

1 **Leading researchers in the leadership of leading research universities: meta-research**

2 **analysis**

3 Short title: Highly-cited leadership of top universities

4

5 Funding: None.

6 Data sharing: All key data are in the manuscript and in databases that are already open to the

7 public.

8 Conflicts of interest: None.

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11

12 **ABSTRACT (284 words)**

13 It is unknown to what extent leading researchers are currently involved in the leadership of
14 leading research universities as presidents or as executive board members. The academic
15 administrative leader (president or equivalent role) of each of the 146 Carnegie tier 1 USA
16 universities and of any of the top-100 universities per Times Higher Education (THE) 2024
17 ranking and the members of the executive governing bodies (Board of Trustees, Council,
18 Corporation or similar) for the each of the top-20 universities per THE 2024 ranking were
19 examined for high citation impact in their scientific subfield. Highly-cited was defined as the
20 top-2% of a composite citation indicator (that considers citations, h-index, co-authorship
21 adjusted hm-index and citations to papers as single, first, last authors) in their main scientific
22 subfield based on career-long impact until end-2022 among all scholars focusing in the same
23 subfield and having published ≥ 5 full papers. Very highly-cited was similarly defined as the
24 top-0.2%. Science was divided into 174 fields per Science-Metrix classification. 38/146
25 (26%) tier 1 USA university leaders as of end-2023 were highly-cited and 5/146 (3%) were
26 very highly-cited. The respective figures for the top-100 THE 2024 universities globally were
27 43/100 and 12/100. For the 13 US universities among the top-20 of THE 2024, the
28 probability of their leader being highly-cited was lower (6/13, 46%) than the probability of a
29 randomly chosen active full tenured professor from their faculty being highly-cited (52-77%).
30 Across 444 board members of 14 top-10 THE 2024 universities with data, only 65 (15%)
31 were academics, and 19 (4%) were highly-cited; academics were rare in USA university
32 boards. Board members had predominantly careers in for-profit companies. In conclusion,
33 leading research universities have a dearth of leaders who are high-impact researchers.

34 **Keywords:** universities, bibliometrics, research, administration, executive boards, university
35 presidents

36 **1. INTRODUCTION**

37 Universities have multiple purposes and missions, including education, public service,
38 and scholarship with discovery, improvement, and dissemination of knowledge. Research is a
39 key component of their missions. The focus and prominence on the research mission may
40 vary across different universities, but it is unquestionably a quintessential consideration in the
41 most prestigious universities worldwide. An important question is whether the leadership of
42 universities, in particular those that have large, intensive, and influential research portfolios,
43 includes leading researchers. Previous work conducted two decades ago suggested that more
44 prestigious universities were more likely to have as leaders people with strong research
45 credentials and citation influence [1-4]. However, evidence has also suggested that very few
46 university leaders were among the most highly-cited scientists worldwide and few of the
47 most highly-cited scientists ventured into university leadership [5].

48 Given that many years have elapsed since these evaluations and universities have
49 gone through major transformations and challenges in the 21st century [6-8], it would be
50 important to revisit the current situation with recent data and also with better citation tools
51 compared with those available in the past. Currently available data would allow better
52 placement of the citation impact of university leaders across the scientific workforce and also
53 compared with professors in their own institutions. Moreover, previous work had focused on
54 the single leaders in the university academic ladder (presidents or chancellors). While these
55 university leaders are indeed central in university administration, the highest level of
56 executive authority in most universities typically belongs to other governing bodies above
57 them. These executive governing bodies have oversight and fiduciary responsibility for all
58 university affairs and the president or chancellor reports to them. Sometimes the
59 president/chancellor may also be a member of these larger groups. These executive governing
60 bodies are called with different names (e.g. Boards of Trustees, Councils, Corporations) and

61 they are appointed with different mechanisms (e.g., usually by selection of new members by
62 existing members in private universities, by appointment by state authorities in many state
63 universities, or by country leadership in communist countries). There has been no systematic
64 evaluation of whether these executive governing bodies include any members with high
65 citation impact in the scientific literature.

66 The present evaluation used comprehensive composite indicators to examine the
67 presence of highly-cited and very highly-cited researchers among the leaders of the most
68 prestigious universities in the USA [9] and worldwide [10] and also examined the same
69 features for the members of the governing bodies of the top-20 universities worldwide
70 according to the Times Higher Education (THE) 2024 World University Rankings [10].

