] of TKs.

2.5. Mutating the regulatory spine alters the stability of the active conformation relative to inactive

The regulatory spine or "R-spine" is a structurally conserved column of (typically) nonpolar, stacked sidechains located inside the kinase hydrophobic core that connects the N-lobe and C-lobe when the kinase is active[45,46] and is thought to orchestrate correlated dynamics of the kinase domain which are required for catalysis.[47,48] The R-spine is disassembled upon displacement of the DFG motif from "DFG-in" to "DFG-out", or the αC-helix from "αC-in" to "αC-out", and in this way the R-spine can be stabilized or destabilized by allosteric signals from the αC-helix and activation loop. The R-spine itself is structurally conserved throughout eukaryotic kinase families and plays a common functional role in both TKs and STKs. Our results from the Potts model and free energy simulations described next confirm the regulatory role of this structural motif in both kinase families.

Mutations of the αC-helix and DFG motif have consistent effects in the Potts model and FEP

In the active conformation the DFG motif participates in the R-spine via $F143_{DFG}$ located at the "base" of the R-spine stack. Moving "up" the spine, the next residue belongs to the αC-helix located at position 53 in our MSA numbering, and it is usually a bulky sidechain (e.g., $M53_{αC}$ or $L53_{αC}$) that packs against the aromatic ring of $F143_{DFG}$ in the active conformation. Several mutations of residues located in and around the R-spine were identified in our Potts mutational scan to result in large shifts in conformational preference between active and inactive: namely residues $F143_{DFG}$ and $M53_{αC}$ in INSR, and $L53_{αC}$ in BRAF. We calculated $\Delta\Delta T$ and $\Delta\Delta G_{reorg}$ for these mutations, the results for which are presented in Figure 3C and Table S3.

Results for mutating the DFG motif imply distinct conformational landscapes of DLG kinases

"DLG" is the most commonly observed variation of the DFG motif, seen in ~10% of wildtype

STKs and TKs, although its functional purpose is not well understood. The mutation "DFG to

DLG" (F143L$_{DFG}$) was predicted by the Potts model and confirmed with FEP simulations to have

an activating effect in BRAF ($\Delta\Delta G_{reorg} = 0.9 \pm 0.4$) and a larger inactivating effect in INSR

($\Delta\Delta G_{reorg} = -2.9 \pm 0.4$ kcal/mol) whereby the active/assembled R-spine is stabilized in the

former and destabilized in the latter. Analysis of Potts threaded energies in the wildtype and mutant

(F143L) sequences provides a structural rationale for the differential effect of this mutation in

INSR compared with BRAF in our FEP simulations (see Figure S2), which suggests the effect of

the DLG substitution depends on the identity of residues surrounding the R-spine in the C-lobe.

For INSR in the active DFG-in basin, Potts threaded energies predict favorable interactions

between wildtype F143$_{DFG}$ and residue 56$_{\alpha C}$ at the C-terminus of the αC-helix. Structurally, INSR

has a bulky hydrophobic sidechain at this position (F56$_{\alpha C}$) which packs closely with F143$_{DFG}$ in

the R-spine (Figure S2), and the F143L$_{DFG}$ mutation is predicted by the Potts model to weaken this

interaction, thereby destabilizing the active conformation. In contrast, BRAF has a small Thr

sidechain (T56$_{\alpha C}$) which does not interact with F143$_{DFG}$ as closely, consistent with the Potts

threaded energy for this interaction in the active state, which is very weak, and therefore the

F143L$_{DFG}$ mutation destabilizes the active conformation of INSR but not BRAF.

