<u>Pea plants conditionally sanction less effectively fixing rhizobia at the level</u>

<u>of whole nodules rather than single cells</u>

Thomas J. Underwood[1], Beatriz Jorrin[1], Lindsay A. Turnbull[1], Philip S. Poole[1]

[1]Department of Biology, University of Oxford, Oxford, United Kingdom

Author for correspondence:

Thomas Underwood

Email: thomas.underwood@biology.ox.ac.uk

Word count: 5247

Number of Figures: 5

Figures to be in color: 1, 2, 4 & 5

Tables:

Table 1: list and description of strains used

Tables 2 – 8: results of statistical analyzes of flow cytometry data

Supporting information

The following Supporting Information is available for this article:

Fig. S1 Image of flow cytometry output showing bacteroid and undifferentiated bacterial

populations differentiated by size based on Forward Scatter (FSC)

Doc. S2 Flow cytometry data, analyzed using custom gating to calculate the number of

undifferentiated bacteria and bacteroids in single occupancy and mixed nodules, and

acetylene reduction assay and nodulation results from fluorescent tag control experiments.

Doc.S3 R markdown file of statistical analysis.

Fig. S4 Formulae for back transformation for outputs of analyzes of $\log_{10}$ transformed data

Fig. S5 Representative confocal images of nodule sections of both nodule types from all three

co-inoculation combinations (Fix$^+$ vs Fix$^-$, Fix$^+$ vs Fix^{int}, Fix^{int} vs Fix$^-$) at 28-, 35- and 42-

days post inoculation.

34   <u>**Summary**</u>

• Legumes sanction root nodules containing rhizobial strains with low nitrogen fixation

rates (less effectively fixing). Pea (*Pisum sativum*) nodules contain both

undifferentiated bacteria and terminally differentiated nitrogen-fixing bacteroids. It

is critical to understand how sanctions act on both bacteria and bacteroids, and how

they differ. In addition, less effective strains could potentially evade sanctioning by

entering the same nodule as an effective strain i.e., piggybacking. *P. sativum* was co-

inoculated with pairwise combinations of three strains of rhizobia with different

effectiveness, to test whether ineffective strains can evade sanctions in this way.

• We assessed the effect of sanctions on nodule populations of bacteria and

bacteroids using flow cytometry and the effects on nodule internal structure using

confocal microscopy.

• We show that sanctioning lowered bacteroid populations and caused a reduction in

the size of bacteria. Sanctions also precipitated an early change in nodule cell

morphology. In nodules containing two strains that differed in their nitrogen-

fixation ability, both were sanctioned equally. Thus, peas sanction whole nodules

based on their nitrogen output, but do not sanction at the cellular level.

• Our results demonstrate peas conditionally sanction at the whole nodule level,

providing stability to the symbiosis by reducing the fitness of ineffective strains.

Key words: Conditional sanctioning, Symbiosis, Mixed nodules, Nitrogen fixation, legumes,

Rhizobium

Introduction

Legumes have overcome nitrogen limitation by establishing a mutually beneficial interaction with nitrogen-fixing bacteria, hosted within nodules along their root systems (Poole et al., 2018). Certain species of legumes, like peas, form nodules in which some bacteria undergo terminal differentiation to become large swollen cells called bacteroids, which carry out the costly process of nitrogen fixation, but cannot resume their free-living existence (Mergaert et al., 2006). The plant provides carbon compounds in the form of photosynthetically-derived dicarboxylates in return for ammonia provided by the bacteroids, and this relationship continues until the plant's nitrogen requirements have been met (Udvardi & Poole, 2013). At this point nodule senescence ensues, and while the bacteroids then die, any undifferentiated bacteria are released into the soil (Timmers et al., 2000). The presence of a host legume leads to an increase in the soil population of rhizobia (Beringer et al., 1979); therefore, by engaging in the symbiosis, legumes potentially provide a significant fitness advantage to rhizobia.

However, this interaction presents an evolutionary dilemma. A 'cheating' strain that reduces investment in the costly process of nitrogen fixation, might be able to produce more reproductive bacteria within the nodules, potentially giving it a fitness advantage. Legumes are known to have evolved sanctions to punish cheating bacteria (Kiers et al., 2003; Oono et al., 2011; West et al., 2002; Westhoek et al., 2017) and these sanctions can be applied conditionally, with pea plants known to sanction nodules that contain an intermediate-fixing strain, only when a better strain is available (Westhoek et al., 2021). Intriguingly, while Westhoek et al (2021) did see a significant drop in carbon transport and nodule size by twenty-eight days post inoculation (dpi), they did not see a significant decrease in the number of viable bacteria within the sanctioned nodule (although the number of viable bacteria had plummeted dramatically by 56 dpi). As such, the cause of the reduction in nodule size at 28 dpi, while hypothesised to be due to a drop in the bacteroid population, has yet to be proven.

Poorly fixing strains might be able to evade plant sanctions by 'piggybacking' on a more effective strain, since multiple strains can occupy a single nodule. at frequencies of over 20% (Mendoza-Suárez et al., 2020). Notably individual cells of 'mixed' nodules only contain one bacterial strain. If plants distinguished between cells containing different strains they could

prevent piggybacking by sanctioning cells containing the less effective strain. Despite some

evidence for so-called cell-autonomous sanctioning, in the form of premature senescence of

cells containing an ineffective strain (Daubech et al., 2017; Regus et al., 2014), metabolic

evidence has suggested that this does not occur (Agtuca et al., 2020), so it remains

contentious.

This study builds on previous work carried out by Westhoek et al. (2021) using pea plants

and a set of otherwise isogenic rhizobia strains that differ in their ability to fix nitrogen. First,

with nodules infected with a single rhizobial strain, we used flow cytometry to measure

fitness characteristics, of both undifferentiated bacteria and nitrogen-fixing bacteroids, we

then used fluorescence microscopy to establish how sanctions influenced the health and

morphology of the infected plant cells within those nodules. Second, we used the same

techniques on mixed nodules to determine whether or not pea plants carry out cell-

autonomous sanctioning within nodules. Our results show that sanctions reduce the number

of bacteroids within whole nodules as well as reducing the size of the undifferentiated

bacteria. Fluorescence microscopy showed that the breakdown of cells within the nodule

occurred prematurely in sanctioned nodules. Our results also show that these sanctions

operate at the level of the whole nodule but not at the cellular level. As such, a piggybacking

strain cannot be punished independently of the non-cheater within a mixed nodule; however,

mixed nodules were treated as ineffective and sanctioned at the nodule level. As such,

piggybacking is not an effective route by which cheaters can succeed.

