Both major xanthophyll cycles present in nature can provide Non-

Photochemical Quenching in the model diatom *Phaeodactylum*

tricornutum

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Abstract

Photosynthetic organisms require light but also rely on photoprotection to preempt photodamage induced by excess light. The xanthophyll cycle, a light-dependent carotenoid interconversion, plays a key role in the on- and offset of Non-Photochemical Quenching (NPQ), a form of excess energy dissipation through heat. While in most photosynthetic eukaryotes, including brown algae, green algae and plants, the violaxanthin cycle is prevalent, haptophytes and diatoms rely on the diadinoxanthin cycle to regulate NPQ. Diatoms also contain small amounts of violaxanthin cycle pigments, thought to serve only as precursors in xanthophylls biosynthesis. Both cycles are catalyzed by the enzymes violaxanthin de-epoxidase (VDE) and zeaxanthin epoxidase (ZEP). Here, we characterized the role of VDE and different ZEP encoding paralogs (*ZEP2* and *ZEP3*) in the model diatom *Phaeodactylum tricornutum*. We generated the respective knockout lines and treated exponentially growing mutants and wild type with periodic high light stress. We conclude that VDE and ZEP3 are the main regulators of the diadinoxanthin cycle. Under the same conditions, *ZEP2* knockouts accumulated mainly pigments of the violaxanthin cycle instead of the diadinoxanthin cycle. Intriguingly, violaxanthin cycle pigments contributed to the generation of NPQ with the same quenching efficiency of diadinoxanthin cycle pigments, demonstrating that both major xanthophyll cycles present in nature can provide NPQ in the same organism, with similar properties. Consequently, the prevalence of the diadinoxanthin cycle in diatoms has not been driven by its higher quenching efficiency but likely resulted from the selective advantage of a faster switch between photoprotection and light harvesting.

Significance Statement

Diatoms have a key role in almost any aquatic habitat, participate in nutrient cycling and contribute as much as the most productive terrestrial ecosystems to the global primary productivity. Diatoms are also regarded as biological factories of high value bioactive compounds, like carotenoids. Here, we investigated one of the most significant photoprotection mechanisms, the xanthophyll cycle, which relies on carotenoids to dissipate detrimental excess of light energy. Although diatoms use the so-called diadinoxanthin cycle, we discovered that the ancestral violaxanthin cycle, ubiquitous in plants and algae, can also contribute to photoprotection in these algae. We demonstrate that both cycles can function synergistically and with comparable efficiency within the same species, offering a new perspective on the evolution of xanthophyll-mediated photoprotection.

Main Text

Introduction

Diatoms are amongst the main primary producers on Earth (1, 2), dominating phytoplankton and
microphytobenthic communities in nutrient-rich turbulent waters (e.g., coasts and estuarine
mudflats) (3–5). In these habitats, light intensity can change very rapidly due to daily fluctuations
and to the movement of water and sediments (6). The temporary surplus of excitation energy
generated during these changes can be harmful to photosynthetic cells and generate reactive
oxygen species (ROS), resulting in photoinhibition (i.e., a decline of photosynthetic efficiency that
reduces growth and productivity) but also cellular oxidation and ultimately cell death (7). To thrive
under these complex conditions and cope with the highly dynamic light stress, diatoms have
evolved different photoprotection strategies (8, 9). One of these is Non-Photochemical Quenching
(NPQ), a ubiquitous multi-component mechanism that dissipates the surplus of excitation energy
as heat, thus preventing the over-saturation of the photosynthetic apparatus (10–13). The fastest
component of NPQ is known as qE, or high energy-state quenching, which takes place in the light-
harvesting complexes of photosystem II (PSII) (10–13). In diatoms, this process relies on antenna
proteins of the Lhcx family (14–17).

In most photosynthetic eukaryotes that lack phycobilisomes, the on- and offset of qE is regulated
by the xanthophyll cycle, a rapid interconversion of carotenoids that relies on removal or addition
of epoxy groups (18). Two major xanthophyll cycles exist in nature (12, 18): i) the violaxanthin (Vx)
cycle, a two-step reaction involving Vx, antheraxanthin (Ax) and zeaxanthin (Zx), present in green
algae, land plants and several classes of heterokont algae (such as brown algae, raphidophytes,
chrysophytes and eustigmatophytes); and ii) the diadinoxanthin (Dd) cycle, responsible for the
interconversion of only two pigments, Dd and diatoxanthin (Dt), found in haptophytes and other
heterokonts (such as diatoms, bolidophytes or pelagophytes). Both xanthophyll cycles are tightly
regulated via light-dependent changes in the thylakoidal ΔpH and control the on- and offset of qE
exclusively or synergistically with this ΔpH (12, 18). In diatoms, the light induced trans-thylakoid
ΔpH activates the enzyme violaxanthin de-epoxidase (VDE, also known as diadinoxanthin de-
epoxidase - DDE), converting Dd into Dt and thus triggering qE. When light stress and ΔpH are
relaxed, Dt is converted back to Dd by the enzyme zeaxanthin epoxidase (ZEP, also known as
diatoxanthin epoxidase - DEP), completing the cycle and thus abolishing qE (19, 20). Similarly, in
algae displaying the Vx cycle, qE is triggered by the de-epoxidation of Vx to Zx through the
intermediate Ax, and Vx is restored by the re-epoxidation of Zx (18).

Diatoms possess different paralogs of potential xanthophyll cycle enzymes that originated via
duplication of the respective ancestral genes (21, 22). In *Phaeodactylum tricornutum*, a
tychoplanktonic pennate diatom and the best-studied model organism of this group (23), multiple
paralogs of *VDE* (*VDE*, *VDL1*, *VDL2* and *VDR*) and *ZEP* (*ZEP1*, *ZEP2* and *ZEP3*) have been

identified (21). Recently, the role of ZEP1, VDL1 and VDL2 in the fucoxanthin biosynthetic pathway

has been elucidated (24, 25). Hence, the photoprotective function must be provided by other

paralogs such as *VDE*, *VDR*, *ZEP2* and/or *ZEP3*. Indeed, RNA silencing (26) and recombinant

protein experiments (27, 28) pointed to VDE as the major regulator of Dd de-epoxidation in *P.*

tricornutum. Moreover, *VDE* and *ZEP3* are arranged in a gene cluster conserved in *P. tricornutum*

and other diatom lineages, suggesting shared evolutionary history and conserved functions (22,

25). Thus, VDE and ZEP3 could be the main regulators of the Dd cycle in *P. tricornutum*. On the

contrary, ZEP2 may have an essential role in other photoprotection mechanisms and/or carotenoid

biosynthesis (22, 29, 30).