71 **2. METHODS**

72 **2.1 Academic university leaders**

73 Evaluations of high ranking according to composite citation indicators, as described
74 below, were performed for the single top academic leader of each of the 146 Carnegie tier 1
75 USA universities [9] and of any of the top-100 universities per THE 2024 World University
76 Rankings [10]. By default, this was chosen to be the president of the university. However, for
77 public university systems with many campuses, the chancellor of the specific campus was
78 selected (e.g. for the University of California, Berkeley, the chancellor of Berkeley was
79 chosen, instead of the president of the entire University of California system that includes
80 many campuses each with its own chancellor). Chancellors were chosen also for universities
81 where the highest academic leader was called a chancellor and for universities where the
82 president was primarily a ceremonial head (e.g. UK universities). When the position was in
83 transition, the interim holder of the leadership position (interim president, interim chancellor)

84 was chosen. The website of each university was searched to identify the relevant academic
85 leader as of December 20, 2023.

86 **2.2 Executive board members**

87 Members of the executive governing bodies (Board of Trustees, Council, Corporation
88 or similar) for the each of the top-20 universities per THE 2024 ranking were also retrieved
89 between December 20, 2023 and February 26, 2024 based on the respective website of each
90 university. It was noted whether the academic leader of the university (president or
91 chancellor, as described above) was included in the membership. For all other members, it
92 was noted how many they were; and how many had a primary academic (or primary
93 research-oriented) background based on the biographies that were available on the university
94 website. For those with primary academic or research-oriented background, evaluations of
95 whether they were highly ranked according to composite citation indicators, as described
96 below, were performed. For the other members, their main listed affiliations/occupations
97 were noted and those mapping to one of the top-100 companies in the Forbes 2023 list [11]
98 were specifically tabulated. Citation or other analyses were not performed when the
99 university website did not contain at least short biographies or bullets listing the main
100 occupation/affiliation that would allow the accurate identification of each member with high
101 confidence.

102 **2.3 Databases of composite citation indicators**

103 To calculate composite citation indicators, the Scopus database [12] was used with a
104 data freeze on October 2023, including data as of the end of calendar year 2022 [13]. The
105 database of top-cited scientists is available in public [13] and updated every year. For
106 methods on the development and validation of the composite citation indicators and of the
107 respective database, see previous work [14-16]. In brief, authors who have published at least

108 5 full papers (those included in the Scopus categories “article”, “review”, and “conference
109 paper”) are considered for ranking. There are approximately 10 million scientists with at least
110 5 such published papers as of end-2022. Each author is assigned to his/her main scientific
111 subfield, classified according to the Science-Metrix classification [17] that divides science
112 into 174 subfields. For each author, the main field is the one where he/she has published more
113 items during his/her career (until end of 2022). In case of ties, the subfield with the highest
114 ratio of author publications relative to the total number of publications is assigned.

115 The composite citation indicator considers 6 citation metrics in its calculation: total
116 citations, h-index, co-authorship-adjusted hm index, citations to papers as single author,
117 citations to papers as first or single author, and citations to papers as single/first/last author
118 during the entire career. Details on the calculation of the composite indicator can be found in
119 [14-16]. The scholars in the top-2% of each subfield are selected. Rankings based on the
120 composite indicators are publicly available both with and without self-citations and the latter
121 were used in the current analysis. However, including self-citations made no material
122 difference. The ranking process adjusts for subject matter, because authors are ranked based
123 on the composite citation indicator across all science and, separately, also specifically within
124 the authors assigned to the same subfield. Those who are ranked among the top-2% of their
125 subfield and those who are among the top-100,000 across all science are included in the
126 database. The composite indicator aims to adjust for co-authorship and author positions
127 which offer a surrogate of relative author contributions, in the absence of detailed, systematic
128 data on contributions for each published paper. The 6 indicators are not totally independent
129 (e.g. total citations correlates with h-index), but cumulatively they capture impact from
130 diverse angles and types of contributions, especially adjusting for multi-authorship and
131 authorship positions in multi-authored articles. They may favor older scholars, since citations

132 accrue over time. If anything, this means that university leaders are likely to be favored, if
133 anything, by these indicators, as they tend to be quite senior when they become leaders.

134 **2.4 Definitions of highly-cited and very highly-cited**

135 Highly-cited was defined as being included in the top-2% database for career-long
136 citation impact, as described above. Very highly-cited was defined as being among the top-
137 0.2% of scholars in the same scientific subfield based on the composite citation indicator for
138 career-long impact.

139 **2.5 Comparison with all tenured professors in the same universities**

140 For the 13 USA universities that are among the top-20 of the THE 2024 ranking,
141 information was retrieved from their websites on the number of full, tenured professors that
142 actively serve in them based on their latest available statistics as of January 8, 2024. If this
143 information was not available, the number of tenured appointments (which may include a few
144 associate professors) was captured. If none of this information was clear from the university
145 websites, information was obtained from a centralized database [18]. Some schools (in
146 particular medicine and related health fields such as public health and nursing) in most top
147 universities have mostly non-tenured appointments even for full professor ranks. Non-tenured
148 professors therefore were not counted.