Figure 6. *(continued on next page)* Structural differences between active and substrate-bound TK
and STK co-crystal structures, where the TKL autophosphorylation structure of IRAK4 displays a
similar substrate binding mode as TKs. (**A**) Cartoon illustration of substrate binding to TKs and
STKs in different orientations, showing the angle-of-view for the catalytic domain used throughout
Figure 6. (**B**) The catalytic domain of CDK2 bound to substrate (PDB: 1QMZ) containing a Ser
phosphoacceptor. The peptide is bound within the "cleft"[16] between the N-lobe and C-lobe. (**C**)
The catalytic domain of INSR, a TK, bound to a substrate peptide (PDB: 3BU3) in the "C-lobe
binding mode"[16]. (**D**) Viewing the trans-autophosphorylation dimer structure of IRAK4, a TKL.
The activation loop from the opposing "substrate monomer" is colored as a substrate (dark grey)
with downstream residues of the substrate monomer hidden for clarity. The substrate activation
loop is oriented against the surface of active IRAK4 in a C-lobe binding mode, similar to the
binding mode of peptide substrates to TKs. (**E**) Primary structure diagram showing the location
and coloring of different motifs, with the residues depicted in *B-D* explicitly labeled. Residues that
interact with peptides in the STK holoenzyme (e.g., CDK2) are labeled above, while residues that
interact with peptides in the TK holoenzyme (e.g., INSR) are labeled below.

Discussion

3. <u>On the evolution of functional regulatory conformations in TKs and STKs</u>

For TKs, the conformational free-energy basin corresponding to the inactive state is, due to

features of the catalytic domain sequence that distinguish TKs from STKs, of similar depth to the

active basin (approximately 1 kcal/mol relative free energy difference favoring the active state for

TKs, on-average[15]) as illustrated in Figure 5E. We suggest how this bias may have evolved in

tandem with tighter and more complex modes of regulation required for high fidelity intercellular

phosphotyrosine signaling during the evolution of metazoan multicellularity.

Our analysis of mutational effects on kinase conformational landscapes, using the Potts model

and free energy simulations suggest that, in the absence of extrinsic signals (such as

phosphorylation on $Y154_{DFG+10}$, protein-protein interactions, and domain assemblies[27,49,50]) the

intrinsic propensities of TK catalytic domains for the active → inactive reorganization evolved

with (A) structural features of the active conformation that differ substantially from STKs to shape

a distinct peptide binding surface, and (B) the ability for TK activation loops to closely mimic *in*

cis the binding mode adopted by TK-bound peptide substrates, which regulates formation of the

holoenzyme and simultaneously shields the activation loop $Y154_{DFG+10}$ from activating trans-

phosphorylation by sequestering it within the kinase's own active site as a pseudo substrate.

3.1. The evolution of a distinct substrate binding mode in TKs explains the altered stability of the active, apo state in TKs

The three active-state motifs we identified to control the active → inactive conformational bias in

TKs and STKs have a second functional role: they are involved in the binding of substrate peptides

in trans which bind in different orientations in PDB co-crystal structures of TKs compared with

distantly related STKs[16] (Figure 6A). This leads us to hypothesize that the evolutionary divergence

in substrate binding functionality that distinguishes holo TKs from STKs has also altered the

stability of the active, apo conformation. The substrate binding surface occupied by peptides in

co-crystal structures of holo TKs involves residues in the C-lobe and the activation loop C-

terminus in the active state with the peptide bound underneath the activation loop, while STKs are

co-crystallized with substrates occupying the "cleft" between the N-lobe and C-lobe and with the

peptide resting "on top" of the activation loop (Figure 6B). The functionalization of the C-lobe

binding mode and decommissioning of the cleft binding mode during the evolution STKs to TKs

is reflected by sequence changes in the N-terminal anchor, RD-pocket and activation loop C-

terminus in the active state, each of which structurally participates in the intermolecular binding

surfaces. In this context, each of these structural motifs play a dual functional role, interfacing with

peptide substrates on one hand and controlling the stability of the active conformation on the

other[13]. This suggests the evolution of structural features driving TK substrate binding has

reshaped the free-energy landscape, resulting in a shallower active basin compared with STKs.

