Roles of the 5-HT1A receptor in zebrafish responses to potential threat and

in sociality

Loanne Valéria Xavier de Bruce Souza[1,2], Larissa Nunes Oliveira[1,2], Bruna Patrícia Dutra

Costa[1,3], Monica Lima-Maximino[4], Hellen Viviani Veloso Corrêa[2], Caio Maximino[1,2]

[1] Laboratório de Neurociências e Comportamento "Frederico Guilherme Graeff",

Universidade Federal do Sul e Sudeste do Pará, Marabá/PA, Brazil

[2] Programa de Pós Graduação em Neurociência e Comportamento, Universidade Federal

Pará, Belém/PA, Brazil

[3] Rede de Biodiversidade e Biotecnologia da Amazônia Legal, Marabá/PA, Brazil

[4] Laboratório de Neurofarmacologia e Biofísica – LaNeF, Universidade do Estado do Pará,

Marabá/PA, Brazil

ABSTRACT

Anxiety is a normal emotion representing a reaction to potential danger, whereas fear can be

defined as a reaction to real, explicit danger. Anxiety-like behavior in animal models has

been associated with differences in the serotonergic system. Treatment of zebrafish cohorts

with 8-OH-DPAT, a full agonist at the 5-HT_{1A} receptor, decreased anxiety-like behavior in

the novel tank test, but increased it in the light-dark preference test, both considered assays

for anxiety-like behavior for this species. The same treatment decreased social approach in

both the social investigation and social novelty phases of the social preference test. These

effects suggest a participation of the 5-HT_{1A} heteroreceptors in zebrafish anxiety and social

preference, decreasing both. Thus, the study of this receptor is important for a better

understanding of anxiety-like behavior in zebrafish and its relationship with similar

phenomena in vertebrates.

Keywords: zebrafish; model organism; heteroreceptor; vertebrates; defensive behavior

1. Introduction

Under situations of potential threat, humans and non-human animals adjust their

behavior to cautious exploration, risk assessment, and behavioral inhibition, sometimes

described as "anxiety-like behavior" (McNaughton & Corr, 2004). This pattern of defensive

behavior is conceptually related to a fear-like state, but fear usually involves a response to an

actual threat, whether that threat is proximal or distal (Perusini & Fanselow, 2015). While

both are part of a taxonomy of defensive behavior, there is growing evidence to show that

anxiety and fear are distinct emotions in terms of behavioral responses, physiological

settings, and neural bases (Fanselow & Lester, 1988; McNaughton & Corr, 2022;

McNaughton & Zangrossi Jr, 2008; Perusini & Fanselow, 2015).

The relationship between the neurobehavioral responses to threat and the serotonergic

system points to a complex regulation, in which different subpopulations of serotonergic

neurons in the dorsal raphe nucleus and the medial raphe nucleus are uniquely involved in the

pathophysiology of anxiety and affective disorders (Deakin & Graeff, 1991; Maximino,

2012; Paul et al., 2014). This control is thought to be mediated by topographically organized

projections to different areas of the limbic forebrain and midbrain (Abrams et al., 2004, 2005;

Paul & Lowry, 2013). Serotonergic projections to forebrain regions, such as the amygaloid

nuclei and the ventral hippocampus, increase behavioral responses to potential threat when

activated, possibly via 5-HT2 and 5-HT3 receptors (Graeff et al., 1997; Maximino, 2012;

Olivier et al., 2000; Quesseveur et al., 2012). In the midbrain – especially in the

periaqueductal gray area –, activation of 5-HT1A and 5-HT2 receptors lead to an inhibition

of neurobehavioral responses to actual threat (Castilho et al., 2002; Gomes & Nunes-de-

Souza, 2009; Graeff, 2004; Graeff et al., 1997; Lima-Maximino et al., 2020; Paul et al.,

2014). Thus, the Deakin-Graeff theory postulates not only the apparently paradoxical "double

role" of serotonin on fear-like and anxiety-like behaviors in relation to different parts of the

neuraxis, but also the differential participation of serotonin receptors in these effects.

