Chronic Ethanol Exposure Produces Persistent Impairment in Cognitive Flexibility and Decision Signals in the Striatum

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ABSTRACT

Lack of cognitive flexibility is a hallmark of substance use disorders and has been associated with drug-induced synaptic plasticity in the dorsomedial striatum (DMS). Yet the possible impact of altered plasticity on real-time striatal neural dynamics during decision-making is unclear. Here, we identified persistent impairments induced by chronic ethanol (EtOH) exposure on cognitive flexibility and striatal decision signals. We showed that after a substantial withdrawal period of EtOH vapor exposure, rats exhibited reduced adaptability and exploratory behavior during a dynamic decision-making task. Reinforcement learning models showed that repeated EtOH exposure enhanced learning from rewards over omissions, leading to increased relative value updates on the selected action. Notably, neural signals in the DMS related to the decision outcome were enhanced, while those related to choice and choice-outcome conjunction were reduced, in EtOH-treated rats compared to the controls. These findings highlight the profound impact of chronic EtOH exposure on adaptive decision-making, pinpointing specific changes in striatal representations of actions and outcomes as underlying mechanisms for cognitive deficits.

INTRODUCTION

Cognitive flexibility enhances survival by facilitating adaptation to changing environments and improving outcomes in an ever-changing world. The ability to make flexible decisions is substantially altered by chronic ethanol (EtOH) use and dependence across species, impairing goal-directed behavior while enhancing habitual or stimulus-orientated behavior[1-7]. Low cognitive flexibility may contribute to future escalation of substance use[8-10], including EtOH intake in both rodents and primates[11-14] and in heightening the risk of relapse to alcohol use in humans[15, 16].

Cognitive flexibility, evaluated through reversal learning tasks[17, 18], involves learning beneficial choice and adapting to new action-outcome contingencies. Although the cognitive and neural mechanisms underlying chronic EtOH-induced reversal deficits are not yet fully understood, studies in humans have shown persistent disruption of reversal learning during protracted withdrawal from EtOH drinking[19-22]. In rodents, while EtOH exposure in adolescent subjects results in persistent cognitive deficits[23, 24], studies to date of chronic EtOH exposure and cognitive flexibility in adult rodents report relatively short-lasting ($\sim \leq$ 2 weeks) deficits[25-28], or no deficits[24, 29-31]. Thus, it remains unclear whether chronic EtOH exposure in adults causes a persistent deficit in flexible decision-making, despite evidence of such deficits in humans.

Corticostriatal circuitry is implicated in decision flexibility[32, 33]. In our previous studies, we found that chronic EtOH use evoked maladaptive striatal synaptic plasticity[34-39], particularly in the dorsomedial striatum (DMS), that persist several weeks[40], in agreement with other recent work[3]. Thus, we hypothesize that repeated exposure to EtOH vapor induces abnormal corticostriatal synaptic plasticity that disrupts striatal neural activity,

leading to a persistent loss of cognitive flexibility.

In the current study, we confirmed that chronic EtOH-elicited deficits after protracted

withdrawal in adults do not manifest in standard two-bandit probabilistic reversal learning

(PRL)[41, 42]. Thus, we devised a PRL task that requires rats to establish and update

knowledge of reward probabilities under different levels of uncertainties. With this new

task, we found EtOH-induced behavioral change across multiple behavioral measures,

including overall measures of the exploration-exploitation trade-off and reversal deficits

in highly uncertain environments. In addition, these deficits were found only in male, not

female, rats. Using a reinforcement learning framework, we found that EtOH-dependent

subjects showed specific alterations in differential value updating after receiving reward

or no reward, providing a potential computational explanation for the observed deficits.

Using *in vivo* electrophysiological recording within the DMS, we observed reduced

encoding of choice signals alongside an enhanced encoding of outcome signals.

Together, these findings reveal behavioral and neural mechanisms underlying the effects

of chronic EtOH during protracted withdrawal and suggest that altered striatal processing

of choice and outcome may contribute to EtOH-induced deficits in cognitive flexibility.

RESULTS

Protracted withdrawal from chronic EtOH exposure produces a distinct

behavioral pattern in the dynamic PRL task

To investigate whether chronic EtOH exposure disrupts decision-making beyond

the phase of acute withdrawal (>1 week), we used a well-validated chronic intermittent

EtOH (CIE) vapor procedure to model EtOH dependence in rats[3, 43, 44]. Rats were trained

on a standard probabilistic reversal learning (PRL) task and then underwent cycles of

EtOH or air vapor exposure and withdrawal for four weeks (16 hrs/day, 5 days/wk), with

group assignment balanced for PRL performance. Following 10-15days of withdrawal,

performance on the standard PRL task was reassessed (Figure S1A). This task required

water-restricted rats to earn a drop (33 μl) of 10% sucrose water by choosing between

left and right levers, which delivered a reward with either a 70% or a 10% probability,

while the reward probabilities repeatedly switched within a session (Figure S1B). Previous

studies have failed to find effects of the CIE procedure in adult rats on learning

performance in the operant reversal task[29, 30]. Indeed, during this standard PRL task, we

did not observe any significant differences in reversal learning after block switches when

comparing within-subject performance before and after CIE procedure (Figure S1C-1G),

nor between EtOH and air controls in either male or female (Figures S1C-1G) rats. To

further understand whether the CIE procedure could induce overall behavioral changes

across multiple behavioral measures, we employed a Support Vector Machine analysis

(SVM)[45-47] to decode group membership from a high-dimensional behavioral dataset

consisting of 20 behavioral measures gathered during pre- and post-reversal phases in

EtOH rats and their air controls (Figure S2A). We found that trained SVM models could

not identify either group labels or sex labels in pre- and post-vapor behavior in this PRL

task above chance level (Figure S2B). These findings indicate that rats did not express

obvious EtOH-induced performance changes within the standard PRL task.

To further explore possible effects of chronic EtOH on cognitive flexibility, we next

modified the task to present greater cognitive challenge[48, 49]. We introduced a three-block

design with reward probabilities that varied in the contrast between left and right lever

probabilities (80:10, 60:30, and 45:45; Figure 1C), converting the standard PRL task into

a dynamic PRL task (dynaPRL). Consequently, when blocks transitioned from one to

another, rats faced different amounts of uncertainty such that a larger change in the

reward probabilities would be easier to detect and might facilitate the adaptive changes

in the animal's behavior (Figure 1C). Visual inspection of the trial-by-trial performance of

individual rats in both air and EtOH groups revealed that subjects adjusted their choice

behavior in response to block transitions within the dynaPRL task (Figure 1D). Applying

the same SVM model to the same behavioral features used for the analysis of behavioral

data from the standard PRL task (Figure 1E), we found that for the dynaPRL task, the

model could decode group (air v.s. EtOH) and sex labels from the behavioral dataset with

a prediction precision (63.29%) significantly higher than chance levels (25%; $p < 0.001$).

The trained SVM decoder almost never (<0.001% chance) misclassified male and female

subjects (Figure 1G). More importantly, the decoding accuracy for the EtOH treatment

was significantly higher than chance level for male but not female rats (Figure 1F). Using

uniform Manifold Approximation Projection (UMAP), we found that the data were distinctly

segregated between male EtOH and air rats in a 2D low-dimensional space with an

accuracy (~70%), similar to the SVM decoding in predicting group membership (Figure

1G).

Taken together, these results indicate that the impact of prior chronic EtOH

exposure can be detected in male rats many weeks (>10 wks) after the last EtOH

exposure through multivariate analysis of high dimensional behavioral measures during

the more challenging dynaPRL decision-making task.

Chronic EtOH exposure slows reversal learning and reduces exploration

The SVM analysis showed that behavior of male EtOH-exposed rats in the dynaPRL task differed from control rats. To understand the basis for this difference, we first examined how male EtOH and air rats adjust their choice behavior in response to changes in reward probabilities upon block switch. We analyzed the probability of selecting the action that was advantageous before the reversal. When a block switched from low uncertainty to high uncertainty (Lo-Hi) (Figure 2A), a two-way mixed-effect ANOVA revealed a significant interaction between group and trials in males (Figure 2B; p = 0.016). Bonferroni post-hoc tests showed that male EtOH rats were significantly more likely to choose the previously preferred action in trials 2~5 after the block transition than the rats in the air control group. To quantify this further, we fit a single-exponential decay model to the probability of choosing the previously preferred action across the first 12 trials post-block transition (Figure 2C). While there was no difference in pre-reversal asymptotic value (*a*) or the magnitude of change in learning new action-outcome contingency after the reversal (*d*) (Figures 2D and 2E), we observed a lower adaptation rate (λ) in EtOH rats compared to the controls (Figure 2F; p = 0.016). Given that the inverse of λ ($τ = 1/λ$) corresponds to the time constant of the rat's learning in a new block, this indicates that EtOH rats needed more experience to adapt to a new contingency in a highly ambiguous environment (Figure 2G; p = 0.036). Additional analyses applied to single sessions and individual rats revealed the same pattern of results (Figure S3A). By contrast, these effects were not observed during block transitions from high to low uncertainty (Hi-Lo), at the same uncertainty level before and after block transitions (same), or when all trial types were combined (Figures S3B-3D and S4A-S4F). Such

findings suggest that the impact of EtOH on reversal learning dynamics is prominent only when facing a sudden transition to high uncertainty. To examine choice behavior later in a block, after rats are expected to have acquired the new contingencies, we focused on behavior >12 trials from block switch and assessed how much choice probability deviated from local reward rate yielded by the choice ('matching behavior')[50]. Male EtOH rats aligned their choices with local reward rates more tightly than same-sex control rats (Figure S5A-S5D).