In the C-terminal segment of TKs, Arg and Lys are commonly seen at position 160_{APE-7}

where a "bulge" in the activation loop forms part of the C-lobe groove occupied by peptides in TK

co-crystal structures (Figure 6C). Above we showed these residues destabilize the active

conformation relative to inactive. Structurally, "TK-like" mutants of CDK6 (an STK), such as

$V160R_{APE-7}$ and $V160K_{APE-7}$, are observed in MD simulations to have an activation loop C-

terminal segment that is structurally decoupled from the RD-pocket, causing it to distend outwards

(Figure 3B) like what is seen in TKs[13]. Additionally, the stacked sidechains of residues 161_{APE-6}

and 166_{APE-2} in the C-terminal anchor tend to be bulkier in TKs compared with STKs[15], and form

the inner "wall" of the C-lobe groove that interfaces with peptides (Figure 6C).

STKs generally do not have this C-lobe groove; their holo form is instead characterized by

a binding mode where the peptide is placed "on top" of the activation loop and nested within the

"cleft" between the "small lobe" (N-lobe) and "large lobe" (C-lobe) as illustrated in Figure 6B.[16]

As shown above, mutating the N-terminal anchor residues 120_{HRD-2} and 146_{DFG+2} of STKs to

residues seen in TKs (e.g., CDK6: V120F and BRAF: A146T) significantly destabilizes the active

conformation according to MD free energy simulations, tilting the free-energy landscape towards

the inactive conformation. Because the "cleft" binding mode places peptides in contact with the

activation loop N-terminus in the active state[16] (Figure 6B) we propose that the decreased stability

of the N-terminal anchor in TKs relative to STKs is due to the decommissioning of the cleft binding

mode in TKs over the course of their evolution and the loss of functional constraints associated

with this binding surface that otherwise require the N-terminal anchor to be highly stable, e.g., as

is seen for STK.

In support of this hypothesis, co-crystallographic evidence in the PDB suggests that the TK-specialized "C-lobe binding mode" may have originated from the TKL group (Figure 6D), an "evolutionary intermediate" group of STKs that share a recent common ancestor with TKs.[9] Strikingly, the TKL IRAK4 displays a C-lobe binding mode in its trans-autophosphorylation dimer structure[51] PDB: 4U97 (Figure 6D) where the target substrate is a Thr residue[51–53] (T345 in IRAK numbering). Relative to CDK2 (an STK that binds peptides in the cleft), IRAK4 has an unstable N-terminal anchor in the active conformation according to the Potts model, similar to TKs (see Table S1). This is due to the presence of a bulky aromatic residue in the N-terminal anchor, $H120_{HRD-2}$ (H307 in IRAK numbering, see Figure S3), which has an effect on the active conformation analogous to F120 seen in TKs (e.g., F1128 in INSR numbering). This is the only example to our knowledge of an STK co-crystallized with a substrate in this binding mode, and although caution should be exercised when interpreting limited crystallographic data, our structural observations and Potts threading calculations for IRAK4 summarized in Figure S3 and Table S1 suggest the functionalization of the C-lobe binding surface and evolutionary "decommissioning" of the cleft binding surface may have originated in TKLs, prior to the evolution of Tyr-specific features of the active site. In other words, the evolution of the C-lobe binding mode may have preceded the more "fine-grain", atomically precise arrangement of active site residues evolved by TKs for binding Tyr sidechains *in trans*.

3.2. Co-evolution of autoinhibitory substrate mimicry in cis and substrate binding modes in trans

We additionally suggest that the autoinhibitory conformation of the INSR activation loop[17] against the C-lobe *in cis,* which mimics the *trans* binding mode of peptides against the C-lobe in the active conformation, evolved from STKs to TKs in tandem with new regulatory modes, with TKLs as an "evolutionary intermediate"[8,54]. Comparing the inactive activation loops of apo CDK6, BRAF, and INSR in Figure 7 provides a qualitative view of how the substrate mimetic inactive conformation of TKs might have been shaped over the course of evolution from STKs → TKLs → TKs to regulate the kinase-preferred binding mode of peptides and protein substrates *in trans*. We note that STKs (including TKLs) have few structures in the PDB which are simultaneously apo and have the activation loop in a fully resolved DFG-out conformation. STKs belonging to the CDK family evolved as part of the early mitotic machinery in eukaryotes[55] in contrast to TKs and TKLs which diverged only recently[56] and display similar substrate binding modes oriented against the C-