Methods

Bacterial Strains and Culture Conditions

Rhizobial strains used in this study are all derivatives of a highly effective nitrogen-fixing

strain, *Rhizobium leguminosarum* bv. (Rlv) 3841, that infects pea (*Pisum sativum* L. cv.

Avola) (Johnston & Beringer, 1975). The mutant strains thus differ in their nitrogen-fixation

ability but are otherwise genetically identical (Table 1). They are labelled with a fluorescent

marker (mCherry or GFP) in order to distinguish the nodules formed by each strain (Table 1).

Strains were maintained on tryptone-yeast (TY) agar (Beringer, 1974) with the appropriate

concentrations of antibiotics (Table 1). For long-term storage, strains were kept at -80 °C in

TY with 15 to 20% glycerol. Rhizobial inoculant was grown on a TY agar slope and the

number of bacteria on the slope was determined by measuring the OD600 of the washed

slope using a Genesys 150 UV-Visible spectrophotometer. Cells were diluted to

approximately $5 \times 10^7 \, \text{ml}^{-1}$.

Plant Growth

Before sowing, all pea seeds were surface sterilized (1 minute in 95% ethanol followed by 5

minutes in 20% NaClO), rinsed, and left to germinate on 1% w/v agar plates at room

temperature in the dark. Seedlings were transplanted after five days by transferring them to

sterilized 1 liter Azlon beakers containing a 1:1 mixture of silver sand and fine vermiculite,

150 ml sterilized nitrogen-free nutrient solution, and a 1:1 ratio of the two rhizobial strains

(approximately 0.25×10^7 cells) (see also Westhoek et al., (2017)). Beakers were covered

with clingfilm to reduce aerial contamination, which was slit after a few days to allow

seedlings to grow through. Plants were grown in a growth room (21 °C, 16 h photoperiod) for

28 to 42 days and watered as necessary from 7 days onwards.

Bacterial inoculation of Plants

Plants were inoculated with either single strains or three pairs of otherwise isogenic strains,

differing only in their ability to fix nitrogen. The strains are: *wildtype* or *good fixer* (Fix$^+$);

intermediate fixer (Fix^{int}) and *non-fixer* (Fix$^-$). This gives three pairwise combinations of a

more effective (E) and a less effective (L) strains; Fix$^+$ (E) vs Fix$^-$ (L), Fix$^+$ (E) vs Fix^{int} (L)

and Fix^{int} (E) vs Fix$^-$ (L). i.e., Fix$^+$ is always effective and Fix$^-$ always less effective, but Fix^{int}

can be either effective or less effective, depending on the co-inoculated strain. In each case

the more effective strain was tagged with mCherry and the less effective strain with GFP.

Two sets of plants, both containing six replicates of each pairwise combination, were initially

set up for the collection of nodules for flow cytometry. Additional plants were set up in sets

of five replicates per pairwise combination for confocal imaging of nodules at various time

points.

Table 1: Rhizobial strains and their fixation abilities.
All strains derived from Rlv3841 and provided with a strain code, resistance markers, short description and reference.

Name	Strain	Antibiotic resistance	Description	Reference
Fix$^+$	Rlv3841	Streptomycin	Rlv3841	(Johnston & Beringer, 1975)
Fix$^+$ mCherry	OPS1341	Streptomycin, Gentamicin	Rlv3841 Tn*7*-Gm-mCherry	(Westhoek et al., 2021)
Fix$^+$ GFP	OPS1339	Streptomycin, Genatmicin	Rlv3841 Tn*7*-Gm-GFP	(Westhoek et al., 2021)
Fix$^-$ GFP	OPS2270	Streptomycin, Spectinomycin, Gentamicin	Ω*nifH* Tn*7*-Gm-sfGFP (Rlv3841 mutant, ΩSpc cassette in *nifH*)	(Westhoek et al., 2021)
Fix^{int} GFP	OPS2268	Streptomycin, Spectinomycin, Gentamicin	Fix^{int} Tn*7*-Gm-sfGFP (Rlv3841 mutant, ΩSpc cassette in promoter region of *nifA*)	(Westhoek et al., 2021)
Fix^{int} mCherry	OPS2269	Streptomycin, Spectinomycin, Gentamicin	Fix^{int} Tn*7*-Gm-mCherry	(Westhoek et al., 2021)

Harvesting

For flow cytometry, plants were harvested at 28 dpi, for confocal microscopy they were harvested at 28-, 35- and 42- dpi. Plants were harvested by removing them from the sand/vermiculite mixture and washing the roots carefully. Nodules were then imaged using a LEICA M165 FC fluorescent stereo microscope and an iBright FL1500 imaging system. Nodule occupants were identified based on their fluorescence. When selecting single occupancy nodules, the five largest of each nodule type were selected. When selecting mixed nodules, all mixed nodules identifiable on the plant were selected.

Confocal imaging

To assess the health of cells containing undifferentiated bacteria and bacteroids within nodules, sections were imaged using confocal microscopy. This allows the visualisation of fluorescently tagged strains and the discrimination of the two strains within a mixed nodule. Nodules were placed in 8 % w/v agar and 100 μm longitudinal sections were cut through the center of the nodule using a Leica VT1200S vibratome. Nodule slices were then imaged with a Zeiss LSM 880 Airy Scan confocal microscope and analyzed with ZEN Black software. To visualise fluorescent tags, mCherry was excited using a 561 nm wavelength laser and emissions detected between 598 and 649 nm, while GFP was excited using a 488 nm wavelength laser and emissions detected between 498 and 562 nm.