To investigate strategies that might underlie the EtOH-induced choice behavior deficits, we analyzed behavioral metrics in two key phases, the early reversal learning phase, including trials 2 to 7 ($t2$-$t7$) after transitioning to a new block, and the late phase of the current block, spanning the last 6 trials (t-6 to t0) before block switch. We found that male EtOH rats were more likely to repeat the same choice in consecutive trials in the early phase following a switch, but not in the late phase after rats have adapted to the contingency change, as shown by measures of stay probabilities and the differences between win-stay and lose-shift probabilities (Figures 2H-2J and S6A-S6H). This behavior was sensitive to the receipt or omission of reward; EtOH rats exhibited higher stay probabilities after reward omission, but not reward receipt, during 'Lo-Hi' transitions (Figure 2I), resulting in a more tendency of win-stay and less lose-shift (Figure 2J). We additionally found evidence that chronic EtOH exposure impacted deliberation processes, as revealed by alternations in latencies for trial initiation and choice during early reversal. Male EtOH rats were faster to initiate a new trial following a reward omission in the previous trial (Figure S7A-S7H) and had overall shorter latencies when making their choice, which was less modulated by the current action strategy (stay or switch) they held

(Figures S8A-S8H). In contrast to males, female EtOH exposed rats did not show

alternations in reversal behavior, matching behavior, win-stay behavior, or response

latencies (Figures S4G-S4H, S5E-S5H, S6I-S6P, S7I-S7P, and S8I-S8P).

Collectively, these results demonstrate that chronic EtOH exposure significantly

impacted outcome-driven action strategies, favoring a shift from switch to stay and

enhancing matching behaviors in male rats, i.e., a tendency for greater exploitation and

less exploration, resulting in a reduction in cognitive flexibility during a dynamic decision-

making task.

Chronic EtOH exposure alters value updating in reinforcement learning

To better understand the computational processes underlying the observed EtOH-

induced alternations in decision-making, we applied several reinforcement learning (RL)

models to the trial-by-trial choice and reward data. We found that a Q-learning model with

differential learning rates (model 2, Q-DFLr) outperformed all other candidate models

(Figure S9A). Q-DFLr has four distinct learning parameters controlling the updating of the

value function: α^+ and α^- for updating the value of chosen actions when the outcome of

the action is reward or no reward, respectively, and θ^+ and θ^- for discounting the value of

unchosen actions when the outcome of the action is reward or no reward, respectively

(Figure 3A). To assess the group-level differences between the EtOH group and the air

control across RL parameters, we constructed a hierarchical model wherein the

distributions of individual parameters for each rat are determined by the group-level

hyperparameters. These hyperparameters encompass the means of the distributions for

each parameter, the mean differences (δ) between air and EtOH groups for each

parameter, and the variances of each parameter's distribution. The posterior density of

these hyperparameters was estimated through sampling using Hamiltonian Markov Chain

Monte Carlo (HMC) methods. We found that distributions of group differences, δ, for $α^+$

and $θ^-$ substantially shifted towards the positive direction, indicating EtOH group has a

higher $α^+$ and $θ^-$ value than those in air group (Figures 3B and 3C). We quantified the

magnitude of evidence for group differences using directed Bayes Factor (dBF) and

discovered that the likelihood of an increase in $α^+$, the learning rate when rewarded, in

the EtOH group was approximately 6-fold higher than a decrease (Figure 3B; dBF = 6.01).

Similarly, for the EtOH group, the forgetting rate in the absence of reward, $θ^-$, was roughly

8-fold more likely to increase than decrease (Figure 3C; dBF = 8.35). All other parameters

showed lower differences between the two groups (Figure S9B; all dBFs < 1).

We then used the best-fit parameters to simulate choice behavior within the

original block structure used in the experiment and analyzed the probabilities of

perseveration for each block transition type. We found that the simulated data partially

recapitulated the EtOH-induced reversal deficits observed when transitioning from a low

to a high uncertainty block in the empirical data, but not for other types of block transitions

(Figures 3D and S9C). Collectively, these data suggest that chronic EtOH exposure

selectively enhanced both reward-based updates for selected actions and the omission-

based forgetting of unselected options, biasing action-selection towards previously

reinforced choices. These results provide an algorithmic explanation for the changes in

choice behavior we observed.

Prior EtOH exposure alters the recruitment of DMS neurons representing choice and reward

Our behavioral analyses indicated that EtOH and air-control rats showed differences in action value updating, and this might reflect alterations in neural activity related to outcome processing. We therefore sought to examine the impact of EtOH on DMS neural dynamics during outcome presentation, defined as the 1000ms after the outcome (reward or reward omission) was revealed, in dynaPRL. We recorded a total of 524 and 326 single units in the DMS from EtOH and air groups, respectively (Figure S10). Using regression models, we first examined how neural activity in different time epochs throughout the trial was affected by the animal's choice, the outcome of the choice, and their interaction, in the current trial (t) and previous trial (t-1). While choice-related signals were apparent in both groups throughout the trial (Figure S11A), a lower fraction of choice-modulated neurons was observed in EtOH rats than in control rats during the outcome presentation (Figures 4A, 4E, and S11A; $p = 0.021$ for 4E). Conversely, a larger portion of DMS neurons (~80%) was significantly modulated by the outcome in EtOH rats than in rats from air control group (Figures 4B, 4F, S11C; $p = 0.018$ for 4F). Further analysis into whether EtOH exposure affects the striatal representation of choice-outcome conjunction or the value of chosen actions during the outcome presentation phase revealed no significant group differences in these neural representations (Figures 4C, 4D, 4G, 4H, and S11E).

The regression coefficients obtained from this analysis measure the impact of each decision variable on the neural firing rate during the period of outcome presentation. Given that the magnitude of these regression coefficients varied over time, Principal

Component Analysis (PCA) was applied to the signed regression coefficients across time bins for each neuron. The magnitudes of the first principal component (PC1) scores were then utilized as an index of modulation strength over time. We found that choice and choice-outcome interaction signals have a significantly greater modulation strength on striatal firing activity from air rats compared to EtOH rats (Figures 4I and 4K; p = 0.049 and 0.0004, respectively), whereas outcome signals have a significantly greater modulatory effect on neural activity from EtOH rats compared to air controls (Figure 4J; p = 0.041). No difference was observed for the chosen value in modulating striatal firing (Figure 4L). While we found a significant impact of the previous trial's outcome on neural activity within the trial, there was no evidence for group differences (Figures S11B, S11D, and S11F).

Collectively, these results demonstrate that a higher proportion of DMS neurons from EtOH rats encoded immediate outcome, whereas the signals related to current choice and action-outcome contingency were reduced relative to air controls.

Action-selection value signals distinctly modulate DMS neuronal activity

Next, we evaluated whether EtOH impacted how value signals were encoded in the DMS during the action selection phase, defined as the time period from trial initiation until lever press. In particular, we sought to quantify the strength and time course of striatal activity related to two orthogonal combinations of the action values[52] estimated by the best-fitting reinforcement learning model (model 2, Q-DFLr). The first variable corresponds to the difference between the two available action values, ΔQ, which is monotonically related to the probability of pressing the lever ipsilateral to the electrodes and is referred to as the policy. The second variable is the summation of the two available

action values; ΣQ, and roughly corresponds to the so-called state value. We analyzed

neural firing rates, aligned with either trial initiation, lever insertion, or lever press, as linear

functions of ΔQ and ΣQ, with choice and chosen action value included as control variables

in the regression analysis. We found that even several hundred milliseconds prior to trial

initiation, a small (~15%) but significant proportion of striatal neurons from air control rats

changed their spike rate in response to ΣQ (state value), and this state signal persisted

until at least 200ms after trial initiation (Figures 5A and 5G). In contrast, the state value-

sensitive neural population was much smaller in the EtOH rats (Figure 5G; $p = 0.004$).

Thereafter, the proportion of neurons from both groups modulated by state value became

similar in the two groups, and the state value signals decreased close to chance levels

during the lever insertion time epoch (Figures 5B and 5H) and increased again after lever

press (Figures 5C and 5I).

Additionally, a small proportion of neurons (~10%) encoded ΔQ (policy) starting

before trial initiation and the fraction of these policy-coding neurons increased to about

15% until the completion of the lever press in air controls (Figures 5D, 5E, 5F, 5J, 5K,

and 5L). There were no significant between-group differences in the proportion of neurons

encoding policy during the trial epochs, although there was a tendency for a smaller

proportion of policy-encoding striatal neurons in the EtOH rats than in the air controls

when the spike rate was aligned to the lever press (Figure 5L; $p = 0.053$). These results

suggest that EtOH differentially alters the neural representation of states selectively in the

distal action selection phase (i.e., trial initiation) and possibly of action policies in the

proximal action selection phase (i.e., lever press).