lobe *in trans* (Figure 6C and Figure 6D), and in this light it is not surprising that BRAF (Figure 7D), in contrast to CDK6 (Figure 7B), adopts an inactive activation loop conformation that more-closely resembles what is seen in TKs such as INSR with the activation loop folded against the C-lobe surface (Figure 7C). The inactive loop of CDK6 does not interface with the C-lobe surface, as expected if substrate-mimetic interactions between the activation loop and the C-lobe surface lack a functional purpose in CDK6 and STKs more broadly, with the exception of TKLs as noted.

Figure 7. *(continued on next page)* Substrate mimicry in the inactive conformation may have evolved in TKLs – a group of STKs that share a recent common ancestor with TKs. (**A**) Cartoon illustration of the inactive conformation which blocks substrates from binding, using the same angle-of-view as Figure 6. (**B**) Inactive CDK6 (PDB: 1G3N chain A), where the activation loop is highly reorganized relative to the active state but does not interact with the C-lobe surface where substrates bind to TKs. (**C**) Inactive INSR (PDB: 3ETA chain A) with the activation loop reorganized such that the middle-region *in cis* mimics a substrate with the activation loop Tyr (green) bound to the active site and downstream residues "blocking off" the C-lobe binding surface. (**D**) Inactive BRAF (PDB: 4H58 chain C) with an autoinhibitory activation loop that interacts with the C-lobe surface *in cis,* similar to INSR. However, by contrast BRAF lacks the "atomically precise" substrate mimicry involving a Tyr from the activation loop.

Figure 7. *(continued from previous page)*

4. <u>Concluding Remarks</u>

In conclusion, our results and observations suggest the distinctive tilt of the TK free-energy landscape favoring the **DFG-out, activation loop-folded** inactive state relative to STKs is tied to features of their substrate binding functionality that distinguish TKs from STKs – the large-scale functionalization of the C-lobe surface for binding substrate peptides and, at a finer scale, the re-structuring of the active site in TKs to complement Tyr sidechains bound *in trans*. This physical restructuring of the active conformational basin has shifted the intrinsic free-energy landscape of apo TKs so that the balance more readily favors the inactive state for TKs compared with STKs. In addition to these differences in the stability of the active state arising from differences between peptide substrate binding modes of TKs and STKs, in the apo inactive state the "folded" activation loop of TKs behaves as a pseudo substrate and is stabilized by Tyr-specific features of the catalytic domain.

Integrating the analysis of the effects of mutations on the relative stability of active (DFG-in, activation loop extended) vs. inactive (DFG-out, activation loop-folded) kinase conformations using a sequence-based approach (Equation 3) with a structure-based approach (Equation 4) requires that both methods are sensitive enough to distinguish physicochemical differences between the two folded protein environments. Results from both computational approaches described by Equation (3) and Equation (4) are consistent, suggesting that the Potts statistical energy model and structure-based molecular dynamics free energy methods are presently at the level of accuracy where they are capable of capturing effects of mutations on the relative stability of two folded protein conformations which are both involved in function that individual proteins move between.

There is a strong correspondence between the changes in the conformational landscape induced by mutations, estimated using molecular dynamics simulations to construct alchemical thermodynamic cycles, and the results obtained using the Potts statistical energy model to thread sequences onto the corresponding structures in the active and inactive conformational free energy basins. We observe from both approaches that TKs have a less-stable active (DFG-in activation loop extended) conformational basin and a more-stable inactive (DFG-out activation loop-folded) conformational basin as compared with STKs (Figure 5E). A key benefit of the sequence-based model is the ability to decompose the statistical energy into pairwise interaction contributions,