Interestingly, diatoms and other algae using the Dd cycle can also accumulate pigments of the Vx

cycle under extreme irradiance (30–32). The increase of Zx and Ax during prolonged high light,

catalyzed by VDE, is explained by the essential role of Vx as intermediate in the biosynthesis of

Dd and fucoxanthin (33, 34). However, it is unclear whether Zx and Ax can also trigger NPQ in

diatoms.

In this work, we investigated the role of three xanthophyll cycle enzymes (ZEP2, ZEP3 and VDE)

in the Dd and Vx cycle in *P. tricornutum* by generating respective knockout mutants. Our results

highlight VDE and ZEP3 as major drivers of the Dd cycle: the VDE-deficient mutant (*vde KO*) was

completely Dd cycle-deficient, while the ZEP3-deficient mutant (*zep3 KO*) did not rapidly re-

epoxidize Dt after light stress. ZEP2-deficient mutants (*zep2 KO*) accumulated significantly large

amounts of Zx and Ax under high light, at the expense of the Dd/Dt pool; nevertheless, their NPQ

capacity was comparable to the *wild type* (wt). This proves that both major xanthophyll cycles

present in nature can provide NPQ in the same organism and suggests that the prevalence of the

Dd cycle in diatoms is not the result of Dt being superior to Zx in triggering qE.

Results

Genetic transformation of *P. tricornutum*. A CRISPR-Cas9 site directed mutagenesis approach

was used to knockout *ZEP2*, *ZEP3* and *VDE*. We applied a combination of high-resolution melting

curve (HRM) analysis and Sanger sequencing to identify clones with disrupted native gene (35).

Induction-relaxation experiments were also performed in the preliminary screening phases to

detect altered NPQ phenotypes. While *VDE* and *ZEP3* deficient lines showed a substantial lack of

NPQ induction or recovery respectively, no clear phenotype alterations were observed for *ZEP2*

deficient lines (**SI Appendix, Fig. S1**). Due to the diploid nature of *P. tricornutum* genome (36), the

presence of knockout mutations on both alleles was finally assessed with TOPO cloning (**SI**

Appendix, Fig. S2). We selected clones that harbored indels of varying lengths resulting in a

disrupted gene on both alleles (**SI Appendix, Fig. S3**). Following sequential rounds of

singularization to obtain monoclonality and promote the loss of the episome, resulting lines were

further confirmed with PCR genotyping (**SI Appendix, Fig. S4**). Additional information can be found

in **SI Appendix, Text S1**.

***VDE*, *ZEP2* and *ZEP3* deficient mutants display characteristic pigment phenotypes in**

response to high light (HL). Under low light (LL), no major differences in pigment content were

observed between mutant lines and wt (**SI Appendix, Text S2, Table S2**). However, after 5 days

of 6 h HL:18 h LL regime each mutant displayed differences in xanthophyll cycling when compared

to wt (**Fig. 1**). In wt cells, Dd slightly decreased after 6h of HL, concomitant with a massive Dt

increase. In addition, minor amounts of Zx accumulated. During the following 30 min of recovery in

LL, Dt and Zx were almost entirely epoxidized back to Dd and Vx. As expected, *vde KO* did not

accumulate Dt or Zx during 6h of HL, but instead showed a strong increase of Dd and a small but

statistically significant increase in Vx. Conversely, *zep3 KO* accumulated the highest amount of Dt

under HL but was not able to convert it back to Dd during LL recovery. Finally, *zep2 KO* displayed

the Dd cycle in response to changing light, but these pigments were present in significantly lower

amounts compared to wt. Instead, this mutant was accumulating and cycling Vx cycle pigments to

a much higher extent than all other strains: Zx accumulated in response to 6h of HL and then

decreased during the early stages of recovery (5 min of LL), while a transient pool of Ax

accumulated; after 30min of LL, Vx accumulated at the expense of both Zx and Ax.

Additional information on the content of photosynthetic pigments is available as supporting

information (**SI Appendix, Fig. S5-7**). The phenotypes described for all mutants were reverted to

wt in mutant lines complemented with the respective native genes under native promoters (**SI**

Appendix, Fig. S8-10).

All strains accumulate the same total pool of xanthophyll cycle pigments, but only *zep2 KO*

displays the same proportion of de-epoxidized and epoxidized xanthophylls as wt. After 6 h

of HL, all investigated lines approximatively tripled their total xanthophyll cycle pigment content,

with no significant difference between each mutant and wt (**Fig. 2C**). The amount of the light

harvesting xanthophyll fucoxanthin was not significantly altered (**SI Appendix, Fig. S7**). *vde KO*

and *zep3 KO* displayed no major difference in total Dd/Dt pool compared to wt (**Fig. 2A**), and in all

three lines the Vx cycle pool was almost absent (**Fig. 2B**). In contrast, *zep2 KO* displayed a much

lower Dd/Dt content (about 20% of the total xanthophyll cycle pigments, **Fig. 2A**) and instead

accumulated a massive total pool of Vx/Ax/Zx (about 80% of the total, **Fig. 2B**).

To further investigate the function-related accumulation of specific xanthophylls, we calculated the

total pool of epoxidized (**Fig. 2D**) and de-epoxidized pigments (**Fig. 2E**). In response to 6 h of HL,

vde KO accumulated a significantly higher amount of epoxidized xanthophylls, while these were

almost absent in *zep3 KO*. Instead, *zep3 KO* accumulated a significantly higher amount of de-

epoxidized pigments. Despite the strong differences in the individual amounts of Vx and Dd cycle

pigments (**Fig. 1**), *zep2 KO* did not display significant differences in the total pools of epoxidized or
de-epoxidized xanthophylls throughout the light treatments compared to wt (**Fig. 2E**).

