# Evaluating the epizootic and zoonotic threat of an H7N9 low pathogenicity avian influenza virus (LPAIV) variant associated with enhanced pathogenicity in turkeys.

Running title: An H7N9 variant with increased pathogenicity in turkeys

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**Abstract**

Between 2013-2017, A/Anhui/1/13-lineage H7N9 low pathogenicity avian influenza virus (LPAIV) was epizootic in chickens in China causing mild disease, with 616 fatal human cases. Despite poultry vaccination, H7N9 has not been eradicated. Previously we demonstrated increased pathogenesis in turkeys infected with H7N9, correlating with the emergence of the L217Q (L226Q H3 numbering) polymorphism in the haemagglutinin (HA) protein. A Q217 containing virus also arose and is now dominant in China following vaccination. Here, we compared infection and transmission of this Q217 containing 'turkey-adapted' (ty-ad) isolate alongside the H7N9 (L217) *wild-type* (*wt*) virus in different poultry species and investigated the zoonotic potential in the ferret model. Both *wt* and ty-ad viruses demonstrated similar shedding and transmission in turkeys and chickens. However, the ty-ad virus was significantly more pathogenic than the *wt* virus in turkeys, causing 86% mortality in turkeys, but none in chickens. Expanded tissue tropism was seen for the ty-ad virus in turkeys but not chickens, yet the viral cell receptor distribution was broadly similar in visceral organs of both species. The ty-ad virus required exogenous trypsin for *in vitro* replication yet had increased replication in primary avian cells. Replication was comparable in mammalian cells and the ty-ad virus replicated successfully in ferrets. The L217Q polymorphism also affected HA antigenicity. Therefore, H7N9 infection in turkeys can generate novel variants with increased risk through altered pathogenicity and potential HA antigenic escape. These findings emphasise the requirement for enhanced surveillance and understanding of A/Anhui/1/13-lineage H7N9 viruses and their risk to different species.

Word Count: 250

## INTRODUCTION

In 2013 the first human infection with a novel reassortant H7N9 avian-origin influenza A virus (IAV) was reported in China [1]. To date, there have been 1568 laboratory-confirmed human cases of H7N9 which include 616 fatal cases, giving a case fatality rate of 39% [2, 3], many of which have been associated with human exposure to infected poultry, predominantly at live bird markets [4]. While chickens, pigeons, and ducks have all been reported as positive for H7N9, chickens are considered as the maintenance host [5, 6]. H7N9 achieved enzootic levels in chickens across large parts of China, circulating in the absence of clinical disease as a low pathogenicity avian influenza virus (LPAIV) [5, 6]. After almost four years of extensive circulation in China, a high pathogenicity AIV (HPAIV) H7N9 variant was detected among one of the two major clades which had evolved during this period [7, 8]. Vaccination of poultry against H7N9 commenced in China during 2017 during the fifth wave and achieved apparent success in reducing detections of human infections [9]. However, despite the reduction of zoonotic H7N9 cases [9], this virus has not been eradicated from poultry in China, and sporadic detection in poultry and the environment in farms and live bird markets have continued across different provinces of China (Fujian, Guangdong and Henan) [3], suggesting continued circulation in Chinese poultry. Genetic analysis of H7N9 viruses which continue to circulate following the adoption of vaccination have consistently identified the stability of haemagglutinin (HA) gene polymorphisms, namely the change from a Leucine (L) to Glutamine (Q) amino acid residue at position 217 in the H7 mature peptide (L217Q: L226Q H3 numbering; L235Q complete protein numbering)) [10, 11].

Amino acid position 217 is located in the 220-loop of the HA protein forming part of the receptor binding site. Possession of a L at position 217 has been previously shown to increase the affinity of HA binding to 'human-like', α-2,6 sialic acid (SA), while a Q at position 217 increases affinity of binding to 'avian-like', α2,3 SA [12-14]. Thus, the Q217L polymorphism present in wave 1-5 (2013-2017) H7N9 viruses, along with other molecular motifs such E627K in the basic polymerase 2 (PB2) protein, have been attributed to the zoonotic potential of these viruses [15, 16]. These human H7N9 cases have followed a seasonal pattern with five successive winter waves [17]. The fifth wave of H7N9 (2016-2017) attained its widest geographical distribution in China [17], reinforcing earlier concerns about the spread of H7N9 to

neighbouring countries [18]. Travel-related human cases have resulted in the detection of H7N9 in Canada

and Malaysia [19, 20], while confiscated poultry meat produce smuggled from China to Japan was found to

be contain H7N9 LPAIV and HPAIV [21]. In addition, H7N9 has been demonstrated to be able to reassort

with co-circulating H9N2 virus, producing novel viruses with altered biological properties [22, 23]. Such

events may increase the risk of emergence of infection in non-chicken hosts, including other avian species,

although whether further adaptation is required is unclear. Experimental investigations of H7N9 LPAIV in

poultry have focused almost exclusively on chickens. We previously investigated the effects of H7N9

infection and transmission in turkeys [24] and, using the prototypical wild-type (*wt*) H7N9 LPAIV of human

origin (A/Anhui/1/2013), demonstrated that direct-infection of turkeys resulted in onward transmission to

contact turkeys. Moreover, we observed an unexpectedly dramatic increase in pathogenicity for a LPAIV,

resulting in clinical disease and significant turkey mortality [24]. Disease severity correlated with the rapid

and consistent emergence of the L217Q polymorphism in the HA gene, leading to the proposal that this

genetic change in the isolated turkey-adapted (ty-ad) variant may be influencing susceptibility and virulence

in this species [24].

Our current study has described separate inoculation of chickens and turkeys, using both *wt* and ty-

ad H7N9 LPAIV isolates. The infection outcomes in both poultry hosts considered the different pathogenesis,

infectivity, and transmissibility outcomes for the ty-ad and the *wt* viruses. We also explore factors which

might impact on the infection outcome, including tissue tropism, receptor distribution and replication

kinetics in different avian and mammalian cell types. The zoonotic and reverse-zoonotic potential of the ty-

ad variant was also assessed.