DISCUSSION

In this study, we show that chronic EtOH vapor exposure during adulthood induces long-lasting (>10 weeks withdrawal) behavioral deficits in rats in a sex-specific manner. Behavioral data obtained during performance of a dynamic probabilistic reversal learning task (dynaPRL) could be used to predict group membership of EtOH-exposed vs air-exposed male subjects. Examination of the data revealed that cycles of high-dose EtOH exposure impaired later reversal learning under high uncertainty and led to an overall propensity to repeat a given choice, regardless of outcome, in male, but not female, rats. We employed a reinforcement learning model to elucidate underlying mechanisms for our observed behavioral deficits and found that the reward-mediated learning rate, which updates the value of chosen actions, and the reward omission-mediated forgetting rate that regulates the decay over time of the unchosen action value, were both increased in male rats exposed to chronic intermittent EtOH vapor. Lastly, EtOH exposure reconfigured firing patterns of dorsomedial striatum (DMS) neurons in male rats, augmenting neuronal sensitivity to the outcome while diminishing responsiveness to the choice, policy, and state signals. Our findings shed light on the cognitive and neural computational mechanisms underlying drug-induced persistent deficits in cognitive flexibility.

Research on the effect of chronic EtOH consumption has presented a nuanced landscape across species. In humans, chronic EtOH abuse and withdrawal have been linked to marked neuropsychological impairments[22, 53-56], with several studies highlighting cognitive deficits following moderate to extended periods of withdrawal[20, 21, 57-62]. Contrastingly, in rodents, while some long-lasting effects on goal-directed control[3, 63] and

working memory[64] are noted, the evidence for impacts on reversal learning and

attentional tasks has been inconsistent[24-31, 65]. This discrepancy between human and

animal studies may stem from differences in longer EtOH use or poly-substance use[66]

histories in humans and more complex cognitive demands of human tasks compared to

rodent models. For example, the Wisconsin card sorting task involves complex rule shifts,

unlike simpler rodent reversal learning tasks[33, 40-42, 67, 68]. Our findings from a standard

probabilistic reversal learning (PRL) task showed no significant behavioral differences pre

and post EtOH exposure, aligning with prior studies in both rodents[29, 30] and humans[69].

However, by varying uncertainty in reward probabilities in an adjusted dynaPRL task[48, 49]

and hence enhancing its complexity and challenge, we uncovered more reliable EtOH-

induced cognitive deficits.

When facing sudden cognitive challenges in the dynaPRL task, EtOH rats

exhibited a robust deficit in reversal learning, i.e., a higher probability of repeating their

choice, especially after reward omission in a high-uncertainty environment. Additionally,

EtOH rats exhibited less deviation from matching their choices to the inferred reward

schedule[51]. These findings suggest that EtOH rats might favor exploitation over

exploration, in line with human studies[70]. Exploration-exploitation behaviors are

modulated by novelty and uncertainty[71]. A plausible explanation for the reduction in

exploration might be that EtOH pre-exposure diminishes outcome sensitivity. If true, a

change in exploration across all other uncertainty conditions would be expected. However,

this effect was observed only after transitioning from a low to high uncertainty

environment. Therefore, another interpretation consistent with the current findings is that

chronic EtOH exposure either decreases uncertainty-mediated exploration[72] or promotes

uncertainty avoidance following unrewarded choices, independent of learning[71]. Humans with EtOH use disorder display aberrant decision-making amidst high ambiguity in the Iowa Gambling Task[73, 74] The intolerance of uncertainty has also been associated with risky EtOH use behavior[75] and "hyperkatifeia"[76]. EtOH-exposed rats also exhibited shorter response times in the dynaPRL task across all block transitions (a change therefore independent of uncertainty) during protracted withdrawal. This observation aligns with increased impulsivity observed in humans with alcohol use disorder[77-79]. Thus, we identified multiple behavioral changes during protracted EtOH withdrawal in male rats.

We also found that male rats are more vulnerable to the negative impacts of chronic EtOH vapor exposure than female rats during the dynaPRL task. This is in line with previous literature that CIE vapor in adults rats impairs goal-directed behavior in males but not females[80]. Although Aguiree et al.[30] showed females are more vulnerable to chronic EtOH use in early reversal learning, this may be due to the fact that females consumed more EtOH than males in their study, whereas the vapor exposure method produced similar blood EtOH levels in both males and females in our study, as in that of Barker and colleagues[80]. Estrogen potentially induces neuroprotective and anti-inflammatory effects[81, 82] in chronic EtOH vapor-exposed female rats and facilitates recovery during the protracted withdrawal phase. However, this cannot fully explain the differences in behavior between males and females in air controls. We speculate that the observed sex-related differences in response to uncertainty, particularly under stress, may stem from inherent variations in how males and females process uncertainty[83]. However, more studies are needed to understand how EtOH-induced cognitive impairments in a sex-dependent manner.

Reinforcement learning theory provides a theoretical framework for describing the

cognitive process of value-based decision-making[84] and has been informative for the

dissection of pathological decision processes in animal models of addiction[85]. Our

observations in EtOH-dependent rats align well with findings from a human study utilizing

a Q-learning model, which identified EtOH-dependent individuals are associated with

decreased in sensitivity of reward omission and increased sensitivity to reward[86].

Similarly, in our study, a history of chronic exposure enhanced the updating of chosen

value upon receiving rewards, indicating heightened reward sensitivity. It also facilitated

the decay of unchosen value when unrewarded, reflecting a diminished emphasis on

negative feedback. As a result, EtOH exposure heightened the emphasis on selected

actions regardless of their outcomes, leading to increased behavioral exploitation. This

provides a computational mechanism that explains our observations of EtOH-induced

behavioral perseveration.

Numerous studies have shown one of DMS's critical roles in encoding decision-

related variables[32, 52, 87-94] and controlling action selection in value-based decision tasks[41,

95-100]. Expanding upon the findings from earlier research [91, 93], our results demonstrate

that dorsomedial striatal (DMS) neurons in rats from EtOH and air groups encode a

preparatory choice signal at least few hundreds milliseconds before trial initiation, peaking

at the onset of outcome, and then diverging in EtOH and air treated rats, with reduced

choice encoding in EtOH rats. During the same outcome presentation period, encoding

of the outcome is enhanced in EtOH rats. The observed aberrant attenuation in choice

signals and augmentation of outcome signals during the outcome presentation period

induced by EtOH exposure may disrupt contingency learning processes. As anticipated,

choice-outcome conjunction signals emerge post-reward onset and are impaired in rats

with chronic EtOH pre-exposure. State values approximate how often an animal has been

rewarded recently[52], which is likely to be important environmental information for inferring

the block type. Although each block has the same averaged reward probabilities, the local

reward rate reflects the uncertainty level of the block (Figure S12). Our findings show

suppressed state value representations at trial start in EtOH rats, which may disrupt the

animal's inference regarding the block transitions.

Our findings offer novel *in vivo* evidence that chronic EtOH exposure engenders

enduring alterations in neurocomputational processes. It is possible the aberrant neural

activity changes in the DMS might stem from a combination of EtOH-induced maladaptive

plasticity both in the corticostriatal pathway and in the nigrostriatal DA pathway. Prior

studies found that chronic EtOH consumption and withdrawal induce aberrant orbito-

striatal plasticity in the DMS[3, 37, 101, 102]. These orbitofrontal cortical inputs are proposed to

encode various aspects of expected value[103-106] and goal-directed choice and action-

outcome associations[107-110], respectively. Additionally, EtOH has been reported to disturb

dopamine homeostasis in the striatum[111, 112].

In summary, this study has unveiled specific and enduring behavioral and neural

consequences of chronic EtOH exposure, providing new insights into mechanisms

underlying cognitive impairments associated with EtOH addiction. Chronic EtOH

consumption appears to distort neural computational processes, potentially interfering

with the representation of decision-making signals, leading to cognitive inflexibility. These

mechanisms may underline some of the deficits observed in humans with alcohol use

disorder. Importantly, behavioral and neural mechanisms for the changes we observed

here after chronic EtOH might resemble those underlying loss of cognitive flexibility after

chronic use of other drugs of abuse[113] or other psychiatric disorders[114-116].

MATERIALS AND METHODS

Animals

17 Male and 10 female Long-Evans (LE) rats (10 wks old upon arrival, Envigo) were singly housed. Rats were kept in a temperature- and humidity-controlled environment with a light:dark cycle of 12:12 h (lights on at 7:00 a.m.). Experiments were conducted during their light cycle. All animal care and experimental procedures were approved by the Johns Hopkins University Institutional Animal Care and Use Committee.