The 5-HT1A receptor (5-HT1AR) is one of the most abundant and widely expressed

receptors in the brain and the main inhibitory serotonergic receptor (Akimova et al., 2009;

Albert & Vahid-Ansari, 2019). This receptor is found in two populations, the presynaptic

autoreceptors (which promote local inhibitory control of neuronal firing rates and 5-HT

synthesis in serotonergic neurons), which are located in the cell body and dendrites of 5-HT

neurons;   and   postsynaptic   heteroreceptors   (which   modulate   the   release   of   other

neurotransmitters in non-serotoninergic neurons), which are located in the dendrites and cell

body of non-serotoninergic neurons in 5-HT projection areas (Albert & Vahid-Ansari, 2019).

There is some evidence for a large receptor reserve for autoreceptors in opposition to

heteroreceptors (Cox et al., 1993; Meller et al., 1990), which could explain why azapirones,

partial agonists of the 5-HT1AR with anxiolytic-like activity in clinical settings (Chessick et

al., 2006), act as antagonists at heteroreceptor sites and full agonists in autoreceptors

(Newman-Tancredi et al., 2019). Low doses of 8-OH-DPAT, a full agonist, and buspirone, a

partial agonist, preferentially activate 5-HT1A autoreceptors, and consequently, the release of

5-HT from serotonergic nerve endings decreases. Therefore, 5-HT synthesis is reduced as a

feedback mechanism. From a systemic point of view, therefore, the effect of buspirone leads

to inhibition of the activity of serotonergic neurons (and consequent decrease in the release of

5-HT) associated with the blockade of 5-HT1A postsynaptic receptors. Full agonists, such as

8-OH-DPAT, appear to act as full agonists on both autoreceptors and heteroreceptors

(Sprouse & Aghajanian, 1988), decreasing the availability of 5-HT in other receptor subtypes

at the same time that post-synaptic 5-HT1ARs are activated. This discrepancy could explain

why both partial agonists and antagonists of the 5-HT1AR decrease defensive behavior to

potential threat (Cao & Rodgers, 1997; Maximino et al., 2013; Rodgers & Cao, 1997). The

putative differential effects of drugs on auto- and heteroreceptors lead to the necessity of

understanding the roles of these different sites in the control of defensive behavior. Studies

using mice lacking 5-HT1A auto- or heteroreceptors (Richardson-Jones et al., 2011), 5-

HT1AR knockouts with expression rescued in pre- or post-synaptic sites (Gross et al., 2002;

Piszczek et al., 2013), intact animals treated with biased agonists that activate pre- or

postsynaptic 5-HT1ARs *in vivo* (Jastrzębska-Więsek et al., 2018), or microinjection of

agonists in the raphe or in postsynaptic sites (File et al., 1996; File & Gonzalez, 1996),

produced conflicting results.

The roles of 5-HT1ARs were also investigated in non-mammalian model organisms,

including zebrafish (*Danio rerio* Hamilton 1822). This model organism has increasingly been

used in neuroscience and behavioral pharmacology to understand the mechanisms of

defensive behavior (Cueto-Escobedo et al., 2022; Gerlai, 2020, 2023; MacRae & Peterson,

2023). While there is considerable conservation of the serotonergic system is in zebrafish,

there are important differences in the degree of neuroanatomical and genomic conservation

(Herculano & Maximino, 2014). For example, zebrafish present extra serotonergic nuclei in

the brain in addition to the raphe (Kaslin & Panula, 2001; Lillesaar et al., 2009), and a

genomic duplication event led to multiple copies of genes involved in serotonin synthesis,

transport, and metabolism, as well as of serotonin receptors (including two copies of the 5-

HT1AR) (Norton et al., 2008; Sourbron et al., 2016). Despite this, the role of the serotonergic

system appears to be relatively conserved in zebrafish (Herculano & Maximino, 2014). Some

evidence suggests a role for 5-HT1A receptors in anxiety-like behavior in zebrafish; for

example, buspirone produces consistent type-anxiolytic effects in behavioral assays with

zebrafish, regardless of the route of administration, dose, or test (Abozaid & Gerlai, 2022;

Bencan et al., 2009; Connors et al., 2014; Facchin et al., 2015; Gebauer et al., 2011; Hawkey

et al., 2021; Lau et al., 2011; Maaswinkel et al., 2012, 2013; Maximino et al., 2011, 2014).

