1 **An ALS Assembly Modulator Signature in Peripheral Blood Mononuclear Cells: Implications for**

2 **ALS Pathophysiology, Therapeutics, and Diagnostics**

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Abstract

Assembly modulators are a new class of allosteric site-targeted therapeutic small molecules,

some of which are effective at restoring nuclear localization of TDP-43 in ALS cellular models,

and which display efficacy in a variety of ALS animal models. These compounds have been

shown to bind selectively to a small subset of protein disulfide isomerase (PDI), a protein

implicated in ALS pathophysiology. The targeted subset of PDI is found within a novel, transient

and energy-dependent multi-protein complex that includes other important members of the

ALS interactome, such as TDP-43, RanGTPase, and selective autophagy receptor p62/SQSTM1.

We demonstrate here that a similar multi-protein complex drug target is present in PBMCs as

isolated by energy-dependent drug resin affinity chromatography (eDRAC) and characterized by

mass spectrometry and by Western blot (WB). Signature alterations in the composition of the

multi-protein complex in PBMCs from ALS patients compared to PBMCs from healthy individuals

were identified by WB of eDRAC free drug eluates, thereby extending earlier literature

suggesting PBMC dysfunction in ALS. Changes in the PBMC drug target in ALS patients compared

to healthy individuals include diminished p62/SQSTM1 and appearance of a 17kDa post-

translationally modified form of RanGTPase. These changes are not readily apparent from

analysis of whole cell extracts, as the individual protein components within the drug target

multi-protein complex comprise only miniscule percentages of the total of the component

proteins in the extract. Furthermore, whole blood from ALS patients shows a distinctive

degradation of RanGTPase not observed in blood from healthy individuals. This degradation

appears to be rescued by treatment of whole blood from ALS patients for 72 hrs with ALS-active

assembly modulator small molecules. Our findings are consistent with the hypothesis that ALS is

fundamentally a disorder of homeostasis that can be detected early, prior to disability, in blood

and restored to the healthy state by assembly modulator treatment.

Introduction

Amyotrophic Lateral Sclerosis (ALS) is a devastating neurodegenerative disease that

strikes approximately 6,000 American and 150,000 people worldwide annually[1,2]. Approximately

10% of ALS is familial, with a large number of specific gene mutations implicated. The vast

majority of ALS cases however, are sporadic, meaning that that there is no family history of the

disease and therefore seems likely due to an environmental cause, perhaps with epigenetic

influence[3]. Specific environmental factors have been implicated in causing ALS, but remain

controversial and inconclusive[4]. While the pathophysiology of ALS is not well understood,

aggregates of the protein TDP-43, independently implicated in the HIV life cycle[5], are almost

invariably found in the brains of patients with ALS and fronto-temporal dementia (FTD)[6].

Despite this commonality, the clinical presentation as well as progression of ALS, is highly

variable[7].

With regards to TDP-43 pathophysiology, controversy exists as to the triggering event.

Some studies have implicated mislocalization of TDP-43 from the nucleus in healthy cells to the

cytoplasm, which is observed to variable degrees in fibroblasts from many, but not all, cases of

ALS[8]. An alternative view is that, in response to stress, TDP-43 forms aggregates in the

cytoplasm where it is synthesized, prior to transport to the nucleus[9]. A further controversy

exists as to whether the relevant TDP-43 aggregates in the cytoplasm are within, or outside of,

stress granules[10]. While the TDP-43 aggregates have generally been viewed as a trigger of motor

neuron death, it is possible that they are an epiphenomenon whose elimination *per se* may not

necessarily be therapeutic, by analogy to the failed attempts to remedy Alzheimer's Disease by

elimination of Aβ aggregates[11]. Regardless of their natural history and role in the

pathophysiology of ALS, the presence of TDP-43 aggregates are pathognomonic for the

disease[12,13].

Recently we presented our studies on the role of a newly appreciated dimension of gene

expression, termed protein assembly, in the pathophysiology of diverse diseases including

ALS[14,15,16]. These compounds were found by a novel method in which viruses were used as

"trufflehounds" to reveal drug targets inaccessible by genomic or conventional proteomics[15,16].

We have identified several structurally unrelated small molecule chemotypes by virtue of their

ability to modulate host machinery catalytic for viral capsid formation, that we term protein

assembly modulators. These compounds are strikingly efficacious both for the specific viral

disease by which they were identified and also for a subset of non-viral diseases. Among the

protein assembly modulators with activity against HIV, are a subset that prevent ALS-associated

neurodegeneration and its correlates in various cellular and animal models of ALS[14]. The

compounds are broadly effective at reversing both TDP-43 mislocalization and stress-induced

TDP-43 aggregation, in both familial and sporadic ALS and fronto-temporal dementia (FTD)

cellular models. Efficacy has also been demonstrated in various transgenic animals expressing

human ALS-causing mutations including in TDP-43, FUS, c9orf72, and SOD1, by a variety of

assays[14]. In view of this breadth of efficacy, we hypothesized the drug target to be a step

common to most, if not all, ALS and FTD. Advanced analogs of an ALS-selective active subseries

of one of these chemotypes are close to completion of a target product profile (TPP), prior to

initiation of investigational new drug (IND)-enabling studies in anticipation of human clinical

trials in the near future.