Despite a decreased Dd/Dt pool, *zep2 KO* displays wt-like NPQ capacity. To investigate the
physiological effects of Vx/Ax/Zx accumulation in *zep2 KO*, the experiment was repeated with an
increased number of time points during recovery phase, and pigment analysis was coupled with
NPQ measurements. This confirmed the *zep2 KO* phenotype observed in the first set of
experiments (**Fig.1-2**), both in terms of xanthophyll cycle pigments (**Fig. 3A-E**) and total pools (**SI
Appendix, Fig. S9**). Additional time points allowed to better elucidate the Vx cycle kinetics (**Fig.
3C-E**): while Zx decreased immediately after the cultures were switched from HL to LL, Ax
transiently increased and reached its peak around 10 min of recovery, and then decreased in favor
of an increase of Vx.
Analysis of epoxidation kinetics during LL recovery (**Fig. 4**) showed that Zx and Dt were epoxidized
with similar rates within each algal strain, despite *zep2 KO* displayed lower rates for both reactions
compared to the wt. Again, *zep3 KO* showed hardly any epoxidation of Dt or Zx during the first 30
min of recovery (**SI Appendix, Fig. S12**) although a functional ZEP2 gene was present, as
evidenced by the regular formation of Vx-derived xanthophylls in this mutant. Transient expression
of *ZEP2* or *ZEP3* from *P. tricornutum* in the ZEP-deficient *aba2* mutant of *Nicotiana plumbaginifolia*
lacking epoxy xanthophylls resulted in almost identical pigment phenotypes accumulating Vx,
neoxanthin and lutein epoxide (**SI Appendix, Fig. S13**), indicating that both isoforms can accept
the same xanthophylls as substrate.
Despite a strongly reduced accumulation of Dd cycle pigments during HL (**Fig. 3A-B**), *zep2 KO* still
generated the same NPQ as wt (**Fig. 3F**). While NPQ relaxation was initially slower in the mutant,
no significant differences were observed after 30 min of recovery, indicating that *zep2 KO* was able
to relax its NPQ with an efficiency comparable to the wt. These results were confirmed with a
second independent *ZEP2* deficient mutant and a wt-like phenotype was restored for *zep2 KO* after
complementation with native *ZEP2* (**SI Appendix, Fig. S9-10**). The same analysis performed on
zep3 KO showed that the lack of Dt epoxidation during recovery directly corresponds to a lack of
NPQ relaxation and a persisting quenching state (**SI Appendix, Fig. S11**).

***zep2 KO* and wt display the same linear correlation between pigment de-epoxidation and qE**
when assuming the contribution of both xanthophyll cycles. In most diatoms, NPQ linearly
correlates to the amount of Dt and this proportionality is especially robust when no significant
amounts of Vx cycle pigments are present (19, 20, 37). To factor in the presence of both distinct
pools of de-epoxidized xanthophylls, we performed five different calculations of the de-epoxidized

pigment pools possibly contributing to NPQ and analyzed their correlation with measured qE (**Fig.**
5).
Dt showed a clear linear relationship with qE in the wt (**Fig. 5A**), while this was much weaker in
zep2 KO. The opposite effect was observed with Zx (**Fig. 5B**), which yielded a better linear model
fit for *zep2 KO*. In both cases, wt and *zep2 KO* displayed a completely different correlation pattern,
noticeable by the poor fit of the combined linear model (i.e., including data points from both wt and
zep2 KO). With the sum of Dt and Zx (**Fig. 5C**), model fit improved and the slopes of the linear
regression for wt and mutant began to converge. However, a strong linear correlation was observed
only in the wt. The linear fit significantly improved when summing Dt, Zx and ½ Ax (**Fig. 5D**): models
for both *zep2 KO* and wt converged towards the general linear model, with the highest R^2 values.
This indicates that the size of this specific pigment pool correlates with qE in a similar manner
between these strains. Moreover, the addition of the full Ax pool (**Fig. 5E**) yielded a poorer fit for all
investigated lines (with a notable decrease in R^2 values). We conclude that the sum of Dt, Zx and
½ Ax most adequately describes the xanthophyll cycle-dependent component of NPQ in HL-
acclimated *P. tricornutum*.
We further confirmed these results with a second *ZEP2* deficient mutant and complementation of
zep2 KO with native *ZEP2* (**SI Appendix, Fig. S14**).

Discussion

VDE and ZEP3 are the key players in the Dd cycle in *P. tricornutum*. Our first set of experiments
(**Fig. 1-2**) confirmed VDE and ZEP3 as main enzymes of photoprotective xanthophyll cycling in *P.*
tricornutum: *vde KO* accumulated only Dd and was not able to de-epoxidize it to Dt in response to
light stress; conversely, *zep3 KO* was unable to rapidly re-epoxidize Dt into Dd shortly after a light
stress (i.e., during recovery phase), which resulted in a permanent quenching state, as confirmed
by analyses of NPQ and xanthophyll kinetics (**SI Appendix, Fig. S11-12**). Previously, ZEP2 had
been indicated as main candidate for driving Dt epoxidation, based on a strong accumulation of
monoepoxides (Ax and lutein epoxide) in a ZEP-deficient *npq2* mutant of *Arabidopsis thaliana*
stably transformed with *ZEP2* from *P. tricornutum* (38). Our results however demonstrate that ZEP3
is the ZEP isoform mainly co-regulating the Dd cycle with VDE in *P. tricornutum*. This is consistent
with the clustered organization of *VDE* and *ZEP3* within the genomes of *P. tricornutum* and various
other diatoms (21, 22, 25) and with several reports of their correlated expression pattern under light
stress (9, 21, 30, 39).
Although *zep3 KO* accumulated high amounts of Dt during HL, the mutant was almost devoid of Dt
before the onset of HL on the 5th day of light stress regime (**Fig. 1**, Time = 0 h), indicating that Dt
epoxidation could still be performed in this mutant on longer time scales. ZEP2 and ZEP3 from *P.*
tricornutum showed similarly broad substrate specificities in tobacco (**SI Appendix, Fig. S13**),
suggesting that both isoforms should allow epoxidation of Zx as well as Dt. ZEP2 is thus likely

responsible for the long-term re-epoxidation of Dt and Zx in *zep3 KO* following HL exposure,
although hardly any epoxidation activity was detectable during the first 30 min of recovery. A major
contribution of carotenoid degradation to the scavenging of Dt in the *zep3 KO* during prolonged LL
recovery appears unlikely, since carotenoid catabolism is known to be stimulated under higher
irradiances (40, 41).