**METHODS**

**Viruses**

The H7N9 *wt* (A/Anhui/1/13 [H7N9]) was a kind gift from Professor John Macauley at the Francis Crick

Institute, UK, which had undergone three egg passages (EP3) in 9-11 days-old specific pathogen free (SPF)

embryonated fowls' eggs (EFEs) [25]. Three further passages in EFEs produced the EP6 stock which served

as the inoculum in the *in vivo* experiments (H7N9 *wt*). The EP6 H7N9 was sequenced in its entirely and

confirmed as being identical to the original GenBank sequence submission (accession numbers: CY187618-

CY187625) except for an amino acid change in the HA, namely N141D (complete gene numbering). The ty-

ad H7N9 virus was produced *in vivo* by infection in turkeys by Slomka, Seekings [24] and was grown (EP1)

in 12-day-old embryonated turkeys' eggs (ETEs). Both viruses were titrated in EFEs to determine the 50%

egg infectious dose ($EID_{50}$) [26] and then diluted. For replication with or without trypsin, a prototypical

HPAIV H7N7 virus was used (A/chicken/England/11406/2008) as previously characterised [27]. For all

viruses used to assess replication kinetics in cell culture, the virus titre was determined by plaque assay on

MDCK cells as described previously [22].

**Isolation and sequence analysis of the turkey-adapted (ty-ad) virus**

Previous studies demonstrated that infection of turkeys with *wt* A/Anhui/1/13 (H7N9) led to the

accumulation of amino acid substitutions [24]. A single amino acid substitution, L217Q (L226Q, H3

numbering), was consistently identified in the consensus sequence from all contact turkeys analysed [24]. To

evaluate the effect of these substitutions, we isolated and propagated a virus (ty-ad) in ETEs from kidney

tissue taken from a contact turkey which exhibited enhanced clinical signs in the last chain of transmission.

Both the *wt* and ty-ad H7N9 viruses were characterised as LPAIV by standard intravenous pathogenicity

index (IVPI) testing in chickens as described previously [24]. Whole genome sequencing (WGS) of the ty-ad

isolate also demonstrated no change in the cleavage site from that of the *wt* H7N9 virus (PEIPKGR'GLF).

However, consistent with other virus samples, WGS revealed two amino acid substitutions compared to the

*wt* H7N9 virus including the L217Q substitution in the HA gene, and a T10S substitution in the

neuraminidase (NA) gene.

**Animals**

112     For *in vivo* infection and transmission experiments, both High health status White Holland Turkeys

113     (*Meleagris gallopavo*) and specified-pathogen-free (SPF) White-Leghorn chickens (*Gallus gallus*) were used

114     at three-weeks of age. Birds were housed on solid flooring covered with straw, with food supplied *ad libidum*

115     and drinking water was refreshed daily. High health status ferrets (*Mustela furo*) between 700-1000g (around

three months-of-age) were used in zoonotic and reverse zoonotic experiments. All birds and ferrets were confirmed as serologically negative to H7N9 by hemagglutinin inhibition (HI) using homologous antigen on serum collected prior to infection. Oropharyngeal (Op) and cloacal (C) swab (chickens and turkeys) and nasal wash (ferrets) samples collected prior to infection were used to confirm negativity to influenza A virus M-gene RNA by RRT-PCR [23, 28].

**Turkey-to-turkey infection and transmission experimental design**

Two separate groups of six, three-week old turkeys were directly infected ('Donor', D0) via the ocular-nasal route with 100 µl of phosphate buffered saline (PBS) containing $1\text{x}10^6$ $EID_{50}$ of the *wt* or ty-ad H7N9 viruses. At one day-post-infection (dpi), six naïve (contact) turkeys ('first recipient', R1) were introduced into each group of directly infected turkeys. All turkeys were weighed immediately prior to infection and daily post infection.

**Intravenous turkey experimental design**

The intravenous (IV) inoculation experiment was performed according to the World Organisation for Animal Health (WOAH) protocol for IV pathogenicity index (IVPI) determination [25], using three-week old turkeys, instead of chickens. Two separate groups of ten, three-week old turkeys were directly infected via the IV route with 100 µl of sterile saline containing $1\text{x}10^6$ $EID_{50}$ of the *wt* or ty-ad H7N9 viruses.

**Chicken infection and transmission chains design**

Two separate groups of six chickens were infected via the ocular-nasal route with $1\text{x}10^6$ $EID_{50}$ of the *wt* or ty-ad viruses (D0), diluted accordingly from the respective viral stocks in sterile PBS, with 100 µl inoculum administered per bird. At one dpi, six naive chickens were co-housed with the directly infected chickens (R1). At four dpi, the D0 birds were removed, and six additional naive chickens were placed in contact with the R1 chickens ("second recipient", R2) to establish a chain of transmission.

**Ferret model of zoonosis and reverse zoonosis**

Six ferrets were infected via the intranasal route with 0.5 ml (0.25ml per nare) of PBS containing $1\times10^{6}$ $EID_{50}$ of the ty-ad virus. The experiment was conducted in two identical blocks. In each block three ferrets were housed in the middle of three conjoined housing unit, in a linear arrangement, with the central unit separated from its two adjacent units by means of a perforated perspex barrier. One ferret from each block (total of two ferrets) were culled at six dpi for immunohistochemistry (IHC) analysis. For each ferret the external nasal tissues were washed with 1 ml PBS on two, three, four, five, six, seven and eight dpi; 1 ml of PBS was used to wash the internal nasal cavity on days two, four, six and eight dpi as previously described [22, 29]. Blood was collected from all remaining ferrets at the end of the experiment at 14 dpi, for serological testing. Six chickens and six turkeys were housed in the two adjacent cages on three and five dpi for six hours, after which the birds were moved to a new room with a separate pen for each species and group. All birds were swabbed daily and monitored for clinical signs. Blood samples were collected from all birds at 14 dpi.