The studies to be described here had several motivations. First, to explore the

hypothesis that the biochemical pathways involved in ALS pathophysiology may involve layers of

regulation yet to be discovered[17,18], in which the novel multi-protein complex targets of these

compounds might be involved. How those levels of control are integrated with one another,

and are communicated from one organ system to others, remain largely unknown. It may be

that the novel assembly modulator targets we have discovered are potential candidates for

involvement in such regulation. By corollary, what happens to other feedback controls when

one level of regulation fails, remains to be determined. The argument has been made based on

gene knockout experiments that more ancient back up pathways may be activated when

primary regulatory controls fail[19,20]. Having a drug that impinges on a biochemical pathway in a

novel way is a powerful means of probing biological regulation. Much of this regulation depends

on information that does not reside simply in the sequence of the genome[18,20,21]. Thus the

relevance of the compounds we describe, with their unconventional multi-protein complex

target (energy-dependent for formation, transient in existence, composed of miniscule subsets

of the individual component proteins in the cell), and novel mechanism of action at allosteric

sites[22,23 24,25]. These tools may provide a new understanding of the molecular basis for

homeostasis, and its dysregulation, as exemplified in ALS.

A second motivation for the present studies was to understand the meaning of the

observed dysfunction of PBMCs in ALS[26,27]. These findings can be interpreted in very different

ways. On the one hand, PBMC dysfunction might be due to ALS being a systemic disease whose

most severe manifestation is motor neuron death, but which also manifests in less dramatic

fashion in other organ systems, including in blood. Alternatively, the signature observed in

PBMCs might reflect a role of blood, which sees essentially every cell in the body on a regular

basis, spreading the message that there is a disorder affecting a particular cell type, in this case,

motor neurons. Yet another possibility is that the disorder affecting one organ system (motor

neurons) could have a "domino effect" manifesting in other organ systems (PBMCs), e.g. as a

secondary consequence of feedback regulation intended to monitor and maintain

homeostasis[17,28]. Prion-type spread of various diseases may be an example of such disordered

communication[28–30]. Towards this end, we asked whether assembly modulator drugs might also

detect a version of the disordered drug target in PBMCs from ALS patients.

Finally, apart from intellectually interesting questions of feedback and mechanism of

action[17,28,30], there is a practical desire to advance these compounds to the clinic, given their

remarkable therapeutic properties in cellular and animal models of ALS[31]. Having a readily

accessible signature of ALS in PBMCs would facilitate ALS drug advancement in innumerable

ways, most importantly by making possible early detection of ALS (prior to severe disability).

Compounds designed to restore homeostasis would be expected to be most effective when

administered early, before motor neuron death. Or put another way, treatments that restore

homeostasis would be expected to be very different from those needed to regrow and replace

cells that have died. A signature in PBMCs would also provide a biomarker for easy assessment

of therapeutic efficacy and when therapy could be stopped or might need to be restarted.

Results

Blinded blood samples were obtained from ALS patients and healthy individuals, under

an IRB-approved protocol. The PBMCs were isolated, and extracts prepared as described in

methods, and analyzed for key proteins relevant to ALS. Differences observed in the protein

pattern between PBMCs of healthy and ALS patients, by total protein silver stain were subtle

(see for example, **Figure 1A**).

However when PBMC extracts were applied to the ALS-active drug resin described

previously[31] versus control resin (lacking the drug ligand), washed and bound material analyzed,

a distinctive subset of proteins was observed, also largely shared between healthy individuals

and ALS patients, but with a few possible differences (black arrows in **Figure 1B**).

Previous studies of assembly modulator small molecules[15,16] have shown that the

proteins comprising the multi-protein complex drug target represent single digit percent of the

total of those proteins in the starting extract. As expected therefore, the depleted extracts differ

very subtly from the starting material, as demonstrated for PBMC DRAC flow through here (see

Supplemental Figure 1).

Figure 1

A   **B**

Total PBMC extract   **eDRAC bound proteins**

Lane #	1	2	3	4
PBMC#52(Healthy)	+	+	-	-
PBMC#78(ALS)	-	-	+	+
Col.455(THIQ)	-	+	+	-
Col.134(ctrl)	+	-	-	+

Legend to Figure 1. A. Silver stain of starting PBMC extract 10kxg/10min supernatant from a representative healthy

individual (#52) and an ALS patient (#78-2). B. Silver stain of free drug eluate from ALS-active assembly modulator

drug resin (column 455 THIQ) or control resin (column 134 lacking the drug ligand). Black arrows indicate positions

at which healthy vs ALS patient samples show a suggestive difference in the free drug eluate protein pattern.

Mass spectrometry analysis of free drug eluates from PBMCs applied to the ALS-active drug

resin (**Figure 2**) was carried out and compared to the pattern previous revealed from mouse

brain (MoBr). A substantial proportion of tissue-specific proteins (~1/2 to 2/3 depending on the

specific sample) were observed (see **Supplemental Figure 2**). Despite the high tissue specificity,

a core set of 33 proteins shared between both PBMCs and brain were of interest. Of these, 26

were identified as members of the ALS protein interactome. Notably, this set included P4HB, a

member of the PDI family, two known ALS-causing proteins (TUBA4A and VCP)[32], along with

proteins implicated in the KEGG ALS interactome[33] (ATP5A1, HSPA5, TUBA1A, TUBA4A, and

VCP). Furthermore, 24 of these 33 proteins exhibited high-confidence interactions with known

ALS-causing or disease-modifying proteins[32]. The 26 shared ALS interactome proteins also

included multiple members of several protein families and complexes: two ATP synthase

subunits (ATP5A1 and ATP6V1A), six chaperonins containing T-complex components (CCT2,

CCT3, CCT4, CCT5, CCT7, and CCT8), three thioredoxin domain proteins (P4HB, PRDX1, and

PRDX2), and four members of the 14-3-3 family (YWHAB, YWHAE, YWHAH, and YWHAQ) (**Figure

2A**).