Maximino et al. (2013) evaluated behavior characterized by scototaxis (preference for

dark environments over light environments) and geotaxis (the measurement of time at the top

or bottom of the apparatus). They observed anxiety-like behavior correlated positively with

extracellular levels of 5-HT in the brain in the novel tank test (NTT), while the correlation

was negative in the light-dark test (LDT). This suggests that 5-HT may regulate zebrafish

behavior differently in the NTT and LDT tests. Nonetheless, buspirone produced an

anxiolytic-like effect in both the NTT and the LDT. Puzzlingly, WAY 100635, a full 5-HT$_{1A}$

receptor antagonist, also produced anxiolytic-like effects in both tests. Nowicki et al. (2014),

on the other hand, observed an anxiogenic-like effect on the NTT after treatment with p-

MPPF, another 5-HT$_{1A}$ receptor antagonist. The similar effects of buspirone, a partial agonist,

and WAY 100,635, a full antagonist, suggest the existence of a receptor reserve in

presynaptic sites. Furthermore, there are no studies with full agonists in this species. Thus,

the aim of the present study is to evaluate the effect of treatment with 8-OH-DPAT, a full

agonist of the 5-HT$_{1A}$ receptor, on behaviors relevant to anxiety and sociability in zebrafish.

116   **2. Materials and methods**

2.1 Animals and housing

48 adult zebrafish (*Danio rerio*) (>4 months old) from the shortfin phenotype were

used in the experiments. Adult wild-caught zebrafish (4-6 months old) of both sexes from the

strain were obtained from a colony resulting from cross-breeding between animals purchased

in specialized stores. All animals were kept in 50L tanks and acclimatized in the laboratory

for a period of 14 days before the onset of experiments. The tanks were kept constant at a

temperature of 26 ± 2ºC and pH 7.2, oxygenation, 14/10 light-dark cycle provided by

fluorescent lamps, according to standards of care for zebrafish (Lawrence, 2007). Animals

were fed twice a day with commercial flocked feed. Animal density adopted was in

accordance with the protocol adopted in the Brazilian guideline for the care of zebrafish in

the laboratory (Conselho Nacional de Controle de Experimentação Animal - CONCEA,

2017). The project was carried out with the approval of the Animal Use Ethics Committee of

UNIFESSPA (Universidade Federal do Sul e do Sudeste do Pará) protocol number

23479.019576/2022-43.

2.2 Drugs and treatments

The 5-HT1A receptor agonist 8-OH-DPAT (8-hydroxy-2-(dipropylamino)tetralin;

CAS#87394-87-4) was brought from Sigma-Aldrich (St Louis, USA) and was dissolved in

Cortland's salt solution (NaCl 124.1 mM, KCl 5.1 mM, Na2HPO4 2.9 Mm, MgSO4 1.9 mM,

CaCl2 1.4 mM, NaHCO3 11.9 mM, 1000 units heparin; Perry et al., 1984). For all

treatments, the solutions were injected intraperitoneally into cold-anesthetized, and once

anesthetized, they were positioned on a sponge-based surgical bed for injection according to

the method described by Kinkel et al. (2010). For both treatments, the injection was carried

out using a microsyringe (Hamilton 701N, 26 gauge needle, 200 conical tip, 10 µL), with a

dose proportional to the animal's body weight (0.3 mg/kg) and an injection volume of 5 µL.

2.3. Experiment 1 : Novel tank test

In general, this task aims to evaluate zebrafish vertical locomotion/exploration as an

index of defensive responses related to the response to novelty (Bencan et al., 2009; Egan et

al., 2009; Levin et al., 2007). Behavioral assessment of the animals was carried out according

to the methodology previously described in works by the research group

(https://dx.doi.org/10.17504/protocols.io.bp2l6x45klqe/v1), in which parameters related to

locomotion and the vertical position occupied by the animal in the tank (15 cm x 25 cm x 20

cm; width, length, height) were evaluated. Dechlorinated water was used for both the

recovery tank and the test tank. 12 adult zebrafish were used per group; the same 24 animals

were also used in Experiment 2. Immediately after the intraperitoneal injection, the fish were

relocated with a fish net to a recovery tank, where they remained for 30 minutes. Then, using

the fish net, the fish was transferred to the test tank, where it remained for the 6-min

habituation period, during which time the fish's behavior was recorded, generating a spatio-

temporal exploratory profile pattern. The behavioral endpoints were transcribed using The

Real Fish Tracker software (v. 0.4.0;

https://www.dgp.toronto.edu/~mccrae/projects/FishTracker/). Table 1 summarizes the

endpoints assessed in Experiment 1.