Chronic intermittent EtOH vapor exposure (CIE)

Rats were exposed to four rounds of EtOH vapor (n = 8 male and 5 female) or air (n = 9 male and 5 female)[3, 44, 117, 118]. Each round consisted of 14 hrs of vapor exposure followed by a 10-hr withdrawal, repeated for 5 consecutive days. EtOH was volatilized by venting air through a heating flask containing 95% EtOH at a rate of 30-40 l/min. EtOH vapor was delivered to rats housed in Plexiglas chambers (La Jolla Alcohol Research, inc.). Blood was collected from the tip of tails in the middle and end of each round from all EtOH-exposed rats to assess blood EtOH concentrations (BECs) with an AM1 alcohol analyzer from Analox Inc. To control the stress level of the blood collection, we pinched the tip of tails instead of cutting the tissue and collecting blood on air controls. Our procedure produced a mean BEC of 224.95 ± 14.51 (S.E.M) in male and 257.2 ± 23.79 (S.E.M) mg/dl in female rats without significant sex differences (Figure 1A, inset), which is in line with previous findings[3, 43, 44].

Probabilistic reversal learning (PRL) task

Rats undergoing behavioral testing were water-restricted to 90% of their *ad-libitum* weight. Behavioral experiments were performed in a soundproof customized modular

operant chamber. The levers (MED Associates, ENV-112CM) and a reward magazine were confined to the same wall of the chamber. Rats were initially trained to enter a magazine to collect a reward by delivering a 100 µl reward (10% w/v sucrose in tap water) at random times with an average interval of 60 s (RT60). Subsequently, the rats were trained to initiate a trial by entering the magazine, which triggered the insertion of two levers into the chamber. Pressing a lever would lead to a reward delivery (at this stage, both levers provided a reward with a 100% probability). Lastly, rats were trained on the final task, with pressing one lever consistently delivering a reward (100% probability), and the other lever was not rewarded (0% probability). These action-outcome contingencies were reversed randomly 8 trials after the value of exponential moving averaged past 8 choices (1 for correct and 0 for incorrect choice) crossed the 0.75 threshold. The block reversal probability was 10%. If the block was not switched within 20 trials of crossing the threshold, the computer forced the reversal. Thus, each rat had adequate trials to acquire current contingencies and could not predict the contingency reversal. One to three days after the deterministic reward schedule (100% and 0%) training, the reward probabilities for each lever were selected between 70% and 10%. Each trial in the final task began with the illumination of the reward magazine. Upon poke into the magazine detected by an infrared head entry detector (MED Associates, ENV-254-CB) (trial initiation), two levers flanking the left and right sides of the port were inserted after a variable delay (randomly selected between 100 ms to 1 s with 100 ms intervals). Animals were given 30-s to press a lever; failure to do so resulted in an invalid trial (<1% of trials for all rats) and was excluded from analysis. A lever press probabilistically resulted in either the CS- (0.5 s of white noise generated by MED Associated, ENV-225SM) with no reward delivery

or the CS+ (two clicker sounds generated by MED Associated, ENV-135M) with ~33-µl

reward delivery in the center magazine via a syringe pump (MED Associated, PHM-100).

Each trial ended either at the end of the CS- presentation or after completing the reward

collection (exit from the first magazine entry after reward delivery). Trials were separated

by a constant 2.5-s intertrial interval. Rats were trained from Monday to Friday, and each

behavioral session lasted approximately two hours.

Dynamic probabilistic reversal learning (dynaPRL) task

Water-restricted rats performed this task in the same operant chamber as in the

original PRL task. The task structure was the same as PRL task except that reward

probabilities assigned to each lever were drawn pseudorandomly from a set of blocks

with paired probabilities [block1(neutral): (0.45 0.45), block2(low contrast): (0.6 0.3),

block3(high contrast): (0.8 0.1)] (Fig. 1F). Note that each block type had a distinct

probability contrast (block1, 1:1; block2, 2:1; block3, 8:1), while maintaining the same

averaged reward probability (0.45), and that the outcome uncertainty within a block varied

with the degree of probability contrast across the two levers. The lever outcome

contingencies were randomly reversed to one of the three blocks every 15-30 trials,

except when an animal made four or more consecutive incorrect choices within certain

trial blocks (block2: 60:30 or block3: 80-10); such trials were excluded from trial progress.

When switching blocks, the transition rules imposed two restrictions: 1) the position of the

lever with the highest reward probability should not be the same in two consecutive blocks

even if the reward probability contrast changes, and 2) Neutral blocks (block1) should not

be repeated. Block transitions were categorized into three types based on the relative

cognitive challenge level before and after each block transition. If the relative challenge

was lower in the post-reversal block compared with the pre-reversal block, this was

termed a 'Hi-Lo' transition (i.e., block2→block3, block1→block2, or block1→block3). If the

relative uncertainty was higher in the post-reversal block compared with the pre-reversal

block, this was termed a 'Lo-Hi' transition (i.e., block3→block2, or block2→block1, or

block3→block1). If the relatively uncertainly was the same in the post-reversal block

compared with the pre-reversal block while still shifting probabilities across the right and

left levers, this was termed a 'Same' transition (i.e., block2→block2 or block3→block3)

(Figure S2A). In addition, in this task, during non-neutral block (i.e., blocks 1 and 3) in 40%

of the trials after the first 12 trials after the reversal, we probed the animal's response to

unexpected changes in reward size by doubling or halving the reward size (66 or 16.5 µl)

compared to the remaining 60% of the trials. However, the probability of win-stay, latency

of trial initiation, and action selection reaction time were not significantly modulated by

reward size (Figure S13); thus, we will not focus on this feature in this manuscript. Rats

were trained on the final dynaPRL task for at least 10 sessions prior to surgery.

Electrode implantation

All rats were surgically implanted with custom-made drivable electrode arrays, with

custom-designed 3D-printed pieces, sixteen insulated tungsten wires (50 um, A-M

Systems), and two silver ground wires, soldered to two Plexon headstage connectors

(Plexon Inc. TX) using standard stereotaxic procedures. Electrode arrays were placed

unilaterally in the left or right DMS (AP: -0.1 mm; ML: 2.45 mm; DV: -4.7 mm from Bregma).

Recording and Spike sorting

Following 1 week of recovery, rats were trained on the dynaPRL task again until

they became accustomed to performing the task while their headstage was connected

via a patch cable to the commutator located in the center of the chamber ceiling. Once

their completed trials/session reached at least 80% of their pre-surgery averaged number

of trials in a session (3-7 sessions), recording sessions began. Electrical signals and

behavioral events were collected using Plexon multichannel neural recording systems

(MAP system;) or Plexon OmniPlex systems, with a 40 kHz sampling rate. Neural

recordings at the same depth in the DMS were obtained for multiple sessions; if multiple

sessions from the exact recording location were included in the following analysis, the

data from the same channel was included only once. After that, electrodes were lowered

by approximately 160 μm, and recording resumed in the new location at least one day

later.

Individual units were isolated offline using principal component analysis in Offline

Sorter (Plexon) as described previously[92, 119-121]. Auto-correlograms, cross-correlograms,

the distribution of inter-spike intervals, L-ratio, and isolation distance were used to ensure

good isolation of single units. Spike and behavioral event timestamps were exported to

MATLAB (MathWorks) using customized scripts for further analysis.

Data Analysis and Statistics

Behavioral metrics

Reversal performance

Reversal performance was assessed by the probability of maintaining the same choice

before the contingency change, denoted as *p(perseveration)*. *p(perseveration)* was

computed for each trial during the last 5 trials before and the first 12 trials after the

contingency change. The *p(preservation)* was computed by the ratio of correct choices

and the total number of blocks, except that if the pre-reversal block was a neutral block,

in which neither side is correct, then a random side was selected as a pseudo-correct

choice for the calculation. A single exponential decay function was fitted to the

p(preservation) for the 1-12 trials after the contingency change,

$p = d + a \times e^{-\lambda \times t}$,

where *d*, *a*, and *λ* represent the pre-reversal asymptotic value, the magnitude of change

in *p(perseveration)* after the reversal, and the learning speed, respectively. The single

exponential decay function was defined by MATLAB function *fittype* and curve fitting

employed MATLAB function *fit* with lower boundary (0, 0.5, 0) and upper boundary (3, 2,

1) for parameters d, a, and λ correspondingly. Averaged parameter values across 9

iterations were used for each subject and session.

<u>*Matching performance, stay probabilities, and latencies*</u>

To assess how animals allocate their responses (left and right lever presses) to the local

(within a block) reward probabilities of each choice option after the learning period (first

12 trials), we calculated the *matching score*[50] as a deviation of left response probability,

p(left), from the reward probability given select the left choice, *p(reward|choice=left)*.

Deviation < 0 is undermatching, and > 0 is overmatching.

We measured the overall stay probability by calculating the likelihood of repeating the

same choice as the last trial. Then, conditional stay probabilities were also calculated

separately for the reward or unrewarded outcome in the last trial and denoted as

p(stay|win) and *p(stay|lose)* correspondingly. The difference between win-stay and lose-

shift was measured by ΔWSLS = *p(stay|win) – p(shift|lose)*.