which can provide insights into the molecular basis of evolutionarily diverged conformational phenotypes, especially the role of the N-terminal and C-terminal anchors and the RD-pocket in stabilizing the active conformation of STKs. In contrast, the destabilization of the N-terminal anchor and RD-pocket in active-state TKs, together with the stable autoinhibitory interactions acquired by the TK clade involving Tyr-substrate mimetic interactions from the folded activation loop *in cis*, are the major determinants that distinguish active vs. inactive conformational states of TKs from STKs. As illustrated in Figure 7, a notable feature of the DFG-out activation loop-folded conformation for TKs compared with STKs is the ability to occupy a functional surface of the kinase that is otherwise "intended" for binding peptides and Tyr substrates. These structural observations, combined with the fact that many of the mutations with the strongest effects on the conformational landscape are also responsible for the evolutionary divergence in substrate specificity of TKs from STKs, support the proposal that the DFG-out conformation with the activation loop folded is a functional state that has been stabilized in TKs over the course of their evolution from STKs via the accumulation of residue substitutions in the activation loop and catalytic loop. This distinctive property of TKs likely enabled the evolution of tighter regulatory controls required for high-fidelity phosphotyrosine signaling.

Methods

System preparation and molecular dynamics simulations

Protein structures were prepared for MD simulations using Schrödinger suite 2023-2 version. For

each of the three kinases studied, two x-ray crystallographic structures were downloaded from the

PDB: CDK6 (active – 1XO2 chain B, inactive – 1G3N chain A), BRAF (active – 4MNE chain B,

inactive – 4H58 chain C), and INSR (active – 3BU3 chain A, inactive – 3ETA chain A). All

ligands, bound peptides and cofactors were stripped from the starting crystal structures.

Crystallographic mutations were reverted back to wildtype and missing sidechains were added

using Prime. Hydrogen atoms were added to the protein structures, and small loops were modeled

using the Protein Crosslink tool. To determine ionization states, estimates of sidechain pKa values

for titratable residues were obtained using PropKa. All crystallographic water molecules were

retained. Restrained energy minimization was performed using the OPLS4 force field. For both

the active and inactive DFG-out structures, we ensured the HRD histidine was singly protonated

at the epsilon position, allowing it to form a hydrogen bond with the backbone of DFG-1 residue.

We also ensured the conserved regulatory spine Asp was deprotonated and forms bidentate

hydrogen bonds with the HRD-His and HRD-Arg backbone.

To relieve structural artifacts induced by the crystal packing environment, 100 ns molecular

dynamics (MD) simulations were run in explicit solvent (TIP3P) with the Desmond simulation

engine at constant temperature and volume, using the OPLS4 force field.[57] An orthorhombic box

geometry was used for periodic boundary construction, with minimum dimensions satisfying a 10

Å buffer between the protein and box boundaries. The simulations were run in the NVT ensemble

(constant volume, temperature, and number of particles) with a buffer concentration of 0.15 M

NaCl and additional ions as necessary to neutralize the system. At approximately 50 ns, a

simulation frame was saved for further processing in preparation for FEP simulations. The first

50ns of the equilibration run were then discarded, and the last 50ns of the overall 100ns trajectory

were used for Potts threaded energy calculations (see below).

Free-energy Perturbation (FEP+/REST2) protocol and thermodynamic cycle for the calculation of $\Delta\Delta G_{reorg}$

From the MD frame extracted at 50ns, only the protein and water molecules within 5 Å of the

protein were retained in preparation for FEP simulations. Ions from the surrounding salt buffer

that were seen to interact with the protein and remained bound for a significant fraction of the

simulation time were also retained. Ionizable residues were then subjected to PropKa calculations

to determine ionization states, followed by another round of energy minimization. For mutation

free-energy simulations, the FEP+/REST2 method[58,59] implemented in the Desmond MD engine

from Schrodinger Suite 2023-2 was used. The sidechain conformation of the mutant residue was

initialized using Prime as part of the FEP+ default pipeline. For mutations involving changes in

ionization state, 24 simulation replicas were initialized in 24 different lambda windows along an

alchemical path separating the wildtype ($\lambda = 0$) and mutant ($\lambda = 1$) states. For all other mutation

types, a total of 16 lambda windows were used. Replicas were simulated for 10 ns at constant