The Vx cycle can function as NPQ catalyst in *P. tricornutum*. After 6 h of HL, *zep2 KO* displayed
wt-like NPQ (**Fig. 3B**) despite a much smaller Dt pool along with a compensatory increase of Vx
cycle pigments (**Fig. 1-3**). Including Zx and Ax in the linear model between qE and de-epoxidized
pigments resulted in a fit comparable to that of the wt (**Fig. 5**). The best linear fit was achieved
when qE was modelled against the sum of Dt, Zx and ½ Ax, suggesting that both Zx and Ax can
induce NPQ, with the latter having only around half of the quenching capacity of Zx and Dt.
Ax is known to participate in NPQ in various other photosynthetic eukaryotes. Early studies on the
Vx cycle in plants indicated Zx as the pigment with quenching activity (42, 43), but soon the
additional involvement of Ax was reported (44–47). Ax is thought to participate in quenching also
in green lineage algae, with taxon-specific differences (e.g. *Chlorella vulgaris* (48), *Mantoniella*
squamata (49)). In the heterokont *Nannochloropsis oceanica*, Ax also contributes to qE with about
30% efficiency compared to Zx (50). Moreover, in the giant kelp *Macrocystis pyrifera*, Ax
participates in quenching with half of the efficiency observed for Zx (51). Brown algae only possess
the Vx cycle (52) but display diatom-like qE (i.e., relying directly on the xanthophyll cycle while not
being activated by ∆pH alone (53)), likely explaining the similarities with our findings for
P. tricornutum.
The major mediators of qE in diatoms are Lhcx proteins, that likely bind Dd/Dt (16, 54–56), as the
structurally and functionally related LhcSR proteins of *C. reinhardtii* bind Vx cycle pigments (57).
Various other proteins related to light harvesting also bind xanthophyll cycle pigments in diatoms
(58–60). Xanthophylls are known to compete for different binding sites within the antennae (61–
64), and mutants lacking one pigment can substitute it with a closely related one (e.g., in *A. thaliana*
(65, 66) and *C. reinhardtii* (67)). As it is established that Dd/Dt must be bound to light harvesting
proteins to provide NPQ (68, 69), we propose that Vx, Ax and Zx, when accumulated in favorable
concentrations, could occupy the same binding sites thus contributing to qE induction, as indicated
by our data (**Fig. 3-5**). This suggests that Dt might be equally able to confer NPQ as Zx/Ax do in
taxa that normally rely on the Vx cycle. Once the yet unknown Dd synthase (24) is discovered, this
hypothesis could be tested by implementing the Dd biosynthesis pathway in the green lineage.
Vx and Dd cycle pigments differ only due to a triple bond within the isoprenoid backbone of Dd and
Dt (**Fig. 1**). This renders the central polyene moiety asymmetrical, in contrast to the symmetric Vx
cycle counterparts. Due to this asymmetry, Dd and Dt may be directionally inserted into the
antennae binding sites, with the Vx cycle-like half of the molecule (corresponding to the epoxy/de-
epoxidized group) interacting with the quenching-related site (17, 59). In contrast, Vx, Ax and Zx

might access the quenching site in either orientation due to the perfect symmetry of their polyene
moiety. This would result in a 50% chance of Ax being oriented with its epoxidized or de-epoxidized
ionone ring towards the quenching site, potentially explaining why our analyses suggest that Ax
has about half of the quenching capacity of Zx and Dt.

De novo synthesis is responsible for the light-induced accumulation of photoprotective
xanthophylls. Although the xanthophyll cycle pool was permanently epoxidized in _vde KO_ and de-
epoxidized in _zep3 KO_, the total amount of xanthophyll cycle pigments accumulating during HL was
the same in both mutants and wt (**Fig. 2**). Even _zep2 KO_ accumulated the same total amount of
xanthophylls under HL, although this was driven mostly by an increase of Zx/Ax. This suggests that
the rate of de-novo biosynthesis of carotenoids is not affected by any of the target mutations, thus
being likely regulated in the same way in all investigated lines.
Previous studies suggested that _de novo_ synthesis from β-carotene is responsible for the high light
induced accumulation of Zx in _P. tricornutum_ (31), as in other algae (e.g., _Chlamydomonas_
reinhardtii (70)). Accordingly, we observed no significant differences in fucoxanthin content under
different light conditions between all investigated mutants and wt (**SI Appendix, Text S2, Fig. S7**).
This indicates that this pigment is most likely not converted back into photoprotective xanthophylls
under light stress and thus confirms that _de novo_ synthesis is responsible for the xanthophyll cycle
pools increase observed during high light.

Functional diversification of ZEP2 and ZEP3 uncouples _de novo_ xanthophyll biosynthesis
from xanthophyll cycling. Most land plants and green algae encode only a single ZEP that
participates in photoprotective xanthophyll cycling and in _de novo_ biosynthesis of Vx and its
derivatives (12, 21). Under HL, these organisms accumulate newly synthesized carotenoids mainly
as Zx, which supports NPQ. In diatoms and other algae with the Dd cycle, Vx is an obligate
precursor of Dd and fucoxanthin (24, 25, 31). Dt is then synthesized from Dd by VDE. Therefore,
de novo Dt biosynthesis under HL requires the activity of both ZEP (that epoxidizes new Zx to Vx)
and VDE (that generates Dt from Dd). As both enzymes work in opposite directions, this could
potentially result in a futile cycle. This apparent paradox is solved by dynamic tuning of VDE and
ZEP activity (20). Compared to the green lineage, in diatoms VDE becomes active at a less acidic
pH (71–73) and requires a lower amount of the co-factor ascorbate (74, 75), while epoxidation is
much faster but is almost completely switched off under higher irradiance by yet unknown
mechanisms (19, 20). ZEP has been proposed as the main regulator of xanthophyll cycling and
NPQ in diatoms and other closely related taxa (20, 76), but the role of the different isoforms was
not elucidated. In our study, differences between _zep2 KO_ and _zep3 KO_ clearly indicate that the
corresponding enzymes carry out non-redundant functions, as previously speculated in gene
expression studies (21). Our data suggest that despite the two isoforms share some properties,

P. tricornutum splits the functions of photoprotective xanthophyll cycling and *de novo* biosynthesis

of carotenoids between ZEP2 and ZEP3.