The latencies (response times) measured the time interval between stimulus (center light

illumination or lever insertion) and response or reaction (magazine entry or lever press)

to that stimulus. As the distribution of latencies is highly skewed to the left (zero), a natural

logarithm transformation was used to make the distribution of latencies more akin to

normal distribution.

Classification analysis

Support vector machine (SVM)

SVM was employed to decode group membership (CIE vs. Air), sex label (male vs.

female), and assessment phase (only for PRL task, pre-vapor vs. post-vapor) from the

behavior dataset. SVM modeling was performed using the MATLAB function *fitclinear* for

the classification of binary group membership and *fitecoc* for the classification of multi-

subject labels. For both classification approaches, we used a total of 20 behavioral

measures (columns) from each session consisting of reversal decay in post-reversal and

matching score in pre-reversal, as well as 9 other behavioral measurements in pre- and

post-block transitions, accordingly. The behavior dataset was randomly divided into two

parts into a training set and a test set with a 90:10 ratio. Within the training set, a linear

SVM model was optimized using 10-fold cross-validation. This approach involves dividing

the training set into 10 equal parts, training the model on nine parts, and validating it on

the tenth part. This process is repeated ten times, with each part used once as the

validation set. The results from these iterations are used to fine-tune the model

parameters. The optimized SVM model was then evaluated on a test set to assess its

generalizability and predictive performance. This train-test process was repeated 1000

times. True positive (TP), true negative (TN), false positive (FP, type I error), and false

negative (FN, type II error) were calculated based on actual predicted data labels. For the

multilabel classification, precision [TP/(TP+FP)] was used to assess model performance

in predicting each class. To determine whether the observed decoding accuracy for group

membership and sex label was significantly higher than what would be expected by

chance, we conducted Monte Carlo significance tests, and the p-value was computed as

follows:

p = [Number of iterations (Precisions^{Data} < Precisions^{Shuffle}) + 1]/(Total number of iterations

+ 1)

Uniform manifold approximation and projection (UMAP)

The manifold learning technique, UMAP, was employed to perform non-linear

dimensional reduction of our behavior data matrix from above. We used the UMAP

implementation provided in the MATLAB community *UMAP*[122].

Reinforcement learning models

Reinforcement learning (RL) models assume that choices stem from the cumulative

outcomes of actions accrued over numerous trials. We fitted the choice and outcome data

to eight distinct RL models: 1, standard Q-learning model; 2, Q-learning model with

differential learning rates (Q-DFLr); 3, differential forgetting RL model (DF-RL); 4, DF-RL

model with inverse temperature β (DF-RLwβ); 5, forgetting RL model (F-RL); 6, F-RL

model with inverse temperature β (F-RLwβ); 7, win-stay lose-shift model (WSLS); 8,

biased random choice model (RD).

For models 1 through 4, choices are assumed to follow learned values for each option,

Q, according to softmax function, in which the probability of choice k on trial t is:

589
$$P_k(t) = \frac{e^{\beta Q_k(t)}}{\sum_{i=1}^{k} e^{\beta Q_k(t)}}$$

Here, β is the inverse temperature parameter that controls the level of stochasticity in the

choice, with β=0 resulting in equal probability over all choice options. β was set to a

constant 1 for models 4 and 6.

The value updating for each model is described below:

Model 1: Q-learning model,

If $c(t) = L$,

596
$$Q_L(t+1) = Q_L(t) + \alpha\big(r(t) - Q_L(t)\big)$$

597
$$Q_R(t+1) = Q_R(t)$$

598   , where $c(t)$ is the choice side (left, L or right, R) in the current trial, $r(t)$ is the outcome on

599   that trial, and α, the learning rate, controls the update of the chosen action value

600   according to the reward prediction error $r(t) - Q_L(t)$.

601   Model 2: Q-learning model with differential learning rates (Q-DFLr),

602   If $c(t) = L$ and $r(t) = 1$,

603
$$Q_L(t+1) = Q_L(t) + \alpha^+\big(r(t) - Q_L(t)\big)$$

604
$$Q_R(t+1) = (1 - \theta^+)\, Q_R(t)$$

605   If $c(t) = L$ and $r(t) = 0$,

606
$$Q_L(t+1) = Q_L(t) + \alpha^-\big(r(t) - Q_L(t)\big)$$

$$Q_R(t+1) = (1 - \theta^-)\, Q_R(t)$$

Here, value updates are governed by different learning rates for positive, α^+, or omitted,

α^-, outcomes and the non-chosen option is decay by a forgetting rate, θ^+ or θ^-, for

rewarded and unrewarded trials respectively.

Model 3 and 4: Differential forgetting RL (DF-RL) and DF-RL with (model 4) or without

(model 3) free β,

If $c(t) = L$ and $r(t) = 1$,

$$Q_L(t+1) = \gamma_c Q_L(t) + k^+$$

$$Q_R(t+1) = \gamma_{uc} Q_R(t)$$

If $c(t) = L$ and $r(t) = 0$,

$$Q_L(t+1) = \gamma_c Q_L(t) + k^-$$

$$Q_R(t+1) = \gamma_{uc} Q_R(t)$$

where κ^+ and κ^- directly update the chosen action value in rewarded and unrewarded

trials, respectively. γ_c and γ_{uc} are the forgetting factor in updating chosen and unchosen

action values, respectively. Accordingly, forgetting rates θ_c and θ_{uc} in models 3 and 4

corresponds to $1-\gamma_c$ and $1-\gamma_{uc}$, respectively.

Model 5 and 6: Forgetting RL models (F-RL) and F-RL with (model 6) or without (model

5) free β,

If $c(t) = L$ and $r(t) = 1$,

$$Q_L(t+1) = \gamma Q_L(t) + k^+$$

If $c(t)$ = L and $r(t)$ = 0,

628
$$Q_L(t+1) = \gamma Q_L(t) + k^-$$

629   For the unchosen right side,

630
$$Q_R(t+1) = \gamma Q_R(t)$$

where κ^+ and κ^- directly update the chosen action value in rewarded and unrewarded

trials, respectively. γ is the forgetting factor in updating chosen and unchosen action

values.

Models 7 and 8 directly describe the probability of each choice option on trial t, either

subject to experienced outcomes (WSLS) or as a fixed proportion of choice.

Model 7: Win-stay lose-shift.

If $c(t)$ = L and $r(t)$ = 1,

638
$$P_L(t+1) = 1 - \epsilon/2$$

639
$$P_R(t+1) = \epsilon/2$$

640   If $c(t)$ = L and $r(t)$ = 0,

641
$$P_L(t+1) = \epsilon/2$$

642
$$P_R(t+1) = 1 - \epsilon/2$$

643   Where ϵ controls the randomness of the stay probability.

644   Model 8: Biased random choice.

645
$$P_L(t+1) = \varphi$$

646
$$P_R(t + 1) = 1 - \varphi$$

647 Where φ controls the bias of choice probability.

Hierarchical model fitting and model comparisons

We used the Matlab Stan interface (https://mc-stan.org/users/interfaces/matlab-

stan) to construct and sample from hierarchical RL models, in which group-level

hyperparameters govern individual rat parameters, to avoid overfitting to inter-rat noise

[123]. For a given model, we used Stan to draw consecutive samples from the posterior

density of the model parameters using Hamiltonian Markov chain Monte Carlo (HMC),

giving density estimates for the best fitting model parameters for the aggregate choice

and outcome data across all sessions and rats. For each model, rat-level parameters

were drawn from a group-level distribution with group mean, μ, group mean difference, δ,

and variance σ. To quantify the evidence of group differences, the Directed Bayes Factor

(dBF) was employed. This measure calculates the ratio of the proportion of the distribution

of δ (group difference) that is above zero to the proportion that is below zero as in[115].

Given the difficulties in sampling from hierarchical RL models, parameters were

transformed such that sample were drawn in an unconstrained space and later

transformed into bounded values using the fast approximation of the cumulative normal

distribution function, *phi_approx*[124]. We set normally distributed priors for all parameters

at the rat level: N(0, 1) for all parameters, except β, which was set at N(0, 10), while group-

level hyperparameters were given a prior normal prior distribution of N(0, 2) for μ and δ;

and a gamma distribution of (2, 0.5) for σ. Hierarchical models for each of the candidate

RL models were sampled for 2,000 iterations after 1,000 warm-up draws for four chains

in parallel. All other settings adhered to the default configuration.

Predictive accuracy of each model was estimated using leave-one-out cross

validation with Pareto-smoothed importance sampling (psis-loo) using the model log

likelihood computed for each of the sampled parameters on the actual choice and

outcome data[125]. For simulations of behavior, we took the mean of the posterior samples

(i.e. over the 2000 post-warm up draws) for each rat-level model parameter as the best-

fitting estimate for that individual subject. Using these best-fitting model parameters, we

simulated choice behavior on the actual task structure. A reversal analysis, analogous to

the one used on the empirical data, was applied to these simulated data to probe whether

the model could recapitulate the key differences in choice behavior observed in the

empirical data.