Table 1

Behavioral endpoints assessed in the novel tank test (NTT), light/dark test (LDT), and social

preference.

Test	Behavior	ZBC code (Kalueff et al., 2013)	Description	Operationalization
NTT	Geotaxis (main endpoint)	1.46	Preference for the bottom of the tank, avoidance of the top	Time spent in the bottom third of the tank (s)

	Top-dwelling	1.176	Dwelling of the animal near the surface of a novel tank; can include surfacing (ZBC1.164), with aberrant swimming very close to the water surface	Time spent in the top third of the tank (s)
	Erratic swimming	1.51	Complex behavior in which the swim has no defined direction and the speed changes abruptly	Absolute turn angle (º)
	Hypolocomotion	1.81	Abnormally slow swimming for a sustained period of time, typically related to sedation or neuromotor deficits	Swimming speed (cm/s)

	Hyperlocomotion	1.79	Abnormally fast swimming for a sustained period of time, typically related to psychostimulant action	Swimming speed (cm/s)
LDT	Scototaxis (main endpoint)	1.137	Preference for the dark part of the tank	Time spent in the black compartment (s)
	Risk assessment	n/a	Cautious exploration of the environment to investigate possible threats	Partial entries (up to half of body length) or full entries of low duration (< 1 s)
	Erratic swimming	1.51	Complex behavior in which the swim has no defined direction and the speed changes abruptly	Absolute turn angle (º)
	Freezing	1.68	Complete cessation of movement (except gills and eyes)	Total time swimming at speeds < 0.5 cm/s

	Thigmotaxis	1.173	Preference for tank walls	Percent of time, while in the white compartment, spent at a distance of less than 2 cm of a tank wall
	Hypolocomotion	1.81	Abnormally slow swimming for a sustained period of time, typically related to sedation or neuromotor deficits	Swimming speed (cm/s)
	Hyperlocomotion	1.79	Abnormally fast swimming for a sustained period of time, typically related to psychostimulant action	Swimming speed (cm/s)
Social preference test	Social approach	1.152	Tendency to spend time close to or inspecting conspecifics	Preference score (time near the conspecific (SI) or "new stranger" (SN) / time near the empty tank + time near the conspecifi)

	Social avoidance	n/a	Tendency to avoid novel conspecifics	Time spent near empty tank (SI) or "old stranger" (SN)
	Erratic swimming	1.51	Complex behavior in which the swim has no defined direction and the speed changes abruptly	Absolute turn angle (º)
	Hypolocomotion	1.81	Abnormally slow swimming for a sustained period of time, typically related to sedation or neuromotor deficits	Swimming speed (cm/s)
	Hyperlocomotion	1.79	Abnormally fast swimming for a sustained period of time, typically related to psychostimulant action	Swimming speed (cm/s)

2.4. Experiment 2: Light-dark test

Scototaxis behavior in zebrafish, characterized by a preference for dark environments over light environments, has been described and validated as a model for evaluating anxiety-like behavior for the species (Araujo et al., 2012; Maximino et al., 2010, 2011; Serra et al., 1999). 12 zebrafish were used in each group. Since animals were reused in Experiments 1 and 2, the order in which animals were subjected to either the NTT or the LDT was randomized. Immediately after the intraperitoneal injection, the fish was relocated with an fish net to a recovery tank, where it remained for 30 min. Then, using the fish net, the fish wass transferred to an apparatus (15 cm x 10 cm x 45 cm; height, width, length) divided into a white compartment and a black compartment, with hatches in the middle where the fish is placed for acclimatization for 3 min. After this, the hatches were removed and the fish was free to explore the apparatus for 15 min. The protocol established in the research group (https://dx.doi.org/10.17504/protocols.io.puydnxw) was used. Scototaxis, swimming speed, erratic swimming, and freezing were extracted using TheRealFishTracker (v. 0.4.0; https://www.dgp.toronto.edu/~mccrae/projects/FishTracker/), while risk assessment and thigmotaxis were scored manually with the help of X-Plo-Rat (Tejada et al., 2018; https://sites.ffclrp.usp.br/scotty/). Table 1 summarizes the endpoints assessed in Experiment 2.