As discussed above, all our strains displayed similarly high rates of *de novo* xanthophyll synthesis

during HL. The strong increase of Dd/Dt in both wt and *zep3 KO* indicates a sustained epoxidase

activity under HL, which must be supplied by ZEP2. On the other hand, ZEP3 appears to be

switched off under higher irradiance (19, 20) (**Fig. 1** and **SI Appendix, Fig. S12**), preventing the

epoxidation of VDE-generated Dt (and Zx) and ensuring the onset of NPQ (**Fig. 3**). Similarly, ZEP

inactivation under extreme irradiances has been postulated in *Nannochloropsis gaditana*, an

heterokont displaying only the Vx cycle (77). We therefore conclude that in *P. tricornutum* ZEP3 is

active exclusively under non-saturating irradiances to rapidly tune down NPQ, while ZEP2 has

properties closer to the ZEP of higher plants and green algae, i.e., a constant activity under all

irradiance condition (including darkness and HL) (45, 48, 49, 78). Consequently, in *zep2 KO* the

lack of ZEP2 in combination with the inactivation of ZEP3 and the increased VDE activity under HL

results in the accumulation of Zx/Ax (**Fig. 1**-**2**). A strong VDE activity competing with Dd formation

would also explain why accumulation of Vx/Ax/Zx can be observed to some extent in the wt under

extreme irradiances (**Fig. 1-3**) (30–32). This scenario is illustrated in **Fig. 6A**.

Alternatively, our results could be explained by a spatial separation of the two ZEP paralogs in the

plastids, as previously proposed (32). According to this model (**Fig. 6B**) ZEP2 would be mostly

confined to the inner plastid envelope, while ZEP3 would be mainly located at the thylakoid

membranes. ZEP2 would thus be responsible for the epoxidation of Zx to Vx during *de novo*

carotenoid biosynthesis in the envelope. Vx would then be transported to the thylakoid membranes

for further conversion to Dd and fucoxanthin. Conversely, ZEP3 would be responsible for

xanthophyll cycle-related Dt epoxidation, in accordance with the wt-like epoxidation rates and NPQ

(**Fig. 3-5**) observed in our *zep2 KO*. In absence of ZEP2, carotenoid biosynthesis in the envelopes

would already cease at the level of Zx which would be translocated to the thylakoid membranes

instead of Vx where it could participate in quenching under HL and be epoxidized to Vx by ZEP3

in subsequent LL. This model allows to assume a considerably higher epoxidase activity for ZEP2

in the envelope than the experimentally determined epoxidation rates of Dt and Zx located in the

thylakoid membranes (32, 33).

In conclusion, both functional specialization (**Fig. 6A**) and/or spatial separation (**Fig. 6B**) could

contribute to uncouple *de novo* xanthophyll synthesis from xanthophyll cycling in *P. tricornutum*.

Evolutionary implications of Vx cycling in diatoms. Xanthophyll cycling is thought to represent

an innovation of eukaryotic oxygenic phototrophs, and to have evolved in parallel with light

harvesting and other photoprotection strategies (21, 79). The Dd cycle most probably evolved in

an alga that already possessed the Vx cycle, as Vx is an obligate precursor of Dd and fucoxanthin

(24, 25, 31) and VDE and ZEP have sufficiently broad substrate specificities for accepting the

xanthophylls from both cycles as substrates (27, 28, 80). According to this scenario, both

xanthophyll cycles were functional in the same organism during the early evolution of
photosynthetic heterokonts. Extant algae with Dd cycle still have the potential to accumulate the
pigments of the Vx cycle (31) which, however, is effectively suppressed under most conditions.
One explanation for the prevalence of the Dd cycle in these algae could be that Dt is more effective
than Zx in triggering NPQ. Our observation that the Vx cycle pigments can efficiently provide NPQ
in a modern diatom species that normally relies solely on the Dd cycle rejects this hypothesis, while
it supports the idea of a gradual shift in photoprotection from the Vx to the Dd cycle in heterokont
algae.
Replacing the two-step Vx cycle with the one-step Dd cycle provides the benefit of switching faster
between photosynthetic light harvesting and NPQ (19, 31, 81). Moreover, the formation of
fucoxanthin involves only 5 enzymatic steps from Dt versus 8 steps from Zx (25, 82), thereby also
accelerating the conversion of photoprotective xanthophylls accumulated under higher irradiance
into light harvesting pigments when light becomes limited. The selective advantage conferred by
these factors likely also contributed to the success of diatoms in dynamic ecosystem such as
turbulent waters that are characterized by frequent and extreme light changes (6).

Materials and Methods

CRISPR/Cas9-based gene knockout. *Phaeodactylum tricornutum* (Pt1; CCAP1055) maintained
for several years in our laboratory was used as reference wt and for the generation of all mutant
strains. Independent knockout lines (two for ZEP2 [Phatr2_56488; chr_1:2222646-2224755(+)],
and one for VDE [Phatr2_44635; chr_4:1116193-1117626(-)] and ZEP3 [Phatr2_56492;
chr_4:1118248-1120587(+)]) were obtained with CRISPR/Cas9 genome editing, through bacterial
conjugation. sgRNAs were designed with CRISPOR (83) and episomal vectors based on
pPtPuc3m diaCas9_sgRNA including the respective sgRNA templates were created following
previously published methods (35, 84). sgRNA coding sequences can be found in **SI Appendix,**
Table S3. Final episomes (containing CRISPR/Cas9 machinery and zeocin resistance cassette)
were first transformed into *E. coli* DH10β cells carrying the mobilization helper plasmid pTA-Mob
and further introduced into wtPt1 *via* bacterial conjugation, as previously described (84–86).
Transformed clones appeared 2-3 weeks after conjugation on agar selection plates (16 g/L salinity
f/2 + 100 µg/mL zeocin) incubated at 20°C and 100 µmol photons m^{-2} s^{-1} of white light and were
transferred on fresh selection plates (16 g/L salinity f/2 agar + 75 µg/mL zeocin). Screening of
mutants followed the strategy previously described (35) and included pre-screening of potentially
mutated DNA sequences by PCR amplification via DreamTaq (ThermoFisher Scientific Inc.,
Germany) and high-resolution melting curve analysis (HRM) using Biozym HRM PCR Mix (Biozym
Scientific GmbH, Germany); primers are listed in **SI Appendix, Table S1**. NPQ phenotypes of the
putative mutants were also screened through a chlorophyll *a* fluorescence induction-relaxation
protocol (5-10 min light stress at 500-600 µmol photons m^{-2} s^{-1} with blue light, followed by recovery