**Sample collection and processing**

Turkeys and chickens were swabbed once daily from the Op and C cavities and swabs processed into 1 ml of brain heart infusion broth (BHIB) as previously described [24]. Tissue samples were collected from two turkeys and two chickens pre-destined (random allocation) for culling on four and six dpi. Tissue samples were also taken from five birds which were found dead, or euthanised due to severe infection reaching clinical end points on six or eight dpi. All tissue samples were taken into 1 ml of Brain Heart Infusion broth (BHIB) to achieve around 10 % (w/v) for each sample. Samples of drinking water and faeces were collected from the environment of infected or contact animals and processed as previously described [30].

**RNA extraction and AIV reverse transcription Real-Time PCR (RRT-PCR)**

RNA was extracted from swabs, tissues and environmental samples as previously described [24] and extracted RNA was tested by the M-gene RRT-PCR which featured the primers and probe designed by Nagy, Vostinakova [28], as described previously to detect AIV viral RNA (vRNA) [24]. Ct values were titrated

against a ten-fold dilution series of H7N9 *wt* RNA of a known titre and were converted to relative equivalent

units (r.e.u.) as described previously [23]. Ct values $\geq 36$ were considered negative for influenza A virus.

**Whole genome sequencing (WGS)**

Whole-genome sequencing was undertaken on the RNA extracted from inocula, and clinical samples as

previously described [24].

**Serology**

Serological analysis was undertaken as previously described for sera of turkey [24] and chicken origin [23].

Ferret sera were treated with four volumes of receptor-destroying enzyme (APHA Scientific, Weybridge,

UK) as previously described [22]. Detection of seroconversion to subtype-specific (homologous) HA

antigens was conducted using the haemagglutination inhibition (HAI) assay, using four hemagglutination

units of the corresponding H7N9 LPAIV as the antigen [25].

**Replication kinetics and plaque assay**

Replication kinetics were assessed in chicken, turkey, or duck embryo fibroblasts (CEF, TEF, DEF

respectively), MDCK (canine), CACO2 (human) and NPTr (swine) cells. Cells (80-90% confluency) were

used in six well plates; after removal of media and wash steps with PBS, the cells were infected with 400 µl

of virus at an MOI of 0.01. Each plate was then placed in an incubator at 37 ºC for one hour, gently rocking

the plate every 20 minutes. The viral inoculum was then removed, and cells washed with PBS. Dulbecco's

Modified Eagle Medium (DMEM) containing TPCK trypsin (SIGMA) with a final concentration of 1 µg/ml

was then added to each well. The plates were incubated at 37 ºC and samples collected at indicated time

points and stored at -80 ºC for further use. For plaque assays, 6 well plates of TEFs or MDCKs were

inoculated with 10-fold serially diluted virus in DMEM and added to the plates as above. Flu overlay

(immunodiffusion grade melted agarose, Sigma-Aldrich) containing TPCK trypsin with a final concentration

between 1 µg/ml was added to each well, 2 ml of this mixture was then added to each well. The plates were

incubated at 37 ºC for a period of four days, placed inverted to prevent condensation build up in the wells.

Viruses were then harvested from the infection foci for use in determining individual viral growth kinetics.

**Immunohistochemistry (IHC) and characterising α-2,3 and α-2,6 receptor distribution in avian tissues**

Tissue samples were fixed in 10 % (v/v) neutral buffered formalin (VWR, East Grinstead, UK) and processed routinely through graded alcohols and chloroform and embedded in paraffin wax. Four-micrometre-thick sections, cut on a rotary microtome, were stained with haematoxylin and eosin (H&E) or used for immunohistochemical (IHC) detection of influenza A nucleoprotein as previously described [24]. For lectin staining of virus receptor distribution in tissues, slides were dewaxed in xylene and passed through graded alcohols to Tris-buffered saline solution with 0·05% Tween (TBSt) (0·005M Tris, pH 7·6, 0·85% w/v NaCl). Samples were subsequently incubated with biotinylated *Maackia amurensis* lectin II (1/100) (Vector Laboratories, Peterborough, UK) for the α2,3 'avian receptor', or biotinylated *Sambucus nigra* (Elderberry) bark lectin (1/1000) (Vector Laboratories) for the α2,6 'human receptor' for 1 hour, and VECTASTAIN Elite ABC-peroxidase reagent (Vector Laboratories) for 30 minutes, at room temperature. Sections were washed three times with TBSt between incubations. The IHC signal was visualised using 3,3 diaminobenzidine (Sigma-Aldrich, Poole, UK), and sections were counterstained in Mayer's haematoxylin (Surgipath, Peterborough, UK), dehydrated in absolute alcohol, cleared in xylene and mounted using dibutyl phthalate xylene (DPX) and glass coverslips. Samples were processed and images captured in a double-blinded fashion and scored semi-quantitatively by trained veterinary pathologists based on the intensity and distribution of the staining (-, absent; +/-, minimal; +, mild; ++, moderate).

**Statistical analysis**

To assess disparities among various groups, one-way analysis of variance (ANOVA), Two-way ANOVA, or paired students t-tests were carried out (GraphPad Prism v8). A significance threshold of P values ($<0.05$) was set. Area under the curve (AUC) analysis was performed on total shedding as previously described [30].

**RESULTS**

**The ty-ad H7N9 virus has increased pathogenicity for turkeys but not chickens.**

Following direct ocular-nasal infection of turkeys, the *wt* virus caused 33 % mortality in the D0 turkeys between 8 and 8.5 dpi (Fig. 1A). Comparatively, the ty-ad virus caused 100 % mortality in D0 turkeys

between 6 and 8 dpi. Similarly, following transmission to naive contact turkeys, the *wt* virus caused 0 %

mortality, yet the ty-ad virus caused 100 % mortality (Fig. 1A). Importantly, all surviving *wt* virus infected

turkeys demonstrated seroconversion to H7N9 when tested by HAI at 14 dpi which confirmed infection (data

not shown). A similar difference in pathogenicity was observed when turkeys were inoculated with either

virus intravenously; the *wt* virus caused 60 % mortality by 8 dpi, whereas the ty-ad virus caused 100 %

mortality within 5 dpi (Fig. 1B). The ty-ad virus infected turkeys also exhibited reduced, but not statistically

significant weight gain compared to the *wt* infected turkeys, for both D0 and R1 groups (Fig. S1). By

contrast, chickens (both D0 and R1 groups) exhibited 0 % mortality (Fig. 1C) with either virus. Taken

together, these data indicate that the ty-ad virus is more pathogenic than the *wt* virus in turkeys, but not in

chickens.