2.5. Experimental 3: Social preference test

The social preference test was divided in two stages, social interaction and social novelty tests, and carried out based on the protocol described by Barba-Escobedo and Gould

(2012). These tasks aim to analyze the tendency of zebrafish to approach co-specifics and

investigate novel social situations. 48 adult zebrafish were used as conspecific stimuli, while

24 adult zebrafish were used as focal fish in the test, where 12 animals were injected

intraperitoneally with the vehicle solution (Cortland's saline solution), and 12 animals with 8-

OH-DPAT. Animals from this experiment were not reused from Experiments 1 and 2, due to

the longer extension of the test; exposing animals to three behavioral tests would risk the

drug having been eliminated by the end of the third task. Conspecifics were drawn from

different tanks than the hometank of the focal fish, and therefore focal fish had no prior

contact with them. Immediately after intraperitoneal injection, the focal fish was relocated

using a fish net to a recovery tank, where it remained for 30 min. Then, using the fish net, the

focal fish is transferred to the test tank where it remains for 3 min for acclimatization. For

both the social interaction and social novelty tests, three tanks were used: the main tank (15

cm x 25 cm x 20 cm; width, length, height), for the focal animal, and two other tanks for the

conspecifics (15 cm x 17 cm x 16 cm; width, length, height). Both sides of the main tank

were separated from the lateral tanks with barriers to prevent visual access. Once the fish's

acclimatization period was over, the barriers were removed allowing the focal fish visual

access to the conspecifics. In the social interaction test (SI), the behavior of 1 focal animal

was evaluated for an initial period of 6 min, in which it will have visual access to an

unknown conspecific and an empty tank. After that time interval, barriers were lowered, a

new conspecific was transferred to the empty tank, and the barriers were again removed to

allow the focal fish visual access to the conspecifics. The behavior was observed again for 6

minutes, consisting in the social novelty test (SN). The conspecific that was present in the

former stage was labeled as "old stranger", while the conspecific that was introduced in the

empty tank was labeled as "new stranger". In both the social interaction and social novelty

stages, behavior was filmed and later analyzed using TheRealFishTracker software (v. 0.4.0;

https://www.dgp.toronto.edu/~mccrae/projects/FishTracker/);   behavioral   endpoints   are

described in Table 1.

2.6. Statistical analysis

Results obtained from the behavioral tests were analyzed using unpaired t-tests or

Wilcoxon rank sum test with continuity correction for variables which violated the

assumptions of parametric statistics. The data was represented as Gardner-Altman plots (Ho

et al., 2019), with individual datapoints plotted on the upper axes. On the lower axes, each

effect size (Cohen's d; Cohen, 1988) was plotted as a bootstrap sampling distribution.

Bootstrap resamples were taken using Efron's (1987) method; the confidence interval is

bias-corrected and accelerated. Plots were made using R 4.1.2, using the dabestr package (v.

2023.9.12; https://github.com/ACCLAB/dabestr).

2.7. Open science practices

Hypotheses were not formally preregistered. Data packages and analysis scripts can

be   found   on   our   GitHub   repository

(https://github.com/lanec-unifesspa/5HT/tree/main/5HT1A).

229   **3. Results**

3.1. Novel tank test

231   8-OH-DPAT decreased geotaxis ($t_{[df = 19.484]}$ = 5.222, p < 0.001; Figure 1A) and

232 increased top-dwelling ($t_{[df = 16.386]}$ = -4.009, p < 0.001; Figure 1B). No effects were observed

233 on erratic swimming ($t_{[df = 21.998]}$ = -0.159, p = 0.875; Figure 1C), freezing ($t_{[df = 17.214]}$ = -1.555, p

234 = 0.138; Figure 1D), or swimming speed ($t_{[df = 20.107]}$ = -0.971, p = 0.343; Figure 1E).