MCL clustering analysis was performed on the 33 shared proteins using the STRING

database, which integrates information from various databases cataloging known and predicted

protein-protein interactions. The analysis generated groups called "clusters," characterized by a

higher density of predicted associations within the clusters compared to those between

clusters. Notably, 31 out of the 33 proteins formed a single, highly interconnected cluster,

suggesting potential functional relationships between them. Two proteins, VAT1 and ALDH1A1,

were excluded from this cluster, as there are no predicted interactions between these proteins

and the other 31 proteins (**Figure 2B**). These findings are summarized in **Figure 2C.**

Figure 2

Legend to Figure 2. A**.** Spectral counts for proteins identified in THIQ drug resin eluate from MoBr and

human PBMC extracts. Colors represent the number of spectral counts for a given protein in each

sample, ranging from light green (low counts) to dark green (high counts). The right panel indicates "hits"

for proteins present in the ALS interactome, where yellow is a hit. B. STRING database protein-protein

interaction network with MCL clustering. Each circle ("node") represents a protein, and each line

("edge") represents a predicted interaction between two nodes. Different colors represent distinct

clusters identified by the MCL algorithm, with red indicating the largest cluster and yellow and green

representing clusters containing only a single protein. C. ALS interactome proteins identified by MS-MS

are shown in venn diagram. Causative and disease modifier proteins are in **bold**.

From the MS-MS analysis it was clear that the multi-protein complex isolated from

PBMCs on the ALS-active drug resin appears related to that observed in MoBr, based on the 27

shared proteins identified that are in the ALS protein interactome observed from both sources.

However no clear differences between healthy and ALS patients were observed by MS-MS.

Recognizing that MS-MS analysis as carried out here can fail to identify specific proteins

for a number of reasons, including covalent post-translational modifications (PTMs) that alter

peptide mass, or result in failure of peptide cleavage with trypsin and/or ionization, we analyzed

the DRAC bound material by WB to identify particular proteins of interest. WB has its own

limitations, partially but not completely overlapping with those of MS-MS. A shared limitation

with MS-MS is that a covalent post-translational can mask an epitope making it undetectable by

an epitope-specific antibody. Nevertheless, if a difference between healthy and ALS patients in a

protein within the drug resin-bound target complex were identified either by WB or MS-MS, it

could serve as a signature of the disease. From WB of the DRAC bound material two proteins

were strikingly different between most healthy individuals studied and most ALS patients. First,

p62/SQSTM1, a known regulator of autophagy, an important host defensive mechanism, was

greatly diminished in ALS patient PBMC DRAC free drug eluates compared to that from most

healthy individuals. Conversely, a 17kDa post-translationally modified fragment of RanGTPase

was detected in ALS patients, but not in most healthy individuals, in DRAC free drug eluates. A

representative example is shown in Figure 3.

Legend to Figure 3. PBMC extracts were prepared as described in methods and applied to drug resin versus control

resin, washed and bound proteins analyzed by SDS-PAGE transferred to 0.2u PVDF membrane and WB for the

indicated proteins (below) with quantitation of bands using Image J software (above). Shown is DRAC bound

material from a healthy control (#52) and a sporadic ALS patient (#78) analyzed by WB for p62/SQSTM1,

RanGTPase, and PDI. The highest value in each healthy/ALS graph pair is normalized to 100% for proportional

comparison to the lower value.

Out of 13 ALS patients and 6 healthy controls assessed in a blinded fashion, all 13 ALS

patients had a strikingly low values for p62 in the drug resin bound material. Of the 6 healthy

controls, 2 were low. For Ran 17 kDa fragment, all 13 ALS patients had a lower value than the

parallel reference healthy control. Among the 6 healthy controls, the two with low p62 also had

high Ran 17 kDa fragment in the eDRAC bound material. In contrast to striking loss of p62 and

appearance of RanGTPase 17kDa fragment, only a modest difference in the direct drug-binding

protein, PDI, was observed between ALS patients and healthy controls.

Thus, taken together, the eDRAC signatures observed appear to have a sensitivity

approaching 100% and a specificity of approximately 66% for ALS, albeit from a modest sample

size. Further studies are needed to understand how these differences come about and what

their significance is to the underlying pathophysiology of ALS. Nevertheless, together with the

clinical picture, they suggest a basis for early detection of ALS using as an affinity ligand, a small

molecule that is therapeutic for the disease in a diversity of animal models studied[14]. Table 1

summarizes these findings together with key demographic features of the individuals studied.