**Both the *wt* and ty-ad virus infected birds shed similar levels of vRNA and transmitted infection**

**equivalently in both turkeys and chickens.**

Both the *wt* and ty-ad viruses successfully infected all six of the respective D0 turkeys or chickens. For both

groups, vRNA was detected in both the Op and cloacal C swabs taken from turkeys (Fig. 2A and B) and

chickens (Fig. 3). Directly infected (D0) turkeys and chickens shed peak levels of vRNA of between $1\times10^5$ to

$1\times10^6$ r.e.u. from the Op cavity following infection with either virus (Fig. 2A and B and Fig. 3A and C).

based on AUC analysis, there was no significant difference in total amount of viral shedding during the

shedding period (Fig. 2A and E). The levels of vRNA detected in the water and environmental faecal sources

was largely comparable between turkeys and chickens infected with either virus, although slightly greater

vRNA was detected in the ty-ad group between 4 and 8 dpi (Fig. S2).

The transmission efficiency was 100 % for both viruses in both species; all R1 turkeys and chickens

became infected and shed vRNA from the Op and C cavities (Fig. 2C & D and Fig. 3). For the turkeys,

positive vRNA levels were first detected for both *wt* and ty-ad R1 groups at 3 days post-contact (dpc),

indicating transmission within a similar timeframe. Op and C vRNA shedding profiles for the contact turkeys

were similar to those of the directly infected turkeys (Fig. 2). For the chickens, a chain of transmission was

established from D0 to R1 and subsequently to R2 chickens, with 100 % successful transmission throughout

the chain. However, the ty-ad vRNA was detected in swab material from the R1 chickens a day earlier than

the *wt* virus (Fig. 3A and C).

All chickens and turkeys which survived until the end of the study (19 dpi) were seropositive by the

HAI against the H7N9 antigen (data not shown). Interestingly, using post infection anti-sera raised against

the *wt* or ty-ad viruses, there was a statistically significant drop in reciprocal HAI titres between the L217

and Q217 antigens, for homologous compared to heterologous sera (Fig. S3).

**Differences in systemic H7N9 distribution between turkeys and chickens, with increased tissue**

**dissemination of ty-ad in turkeys compared to *wt* virus.**

The tropism of the *wt* and ty-ad viruses was investigated by vRNA quantification and viral antigen detection

by IHC. Tropism was investigated in tissues collected from at least two turkeys and two chickens per group -

culled while apparently healthy on 4 and 6 dpi (Fig. 4A, B, D and E). In the turkeys, both the *wt* and ty-ad

vRNA were detected in a range of organs, including respiratory (nasal turbinates, trachea lung and air sacs),

and other visceral (intestine, spleen, heart, liver and brain) organs (Fig. 4A, B, Fig. S4 and Table S1).

However, the ty-ad virus was detected more frequently and with higher vRNA and IHC levels than the *wt*

virus. The vRNA levels were also quantified from the organs of five turkeys that died as a result of *wt* and ty-

ad virus infections on 6 and 8 dpi, with the three ty-ad virus group mortalities exhibiting a more widespread

virus detection in all sampled organs (Fig. 4C). By contrast, chickens exhibited considerably lower vRNA

levels in all organs, with limited dissemination beyond the respiratory and enteric tracts, at both 4 and 6 dpi

(Fig. 4A-C compared to D and E). There were also no major differences in virus tropism between the *wt* and

ty-ad virus in the chickens (Fig. 4D and E).

**Turkeys and chickens have broadly different sialic acid receptor distributions in their respiratory**

**tracts, but similar distributions in visceral organs.**

The L217Q mutation has been previously shown to change virus receptor preference from α-2,6-

sialyllactosamine (α-2,6) to α-2,3-sialyllactosamine (α2,3) [12]. The ty-ad virus possesses a Q at amino acid

position 217, therefore we hypothesised that differences in α-2,3 and α-2,6 linked SA might explain the

expanded tissue tropism of the ty-ad virus in turkeys. Using lectins which bind to α-2,3 (Maackia Amurensis

Lectin II [MALII]) or α-2,6 (sambucus nigra [SNA]), the host receptor distribution was assessed in turkey

and chicken tissues where the highest levels of vRNA were detected (nasal turbinates, trachea, lung, pancreas

and kidney) (Fig. 4 and S5, Table 1). Turkey and chicken tissues had similar α-2,3 and α-2,6 receptor

staining distribution although some differences were observed. Turkey tissues had more intense MALII

(α2,3) labelling in the nasal cavity and trachea compared to SNA (α2,6) staining (Table 1). Further, in

turkeys staining in the nasal cavity and trachea was more intense for MALII (α-2,3) compared to that

observed in the same tissues from chickens (Table 1). Interestingly, whilst SNA (α-2,6) staining in the

trachea of turkeys was less pronounced than that seen in chickens, the SNA (α-2,6) staining in turkey

kidneys was more intense than observed in chickens (Table 1).

**Both *wt* and ty-ad viruses require trypsin for efficient replication, but the ty-ad virus replicates to**

**higher titres in avian cells.**

vRNA from Op swab samples collected at six dpi from all six turkeys directly infected with the ty-ad virus

was sequenced. Sequence analysis revealed a single basic cleavage site (CS) sequence present in HA

(PEIPKGR'GLF) in all sequences, identical to the CS sequence in the wt and ty-ad viruses used for the

inocula. In cell culture, both the *wt* and ty-ad H7N9 viruses required the presence of trypsin to replicate; both

viruses formed plaques with trypsin yet failed to form plaques without trypsin (Fig. 5A). In comparison, a

HPAIV H7N7 virus did form plaques without trypsin (Fig. 5A). The *wt* and ty-ad viruses were also compared

for replication in primary duck, chicken and turkey embryonic fibroblast (DEF, CEF and TEF) cells as well

as human (CACO-2), swine (NPTr) and canine (MDCK) cells (a cell line highly susceptible and permissive

to IAV replication). In all three of the different avian cells, the ty-ad virus replicated to statistically

significantly higher titres compared to the *wt* virus (Fig. 5B-D). In the different mammalian cells however,

there was no statistically significant difference in virus replication between the *wt* and ty-ad viruses over the

72-hour culture period (Fig. 5E-G).