Flow Cytometry

To identify and quantify the population sizes of undifferentiated bacteria and bacteroids

within nodules, flow cytometry was used. This was applied to single occupant nodules from

the three co-inoculant combinations (Fix$^+$ vs Fix$^-$, Fix$^+$ vs Fix^{int} and Fix^{int} vs Fix$^-$) and to

mixed nodules containing Fix$^+$ & Fix$^-$. All nodules were prepared by placing them in a 1.5ml

Eppendorf tube with 300µl of harvest solution (0.9% NaCl, 0.02% SILWET L-77) and crushing

them with an autoclaved microcentrifuge pestle. This solution was passed through a 40µm

filter and diluted tenfold to increase the accuracy of the flow cytometry. Flow cytometry

was conducted with a Amnis® CellStream® flow cytometer (Luminex) equipped with a 488

and 561nm lasers, which were used for excitation of GFP and mCherry, respectively. Flow

rates were set to low speed and high sensitivity (3.66 µL/min) and the Flow cytometer was

set to run 10 µl per sample. Analysis of flow cytometry data was carried out using the

CellStream® Analysis software (Version 1.5.17). Bacterial events were defined based on

custom gating parameters. Singlets and doublets were gated with a threshold of 0.4 forward

scatter (FSC) aspect ratio. Bacterial singlets which emitted at 611/631nm, when excited at

561 nm with an intensity above 6,000 arbitrary units (AU) were defined as red. Bacterial

singlets with emission at 528/546nm, when excited at 488nm, with an intensity above 4,000

AU were defined as green. Bacteroids and bacteria were defined based on size, as in

Mergaert et al., (2006), using custom gating of the FSC detection to separate the two

populations (Figure S1). The flow cytometer calculates a value of events per ml from the

number of counts within the 10 µl. As the flow cytometer assumes the sample comes from a

volume of 1ml (rather than the 300 µl we had per sample), and the sample underwent a

ten-fold dilution. In order to calculate the true events per nodule we multiplied the flow

cytometer calculated value by three. Flow cytometry data available at http://zenodo.org for

the single occupancy experiments separated by inoculum pairing and mixed nodule

experiments. Links to data are provided in the data availability section. Processed data from

flow cytometry analysis provided in Doc. S2.

Acetylene reduction assay

Fixation rates were measured via an acetylene reduction assay using the method described

in Westhoek et al (2021).

Statistical Analysis

To test the effect of the fluorescent markers on the symbiotic characteristics of Rlv3841, we compared the fixation rates of untagged Fix$^+$, Fix$^+$ GFP and Fix$^+$ mCherry using one-way ANOVA. We compared the nodulation competitiveness of the same three strains in pairwise combinations using paired t-tests.

To test for conditional sanctioning at the whole-nodule level, we subsetted the data into the three different nodule types: Fix$^+$, Fix$^-$ and Fix^{int}, and tested whether three relevant measures of bacterial fitness and plant sanctioning behaviour were dependent on the identity of the co-inoculated strain. The chosen measures were: (1) the size of the undifferentiated bacterial population, (2) the size of the bacteroid population and (3) the size of individual undifferentiated bacteria (a key measure of bacterial fitness). We did not measure the size of individual bacteroids because the numbers of bacteroids within some sanctioned nodules was so small as to make this measure unreliable. Both population sizes and sizes of individuals were analyzed using mixed-effects models in which individual plant ID was the random effect and the identity of the co-inoculant was the fixed effect.

To test for the presence of cell autonomous sanctioning within mixed nodules, we compared the number of bacteroids, number of bacteria and the mean size of bacteria of the two strains within each mixed nodule using a linear mixed-effects model, the random effect was nodule ID nested within plant ID.

To get a better sense of how the plant treats mixed nodules, we compared the total number of bacteroids, total number of bacteria and average size of bacteria in the mixed nodules with Fix$^+$ and Fix$^-$ single-occupant nodules. plant ID was the random effect.

All statistical tests were carried out using R version 4.2.1 (2022-06-23) and R studio 2022.07.2 and graphs were produced using Graph Pad version 9. An R markdown document is provided (Doc. S3). For simplicity, in the figures, numbers of bacteria and bacteroids are always presented $\log_{10}$ transformed, although the log transformation was only required for a subset of analyzes in order to meet the assumptions of equal variance and normality, which

was assessed by visual inspection of residual plots (see R markdown file (Doc. S3)). Where

$\log_{10}$ transformation was carried out for analysis the statistical outputs have been back

transformed for readability. Back transformation carried out using the formulae given in Fig.

S4.

Results

Fluorescently tagging strains does not affect symbiotic characteristics of Rlv3841

We first tested that fluorescent marking does not alter the competitiveness of rhizobial

strains. There was no significant difference in the number of nodules occupied by each

strain on plants inoculated with equal numbers of untagged Rlv3841, versus its mCherry and

Gfp-tagged versions (Fix$^+$ vs Fix$^+$ mCherry: estimate = 22.750, SE = 13.288, t = 1.712, p > 0.05,

df = 6; Fix$^+$ vs Fix$^+$ GFP: estimate = -5.75, SE = 20.84, t = -0.276, p>0.05, df =6). Similarly,

plants co-inoculated with mCherry- and Gfp-tagged Rlv3841 did not have significant

differences in nodules occupied by each strain (Fix$^+$ GFP vs Fix$^+$ mCherry: estimate = -12.250,

SE = 11.265, t = -1.087, p>0.05, df = 6). In addition, peas inoculated singly with the three

strains did not differ significantly in fixation rates per nodule ($F_{2,\,9}$ = 1.387, p > 0.05, n = 4, df

= 2).

Conditional sanctioning reduces the number of bacteroids and the size of bacteria

Nodules occupied by the intermediate-fixing strain contained significantly fewer bacteroids

when co-inoculated with Fix$^+$ rather than Fix$^-$ bacteria. Thus conditionally sanctioned nodules

have reduced bacteroid numbers. As expected, the number of bacteroids in Fix$^+$ and Fix$^-$

nodules did not significantly vary depending on the co-inoculated strain (Figure 1A) (Table

2). This is because Fix$^+$ nodules are never sanctioned and Fix$^-$ nodules are always sanctioned.

263
264

Table 2: Impact on number of bacteroids of focal strain with different co-inoculants

Values from mixed-effect models on the change in the number of bacteroids within a nodule when co-inoculated with different strains. Data that has been $\log_{10}$ transformed is indicated, but is presented back transformed. P-values exceeding 0.05 are reported as >0.05.