149 It is very difficult, if not impossible, to directly assess how many of these professors
150 are highly-cited, as defined above, as comprehensive centralized lists of all full tenured
151 professors by name are usually not available in university websites. Therefore, the
152 proportion of active full tenured professors who are highly-cited was approximated indirectly.
153 A random sample of 1000 authors was obtained in Excel from the database of all highly-cited
154 authors. Those with an affiliation with one of the 13 USA universities that are among the top-
155 20 THE 2024 ranks were identified. For each of them, it was checked online whether they are

156 indeed affiliated or not with that university, and if so, if they are deceased, emeriti, or
157 currently active. Among those currently alive, it was also examined (by perusing information
158 on their names online) whether they are currently full tenured professors or not (e.g. non-
159 tenured professors, professors at lower ranks, adjunct or visiting faculty, non-tenured staff
160 scientists or other). This allowed to estimate the proportion $P(h)$ (and 95% confidence
161 interval thereof) of the 1000 sampled highly-cited authors who are actively tenured full
162 professors at one of these 13 universities. The total number, $N(h)$, of highly-cited active
163 tenured full professors in these 13 universities was then estimated by multiplying the
164 proportion $P(h)$ by the total number of highly-cited scientists in the database ($N=204,488$).
165 The proportion of active full tenured professors who are highly-cited across these 13
166 universities was estimated by dividing $N(h)$ by the sum of active tenured full professors
167 obtained above. This was then compared with the proportion of academic leaders in the same
168 13 institutions who are highly-cited in their subfields.

169 **2.6 Statistical analyses**

170 Analyses are descriptive. Exploratory analyses of differences between groups used
171 Fisher's exact test. Where appropriate, 95% confidence intervals for proportions were also
172 calculated.

173 **3. RESULTS**

174 **3.1 Highly-cited academic university leaders**

175 Overall, 38 (26%) of the 146 tier 1 USA university leaders as of end-2023 were
176 highly-cited and 5 (3%) of the 146 were very highly-cited. The respective figures for the top-
177 100 THE 2024 universities globally were 43/100 (43%) and 12/100 (9%). Table 1 lists the
178 highly-cited and very highly-cited academic leaders according to country. As shown, the
179 highest percentages of highly-cited leaders are seen in the United Kingdom (UK). In an

180 exploratory comparison, leaders in UK universities tended to be more commonly highly-cited
181 (exact $p=0.0515$) and very highly-cited (exact $p=0.0255$) compared with leaders in other
182 countries. In the USA, leaders of tier 1 universities who were also among the top-100 of
183 THE 2024 tended to be more likely to be highly-cited (16/38, 42% versus 22/108, 20%, exact
184 $p=0.0168$). Very highly-cited academic leaders focused on very diverse scientific subfields.
185 Among the USA universities' very highly-cited leaders these subfields were Networks &
186 Telecommunications, Obstetrics & Reproductive Medicine, Polymers, Sport Sciences, and
187 Nanoscience & Nanotechnology. For non-USA universities' very highly-cited leaders these
188 subfields were Neurology & Neurosurgery (n=2), Psychiatry, Food Science, Networks &
189 Communications, Environmental Sciences, Physical Chemistry, Developmental Biology, and
190 Economics (n=1 each). Given that there are 174 science subfields in the Science Metrix
191 classification, data are sparse to address whether specific subfields are more likely to provide
192 academic leaders, or even more so, highly-cited academic leaders. Moreover, data are too
193 sparse to assess whether there are differences between countries in subfield-specificity for
194 their leaders.

195 **Table 1. Highly-cited and very highly-cited academic leaders in top universities**
196 **according to country**

Country	Top- universities	Highly-cited academic leader	Percentage	Very highly- cited academic leader
<u>CARNEGIE</u> <u>TIER 1</u>				
USA	146	38	26	5
<u>THE 2024</u>				

<u>TOP-100</u>				
USA	38	16	42	3
China and Hong Kong	12	6	50	2
United Kingdom	11	8	73	4
Germany	8	3	37	1
Netherlands	6	3	50	1
Australia	5	1	20	0
Canada	3	2	67	0
Switzerland	3	2	67	1
France	3	0	0	0
South Korea	3	0	0	0
Other*	8	2	25	0

197 THE: Times Higher Education *Other includes Japan (n=2), Singapore (n=2), Sweden (n=2), Belgium
 198 (n=1) and Russia (n=1)

199 **3.2 Comparison of academic leaders against other professors in the same universities**