at 28 μmol photons m^{-2} s^{-1}) performed with an IMAGING-PAM fluorometer (IMAG-C model with
IMAG-K camera, Heinz Walz GmbH, Germany). For this purpose, cultures were inoculated in well
plates with liquid media (16 g/L salinity f/2 + 50 μg/mL zeocin) and grown for 3-4 days at 100 μmol
photons m^{-2} s^{-1} of white light.
After identification of positive candidates, the isolation and screening procedure was repeated 1-3
times to obtain pure monoclonal cultures. We confirmed the mutation in both alleles and the
monoclonality of the colonies using TOPO-TA cloning (ThermoFisher Scientific Inc., Germany)
followed by sequencing of the individual alleles. Mutated clones with a KO indel on both alleles
were selected for experiments. Additional information is available in **SI Appendix, Text S1**.
KO mutants were maintained for several months at 16 °C and 25 μmol photons m^{-2} s^{-1} of white light
with 16:8 h light:dark photoperiod on 16 g/L salinity f/2 agar plates without antibiotic selection, to
facilitate the expulsion of the CRISPR/Cas9 episome. In addition, prolonged cultivation of
transgenic lines was necessary to achieve a stable phenotype before performing experiments, as
it is known that in *P. tricornutum* the effects of mutations can be alleviated via compensatory
mechanisms such as upregulation of other genes (87). All KO strains generated for this study were
later cryopreserved according to (88).

Complementation of mutant lines. For each targeted gene (*VDE*, *ZEP2*, and *ZEP3*) we created
a modified pPTbsr vector containing the whole length wt gene (amplified from gDNA) with predicted
endogenous promoter and terminator to achieve wt-like gene expression. To avoid targeting of the
newly inserted sequence, synonymous mutations were introduced at the site of the protospacer
adjacent motif in *ZEP2* and *ZEP3*, while a selected episome-free *vde* mutant strain was used for
complementation with *VDE*. Biolistic transformation was performed according to (89).
Transformants were grown on selection plates (8 g/L salinity f/2 agar + 4 μg/mL blasticidin-S) for
1-3 weeks at 20°C with 100 μmol photons m^{-2} s^{-1} of white light. DNA of selected clones was
extracted as described in (35) and PCR was performed with ALLin™ Mega HiFi DNA Polymerase
(highQu GmbH, Germany). PCR products were sequenced (Microsynth, Balgach, Switzerland) and
clones showing the insertion of the complete target gene were selected. Primers are listed in **SI**
Appendix, Table S4. Complementation lines were then cryopreserved according to (88).

PCR genotyping. In absence of a commercial antibody for our target proteins, PCR genotyping
with high discrimination polymerase (HiDi, MyPols, Germany) was performed to confirm the purity
of all selected lines prior experiments. gDNA was extracted with the same protocol described for
transformants screening (35) and amplification was performed according to manufacturer's
instructions (with addition of 1 mM $MgCl_2$), using allele-discriminating primers flanking the targeting
loci and binding specifically in each line (KO, wt and complemented). Two different primer pairs
were used to confirm the presence of both mutated alleles (allele 1 and allele 2) in the KO lines.
Primers are listed in **SI Appendix, Table S1**. PCR products were run on 1% or 2% TAE-agarose

gels with 1000 bp or 50 bp GeneRuler (ThermoFisher Scientific Inc., Germany) depending on

product size. All gels were stained with ROTI GelStain (Roth Gmbh, Germany). Additional

information is available in **SI Appendix, Text S1**.

Culture conditions. All experimental strains were maintained in a temperature-controlled growth

chamber at 18°C in shaking batch cultures (120-150 rpm). Standard f/2 medium (90) was prepared

with 16 g/L Tropic Marine CLASSIC sea salt (Dr. Biener GmbH, Germany) adjusted to 7.5 pH prior

autoclaving. Continuous low light (LL, 30-50 $\mu mol_{photons}$ m^{-2} s^{-1}), measured in culture flask filled with

f/2 medium with a ULM-500 light meter with spherical sensor (Heinz Walz GmbH, Germany), was

supplied with L 18W/954 LUMILUX® DELUXE Daylight tubes (OSRAM GmbH, Germany).

About one week prior to each experiment, cultures were acclimated to semi-chemostatic conditions

at 18 °C and continuous LL. For the whole experiment, cultures were maintained at 1-2*10^6 cells/mL

in semi-chemostat by daily dilution with fresh medium based on cell counts (Multisizer 4e coulter

counter, BECKMAN COULTER, USA).

Experimental setup. Semi-chemostat cultures acclimated to LL were treated for 5 consecutive

days with 6 h of high light (HL, 1000-1200 μmol photons m^{-2} s^{-1} measured in f/2 medium,

corresponding to ~700 μmol photons m^{-2} s^{-1} in air as used by (31), supplied by HO 80W/865

LUMILUX® Cool Daylight, OSRAM GmbH, Germany), followed by 18 h of LL. For pigments

analysis, samples were harvested before (LL control) and after 5 days of HL:LL treatment. At the

fifth day, cultures were sampled before the onset of the 6 h HL phase (0 h), after 6 h of HL and

during recovery in LL (5 and 30 min). Samples (5 mL) were vacuum filtered (ISOPORE^{TM} 1.2 μm

PC membrane filters, 25 mm), flash-frozen in liquid nitrogen and stored at -80 °C for later analysis

with HPLC.