	% p62	% 17kDa Ran Fragment	sex	age at onset	age dx	age at presentation	date of death	disease course	sample date (mo/day/yr)
#52 (healthy)	100 (650)	1 (2)	F			41			7/31/18
#40 (ALS)	71 (462)	5 (12)	M	68	71	72	N/A	LMN predominent - early bulbar/trach slow, progressive course	1/24/19
#50 (ALS)	30 (193)	12 (32)	M	46	47	59	10/21/22	Generalized slowly progressive disease	7/31/18 1/24/19
#51 (ALS)	64 (419)	8 (22)	M	38	39	40	3/1/23	UMN predom generalized disease with bulbar compromise	7/31/18
#68 (ALS)	3 (16)	100 (261)	F	78	79	79	N/A	primary bulbar presentation with mostly UMN features	2/19/19
#54 (ALS)	6 (41)	76 (197)	M	51	52	52	2/5/19	rapidly progressive, bulbar involving disease	8/7/18
#55 (healthy)	10 (63)	86 (224)	F			55			
#52 (healthy)	100 (645)	0 (0)	F			41			7/31/18
#45 (ALS)	13 (64)	61 (63)	M	42	43	43	N/A		5/22/18 2/28/19
#78 (ALS)	6 (35)	100 (103)	F	45	46	46	10/7/20	LMN predom, generalized disease	5/28/19 7/18/19
#52 (healthy)	125 (700)	8 (8)	F			41			7/31/18
#87 (healthy)	126 (706)	5 (5)	M			36			12/19/19
#52 (healthy)	100 (710)	0 (0)	F			41			7/31/18
#43 (ALS)	12 (85)	100 (44)	F	68	71	72	12/19/18	UMN predominent; generalized disesease with significant bulbar compromise	12/19/18
#44 (healthy)	15 (105)	27 (12)	F			74			
#46 (ALS)	54 (385)	11 (5)	M	53	54	54	N/A	bulbar onset then generalized disease	5/23/18
#47 (healthy)	56 (400)	7 (3)	M			58			
#53 (ALS)	0 (0)	68 (30)	F	67	68	71	2/12/19	limb onset generalized disease, possible concurrent Parkinson's disease	7/31/18
#26 (healthy)	100 (558)	0 (0)	M			64			1/22/18
#34 (ALS)	1 (3)	7 (2)	M	40	42	42	N/A	monomelic prewesntation VERY slow LMN generalization, bulbar sparing	2/15/18
#69 (ALS)	2 (13)	100 (24)	M	80	82	82	5/8/21	LMN predominant, generalized disease	2/19/19
#70 (ALS)	13 (71)	7 (2)	M	42	42	43	N/A	generalized progressive disease	2/28/19

Legend to Table 1. 13 ALS patients and 6 healthy controls were analyzed over 4 separate experiments and the data

tabulated, together with key demographic and clinical history information. For each experiment the highest value

p62 was set at 100% and the others scaled proportionally. Likewise, in each experiment the highest value 17kDa

Ran fragment was set at 100% and the others scaled proportionally. The quantified WB band value is also shown in

parentheses. Healthy individuals are noted in green, and ALS patients in red, except for the two healthy individuals

displaying the ALS phenotype, whose values are in black.

Next, photocrosslinking was carried out with PBMC extracts to which was added a drug

analog in which the attachment to resin was replaced by attachment to a biotin and diazirine

moiety such that exposure to UV light results in a covalent linkage to the drug binding

protein(s). Under native conditions associated proteins of the entire multi-protein complex can

be precipitated with streptavidin beads. If the sample is first denatured, then only the direct

drug-binding protein will be precipitated with streptavidin beads under the conditions

described. Figure 4 demonstrates that PDI is the direct drug-binding protein. It should be noted

that P4HB found by MS-MS is the gene name for a member of the PDI family of proteins.

Legend to Figure 4. Quantitation of PDI in streptavidin precipitates under native vs denatured

conditions after photocrosslinking with PAV-073 analog (THIQ) or control crosslinker lacking the

drug ligand. Both control and THIQ crosslinkers contain biotin and diazirine as described in

methods and previously[31].

We then assessed whether the ALS patient vs healthy control correlations involving p62

and Ran 17kDa fragment, as shown in Figure 3 and Table 1, were accentuated over time with

progression of ALS. As can be seen in Figure 5, with progression of disease, the amount of PBMC

p62 bound to the resin, which is much higher in healthy individuals (panel A), diminishes with

advancement of ALS. In parallel, the amount of the RanGTPase 17kDa fragment, which is

missing in PBMCs from healthy individuals, increases with ALS disease progression.

Notably, the diagnosis of ALS was made in pt 78 very early in her disease progression.

She was able to drive herself to the clinic and walk in with a cane, at the time that the initial

blood sample was taken, which nevertheless revealed the dual signatures of falling p62 and

appearance of Ran 17kDa fragment, in contrast to parallel analysis of a healthy control. As will

be seen these features appear to be exacerbated with disease progression.

Figure 5.

Legend to Figure 5. PBMC extracts were prepared as previously, applied to drug resins and bound proteins analyzed by WB for specific proteins of interest. Bands corresponding to the correct molecular weights were quantified. Blood samples from three ALS patients were assessed over time for both p62 and RanGTPase 17kDa fragment bound to DRAC. Timing of blood draws were 6 weeks apart for patient 78, 6 months apart for patient 50, and 9 months apart for patient 45.

If, as we suggest, ALS represents a breakdown in homeostasis where protective

mechanisms to reboot a cellular operating system are ineffective, certain predictions follow. For

one, there may be a backup mechanism activated in an attempt to correct the failure of the first

line of defense, although by definition, in patients who progress to ALS, that backup has failed

to correct the problem. By analogy, when your computer is frozen (with appearance of the

"colored wheel of doom"), simply attempting to undo the key stroke that caused it to freeze will

not be effective. Instead, it is necessary to reboot the operating system. Perhaps assembly

modulators provide precisely such a biochemical reboot of the cellular operating system. In

view of the distinctive ALS-associated appearance of a 17kDa fragment of RanGTPase, as noted

in Figure 5 above, degradation of RanGTPase, seemed like a plausible backup feedback

response. This backup feedback response would be engaged if less draconian feedback methods

failed.