volume and temperature with GCMC (grand canonical Monte-Carlo) sampling of water molecules

between the bulk solvent and protein interior. Some mutations were run significantly longer to

achieve convergence (up to 100ns, e.g., V160R). We ensured that both the active and inactive legs

of the thermodynamic cycle were simulated for the same amount of time to avoid biasing the

convergence of one leg over the other. Solute tempering was applied to residues within a sidechain

heavy-atom distance of 5Å from the double mutant pair. This typically includes around 20 residues

or hundreds of atoms. The solute tempering scheme applied to the double mutants was retained for

all constituent single mutations and cycle closure calculations (described below). The replica

exchange simulations were analyzed using the Benett Acceptance Ratio (BAR) implemented in

the FEP+ program to calculate ΔGs for the alchemical legs. The difference between the physical

legs, $\Delta\Delta G_{reorg}$, was calculated by taking the difference $\Delta G(\mathbb{B}) - \Delta G(\mathbb{A})$ where $\mathbb{B}$ and $\mathbb{A}$ are the

inactive and active basins, respectively.

Kinase Potts model threaded energy calculation

24   The sequence-based calculations in this work were performed using the kinase Potts model from

25   our previous paper[15], where we refer the reader for more information. In brief, the q-state Potts

26   model is a discrete statistical energy Hamiltonian that models co-evolutionary couplings J between

27   all $\binom{L}{2}$ pairs of positions, where $L = 259$ is the number of aligned positions in our kinase MSA,

and all possible combinations of the $q = 21$ amino acids letters (including a gap character) at those positions.

$$E(S) = \sum_{i<j}^{L} J_{S_i S_j}^{ij} + \sum_{i}^{L} h_{S_i}^{i}$$

(5)

The total statistical energy E of sequence S determines its probability of being observed in the MSA relative to all other sequences which has the form of a Boltzmann distribution $P(S) = e^{-E(S)}/Z$ where Z is the partition function. The Mi3 algorithm[31] uses MCMC to sample sequences from this distribution, starting from an initial "site-independent" guess for the Hamiltonian, and using Zwanzig reweighting and quasi-Newton optimization to iteratively converge on a set of coupling parameters that generate an ensemble of sequences with pairwise correlations precisely matching what is seen in the training MSA. The self-interaction field terms h do not need to be inferred during model training, as the Potts model can be determined in a "fieldless" gauge where all the self-interaction energies are present implicitly as a constant shift in the coupling parameters. The fieldless Hamiltonian is then transformed to a "zero-mean" gauge shown in Equation 5 where the average coupling energies are set to zero and the remaining energy is distributed into the field terms. We do the threading calculation in this gauge, where pairs of residues with $J_{S_i S_j}^{ij} = 0$ can be interpreted as uncoupled.[39] The field terms do not contribute to the threading calculation described below.

The threaded energy of a sequence over a particular structure n from conformational ensemble $\mathbb{A}$ is calculated from the set of residue-residue contacts observed in that structure, by only summing Potts couplings of residue pairs that coincide with a nearest-sidechain heavy atom distance of $< 6\text{Å}$, represented by the delta function in the following formula:

$$T(S, n) = \sum_{i<j}^{L} J_{S_i S_j}^{ij} \delta[d_n^{ij} < 6\text{Å}]$$

(6)

1 The average threaded energy of sequence S in basin $\mathbb{A}$ can be determined by substituting the delta

2 function for an average – contact frequency $c_{\mathbb{A}}^{ij}$:

$$c_{\mathbb{A}}^{ij} = \frac{1}{N_{\mathbb{A}}} \sum_{n}^{N_{\mathbb{A}}} \delta[d_n^{ij} < 6\text{Å}]$$

(7)

$$\langle T(S) \rangle_{\mathbb{A}} = \sum_{i<j}^{L} J_{S_i S_j}^{ij} c_{\mathbb{A}}^{ij}$$

(8)