To investigate the correlation between the kinetics of xanthophyll cycling and NPQ, the experiment

was repeated on *zep* mutants and wt. This time, pigment analysis was coupled with fluorescence

measurements: at the fifth day of HL:LL treatment, chlorophyll fluorescence was measured, using

an IMAGING-PAM fluorometer (IMAG-C model with IMAG-K camera, Heinz Walz GmbH,

Germany), immediately before the 6 h HL phase (0 h), after 6 h of HL and in the following LL phase

after 1, 3, 5, 7.5, 10, 15 and 30 min. Samples (3 mL) were collected from the shaking Erlenmeyer

flasks and immediately transferred into a 24-well plate that was positioned below the IMAGING-

PAM, but still received the same experimental light (LL or HL) as the Erlenmeyer flasks during the

measurement. A saturating pulse of blue light (max intensity: 3000 μmol photons m^{-2} s^{-1}; width: 800

ms) was applied to measure Fm/Fm'. Simultaneously, samples (5 mL) were collected from the

same Erlenmeyer flasks for pigment analysis and preserved as described above. This approach

allowed to determine a precise pigment-NPQ correlation under our experimental conditions. NPQ

(Fm-Fm'/Fm') was derived defining Fm obtained at the first LL pulse (0 h) (91). To isolate its effect

from the photoinhibition-related quenching (qI), qE (i.e., the rapidly relaxing component of NPQ,

also indicated as qZ in diatoms) was calculated as Fm''-Fm'/Fm', where Fm'' corresponds to the

maximum fluorescence at the end of the recovery phase (30 min) (20). Similarly, corresponding Δs

for xanthophyll cycle pigments were calculated as [p]-[p]'', where [p] corresponds to the pigment

concentration at a given time point, and [p]'' at the end of the recovery phase (30 min).

Pigment analysis (HPLC). Pigments extraction was performed as in (16). Samples (80 µL) were

separated on a NUCLEOSIL® C18 column (EC 250/4, 300-5, MACHEREY-NAGEL GmbH,

Germany) in a LaChrome Elite HPLC equipped with an L-2130 pump module, L-2455 diode array

detector, and L-2200 autosampler (VWR International, Germany). Elution was performed at 0.8

mL/min flow rate using a three-phase gradient consisting of eluent A (85% methanol, 15% 0.5M

ammonium acetate in water), B (90% acetonitrile, 10% water), and C (100% ethyl acetate) following

the protocol established by (92). Classical diatom pigments were identified from known absorption

spectra and retention times (93) and quantified using the integrated absorption peak area at 440

nm and previously determined conversion factors. Retention times and λ_{max} for each pigment and

representative chromatograms for each mutant are reported in **SI Appendix, Table S2, Fig. S5**.

For Vx cycle pigments, preliminary identification was further confirmed via spiking with pure

crystalline standards (DHI group, Hørsholm, Denmark). If detected, content of chlorophyllide *a* was

always summed to that of chlorophyll *a*. Preliminary data assessment was performed with

EZChrome *Elite* (Agilent, USA) and OpenChrome (Lablicate Gmbh, Germany) (94).

Transient expression of *P. tricornutum ZEP2* and *ZEP3* in a ZEP-deficient tobacco mutant.

Full-length *ZEP2* and *ZEP3* from *P. tricornutum* strain UTEX 646 were PCR-amplified from cDNA

using Phusion High-Fidelity DNA Polymerase (Thermo Scientific, Carlsbad, USA) and subcloned

into the pGEM-T® Easy Vector (Promega, Mannheim, Germany). PCR-related mutations were

excluded by confirming that sequences of the cloned genes were identical to sequencing results

from PCR products. For generation of plasmids for *Agrobacterium*-mediated tobacco

transformation, the gene fragments encoding the mature proteins were PCR amplified, subcloned

into pGEM®-T Easy, excised with AvrII and PacI and ligated into the AvrII and PacI sites of plasmid

pPZPbar-tp$_{AtZEP}$-AtZEP (95), thereby replacing the ZEP gene from *Arabidopsis thaliana* and

yielding plasmids pPZPbar-tp$_{AtZEP}$-PtZEP2 and pPZPbar-tp$_{AtZEP}$-PtZEP3. Growth of the ZEP-

deficient *aba2* mutant of tobacco *N. plumbaginifolia* (Marin et al., 1996), the *Agrobacterium*-

mediated transformation of tobacco leaves with the pPZPbar constructs of *AtZEP*, *PtZEP2* and

PtZEP3, sampling and pigment analysis of lyophilized tobacco leaf discs was performed as

described before (95). All analyses were done at least in triplicate from independent transformants,

with the HPLC chromatograms showing representative results.

Data analysis and statistics. DNA and protein sequences were analyzed with Geneious 9.1

(Biomatters, New Zealand, 2016). Analysis of pigment and chlorophyll *a* fluorescence data was

performed with R 4.2.1 (R foundation for statistical computing, Vienna, Austria). Multiple

comparison t-tests were performed to test differences in average pigment content and NPQ

between mutant lines and wt at different time points; adjusted p-values (Holm correction for multiple

comparisons) were used to evaluate statistical significance. Normality was evaluated prior with a

combination of graphical methods (Q-Q plot, residual analysis) and Shapiro-Wilk test. For

qE/Δpigment correlations, linear regressions were modeled with a standard linear model function.

Model fit was evaluated with graphical methods (Q-Q plot, residual analysis) and R^2. Finally,

xanthophyll cycle kinetics were manually fitted in Microsoft Excel using first order kinetics equations

(96); for each time point, molar ratios of pigment/chlorophyll *a* were normalized to the total pool

size of each xanthophyll cycle individually.

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Data and strains availability statement

503 Mutant algal strains are cryopreserved in the laboratory of Prof. Peter Kroth (Department of Biology,

504 University of Konstanz, 78457 Konstanz, Germany) and are available upon request. Additional

505 queries can be addressed to the corresponding authors.