(Thomas.underwood@biology.ox.ac.uk)

Strain (co-inoculant comparisons)	Estimate	Standard error	t	p	n
Fix$^+$ (Fix^{int} & Fix$^-$)	970769	2039541	0.476	>0.05	60
Fix^{int} (Fix$^+$ & Fix$^-$)	-4.29x10^6 (log$_{10}$)	2.02x10^5 (log$_{10}$)	6.818	7.74x10^{-5}	55
Fix$^-$ (Fix$^+$ & Fix^{int})	44647(log$_{10}$)	21731(log$_{10}$)	-1.806	>0.05	55

Undifferentiated bacteria from Fix^{int} nodules were significantly smaller when co-inoculated
with a Fix$^+$ rather than a Fix$^-$ strain. Thus, conditional sanctioning reduces the size of
undifferentiated bacteria within nodules. As expected, undifferentiated bacteria from Fix$^+$
nodules were not significantly altered in size when co-inoculated with Fix^{int} or Fix$^-$ strains.
Surprisingly, undifferentiated bacteria from Fix$^-$ nodules were significantly smaller when co-
inoculated with a Fix$^+$ strain, but not when co-inoculated with a Fix^{int} strain (Figure 1B)
(Table 3).

Table 3: Impact on size of undifferentiated focal bacterial size of strains with different co-inoculants
Estimates from mixed-effects models on the change in the size of undifferentiated bacteria within a nodule when co-inoculated with different strains. Data that has been Log$_{10}$ transformed is indicated, but is presented back transformed. P-values exceeding 0.05 are reported as >0.05.

Strain (co-inoculant comparisons)	Estimate	Standard error	t	p	n
Fix$^+$ (Fix^{int} & Fix$^-$)	561.3	301.6	1.861	0.0923	60
Fix^{int}	-1417.6	405.9	-3.492	0.0068	55

(Fix$^+$ & Fix$^-$)					
Fix$^-$ (Fix$^+$ & Fix^{int})	-450 (log$_{10}$)	170 (log$_{10}$)	-2.566	0.03	55

Finally, the number of undifferentiated bacteria within a nodule did not depend on the identity of the co-inoculant for any of our nodule types (Fix$^+$ nodules, Fix$^-$ nodules or Fix^{int} nodules). This is consistent with previous studies which found no significant change in CFU due to conditional sanctioning at 28 dpi (although it does at 56 days (Westhoek et al., (2021)). Overall, conditional sanctioning therefore reduces both bacteroid number and the size of undifferentiated bacteria but not their numbers at 28 dpi.
(Fig. 1C) (Table 4).

> **Table 4: Impact on number of undifferentiated bacteria of each strain with different co-inoculants**
> Estimates from mixed-effects models on the change in the number of bacteria within a nodule when co-inoculated with different strains. Data that has been log$_{10}$ transformed is indicated, but is presented back transformed. P-values exceeding 0.05 are reported as >0.05.

Strain (co-inoculant comparisons)	Estimate	Standard error	t	p	n
Fix$^+$ (Fix^{int} & Fix$^-$)	-212437	472483	-0.450	>0.05	60
Fix^{int} (Fix$^+$ & Fix$^-$)	-5.81x10^5 (log$_{10}$)	1.17x10^5 (log$_{10}$)	0.855	>0.05	55
Fix$^-$ (Fix$^+$ & Fix^{int})	2.79x10^6	2.01x10^6	1.391	>0.05	55

Conditional sanctioning changes nodule cell morphology

After 28 dpi, the size of sanctioned whole nodules is clearly reduced (Figure S4), as also seen

in Westhoek et al., (2017). However, to study the effects on cellular morphology of

sanctioned nodules, plants were co-inoculated with all three combinations of bacterial strains

(Fix^+ vs Fix^-, Fix^+ vs Fix^{int}, Fix^{int} vs Fix^-) and nodules were imaged at 28-, 35- and 42-dpi

(Figure S5 for all pictures). At 28 dpi, all sections taken from nodules containing the Fix^{int}

strain looked similar, regardless of whether the co-inoculated strain was Fix^- (Figure 2A) or

Fix^+ (Figure 2B). However, at 35 dpi, nodules containing the Fix^{int} strain were visibly

affected when the co-inoculated strain was Fix^+. Infected cells within Fix^{int} nodules were

irregularly shaped and the infected region had retracted from the nodule edge (Figure 2D). By

comparison when the co-inoculated strain was Fix^-, most cells retained a round morphology;

and were not visibly different from Fix^{int} nodules at 28 dpi (compare panel C with A&B in

Figure 2). At 42 dpi, all Fix^{int} nodules showed clear changes to cell morphology, which were

most pronounced when co-inoculated with Fix^+ (Figure 2F), rather than Fix^- (Figure 2E).

Therefore, conditional sanctioning induces a premature change to the cellular morphology of

nodules.

Conditional sanctioning is not cell-autonomous

Cell-autonomous sanctioning predicts that in nodules containing more than one strain (mixed

nodules) the plant will differentiate between the strains and sanction cells containing the less

effective strain. This would result in fewer bacteroids and smaller undifferentiated bacteria of

the less effective relative to the more effective strain. We tested this theory in Fix^+ and Fix^-

mixed nodules as this is the most extreme difference in fixation rates between strains

available

When comparing the number of bacteroids of each of the two strains within mixed nodules

there was no significant difference between the number of Fix^+ and Fix^- (Log_{10} transformed:

Estimate = 1.133×10^5, SE = 73528, t = 1.455, p >0.05, n = 21)(Fig. 3A). This data is

consistent with peas being unable to differentiate between the two strains within a mixed

nodule.