**The ty-ad virus represents a zoonotic threat but does not exhibit reverse zoonotic transmission potential.**

We next investigated the zoonotic consequence of the ty-ad virus by investigating its ability to infect and replicate in ferrets, a model for human influenza A virus infection. Four ferrets (two per block) were directly inoculated intranasally with $1 \times 10^6$ $EID_{50}$ of the ty-ad virus and successfully became infected, with vRNA being detected in interior nasal wash samples from 2 until 6 dpi (Fig. 6A). Viral RNA was also detected (above the threshold for assay positivity) from 2 to 6 dpi from exterior nasal wash samples. The viruses present in the interior nasal wash samples from all ferrets at 4 or 6 dpi was sequenced (Fig. 6; yellow filled circles). Sequence analysis revealed that viruses present in all ferrets retained the Q217 polymorphism of the input virus at a consensus level (data not shown). Influenza viral NP was also detected in the respiratory turbinates, trachea and lungs of the two ferrets pre-destined for IHC analysis at 6 dpi (Fig. S6 and Table S2). All four of the remaining ferrets bled at study-end (14 dpi) had seroconverted to homologous H7N9 antigen by HAI (Fig. 6B). To mimic reverse zoonoses the ability of infected ferrets to transmit virus to naive turkeys and chickens was investigated. No vRNA was detected in either Op or C avian swabs collected daily for 14 days post exposure (dpe) from either block (data not shown). In addition, none of the chickens or turkeys seroconverted to homologous H7N9 antigen by HAI by the same time-point from either block (Fig. 6B).

**DISCUSSION**

Since its emergence in 2013 in China, A/Anhui/1/13-lineage H7N9 LPAIV has continued to circulate in poultry and threatens human health. Currently H7N9 is located exclusively in China [31] where poultry production consists largely of chickens and ducks with almost negligible turkey production [32]. Despite widespread poultry vaccination in China, H7N9 continues to be detected in poultry [3], with an ever present concern that geographical expansion of H7N9 could increase its zoonotic and epizootic risk through reassortment with indigenous AIVs, or access to novel hosts [22].

Previously, the risk of A/Anhui/1/13-lineage H7N9 infection in turkeys was investigated, modelling a scenario in which H7N9 virus expands into neighbouring countries with a larger turkey poultry sector [24].

Infection and transmission of H7N9 LPAIV in turkeys was associated with the 217 L217Q (H7 numbering of

the mature protein) polymorphism in the HA gene [24]. An H7N9 virus from a turkey with severe clinical

disease (termed the 'ty-ad' virus) was isolated that contained two amino acid substitutions, one in the HA

(L217Q) and one in the neuraminidase (NA; T10I) proteins. In this study we explored the outcome of

infection for two poultry species (chicken and turkey) with this 'ty-ad' virus variant compared to the *wt* virus.

We further investigated potential mechanisms which might underpin the differences in observed infection

outcome and explored the zoonotic and reverse zoonotic risk of these viruses.

*In vivo,* when inoculated intravenously or intranasally, the ty-ad virus caused greater, and more rapid,

mortality in turkeys than the *wt* H7N9 virus strain. This disparity in clinical disease between the *wt* and ty-ad

virus was not observed in experimentally infected chickens. Post-mortem investigation of the turkeys in the

'ty-ad' virus infected group revealed a systemic tissue dissemination of vRNA with higher levels of viral

RNA being detected in a wider range of visceral organs compared to the *wt* virus. By contrast, following

chicken infection, this difference in vRNA levels and its systemic distribution was not observed between the

two virus variants. However, both viruses displayed comparable shedding profiles from both the Op and C

cavities. Different pathogenic outcomes between chickens and turkeys infected with H7 AIVs has been

reported previously [33]. However, the genetic determinants for virulence and pathogenesis of HPAIV in

turkeys are largely unknown. For AIVs, tropism is determined by a combination of factors including (i) the

distribution of proteases required to cleave HA0 to HA1 and HA2 required for infectivity, (ii) the distribution

of the sialic acid (SA) receptors to which the viral hemagglutinin (HA) binds, and (iii) cells being permissive

(allowing viral replication) for viral infection. We therefore explored these factors which might underpin the

observed differences in pathogenicity and tropism, between the turkey and chicken hosts following infection

with the two H7N9 LPAIV variants.

The major genetic determinant of AIV tropism is the presence of a multiple basic amino acids

proximal to the cleavage site in the HA protein, termed a multibasic cleavage site. This multibasic cleavage

site facilitates the cleavage of HA0 to HA1 and HA2 (required for infectivity) by proteases found

ubiquitously in the animal tissues [34]. Sequence analysis of the *wt* and ty-ad virus inocula confirmed a

single basic cleavage site typical of a LPAIV. Moreover, both viruses required TPCK trypsin for growth in turkey cells (TEFs), affirming a LPAIV phenotype. Therefore, the expanded tropism of the ty-ad virus in turkey tissues may be independent of the restriction imposed by the tissue localisation of proteases, which typically restricts the tropism of most LPAIVs to mainly the respiratory and / or enteric tracts of chickens and turkeys [34]. Proteolytic activation of LPAIV HA occurs extracellularly driven by airway proteases, the trypsin-like serine proteases human airway trypsin-like protease (HAT) and transmembrane protease serine 2 (TMPRSS2), albeit a minority of viruses possess HA cleavage site motifs that are processed by other proteases (reviewed by Kido, Okumura [34]). These 'atypical' viruses, including the 1918 influenza A virus [35], can utilise proteases found ubiquitously in tissues of multiple organs for cleavage, and therefore can potentially increase tissues dissemination and pathogenicity. For H9N2 infections, changes in the cleavage site have been shown to alter the clinical outcome in turkeys [36]. The predominant proteases present in other cell types and how they may differ in distribution in turkeys compared to chickens, along with which proteases may differentially cleave the HA of the *wt* and ty-ad viruses, remain to be identified. However, conserved expression and functionality of furin protease has been observed between chickens and ducks [37]. Therefore, considering that turkeys and chickens are closely related, with ~90% genome identity [38], it is unlikely that separate protease compositions exist between the two species. However, non-basic amino acid variations in H9N2 viruses have been shown to bestow a HPAIV phenotype in turkeys compared to chickens [36]. Although the L217Q substitution, present in the HA of the ty-ad virus, is not located near to HA1/HA2 cleavage site, and is therefore unlikely to alter the protease preference.