200 Table 2 lists the number of active tenured full professors in each of the 13 US
 201 universities who are among the top-20 of the THE 2024 list and the number of highly-cited
 202 scientists with affiliation with any one of these 13 universities in a random sample of 1,000
 203 highly-cited scientists. As shown, in total, these 13 universities account for 7.2 (95%
 204 confidence interval, 5.6% to 8.8%) of the highly-cited scientists worldwide. Overall, 28
 205 sampled highly-cited scientists in these 13 universities are clearly active tenured full
 206 professors, while the remaining are deceased (n=10), emeritus (n=15), scientists with other
 207 ranks or titles (n=6), and medical school full professors for whom it is uncertain if they are

208 also tenured (n=13). Extrapolating to all 204,488 highly-cited scientists, one estimates
 209 highly-cited scientists in these universities who are clearly active tenured full professors to be
 210 5,726 (95% confidence interval, 3,681-7,771) and the number would increase up to 8384
 211 (95% confidence interval, 5,930-10,838) if the n=13 sampled full professors at medical
 212 schools are also tenured. The sum of active tenured full professors in these 13 universities is
 213 10,928 (Table 2). Therefore, one can estimate that at least 52% (95% confidence interval, 34-
 214 71%) of the active tenured full professors in these universities are highly-cited, and the
 215 proportion would increase to 77% (95% confidence interval 54-99%), if the n=13 sampled
 216 medical school professors are also tenured. Conversely, only 6 of the 13 (46%) academic
 217 leaders of these universities are highly-cited; 2 of these 6 were actually interim presidents at
 218 the time of the survey (December 20, 2023).

219 **Table 2. Faculty and highly-cited faculty in 13 premier universities in the USA**

University	Faculty*	Types of faculty counted (source(s) of information considered)	Highly-cited (eligible) Among N=1,000 sample**
Berkeley	879	Full professor rank, all types (https://ofew.berkeley.edu/sites/default/files/faculty_profile_over_time_fall_2023.pdf)	8 (7)
Caltech	266	Tenured faculty, full-time (https://www.collegefactual.com/colleges/california-institute-of-technology/academic-life/faculty-composition/)	2 (1)
Columbia	1010	Full professor rank, tenured (https://opir.columbia.edu/sites/default/files/content/Statistical%20Abstract/opir_faculty_rank.pdf)	4 (1)
Cornell	822	Tenured professorial, full professor rank (https://irp.dpb.cornell.edu/university-factbook/employees)	4 (2)
Harvard	1068	Tenured (ladder) (https://faculty.harvard.edu/sites/hwpi.harvard.edu/files/faculty-diversity/files/ay2023_annual_report_-_data_tables.pdf?m=1671128842)	12 (3)
Johns Hopkins	560	Tenured faculty full-time (https://provost.jhu.edu/wp-content/uploads/2020/07/2020-Report-on-Faculty-Composition.pdf), 1193 including medicine, nursing and public health (but unclear if all these additional ones would be tenured full-time)	3 (0)

MIT	704	Full professor rank (https://facts.mit.edu/employees/faculty/)	4 (3)
Princeton	541	Tenured full professor rank (https://dof.princeton.edu/about/facts-figures)	2 (0)
Stanford	1260	Tenured, full professor rank (https://facultydevelopment.stanford.edu/data-reports-0/faculty-demographics , https://facts.stanford.edu/academics/faculty-profile/)	8 (4)
U Chicago	762	Tenured faculty, full-time (https://data.uchicago.edu/data-employees/ , https://www.collegefactual.com/colleges/university-of-chicago/academic-life/faculty-composition/)	7 (1)
U Penn	863	Tenured faculty, full-time (https://www.upenn.edu/about/facts , https://www.collegefactual.com/colleges/university-of-pennsylvania/academic-life/faculty-composition/)	9 (2)
UCLA	1241	Tenured faculty, full-time (https://apb.ucla.edu/campus-statistics/faculty , https://www.collegefactual.com/colleges/university-of-california-los-angeles/academic-life/faculty-composition/)	6 (2)
Yale	952	Full professor rank (ladder, tenured) (https://oir.yale.edu/data-browser/faculty-staff/faculty/faculty-headcounts/faculty-headcount-school-and-rank-w055) , includes medicine, nursing and public health (681 if they were to be excluded)	3 (2)
ALL 13	10928		72 (28)