A second prediction is that if, as we hypothesize, assembly modulation is a fundamental

mechanism of regulation of gene expression, and its activation by these compounds is

therapeutic for ALS, treatment of whole blood from ALS patients with the drugs should result in

cessation of the backup RanGTPase degradation feedback loop, upon "reboot" of homeostatic

control.

To test these hypotheses, we took blinded blood samples from ALS patients and healthy

controls and treated the whole blood with two different, structurally unrelated ALS therapeutic

assembly modulator compounds versus vehicle control (DMSO), and assess the impact after

72hrs of incubation (Figure 6). In some samples, RanGTPase was observed to be stable over the

course of a 1 hour incubation. These proved to be the healthy controls, when unblinded.

However in blood from all of the ALS patients, the levels of RanGTPase after the 1hr incubation

were greatly diminished, consistent with what would be expected for degradation of all

RanGTPase as an extreme feedback response to the progression of ALS (Figure 6). Upon

treatment with either of advanced analogs of two ALS assembly modulator chemotypes (called

073 and 667) for 72hrs, a striking diminution of RanGTPase degradation on subsequent 1hr

extract incubation was observed, with no change in the level of RanGTPase observed in healthy

subjects.

Figure 6

Legend to Figure 6. 10mls of whole blood from ALS patients or healthy controls was collected under an

IRB-approved protocol and shipped overnight at room temperature in EDTA-anticoagulated (purple top)

tubes without any patient-specific confidential information. An aliquot of whole blood was taken,

incubated in 1% SDS for one hour at 37°C, prepared for SDS-PAGE, and set aside. Another aliquot of

whole blood was mixed 1:1 with glucose-supplemented MEM and incubated for 72hrs at 37°C in the

presence of vehicle or protein assembly modulator drugs 073 and 667 , at the end of which samples

were processed as previously described, and analyzed by SDS-PAGE. Black bars refer to the sample

for SDS-PAGE.

Table 2

Analog representative of chemotype	Molecular weight (g/mol)	log P	H-bond donors	H-bond acceptors	Topological polar surface area (Å²)	Tanimoto similarity coefficient
PAV-073	443.543	4.8	1	5	49	<20%
PAV-667	441.915	6.4	0	7	73.7	<20%

Legend to Table 2. Two structurally unrelated (Tanimoto similarity coefficient <20 %) assembly modulator chemotypes used in the experiments shown in Figure 6 are compared for drug-like properties.

Discussion

The studies presented here demonstrate the presence, by energy-dependent DRAC and

photocrosslinking, of a multi-protein complex in PBMCs that is related to that observed

previously by the same method in Tg ALS SOD G93A model MoBr and ALS patient-derived

fibroblasts (PDFs) that display both TDP-43 mislocalization and stress-induced agregation[14]. As

observed previously for the drug target in MoBr and PDFs, the multi-protein complex drug

target in PBMCs has a small subset of PDI as the direct drug-binding protein. The complex

present in ALS patient PBMCs also shares with the target in MoBr the presence of 26 proteins

implicated in the ALS interactome (Figure 2C). The precise role of this multi-protein complex

drug target in ALS pathophysiology remains to be determined. However, based on the efficacy

of structurally unrelated ALS assembly modulators in various cellular and animal models, we

hypothesize that the drug target serves a role in maintenance of homeostasis, whose loss

results in ALS. The presence of p62 in the complex in PBMCs from healthy individuals, and its

striking diminution in ALS patient PBMCs, exacerbated with disease progression (Figure 5), are

consistent with this hypothesis.

Likewise, the appearance of a 17kDa post-translationally modified form of RanGTPase in

the drug target isolated from ALS patient PBMCs (Figure 3 and Table 1) suggests a complex

biology connected to TDP-43 mislocalization, in view of the crucial role of RanGTPase in nucleo-

cytoplasmic transport. These changes appear very early in ALS, as suggested by their detection

in patient #78 prior to onset of significant disability (Figure 4). In the three cases where a

second sample was assessed 6 weeks to 9 months after the first blood draw, both signatures

were exacerbated with disease progression. These signatures had a sensitivity of 100%,

detected in PBMCs of all 13 sporadic ALS patients studied, and a specificity of 66% for

distinguishing ALS patients from healthy individuals. While a larger number of cases will need to

be assessed, this early degree of discrimination, using as an affinity ligand a drug shown

therapeutically active in a diversity of animal models, is promising as a novel path to an ALS

biomarker and highly relevant to ALS therapeutics.

This target multi-protein complex is implicated in ALS for four reasons. First, the drug

that served as the ligand to bind and isolate the target is therapeutic in a wide range of ALS

cellular and animal models[31]. Second, the composition of the target multi-protein complex

includes a number of proteins of the ALS interactome, 26 of which are shared with the

corresponding complex in MoBr. Third, the isolated target from ALS patient PBMCs is altered in

protein composition compared to that from most healthy controls by two metrics: diminution of

p62/SQSTM1 and appearance of 17kDa fragment of Ran GTPase. Finally, separate from the

specific target in PBMCs, a profound degradation of total RanGTPase is observed in whole blood

from ALS patients but not in healthy controls, and is substantially rescued after 72hrs of

treatment with ALS-active assembly modulators.

We interpret these findings to suggest that ALS is, fundamentally, a disease of disrupted

homeostasis for which assembly modulators may be therapeutic. Looking to other therapeutic

areas, it is notable that the vast majority of individuals don't have cancer for most of their lives.