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Figures

Fig. 1. Content of Dd and Vx cycle pigments in wt, *vde KO*, *zep2 KO* and *zep3 KO* after 5 days of HL:LL regime (average ± sd, n=3). Pigment content is expressed as pigment:chlorophyll *a* ratio (mol/mol). The 6 h of HL phase and 30 min of recovery phase (LL) are separated with a dashed line. On the x-axis, recovery phase (from 6 to 6:30 h) was artificially enlarged to allow better visualization; axis break is indicated by a double dash (//). (*A*) diadinoxanthin; (*B*) diatoxanthin; (*C*) violaxanthin; (*D*) antheraxanthin; (*E*) zeaxanthin. Epoxy groups are highlighted with a red rectangle. Statistical significance marks indicate significant differences between the corresponding mutant line and wt at each time point, according to adjusted p-value of multiple comparison t-test (*: $p < 0.05$; **: $p < 0.005$).

Fig. 2. Total pools of Dd and Vx cycle pigments in wt, *vde KO*, *zep2 KO* and *zep3 KO* after 5 days of HL:LL regime (average ± sd, n=3). Pigment content is expressed as pigment:chlorophyll *a* ratio (mol/mol). The 6 h of HL phase and 30 min of recovery phase (LL) are separated with a dashed line. On the x-axis, recovery phase (from 6 to 6:30 h) was artificially enlarged to allow better visualization; axis break is indicated by a double dash (*//*). (*A*) total pool of Dd cycle pigments (diadinoxanthin + diatoxanthin); (*B*) total pool of Vx cycle pigments (violaxanthin + antheraxanthin + zeaxanthin); (*C*) sum of all Dd and Vx cycle pigments; (*D*) total pool of epoxidized pigments across different xanthophyll cycles (diadinoxanthin + violaxanthin + antheraxanthin); (*E*) total pool of de-epoxidized pigments across different xanthophyll cycles (diatoxanthin + zeaxanthin). Statistical significance marks indicate significant differences between the corresponding mutant line and wt at each time point, according to adjusted p-value of multiple comparison t-test (*: p < 0.05 ; **: p < 0.005).

Fig. 3. Pigment content of Dd and Vx cycle pigments coupled with NPQ analysis in wt and *zep2 KO* after 5 days of HL:LL regime (average ± sd, n=3). Pigment content is expressed as pigment:chlorophyll *a* ratio (mol/mol). The 6 h of HL phase and 30 min of recovery phase (LL) are separated with a dashed line. On the x-axis, recovery phase (from 6 to 6:30 h) was artificially enlarged to allow better visualization; axis break is indicated by a double dash (*//*). (*A*) diadinoxanthin; (*B*) diatoxanthin; (*C*) violaxanthin; (*D*) antheraxanthin; (*E*) zeaxanthin; (*F*) NPQ. Statistical significance marks indicate significant differences between the corresponding mutant line and wt at each time point, according to adjusted p-value of multiple comparison t-test (*: p < 0.05 ; **: p < 0.005).

C	Dt → Dd	Zx → Ax	Ax → Vx	Ratios					
	k_T [min^{-1}]	k_Z [min^{-1}]	k_A [min^{-1}]	k_T/k_Z	k_Z/k_T	k_T/k_A	k_A/k_T	k_Z/k_A	k_A/k_Z
wt	0.310 ± 0.017	0.280 ± 0.035	0.167 ± 0.076	1.11 ± 0.08	0.90 ± 0.06	2.10 ± 0.81	0.53 ± 0.22	1.87 ± 0.61	0.58 ± 0.22
zep2 KO	0.193 ± 0.012	0.237 ± 0.015	0.058 ± 0.003	0.82 ± 0.02	1.22 ± 0.03	3.31 ± 0.03	0.30 ± 0.003	4.06 ± 0.10	0.25 ± 0.01

Fig. 4. First order kinetics of re-epoxidation of xanthophyll cycle pigments in wt and *zep2 KO* during 30 min of recovery phase after HL (average ± sd, n=3). (*A*) epoxidation of diadinoxanthin (Dd) to diatoxanthin (Dt); (*B*) epoxidation of zeaxanthin (Zx) via antheraxanthin (Ax) to violaxanthin (Vx); (*C*) corresponding rate constants of epoxidation.

Fig. 5. Correlation between qE and de-epoxidized pigments in wt and *zep2 KO* during recovery from 6 h of HL, on the 5th day of HL:LL exposure. Each point represents a separate measurement, repeated for 3 independent biological replicates. For each strain, different calculations of de-epoxidized pigment pool are displayed, with corresponding linear models and R^2. Dashed lines represent the linear model obtained when considering *zep2 KO* and wt as one unique population (combined); corresponding R^2 is displayed in the dashed text box at the bottom right corner of each facet. (*A*) diadinoxanthin only; (*B*) zeaxanthin only; (*C*) diatoxanthin and zeaxanthin; (*D*) diatoxanthin, zeaxanthin and ½ antheraxanthin; (*E*) diatoxanthin, zeaxanthin and antheraxanthin.

Fig. 6. Schematic models explaining the observed differences in xanthophyll accumulation between *zep2 KO*, *zep3 KO* and wt. Different panels show the state of each line at 6h of HL treatment (top) and after 30 min of recovery under LL (bottom). Colored arrows show preferential direction of xanthophyll cycles under HL (red) or LL (blue), numbers represent the relative concentration (xanthophyll:1000 chlorophyll *a*) of each xanthophyll cycle pigment at the corresponding time point. (*A*) Selective accumulation of Vx/Ax/Zx in *zep2 KO* could be explained by functional differences in the activity of ZEP2 and ZEP3. In this scenario, ZEP3 is selectively inactivated by HL while ZEP2 displays a lower but constitutive activity also under higher irradiances, thus causing lack of rapid Dt epoxidation in *zep3 KO* and accumulation of Vx/Ax/Zx in *zep2 KO*. (*B*) The two *zep* phenotypes could also result from the different localization of ZEP2 and ZEP3 in the chloroplast. In this model, ZEP2 is mostly located at the inner envelope, where it is responsible for the *de novo* synthesis of Zx and Vx. On the contrary, ZEP3 is confined mainly at the thylakoid membranes where it performs xanthophyll cycle-related Dt epoxidation.