The L217Q polymorphism has been previously shown, for various IAV strains including H7N9, to change the receptor preference by increasing the affinity of haemagglutinin to α-2,6 SA [12-14]. The A/Anhui/1/13 isolate being a human derived virus has a naturally high affinity to α2,6, but is also able to bind α-2,3 SA with a relatively high affinity [14, 39-41]. Recent receptor preference analyses for H7N9 have demonstrated that Q217 increases affinity to α2,3 SA [14]. Consequently, we investigated whether the expanded tropism could be due to a greater density of α2,3 SA in turkey tissues. Previously we did not observe any altered pathogenicity following ty-ad virus infection in chickens [24], we compared the receptor distributions between turkey and chicken tissues. Using lectins which bind preferentially to α2,3 or α2,6 SA,

we found that turkeys have a high abundance of α2,3 SA in the nasal turbinates and trachea, comparatively higher than those found in equivalent chicken tissues. The nasal turbinates and trachea are the major site of H7N9 replication, and therefore might explain the rapid emergence of the L217Q polymorphism reported previously [24]. However, analysis of the turkey visceral organs revealed comparatively lower α2,3 levels than α2,6 SA as observed by others [42], suggesting that differences in receptor distribution alone are not likely responsible for the increased tropism or pathogenicity observed. Receptor distribution in chicken and turkey tissues performed by lectin binding served to assess the overall distribution of the α2,3 and α2,6 sialosides, and did not identify other receptor derivatives, such as those possessing specific modifications, e.g. sulphated α2,3 sialosides which appear to be important as receptors for other AIVs [43, 44]. It has also been recently reported that the abundance of sialic acid receptors does not necessarily correlate with the preference shared by AIVs [45]. Therefore, a more detailed investigation into the glycome of different avian species is required to fully explore this mechanism, and its possible role in effecting H7N9 LPAIV binding to different turkey tissues, and how this may differ for the H7N9 wt and ty-ad LPAIV variants.

We also compared the replication kinetics of both the *wt* and ty-ad viruses in a range of avian and mammalian cells. In turkey, chicken and duck primary cells the ty-ad virus showed a statistically increased level of replication, compared to the *wt*. However, no significant differences in replication were observed in either human, swine, or the highly sensitive and permissive MDCK (canine) cell line. Together, these observations indicated that the ty-ad virus confers a generic replicative advantage in avian, but not mammalian cells. This similar level of replication in mammalian cells with Q217 has also been previously observed [14]. Clearly, multiple factors determine the replication kinetics of AIVs. Considering that the polymorphisms in the ty-ad virus are outside the polymerase, the dynamism of vRNA replication is unlikely to be a contributory factor. This observation is more likely due to different receptor utilisation, or possibly related to a different pH of HA activation. The optimal pH of HA activation, or pH of fusion, is species dependent, and pH has been demonstrated to alter replication kinetics in *ex vivo* organ cultures [46] and for an H5N1 HPAIV isolate *in vivo* [47]. The Q217 slightly decreases the pH of fusion when assessed by syncytia formation assays [14]. Interestingly, it has been suggested that AIVs tend to favour a lower pH of fusion upon infection and circulation in turkeys, in comparison to those isolated from other avian hosts [48].

Another consideration, not explored in this study, is the differential host response induced by the *wt*

and ty-ad viruses. Indeed, turkeys appear to mount a different host response than chickens in response to H7

infection, particularly from genes involved in RNA metabolism and the innate immune response [33].

However, it is uncertain whether single amino acid changes in the HA and NA proteins would contribute

directly to different immune responses or enhance immune evasion of these viruses. The immune responses

to influenza A virus infection in different avian species, including different galliforme species, is

understudied and requires further investigation.

Alongside the Q217 polymorphism identified in the ty-ad virus, a threonine to isoleucine

polymorphism was also detected in the NA protein at residue position 10 (T10I). The function of this

mutation has not been documented in the literature, however, an isoleucine at this position is frequently

detected in a number of other NA subtypes. This polymorphism is located in the transmembrane domain of

NA, away from the functional site and stalk, as such, this polymorphism is unlikely to contribute to the

different pathogenicity observed across the two species.

Antigenic comparisons between viruses possessing either Q217 or L217 induced 23- and 8-fold

reductions in HAI titre with ferret and chicken antisera, respectively [49]. In this study we saw similar

antigenic differences between the ty-ad (Q217) and *wt* (L217) H7N9 viruses, reinforcing this finding.

However, the differential receptor binding of the L217Q mutation has been postulated to reduce the avidity

of binding to chicken red blood cells, thereby over exaggerating antigenic changes in HAI assays. Despite

the possible effect of different avidities, the L217Q polymorphism has previously been shown to emerge as

an antigenic 'escape' mutant upon incubation with H7N9 A/Anhui/1/13 antisera, indicating a genuine role of

Q217 in the HA antigenicity of H7N9 [49]. In addition, since 2017, China has implemented a mass

vaccination program of poultry against H7N9. This campaign has successfully reduced zoonotic cases yet

has not eradicated H7N9 from poultry in China. Genetic analysis of H7N9 viruses detected in poultry after

the introduction of the vaccine has highlighted an increased detection of Q217 in the sequenced HA gene

[10, 11]. The vaccine consists of the HA antigen derived from an A/Anhui/1/13-like candidate vaccine virus

[49]. As such, this work suggested that Q217 emergence through antigenic evolution may afford greater

pathogenic outcomes in some susceptible avian species, such as turkeys. Through *in vitro* characterisation,

Q217 appears to have reduced zoonotic ability [14]. However, in this study we observed that the ty-ad virus

was still capable of infecting ferrets, producing similar levels of vRNA in nasal wash samples, when

compared to that of H7N9 *wt* virus ferret infections conducted in the same animal facilities [22, 29]. This

observation suggested that while the ty-ad virus possess no greater zoonotic risk to *wt*, it still likely retains its

ability to infect humans. We also explored the potential for this virus to spread from infected ferrets to

chickens or turkeys, in an attempt to mimic reverse zoonotic transmission. No evidence of transmission to

naïve birds which shared the same airspace could be detected.