220 *In counting the number of faculty, the aim to capture the number that reflected active, tenured full
 221 professors (or the number closest to this group); medicine, nursing, public health faculty were
 222 excluded, unless only tenured professors could be counted **the total number reflects all scientists
 223 with an affiliation reflecting the respective university among a random sample of 1,000 highly-cited
 224 scientists drawn from the database of 204,488 highly-cited scientists. All affiliations related to the
 225 specific university were considered so as to capture also scientists that were listed with an affiliation
 226 reflecting a school, center, medical center, or institute related to the university (e.g. Wharton for U
 227 Penn or Kennedy School for Harvard). Eligible are those who would also fit the definition used for
 228 types of faculty counted in the respective university (generally reflecting those who are active, tenured
 229 full professors). Not counted among the eligible are deceased (Columbia 1, Harvard 2, Johns Hopkins
 230 2, Stanford 1, U Chicago 2, U Penn 1, UCLA 1), emeritus (Berkeley 1, Cornell 1, Harvard 1,
 231 Princeton 2, Stanford 2, U Chicago 1, U Penn 5, UCLA 1, Yale 1), other ranks/appointments (Caltech
 232 1, Harvard 1, MIT 1, Stanford 1, U Chicago 1, UCLA 1), and medical school full professors for which
 233 it is unknown if they are tenured (Columbia 2, Cornell 1, Harvard 5, Johns Hopkins 1, U Chicago 2, U
 234 Penn 1, UCLA 1).

235 3.3 Executive board members

236 As shown in Table 3 information on the occupation of executive board members
 237 could be retrieved for 14 of the top-20 THE 2024 universities. Excluding presidents (or
 238 similar rank), across 444 board members, only 65 (15%) were academics, and of those only
 239 19 (4%) were highly-cited. Nine of these 14 universities were in the USA and they
 240 consistently had very few or no academics among their executive members: across a total of
 241 339 board members, there were only 21 academics (6%) and of those only 7 (2%) were
 242 highly-cited scientists. A similar pattern was seen in the National University of Singapore
 243 (only 3/20 trustees being academics, none highly-cited). Conversely, in the 3 UK universities,
 244 academics comprised a slight majority (40/78, 51%) of council members and 9 (12%) were
 245 highly-cited. The executive board of ETH Zurich also had 4 of 7 members being academics
 246 and 3 of them were highly-cited.

247 **Table 3. Executive board members of top-20 THE 2024 universities: academic members**
 248 **and highly-cited members**

University	Executive Board	Members excluding president or similar	Academic members (highly-cited)
Oxford	Council	27	19 (6)
Stanford	Board of Trustees	30	0 (0)
MIT	The MIT Corporation	74	5 (2)
Harvard	Harvard Corporation	12	3 (1)
Cambridge	Council	25	13 (3)
Princeton	Board of Trustees	36	5 (1)
Caltech	Board of Trustees	78	6 (3)
Imperial College	Council	26	8 (6)
Berkeley	Board of Trustees	136	no data

Yale	Current Board	16	2 (0)
ETH Zurich	Executive Board	7	4 (3)
Tsinghua U	Current Administrators	12	no data
U Chicago	Board of Trustees	48	0 (0)
Peking U	Current administration	14	no data
Johns Hopkins	Board of Trustees	37	no data
U Penn	Trustees	48	no data
Columbia	Trustees	20	0 (0)
UCLA	Board of Regents	25	0 (0)
NUS	Board of Trustees	20	3 (0)
Cornell	Board of Trustees	63	no data

249

250 Typical occupations of non-academic members of executive boards were leaders of
251 for-profit companies, such as investment funds, other financial sector groups, strategic
252 consulting, law firms, marketplace, real estate, media, entertainment, sports, technology,
253 biomedicine, communication, and others. There was also some lesser representation of
254 leaders of not-for-profit organizations and philanthropists, and occasional members with a
255 track record of government service (including also military).

256 Table 4 shows for each of the 14 top-20 THE 2024 universities with available data,
257 the companies listed as main occupations/affiliations of board members, limited to the
258 companies that among the top-100 companies in the Forbes 2023 list. There are a total of 27
259 occurrences pertaining to 18 different companies (detailed information in Supplement 1). 16
260 of the 39 primarily US top-100 Forbes companies are represented, as opposed to only 2 of 61
261 top-100 Forbes companies primarily from other countries ($p < 0.001$).