However, a small number will develop cancer, at least in part due to failure of homeostatic host

defenses. So also host defenses protect most of us most of the time from the consequences of

various central nervous system insults, including those causing ALS. Host defenses including

restoration of homeostasis, likely allow usually resolution of a CNS insult. Sometimes however,

those host defenses appear to fail. As a result, homeostasis is not restored, and progression to

motor neuron death is accelerated, culminating in ALS. Presumably more and more severe

feedback mechanisms are activated in the course of disease progression, in ongoing futile

attempts to restore homeostasis.

Autophagy, in part mediated by p62/SQSTM1, is an example of a biochemical reboot of

the cellular operating system. But what happens when the reboot fails, e.g. due to loss of p62

from the dysfunctional target? We propose the consequence, in a range of specific but relatively

rare circumstances, is ALS. This persistent deviation from homeostasis is a stress to which

motor neurons appear highly sensitive, and eventually, succumb. A drug capable of restoring

homeostasis is likely most effective before motor neurons have died, although perhaps synaptic

plasticity could synergize allowing some degree of recovery even later, once homeostasis has

been restored. Regardless, it is surely a truism of medicine that the earlier a disease is detected,

the more effective most therapeutic interventions are likely to be. Hence the importance of

early detection to enable early treatment, which the PBMC signatures noted here may provide.

The results of the whole blood experiments (Figure 6) are consistent with the hypothesis

that the degradation of total RanGTPase observed, is an extreme feedback response to the

inability more proximal feedback loops to restore the target complex with its small subset of

RanGTPase, back to the healthy state. Treatment with either of two structurally unrelated

assembly modulators results in correction of the RanGTPase degradation observed in whole

blood, to a significant extent. We hypothesize that treatment for a longer period would have

completely restored RanGTPase levels to normal. Whether assembly modulator drug treatment

serves to fully reboot the system, e.g. restore p62 to the target complex as has been observed

for assembly modulation using a structurally unrelated molecule in a different therapeutic

area[15], remains to be determined and may vary on a case-by-case basis. Likewise, further

studies are needed to determine whether periodic or ongoing drug treatment are required.

Regardless, drug-induced changes in target composition, as implied by stabilization of

RanGTPase in ALS patient blood treated with assembly modulators, is consistent with

restoration of homeostasis. Whether this is reflective of concomitant changes in motor neurons

that prevent further motor neuron loss, remains to be investigated.

Our findings are significant for a number of reasons. First, these studies provide a

window into novel regulatory feedback loops involved in cellular homeostasis. The precise

relationship of the target multi-protein complex in healthy individuals vs ALS patients remains to

be more fully understood. One hypothesis is that the target multi-protein complex in healthy

individuals is the "normal" assembly machine involved in integration of diverse events of

homeostasis. This includes TDP-43 localization, in which RanGTPase likely plays a critical role,

perhaps along with C9orf72 and other ALS-implicated gene products. Other relevant pathways

including autophagic removal of dysfunctional multi-protein complexes are naturally integrated

into the physiological feedback pathways that collectively bring about homeostasis. ALS may be

an example where a "stuck" pathway triggers more and more desperate feedback attempts to

reboot the system without success, culminating in the mass degradation of RanGTPase

observed in the whole blood experiment shown in Figure 6. In this model, the assembly

modulator compound action can be thought of as rebooting the cellular operating system. A

future prediction to be tested, is that longer-term treatment of blood results in complete

normalization of RanGTPase – and restoration of p62/SQSTM1 -- in the target complex present

in PBMCs. By virtue of having therapeutic small molecules as affinity ligands for target

identification, the pathways discovered can be productively illuminated by future studies,

including by fractionation and reconstitution of functional activities in extracts.

Second, these data, together with the studies shown previously, are consistent with the

hypothesis that, despite the heterogeneity of ALS clinical presentation and time course of

progression, there is a common underlying pathway that is the basis of the disease – and that

assembly modulators are therapeutic at that underlying shared level. While we hypothesize that

restoration of autophagy is a crucial part of this pathway, re-establishment of homeostasis likely

involves far more than just restoration of autophagy. In this regards the involvement of

miniscule subsets of the specific gene products found together in the target multi-protein

complex and the role of protein degradation, is notable. These targets could not have been

detected by powerful molecular biological tools such as CRISPR or siRNA knockdown studies,

because of the heterogeneous roles of small subsets of many, if not most, of the proteins

comprising the target multi-protein complex. Thus the concept of protein "moonlighting"[34,35]

may be more pervasive than is currently generally recognized. Conversely, the level of

organization of gene expression accessed by protein assembly modulation may be therapeutic

for diverse diseases in ways not generally appreciated today.

Finally, it should be noted that many if not most patients come to medical attention long

after the most effective period of treatment is lost. Drugs to stop the disease process may still

be effective, but rely on maintenance of the underlying framework of feedback loops to restore

homeostasis, once disease progression is arrested. Sometimes however, those feedback loops

fail and homeostasis is not restored, whether or not the primary disease process has been

blocked. We hypothesize ALS is one such example, making it extremely difficult to treat. The

methods described here provide a means of detecting ALS early, prior to onset of severe

disability, when it is most treatable – and provide a potentially effective treatment in the form

of the small molecule ligands themselves, that were used for disease detection. It is notable

that pt 78 came to medical attention early in her clinical course, prior to serious disability, and

yet, the signature changes (lowered p62 and elevated RanGTPase 17kDa fragment) were

nevertheless observed, and sadly, progressed with worsening of ALS, culminating in her death,

approximately 2 years after initial detection of the Ran 17kDa fragment as shown in Figure 5,

together with the reciprocal change in p62/SQSTM1, seen exacerbated over time. To date, these

findings have been observed in 13 of 13 ALS patients and are lacking in 4 of 6 healthy controls,

by the methods described. While the specificity of these signatures are relatively low, seen in 2

of 6 healthy controls, the high sensitivity implied by detection in 13 of 13 ALS patients, together

with the clinical history, make this diagnostic approach promising. PBMCs are a readily

accessible tissue. Used as affinity ligands, these small molecules could enable early diagnosis of

ALS, prior to extensive motor neuron death, when treatment would be more effective.