3.2. Light/dark preference test

237   8-OH-DPAT increased scototaxis ($t_{[df = 17.361]}$ = 2.43, p = 0.262; Figure 2A) and

238 increased risk assessment (W = 33, p = 0.024; Figure 2B). No effect were found for erratic

239 swimming ($t_{[df = 20.671]}$ = 0.727, p = 0.475; Figure 2C), freezing (W = 71.5, p = 0.99; Figure

240 2D), thigmotaxis ($t_{[df = 14.873]}$ = -1.323, p = 0.206; Figure 2E), or swimming speed ($t_{[df = 21.985]}$ =

241 1.71, p = 0.101; Figure 2F).

3.3. Social preference test

During the SI phase, 8-OH-DPAT decreased the preference score ($t_{[df = 13.466]}$ = 2.981, p

= 0.0103; Figure 3A), with no effects on social avoidance ($t_{[df=21.594]}$ = 0.881, p = 0.388; Figure

3B). No effects were observed on erratic swimming ($t_{[df = 21.392]}$ = 0.548, p = 0.589; Figure 3C),

nor on swimming speed ($t_{[df = 19.036]}$ = 1.1803, p = 0.252; Figure 3D). During the SN phase 8-

OH-DPAT also decreased the preference score ($t_{[df = 21.847]}$ = 2.667, p = 0.014; Figure 4A), but

the time near the "new stranger" was also decreased ($t_{[df = 21.767]}$ = 2.701, p = 0.013; Figure 4B).

No effect was observed on erratic swimming ($t_{[df = 21.785]}$ = -0.7101, p = 0.485; Figure 4C), nor

on swimming speed ($t_{[df = 21.797]}$ = -1.359, p = 0.188; Figure 4D).

253   **4. Discussion**

In the present experiments, differences were observed in anxiety-like behavioral

endpoints in the groups treated with the full agonist of the 5-HT1A receptor, 8-OH-DPAT,

with opposite outcomes in the novel tank test (NTT) an light-dark test (LDT). While in the

first 8-OH-DPAT decreased anxiety-like behavior, in the latter the drug increased it. We also

observed decreases in social preference in both the social interaction (SI) and social novelty

(SN) tests.

4.1. Effects of 8-OH-DPAT on anxiety tests

One of the most striking findings of this study is the difference in the effects of 8-OH-

DPAT between the LDT and the NTT tests. In previous studies with serotonergic drugs in

these two tests, it was shown that increasing 5-HT levels with acute fluoxetine increases

geotaxis (the main endpoint of NTT) and decreases scototaxis (the main endpoint of the

LDT), while decreasing 5-HT levels with pCPA produces the opposite effects (Maximino et

al., 2013). On the other hand, both buspirone (partial 5-HT1AR agonist) and WAY 100,635

(full 5-HT1AR antagonist) decreased geotaxis and scotototaxis (Maximino et al., 2013). SB

224,289, an inverse agonist of the 5-HT1B receptor, reduced geotaxis but not scototaxis, an

effect similar to that observed in the present experiment. Unlike buspirone, which produces

consistent reductions in responses to potential threat in both the LDT and the NTT (Abozaid

& Gerlai, 2022; Araujo et al., 2012; Bencan et al., 2009; Connors et al., 2014; Facchin et al.,

2015; Gebauer et al., 2011; Hawkey et al., 2021; Lau et al., 2011; Maaswinkel et al., 2012,

2013; Maximino et al., 2011, 2013, 2014), 8-OH-DPAT seemed to produce opposite effects

in the NTT and LDT. Despite being 5-HT$_{1A}$ receptor agonists, there are important differences

between buspirone and 8-OH-DPAT. In a context of large receptor reserves in presynatic

neurons, as is the case with the rodent raphe, buspirone acts as a full agonist at the 5-HT1A

autoreceptor, while it acts as an antagonist at the postsynaptic 5-HT1AR, reducing serotonin

avaiability at other postynapic sites while simultaneously blocking postsynaptic 5-HT1ARs

(Newman-Tancredi et al., 2019). Meanwhile, 8-OH-DPAT is a serotonergic drug that acts as

a full agonist at both sites, reducing serotonin availability for other types of receptor in the

postsynaptic sites while simultaneously activating postsynaptic 5-HT1ARs. It is therefore

possible to assume that this is also the reason why the results differed between the tests.