262 **Table 4. Top-100 Forbes companies listed in main occupations of board members of top**
 263 **universities**

University	Top-100 Forbes companies for board members (Forbes rank)
Oxford	JPMorgan (1)
Stanford	Goldman Sachs (33)
MIT	Alphabet [n=2] (7), Apple (10), Wells Fargo (17), Citigroup (24), Meta (31), Goldman Sachs (33), Amazon (36), Merck & Co. (73), AbbVie (74), Oracle (80)
Harvard	American Express (63), Merck & Co. (73)
Cambridge	None
Princeton	JPMorgan (1), Microsoft [n=2] (9)
Caltech	Citigroup (24), General Motors (70), Walt Disney (87)
Imperial College	MSD (73)
Yale	Comcast (51)
ETH Zurich	None
U Chicago	Microsoft (9), Novartis (94)
Columbia	None
UCLA	Walt Disney (87)
NUS	UBS (92)

264

265 **4. DISCUSSION**

266 This empirical meta-research analysis shows that even in the leading universities in
 267 the USA and worldwide, it is the exception rather than the rule to have university presidents
 268 or equivalent academic leaders who are highly-cited in their scientific field. UK universities
 269 may have the highest rates of highly-cited scientists serving as academic leaders (typically

270 vice chancellors). Very highly-cited academic leaders are a rarity overall, even more so in
271 American universities. Among the top 13 US universities, the chances that their academic
272 leader is highly-cited are probably lower than the chances of a full tenured professor being
273 highly-cited. Apparently, university presidents on average cannot match the citation
274 credentials of their average faculty, although there is large heterogeneity between different
275 presidents. Furthermore, besides the university presidents, few other academics serve on the
276 executive boards of top universities, especially in the USA, while such participation is more
277 common in UK and Switzerland. Highly-cited academics are almost non-existent in the
278 executive board leadership of top American universities. The executive boards of these
279 universities are comprised largely of businessmen and businesswomen with strong careers in
280 leadership roles among for-profit corporations, including many of those among the Forbes
281 top-100. Of note, top-cited researchers may include some who are currently active in
282 scientific investigation and others who had mostly past accomplishments in research that
283 account for their cumulative citation impact. The overall dearth of top-cited scientists in
284 executive board positions means that these boards lack both scientists who are currently
285 active in what is hot in research and scientists who had a strong past trajectory in scientific
286 investigation.

287 Most past research on university presidents has used interviews, surveys and
288 document analysis, as shown in a review of 111 studies [19]. A few citation analyses were
289 conducted many years ago [2,5]. The results should be compared with caution given the
290 different citation indicators used. However, it is likely that the under-representation of highly-
291 cited researchers in the university leadership has become substantially worse over time,
292 especially in the USA. For example, 15 years ago, 6 of the 96 academic leaders of 96 high
293 research activity universities were included in the ISI highly cited researchers database [5]
294 that included fewer than 7000 scientists. Conversely, currently only 2 of the academic leaders

295 of these institutions would be among the top-7,000 scientists according to the composite
296 citation indicator database. It is also unknown whether currently an association may exist
297 between the overall university performance and the research track record of its president. Past
298 research has shown that department chairs with higher citation impact also affect the impact
299 of their department after serving some time as chairs [20]. University presidents are more
300 remotely removed from direct research production or even supervision. However, they may
301 be setting a tone for the institution at large. Moreover, they may be seen with skepticism or
302 even cynicism by their faculty, if their scholarship usually places them below the average.
303 Leading clever people is a difficult enterprise even under the best circumstances [21]. While
304 university communication officers and media allies may try to paint a picture of university
305 presidents as being top scholars, the distance between media manipulation and obvious
306 reality makes these reputation dressing efforts even more repulsive to truly serious scholars.

307 Admittedly, administration and research scholarship are different roles and may
308 require different skills and perhaps even different personalities. One may thus argue that
309 sound and efficient administrators may still be taken seriously by their academic community,
310 even if they are not highly-cited scholars themselves. Successful single stories can be evoked
311 in defense of this perspective. However, it may an oxymoron to have routinely low-
312 scholarship or no-scholarship leaders lead top scientists. Universities have undergone major
313 transformations in the last 2-3 decades with a major rise of commercialization and
314 managerialism and a disconnect between teaching and research [6,8]. Over time, there have
315 been dramatic changes in the typical profile requested of academic leaders [22]. There may
316 be even more uncertainty of what a university leader should be nowadays in a rapidly
317 changing environment of new challenges and threats for higher education. Moreover, given
318 the time demands of these lucrative, high-salaried positions, even highly-cited scholars are
319 unlikely to be able to maintain their scholarship while serving as presidents. This would lead

320 to their gradual decline from citation rankings and, more essentially, their increasing
321 disconnect with what current research means and what new problems a researcher faces on a
322 day-to-day basis. The disconnect may be even greater when failing to understand the
323 struggles of young researchers and those who are disadvantaged because of structural
324 inequities.

325 Other researchers who have investigated the profile of university leaders have
326 suggested [1] that it is good to have great scholars running academic institutions.
327 Nevertheless, one may point out that it does not mean that they have to be the very best. For
328 example, Goodall [1] suggests that presidents should be approximately among the top 10-
329 20% of scholars. This may not be far from the top-2% group as defined in the current
330 analysis, because the top-2% is captured among the pool all scholars who have published at
331 least 5 full papers. This is a very wide pool that includes not only full professors, but also
332 scholars at all stages of their career development, excluding only the early steps when
333 someone has only published 1-4 papers. Therefore the top-2% in the current analysis may
334 correspond roughly with the top 10-20% of scholars who have reached the career stage of
335 professor or equivalent – and may thus be considered for leadership roles.