Remarkably, these same small molecules may ultimately prove therapeutic, particularly for ALS

detected early, by virtue of this peripheral blood signature.

Methods

Preparation of PBMC extracts[36]

Whole blood collected in purple-top EDTA tubes from healthy individuals or ALS patients under

Loma Linda Medical Center IRB# 5160136 was shipped by overnight courier to the lab where it

was diluted 1:1 with phosphate-buffered saline and layered on ficoll and centrifuged for 15

minutes at 1,000 xg at room temperature with brake off. PBMCs were collected at the

interface, diluted with PBS 1:1 and centrifuged at 600 xg /15 minutes. The supernatant was

aspirated and the pellet dissolved in p-body buffer (PBB) consisting of 10mM Tris pH 7.5 ,10mM

NaCl, 6mM MgAc, 1mM EDTA, and 0.35% Triton-X-100 and centrifuged at 4oC 10,000xg/10min.

The 10kxg supernate was collected, aliquoted and flash frozen in liquid nitrogen and stored at

-80°C until use.

Assembly modulator compounds

Each assembly modulator chemotype is structurally quite different from one another,

depending on the target to which it is directed, the allosteric site involved, etc. The two ALS-

active assembly modulator chemotypes used here, typified by compounds PAV-073 and PAV-

667 are compared for drug-like properties in Table 2. The structure of PAV-073, a member of

the THIQ chemotype, its synthesis and modification to allow its use as an affinity ligand for drug

resin affinity chromatography is described in reference 14.

Drug Resin affinity chromatography

A variation on the theme of methods described in previous publications[14–16]. For

brain from wildtype or SOD1 mutant animals, tissue was homogenized in cold low salt buffer

(10mM HEPES pH 7.6, 10mM NaCl, 1mM MgAc with 0.35% Tritonx100) then centrifuged at

10,000 xg for 10 minutes at 4°C. The post-mitochondrial supernatant was adjusted to a

concentration of approximately 10 mg/ml and equiulibrated in a physiologic column buffer (50

mM Hepes ph 7.6, 100 mM KAc, 6 mM MgAc, 1 mM EDTA, 4mM TGA). For DRAC of both MoBr

and human PBMC extracts, the 10k sup samples being compared were first normalized either by

absorbance at 280nm or by Coomassie brilliant blue or silver staining pattern. Samples were

then supplemented with an energy cocktail (to a final concentration of 1mM rATP, 1mM rGTP,

1mM rCTP, 1mM rUTP, and 5 µg/mL creatine kinase) in the presence of physiological salts

(50mM Hepes pH 7.6, 100mM Kac, MgAc 5mM, 1mM DTT) and incubated for one hour at either

4° C or 22° C degrees on 30 µl of affigel resin coupled to THIQ compound or a 4% agarose matrix

(control). The input material was collected after flow through the column and the resin was

then washed with 3 ml column buffer. The resins were eluted for 2 hours then overnight at 22^oC

then 4^oC in 100µl column buffer containing 100µM of the cognate compound. Eluates were run

on WB or sent for MS-MS for analysis.

Chemical photocrosslinking

A variation of protocols as published previously[14] was used. Extract from MoBr were prepared

as described above then adjusted to a protein concentration of approximately 3 mg/ml in

column buffer (Hepes pH 7.5 50mM, Kac 100mM, MgAc 5mM, DTT or TGA 1mM) containing

0.1% triton. 1% DMSO or 100µM PAV-073 was added to 6µl of extract, then 3µM of PAV-073

photocrosslinker or a negative control crosslinker (comprising of the biotin and diazirine

moieties without compound) were added. The extract was incubated for 20 minutes then

exposed to UV at 365nM wavelength for 10 minutes then left on ice for one hour. After

crosslinking, samples were divided in two 20 µl aliquots and one set was denatured by adding

20 µL of column buffer 4µl of 10% SDS, 0.5 µl 1M dithiothreitol (DTT), and heating to 100^oC for 5

minutes. Both native and denatured aliquots were then diluted in 800 µl column buffer

containing 0.1% triton. 5 µl of magnetic streptavidin beads (Pierce) were added to all samples

and mixed for one hour at room temperature to capture all biotinylated proteins and co-

associated proteins. Samples were placed on a magnetic rack to hold the beads in placed and

washed three times with 800 µl of column buffer containing 0.1% triton. After washing, beads

were resuspended in 80 µl of gel loading buffer containing SDS and analyzed by WB or blot for

affinity purified streptavidin. Samples were analyzed by WB

WB Procedure

SDS-PAGE gels were transferred in Towbin buffer (25mM Tris, 192mM glycine, 20% w/v

methanol) to polyvinylidene fluoride membrane, blocked in 1% bovine serum albumin (BSA) in

PBS, incubated overnight at 4°C in a 1:1 dilution of 100µg/mL affinity-purified primary rabbit

IGG to PDI (Abcam 96744) in 1% BSA in PBS containing 0.1% Tween-20 (PBST). Membranes were

then washed twice in PBST and incubated for two hours at room temperature in a 1:5,000

dilution of secondary anti-rabbit or anti-mouse antibody coupled to alkaline phosphatase in

PBST. Membranes were washed two more times in PBST then incubated in a developer solution

prepared from 100 µL of 7.5 mg/mL 5-bromo-4-chloro-3-indolyl phosphate dissolved in 60%

dimethyl formamide (DMF) in water and 100µl of 15 mg/ml nitro blue tetrazolium dissolved in

70% DMF in water, adjusted to 50mL with 0.1 Tris (pH 9.5) and 0.1 mM magnesium chloride.