There was no significant difference in the size of bacteria within mixed nodules between the
more effective and the less effective strain (Estimate = 511, SE = 267, t = 1.916, p > 0.05, n =
21))(Fig. 3B). This is also consistent with an absence of cell autonomous sanctioning, as
sanctions had a clear impact on the size of undifferentiated bacteria in single occupant
nodules (Fig 1B) that is not present in mixed nodules.
338
339   As there was no clear impact of conditional sanctioning on the number of bacteria within
340   single occupancy nodules this comparison for mixed nodules has not been considered (Fig.
341   S6).
342
343   Based on these results, the evidence does not support cell-autonomous sanctioning in peas.
344   Since this makes piggybacking in mixed nodules a potential route to success for a strain less
345   effective at fixing N_2, we also examined how mixed nodules were treated at the whole nodule
346   level by the plant.
347
348   **<u>Mixed nodules are sanctioned</u>**
349
If mixed nodules are sanctioned at the whole-nodule level, then mixed nodules should be
sanctioned in the same manner as a single strain intermediate fixing nodule. This is because
the total amount of nitrogen fixed by a mixed nodule will be the average of the two strains
(and hence similar to an intermediate fixing strain). As seen in Figure 1 when a Fix^{int} nodule
was sanctioned it had a significantly reduced number of bacteroids and smaller bacteria. To
test this, the total number of bacteroids, bacterial size and bacterial numbers in mixed nodules
were compared with the two single occupant nodules taken from plants co-inoculated with
Fix$^+$ and Fix$^-$. Intermediate sanctioning of mixed nodules predicts that mixed nodules will
contain more bacteroids and bigger bacteria than the less effective Fix$^-$ nodule while having
fewer bacteroids and smaller bacteria than the more effective single Fix$^+$ nodule. As was seen
for sanctioned Fix^{int} nodules (see Fig. 1).
361
As predicted, the number of bacteroids within a mixed nodule was significantly lower than
the number within the Fix$^+$ only nodule (Log$_{10}$ transformed: Estimate = -7.17x10^6, SE =
3.02x10^6, t = 7.232, p < 0.001) (Fig. 4A). The mixed nodules also contained significantly

more bacteroids than the within Fix$^-$ only nodules (Log$_{10}$ transformed: Estimate = 7.08x10^5,

SE = 22737, t = 7.914, p <0.001) (Fig. 4A). These results are consistent with mixed nodules

being treated at the whole nodule level and sanctioned to as an intermediate fixing strain.

As predicted, bacteria within mixed nodules were significantly smaller than those within the

more effective Fix$^+$ nodules (Estimate = -2814, SE = 296, t = 9.513, p <0.001) (Fig. 4B) This

dramatic decrease in size is consistent with mixed nodules being intermediately sanctioned.

However, there was no significant difference in size compared to the less effective nodule

control (Estimate = 475, SE = 296, t = 1.608, p >0.05)(Fig. 4B). This suggests that the

change in size of bacteria is only dramatic when comparing a sanctioned nodule relative to

the unsanctioned Fix$^+$ nodule. In contrast there is not a dramatic change in size when

comparing two different types sanctioned nodules e.g. a Fix$^-$ nodule or a mixed nodule of

Fix$^+$ & Fix$^-$.

As before analysis of the number of bacteria within mixed nodules has not been included

(Fig. S7).

Changes to cell morphology are not cell-autonomous in pea

Within mixed nodules there was no evidence of any differences in cell morphology between

cells occupied by the Fix$^+$ or Fix$^-$ strain. If the nodule was unsanctioned (Figure 5A) then all

of the cells within the nodule were healthy, regardless of the strain occupying the cell. In

contrast, if a nodule was sanctioned (Figure 5B) then – as for a single occupant nodule

(Figure 2D) – infected cells within the nodule lost their typical spherical morphology and

some burst open. However, this was equally likely to affect cells containing the more or the

less effective strain. Therefore, we have seen no evidence to support the cell-autonomous

sanctioning.

<u>**Discussion**</u>

We show that after twenty-eight days the size of undifferentiated bacteria (Figure 1B) and

the number of bacteroids (Figure 1A) but not the number of undifferentiated bacteria (Figure

1C) significantly decreased within a sanctioned nodules. Peas sanctioning nodules containing

less effective strains after twenty-eight days agrees with previous studies (Kiers et al., 2003;

Oono et al., 2011; West et al., 2002; Westhoek et al., 2017). Consistent with the findings of

Westhoek et al., 2021, the number of undifferentiated bacteria did not drop significantly in

sanctioned nodules after 28 dpi. Our data is also consistent with conditional sanctioning, as

the fate of nodules infected by a Fix^{int} strain depends on whether the co-inoculated strain is

Fix^+ or Fix^- (Westhoek et al., 2021). In this study we also used flow cytometry to quantify

and analyze the bacteroid and bacterial populations. This revealed that the decrease in size of

sanctioned nodules at 28 dpi is linked to a significant drop in the bacteroid population.

Within sanctioned nodules there was also a reduction in the size of undifferentiated bacteria.

This suggests that sanctioning causes a reduction of the nutrient supply to the nodule,

resulting in bacterial starvation. While bacterial numbers remain high (Fig. 1C), they are

much smaller (Fig. 1B), pre-dating the collapse in their population at 56 dpi (Westhoek et al.,

2021). Undifferentiated intermediate-fixing bacteria were also significantly smaller when co-

inoculated strain with a Fix^+ strain. While the size of undifferentiated Fix^- bacteria changed

with the identity of the co-inoculant, the estimated size difference was smaller, and close to

the significance threshold.

Compared to unsanctioned nodules, sanctioned nodules have an altered internal structure

(Fig. 2). Previous studies have linked these morphological changes to nodule senescence

(Regus et al., 2017). By tracking changes through nodule development, we measured the

sequence of events that take place throughout sanctioning. Sanctioned nodules are smaller

and more spherical by 16 dpi (Westhoek et al., 2017). By 28 dpi there was also a drop in the

number of bacteroids and the size of undifferentiated bacteria (Figure 1); however, there was

no visible change in the internal structure of sanctioned nodules before 35 dpi (Figure 2). All

of these changes appear to precipitate the collapse in undifferentiated bacteria population

which is observed by 56 dpi (Westhoek et al., 2021).