336 While faculty used to run most of the important functions of universities in the past,
337 faculty seem to have almost completely lost control of university executive functions in
338 modern universities [23]. Governing executive boards are the most powerful players in this
339 regard. These governing boards have very few academics among their members, especially in
340 the USA. In fact, most of these already sparse academics come mostly from other institutions.
341 The situation is apparently different in some countries such as UK and Switzerland, where
342 academic faculty continue to serve also in leadership/board roles. What makes a good trustee
343 and a good board in general has been the topic of a considerable literature, but it is unclear
344 how to answer this question for university boards [24,25]. What is clearly obvious,

345 nevertheless, is the complete dominance of successful people with a non-academic, non-
346 research career in for-profit corporations. Some sectors of the economy seem to be more
347 heavily represented but there is large diversity across universities. Top-100 companies have a
348 strong presence in many universities, with the most prominent presence being at the MIT
349 Corporation. Previous research has described the strong interlock between the boards of
350 universities and the boards of powerful corporations [26]. Nevertheless, it is unclear what, if
351 any, flow of information and of shared interests and conflicts this may signify. Information
352 on university websites about trustees is limited. More transparency about processes and rules
353 to protect from conflicts of interest and to enhance accountability within the university and to
354 the public are warranted, given how powerful universities have become on influencing public
355 matters and priorities.

356 The findings of the current analysis resonate also with some of the power struggles
357 that have recently taken place in several top US universities between board members and
358 faculty. As many top universities favor the very rich, their wealthy board members are likely
359 to be very forthright about what they want. Experienced corporates might think they know
360 better how to run universities, but universities are not (or at least probably should not be)
361 corporations. Even if universities are seen primarily through an angle of management, it is
362 still not clear why they need outside corporates to run them and control them. E.g., the Big 4
363 firms in management consulting (Deloitte, KPMG International, PricewaterhouseCoopers,
364 and Ernst & Young) would not allow outsiders to control them to the extent that outsiders
365 control our best universities.

366 Some limitations of the present analysis should be discussed. First, citation metrics
367 have widely known shortcomings [27] and should be used with caution. The ranking of any
368 single scientist may be too favorable or too unfavorable versus their real impact, which may

369 be elusive to capture in full an objectively. However, the overall citation picture of a large
370 number of academic leaders is likely to provide some reasonable, objective benchmark.

371 Second, some universities may be actively engaged in gaming citation metrics
372 through various spurious efforts, as in the case of Saudi Arabian and Chinese institutions [28-
373 30] and this could affect eventually all citation-based measurements, including those of their
374 leadership. This is less likely to be a problem for most of the universities analyzed here.
375 However, use of centralized metrics that account also for gaming should be encouraged [31].
376 University rankings themselves are also subject to gaming and have major shortcomings
377 [32,33], but it is undeniable that the universities analyzed here are leading institutions, even if
378 their exact ranking can be debated. Most scientists would probably agree that the universities
379 assessed here have a high reputation and usually also have high resources to attract excellent
380 scientists. However, this may change with increasing geopolitical tensions. The response of
381 institutional administrative leaders to emerging unprecedented, at times even chaotic,
382 challenges may shape whether these institutions continue to be seen as highly attractive or
383 not.

384 Third, the current analysis focused on top institutions only. Based on previous
385 analyses [2,5] and the current observation that THE 2024 university ranking correlated with
386 the chances that a university president would be highly-cited, it is almost certain that lower
387 ranked institutions have even fewer highly-cited leaders, on average. Nevertheless, this
388 statement should be tempered by the presence of very high heterogeneity on how academic
389 leaders and executive board members are selected. The processes vary a lot across countries,
390 private versus public versus state-owned (e.g. communist) settings, and local idiosyncratic
391 choices. For example, board members in private institutions may be selecting new members
392 that reflect their own business allies, conflicts and biases. The extent and nature of inbreeding
393 as well as state and political interventions in selecting university leaders needs better study.

394 Fourth, the current analysis did not consider other leaders at lower leadership levels,
395 e.g. vice presidents. However, vice president positions are typically even more strongly
396 administrative and supportive in nature and it is likely that successful researchers would be
397 even less likely to be attracted to them – with some exceptions.

398 In conclusion, top research universities worldwide are rarely run by exceptional
399 researchers and executive boards in the USA are almost devoid of exceptional researchers in
400 their membership. This should raise discussion about what universities and their leadership
401 stand for.