A related question is why 8-OH-DPAT reduced behavioral responses to potential

threat in the NTT and increased it in the LDT. One possibility is that NTT is more sensitive

to pharmacological treatment than LDT. However, in a meta-analysis of the effects of drugs

on the two tests, Kysil et al. (2017) found no differences in the sensitivity of both assays. A

second possibility is that the effect observed on the NTT is not related to a decrease in

responsiveness to potential threat, but represents either serotonin toxicity (Stewart et al.,

2013) or a psychedelic-like effect (Kyzar & Kalueff, 2016). While 8-OH-DPAT increased

top-dwelling in the NTT, which could be interpreted as "surfacing" (an aberrant behavioral

pattern of slow swimming close to the water surface that is typically observed under the

effects of serotonergic hallucinogens; Kalueff et al., 2013; Kyzar & Kalueff, 2016), animals

treated with the drug still spent most of the time in the bottom of the tank, differently from

zebrafish treated with psychedelics. Moreover, psychedelics typically produce an

hypolocomotion phenotype in zebrafish, and changes in the swimming speed were not

observed in any test in the present experiments. A third possibility is that the two tests model

different defensive processes, with opposite modulation of the serotonergic system on both.

Blaser et al. (2012) analyzed stimulus control in the two tests, and suggested that geotaxis is

mainly controlled by escape from the top (closer, therefore, to fear), while scototaxis is

mainly controlled by approach-avoidance conflict (closer, therefore, to anxiety). Thus, the

effects of 8-OH-DPAT in the NTT and LDT could be interpreted as decreasing fear and

increasing anxiety, respectively. In support of this hypothesis, microinjection of 8-OH-DPAT

in forebrain regions such as the hippocampus (Cheeta et al., 2000; File et al., 1996), septum

(Cheeta et al., 2000; Martins De Almeida et al., 1998), and amygdala (Nunes-de-Souza et al.,

2000) has been found to increase anxiety-like behavior in rodents. Conversely, microinjection

of 8-OH-DPAT in the periaqueductal gray (Zangrossi Jr. et al., 2001; Zanoveli et al., 2003,

2010) and dorsomedial hypothalamus (Nascimento et al., 2014), but not amygdala (Zangrossi

et al., 1999), impair escape in the elevated T-maze, an effect consistent with decreased fear-

like states. Taken together, these results suggest that the differential effects of 8-OH-DPAT

on the NTT and LDT mediate different behavioral processes via 5-HT1A heteroreceptors.

4.2. Effects of 8-OH-DPAT on social approach

Different phases of the social preference tests used in the present experiment

emphasize the role of social interaction vs. social novelty. Our results with 8-OH-DPAT

showed a decrease in SI and SN, suggesting a broader effect on social approach. Barba-

Escobedo and Gould (2012) showed that buspirone increases social approach in the SI test

(the opposite of what was observed here with 8-OH-DPAT), with no effect on the SN test.

Whether this difference indicates that, as in the case with anxiety-like behavior, the effects of

8-OH-DPAT are likely to be mediated by heteroreceptors is still opened. These results could

be interpreted either as an anxiogenic-like effect on social preference, or a specific effect on

sociality. These hypotheses are difficult to untangle, since, while no effects on erratic

swimming was observed in neither the SI or SN tests, they were also absent in the NTT or

LDT. While social approach is sensitive to acute stress in adult zebrafish (Cook et al., 2023),

the fact that, differently from lab rodents, zebrafish do not avoid novel conspecifics, and

actively spend time investigating them when possible (Barba-Escobedo & Gould, 2012; do

Nascimento & Maximino, 2023; Ogi et al., 2021), makes it difficult to understand how threat

factors in sociability in this species. The activation of 5-HT2 receptors has been shown to

increase sociability in zebrafish (de Moura et al., 2023; Ponzoni et al., 2016), and single-

nucleotide polymorphisms of the *htr1aa* gene (which code for one isoform of the 5-HT1A

receptor) mediate populational variability in social exploration and sociability in this species

(Gonçalves et al., 2022). These results further underscore the role of serotonin in sociability

in zebrafish, with opponent modulation by 5-HT1AR and 5-HT2CR agonists.