It has been proposed that cell-autonomous sanctions occur within mixed nodules (Daubech et
al., 2017; Regus et al., 2017). Cell autonomous sanctioning predicts that plant cells
containing a less effective bacterial strain will be sanctioned, while plant cells within the
same nodule containing a more effective strain will not. If true, then the less effective strain
within a mixed nodule should have significantly fewer bacteroids and the size of bacteria
should be significantly smaller. Furthermore, we would expect to see premature senescence
of plant cells containing the less effective bacterial strain compared to the more effective
strain.

Our results did not show any of the above predicted effects within mixed nodules. First, the
number of bacteroids was not significantly different (Fig. 3 A). Second, the size of bacteria
within mixed nodules was not significantly different (Fig. 3 B). Finally, plant cells within
mixed nodules underwent senescence simultaneously, regardless of effectiveness (Figure 5).
Therefore, in peas there is no evidence for cell-autonomous sanctions on the less-effective
strain within a mixed nodule.

Whole mixed nodules are sanctioned as an intermediate fixing nodule as we would predict
according to conditional sanctioning (Figure 4). This intermediate like sanctioning is to be
expected as while the less effective strain will always be sanctioned in a similar manner to
Fix[-] as seen in Westhoek et al., (2021). There will be a period of time during nodule
development where an intermediately fixing nodule will began to fix nitrogen and so will be
supported by the plant slightly longer than a Fix[-] strain. This additional time allows for the
formation of more bacteroids then in a Fix[-] nodule, as seen when comparing Fix[-] nodules
with sanctioned Fix[int] nodules in Figure 1. Mixed nodules contained fewer bacteroids and
smaller bacteria than Fix[+] nodules and significantly more bacteroids than Fix[-] nodules. They
did not however contain significantly larger bacteria than the Fix[-] nodules (Fig. 4). The
intermediate level sanctioning of mixed nodules demonstrates that plants can distinguish
between nodules of subtly different fixation effectiveness. This supports our conclusion that
sanctioning must be controlled through an extraordinarily sensitive response to a plants
overall nitrogen status.

The lack of evidence for cell autonomous sanctions aligns with Agtuca et al., (2020), who
demonstrated that the metabolic profile of sectors of mixed nodules containing different
strains did not show significant differences. However, our results contrast with studies which
have found evidence for cell autonomous sanctions based on variation in the timing of
senescence, such as Regus et al., (2017) and Daubech et al., (2017). The differences may be
explained by variation in the legume-rhizobium system used. For example, Daubech et al.
(2017) used the *Mimosa pudica – Cupriavidus* taiwanensis symbiosis, while Regus et al.,
(2017) used both the *Acmispon strigosus – Bradyrhizobium* and the *Lotus japonicus –*
Mesorhizobium symbioses. Finally, Agtuca et al. (2020) used the *Glycine max –*
Bradyrhizobium japonicum symbiosis. A comprehensive understanding of sanctions will
therefore require the continued use of multiple experimental systems.

We have shown that the sanctioning of less effective nodules within the pea-rhizobia
symbiosis is conditional in nature and occurs at the nodule level. In Fix^+/Fix^{int} coinfected
plants, nodules containing Fix^{int} are sanctioned while in Fix^{int}/Fix^- coinfected plants Fix^{int}
nodules are not sanctioned. This suggests that conditional sanctioning requires comparison
between nodule-specific and global nitrogen signals. This might be achieved through the
interaction between a nitrate receptor such as the Nitrate transreceptor NRT1.1, which both
transports nitrate as well as detecting nitrate levels (Zhang et al., 2019) and the NIN-like
Proteins (NLPs) 1 and 4, which are essential for the nitrate-based regulation of nodule
maturation (Lin et al., 2021). In high nitrate conditions NLP1/4 inhibit cytokinin
biosynthesis. Cytokinin biosynthesis drives nodule maturation through the activation of a
signal cascade through the Cytokinin responsive element CRE1 (Lin et al., 2021), which acts
to promote expression of the *cep* and *cle* genes (Laffont et al., 2020). However, how the
nitrogen output of individual nodules is detected by and compared to the global nitrogen
status remains unclear. The mechanism of sanctions on individual nodules may be achieved
through nodule-specific proteins responding to nitrogen levels. One possibility is SnRK1
which, when phosphorylated by the DMI2 kinase in response to Nod factor, phosphorylates
malate dehydrogenase 1 and 2, leading to increased malate production and supply to
bacteroids (Guo et al., 2023). It is therefore a prime candidate for how legumes would reward
nodules containing effective strains, as well as to punish nodules containing less effective
strains. However, these remain speculations about signalling pathways whose elucidation

may aid future efforts to engineer symbioses and in the selection of more effective nitrogen-

fixing bacteria.

We now have clear evidence that the change in nodule morphology shown by Westheok et

al., (2017) is driven by a reduction in the number of bacteroids and the size of the

undifferentiated bacteria. This precedes the changes to the internal structure of sanctioned

nodules. The number of undifferentiated bacteria drops at a later timepoint. We have also

shown that, while the plant is unable to discriminate between effective and less effective

strains within a mixed nodule, 'piggybacking' on an effective strain does not provide a viable

route by which to evade sanctions. Any potential benefit is limited, because the plant views

mixed nodules as less effective, and sanctions them accordingly.

References

Agtuca, B. J., Stopka, S. A., Evans, S., Samarah, L., Liu, Y., Xu, D., Stacey, M. G.,
Koppenaal, D. W., Paša-Tolić, L., Anderton, C. R., Vertes, A., & Stacey, G. (2020).
Metabolomic profiling of wild-type and mutant soybean root nodules using laser-
ablation electrospray ionization mass spectrometry reveals altered metabolism. *Plant*
Journal, *103*(5), 1937–1958. https://doi.org/10.1111/tpj.14815
Beringer, J. E. (1974). R Factor Transfer in Rhizobium leguminosarum. *Journal of General*
Microbiology, *84*(1g74), 188–198.
Beringer, J. E., Brewin, N., Johnston, A. W., Schulmanf, H. M., & Hopwood, D. A. (1979).
The Rhizobium-legume symbiosis. *Proc. R' Soc.Lond' B*, *204*, 219–233.
https://royalsocietypublishing.org/
Daubech, B., Remigi, P., Doin de Moura, G., Marchetti, M., cile Pouzet, C., Auriac, M.-C.,
Gokhale, C. S., Masson-Boivin, C., & Capela, D. (2017). Spatio-temporal control of
mutualism in legumes helps spread symbiotic nitrogen fixation. *ELIFE*, 1–21.
https://doi.org/10.7554/eLife.28683.001
Guo, D., Liu, P., Liu, Q., Zheng, L., Liu, S., Shen, C., Liu, L., Fan, S., Li, N., Dong, J., &
Wang, T. (2023). Legume-specific SnRK1 promotes malate supply to bacteroids for
symbiotic nitrogen fixation. *Molecular Plant*, *16*(9), 1396–1412.
https://doi.org/10.1016/j.molp.2023.08.009
Johnston, A. W. B., & Beringer, J. E. (1975). Identification of the Rhizobium Strains in Pea
Root Nodules Using Genetic Markers. *Journal of General Microbiology*, *87*, 343–350.