402

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485 **SUPPORTING INFORMATION**

486 **Supplement 1. Detailed information for table 4**

487 Harvard Kenneth Chenault (longtime chairman and chief executive officer, American Express)
 488 Kenneth Frazier (former chairman, CEO, and general counsel of Merck & Co)
 489 Stanford Gene T. Sykes (Managing Director, Goldman Sachs)
 490 MIT Armen Avanesians (Global head of Goldman Sachs Asset Management (GSAM) (retired))
 491 Kate Bergeron (Vice president of hardware engineering, Apple)
 492 Michelle K. Lee (VP, Machine Learning Solutions Lab, Amazon Web Services)
 493 Nelson P. Lin (Director, Global Market, Access and Pricing, AbbVie)
 494 Laird Malamet (Director of Team Operations & GM Seattle, Facebook (Oculus))
 495 Patricia R. Callahan (Senior Executive Vice President & Chief Administrative Officer,
 496 Retired, Wells Fargo & Company)
 497 David L. desJardins (Retired, Google, Inc.)
 498 Diane B. Green (Chief Executive Officer, Retired, VMware, Google Cloud)
 499 Charlene C. Kabcenell (Vice President, Retired, Oracle Corporation)
 500 Judy C. Lewent (Executive Vice President, Retired, Chief Financial Officer, Retired, Merck &
 501 Co., Inc.)
 502 Victor C. Menezes (Senior Vice Chairman, Retired, Citigroup Inc.)
 503 Princeton Timothy M. Kingston (Chairman, Goldman Sachs Latin America Investment Banking)
 504 Mutemwa R. Masheke (Product Manager, Microsoft)
 505 Elizabeth Prus Myers (Global Chairman, Investment Banking, J.P. Morgan)
 506 Bradford L. Smith (Vice Chair and President, Microsoft Corporation)
 507
 508 Caltech Sean Bailey (President, Walt Disney Studios Motion Picture Production)
 509 Deborah D. McWhinney (Former Chief Executive Officer, Citi Enterprise Payments, Citi
 510 Bank)
 511 Benjamin Rosen (Chair Emeritus, Chairman Emeritus, Compaq Computer Corporation,
 512 General Motors Corporation)
 513 Robert J. Schultz (Vice Chairman (Retired), General Motors Corporation)
 514 Yale Michael J. Cavanagh (President, Comcast Corporation)
 515 U Chicago Satya Nadella (CEO, Microsoft)
 516 Vasant (Vas) Narasimhan (CEO, Novartis)
 517 Columbia none
 518 UCLA Nancy Lee (Executive Vice President of International Business Operations, Walt Disney)
 519 Oxford Charles Harman (Vice President, JPMorgan)
 520 Cambridge none
 521 Imperial Col. Deepak Khanna (President, Human Health Europe & Canada, MSD)
 522 NUS Jeanette Wong (Board Director, UBS Group AG)
 523

524 Weblinks for information on trustee members

University	Link
<u>University of Oxford</u>	<u>Members of Council Governance and Planning (ox.ac.uk)</u>
<u>Stanford</u>	<u>Board of Trustees (stanford.edu)</u>

<u>University</u>	
<u>Massachusetts Institute of Technology</u>	<u>All Members The MIT Corporation</u>
<u>Harvard University</u>	<u>Harvard Corporation</u>
<u>University of Cambridge</u>	https://www.governance.cam.ac.uk/committees/council/Pages/members-listing.aspx
<u>Princeton University</u>	<u>Board of Trustees, 2023-24 Office of the President (princeton.edu)</u>
<u>California Institute of Technology</u>	<u>Board Members Board of Trustees (caltech.edu)</u>
<u>Imperial College London</u>	<u>Composition and Membership Administration and support services Imperial College London</u>
<u>University of California, Berkeley</u>	<u>Board of Trustees – UC Berkeley Foundation</u>
<u>Yale University</u>	<u>Current Board Yale University</u>
<u>ETH Zurich</u>	<u>Executive Board ETH Zurich</u>
<u>Tsinghua University</u>	<u>Current Administrators-Tsinghua University</u>
<u>The</u>	<u>University Trustees Board of Trustees (uchicago.edu)</u>

<u>University of Chicago</u>	
<u>Peking University</u>	https://english.pku.edu.cn/about.html
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<u>University of California, Los Angeles</u>	<u>Members and Advisors Board of Regents (universityofcalifornia.edu)</u>
<u>National University of Singapore</u>	https://www.nus.edu.sg/about/board-of-trustees
<u>Cornell University</u>	<u>Members Board of Trustees (cornell.edu)</u>

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