5. Conclusions

In the present experiments, the full agonist of the 5-HT1A receptor, 8-OH-DPAT,

decreased anxiety-like behavior in the novel tank test (NTT), but increased it in the light-dark

test (LDT), both considered outcomes of anxiety-like behavior for this species. The same

treatment decreased social approach in both the social investigation test and the social

novelty test. In comparison with previous data on the effects of the partial agonist buspirone

and the full antagonist WAY 100,635, we suggest that 8-OH-DPAT increases anxiety-like

behavior mainly by blocking heteroreceptors.

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Figure captions

Figure 1 – 8-OH-DPAT increases anxiey-like behavior in the zebrafish light/dark test.
(A) Scototaxis. (B) Risk assessment. (C) Erratic swimming. (D) Freezing. (E) Thigmotaxis.
(F) Swimming speed. For a description of variables, refer to Table 1. Blue dots represent
animals treated with vehicle (CTRL), and orange dots represent animals treated with 8-OH-
DPAT (DPAT). The Cohen's *d* between CTRL and DPAT is shown in Gardner-Altman
estimation plot. Both groups are plotted on the left axes; the effect size (Cohen's *d*) is plotted
on floating axes on the right as a bootstrap sampling distribution. The mean difference is
depicted as a dot; the 95% confidence interval is indicated by the ends of the vertical error
bar. 5000 bootstrap samples were taken; the confidence interval is bias-corrected and
accelerated.

Figure 2 – 8-OH-DPAT decreases anxiety-like behavior in the zebrafish novel tank test.
(A) Geotaxis. (B) Top-dwelling. (C) Erratic swimming. (D) Freezing. (E) Swimming speed.
For a description of variables, refer to Table 1. Blue dots represent animals treated with
vehicle (CTRL), and orange dots represent animals treated with 8-OH-DPAT (DPAT). The
Cohen's *d* between CTRL and DPAT is shown in Gardner-Altman estimation plot. Both
groups are plotted on the left axes; the effect size (Cohen's *d*) is plotted on floating axes on
the right as a bootstrap sampling distribution. The mean difference is depicted as a dot; the
95% confidence interval is indicated by the ends of the vertical error bar. 5000 bootstrap
samples were taken; the confidence interval is bias-corrected and accelerated.

Figure 3 – 8-OH-DPAT decreases social approach in the social investigation test. (A)
Preference score. (B) Social avoidance. (C) Erratic swimming. (D) Swimming speed. For a
description of variables, refer to Table 1. Blue dots represent animals treated with vehicle
(CTRL), and orange dots represent animals treated with 8-OH-DPAT (DPAT). The Cohen's *d*
between CTRL and DPAT is shown in Gardner-Altman estimation plot. Both groups are
plotted on the left axes; the effect size (Cohen's *d*) is plotted on floating axes on the right as a

bootstrap sampling distribution. The mean difference is depicted as a dot; the 95% confidence

interval is indicated by the ends of the vertical error bar. 5000 bootstrap samples were taken;

the confidence interval is bias-corrected and accelerated.

Figure 4 – 8-OH-DPAT decreases social preference in the social novelty test. (A)

Preference score. (B) Social avoidance. (C) Erratic swimming. (D) Swimming speed. For a

description of variables, refer to Table 1. Blue dots represent animals treated with vehicle

(CTRL), and orange dots represent animals treated with 8-OH-DPAT (DPAT). The Cohen's *d*

between CTRL and DPAT is shown in Gardner-Altman estimation plot. Both groups are

plotted on the left axes; the effect size (Cohen's *d*) is plotted on floating axes on the right as a

bootstrap sampling distribution. The mean difference is depicted as a dot; the 95% confidence

interval is indicated by the ends of the vertical error bar. 5000 bootstrap samples were taken;

the confidence interval is bias-corrected and accelerated.