Kiers, E. T., Rousseau, R. A., West, S. A., & Denlson, R. F. (2003). Host sanctions and the
legume-rhizobium mutualism. *Nature*, *425*(6953), 78–81.
https://doi.org/10.1038/nature01931
Laffont, C., Ivanovici, A., Gautrat, P., Brault, M., Djordjevic, M. A., & Frugier, F. (2020).
The NIN transcription factor coordinates CEP and CLE signaling peptides that regulate
nodulation antagonistically. *Nature Communications*, *11*(1).
https://doi.org/10.1038/s41467-020-16968-1
Lin, J., Roswanjaya, Y. P., Kohlen, W., Stougaard, J., & Reid, D. (2021). Nitrate restricts
nodule organogenesis through inhibition of cytokinin biosynthesis in Lotus japonicus.
Nature Communications, *12*(1). https://doi.org/10.1038/s41467-021-26820-9
Mendoza-Suárez, M., Barney Geddes, B., Sánchez-Cañizares, C., Ramírez-González, R.,
Kirchelle, C., Jorrin, B., & Poole, P. (2020). Optimizing Rhizobium-legume symbioses
by simultaneous measurement of rhizobial competitiveness and N2 fixation in nodules.
PNAS, *117*(18).
Mergaert, P., Uchiumi, T., Alunni, B., Naë Lle Evanno, G., Lique Cheron, A., Catrice, O.,
Mausset, A.-E., Dé Rique Barloy-Hubler, F., Galibert, F., Kondorosi, A., & Kondorosi,
E. (2006). *Eukaryotic control on bacterial cell cycle and differentiation in the*
Rhizobium-legume symbiosis. https://www.pnas.org
Oono, R., Anderson, C. G., & Denison, R. F. (2011). Failure to fix nitrogen by non-
reproductive symbiotic rhizobia triggers host sanctions that reduce fitness of their
reproductive clonemates. *Proceedings of the Royal Society B: Biological Sciences*,
278(1718), 2698–2703. https://doi.org/10.1098/rspb.2010.2193
Poole, P., Ramachandran, V., & Terpolilli, J. (2018). Rhizobia: From saprophytes to
endosymbionts. *Nature Reviews Microbiology*, *16*(5), 291–303.
https://doi.org/10.1038/nrmicro.2017.171
Regus, J. U., Gano, K. A., Hollowell, A. C., & Sachs, J. L. (2014). Efficiency of partner
choice and sanctions in Lotus is not altered by nitrogen fertilization. *Proceedings of the*
Royal Society B: Biological Sciences, *281*(1781).
https://doi.org/10.1098/rspb.2013.2587
Regus, J. U., Quides, K. W., O'Neill, M. R., Suzuki, R., Savory, E. A., Chang, J. H., &
Sachs, J. L. (2017). Cell autonomous sanctions in legumes target ineffective rhizobia in
nodules with mixed infections. *American Journal of Botany*, *104*(9), 1299–1312.
https://doi.org/10.3732/ajb.1700165
Timmers, A. C. J., Soupène, E., Auriac, M.-C., De Billy, F., Vasse, J., Boistard, P., &
Truchet, G. (2000). Saprophytic Intracellular Rhizobia in Alfalfa Nodules. *Molecular*
Plant-Microbe Interactions, *13*(11), 1204–1213.
Udvardi, M., & Poole, P. S. (2013). Transport and metabolism in legume-rhizobia symbioses.
In *Annual Review of Plant Biology* (Vol. 64, pp. 781–805).
https://doi.org/10.1146/annurev-arplant-050312-120235
West, S. A., Kiers, E. T., Simms, E. L., & Denison, R. F. (2002). Sanctions and mutualism
stability: Why do rhizobia fix nitrogen? *Proceedings of the Royal Society B: Biological*
Sciences, *269*(1492), 685–694. https://doi.org/10.1098/rspb.2001.1878
Westhoek, A., Clark, L. J., Culbert, M., Dalchau, N., Griffiths, M., Jorrin, B., Karunakaran,
R., Ledermann, R., Tkacz, A., Webb, I., James, E. K., Poole, P. S., & Turnbull, L. A.
(2021). Conditional sanctioning in a legume-Rhizobium mutualism. *Proceedings of the*
National Academy of Sciences of the United States of America, *118*(19), 1–8.
https://doi.org/10.1073/pnas.2025760118

Westhoek, A., Field, E., Rehling, F., Mulley, G., Webb, I., Poole, P. S., & Turnbull, L. A.
(2017). Policing the legume-Rhizobium symbiosis: A critical test of partner choice.
Scientific Reports, *7*(1). https://doi.org/10.1038/s41598-017-01634-2
Zhang, X., Cui, Y., Yu, M., Su, B., Gong, W., Baluška, F., Komis, G., Šamaj, J., Shan, X., &
Lin, J. (2019). Phosphorylation-mediated dynamics of nitrate transceptor NRT1.1
regulate auxin flux and nitrate signaling in lateral root growth. *Plant Physiology*, *181*(2),
480–498. https://doi.org/10.1104/pp.19.00346

Acknowledgments

This work was supported by the Biotechnology and Biological Sciences Research Council [BB/M011224/1, BB/T001801/1, BB/T006722/1, BB/T008784/1 and BB/W006219/1].