5 The average Potts threaded-energy difference between two basins, $\mathbb{A}$ and $\mathbb{B}$ is defined as $\Delta T(S)$,

$$\Delta T(S)_{\mathbb{A} \to \mathbb{B}} = \langle T(S) \rangle_{\mathbb{B}} - \langle T(S) \rangle_{\mathbb{A}}$$

$$= -\sum_{i<j}^{L} J_{S_i S_j}^{ij} \Delta c_{\mathbb{A}\mathbb{B}}^{ij},$$

(9)

where the difference in contact frequencies is defined as $\Delta c_{\mathbb{A}\mathbb{B}}^{ij} = c_{\mathbb{A}}^{ij} - c_{\mathbb{B}}^{ij}$.

The conformational ensembles $\mathbb{A}$ and $\mathbb{B}$ are defined as active DFG-in and inactive DFG-out,

respectively, and the corresponding contact frequencies $c_{\mathbb{A}}$ and $c_{\mathbb{B}}$ are calculated using equally

spaced 100 ps frames from the 50 ns trajectory of the threaded kinase, or PDB structures of

homologous kinases as demonstrated for INSR. Because of the large availability of DFG-out

structures for TKs in the PDB, we were able to use those in place of the MD ensemble for threading

the INSR sequence consistent with the approach in our previous threading studies [15,39]. Missing

residues in PDB structures were accounted for by excluding their contribution to the contact

frequencies (Equation 7).

Calculation of threaded energy differences (ΔΔT) and construction of Potts mutation libraries

17 In order to scan for mutations in the Potts model that result in the largest shifts in threaded energy

18 towards the active basin $\mathbb{A}$ or inactive basin $\mathbb{B}$ which can be confidently validated with FEP

19 simulations, we limited our scan to all residues besides Gly, Pro, Trp, His, or Cys which might

raise modelling complications due to large changes in backbone flexibility (Gly or Pro), bulky substitutions (Trp), or ambiguity regarding tautomer or ionization states and the potential for disulfide bridges (His or Cys). Positions in the sequence with any of these residues as a wildtype were omitted. Additionally, we limited the scan to positions that participate in at-least one interaction where the magnitude of their contact difference $\left|\Delta c_{\mathbb{AB}}^{ij}\right|$ is greater than 0.8. This has the effect of limiting the mutation search to positions that undergo significant changes in their physicochemical environment. For these positions and their pairs, all possible single and double mutations (limited as described above) were performed, totaling between 10^4 and 10^5 $\Delta\Delta T$ calculations for each kinase, i.e., 64,798 mutations for INSR, 366,336 for BRAF, and 808,960 for CDK6. The top three mutations were taken from the left tail ($\Delta\Delta T \ll 0$ in Figure 3A) indicating a shift in stability that favors the inactive basin, and three additional mutations were taken from the right tail ($\Delta\Delta T \gg 0$) which correspond to shifts in stability favoring the active basin, totaling six mutations. All six mutations for each of the three kinases correspond to changing pairs of residues, i.e., double mutations, resulting in 18 double-mutations made in both the active and inactive conformational free-energy basins (18 double-point mutations × 2 basins = 36 simulations).

Single-point mutations and FEP double-mutant cycle closure calculations

In addition to the 18 double-mutations, 36 single-point mutations were performed with FEP in the active and inactive basins (36 single-point mutations × 2 basins = 72 simulations) for comparison with Potts $\Delta\Delta T$s which allowed us to assess cycle closure for the resulting double mutant cycles. This resulted in $(18 + 36) \times 2 = 108$ FEP simulations in the active and inactive basins as shown in Table S3. Cycle closure was assessed by performing 36 additional FEP simulations, where the single mutants served as the initial state and the double mutants as the final state. In brief: there are three thermodynamically equivalent paths for arriving at the double mutant, by mutating residue i before residue j, j before i, or i and j simultaneously. Ideally, the calculated double mutant $\Delta\Delta G_{reorg}$ would be identical for all three paths resulting in a fully closed thermodynamic cycle. $\Delta\Delta G_{reorg}$ was plotted in Figure 3C after averaging the three different paths for the double mutation. The error bars in Figure 3C were calculated by taking the standard deviation of the three different paths, an estimate of the error in $\Delta\Delta G_{reorg}$ due to hysteresis. There are also three paths to calculate $\Delta\Delta G_{reorg}$ for a single mutant using the double mutant cycle, which we used to report the average and standard deviation in Figure 3C and Table S3.