Multiple linear regression

To examine how neural spike activity was modulated by different behavioral and decision

variables, we employed multiple linear regression models to fit spike rates of each unit as

a linear function of various factors, such as rat's choice, reward outcome, and estimated

action values as in[32, 52]. All trials in each session other than those with choice omission

were included in the analysis. To investigate the evolution of neural spike modulation

within a temporal window surrounding key behavioral events, including lever insertion,

action selection, and outcome presentation, we aligned the spikes of each neuron to the

onset of these behavioral events. We then quantified the firing rates of each neuron using

a sliding time bin approach. Specifically, we employed a 100-ms time bin with 50-ms steps

or a 200-ms time bin with 100-ms steps. This analysis was conducted within predefined

temporal windows relative to trial initiation, lever insertion, lever press, and outcome,

presentation, which were set at -700 ms to 300 ms, -500 ms to 500 ms, -500 ms to 500

ms, and -100 ms to 1000 ms, respectively. To control for the temporal auto-correlation

among spikes[126], we added auto-regression (AR) terms as in Shin et al.[52] for all of the

following regression models. To investigate the influence of both current and preceding

choices, outcomes, and their interaction on the spike activity of individual neurons, we

employed a regression model to delineate the firing rate (S) as a function of choice

direction (C; ipsi or contra-lateral to implant side), outcome (O; rewarded or unrewarded),

and their interaction (I) in current trial t and last trial t-1, along with autoregressive

components (AR), as the following

$S(t) = \beta_0 + \beta_1 \times C(t) + \beta_2 \times C(t-1) + \beta_3 \times O(t) + \beta_4 \times O(t-1) + \beta_5 \times I(t) + \beta_6 \times$

$I(t-1) + AR + \varepsilon(t)$,

where β_0 through β_6 represent standardized regression coefficients, and the error term is

$\epsilon(t)$. AR term was defined as follows:

$AR = \beta_7 \times S(t-1) + \beta_8 \times S(t-2) + \beta_9 \times S(t-3)$,

To examine how chosen value-related signals are represented by neurons at the time

that choice and outcome are revealed, we used the following regression:

$S(t) = \beta_0 + \beta_1 \times C(t) + \beta_2 \times O(t) + \beta_3 \times I(t) + \beta_4 \times Q_c(t) + AR + \varepsilon(t)$,

where Q_c is the chosen value estimated by the best fit RL model.

To investigate how different types of value signals are encoded in neuronal activity, we

used a regression model as follows:

$S(t) = \beta_0 + \beta_1 \times C(t) + \beta_2 \times \Sigma Q(t) + \beta_3 \times \Delta Q(t) + \beta_4 \times Q_c(t) + AR + \varepsilon(t)$,

where $\Sigma Q(t) = Q_{ipsi}(t) + Q_{contra}(t)$ and $\Delta Q(t) = Q_{ipsi}(t) - Q_{contra}(t)$, which can

approximate state value and policy, respectively[32, 52].

To capture the main variance of the coefficient across time bins, we ran a PCA on

standardized regression coefficients. The magnitude of PC1 scores were used as a proxy

of the overall modulation effect of decision factors on firing during the time window aligned

to lever insertion, lever pressing, and outcome presentation.

Generalized linear mixed-effect models (GLMMs)

To understand the impact of EtOH treatment on the proportion of neural responses to

specific decision variables described above, we employ a Generalized Linear Mixed-

Effects Model (GLMM) to account for the random effects associated with individual

animals and specific neurons within those animals. The model is described by the

following Wikison notation:

Response_Significance ~ Group + (1|AnimalID) + (1|AnimalID:NeuronID),

Where *Response_Significance* denotes the binary variable (e.g., significantly or not

modulated) derived from the preceding multiple linear regression, and *Group* represents

a fixed effect, such as an EtOH or Air group. The random effects are specified as

1|AnimalID and *1|AnimalID:NeuronID*. The term *1|AnimalID* introduces a random

intercept for each animal, allowing for the acknowledgment of variability in baseline

response levels across animals. The term *1|AnimalID:NeuronID* adds another layer of

random intercepts, this time to account for variability at the neuron level within each

animal, acknowledging that responses may differ not just between animals but also

among neurons within the same animal. To fit this model, we utilize the *fitglme* function in MATLAB with a 'Binomial' distribution and a 'Logit' link function.

To assess the influence of EtOH treatment on the overall modulational strength attributed to decision variables, we applied the following GLMM,

PC1score ~ Group + (1|AnimalID)

Here, *PC1score* represents the aggregate modulation effect derived from PCA on coefficients obtained from the aforementioned multiple linear regression analysis. This model was estimated using the *fitlme* function in MATLAB.

The p-value of the *Group* coefficient denotes the main effect of EtOH treatment.

Other statistical analysis

Behavioral data were analyzed using paired *t*-tests, one-way ANOVA with repeated measures (one-way RM ANOVA), or mixed effect ANOVA (also known as two-way ANOVA with repeated measures, two-way RM ANOVA) followed by Bonferroni *post hoc* test, all conducted in MATLAB. A p-value < 0.05 was used as the criterion to determine statistical significance unless noted otherwise. All data are expressed as the mean ± standard error of the mean.

Data availability

The datasets generated for this manuscript are available on request to ycheng62@jhu.edu and patricia.janak@jhu.edu. The code used in this manuscript is availble on github https://github.com/cyf203/CIE_flexibility_DMSrecording upon the publicaiton.

756 **Ethics statement**

757 All animal care and experimental procedures were approved by the Johns Hopkins

758 University Institutional Animal Care and Use Committee and were conducted in

759 accordance with the *National Research Council Guide for the Care and Use of Laboratory*

760 *Animals*.

Author contributions

Conceptualization: YC and PHJ. Reinforcement learning modeling: YC and AL. Analysis design on electrophysiology data: YC and DL. Other methodology, formal analysis and visualization: YC. Experiments and designs: YC and RM. Writing and discussion: YC, RM, AL, DL and PHJ. Supervision and funding: PHJ.

768 **FUNDING**

769 This research was supported by R01AA026306 (PHJ) and R01DA035943 (PHJ).

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Figure 1. Ethanol-exposed rats exhibit a distinct pattern of behavior in the dynamic

probabilistic reversal learning task (dynaPRL) after prolonged withdrawal. (**A**) Blood

ethanol (EtOH) concentrations (BEC) of male and female rats during EtOH vapor

exposure. $t(12) = -1.24$, $p = 0.239$, t test. (**B**) Schematic of trial structure showing each

behavioral stage in the dynaPRL task. Trials began with a magazine entry (trial initiation).

After a random time interval between 100ms to 1s (time jitter), both levers were inserted.

After the rat pressed one of the levers, the levers were withdrawn. Following another time

jitter, an outcome was reported. A rewarded choice was indicated by a clicker sound,

followed by sucrose, and an unrewarded choice was indicated by white noise. P(r|c=L)

represents the reward (r) probability given choice (c) is left side (L). (**C**) Choice reward

probabilities for the dynaPRL task. The reward probabilities associated with each lever

switched between 3 distinct blocks, drawing probabilities from three different sets, (0.8,

0.1), (0.6,0.3), and (0.45, 0.45). Based on the difference of reward probabilities between

two levers before and after block transition, all block transitions can be categorized into

three types: low to high (LH) challenge, high to low (HL) challenge, and same to same

(no difference, ND) are denoted as lines with distinct colors. (**D**) Two example rats from

air (left) and EtOH (right) groups exhibit choice behavior that changes according to block

switching. Choice raster, whether rewarded or not, are depicted with distinct colors. The

letter 'c' denotes the side of choice, with 'L' for left and 'R' for right. The probability of

choosing the right side, denoted as P(c=R), is calculated using a moving average across

a window of 5 trials, thus providing a smoothed estimate of choice behavior (t=5). (**E**) This

schematic illustrates how a support vector, depicted by the grey surface, differentiates

between sessions from EtOH-exposed rats and control (air-exposed) rats, represented

as dots, within the multidimensional space created by various behavioral measurements.

This illustration represents our hypothesis that ethanol (EtOH)-exposed and air-exposed

groups likely establish distinct behavioral patterns. (**F**) Confusion matrix for the SVM

multiclass classifier aggregating from 1000 iterations in decoding both group and sex for

n = 13 EtOH rats (8 male, 5 female) and 14 air controls (9 male, 5 female). Entries on the

primary diagonal represent accurate predictions, and off-diagonal elements represent

misclassifications. Classification precision was calculated based on the true positive

rate/(true positive rate + false positive rate). $*p < 0.05$, $***p < 0.001$, Monte Carlo

significance tests. (**G**) An UMAP transformation of high dimensional data onto a 2D

space.