Competing interests

The authors declare no competing interests

Author contributions

All authors listed have made a substantial direct and intellectual contribution to the work and approved it for publication. TJU, BJ and PSP conceived this study and designed the experiments. TJU and BJ performed the experiments. TJU analyzed the data and prepared the manuscript. TJU, BJ, LAT and PSP critically reviewed the manuscript.

Data Availability

Flow cytometry: Data is available at zenodo.org with separate data sets for each single occupant inoculum pairing and one dataset for mixed nodule data.

Fix$^+$ and Fix$^-$ single occupant:

Fix$^+$ and Fix^{int} single occupant:

https://zenodo.org/records/14989639?preview=1&token=eyJhbGciOiJIUzUxMiJ9.eyJpZCI6I
jVlMjUxMzJkLTIyMDQtNDFjZi1hM2I1LWU2MzYzZTRmYzZjMyIsImRhdGEiOnt9LCJ
yYW5kb20iOiI5MWUxZGEzNzE3MGY4YmQxMDkyODgwYjAxODg2MjRhYSJ9.DUeN
U1M61xZHmAq5ZOTlkyCbulhRe3VVC24DDU6DDN3JJZNNcwbK5jRgYuTekteYCzJNA
YbJRd7aS2_RcKEw2w

Fix^{int} and Fix$^-$ single occupant:

https://zenodo.org/records/14989691?preview=1&token=eyJhbGciOiJIUzUxMiJ9.eyJpZCI6I
jUwMzZmNGQzLWVkYTItNDQ3Zi1hYWU0LWEwNTlmMzlmMTRlYSIsImRhdGEiOnt
9LCJyYW5kb20iOiIyYmQ3MzIyMzdhYjc1MTM5NGNlOGI0NzMyN2EwNjIzNiJ9.CP0N
_TozLG3bTWJ71_Wb-
mNDls0xbXldYPYG8mW1GBSnoUme28U23WLN2Cohg_vJ9ED0mQ44ztXiTWwR4ioGh
Q

Mixed nodules

https://zenodo.org/records/14989800?preview=1&token=eyJhbGciOiJIUzUxMiJ9.eyJpZCI6I
jRhNTk5NzJlLTIyODMtNDMyMC05YTBhLTQwZDgwMjk5ZmVjYSIsImRhdGEiOnt9L
CJyYW5kb20iOiJhNGVjOWZmMzE5YTA3ZmU0NDJkNTU2MmMxMjVlZTlmMSJ9.Pe
UEgCLvPyqETy1BqWWAUXiQNCirnwSnEJJLyKHHA4mXxLp-
WEli1yDYRuKvY2n4ej_AhRdRIEcLfnK-s6LMdQ

Fig 1. After 28 days, plant-imposed sanctions reduce the number of bacteroids and bacterial size, but not the number of bacteria.

The number of bacteroids (A) the size of bacteria (B) and the number of bacteria (C) in nodules from pea plants co-inoculated with two strains of rhizobia. Data is split into different single-strain nodule types (Fix+, Fixint or Fix-). The identity of the co-inoculant is given in brackets. Horizontal bars give the mean value with one standard error. Significance level from paired t-test *** < 0.001; ** < 0.01; * <0 .05; ns, not significant. All nodules harvested at 28 days post-inoculation. Strains were tagged with a fluorescent protein. Fix+ was always tagged with mCherry, Fix- was always tagged with GFP, and Fixint was tagged with mCherry when co-inoculated with Fix- and GFP when co-inoculated with Fix+.

Fig. 2. Fix^{int} nodules co-inoculated with Fix$^+$ strain change cell morphology earlier than those co-inoculated with Fix$^-$ strain

(A), (C) and (E) Fix^{int} nodule labelled with mCherry (Orange), co-inoculated with Fix$^-$. (B), (D) and (F) Fix^{int} nodule labelled with GFP (Blue), co-inoculated with Fix$^+$. Nodules were harvested at 28 dpi, 35 dpi and 42 dpi, respectively. Longitudinal nodule slices (100μm) were imaged by confocal microscopy. Tip of the nodule is indicated by a star. Twenty-eight days post-inoculation, regardless of co-inoculant, infected cells were spherical (1) and an actively dividing meristem is visible (2). Thirty-five days post-inoculation, the infected cells in sanctioned Fix^{int} nodules were no longer spherical (3), in contrast to those seen in the unsanctioned Fix^{int} nodules (4). In addition, the area of infected cells in sanctioned nodules shows clear withdrawal from the edge of the nodule compared with unsanctioned nodules (5). Forty-two days post-inoculation there were very few remaining spherical cells in either sanctioned or unsanctioned nodules.

Scale bar = 200μm.

Fig. 3. The two strains within a mixed nodule are not sanctioned independently
The number of bacteroids (A) and the size of bacteria (B) for the two strains within mixed nodules. mixed nodules contained a Fix$^+$ and a Fix$^-$ strain. Horizontal bars give the mean value with one standard error. Significance level from paired t-test: * <0 .05; ns, not significant. All nodules harvested at 28 days post-inoculation. Strains were tagged with a fluorescent protein. Fix$^+$ was tagged with mCherry, Fix$^-$ was tagged with GFP.

Fig. 4. Mixed nodules are sanctioned, but less severely than single strain nodules containing the less effective strain.

The number of bacteroids (A) and the size of undifferentiated bacteria (B) within pea nodules. Mixed nodules containing Fix and Fix is compared with single occupant Fix and Fix. Bars indicate the mean value and error bars are one standard error. All nodules were harvested 28 days post inoculation. Significance levels from t-test: *** < 0.001; ns, not significant. Strains were tagged with a fluorescent protein. Fix+ was tagged with mCherry, Fix- was tagged with GFP.

Fig. 5. The two strains within a mixed nodule do not senesce at different times despite varying in relative effectiveness.

Thirty-five days post-inoculation Fix$^+$ (Orange: mCherry tagged) & Fix$^-$ (Blue: GFP tagged) mixed nodules (A & B) containing two strains of differing fixation effectiveness. When unsanctioned (A), all cells of both strains remain spherical and intact (1). When sanctioned (B), cells of both strains burst open (2). Longitudinal nodule slices (100μm) were imaged by confocal microscopy. Scale bar = 200μm.