Structural classification of tyrosine kinases

For INSR, the large availability of homologous proteins crystallized in both the DFG-out

conformation with the activation loop "folded" and the active conformation with the activation

loop "extended" allowed us to sample basins $\mathbb{A}$ and $\mathbb{B}$ from the PDB, similar to our previous work

involving Potts threading. The KinCoRe database was used for defining the two ensembles;

briefly, the spatial and dihedral classifiers calculated by Modi and Dunbrack [21] were used to define

the active state as "DFG-in" and "BLAminus" respectively, where the spatial DFG conformation

refers to the spatial location of the "Asp-Phe-Gly" motif at the beginning of the activation loop,

and "BLAminus" refers to locations on the Ramachandran map for the residue immediately before

the DFG motif as well as the Asp and Phe residues, i.e., "beta strand – left handed helix – right

handed helix", and a gauche-minus χ_1 rotamer state of the Phe sidechain. The inactive state is

defined as "DFG-out" and "BBAminus" where the Asp of the DFG motif is flipped out of the ATP

pocket and replaced with the adjacent Phe sidechain which occupies the ATP pocket underneath

the glycine-rich loop (also called the P-loop), and the dihedral state of the XDF residues are "beta

strand – beta strand – right-handed alpha helix" with the Phe sidechain in a gauche-minus state

which has a strong correspondence with the activation loop in a "folded" conformation that

deviates from the active "extended" conformation by ~18Å. We imposed additional constraints on

the active and inactive conformations: (A) both the active and inactive states display the αC helix

is an "in" state, where the β3-Lys – αC-Glu salt bridge is formed. (B) both states display an

"extended" glycine-rich loop, defined by the presence of a hydrogen bond between the backbone

carboxyl of the first conserved glycine at the beginning of the loop (G1003 in PDB: 3BU3) and

the backbone nitrogen of the conserved Val at the end of the loop in the β2-strand (V1010 in 3BU3)

with a distance less than 3.5 Å. Our definition of "glycine-rich loop extended" also includes a

constraint on the minimum distance (6.5 Å) between the G1003 carboxyl and the S1006 amino

nitrogen (the residue immediately before the conserved Phe/Tyr) to avoid including structures with

"kinked" glycine-rich loops[60], which appears to be a feature of certain drug-bound kinases (e.g.,

PDB: 1IEP) but not INSR (PDB: 3ETA). This resulted in $N_{\mathbb{A}} = 437$ PDB chains for TKs in the

active basin and $N_{\mathbb{B}} = 157$ chains for TKs in the inactive basin, used for the calculation of contact

frequencies via Equation 7.

Multiple sequence alignment and calculation of residue frequencies

Using the HHblits algorithm[61] and starting from a hidden Markov model seed of the protein kinase superfamily from Pfam (PF00069) and filtering out sequences missing the DFG-Asp or which contain many gaps, an MSA containing 236,572 non-duplicate catalytic domain sequences were obtained. TKs and STKs were classified according to sequence constraints in the catalytic loop and activation loop as done previously[15], resulting in 10,345 and 210,862 raw TK and STK sequences, respectively. When down-weighting each sequence with the number of similar sequences in our MSA (within 40% identity), the effective counts are 1,069 and 22,893 sequences respectively, which is a less biased estimate of the sequence diversity. This down-weighting procedure was used in the calculation of residue-pair and single-residue frequencies (marginals) which are reported in the main text as percentages (%).

Data Availability

The Potts model and FEP calculations plotted in Figure 3C and described in the main text ($\Delta\Delta T$ and $\Delta\Delta G_{reorg}$) can be found in Table S3 provided in the Supplementary Information.

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