In conclusion, this study has demonstrated that the ty-ad virus emerged rapidly following infection

of turkeys, possibly due to high levels of $\alpha2,3$ SA in the major sites of virus replication in turkeys. When this

adaptation occurred, it enabled the virus to replicate systemically in a wider range of organs, and at implied

higher titres. This enhanced tropism conferred increased pathogenesis and mortality to infected turkeys. The

enhanced tissue tropism was not due to any change in the ability of the virus to replicate without trypsin, or

due to any obviously different receptor distributions in the turkey organs. However, the adaptation did confer

a statistically significant increase in replication in avian cells, including turkey cells, but not mammalian

cells. The exact mechanism for the systemic tropism of ty-ad virus and its associated pathogenesis in turkeys

remains elusive. However, this work highlighted the requirement for continued surveillance of low

pathogenicity H7N9, particularly as vaccination programmes continue.

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**Author contribution**

M.J.S., A.H.S., S.M.B. and J.J. conceived and designed the studies. J.J., A.H.S., S.M., A.N., S.T., M.J.S. performed the experimental work. J.J., S.M., S.T., M.J.S., A.C.B interpreted the data. J.J. and A.C.B, drafted the manuscript. All authors reviewed and edited the manuscript.

**Conflict of interest**

The authors declare no competing interests.

**Ethical and safety statement**

All animal experiments and procedures required approval from the local APHA Animal Welfare and Ethical Review Body to comply with the relevant European and UK legislation and were carried-out in accord with the Home Office (UK) Project Licences 70/8332 and 70/8692. Any infected poultry which began to display severe clinical signs were euthanised and were recorded as a mortality. UK regulations categorise the H7N9 LPAIV as a SAPO-4 and ACDP-3 pathogen because it is a notifiable animal disease agent and presents a zoonotic risk. All laboratory and containment work with H7N9 specimens, including infected poultry, was done in licenced BSL3 facilities.

**FIGURE LEGENDS**

**Fig. 1. Survival of turkeys infected with H7N9 *wt* and ty-ad virus variants.**

**A and C.** The survival rates of six turkeys (**A**) or chickens (**C**) directly inoculated (D0) (solid lines) with $1\times10^6$ EID$_{50}$ of the *wt* (blue lines) or ty-ad (red lines) virus variants. The survival of six turkeys (**A**) or chickens (**C**) co-housed with either group at 1 day post infection (R1) (dashed lines) or six contact chickens

added at 4 dpi (dotted lines) is also shown. **B.** The survival rates of 10 turkeys inoculated intravenously with $1 \times 10^6$ $EID_{50}$ of *wt* (blue lines) or ty-ad (red lines) virus variants.

**Fig. 2. Viral RNA shedding from *wt* and ty-ad virus infected or contact turkeys.**

Viral RNA shedding profiles from turkeys directly inoculated with $1 \times 10^6$ $EID_{50}$ with *wt* (blue lines) or ty-ad (red lines) virus variants (D0) (**A & B**) or turkeys co-housed with either group at 1 day post infection (R1) (**C & D**). Symbols show individual bird vRNA levels and lines indicate the mean vRNA levels for all live birds in a given group, quantified as r.e.u. (see Methods for details). Viral RNA shedding was quantified from swabs collected from the oropharyngeal (Op) (**A & C**) or cloacal (C) cavities (**B & D**). Shedding profiles from individual birds were used to calculate area under the curve (AUC) and graphically displayed for the directly infected (D0) (**E**) or contact (R1) (**F**) birds. One-way ANOVA with multiple analysis was performed comparing the Op and C AUC shedding for both the D0 and R1 groups, ns (not significant) indicates P-value $>0.05$.

**Fig. 3. Viral RNA shedding from *wt* and ty-ad virus infected or contact chickens.**

Viral RNA shedding profiles from chickens directly infected with $1 \times 10^6$ $EID_{50}$ with *wt* (**A & B**) or ty-ad (**C & D**) virus variants (D0) (black lines) or chickens co-housed with either group at 1 dpi (R1, red lines) or 4 dpi (R2, teal lines). The D0 chickens were removed prior to the addition of second contact (R2) chickens at 4 dpi to facilitate an extended transmission chain. Symbols relate to individual birds and lines indicate the mean virus titre (r.e.u.), the pink shaded areas indicate the window of detectable viral RNA being shed from the R1 chickens. Viral RNA shedding was quantified in from swabs collected from the oropharyngeal (Op) (**A & C**) or cloacal (C) cavities (**B & D**).

**Fig. 4. Viral RNA levels in different organs of directly infected turkeys and chickens.**

Viral RNA was quantified in organs collected from turkeys (**A, B & C**) or chickens (**D & E**) directly infected with $1 \times 10^6$ $EID_{50}$ of the *wt* or ty-ad virus variants. Organs were taken from 2 birds in each group which were culled at 4 dpi (**A & D**) or 6 dpi (**B & E**). Organs were taken from turkeys which were found dead or

euthanised following onset of severe clinical signs at 6 or 8 dpi (**C**). Detection of viral RNA is shown

graphically in a heat map ranging from zero to $1\times10^9$ relative equivalency units (r.e.u.)**.**

**Fig. 5. Replication kinetics of *wt* and ty-ad virus variants in cells from different species.**

**A.** Representative well visualising plaques on turkey embryo fibroblasts (TEF) cells from three independent

replicates with the same dilution of wt, ty-ad or A/chicken/England/11406/2008 (H7N7 HPAIV) viruses in

the presence (+) (2μg/ml) or absence (-) of TPCK trypsin. Plaque assays were stained with crystal violet. **B-**

**G.** Virus replication of *wt* (blue) and the ty-ad (red) virus variants in chicken, turkey or duck embryo

fibroblasts (CEF, TEF, DEF), MDCK (canine), CACO2 (human), NPTr (swine) cells. Cells were infected at a

MOI of 0.01 with each virus and cell supernatant was harvested at 24, 48 or 72 hours post infection. Viral

titres were determined based by plaque forming units per ml (PFU.ml$^{-1}$) using plaque assay. Each time point

corresponds to the mean of four biological replicates with standard deviations indicated. Two-way ANOVA

with multiple analysis was performed comparing the two strains, * indicates P-value <0.05, ** indicates P-

value < 0.005, *** indicates P-value ≤ 0.001.