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Figure 2. Protracted withdrawal from chronic ethanol exposure alters choice behaviors in highly ambiguous environments. (**A, B**) The diagram illustrates block switching in Low-High uncertainty transitions (A). Probability of choosing the pre-switch preferred lever in Low-High type transitions (B). Bolded lines represent the group average and faded lines represent individual rats. Vertical dashed line indicates the last trial before block switching (t=0). $F_{(1, 16)} = 1.708$, $p = 0.211$ main effect of group; $F_{(16,255)} = 362.055$, ***$p = 1.2E{-}167$, main effect of time; $F_{(16,255)} = 1.925$, *$p = 0.016$, interaction of group and time, two-way mixed-effect ANOVA. *$p < 0.05$ for post hoc test, two-way mixed-effect ANOVA followed by post hoc test with Bonferroni correction. (**C**) A single exponential decay model estimated the performance in learning new action-outcome contingency. Bolded lines show the average model fit for probability of selecting an action based on the pre-switch contingencies. Dots depict the true mean data from B. Individual rat model estimates of choice probability are shown in faded lines. (D-G) The estimated parameters' pre-reversal asymptotic value (pre-rev. level), a (D); magnitude of change in

p(preservation) (behavior change), d (E); and adaptation rate, λ (F) for the single

exponential decay model in C. The inverse of λ, τ, represents the time constant of rat's

learning in a new block (G). The box plot depicts the median, 25th, and 75th percentile of

the parameter values for each group. Each dot next to the box plot shows parameter

values from individual rats. The cloud plot on the right side of each panel depicts the data

distribution. Dots on the cloud plot are the mean of the parameter values. $t(15) = -0.498$,

$p = 0.626$ for D; $t(15) = -1.522$, $p = 0.149$ for E; $t(15) = -2.704$, *$p = 0.016$ for F; $t(15) =$

2.300, *$p = 0.036$ for G, two-sample independent t test with two-tails. n = 9,8 rats for Air

and CIE groups for B-G. (**H**) Stay probability, the likelihood of rats repeating the same

action on the current trial as the last trial, during 'Lo-Hi' transitions. $z(464) = -2.358$, *$p =$

0.018, Wilcoxon rank-sum test. (**I**) Stay probability given reward (water drop icon) or no

reward (cross, X, symbol) on the last trial, during 'Lo-Hi' transitions. $F(1, 463) = 1076.3$,

***$p = 7.3E-123$ main effect of outcomes; $F(1, 463) = 10.625$, **$p = 0.001$ main effect of

group; and $F(1, 463) = 4.5$, *$p = 0.035$ for interaction of outcome and group, two-way

mixed-effect ANOVA. ***$p < 0.001$ for post hoc test with Bonferroni correction. (**J**)

Difference (Δ) between probability of stay given reward (win-stay, WS) and probability of

shift given no reward (lose-shift, LS), ΔWSLS, during 'Lo-Hi' transitions. $z(464) = -2.805$,

**$p = 0.005$, Wilcoxon rank-sum test. N = 241, 229 sessions from 9, 8 male rats for air

and EtOH for A-J.

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Figure 3. EtOH enhances positive feedback-mediated learning rates and negative feedback-mediated forgetting rates in the reinforcement learning process. (**A**) Schematic representation of the value updating processes employed by the best-fitting reinforcement learning (RL) agent, Q-DFLr. In this example, an agent makes a left side choice at trial, t, denoted as 'c=L', where 'c' stands for 'choice'. In contrast, 'uc=R' indicates the right lever was not selected, where 'uc' stands for 'unchosen', meaning the right option was not selected. Upon making choice on left side, the task generates an outcome, 'r', where '1' signifies a reward, i.e., positive feedback, and '0' signifies absence of a reward, i.e., negative feedback. The left action value, Q(L)—or the chosen value—is updated based on the reward prediction error (RPE) using two distinct learning rates, α^+ for reward (r=1) and α^- for non-reward (r=0) action outcomes. Conversely, the right action

value, Q(R)—or the unchosen value—undergoes decay at two distinct forgetting rates, θ^+

and θ^-, depending on the action outcome, r. The updated action values are then adjusted

by the inverse temperature parameter, β, to inform the agent's next choice (t+1) via a

softmax selection function. (**B**,**C**) HMC-sampled posterior densities of group difference,

δ, representing differences between EtOH- and air-exposed rats in positive feedback-

mediated learning rates (α^+) in (B) and negative feedback-mediated forgetting rates (θ^-)

in (C), across group-level hyperparameters. Bottom horizontal lines represent the 80%

and 95% highest density interval (HDI). dBF: directed Bayes factor. (**D**) Choice probability

of the initially preferred lever in low-to-high transitions. Data on the left panel are empirical

(reproduced from Figure 1G), while the right panel showcases simulated data using the

best-fitting parameters.

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Figure 4. **EtOH alters the encoding of decision variables in the outcome presentation.** (**A-D**) An example DMS unit from the air group shows spike activity correlated with choice side (A), outcome (B), interaction of choice and outcome (labeled choice:outcome) (C), and the value of the chosen action, Qc (D). Trials were grouped by choice side as either ipsilateral (I) or contralateral (C) to the recording site in A; by outcome as rewarded (reward) or unrewarded in B; by contingency as ipsilateral choice without reward ('I' and no water drop icon), ipsilateral choice with reward ('I' and water drop icon), contralateral choice without reward ('C' and no water drop icon), and contralateral choice with reward ('C' and water drop icon) in C; and into quartiles of the chosen action value: Q1 (0-0.25), Q2 (0.25-0.5), Q3 (0.5-0.75), and Q4 (0.75-1) in D. At the top are spike raster plots. At the bottom are spike density functions generated by applying a Gaussian kernel (δ = 100 ms) to the corresponding spike trains from A-D. (**E-**

H) Fraction of neurons whose activity is significantly modulated by the current trial's choice (E), outcome (F), choice:outcome interaction (G), and Q_c (H). *p = 0.021 main effect of group for E, logistic regression with Generalized Linear Mixed-Effect Model (GLMM); *p = 0.018 main effect of group for F, logistic regression GLMM; p = 0.47 main effect of group for H, logistic regression GLMM; p = 0.63 main effect of group for H, logistic regression GLMM. (**I-L**) The magnitude of the regression coefficient (|Coefficients|) for choice (I), outcome (J), choice:outcome interaction (K), and Q_c (L) averaged across all neurons with the corresponding PC1 scores (|PC1'|) in the inset figures. ***p = 0.049 main effect of group for the inset figure in I, GLMM. *p = 0.041 main effect of group for the inset figure in J, GLMM. ***p = 0.0004 main effect of group for the inset figure in K, GLMM. p = 0.47 main effect of group for the inset figure in L, GLMM. n = 326, 523 neurons from 9,8 male rats in air and EtOH groups.

Figure 5. CIE alters the encoding of decision variables in the action selection epoch.

(**A-C**) An example DMS unit from the air group shows spike activity correlated with value

of state, ΣQ, around trial initiation (A), lever insertion (B), and action selection (C). Trials

were grouped by three quartiles of the state value: Q1 (0-0.33), Q3 (0.34-0.66), and Q3

(0.67-1) in A-C. At the top are spike raster plots. At the bottom are spike density functions

generated by applying a Gaussian kernel (δ = 100 ms) to the corresponding spike trains

from A-D. (**D-F**) An example DMS unit from the air group shows spike activity correlated

with policy values, ΔQ, around trial initiation (D), lever insertion (E), and lever press (F).

Trials were grouped by three quartiles of the policy value from low to high (bottom to top).

Spike density functions at the bottom panels are estimated same as A-C. (**G-I**) Fraction

of neurons whose activity is significantly modulated by the state value, ΣQ, in the period

of trial initiation (G), lever insertion (H), and lever press (I). **$p = 0.004$ main effect of

group for G, logistic regression with Generalized Linear Mixed-Effect Model (GLMM). (**J-**

L) Fraction of neurons whose activity is significantly modulated by the state value, ΔQ, in

the period of trial initiation (J), lever insertion (K), and lever press (L). p = 0.053 main

effect of group for L, GLMM. figures. n = 326, 523 neurons from 9,8 male rats in air and

EtOH groups.

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Figure S1

Figure S1. Protracted withdrawal from chronic ethanol exposure does not impair reversal learning in traditional probabilistic reversal learning task. (**A**) Experimental timeline: Rats underwent training in a simple probabilistic reversal learning (PRL) task to counterbalance their behaviors prior to 4-week chronic ethanol vapor exposure (CIE) procedure with a preceding habituation phase for acclimatization to ethanol vapor and experimental environment. Following 10-15 days of withdrawal, rats were retrained with the PRL task and then introduced to a new task, the dynamic probabilistic reversal learning (dynaPRL) task. 16-channel drivable electrodes were implanted in rats. After recovery and retraining, single-unit activity was recorded. (**B**) Choice reward probabilities for the PRL task. The reward probabilities associated with each lever switched within one block type drawing probability from a set (0.7, 0.1). (**C,D**) Probability of choosing pre-reversal preferred lever before (C) and after (D) CIE vapor in males. N = 9,8 male rats for Air and CIE groups. (**E,F**) Probability of choosing pre-reversal preferred lever before (E)

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1248   and after (F) CIE vapor in females. N = 5,5 female rats for Air and CIE groups. Bolded

1249   lines represent the group average and faded lines represent individual rats. Vertical

1250   dashed line indicates the last trial before block switching (t=0) for D-G.