Membranes were scanned and the integrated density of protein band was measured on ImageJ.

Averages and the standard deviation between repeated experiments were calculated and

plotted on Microsoft Excel. Primary antibodies for RanGTPase (Abcam ab31118), p62/SQSTM1

(Abcam, ab56416), were used at 1:1,000 dilution by the same procedure. Secondary goat

antibodies to mouse (Abcam ab97020) or rabbit (Abcam ab6722) were used at 1:5,000 dilution.

Tandem mass spectrometry

Samples were processed by SDS PAGE using a 10% Bis-tris NuPAGE gel with the 2-(N-

morpholino)ethanesulfonic acid buffer system. The mobility region, including all proteins, was

excised and washed with 25 mM ammonium bicarbonate followed by 15mM acetonitrile.

Samples were reduced with 10 mM dithoithreitol and 60° C followed by alkylation with 50 mM

iodoacetamide at room temperature. Samples were then digested with trypsin (Promega)

overnight (18 hours) at 37° C then quenched with formic acid and desalted using an Empore SD

plate. Half of each digested sample was analyzed by LC-MS/MS with a Waters NanoAcquity

HPLC system interfaced to a ThermoFisher Q Exactive. Peptides were loaded on a trapping

column and eluted over a 75 μM analytical column at 350 nL/min packed with Luna C18 resin

(Phenomenex). The mass spectrometer was operated in a data dependent mode, with the

Oribtrap operating at 60,000 FWHM and 15,000 FWHM for MS and MS/MS respectively. The

fifteen most abundant ions were selected for MS/MS.

Data was searched using a local copy of Mascot (Matrix Science) with the following parameters:

Enzyme: Trypsin/P; Database: SwissProt Human (conducted forward and reverse plus common

contaminants); Fixed modification: Carbamidomethyl (C) Variable modifications: Oxidation (M),

Acetyl (N-term), Pyro-Glu (N-term Q), Deamidation (N/Q) Mass values: Monoisotopic; Peptide

Mass Tolerance: 10 ppm; Fragment Mass Tolerance: 0.02 Da; Max Missed Cleavages: 2. The data

was analyzed by spectral count methods.

Whole blood incubation and analysis. 100µl aliquots of EDTA-anticoagulated whole blood is dispensed

into 24 well plates containing 100µl of room temperature 80% PBS with 10mM glucose and then treated

with DMSO to 0.01% or drug in DMSO to the same dilution with final concentration of 5µM drug. After

72hrs samples are collected and adjusted to 1% SDS. One aliquot was prepared in gel loading buffer as

starting material. Another aliquot added to energy cocktail (1mM rNTPs with 0.2µg/ml CK, 50mM Hepes

pH 7.6 100mK KAc, 6mM MgAc and 4mM TGA) at total volume 100µl and incubated at 37°C for 1hr, and

then prepared in gel loading buffer. Samples were analyzed by SDS-PAGE and WB for full length

RanGTPase.

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Supplemental Figure 1.

Legend to Supplemental Figure 1. Input extracts for Figures 3-6. Silver stain (left and middle panels) and CBB stain (right panel) of input extracts used for DRAC (Figure 3 and 5), photocrosslinking (Figure 4), and RanGTPase degradation (Figure 6) assays.

Supplemental Figure 2

Legend to Supplemental Figure 1. Spectral counts for entire dataset of MoBr and PBMC THIQ resin eluates. Colors represent the number of spectral counts for a given protein in each sample, ranging from light green (low counts) to dark green (high counts). The right panel indicates whether a given protein was in one of both of the datasets, according to threshold values of >4 spectral counts in the drug eluate and <4 spectral counts in the control column eluate.

Abbreviations

ALS – Amyotrophic Lateral Sclerosis

CBB – Coomassie brilliant blue

CFPSA – Cell-free protein synthesis and assembly

CNS – Central Nervous System

DTT - dithiothreitol

DRAC – drug resin affinity chromatography

DX - diagnosis

eDRAC – energy-dependent drug resin affinity chromatography

FTD – Fronto-Temporal Dementia

HEPES - 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid

IND – investigational new drug

MoBr – mouse brain

MS-MS – tandem mass spectrometry

PBMC – Peripheral blood mononuclear cell

PBS – phosphate-buffered saline

PDF – patient-derived fibroblast

PDI – protein disulfide isomerase

PTM – post-translationally modified

PSMW – Prestained molecular weight markers

SAP – streptavidin precipitation

SDS-PAGE – polyacrylamide gel electrophoresis in sodium dodecyl sulfate

TGA – thioglycolic acid

WB – western blot

Dataset

The relevant dataset has been submitted to the DRYAD database and can be accessed upon publication

at the following: DOI: 10.5061/dryad.0rxwdbsbm

Competing Interests

VRL is CEO and AFL COO of Prosetta Biosciences

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