**Fig. 6. Zoonotic and reverse zoonotic infection dynamics for the ty-ad virus.**

Infection dynamics of the ty-ad virus in the ferret model and investigation of reserve zoonotic infection from

ferrets to chickens and turkeys. **A.** Virus titre estimates (r.e.u.) derived from interior (pink) or exterior (black)

nasal wash samples collected from six ferrets (three per block) directly infected intranasally with $1\times10^6$

EID$_{50}$ of the ty-ad virus. One ferret per block (total of two ferrets) were culled at 6 dpi for IHC analysis.

Symbols show an individual ferret's vRNA levels in the washes, while continuous lines indicate the mean

vRNA levels. Yellow filled circles indicate the interior nasal wash samples for which sequencing of amino

acid position 217 in HA was performed and confirmed as Q217 (samples from five ferrets at four dpi and one

ferret at six dpi) **B.** HAIs were performed on sera taken from four directly inoculated ferrets at the end of the

experiment (14 dpi). Chickens (n=12) and turkeys (n=12) were similarly bled at 14 dpi, after having been

housed in the same air space, yet physically separated, from the infected ferrets for a 6 h period at 3 dpi. HAI

assays were performed using homologous H7N9 antigen.

**SUPPLEMENTARY FIGURE LEGENDS**

**Fig. S1. Percent weight change of turkeys infected (D0) with *wt* or ty-ad virus variants, or of turkeys housed in contact (R1) with either group.**

Six turkeys (D0) were infected via the oronasal route with $1\times10^6$ $EID_{50}$ or either *wt* or ty-ad virus variants. Six further turkeys (R1) were co-housed with either group at 1 day post infection. All turkeys were weighted daily, and percentage weight change was calculated from their original weight prior to infection.

**Fig. S2. Detection of vRNA in environmental drinking water and faecal samples following infection and transmission of *wt* or ty-ad virus variants in turkeys.**

vRNA detection in drinking water (**A and C**) or faecal samples (**B and D**) collected from the environment of infected or contact turkeys (**A and B**) or chickens (**C and D**) from **Fig 2** or **Fig 3** respectively.

**Fig. S3. Homologous H7 HAI titres from infected turkeys.**

HAI assays were performed on serum taken from chickens and turkeys direct infected with *wt* (total n=17; n=8 chickens; n=9 turkeys) or ty-ad (total n=14; n=6 chickens; n=8 turkeys) viruses. Serum was collected between 8- and 19-days post infection. The HAI assays were performed using homologous or heterologous the *wt* and ty-ad viruses. Individual points are shown with lines connecting paired sera. Paired t-tests were performed comparing the L217 and Q217 antigens. \*\*\* indicate p-value <0.001. \*\* indicate p-value <0.01.

**Fig. S4. Representative IHC images from two turkeys infected with *wt* or ty-ad H7N9 viruses.**

Representative IHC images showing the presence of influenza A virus Nuclear Protein (NP) antigen on the indicated tissues collected from turkeys infected with *wt* or ty-ad H7N9 viruses. Tissues were collected from birds (*wt*, bird E or ty-ad, bird G) which were culled at 6 dpi. NP labelling is shown in brown.

**Fig. S5. Representative images showing lectin staining for sialic acid receptor distribution in chicken**

**and turkey tissues.**

Representative images showing the staining of α2,3 (Mal II) and α2,6 (SNA) sialic acid using lectins.

Respective lectin staining of tissues collected from the nasal cavity of uninfected chickens and turkeys

shown in brown.

**Fig. S6. Representative IHC images from two ferrets infected with *wt* or ty-ad H7N9 viruses.**

Representative IHC images showing the presence of influenza A virus NP antigen in of the indicated tissues

collected from ferrets infected with the ty-ad H7N9 virus. Tissues were collected from ferrets which were

culled at 6 dpi. NP labelling is shown in brown.

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# Fig. 1

# Fig. 2

# Fig. 3

# Fig. 4

# Fig. 5

**Fig. 6**

# Table 1

**Table 1.** α2,3 and α2,6 linked sialic acid distribution in chicken and turkey tissues

| Lectin (receptor preference) | Tissue | Chicken | | | Turkey | | | Average Chicken | Average Turkey |
|---|---|---|---|---|---|---|---|---|---|
| | | **A** | **B** | **C** | **A** | **B** | **C** | | |
| MAL II (α2,3) | Nasal cavity | +/- | + | + | ++ | ++ | ++ | + | ++ |
| | Trachea | +/- | + | + | ++ | ++ | ++ | + | ++ |
| | Lung | + | + | + | + | + | + | + | + |
| | Pancreas | + | + | + | + | + | + | + | + |
| | Kidney | ++ | ++ | ++ | ++ | ++ | ++ | ++ | ++ |
| SNA (α2,6) | Nasal cavity | + | ++ | + | +/- | + | + | + | + |
| | Trachea | + | ++ | ++ | + | ++ | + | ++ | + |
| | Lung | + | + | + | + | + | + | + | + |
| | Pancreas | +/- | + | + | ++ | + | + | + | + |
| | Kidney | + | + | + | ++ | ++ | ++ | + | ++ |

Semi-quantitative scoring was performed in blinded fashion; -, absent; +/-, minimal; +, mild; ++, moderate