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 Figure S2

Figure S2. EtOH-exposed rats do not exhibit a distinct behavioral pattern in the PRL task. (**A**) The diagram illustrates the classification analysis process. (**B**) Confusion matrix for the SVM multiclass classifier aggregating from 1000 iterations in decoding group membership (EtOH vs. Air), sex label, and exposure conditions (pre- v.s. post-vapor) for N = 13 EtOH rats (8 male, 5 female) and 14 air controls (9 male, 5 female). Diagonal entries represent accurate predictions, while off-diagonal elements represent misclassifications. Classification precision is computed from true positive rate/(true

1260   positive rate + false positive rate). *p < 0.05 indicates statistical significance determined

1261   through Monte Carlo significance tests.

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Figure S3

Figure S3. The adaptation rate (λ) is estimated across individual sessions in the dynaPRL task. (**A-D**) The adaptation rates (λ) in Low-High (**A**), High-Low (**B**), and Same (**C**) type transitions, as well as trials of all types of transitions (D) from individual sessions, are presented in the left panel in A-D. **p < 0.01, ***p < 0.001, Wilcoxon rank-sum test. The adaptation rates (λ) for each rat, averaged from individual sessions, are shown in the right panel of A-D. *p < 0.05, two-sample independent t test with two-tails. In the left panels, dots represent individual sessions. In the right panels, dots represent individual rats. The box plot depicts the median, 25th, and 75th percentile of the parameter values for each group. N = 241 sessions from 9 male air rats and 229 sessions from 8 male EtOH rats.

Figure S4

Figure S4. Pre-EtOH exposure does not alter reversal learning under low uncertainty in the dynaPRL task. (A-F) The probability of selecting the preferred lever before the block switching (left panel) and the performance estimated by the single exponential decay model for learning new action-outcome contingency (right panel) during transitions with High-Low (A), Same (C), and transitions aggregate from all types (E) in male rats. In the left panels, bold lines indicate the average model fit for the probability of selecting an action based on the pre-switch contingencies. In the right panels, dots represent the true mean data from A, C, and E, and faded lines illustrate model estimates of individual rat choice probability. The estimated parameters' pre-

reversal asymptotic value (pre-rev. level), a; magnitude of change in p(preservation)

(behavior change), d, adaptation rate, λ, and time constant, τ, for the single exponential

decay model during transitions with High-Low (B), Same (D), and transitions aggregate

from all types (F) in males. Dots represent individual rats. The box plot depicts the median,

25th, and 75th percentile of the parameter values for each group. (**G-J**) Probability of

selecting pre-switching preferred lever in transitions with Low-High (G), High-Low (H),

and Same (I) type transitions as well as transitions aggregate from all types (J) in females.

Bold lines indicate the average model fit for the probability of selecting an action based

on the pre-switch contingencies. N = 13 EtOH rats (8 male, 5 female) and 14 air controls

(9 male, 5 female).

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 Figure S5

Figure S5. Pre-EtOH exposure reduces deviation from matching choice behavior to

local reward rate in males but not in females. (**A-D**) Histograms reveal higher matching

scores (deviation of choice probability from the reward probability given the choice) in

trials from blocks aggregating from all types (A), and low contrast (C; 60,30), as well as

high contrast (D; 80,10) blocks, but not from neutral blocks (B; 45, 45), in EtOH rats

compared to air controls. Vertical blue and red dashed lines represent the median

deviation from matching, while the vertical grey solid lines represent perfect matching (0

deviation of choice probability to reward probability given the choice). The inset panels

demonstrate the mean deviation score averaged for each EtOH rat and air control, with

each dot representing a rat. The horizontal dashed line marks the perfect matching. (**E-**

H) Similar to A-D, histograms show no difference in matching scores in all (E), neutral (F),

low contrast (G), and high contrast (H) type blocks. N = 13 EtOH rats (8 male, 5 female)

and 14 air controls (9 male, 5 female).

Figure S6

Figure S6. Stay probabilities in pre- and post-reversal under various cognitive

challenges conditions. (**A-H**) Stay probability (left panels), Stay probability given reward

(water drop icon) or no reward (cross, X, symbol) on the last trial (middle panels), and

difference of win-stay lose-switch probability (ΔWSLS; right panels) in the post-reversal

(A, C, E, and G) and pre-reversal (B, D, F, and H) phases during various uncertainty

conditions in males. Data in A is duplicated from Figures 2H-2J. (**I-P**) Stay probability (left

panels), conditional stay probability (middle panels), and difference of win-stay lose-

switch probability (ΔWSLS; right panels) in the pre-reversal (I, K, M, and O) and post-

reversal (J, L, N, and P) phases during various uncertainty conditions in females. Dots

represent individual sessions in air and EtOH groups. N = 241 sessions from 9 male air

rats and 229 sessions from 8 male EtOH rats.

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Figure S7

 Figure S7. Latencies of trial initiation in pre- and post-reversal under various

cognitive challenges conditions. (**A-H**) General latency of trial initiation (left panels)

and latency of trial initiation conditioned on last trial outcomes (right panels) in the post-

reversal (A, C, E, and G) and pre-reversal phases (B, D, F, and H) under various

transitions in males. (**I-P**) General latency of trial initiation (left panels) and latency of trial

initiation conditioned on last trial outcomes (right panels) in the post-reversal (A, C, E,

and G) and pre-reversal phases (B, D, F, and H) under various transitions in females.

Dots represent individual sessions in air and EtOH groups. N = 241 sessions from 9 male

air rats and 229 sessions from 8 male EtOH rats.

Figure S8

Figure S8. Latencies of action selection in pre- and post-reversal under various

cognitive challenges conditions. (**A-H**) General latency of action selection (left panels)

and latency of trial initiation conditioned on last trial outcomes (right panels) in the post-

reversal (A, C, E, and G) and pre-reversal phases (B, D, F, and H) under various

transitions in males. (**I-P**) General latency of trial initiation (left) and latency of trial initiation

conditioned on last trial outcomes (right) in the post-reversal (A, C, E, and G) and pre-

reversal phases (B, D, F, and H) under various transitions in females. Dots represent

individual sessions in air and EtOH groups. N = 241 sessions from 9 male air rats and

229 sessions from 8 male EtOH rats.

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 Figure S9

Figure S9. Reinforcement learning models fit trial-by-trial data from the dynaPRL

task. (**A**) The bar plot showed the difference of Pareto-smoothed importance sampling

(PSIS) with leave-one-out (LOO) negative likelihood (ΔPsisloo NegLL) between

candidate models and the best fitting model, Q learning model with differential learning

rate (Q-DFLr), on the most left on the x-axis. (**B**) HMC-sampled posterior densities of

group difference, δ, representing differences between EtOH- and air-exposed rats in

inverse temperature (β in the left panel), negative feedback-mediated learning rates (α⁻

in the middle panel), and positive feedback-mediated forgetting rates (θ^+ in the right

panel), across group-level hyperparameters. Bottom horizontal lines represent the 80%

and 95% highest density interval (HDI). dBF: directed Bayes factor. (**C**) Choice probability

of the initially preferred lever in all, High-low, and Same transitions. Data on the left panel

are empirical (reproduced from Figure 1G), while the right panel showcases simulated

data using the best-fitting parameters.

 Figure S10

Figure S10. Single unit recording in the dorsomedial striatum (DMS). (**A**) The

diagram illustrates a drivable electrode consisting of 16 tungsten wires connected to a

Plexon headstage, which is implanted in the Dorsomedial Striatum (DMS). (**B**) A

visualization of electrode placement and the range of recording across sessions for each

animal, denoted by grey lines. (**C**) Pie charts show the aggregate count of neurons

recorded from each rat. (**D**) The cumulative probability distribution shows the number of

trials for each recorded neuron before (left) and after (right) trial-matching, which is

conducted between EtOH and air groups.

Figure S11

Figure S11. EtOH pre-exposure mainly alters the striatal choice and outcome

signals in current trials. (**A-F**) Fraction of neurons exhibiting significant modulation by

choice (A and B), outcome (C and D), and the interaction of choice and outcome (E and

F) in current trial and last trial around behavioral events such as trials initiation, lever

insertion, lever press, and outcome report. Vertical grey dashed lines indicate the onset

of each behavior event. Horizontal blue and red dashed lines indicate the chance level

for air and EtOH groups, respectively. N = 326, 523 neurons from 9,8 male rats in air and

EtOH groups.

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Figure S12

Figure S12. Reward rate as an indicator of block identity. (**A-B**) Reward rates (ratio

of rewarded trials to total trials) linearly increase in correlation with the reward probability

ratios, ranging from block 1 (45:45) to block 3 (80:10) for both males (A) and females (B).

N = 13 EtOH rats (8 males, 5 females) and 14 air controls (9 males, 5 females).

Figure S13

Figure S13. Rats do not respond to the size of the reward during the acquired phase

in the dynaPRL task. (**A-F**) The size of the reward is altered with a 40% chance by

doubling or halving the reward size (66 µl or 16.5 µl) compared to the 60% original size

(33 µl) 12-trial after switching to block2 (45-45) and block3 (80-10). The probability of win-

stay (A and B), latency of trial initiation (C and D), and action selection reaction time (E

and F) are not significantly modulated by reward size. Dots represent the individual

sessions.

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